

# Divide-and-conquer for 2D-grid problems

## 1 Tiling by L-shapes

In this exercise we consider the problem of tiling a punctured  $2^n \times 2^n$  square grid (*punctured* means that one square of the grid, called the *hole*, is missing) using what we call *L-shapes*, where an *L-shape* is any set of 3 unit squares that are inside a (necessarily unique)  $2 \times 2$  square. Figure 1 shows an example of such a tiling of a punctured  $4 \times 4$  grid. Each unit square is identified by its cartesian coordinates ( $x$ -coordinates are increasing from left to right, and  $y$ -coordinates are increasing from bottom to top). In python such a tiling will be recorded as a list of triples (the *L-shapes*) of integer pairs. For the example in Figure 1 the list would be (up to reordering the triples, and reordering within each triple)  $[(1, 1), (2, 2), (2, 1)], [(0, 0), (0, 1), (1, 0)], [(3, 1), (3, 0), (2, 0)], [(0, 2), (0, 3), (1, 2)], [(3, 2), (2, 3), (3, 3)]$ .

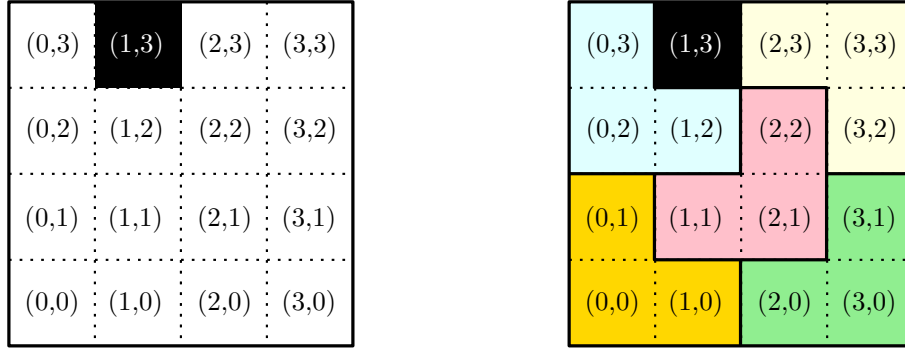


FIGURE 1 – Left : the punctured  $4 \times 4$  grid  $G$  with the hole at position  $(1, 3)$ . Right : an *L*-tiling of  $G$ .

It will be convenient to allow the minimal  $x$ -coordinate (resp.  $y$ -coordinate) of a  $2^n \times 2^n$  grid to be any fixed value  $i \geq 0$  (resp.  $j \geq 0$ ), so that the range of  $x$ -coordinates is  $[i..i + 2^n - 1]$  and the range of  $y$ -coordinates is  $[j..j + 2^n - 1]$ . The integer  $n$  is called the *size* of the grid. We call *punctured grid of type*  $(n, i, j, a, b)$  the  $2^n \times 2^n$  grid with  $x$ -coordinate range  $[i..i + 2^n - 1]$ ,  $y$ -coordinate range  $[j..j + 2^n - 1]$ , and with the hole at coordinates  $(a, b)$ .

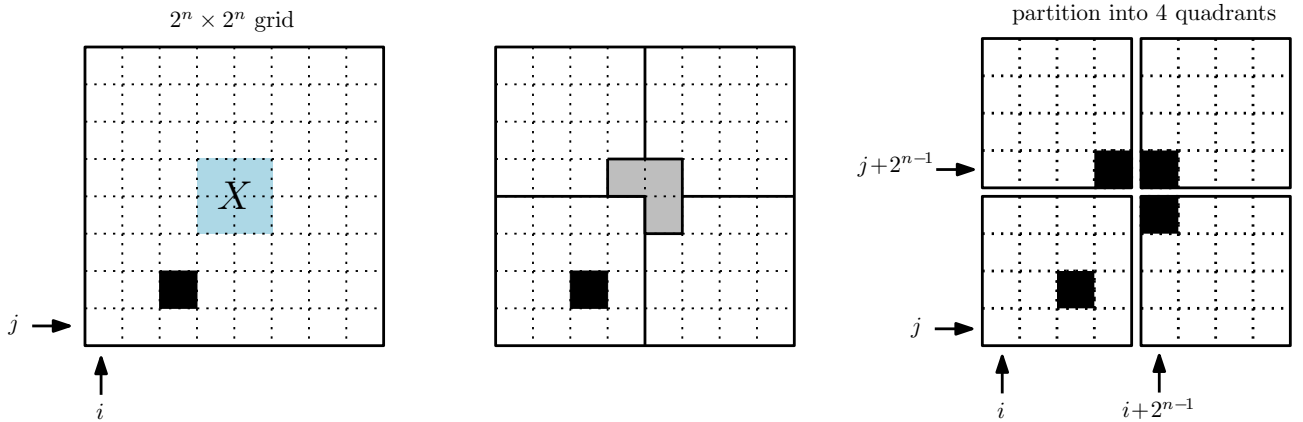


FIGURE 2 – The divide-and-conquer strategy to compute an *L*-tiling of a punctured  $2^n \times 2^n$  grid.

