

# Boundary and interface correlators, algebras, and spin chains in 4d N=4

Mykola Dedushenko  
SCGP, Stony Brook

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Southeast University

Subject: local operators at BPS boundaries and interfaces in 4d N=4 SYM

More precisely, boundaries and interfaces preserving 3D N=4 SUSY

Goal: compute boundary and interface correlators (and more)

3D N=4 theories have well-studied protected sectors

[Chester-Lee-Pufu-Yacoby, Beem-Peelaers-Rastelli, MD-Fan-Pufu-Yacoby...]

4D N=4 theories have well-studied SUSY boundary conditions [Gaiotto-Witten]

Combine the two subjects: protected sectors at half-BPS boundaries

[also some progress by Wang and Komatsu]

Protected sector: pick  $Q$  (think of " $Q+S$ " in flat space SCFT)

$Q^2 = \underline{\text{rotation}} + R\text{-symmetry}; \text{study cohomology.}$

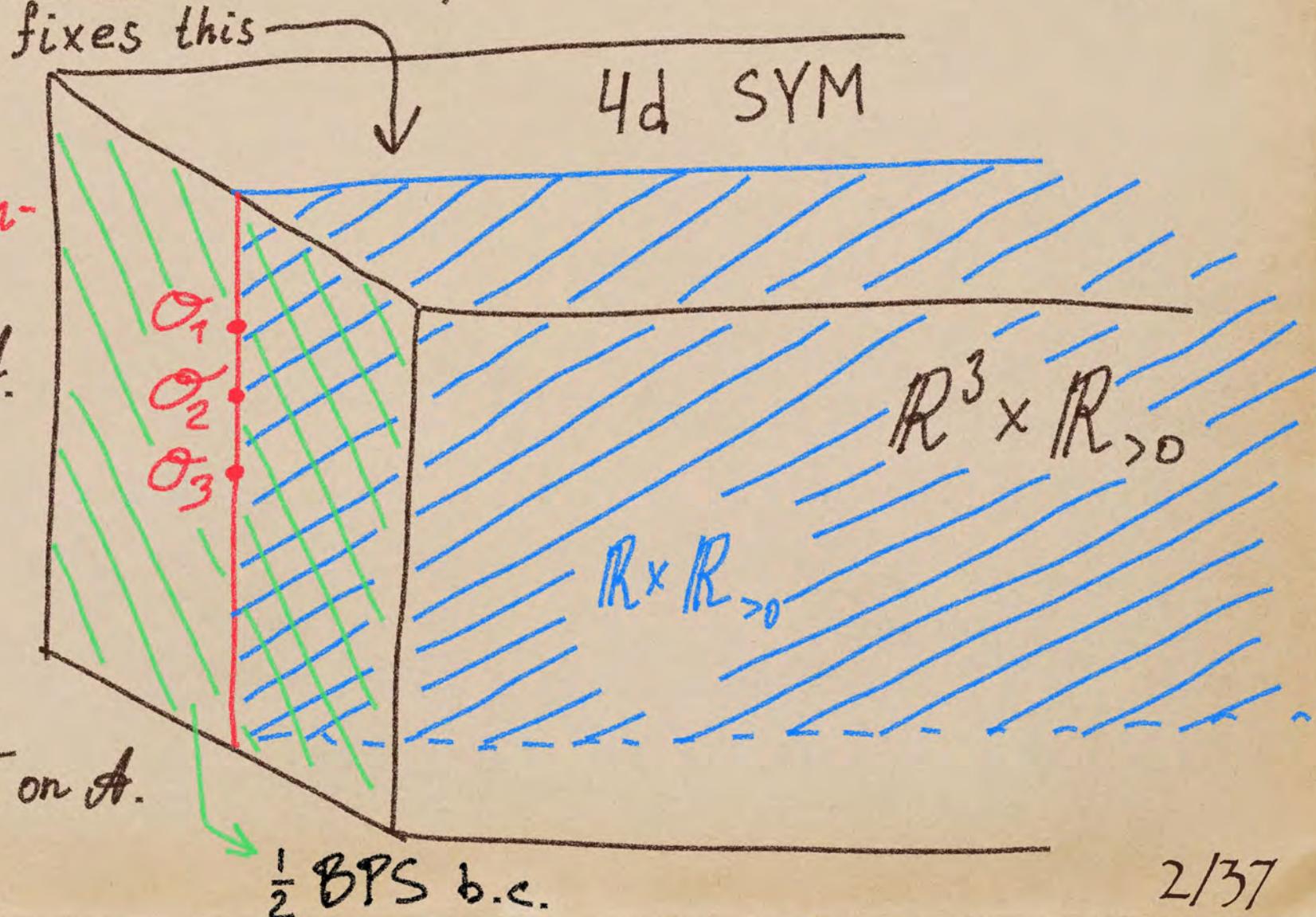
\* Bulk operators form a commutative algebra  $\mathcal{B}$ .

