NEAT and HyperNEAT

Michal Pospěch & Daniel Crha March 21, 2019

Faculty of Mathematics and Physics, Charles University

Neuroevolution

Fixed Topology Evolution

- Searching the space of connection weights
- · Topology is given, does not change during evolution

Evolving Topology

- · Technical challenges:
 - good representation
 - not removing non-optimized network to early
 - minimisation of networks without need for a complexity function
- TWEANNs Topology and Weight Evolving Artificial Neural Networks

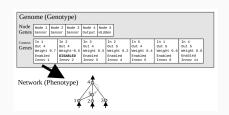
NEAT

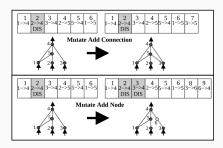
NEAT

- NeuroEvolution of Augmenting Topologies
- Stanley and Miikkulainen, 2002
- · solves all the issues aforementioned issues

Encoding and Mutation

- linear representations of network connectivity
 - 2 types of genes (nodes and connections)
 - · innovation number
 - node
- · 3 types of mutation
 - connection weight mutation
 - · new node
 - new connection

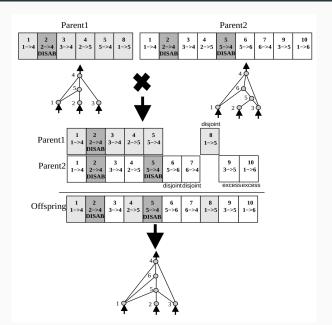




Historical Markings and Crossover

- innovation number
 - new gene via mutation → global innovation number++
 - used to line-up genomes during crossover
- crossover
 - · matching genes randomly
 - · all disjoint and excess genes

Crossover



Speciation

 population is divided into species based on compatibility history

$$\delta = \frac{c_1 E}{N} + \frac{c_2 D}{N} + c_3 \overline{W}$$

and compatibility threshold δ_t

 each population is assigned number of offsprings based on sum of its adjusted fitnesses

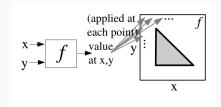
$$f'_{i} = \frac{f_{i}}{\sum_{j=1}^{n} \operatorname{sh}(\delta(i,j))}$$

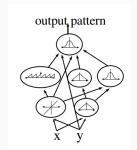
novel topologies are protected from extinction



Compositional Pattern Producing Networks

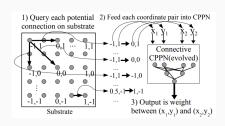
- represent repeating patterns in cartesian space
- · nodes are functions
- simple functions can be composed into networks producing complex patterns (repetition, symmetry)





HyperNEAT

- · CPPNs evolved via NEAT
- · nodes are given (2D grid)
- input: 2 points , output: weight of connection



Substrate

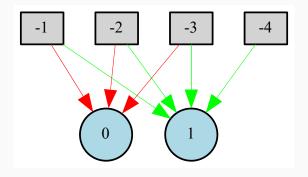
- types
 - · 2D grid
 - · 3D grid
 - sandwich (state-space sandwich)
 - circular
- · placement of inputs and outputs can be exploited
- · can be up/down-scaled

Performance and examples

Evaluation

- · used environment OpenAI Gym, Cartpole-v1
- our results (GIFs) https://imgur.com/a/4nLJ4oV
- other methods https://github.com/adibyte95/CartPole-OpenAI-GYM

NEAT and cartpole



HyperNEAT and cartpole

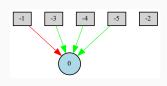


Figure 1: CPPN

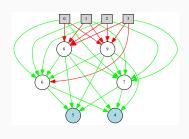


Figure 2: ANN

Comparison

Method	Evaluations	Generations	No. Nets
Ev. Programming	307,200	150	2048
Conventional NE	80,000	800	100
SANE	12,600	63	200
ESP	3,800	19	200
NEAT	3,600	24	150

Figure 3: Pole balancing results

Method	Evaluations	Generalization	No. Nets
CE	840,000	300	16,384
ESP	169,466	289	1,000
NEAT	33,184	286	1,000

Figure 4: Double pole balancing results

NEAT ablations

Method	Evaluations	Failure Rate
No-Growth NEAT (Fixed-Topologies)	30,239	80%
Nonspeciated NEAT	25,600	25%
Initial Random NEAT	23,033	5%
Nonmating NEAT	5,557	0
Full NEAT	3,600	0

Summary

- · all components of NEAT are important
- NEAT is better than other EAs in imitating evolution by "both optimizing and complexifying solutions"
- good for complex problems
- HyperNEAT good for input of high dimension (cartpole is too simple)