

M-FLAG: Medical Vision-Language Pre-training with Frozen Language Models and Latent Space Geometry Optimization

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Abstract. Medical vision-language models enable co-learning and integrating features from medical imaging and clinical text. However, these models are not easy to train and the latent representation space can be complex. Here we propose a novel way for pre-training and regularising medical vision-language models. The proposed method, named Medical vision-language pre-training with Frozen language models and Latent spAce Geometry optimization (M-FLAG), leverages a frozen language model for training stability and efficiency and introduces a novel orthogonality loss to harmonize the latent space geometry. We demonstrate the potential of the pre-trained model on three downstream tasks: medical image classification, segmentation, and object detection. Extensive experiments across five public datasets demonstrate that M-FLAG significantly outperforms existing medical vision-language pre-training approaches and reduces the number of parameters by 78%. Notably, M-FLAG achieves outstanding performance on the segmentation task while using only 1% of the RSNA dataset, even outperforming ImageNet pre-trained models that have been fine-tuned using 100% of the data. The code can be found in https://github.com/cheliu-computation/M-FLAG-MICCAI2023.

Keywords: Vision-language model \cdot Vision-language pre-training \cdot Self-supervised learning

1 Introduction

Deep learning has made significant progress in medical computer vision [2,7] but requires large annotated datasets, which are often difficult to obtain. Selfsupervised learning (SSL) offers a solution by utilizing large unannotated medical image sets. It also enables vision-language pre-training (VLP), which learns representations for both imaging and text data and their relationships [5,21,26]. Several recent medical VLP approaches such as ConVIRT [32], GLoRIA [11], and MGCA [27] have shown the effectiveness of model pre-training with medical images and radiology reports together, which outperformed the conventionally pre-trained models using image only in downstream tasks [32]. However, training such models is not an easy task as they require extensive resources for training both vision and language models. In particular, most VLP approaches are based on pre-trained BERT [6,18], whose parameters are 5 times larger than a standard ResNet50 [10]. This indicates high computational cost, as well as training complexity and potential instability in joint training [13]. On the other hand, previous works [21,27,32] suggest a training strategy that forces image latent space to match language latent space, which can be sub-optimal with latent space collapse problem [14], reducing its performance for downstream tasks [34]. In this work, we would like to answer the following two questions: (1) Is it necessary to tune pre-trained language models for medical VLP? (2) How to regularize the latent space in pre-training?

We propose a novel VLP framework named Medical vision-language pretraining with Frozen language models and Latent spAce Geometry optimization method (M-FLAG). Different from most existing VLP approaches, M-FLAG is computationally efficient as it only requires training the vision model, while keeping the language model frozen. To harmonize the latent spaces in vision and language models, we relax the visual-language alignment objective with a orthogonality loss to alleviate the latent space collapse problem. The main contributions of this work include: (1) To the best of our knowledge, this is the first work to explore the collapsed latent space problem in medical VLP. (2) A novel and effective VLP framework is proposed to alleviate the collapsed latent space problem by explicitly optimizing the latent geometry towards orthogonal using our orthogonality loss in addition to the visual-language alignment loss, encouraging the in-dependency between latent variables and maximizing its informativeness for downstream tasks. (3) M-FLAG consistently outperforms existing medical VLP methods on three downstream tasks: medical image classification, segmentation, and object detection, while reducing 78% trainable parameters due to the frozen language model strategy.

Related Works: To connect vision and language modalities, the idea of VLP was proposed in CLIP [21], which involves learning mutual knowledge from two modalities by maximizing their feature similarity. CLIP [21] and later FLIP [19] focus on learning cross-representation in natural language and images. However, there is a significant lack of research in the medical domain due to the complexity of the medical text and the limited availability of large-scale paired medical

image-text datasets. Recently, ConVIRT [32], GLoRIA [11], and MGCA [27] have made notable progress in aligning medical text and images. These methods require significant computational resources and are sometimes limited by the collapse issue of the latent space.