\* Boundary operators form a non-commutative algebra  $\mathcal{A}$ .

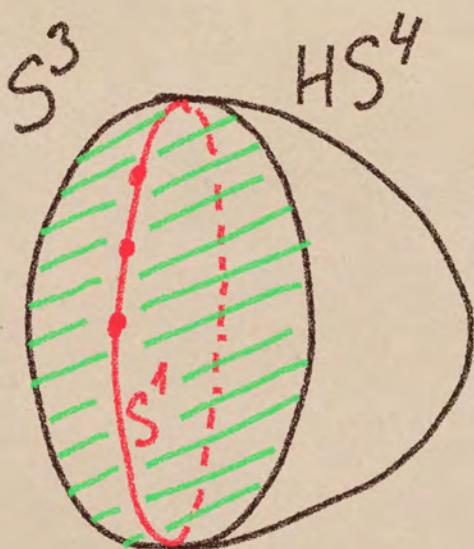
\* Bulk-boundary morphism  $\varphi: \mathcal{B} \rightarrow \mathcal{A}$ .  
 $\varphi(\mathcal{B}) \subset Z(\mathcal{A})$

\* Study correlators on  $(H)S^4$ .

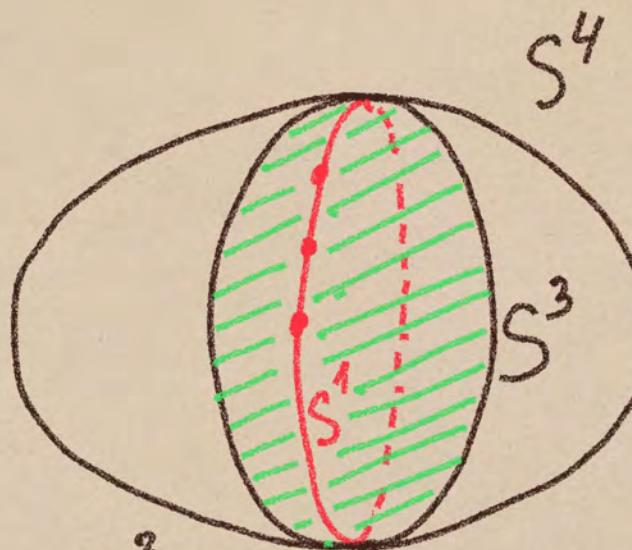
\* Boundary correlators are encoded in a twisted trace  $T$  on  $\mathcal{A}$ .



Sphere setup:



or



Operators are inserted on  $S^1 \subset S^3 \Rightarrow$  appearance of trace.  
(twisted by  $\mathbb{Z}_2 \subset \text{SU}(2)$  R-symm. and boundary flavor symm.)