To compute a valid *L*-tiling of a punctured grid  $G$  we use a divide-and-conquer strategy as shown in Figure 2. That is, if the size  $n$  is non-zero we decompose the grid  $G$  into 4 quadrants (each one a

grid of size  $n - 1$ ) and call *marked quadrant* the one containing the hole of  $G$ . We let the *middle L* of  $G$  be the  $L$ -shape obtained from the central  $2 \times 2$  square  $X$  by removing the unique square of  $X$  that belongs to the marked quadrant (see the left and middle drawing of Figure 2). Then we puncture the marked quadrant to have the same hole as  $G$ , whereas the other 3 quadrants are punctured at their unique unit square belonging to  $X$  (see the right drawing of Figure 2). After that it just remains to apply recursively the tiling procedure to each of the 4 quadrants.

**Question 1.** Complete the function `middleL(n,i,j,a,b)` that has to return a triple of the form `[(x1,y1),(x2,y2),(x3,y3)]` giving the coordinates of the three unit squares of the middle  $L$  (any order of the 3 squares is accepted), for the punctured grid of type  $(n, i, j, a, b)$ .

**Question 2.** Complete the function `lower_left_hole(n,i,j,a,b)` that has to return the coordinates `k,l` of the hole in the lower left quadrant of the punctured grid of type  $(n, i, j, a, b)$ . Complete similarly the functions for the other 3 quadrants `lower_right_hole(n,i,j,a,b)`, `upper_left_hole(n,i,j,a,b)`, `upper_right_hole(n,i,j,a,b)`.

**Question 3.** Complete the recursive function `tile(n,i,j,a,b)` such that after calling it, the global variable `Llist` contains a list of triples of integer-pairs, these triples corresponding to the  $L$ -shapes forming a valid tiling of the punctured grid of type  $(n, i, j, a, b)$ .

Once it passes the test you can run the function `display_tiling_with_random_hole(n)` that displays the  $L$ -tiling of the  $2^n \times 2^n$  grid punctured at a unit square chosen at random.

**Exercise (ungraded).** By applying the master theorem you should check that the complexity of computing the tiling is  $O(N^2)$  with  $N = 2^n$ , i.e., the complexity is of the order of the area (which is also the number of unit squares) of the grid to be tiled.

## 2 Finding peaks in arrays and matrices

Let  $N \geq 1$  and  $L = [a_0, \dots, a_{N-1}]$  be a list of numbers in  $\mathbb{R}$ . For  $i \in [0..N-1]$  we say that there is a peak at position  $i$  if  $a[i]$  is at least as large as any of its neighbours. For instance for  $L = [2, 2, 1, 3, 3, 4, 1]$  there are peaks at indices 0, 1, 3, 5.

**Question 4.** Write a simple iterative function `peak_naive(L)` that returns the leftmost peak position in  $L$ , in time  $O(N)$ . (Be careful to return the position, not the peak-value)

We now discuss a divide and conquer approach, shown in Figure 3. For  $0 \leq p < q \leq N$  we let  $L[p : q]$  be the list  $[a_p, \dots, a_{q-1}]$ . If  $q - p \geq 2$  we let  $\ell = \lfloor (p + q)/2 \rfloor$ , and we call *middle-entries* of  $L[p : q]$  the two entries  $a_{\ell-1}$  and  $a_\ell$ . If  $a_{\ell-1} \geq a_\ell$  but  $a_{\ell-1}$  is not a peak of  $L[p : q]$ , then one can easily see that any peak of  $L[p : \ell]$  is also a peak of  $L[p : q]$ . On the other hand, if  $a_{\ell-1} < a_\ell$  but  $a_\ell$  is not a peak of  $L[p : q]$ , then any peak of  $L[\ell : q]$  is also a peak of  $L[p : q]$ .

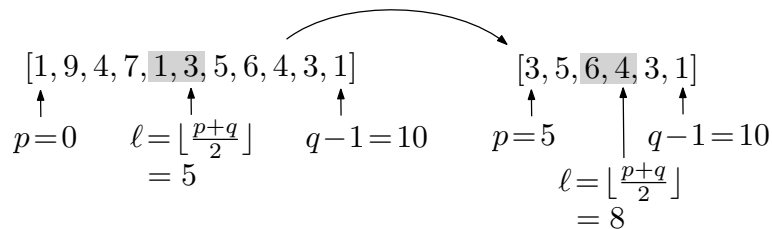


FIGURE 3 – The DAC approach to find a peak, illustrated on a list of length 11. The two middle entries are at positions  $\{4, 5\}$ , the larger one is at position 5. It is not a peak, so we recurse in the right part of  $L$ , i.e., the array  $L[5 : 11]$ . Then the two middle entries are at positions  $\{7, 8\}$ . The larger one is at position 7, and it is a peak, so we end there and return 7 as a peak position.