It has been suggested that optimal vision and language latent spaces should be of different geometry [8] and latent space uniformity is considered an essential indicator to evaluate the success of learning [28]. Yet, most existing VLP approaches rigorously align the vision latent space to the language space without considering the latent space geometry, which may lead to latent space collapse. As pointed out by [14], latent space collapse indicates significant information loss, which can crucially affect the robustness of the pre-trained model on downstream tasks when transferring the model to unseen domains [3]. To solve this problem, contrastive learning-based approaches can be used to spread visual features over the unit sphere with good uniformity [4,9]. However, it requires a large number of negative samples in each training batch, which inevitably increases computational costs. Differently, here we address this problem by employing a orthogonality loss, which directly aligns the geometry of the latent space towards a uniform hypersphere to tackle the collapse problem.

2 Methods

The proposed M-FLAG is a simple and light VLP framework that aims to learn visual and text representations by leveraging both medical images and radiology

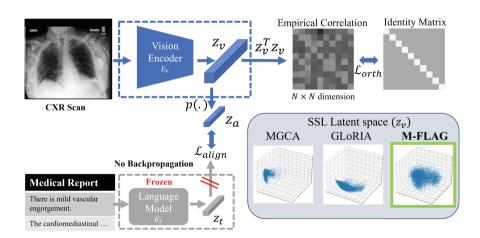


Fig. 1. M-FLAG overview. M-FLAG consists of a vision encoder E_V for learning vision latent z_v , a frozen language model E_T for extracting medical text latent z_t , and a projector $p(\cdot)$ to map z_v to z_a for alignment with z_t . M-FLAG employs an alignment loss \mathcal{L}_{align} for vision-text latent space alignment between z_a and z_t and a orthogonality loss \mathcal{L}_{orth} to encourage the orthogonality of z_v (Sect. 2.2). Visualization of the first 3 dominant dimensions of latent space z_v via PCA [30] shows the M-FLAG alleviates the dimensional collapse in the latent space, while MGCA [27] and GLoRIA [11] suffer the problem to different extents.

reports. We employ a freeze strategy for the text encoder E_T to mitigate ambiguity in vision-text latent space alignment. Additionally, we explicitly optimize the latent space geometry using a orthogonality loss. By doing so, we encourage the visual latent space to keep a stable geometry and reduce the risk of collapse. Figure 1 illustrates the workflow of M-FLAG and the learned latent space geometry compared to two recent medical VLP approaches.

Vision Encoder and Frozen Text Encoder: The paired medical image and text are denoted as x_v, x_t , respectively. As illustrated in Fig. 1, we obtain the image embedding z_v via the vision encoder E_V and the text embedding z_t via the frozen text encoder E_T .

Vision Embedding: E_V , the vision embedding $z_v \in \mathbb{R}^{B \times N}$ is extracted from the last pooling layer of E_V . N denotes the dimension of the latent space and B represents the batch size.

Text Embedding: A text encoder E_T extracts text embedding of word tokens from a medical report. Similar to BERT [6], a special token [cls] is added, which aggregates the representations of all word tokens into one embedding. The embedding of [cls] is used as the text report representation and denoted as z_t .

2.1 Frozen Language Model

In this work, we use a *frozen* text encoder E_T , which can be obtained from any general language model. The latent space of z_v is thus stable without the risk of latent space perturbation [20,33] due to the joint training of two encoders. Naturally, the computational cost is considerably reduced since the proposed approach only requires the training of a light vision encoder E_V and a projector $p(\cdot)$.

2.2 Alignment and Uniformity

As illustrated in Fig. 1, after obtaining the visual embedding $z_v = E_V(x_v)$ and text embedding $z_t = E_T(x_t)$ using corresponding encoders, the vision embedding z_v is projected to z_a by a linear projector $z_a = p(z_v)$, so that z_a is of the same dimension as z_t and alignment can be performed.

