# Quantum query complexity

Lecture 4

The adversary method

Materials: https://yassine-hamoudi.github.io/pcmi2023/

## Last lecture (end of the proof)

SEARCH problem: Find i such that  $x_i = 1$ 

$$\Pi = \left(\sum_{1 \in x} |x\rangle\langle x|\right) \otimes \mathrm{Id}$$

$$\Delta_t = \|\Pi\|\psi_{\text{rec}}^t\rangle\|^2$$

Lemma 1:  $\Delta_0 = 0$ 

$$\underline{\text{Lemma 2: }} \sqrt{\Delta_{t+1}} \leq \sqrt{\Delta_t} + \sqrt{10/n}$$

**Proof:** We showed that  $\sqrt{\Delta_{t+1}} \le \sqrt{\Delta_t} + \|\Pi R(\operatorname{Id} - \Pi)\|\psi_{\text{rec}}^t\|$ 

Claim: For all  $|\psi\rangle \in \ker(\Pi)$  we have  $||\Pi R|\psi\rangle|| \leq \sqrt{10/n} |||\psi\rangle||$ 

## Last lecture (end of the proof)

Proposition: When  $b \neq 0$ , the recording query operator R acts as:

$$R \mid \dots, x_{i-1}, \emptyset, x_{i+1}, \dots \rangle \otimes \mid i, b \rangle = \mid \dots, x_{i-1} \rangle \left( \frac{1}{\sqrt{n}} \sum_{0 \leq y < n} \omega^{by} \mid y \rangle \right) \mid x_{i+1}, \dots \rangle \otimes \mid i, b \rangle$$

$$R \mid ..., x_{i-1}, \mathbf{y}, x_{i+1}, ... \rangle \otimes |i, b\rangle = |..., x_{i-1}\rangle \left(\mathbf{\omega}^{by} |\mathbf{y}\rangle + |\mathbf{error}_{\mathbf{y}}\rangle\right) |x_{i+1}, ... \rangle \otimes |i, b\rangle$$

where 
$$|\text{error}_y\rangle = \frac{\omega^{by}}{\sqrt{n}} |\emptyset\rangle + \sum_{0 \le z < n} \frac{1 - \omega^{by} - \omega^{bz}}{n} |z\rangle$$

## Focus of this lecture

The (generalized) adversary method

- A lower bound method that is always optimal
  - > We'll show in lecture 5 how to turn it into an algorithm
  - > Counterpart: often harder to use
- It shares some ideas with the hybrid method (lecture 1) and the recording method (lecture 3)

(in fact: these can be seen as particular cases of it)

## Reminders

#### The distinguishing lemma (lecture 1)

The states  $|\psi_x^T\rangle$  and  $|\psi_y^T\rangle$  can be distinguished with probability  $\geq 2/3$  if an only if there are "sufficiently orthogonal"  $|\langle \psi_x^T | \psi_y^T \rangle| \leq 2\sqrt{2}/3$ 

#### The purification viewpoint (lecture 3)

We can set a distribution  $(p_x)_x$  on the input by adding a purification register