$$S^3 = \partial HS^4$$

$$U \quad U$$

$$S^1 = \partial HS^2$$

$HS^2$  supports a 2d theory

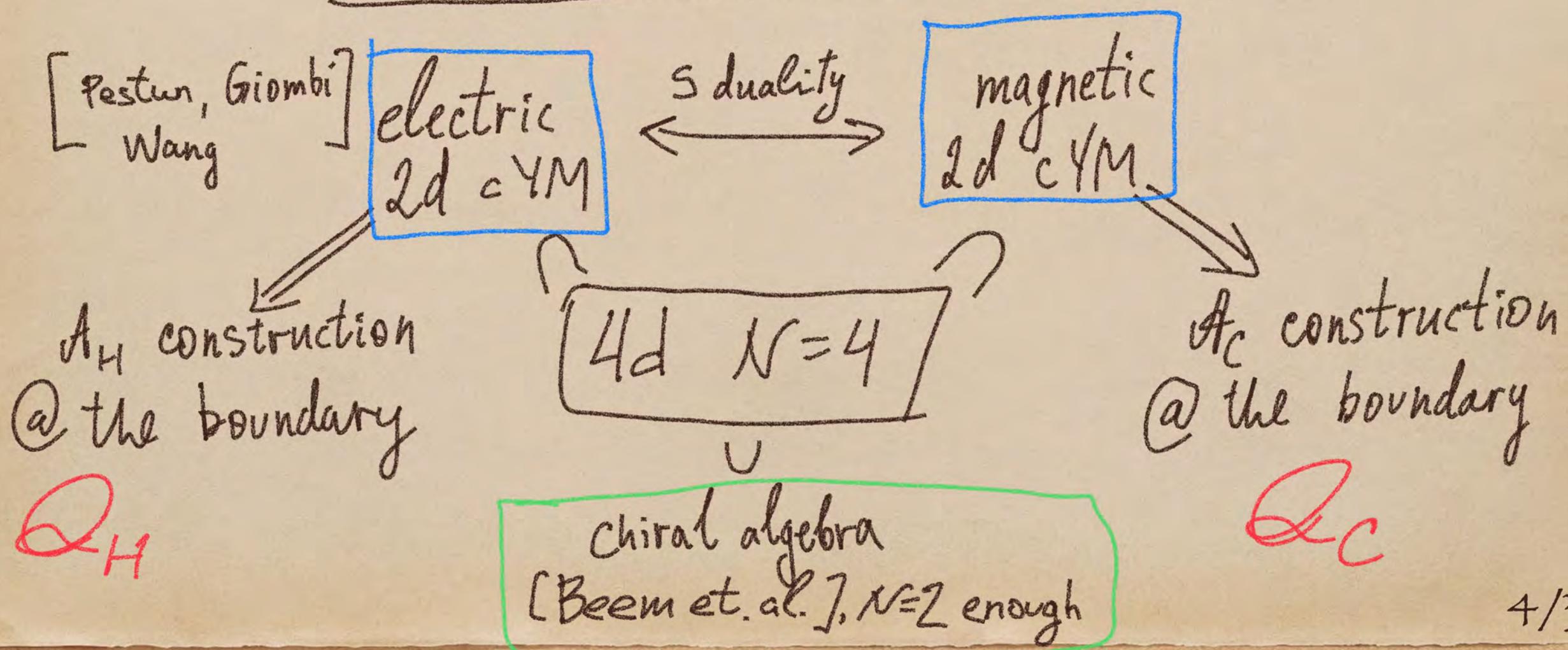
## What we will find

- \* Associative algebra  $A$  with a twisted trace  $T$ .
- \* Encodes boundary correlators.
- \* Correlators :  $\langle \theta_1 \dots \theta_n \rangle = T(\theta_1 \dots \theta_n)$ .
- \* Examples:  $U(g)$ ,  $W(g, e)$ ,  $V(g, N)$ .
- \* Coproduct of  $V(g, N)$  plays important role.  
$$T[u; N_1 + N_2]_c^a \mapsto T[u; N_1]_b^a T[u; N_2]_c^b$$

→ allows to construct traces from simple building blocks

- $Q^2 = \frac{\text{rotation}}{\hookrightarrow \text{fixed locus}} + R\text{-symmetry}$   
 $\hookrightarrow$  fixed locus supports a lower-dimensional QFT.

### Protected Sectors in 4d $N=4$ SYM



We are looking for:  $(A_H, T_H)$  and  $(A_C, T_C)$

- Higgs and Coulomb branch sectors on the half-space.
- $A_H: \frac{1}{2}\mathbb{Z}$ -filtered associative algebra;  $T_H: A_H \rightarrow \mathbb{C}$  - twisted trace.  
 $T_H(xy) = T_H(e^{-m}(-1)^{2R_H} y \ x)$
- $(A_H, T_H)$  encodes boundary correlators for electric 2d cYM
- Same for  $(A_C, T_C)$ : boundary correlators for magnetic 2d cYM.

\* In 3d,  $(A, T)$  encodes equivariant short  
star-product on a hyper-Kähler cone  
(Etingof-Stryker)

\* In the 4d/3d system,  $(A, T)$  still encodes  
quantization, BUT the underlying space  
is Poisson  $\leftarrow$  moduli of vacua on  $\mathbb{R}^3 \times \mathbb{R}_+$ .

# Example #1

- Dirichlet boundary conditions
- ⇒ Dirichlet b.c. in 2d cYM  $\Rightarrow$  pert. 2d BF on  $\mathbb{D}^2$   
(w/  $B @ \partial \mathbb{D}^2$ )
- ⇒ Poisson sigma-model into  $\mathcal{G}_C^*$
- ⇒ Kontsevich  $\star$ -product (Cattaneo-Felder)

$\Rightarrow$  Quantization of  $g_c^* = U(g_c)$ .

$A_H = U(g_c)$  - algebra of boundary operators

Trace  $T_+$  is determined by its value on the center  $Z[U(g_c)]$   
(follows from Ward id's)

# Algebra of bulk operators : (in 2d YM)

$\mathcal{B}_H$  = gauge-inv. poly in  $F_{\mu\nu} = F_{12}$

Can be thought of as  $\mathbb{C}[g]^{\mathcal{A}} \simeq \mathbb{C}[t]^W$

## Bulk-boundary map:

$$\rho_H: \mathcal{B}_H \rightarrow \mathcal{A}_H; \quad \underline{\rho_H(\mathcal{B}_H) \simeq \mathbb{Z}[\mathcal{A}_H]}$$

For  $U(g_C)$ ,

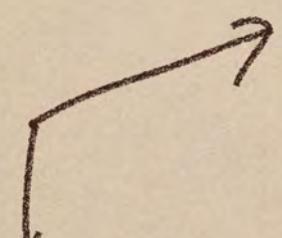
$$\rho_H: \mathbb{C}[t]^W \xrightarrow{\sim} \mathbb{Z}[U(g_C)]$$

