# UNIVERSITY OF BAHÇEŞEHİR Department of Computer Engineering

#### CMP5133 Artficial Neural Networks - Assignment 2

### 1. Abstract

The subject of the assignment is to implement RBF network using two dimensional data, one for input, one for output. To implement RBF network, it is required to use hybrid lerning; K-means clustering algorithm will be implemented for unsupervised part, Single Layer Perceptron for the supervised part.

### 2. Implementation

I implemented the RBF network using R Studio. You can find the source code (Section 5) at the end of the document. To run the code successfully, you need to set input data directory as working directory. Source file and data should be in same folder.

Before implementing network, I didn't apply any preprocessing step.

For K-means, first I initiated centroids randomly. Then, I iterated process until no input data is assigned to different cluster (convergence). In case it doesn't converge, I limited iteration count to 10. I my tests, it always converged in less than 10 iterations. Each K-means iteration 3 steps:

- · Assign input values to clusters by finding the closest one to input
- Re-calculate centroid values
- If any input changed cluster, go step 1.

During K-means clustering, I also calculated the most distant inputs in clusters to calculate spread after it converges.

For Single Perceptron step, I first calculated perceptive field values, using centroid and spread values which are outputs of K-means process. For each K value (from 1 to 10), I applied basic single perceptron algorithm using epoch as 1000 and learning rate as 0.2 whose seem to be enough for every K value.

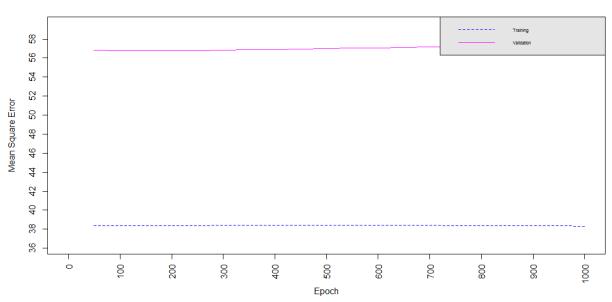
Results of RBF network are plotted and listed in the following section.

### 3. Plots

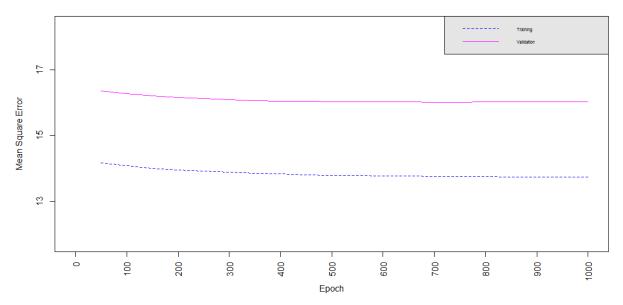
In this section, there are 3 types of plots; training and validation mean squared errors, training input and RBF network outputs, training data and network output together.

