

# Homework 5

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1.

$$\epsilon = 0.15, \delta = 0.05, |H| = C_{100 \times 100}^3 = 166616670000$$

$$\therefore m \geq \frac{1}{\epsilon} (\ln |H| + \ln \frac{1}{\delta})$$

$$\therefore m \geq 193$$

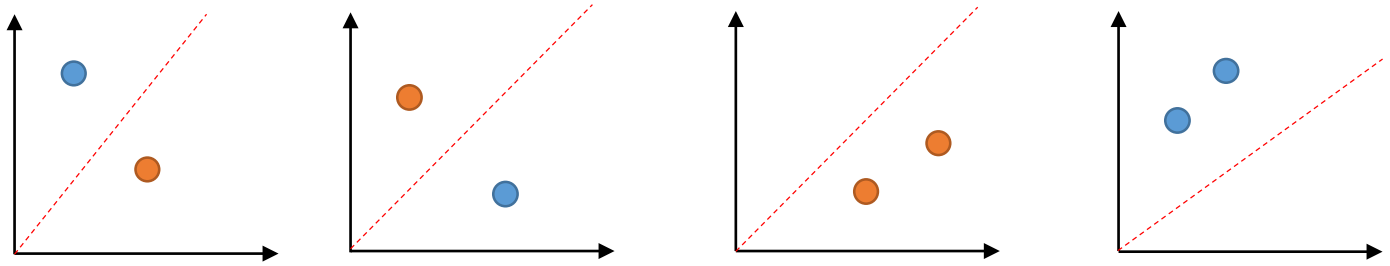
2.

Define:

$$h(\mathbf{x}) = \begin{cases} +1 & \text{if } a \leq \arctan\left(\frac{x_j}{x_i}\right) \leq b \\ -1 & \text{otherwise} \end{cases}$$

Here:  $\mathbf{x}$  are 2D points ( $i = 1, j = 2$ ), and  $a = 0^\circ$  **or**  $b = 90^\circ$  acting as lower bound or upper bound, another bound is  $\arctan(\mathbf{k})$ ,  $\mathbf{k}$  is the slope of the linear hypoplane ( $\mathbf{y} = \mathbf{k}\mathbf{x}$ ).

(1) lower bound:  $VCdim(\mathbf{H}) \geq 2$



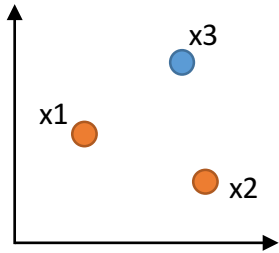
(2) upper bound:  $VCdim(\mathbf{H}) < 3$

Let  $\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3$  be arbitrary points s.t.

$$\frac{x_{12}}{x_{11}} > \frac{x_{32}}{x_{31}} > \frac{x_{22}}{x_{21}}$$

as shown in the picture below.

Let label  $y = (+1, +1, -1)$  and assume some  $h = [a, b]$  works.



since  $y_1 = +1$ , must have  $a \leq \arctan\left(\frac{x_{12}}{x_{11}}\right) \leq b$

since  $y_2 = +1$ , must have  $a \leq \arctan\left(\frac{x_{22}}{x_{21}}\right) \leq b$

As  $y = \arctan(\mathbf{x})$  is an increasing function, then according to the statement, we should have:

$$a \leq \arctan\left(\frac{x_{22}}{x_{21}}\right) < \arctan\left(\frac{x_{32}}{x_{31}}\right) < \arctan\left(\frac{x_{12}}{x_{11}}\right) \leq b$$

But  $y_3 = -1$  which is a contradiction.

Therefore,  $VCdim(\mathbf{H}) = 2$

3.

Technical solution:

**Main idea:**  $\sin(x) = -\sin(x + \pi)$ , in this way, if we want to change positive  $\sin(x)$  to negative one, we just need to add a  $\pi$

**My solution:**

(1) set the initial  $w = \pi$

(2) sort  $\mathbf{k}: \{k_1, k_2, k_3 \dots k_m\}$  in an increasing order,  $k_1 < k_2 < k_3 \dots < k_m$

(3) loop from  $k_1$  to  $k_m$ :

$$h_w(x) = \begin{cases} True & \text{if } \sin(wx) \geq 0 \\ False & \text{if } \sin(wx) < 0 \end{cases}$$

if  $h_w(x_i)$  is equal to  $label(x_i)$ , which means the classification is right, then, we do not change  $w$

else if  $h_w(x_i)$  is not equal to  $label(x_i)$ , which means the classification is wrong, then, we will **update  $w$**

$$\because wx_i + \pi = w_{update}x_i \text{ and } x_i = 2^{-k_i}$$

$$\therefore \mathbf{w}_{update} = \mathbf{w} + 2^{k_i}\pi$$

in this way, we can make sure that with  $\mathbf{w}_{update}$ ,  $h_w(x_i)$  is correctly classified.

(4) we should check, if we update  $\mathbf{w}$ , will the samples previous being checked stay correct?

The answer is **Yes**.

Previous checked samples  $\{x_1, x_2 \dots x_{i-1}\}$ , as we have sorted  $\mathbf{k}$  in an increasing order, that means:

$$k_1 < \dots < k_{i-1} < k_i$$

in this way, if we update  $\mathbf{w}_{update} = \mathbf{w} + 2^{k_i}\pi$

they will have  $\sin(\mathbf{w}_{update}2^{-k_j}) = \sin(\mathbf{w}2^{-k_j} + 2^{k_i-k_j}\pi)$

since  $k_j < k_i$ , then  $2^{k_i-k_j}\pi = 2n\pi$ ,  $n$  is integer

thus,  $\sin(\mathbf{w}_{update}2^{-k_j}) = \sin(\mathbf{w}2^{-k_j})$ , indicating that the classification of  $\{x_1, x_2 \dots x_{i-1}\}$  will not change

(5) after finishing the loop, we finish updating  $\mathbf{w}$ .

at last, only **the negative values** get  $w$  updated, which means:

$$\mathbf{w} = \pi(1 + \sum_{i=negative\_1}^{negative\_m} 2^{k_i})$$

***negative\_1*** means  $k_i$  corresponding to the first negative value with  $label = False$

***negative\_m*** means  $k_i$  corresponding to the last negative value with  $label = False$

(6) Note: if we change the initial value of  $\mathbf{w}$  between 0 and  $\pi$ , we will get a range of  $\mathbf{w}$

$$\mathbf{w} \in (\sum_{i=negative\_1}^{negative\_m} 2^{k_i}\pi, \pi(1 + \sum_{i=negative\_1}^{negative\_m} 2^{k_i})]$$