→ Harish-Chandra isomorphism

→ encodes physics of the map  $\rho_H$

Trace can be expressed through traces  
on Verma modules  $\tilde{V}_\lambda$  of  $U(\mathfrak{g}_C)$ :

$$T_H(\sigma) = \# \int_{\mathfrak{t}^*} [da] e^{-\frac{i\pi}{\tau} \text{Tr}(a^2)} \Delta(a) \overline{\text{Tr}}_{\tilde{V}_{-ia-\rho}} \left( e^{-2\pi m \cdot B} \uparrow \sigma \right)$$


 $\uparrow$       boundary mass       $B \in \mathfrak{g}_C$

$\Delta(a) = \prod_{\alpha \in \Phi_+} \langle \alpha, a \rangle$

GNO dual  
(or L)

- This is compatible with S-duality
- $T_H$  &  $T_C$  are finite linear combinations of traces over the Verma modules in 3d. [Gaiotto-Okazaki]

Here: 4d/3d system; continuous linear comb.

## Example #2

→ Neumann b.c. enriched by a 3d theory  $\mathcal{T}$ .

Equivalent description:

- 1) Take [Dirichlet]  $\otimes \mathcal{T}$
- 2) Gauge  $\text{Diag}(G \times G)$  via a 3D vector multiplet

3D gauging corresponds to quantum Hamiltonian reduction of  $A_H$ .

$$\begin{aligned} A_H &= \left( A_H(\tau) \otimes \frac{U(g_c)}{(u)} \right)^g \\ &= [cA_H(\tau)]^g \end{aligned}$$

$A_C$  is obtained from  $A_C(\mathcal{T})$   
as a central extension:

$$0 \rightarrow \mathbb{C}[t]^N \xhookrightarrow{\text{bulk-boundary map}} A_C \longrightarrow A_C(\mathcal{T}) \rightarrow 0$$

$\boxed{\text{"}A_C \text{ is obtained from } A_C(\mathcal{T}) \text{ by promoting } \underline{\text{masses}} \text{ to dynamical fields"}}$

Writing trace is easy.

Skip to save some time.

## Example # 3

→ Nahm pole b. c.

$\vec{X} \sim \frac{\vec{t}}{\vec{y}}$  ← su(2) triple  
corresponding to

Triplet of  $SU(2)_H$        $\rho: SU(2) \rightarrow \mathcal{G}$

\*A modification of Dirichlet b.c.;  $\mathcal{A}_C \simeq \mathbb{C}$ ;  $\mathcal{A}_H$  modified.

We find:

→ [Space of boundary operators]

12  
[Regular functions on Slodowy slice  $S_{t_+}$ ]

moduli space of  
Nahm's eqn's  
on  $\mathbb{R}^3 \times \mathbb{R}_+$

Fact:  $S_{t_+}$  has a natural Poisson structure; [Gan-Ginzburg]  
Quantization → finite  $W$ -algebra [I. Losev]

## New challenges:

- $SU(2)_H$  R-symmetry mixes with gauge symmetry at the boundary  
 $\Rightarrow$  boundary R-charges are shifted.
- Singularity restricts boundary values of fields.
- Identification of boundary operators is interesting.

## Conjecture

$A_H \{ \text{Nahm pole } p \} \simeq \text{finite } W\text{-algebra}$   
 $W(\mathfrak{o}_c, t_+)$

→ Reminder:  $p$  determines grading on  $\mathfrak{o}_c$ ,  
 $n \in \mathfrak{o}_c$  — nilpotent subalgebra of  $\deg < 0$ .

$W(\mathfrak{o}_c, t_+)$  is roughly a quantum Hamiltonian reduction  
of  $U(\mathfrak{o}_c)$  over  $n$ .

The most convincing check:

S-dual: Neumann b.c. +  $T_g[G^\vee]$

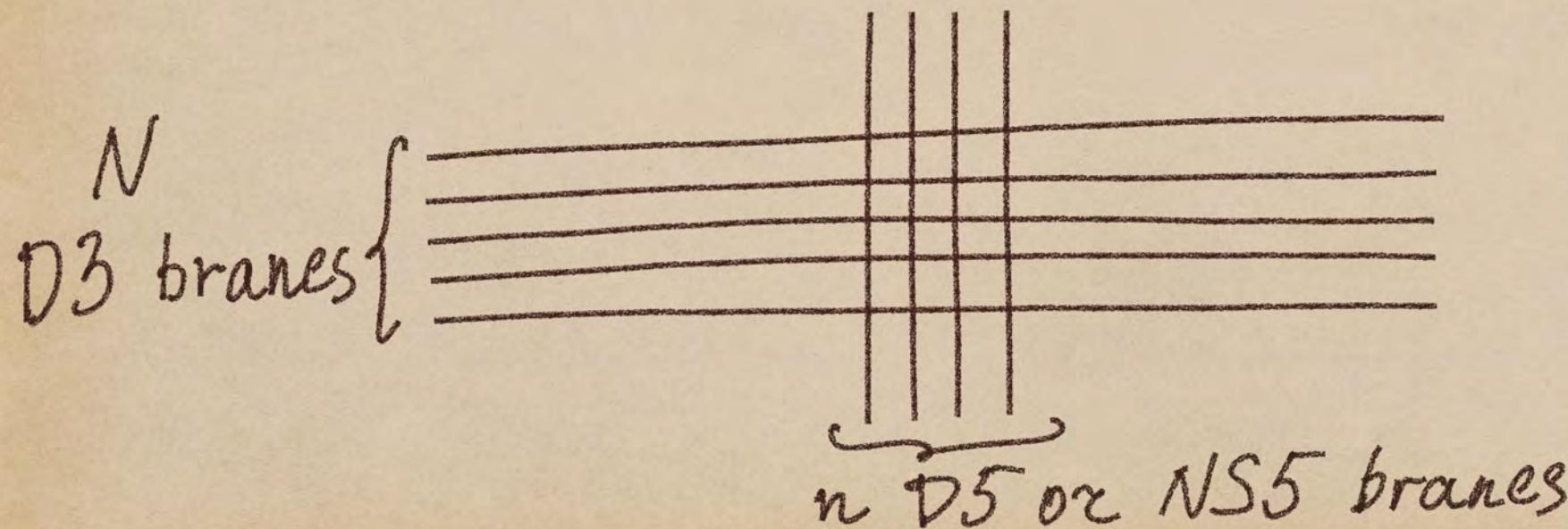
e.g. for  $SU(N)$ :  $v_{k-1} - v_{k-2} - \dots - v_1 - N$

This theory is known to have  
(central quotient) of  $W(g_C, t_+)$  for its  $A_C$ .

- Can also write trace as a continuous linear combination of Verma traces.
- A fact to appreciate: a Higgs/Coulomb branch of vacua on  $\mathbb{R}^3 \times \mathbb{R}_{>0}$  is parametrized by the vevs of the appropriate boundary operators.

# Interfaces

(Example # 4)



$$A_H(n \text{ D5's})$$

$R$

$$A_C(n \text{ NS5's})$$

$A_H(n D5's) \equiv A_{N,n}$  admits description as  
quantum Hamiltonian reduction of:

$$U(\mathfrak{gl}_n) \otimes W^{Nn} \otimes U(\mathfrak{gl}_n)$$

↑    ↑  
 Left D3 branes    Right D3 branes  
 generators  $(B_-)_\alpha^P$                                     generators  $(B_+)_\alpha^P$   
 n fund. hypers  
 at the interface  
 Generators  
 $X_\alpha^\alpha, Y_\beta{}^\beta \leftarrow$  Weyl algebra

## Yangian Truncation.

Recall:  $\mathbb{Y}(gl_n)$  is generated by  $T[z]_a^b = \delta_a^b + \sum_{n=1}^{\infty} \frac{t^{(n)} a^b}{z^n}$ ,  $a, b = 1 \dots n$ , s.t.

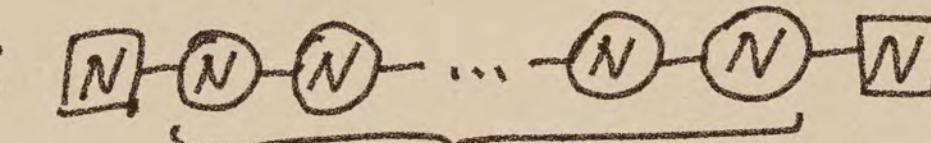
$$(z-w) [T[z]_a^b, T[w]_c^d] = T[z]_c^b T[w]_a^d - T[w]_c^b T[z]_a^d$$

Define: •  $T[z] = 1 - X \frac{1}{z - B_+} Y$       or      •  $T[z] = 1 + X \frac{1}{z + B_-} Y$

These obey Yangian relations, give surjective morphisms:  $\mathbb{Y}(gl_n) \rightarrow A_{N,n}$

- "Isomorphism" in the large- $N$  limit.
- $T_H$  on  $A_{N,n}$  induces traces on  $\mathbb{Y}(gl_n)$ .

On the S-dual side look at  $\mathcal{A}_c$  (n NS5's).

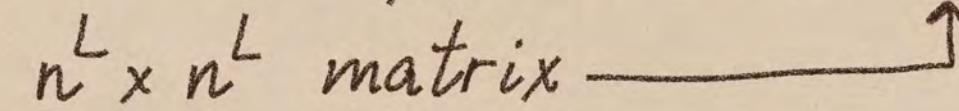
Engineered by a 3d  $N=4$  quiver:   
 $n-1$  gauge nodes

- 3d algebra  $\mathcal{A}_c$  is a truncation of  $Y(sl_n)$  [ Bullimore-Dimofte-Gaiotto, Braverman-Finkelberg-Nakajima ]
- Couple to the bulk by promoting masses to fields  $\Rightarrow$  truncation of  $Y(gl_n) = \mathcal{A}_c = A_{N,n}$
- Apply [MD-Fan-Pufu-Yacoby] to find the trace.  $\Rightarrow$  Representation of  $Y(sl_n)$  in terms of shift operators as in [Gerasimov-Kharchev-Lebedev-Oblezin].
- We will compute  $\langle T[z_1]_{a_1}^{b_1} T[z_2]_{a_2}^{b_2} \dots T[z_L]_{a_L}^{b_L} \rangle$  from the Coulomb branch.