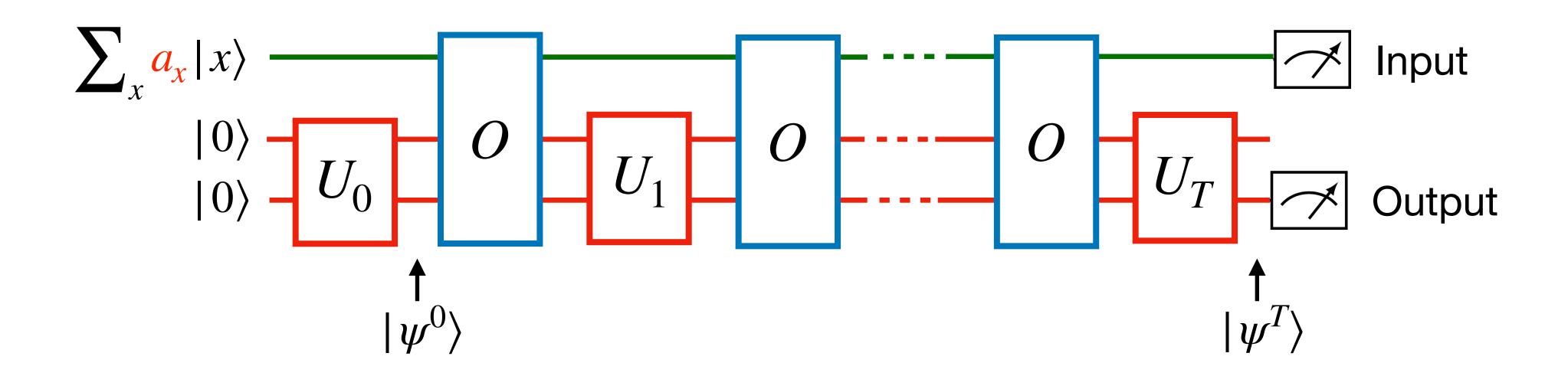
$$\sum_{x} \sqrt{p_{x}} | x \rangle$$

$$|0\rangle - U_{0} - U_{1} - U_{1} - U_{2} - U_{3} - U_{4} - U_{4} - U_{5} -$$

# Quantum adversary

First step: replace  $(p_x)_x$  with complex numbers  $(a_x)_x$  s.t.  $\sum_x |a_x|^2 = 1$ 

$$|\psi^t\rangle = \sum_{x} a_x |x\rangle \otimes |\psi_x^t\rangle$$



First step: replace  $(p_x)_x$  with complex numbers  $(a_x)_x$  s.t.  $\sum_x |a_x|^2 = 1$ 

$$|\psi^t\rangle = \sum_{x} a_x |x\rangle \otimes |\psi_x^t\rangle$$

Second step: consider the Gram matrix:

$$\sum_{x,y} a_x^* a_y \langle \psi_x^t | \psi_y^t \rangle | x \rangle \langle y |$$

$$x \left( ---- a_x^* a_y \langle \psi_x^t | \psi_y^t \rangle \right)$$

Third step: place some weights  $\Gamma_{x,y}$  on the "hard" pairs of inputs

(symmetric) 
$$\Gamma_{x,y} = \Gamma_{y,x}$$

(consistent) if 
$$f(x) = f(y)$$
 then  $\Gamma_{x,y} = 0$ 

$$x \left( - - \Gamma_{x,y} a_x^* a_y \langle \psi_x^t | \psi_y^t \rangle \right)$$

Adversary matrix: 
$$\Gamma \in \mathbb{R}^{2^n \times 2^n}$$
 symmetric and  $f(x) = f(y) \Rightarrow \Gamma_{x,y} = 0$ 

Adversary distribution:  $a \in \mathbb{C}^{2^n}$  principal (unit) eigenvector of  $\Gamma$ 

"Punctured" matrices: 
$$\Gamma_i \in \mathbb{R}^{2^n \times 2^n}$$
 such that  $(\Gamma_i)_{x,y} = \Gamma_{x,y} \cdot \mathbf{1}_{x_i \neq y_i}$ 

Progress measure: 
$$\Delta_t = |\langle \psi^t | (\Gamma \otimes \operatorname{Id}) | \psi^t \rangle| = \left| \sum_{x,y} \Gamma_{x,y} a_x^* a_y \langle \psi_x^t | \psi_y^t \rangle \right|$$

Lemma 1:  $\Delta_0 = |\Gamma|$ 

(initial condition)

Lemma 2:  $\Delta_T < 0.95 \|\Gamma\|$  if the algorithm succeeds wp  $\geq 2/3$ 

(final condition)

 $\underline{\text{Lemma 3: }} \Delta_{t+1} \geq \Delta_t - 2 \max_{1 \leq i \leq n} \|\Gamma_i\|$ 

(evolution)

Theorem: 
$$Q(f) \ge \max_{\Gamma} \frac{\|\Gamma\|}{40 \cdot \max_{1 \le i \le n} \|\Gamma_i\|}$$

- Positive-weight adversary:  $\forall x, y, \Gamma_{x,y} \geq 0$ 
  - Has a nice combinatorial interpretation (see problem session)
  - Sub-optimal (the "certificate" and "property testing" barriers)

- Negative-weight adversary:  $\forall x, y, \Gamma_{x,y} \in \mathbb{R}$ 
  - Optimal! (see next lecture)  $Q(f) = \Theta\left(\max_{\Gamma} \frac{\|\Gamma\|}{\max_{1 \le i \le n} \|\Gamma_i\|}\right)$