# 3.1 Training and Validation Mean Squared Errors

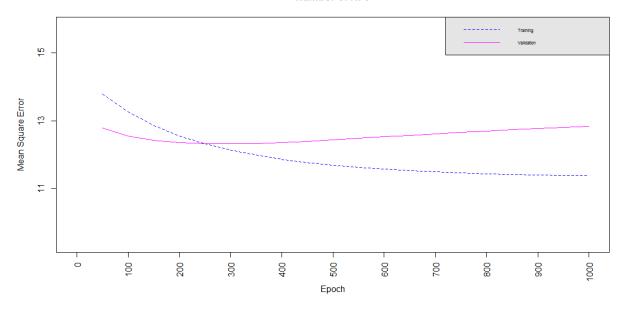
Number of K: 1



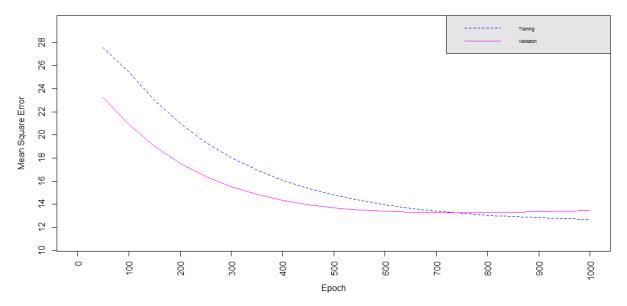
Number of K: 2



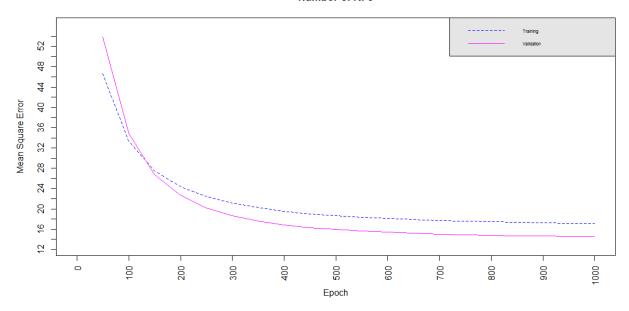
Number of K: 3



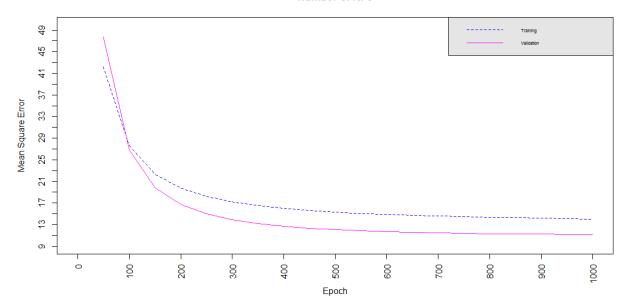
### Number of K: 4



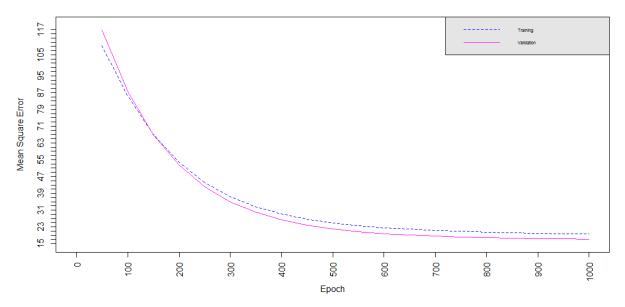
Number of K: 5



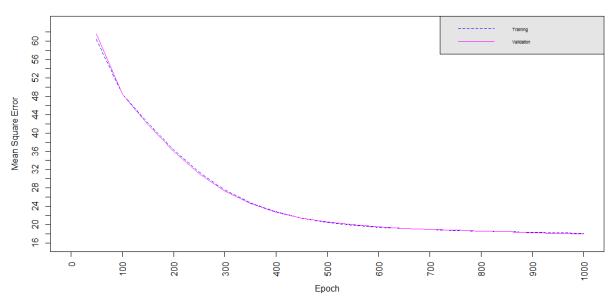
### Number of K: 6



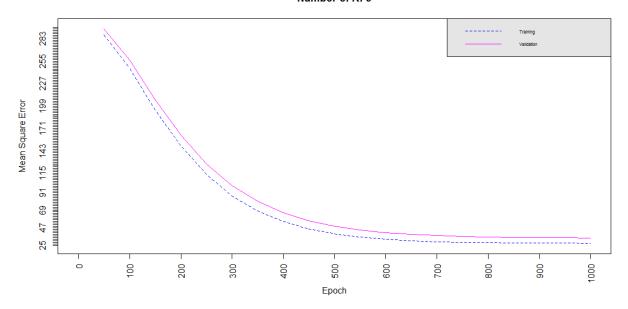
Number of K: 7



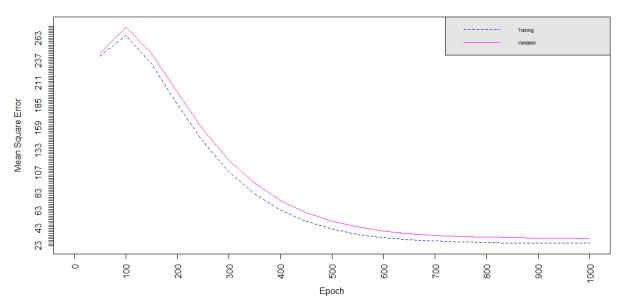
Number of K: 8



Number of K: 9



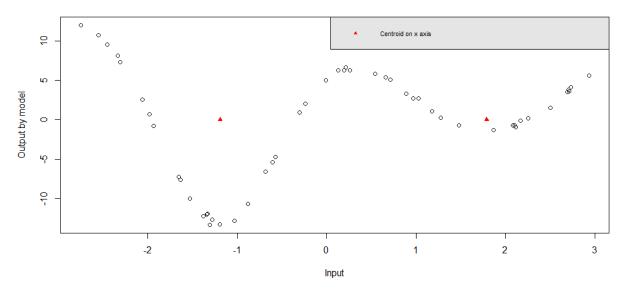
### Number of K: 10



# 3.2 Training Input and RBF Network Output

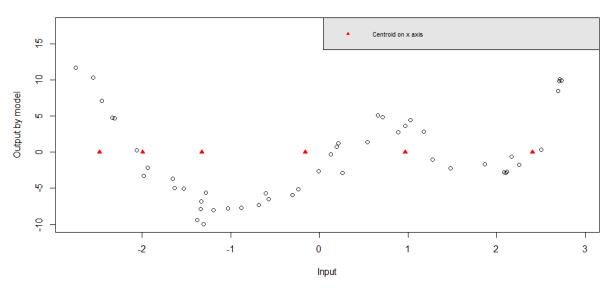
A. For underfitting

Number of K: 2



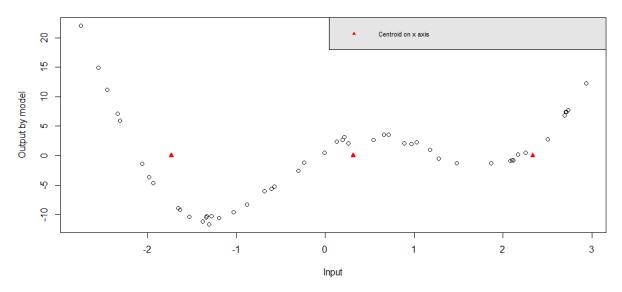
B. For good fit

Number of K: 6



C. For overfitting

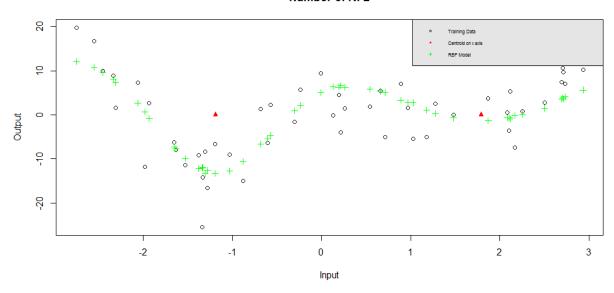