Let me first sketch the answer:

$$a_i, b_i = 1 \dots n$$

$$\langle T[z_1]_{a_1}^{b_1} T[z_2]_{a_2}^{b_2} \dots T[z_L]_{a_L}^{b_L} \rangle = \langle a_1, \dots, a_L | M_L | b_1, \dots, b_L \rangle,$$

$n^L \times n^L$  matrix 

Interpret  $M_L$  as acting on  $\mathbb{C}^{n^L}$ , a Hilbert space of the  $sl_n$  spin chain of length  $L$ .

$M_L$  = linear combination of transfer matrices.

We will write  $M_L$  for  $n=2$  explicitly

→ inhomogeneous XXX spin chain.

c.f. Bethe/Gauge  
correspondence of  
Nekrasov-Shatashvili

## Remark:

- Why do integrable spin chains appear?
  - (1) Finite-dimensional representations of the Yangian  $\leftrightarrow$  spin chains.
  - (2) Traces in finite-dim. repr. generate the algebra of traces.\*
- Such traces are related to transfer matrices.
- We will also use special properties of truncated Yangians  
(otherwise — too hard)

\* Coproduct defines a commutative product on traces.

- One can build general traces starting from the traces over evaluation modules.
- Evaluation module of  $V(g)$  is constructed from a given  $g$ -module:

$L$ -operator:  $\overset{n \times n}{\downarrow} \quad \underset{\substack{\text{generators of } sl_n \\ \text{elementary } sl_n \\ \text{matrices}}}{L(z) = z \cdot \mathbb{1} + \sum E_{ij} \otimes T_{ij}}$

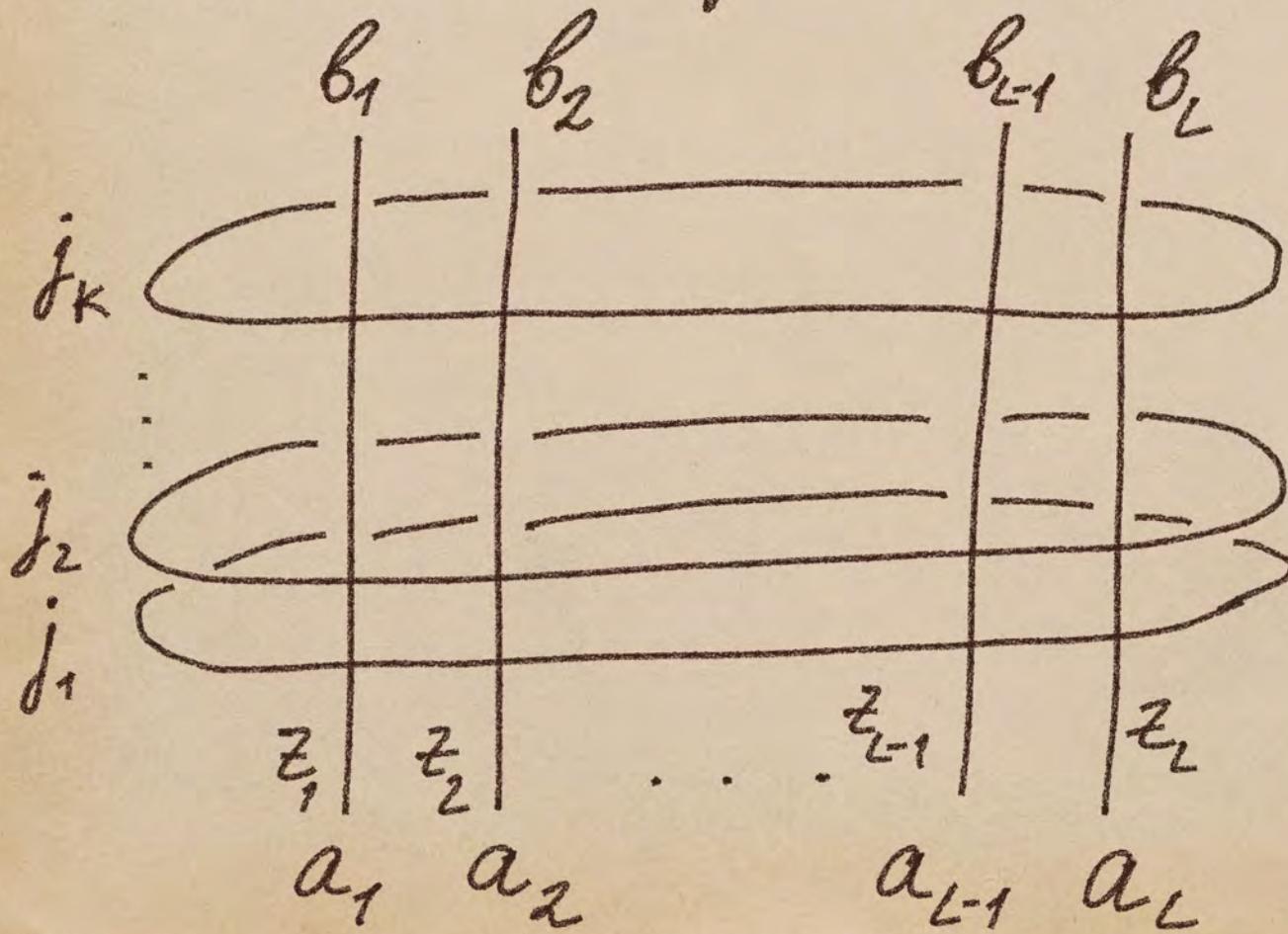
Satisfies:  $R(x-y)(L(x) \otimes \mathbb{1})(\mathbb{1} \otimes L(y)) = (\mathbb{1} \otimes L(y))(L(x) \otimes \mathbb{1})R(x-y)$