We compute a composite loss \mathcal{L}_{total} to train the vision encoder E_V and the projector $p(\cdot)$, which consists of two parts, alignment loss \mathcal{L}_{align} and orthogonality loss \mathcal{L}_{orth} :

$$\mathcal{L}_{total} = \mathcal{L}_{align} + \mathcal{L}_{orth} \tag{1}$$

$$\mathcal{L}_{align} = ||\bar{z_a} - \bar{z_t}||_2^2 = 2 - 2\bar{z_a}^T, \bar{z_t}$$
(2)

$$\mathcal{L}_{orth} = \sum_{i=1} (1 - (\bar{z_v}^T \cdot \bar{z_v})_{ii})^2 + \sum_{i \neq j} (\bar{z}_v^T \cdot \bar{z}_v)_{ij}^2,$$
(3)

where $\{i, j\} \in \{1, ..., \dim(z_v)\}^2$. We implement ℓ_2 -normalization on z_a, z_t, z_v to obtain $\bar{z_a}, \bar{z_t}, \bar{z_v}$. \mathcal{L}_{align} minimizes the discrepancy between $\bar{z_a}$ and $\bar{z_t}$, while \mathcal{L}_{orth} maximizes the independence among latent features in $\bar{z_v}$, forcing its empirical correlation matrix to be an identity matrix. In other words, we expect different latent feature dimensions to be independent. The objective of the first term on the right side in Eq. (3) aims to optimize the diagonal elements of the empirical correlation matrix to 1, while the second term on the right side aims to reduce all non-diagonal elements to 0. Here, $(\cdot)^T$ denotes the matrix transpose operation.

3 Experiments

3.1 Dataset for Pre-training

M-FLAG is pre-trained on the MIMIC-CXR (MIMIC) dataset [15,16], which contains 227,827 image-text pairs with chest X-ray (CXR) images and radiology reports. Following the preprocessing procedure of [11,27,32], 213,384 image-text pairs are used. We use ResNet50 [10] as E_V and frozen CXR-BERT [1] as E_T . Pre-training takes 100 epochs on 8 A100 GPUs, with a batch size of 128 for each GPU and a learning rate of 0.001 using the LARS [31] optimizer.

3.2 Datasets for Downstream Tasks

The pre-trained model is evaluated on 3 downstream tasks across 5 datasets:

Medical image classification is implemented on MIMIC, CheXpert (CXP), and NIH [12,16,29] datasets, each consisting of images from 14 disease categories.

To reduce sampling bias and maintain consistency, we follow the dataset split in CheXclusion [24] and evaluate the macro AUC scores.

Image segmentation is evaluated on two datasets, RSNA [25] (pneumonia segmentation) and SIIM [17] (pneumothorax segmentation). Following [11,27], we use U-Net [23] as the segmentation backbone. The pre-trained model is used as the frozen encoder of the U-net [23] and we only update the decoder of the U-net during fine-tuning. We evaluate segmentation performance using Dice scores.

Object detection is implemented on the RSNA [25] dataset for pneumonia detection, using the preprocessing techniques outlined in [27]. Following [27], we use YOLOv3 [22] as the detection framework. We employ the pre-trained vision encoder of M-FLAG as the backbone and only fine-tune the detection head. The detection task is evaluated using mean average precision (mAP) with the intersection of union (IoU) thresholds ranging from 0.4 to 0.75.

Table 1 reports the data split details. For all downstream tasks, we fine-tune using 1%, 10%, 100% of the train data on a single A100 GPU.

Task	Dataset	Split	Train	Valid	Test
Classification	MIMIC [16]	[24]	[24] 215,187		23,137
	CXP [12]	[24]	167,185	5,000	19,027
	NIH [29]	[24]	100,747	5,000	6,373
Segmentation	RSNA [25]	[11, 27]	16,010	5,337	5,337
	SIIM [17]	[11, 27]	8,433	1,807	1,807
Detection	RSNA [25]	[27]	16,010	5,337	5,337

Table 1. Datasets are split following [11, 24, 27].

3.3 Results

Medical Image Classification: The AUC scores on MIMIC, CXP, and CXR14 are reported in Table 2. It shows that M-FLAG consistently outperforms all baseline methods across almost all datasets and data fractions. Notably, M-FLAG achieves superior performance while using only 22% of the trainable parameters compared to other methods. While MGCA [27] slightly outperforms our method only when fine-tuning on 10% of the CXP dataset, it requires more than five times parameters than M-FLAG.