Number of K: 3



# 3.3 Training Data and RBF Values

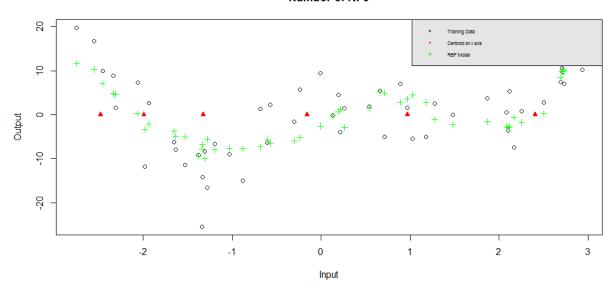
# A. For Underfitting

#### Number of K: 2



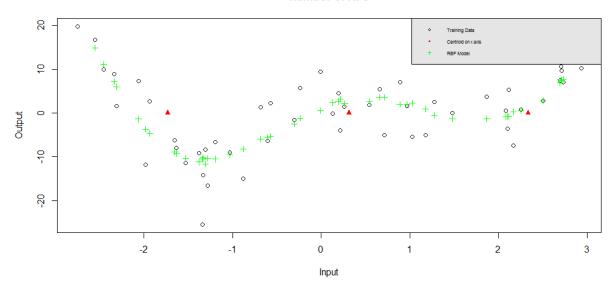
### B. For Good Fit

Number of K: 6



### C. For Overfitting





### 4. Conclusion

As a result of implementation of RBF network for regression, I can say that training and validation error decrease until K (number of clusters) value around 6, 7. Network fits best in K value 6 or 7. When K is increased more than 7, error tends to start again. But, these results highly depends on K-means centroid which are initialized randomly which can give a different result in every execution. These given results are general characteristics of data in RBF network.

Execution time of the training the network is quite fast. It takes 10 seconds avarage to finish for almost every K value (number of cluster).