$$R(z) = z + P: \mathbb{C}^n \times \mathbb{C}^n \rightarrow \mathbb{C}^n \times \mathbb{C}^n;$$

•  $L(z)$  determines an eval. module:  $T[z] \mapsto \frac{1}{z} L(z)$

Pictorially:  $\mathbb{L}(z)_a^b = \sum_{j=1}^L j$ ,  $j$  labels  $sl_n$  rep.

Twisted trace of  $T[z_1]_{a_1}^{b_1} \dots T[z_L]_{a_L}^{b_L}$  in  $[j_1] \otimes \dots \otimes [j_K]$ :



Matrix element  
of a product of  
K transfer matrices  
of a length - L  
periodic inhomogeneous  
 $sl_n$  spin chain.

\* Explain co-product.

can skip/

! In general, the space of traces on  $Y(\mathfrak{sl}_n)$  is huge, and we'd rather not work with it.

We have  $Y(\mathfrak{sl}_n) \rightarrow \mathcal{A}_C$  [quiver theory], and we only need traces on this algebra. It has finitely many Verma modules. We need traces over those!

Additional input:  $\mathcal{A}_C$  [quiver theory] = "truncated Yangian"  
It also admits the coproduct [thesis of A. Weekes]

Consider  $n=2$ . [ $\mathcal{Y}(ogl_2)$ ,  $\mathcal{Y}(sl_2)$ , two fivebranes]

Using techniques of [MD-Fan-Pufu-Yacoby], can directly compute  $\langle T[z_1]_{a_1}^{b_1} \dots T[z_L]_{a_L}^{b_L} \rangle^*$ .

It turns out that...

The answer involves  $N$   $sl_2$  Verma modules.

I.e.,  $[j_1], \dots, [j_N]$  - eval. modules corresp. to  $sl_2$  Verma modules of h.w.  $j_m$ .

\* Only compute some correlators; enough to fix the answer.

Let me give more details. The quiver:  [U(N) SQCD]

Call its  $A_C$  algebra  $A_{N;2}[m]$ .

- First solve the  $N=1$  case using [MD-Fan-Pufu-Jacoby]

$\rightarrow A_{1;2}[m]$  has two Verma modules  $\Rightarrow$  two traces: [and two vacua]

$$Q_+(\vec{z} - \mu_1 \vec{e}) Q_- (\vec{z} - \mu_2 \vec{e}) \text{ and } Q_+(\vec{z} - \mu_2 \vec{e}) Q_- (\vec{z} - \mu_1 \vec{e})$$

$$2 \sinh(\pi\zeta) \prod_{i=1}^2 \left( \vec{z} - \frac{\mu_i + M_i}{2} \vec{e} \right) \quad 2 \sinh(\pi\zeta) \prod_{i=1}^2 \left( \vec{z} - \frac{\mu_i + M_2}{2} \vec{e} \right)$$

$\rightarrow$  Here  $T_\alpha^+(\vec{z})$  is a transfer matrix for the Verma module of h.w.  $\alpha$

$\rightarrow \vec{z} = (z_1, \dots, z_L); \vec{e} = (1, \dots, 1); M_1, M_2$ -masses;  $Q_\pm$ -Baxter's  $Q$ -operators.

