# **NEAT and HyperNEAT**

Michal Pospěch & Daniel Crha March 21, 2019

Faculty of Mathematics and Physics, Charles University

Neuroevolution

#### Fixed Topology Evolution

- Searching the space of connection weights
- · Topology is given, does not change during evolution

### **Evolving Topology**

- · Technical challenges:
  - good representation
  - not removing non-optimized network to early
  - minimisation of networks without need for a complexity function
- TWEANNs Topology and Weight Evolving Artificial Neural Networks

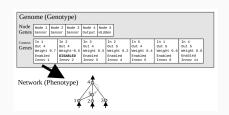
#### **NEAT**

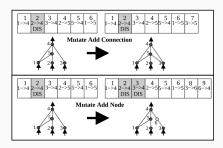
#### **NEAT**

- NeuroEvolution of Augmenting Topologies
- Stanley and Miikkulainen, 2002
- · solves all the issues aforementioned issues

#### **Encoding and Mutation**

- linear representations of network connectivity
  - 2 types of genes (nodes and connections)
  - · innovation number
  - node
- · 3 types of mutation
  - connection weight mutation
  - · new node
  - new connection

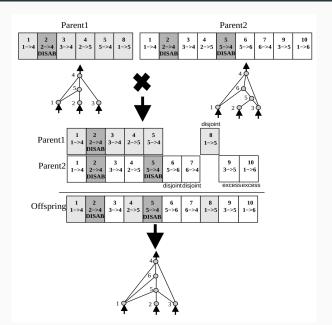




### Historical Markings and Crossover

- innovation number
  - new gene via mutation → global innovation number++
  - used to line-up genomes during crossover
- crossover
  - · matching genes randomly
  - · all disjoint and excess genes

#### Crossover



#### Speciation

 population is divided into species based on compatibility history

$$\delta = \frac{c_1 E}{N} + \frac{c_2 D}{N} + c_3 \overline{W}$$

and compatibility threshold  $\delta_t$ 

 each population is assigned number of offsprings based on sum of its adjusted fitnesses

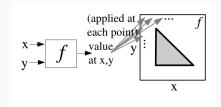
$$f'_{i} = \frac{f_{i}}{\sum_{j=1}^{n} \operatorname{sh}(\delta(i,j))}$$

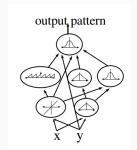
novel topologies are protected from extinction

# HyperNEAT

#### Compositional Pattern Producing Networks

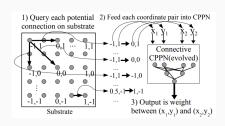
- represent repeating patterns in cartesian space
- · nodes are functions
- simple functions can be composed into networks producing complex patterns (repetition, symmetry)





#### **HyperNEAT**

- · CPPNs evolved via NEAT
- · nodes are given (2D grid)
- input: 2 points , output: weight of connection



#### Substrate

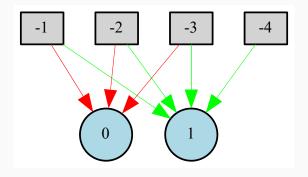
- types
  - · 2D grid
  - · 3D grid
  - sandwich (state-space sandwich)
  - circular
- · placement of inputs and outputs can be exploited
- · can be up/down-scaled

Performance and examples

#### Evaluation

- · used environment OpenAI Gym, Cartpole-v1
- our results (GIFs) https://imgur.com/a/4nLJ4oV
- other methods https://github.com/adibyte95/CartPole-OpenAI-GYM

# NEAT and cartpole



# HyperNEAT and cartpole

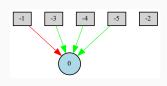


Figure 1: CPPN

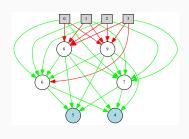


Figure 2: ANN

## Comparison

Method	Evaluations	Generations	No. Nets
Ev. Programming	307,200	150	2048
Conventional NE	80,000	800	100
SANE	12,600	63	200
ESP	3,800	19	200
NEAT	3,600	24	150

Figure 3: Pole balancing results

Method	Evaluations	Generalization	No. Nets
CE	840,000	300	16,384
ESP	169,466	289	1,000
NEAT	33,184	286	1,000

Figure 4: Double pole balancing results