### 5. Source Code

You can find the copied source code below. Source code and other material (data, plots, report) for this assignment is also available on github; <a href="https://github.com/yazaksamet/RBF">https://github.com/yazaksamet/RBF</a> .

```
#setwd("C:/Users/sametyazak/Desktop/ynwa/bau/2017 - Spring/ann/Assignment2")
#### hyper parameters
numberOfClusters = 10
epoch = 1000
lerningRateDefault = 0.2
epochLogNumber = 50
## read data
trainingData = read.table("d_reg_tra.txt")
trainingData = data.frame(trainingData, 0, 0)
colnames(trainingData) <- c("Input","Output","ClusterIndex", "ModelOutput")</pre>
testData = read.table("d_reg_val.txt")
testData = data.frame(testData, 0)
colnames(testData ) <- c("Input", "Output", "ClusterIndex")</pre>
trainingDataPlot = data.frame(trainingData$Input, trainingData$Output)
#plot(trainingDataPlot)
clusterCentroids = matrix(sample(c(0:0), numberOfClusters, TRUE), 1, numberOfClusters)
clusterSpreads = matrix(sample(c(0:0), numberOfClusters, TRUE), 1, numberOfClusters)
## assign initial centroids for K-means randomly
```

```
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for (c in 1:numberOfClusters) {
 randomCentroidIndex =sample(1:dim(trainingData)[1], 1);
 clusterCentroids[1,c] = trainingData[randomCentroidIndex,1]
}
numberOfClusterChanges = 0
kmeansIterationCount = 0
# iterate until no cluster changes or 10 iterations
while (kmeansIterationCount == 0 || (numberOfClusterChanges > 0 && kmeansIterationCount < 10))
 kmeansIterationCount = kmeansIterationCount + 1
 ## assign training data to clusters
 numberOfClusterChanges = 0
 clusterCentroidTotals = matrix(sample(c(0:0), numberOfClusters, TRUE), numberOfClusters, 2)
 for (i in 1:dim(trainingData)[1]) {
  ## find closest cluster
  innerClusterIndex = 0
  inputValue = trainingData[i,1]
  bestDistance = -1
  for (c2 in 1:numberOfClusters) {
   clusterDistance = abs(inputValue - clusterCentroids[1,c2])
   if (bestDistance == -1 | | clusterDistance < bestDistance) {
    innerClusterIndex = c2
    bestDistance = clusterDistance
   }
  }
```

```
# assign found cluster
  if (trainingData[i,3] != innerClusterIndex) {
   trainingData[i,3] = innerClusterIndex
   numberOfClusterChanges = numberOfClusterChanges + 1
  }
  clusterCentroidTotals[innerClusterIndex,1] = clusterCentroidTotals[innerClusterIndex,1] +
inputValue
  clusterCentroidTotals[innerClusterIndex,2] = clusterCentroidTotals[innerClusterIndex,2] + 1
  # calculate most distant instance for spread
  if (abs(inputValue - clusterCentroids[1,innerClusterIndex]) > clusterSpreads[1,innerClusterIndex]) {
   cluster Spreads \hbox{\tt [1,innerClusterIndex]} = abs \hbox{\tt (inputValue-clusterCentroids \hbox{\tt [1,innerClusterIndex]})}
  }
 }
 ## re-calculate centroid values
 for (c3 in 1:numberOfClusters) {
  if (clusterCentroidTotals[c3,2]!= 0) {
   clusterCentroids[1,c3] = clusterCentroidTotals[c3,1] / clusterCentroidTotals[c3,2]
  }
  else {
   clusterCentroids[1,c3] = 0
  }
 }
}
## calculate cluster spreads
clusterSpreads = clusterSpreads / 2
```

```
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## calculate ph values
# + 1 means bias unit
trainingDataPerceptives = matrix(sample(c(0:0), numberOfClusters + 1, TRUE), dim(trainingData)[1],
numberOfClusters + 1)
testDataPerceptives = matrix(sample(c(0:0), numberOfClusters + 1, TRUE), dim(testData)[1],
numberOfClusters + 1)
# assign bias unit
trainingDataPerceptives[,1] = 1
testDataPerceptives[,1] = 1
for (pi in 1:dim(trainingData)[1]) {
 for (ph in 1:numberOfClusters) {
  pht = exp(-((abs(trainingData[pi,1] - clusterCentroids[1,ph]))^2 / 2*(clusterSpreads[1,ph])^2))
  trainingDataPerceptives[pi,ph+1] = pht
 }
}
for (pi in 1:dim(testData)[1]) {
 for (ph in 1:numberOfClusters) {
  pht = exp(-((abs(testData[pi,1] - clusterCentroids[1,ph]))^2 / 2*(clusterSpreads[1,ph])^2))
  testDataPerceptives[pi,ph+1] = pht
 }
}
## train sinple layer perceptron
```