Segmentation and Object Detection: Table 3 shows that M-FLAG outperforms all SOTA methods across all datasets and data fractions in segmentation and detection tasks. In the segmentation task, M-FLAG achieves the highest Dice score across all fractions of both the SIIM and RSNA datasets. Interestingly, even when fine-tuned with only 1% of the data in RSNA, M-FLAG outperforms the ImageNet pre-trained model fine-tuned with 100% of the data. Similarly, in the object detection task, M-FLAG achieves the highest mean average precision (mAP) across all data fractions of the RSNA dataset. When fine-tuned with only 10% of the data, M-FLAG still outperforms the ImageNet pre-trained model with 100% fine-tuning.

These results indicate the advantages of using a frozen language model and introducing orthogonality loss during pre-training, which may yield more informative latent representations that are better suited for downstream tasks. Overall, the improvements achieved by M-FLAG across diverse downstream tasks demonstrate its effectiveness and versatility in medical image analysis.

3.4 Dimensional Collapse Analysis

Recent studies [14,28] have highlighted that latent space learned via self-supervised learning can suffer from issues such as complete collapse or dimensional collapse, which would lead to poor performance for downstream tasks. Figure 1 bottom right panel shows that both MGCA and GLoRIA [11,27] suffer from dimensional collapse. Figure 2 shows that if the last n layers of the language model in M-FLAG are not frozen, the latent space geometry would also exhibit varying degrees of collapse. This indicates the importance of using

Method	Trainable	MIMIC		CXP			NIH			
	parameters(M)	1%	10%	100%	1%	10%	100%	1%	10%	100%
Random	38.3	53.6	66.5	78.2	62.6	69.0	76.9	56.4	67.1	76.9
${\bf ImageNet}$	38.3	67.8	70.5	79.3	63.7	70.7	77.7	59.7	68.9	78.1
ConVIRT [32]	110.3	67.8	73.4	80.1	63.2	71.3	77.7	60.0	69.0	76.6
GLoRIA [11]	113.1	67.5	72.6	80.1	62.9	69.0	77.8	60.1	71.2	77.7
MGCA [27]	113.4	68.4	74.4	80.2	63.4	72.1	78.1	61.1	67.8	77.3
M-FLAG	25.6	69.5	74.8	80.2	64.4	71.4	78.1	62.2	71.6	78.7

Table 2. AUC scores (%) of image classification tasks on MIMIC, CXP, NIH datasets with 1%, 10%, 100% labeled data.

Table 3. Dice (%) of segmentation tasks on SIIM, RSNA datasets. mAP (%) of detection task on RSNA dataset. All tasks are fine-tuned with 1%, 10%, 100% labeled data.

Method	Segmentation						Object Detection		
	SIIM (Dice%)			RSNA (Dice%)			RSNA (mAP%)		
	1%	10%	100%	1%	10%	100%	1%	10%	100%
Random	9.0	28.6	54.3	6.9	10.6	18.5	1.0	4.0	8.9
${\bf ImageNet}$	10.2	35.5	63.5	34.8	39.9	64.0	3.6	8.0	15.7
ConVIRT [32]	25.0	43.2	59.9	55.0	67.4	67.5	8.2	15.6	17.9
GLoRIA [11]	37.4	57.1	64.0	60.3	68.7	68.3	11.6	16.1	24.8
MGCA [27]	49.7	59.3	64.2	63.0	68.3	69.8	12.9	16.8	24.9
M-FLAG	52.5	61.2	64.8	64.6	69.7	70.5	13.7	17.5	25.4

a frozen language model. Quantitative results in Tables 2, 3, 4 and 5 and qualitative visualization in Figs. 1 and 2 further demonstrate that a collapsed latent space can impair the performance for various downstream tasks, especially for segmentation and detection. These findings highlight the usefulness of a frozen language model in preventing latent space collapse.