# Applications

OR function: f(x) = 0 if and only if x = (0,0,...,0)

We (again) only focus on the n+1 "hardest" inputs denoted by:

$$\vec{0} = (0,0,...,0) \qquad \vec{1} = (1,0,...,0) \qquad \vec{2} = (0,1,0,...,0) \qquad ... \qquad \vec{n} = (0,0,...,1)$$

$$\vec{\Gamma} = \begin{pmatrix} \vec{0} & \vec{1} & ... & \vec{n} \\ \vec{0} & \vec{1} & ... & \vec{1} \\ \vec{1} & 0 & ... & 0 \\ \vdots & \vdots & & \vdots \\ \vec{1} & 0 & ... & 0 \end{pmatrix} \vec{1} \qquad \vec{\Gamma}_{i} = \begin{pmatrix} \vec{0} & ... & 0 & 1 & 0 & ... & 0 \\ \vdots & 0 & ... & ... & ... & ... & 0 \\ \vec{0} & \vdots & & & \vdots & \vdots \\ \vec{0} & 0 & ... & ... & ... & ... & 0 \end{pmatrix} \vec{i}$$

(omitting the other 0-entries)

$$\|\Gamma\| = \sqrt{n}$$

$$\|\Gamma_i\| = 1$$

$$\Rightarrow Q(OR) \ge \sqrt{n/40}$$

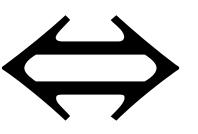
## Dual SDP

#### Rewrite the optimization problem:

$$\mathbf{Adv}(f) = \max_{\Gamma} \frac{\|\Gamma\|}{\max_{1 \le i \le n} \|\Gamma_i\|}$$
s.t.  $\Gamma_{x,y} = \Gamma_{y,x} \ \forall x, y$ 

$$\Gamma_{x,y} = 0 \ \forall x, y, f(x) = f(y)$$

$$\Gamma \in \mathbb{R}^{2^n \times 2^n}$$



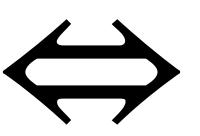
Adv
$$(f) = \max_{\Gamma} \|\Gamma\|$$
  
s.t.  $\|\Gamma_i\| \le 1 \ \forall i$   
 $\Gamma_{x,y} = \Gamma_{y,x} \ \forall x,y$   
 $\Gamma_{x,y} = 0 \ \forall x,y,f(x) = f(y)$   
 $\Gamma \in \mathbb{R}^{2^n \times 2^n}$ 

#### Rewrite the optimization problem:

$$\mathbf{Adv}(f) = \max_{\Gamma} \frac{\|\Gamma\|}{\max_{1 \le i \le n} \|\Gamma_i\|}$$
s.t.  $\Gamma_{x,y} = \Gamma_{y,x} \ \forall x, y$ 

$$\Gamma_{x,y} = 0 \ \forall x, y, f(x) = f(y)$$

$$\Gamma \in \mathbb{R}^{2^n \times 2^n}$$



Adv
$$(f) = \max_{\Gamma, \epsilon} \epsilon$$
s.t.  $\|\Gamma\| \le \epsilon$ ,  $\|\Gamma_i\| \le 1 \ \forall i$ 

$$\Gamma_{x,y} = \Gamma_{y,x} \ \forall x, y$$

$$\Gamma_{x,y} = 0 \ \forall x, y, f(x) = f(y)$$

$$\Gamma \in \mathbb{R}^{2^n \times 2^n}, \epsilon \in \mathbb{R}$$

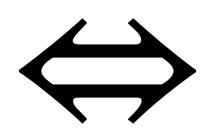
#### Rewrite the optimization problem:

$$Adv(f) = \max_{\Gamma} \frac{\|\Gamma\|}{\max_{1 \le i \le n} \|\Gamma_i\|}$$

s.t. 
$$\Gamma_{x,y} = \Gamma_{y,x} \ \forall x, y$$
  
 $\Gamma_{x,y} = 0 \ \forall x, y, f(x) = f(y)$ 

$$\Gamma \in \mathbb{R}^{2^n \times 2^n}$$

#### Semidefinite program



Adv
$$(f) = \max_{\Gamma, \epsilon} \epsilon$$
  
s.t.  $-\epsilon \operatorname{Id} \leq \Gamma \leq \epsilon \operatorname{Id}$   
 $-\operatorname{Id} \leq \Gamma_i \leq \operatorname{Id} \quad \forall i$   
 $\Gamma_{x,y} = \Gamma_{y,x} \quad \forall x, y$   
 $\Gamma_{x,y} = 0 \quad \forall x, y, f(x) = f(y)$   
 $\Gamma \in \mathbb{R}^{2^n \times 2^n}, \epsilon \in \mathbb{R}$ 