**Question 5.** Write a DAC function `peak(L)` that returns a peak position of a list  $L$  (assuming  $L$  is not empty) following the approach shown in Figure 3. It is convenient to write also a function `peak_aux(L,p,q)` that returns (assuming  $p < q$ ) a peak position of  $L[p : q]$ .

**Exercise (ungraded).** Using the master theorem you can check that the complexity of this algorithm is  $O(\log(n))$ , a significant improvement over the naive iterative method, which runs in time  $O(n)$ .

We now consider the 2D version of the problem (finding a peak position in a matrix). Let  $M = [[m_{0,0}, \dots, m_{0,J-1}], [m_{1,0}, \dots, m_{1,J-1}], \dots, [m_{I-1,0}, \dots, m_{I-1,J-1}]]$  be an  $I \times J$ -matrix. (Beware that, in contrast to the first exercise, the rows are ordered from top to bottom, the usual convention for matrices.) Recall that in Python, the coefficient  $m_{i,j}$  is obtained as `M[i][j]`. We say that there is a peak at position  $(i, j)$  if  $M[i][j]$  is at least as large as any of its neighbours ( $M[i][j]$  has at most 4 neighbours, which are those of  $M[i-1][j]$ ,  $M[i+1][j]$ ,  $M[i][j-1]$ ,  $M[i][j+1]$  whose indices are within the bounds of  $M$ ).

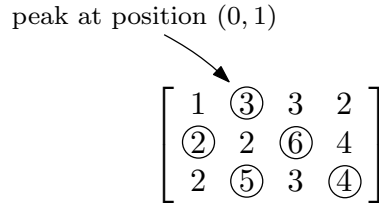


FIGURE 4 – A  $3 \times 4$ -matrix (the peaks are circled).

**Question 6.** Write a function `is_peak(M, i, j)` that returns `true` if there is a peak of  $M$  at position  $(i, j)$ , and returns `false` otherwise (we assume that  $(i, j)$  is within the bounds of  $M$ ).

**Question 7.** Write a simple iterative function `peak2d_naive(M)` that returns the first encountered position  $(i, j)$  where there is a peak. We assume that the entries of  $M$  are visited in the following order :  $m_{0,0}, \dots, m_{0,J-1}$ , then  $m_{1,0}, \dots, m_{1,J-1}, \dots, m_{I-1,0}, \dots, m_{I-1,J-1}$ . The method should run in time  $O(I \times J)$  in the worst case.

We are now going to describe a DAC algorithm for finding a peak in a matrix (the approach is illustrated in Figure 5). For  $p < q$  and  $r < s$  we let  $M[p : q][r : s]$  be the submatrix of entries  $M[i][j]$  where  $p \leq i < q$  and  $r \leq j < s$ . Given two indices  $c < d$  we define the index-set  $A(c, d)$  as

$$A(c, d) = \{c\} \text{ if } d - c = 1, \quad A(c, d) = \{c, \ell - 1, \ell, d - 1\} \text{ if } d - c \geq 2, \text{ where } \ell = \lfloor (c + d)/2 \rfloor.$$

For  $p < q$  and  $r < s$  we define  $F(p, q, r, s)$  as the set of index pairs  $(i, j)$  such that either  $i \in A(p, q)$  and  $j \in [r..s - 1]$ , or  $i \in [p..q - 1]$  and  $j \in A(r, s)$ , see Figure 5 for two examples where each time  $F(p, q, r, s)$  is shown in gray. We let  $x$  be the maximal value of  $M[i][j]$  over all  $(i, j) \in F(p, q, r, s)$  and we define a *pivot* for  $M[p : q][r : s]$  as a pair  $(i, j) \in F(p, q, r, s)$  such that  $M[i][j] = x$ .

**Question 8.** (Do not use a DAC approach for this question) Write a function `pivot(M, p, q, r, s)` that receives a matrix  $M$  and 4 indices  $p, q, r, s$  (with  $p < q$  and  $r < s$ ) and returns a pivot  $(i, j)$  for  $M[p : q][r : s]$ .