- Use the coproduct  $A_{N;2}[m] \rightarrow A_{1;2}[m] \otimes \dots \otimes A_{1;2}[m]$  to argue:

$\prod_{a=1}^N Q_+(\vec{z} - \mu_{\sigma(a)} \vec{e}) Q_- (\vec{z} - \mu_{\sigma(a+N)})$ ,  $\sigma \in \frac{S_{2N}}{S_N \times S_N}$  are the  $\binom{2N}{N}$  Verma traces

a trace on  $A_{1;2}[m]$

Gaiotto-Okazaki '19

$\left[ \binom{2N}{N} \text{ choices: which } N \text{ out of } 2N \text{ masses appear inside } Q_+ \text{'s} \right]$

Using localization results allows to uniquely determine the linear comb.

$$M_L = \sum_{\delta \in \frac{S_{2N}}{S_N \times S_N}} \frac{i^{-N^2} e^{i\pi \zeta \sum_{j=1}^{2N} \mu_j}}{(2 \sinh \pi \zeta)^N \prod_{a=1}^N \prod_{k=N+1}^{2N} 2 \sinh \pi (\mu_{6(a)} - \mu_{6(k)})} \prod_{a=1}^N Q_+(\vec{z} - \mu_{6(a)} \vec{e}) Q_-(\vec{z} - \mu_{6(a+N)} \vec{e})$$

⇒ Twisted trace on  $\mathcal{Y}[sl_2]$  [answer for a 3d theory]

Coupling this to the bulk, and after some combinatorics...

$$M_L = \frac{1}{N!} \int_{R^N \times R^N} [d\mu^L] [d\mu^R] \tilde{e}^{-\frac{-i\pi \text{tr}(\mu^L)^2 - i\pi \text{tr}(\mu^R)^2 + 2\pi i \zeta \sum_{a=1}^N \bar{\mu}_a}{\tau}} \frac{\Delta(\mu^L) \Delta(\mu^R)}{\prod_{j=1}^N \frac{T_{-\frac{1}{2} + i(\mu_j^L - \bar{\mu}_j)}^+(\vec{z} - \bar{\mu}_j \vec{e}) - T_{\frac{1}{2} + i(\mu_j^R - \bar{\mu}_j)}^+(\vec{z} - \bar{\mu}_j \vec{e})}{2i \sinh \pi (\mu_j^L - \mu_j^R)}}$$

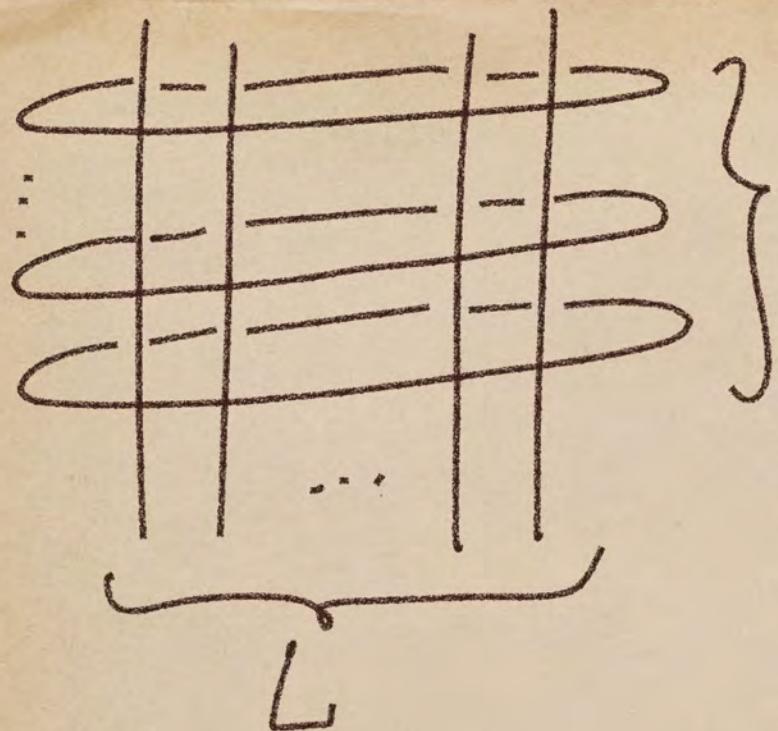
Here  $\bar{\mu}_a = \frac{1}{2}(\mu_a^L + \mu_a^R)$ .

⇒ Twisted trace on  $\mathcal{Y}[gl_2]$  [answer for an interface theory]

Remarkably explicit answer!

$Q_{\pm}$  can be computed algorithmically  
using the results of [Bazhanov-Lukowski-]  
(as traces over an auxiliary) [-Meneghelli-Staudacher]  
oscillator Fock space)

Compute  $Q_{\pm}$  for each  $L \Rightarrow$  answers for  $\forall N$   
Can do large- $N$  etc... [especially for the interface,  
complicated matrix integral...]



$\} N \leftarrow$  the same as  
# of D3 branes!

Ask me: relation to 4d CS.

To do: generalize to  $n > 2$

To do: Analyze the large- $N$  limit of the interface correlators.  
Study AdS dual.

To do: this is a good setting for another example of  
twisted holography (both 2d YM and 1d TQM at the interface)  
/c.f. Ishtiaque - Faroogh Moosavian - Zhou'18 /  
Faroogh Moosavian - Zhou'21

Thank you!