#### Primal SDP

#### Strong duality

#### **Dual SDP**

$$\operatorname{Adv}(f) = \max_{\Gamma} \frac{\|\Gamma\|}{\max_{1 \le i \le n} \|\Gamma_i\|}$$
s.t.  $\Gamma_{x,y} = \Gamma_{y,x} \ \forall x, y$ 

$$\Gamma_{x,y} = 0 \ \forall x, y, f(x) = f(y)$$

$$\Gamma \in \mathbb{R}^{2^n \times 2^n}$$

$$\text{Adv}(f) = \min_{V^{(1)}, \dots, V^{(n)}} \max_{x \in \{0,1\}^n} \sum_{1 \le i \le n} V_{x,x}^{(i)}$$

$$\text{s.t. } \sum_{i: x_i \ne y_i} V_{x,y}^{(i)} = \mathbf{1}_{f(x) \ne f(y)} \ \forall x, y$$

$$V^{(i)} \ge 0 \ \forall 1 \le i \le n$$

$$V^{(i)} \in \mathbb{C}^{2^n \times 2^n} \ \forall 1 \le i \le n$$

#### Dual SDP

$$Adv(f) = \min_{\substack{V^{(1)}, \dots, V^{(n)} \\ i \le i \le n}} \max_{x \in \{0,1\}^n} \sum_{1 \le i \le n} V_{x,x}^{(i)}$$

s.t. 
$$\sum_{i:x_i \neq y_i} V_{x,y}^{(i)} = \mathbf{1}_{f(x) \neq f(y)} \ \forall x, y,$$

$$V^{(i)} \geq 0 \ \forall 1 \leq i \leq n$$

$$V^{(i)} \in \mathbb{C}^{2^n \times 2^n} \ \forall 1 \le i \le n$$

#### PSD (positive semidefinite) constraint

$$V \ge 0 \Leftrightarrow \langle w | V | w \rangle \ge 0 \ \forall w \in \mathbb{C}^{2^n}$$

$$\Leftrightarrow \exists w^{(1)}, ..., w^{(2^n)} \in \mathbb{C}^{2^n} \text{ such that}$$

$$V_{x,y} = (\langle w^{(x)} | w^{(y)} \rangle)_{x,y} \ \forall x, y$$

(Gram matrix)

#### Dual SDP

$$Adv(f) = \min_{V^{(1)}, \dots, V^{(n)}} \max_{x \in \{0,1\}^n} \sum_{1 < i < n} V_{x,x}^{(i)}$$

s.t. 
$$\sum_{i:x_i\neq y_i} V_{x,y}^{(i)} = \mathbf{1}_{f(x)\neq f(y)} \ \forall x, y,$$

$$V^{(i)} \ge 0 \ \forall 1 \le i \le n$$

$$V^{(i)} \in \mathbb{C}^{2^n \times 2^n} \ \forall 1 \le i \le n$$

#### Alternative formulation

$$Adv(f) = \min_{V^{(1)}, \dots, V^{(n)}} \max_{x \in \{0,1\}^n} \sum_{1 \le i \le n} V^{(i)}_{x,x} \quad Adv(f) = \min_{\{w^{(x,i)}\}} \max_{x \in \{0,1\}^n} \sum_{1 \le i \le n} ||w^{(x,i)}||^2$$

s.t. 
$$\sum_{i:x_i\neq y_i} \langle w^{(x,i)} | w^{(y,i)} \rangle = \mathbf{1}_{f(x)\neq f(y)} \ \forall x, y$$

$$w^{(x,i)} \in \mathbb{C}^{2^n} \ \forall x \in \{0,1\}^n, 1 \le i \le n$$