inputWeight = matrix(sample(c(-100:100), numberOfClusters + 1, TRUE) / 10000, 1,

# weight initialization

numberOfClusters + 1)

```
errorMatrix = matrix(0, ncol = 4, nrow = ((epoch / epochLogNumber)))
errorSet = data.frame(errorMatrix)
colnames(errorSet)<-c("K","epoch","trainingMSE", "validationSME")
# run epochs
learningRate = lerningRateDefault
for (e in 1:epoch){
 for(x in 1:dim(trainingData)[1]) {
  y = sum(inputWeight[1,] * trainingDataPerceptives[x,])
  r = trainingData[x,2]
  deltaWeight = learningRate*(r-y)*trainingDataPerceptives[x,]
  inputWeight = inputWeight + deltaWeight
  trainingData[x,4] = y
 }
 if (e %% epochLogNumber == 0) {
  trainingErrorSum = 0
  validationErrorSum = 0
  # calculate training data
  for(x in 1:dim(trainingData)[1]) {
   y = sum(inputWeight[1,] * trainingDataPerceptives[x,])
   r = trainingData[x,2]
   trainingErrorSum = trainingErrorSum + 0.5 * (r-y)^2
  }
```

```
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  # mean square error
  trainingMSE = trainingErrorSum / dim(trainingData)[1]
  for(x in 1:dim(testData)[1]) {
   y = sum(inputWeight[1,] * testDataPerceptives[x,])
   r = testData[x,2]
   validationErrorSum = validationErrorSum + 0.5 * (r-y)^2
  }
  # mean square error
  validationMSE = validationErrorSum / dim(testData)[1]
  # log error
  epochLogIndex = (e / epochLogNumber)
  errorSet[epochLogIndex,1] = numberOfClusters
  errorSet[epochLogIndex,2] = e
  errorSet[epochLogIndex,3] = trainingMSE
  errorSet[epochLogIndex,4] = validationMSE
 }
 learningRate = learningRate * 0.998
 print(paste0("K: ", numberOfClusters, ", epoch:", e))
}
## plot validation and training error
subErrorSet = errorSet
subTrainingError = data.frame(subErrorSet$epoch, subErrorSet$trainingMSE)
subValidationError = data.frame(subErrorSet$epoch, subErrorSet$validationSME)
maxValidationError = max(subValidationError[,2])
minValidationError = min(subValidationError[,2])
```

```
maxTrainingError = max(subTrainingError[,2])
minTrainingError = min(subTrainingError[,2])
minError = min(minValidationError,minTrainingError) - 2
maxError = max(maxTrainingError,maxValidationError) + 2
plot(subTrainingError,type="I",col=4, lty = 2, xlab="Epoch", ylab="Mean Square Error",
  xlim=c(0,epoch),
  ylim=c(minError, maxError),
   axes = FALSE)
axis(1, xaxp=c(0, epoch, (epoch/(epochLogNumber*2))), las=2)
axis(side = 2, at = seq(as.integer(minError), as.integer(maxError), by = 2))
#axis(2, xaxp=c(10, 1000, 10), las=2)
lines(subValidationError,col=6,lty = 1, pch = 4)
title(paste0("Number of K: ", numberOfClusters))
legend("topright", c("Training", "Validation"), col = c(4, 6),
    text.col = "black", lty = c(2,1),
    bg = "gray90", cex = 0.5)
box()
## plot input and model output for training data
trainingDataPlot = data.frame(trainingData$Input, trainingData$ModelOutput)
clusterCentroidsPlot = matrix(sample(c(0:0), numberOfClusters, TRUE), numberOfClusters, 2)
clusterCentroidsPlot[,1] = t(clusterCentroids)
plot(trainingDataPlot, xlab="Input", ylab="Output by model")
points(clusterCentroidsPlot, col="red", pch=17)
title(paste0("Number of K: ", numberOfClusters))
legend("topright", c("Centroid on x axis"), col = c("red"),
    text.col = "black", pch=17,
    bg = "gray90", cex = 0.7)
```

```
## plot both training data and model output

trainingDataPlot2 = data.frame(trainingData$Input, trainingData$Output)

plot(trainingDataPlot2, xlab="Input", ylab="Output")

points(clusterCentroidsPlot, col="red", pch=17)

points(trainingDataPlot, col="green", pch=3)

title(pasteO("Number of K: ", numberOfClusters))

legend("topright", c("Training Data", "Centroid on x axis", "RBF Model"), col = c("black", "red", "green"),

    text.col = "black", pch= c(1,17,3),

    bg = "gray90", cex = 0.5)
```