This paragraph is there just to define a type of submatrices that will have good properties to carry on a DAC recursive approach. For a submatrix  $M[p : q][r : s]$ , the *outer frame* of  $M[p : q][r : s]$  is the set of entries  $M[i][j]$  such that either  $i = p$  or  $i = q - 1$  or  $j = r$  or  $j = s - 1$ . An entry  $(i, j)$  of  $M$  is called an *exterior neighbour* of  $M[p : q][r : s]$  if  $(i, j)$  is not in  $M[p : q][r : s]$  but is adjacent to an entry in  $M[p : q][r : s]$  (such a neighbour being necessarily in the outer frame of  $M[p : q][r : s]$ ). We let  $z$  be the maximal value over all entries of the outer frame of  $M[p : q][r : s]$ . Then the submatrix  $M[p : q][r : s]$  is called *good* if it has no exterior neighbour that is strictly larger than  $z$ . Note that in particular  $M = M[0 : I][0 : J]$  is a good submatrix of  $M$  (in this case we have no exterior neighbour).

Let  $M[p : q][r : s]$  be a good submatrix of  $M$ , and let  $(i, j)$  be a pivot of  $M[p : q][r : s]$ . Note that for  $q - p \leq 4$  or  $s - r \leq 4$ , the set  $F(p, q, r, s)$  exactly covers all entries of  $M[p : q][r : s]$ . Hence (since we consider a good submatrix) the entry  $(i, j)$  is a peak of the whole matrix  $M$ .

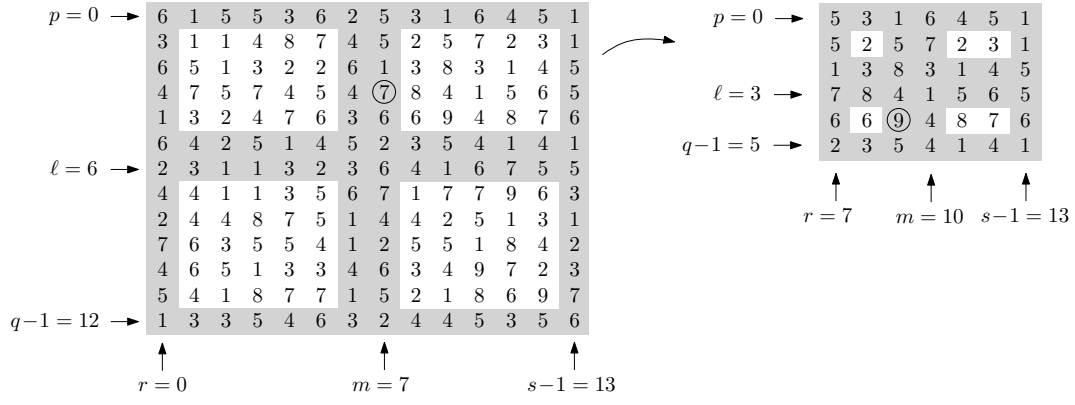


FIGURE 5 – The DAC approach to find a peak, illustrated on a  $13 \times 14$ -matrix  $M$ . The first step is to look for a global maximum in the ‘frame’  $F(0, 13, 0, 14)$ . The maximal value is 7 and is reached 5 times in the frame, we choose the one at position (3, 7) as the pivot. Since it is not a peak of the matrix (the right neighbour is larger), we have to recurse in the quadrant containing the pivot, i.e., the submatrix  $M[0 : 6][7 : 14]$ . The maximal value in  $F(0, 6, 7, 14)$  is uniquely attained at position (4, 9), which is a peak of  $M$ . We return (4, 9) as a peak position of  $M$ .

If  $q - p > 4$  and  $s - r > 4$ , we let  $\ell = \lfloor (p + q)/2 \rfloor$  and  $m = \lfloor (r + s)/2 \rfloor$ , and let  $(i, j)$  be a pivot of  $M[p : q][r : s]$ . Note that  $M[p : q][r : s]$  is partitioned into the 4 submatrices  $M[p : \ell][r : m]$ ,  $M[p : \ell][m : s]$ ,  $M[\ell : q][r : m]$ ,  $M[\ell : q][m : s]$ , which correspond respectively to the upper-left, upper-right, lower-left and lower-right quadrant within  $M[p : q][r : s]$ . Let  $Q$  be the one of the four quadrants that contains  $(i, j)$  (in the first drawing of Figure 5 it is the upper-right quadrant, while in the second drawing it is the lower-left quadrant). The crucial point is that, by the properties of the pivot,  $Q$  is also a good submatrix. Hence if  $(i, j)$  is not already a peak of  $M$ , then we can recurse to the quadrant  $Q$ .

**Question 9.** Write a DAC method `peak2d(M)` that returns a peak position of a matrix  $M$ , following the approach shown in Figure 5. As in the 1D case, it is convenient to write an auxiliary method `peak2d_aux(M, p, q, r, s)` that returns a peak of  $M$  within a submatrix  $M[p : q][r : s]$  that is assumed to be a good submatrix.

**Exercise (ungraded).** Using the master theorem you can check that the complexity of this algorithm is  $O(n)$ , again a significant improvement over the naive iterative method, which runs in time  $O(n^2)$ .