3.5 Ablation Study

Ablation Study: Table 4 presents the results of an ablation study to evaluate the impact of \mathcal{L}_{orth} and \mathcal{L}_{align} on model performance. Across all experiments, the proposed version of M-FLAG achieves the highest performance, with a clear advantage over implementations that only use \mathcal{L}_{orth} or \mathcal{L}_{align} in pre-training. The performance of the model pre-trained with only \mathcal{L}_{align} drops dramatically in segmentation and detection tasks, although less severe in the classification tasks. On the other hand, the model pre-trained with only \mathcal{L}_{orth} does not suffer severe performance drop across the three tasks, indicating that the uniform latent space could have a considerable contribution to the performance of M-FLAG. Overall, these results underscore the importance of both loss functions in M-FLAG and highlight their complementary contributions.

Table 4. Performance for ablation study of M-FLAG. "only $\mathcal{L}_{orth}/\mathcal{L}_{align}$ " indicates that M-FLAG is pre-trained only with $\mathcal{L}_{orth}/\mathcal{L}_{align}$.

Method	MIMIC	CXP	NIH	SIIM	RSNA	
	AUC (%)	AUC (%)	AUC (%)	Dice (%)	mAP (%)	
	1%	1%	1%	1%	1%	
only \mathcal{L}_{align}	69.3	62.6	61.4	45.7	12.1	
only \mathcal{L}_{orth}	68.6	61.5	61.2	50.5	13.2	
M-FLAG	69.5	64.4	62.2	52.5	13.7	

Table 5. Performance of M-FLAG compared to its unfrozen variants. Unfreeze_n indicates that the last n layers of the language model are unfrozen.

Method	Trainable	MIMIC	CXP	NIH	SIIM	RSNA
	Parameters(M)	AUC (%)	AUC (%)	AUC (%)	Dice (%)	mAP (%)
		1%	1%	1%	1%	1%
$Unfreeze_1$	32.6	67.8	63.1	59.7	47.2	12.5
$Unfreeze_2$	39.7	68.7	63.3	60.6	48.9	12.3
$Unfreeze_3$	46.8	68.8	63.7	60.7	45.8	10.7
$Unfreeze_4$	53.9	68.7	62.6	60.1	50.3	11.4
$Unfreeze_5$	60.9	68.2	64.1	59.2	46.8	11.8
${\rm Unfreeze_6}$	68.1	68.2	63.7	59.9	50.1	11.5
M-FLAG	25.6	69.5	64.4	62.2	52.5	13.7

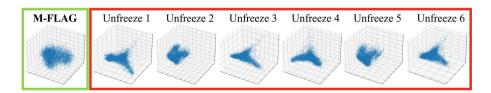


Fig. 2. Visualization of the first 3 dominant PCA dimensions of latent space on NIH dataset. M-FLAG (green) is compared to its variants (red) when the last n layers of the language model are not frozen. (Color figure online)

Comparing M-FLAG with Frozen vs. Unfrozen Language Models: We conducted further experiments to evaluate the performance of M-FLAG while unfreezing the last few layers of the language model. This not only increases the number of trainable parameters but also influences the model performance. Table 5 shows that when the language model is unfrozen, the performance slightly drops, compared to M-FLAG with the frozen language model (proposed). M-FLAG achieves a better performance with an average improvement of 2.18% than its Unfreeze₁₋₆ variants on the NIH dataset and an average improvement of 4.32% on the SIIM dataset.

4 Conclusion

Simple architecture means low computational cost and stable training. In this work, we propose a simple and efficient VLP framework that includes a frozen language model and a latent space orthogonality loss function. Extensive experiments show that M-FLAG outperforms SOTA medical VLP methods with 78% fewer parameters. M-FLAG also demonstrates its robustness by achieving the highest performance when transferred to unseen test sets and diverse downstream tasks for medical image classification, segmentation, and detection. This indicates the benefits of freezing the language model and regularizing the latent space. The results exhibit promising potential for improving the pre-training of vision-language models in the medical domain. In addition, the latent space geometry explored in this work provides useful insight for future work in VLP.

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