GAMs - Acoustics Only - 25 km

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9/26/2020

Technical coding questions:

- what's the easiest way to make the partial residual plots using the raw data when I'm running the models with scaled data?
- I need help with the predict() function for predicting the test data. I thought it was straight-forward but I'm misunderstanding how it works.

Load libraries

```
library(tidyverse)
library(mgcv)
library(corrplot)
library(geoR)
library(tidymv)
library(here)
```

Research question:

What environmental variables characterize sperm whale habitat?

Hypothesis: Sperm whales are found in deep, productive offshore waters.

Include more details about what to expect in this document

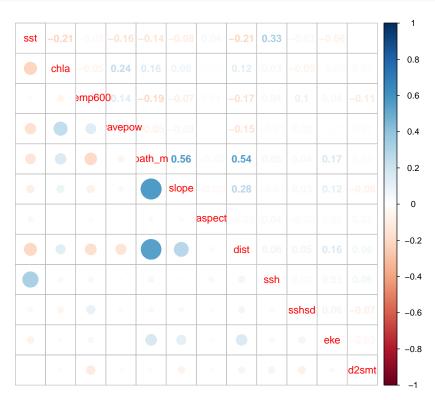
Load universal variables

```
# Values used for file and directory names
survey = "AllSurveys"
gridsize = 25
loctype = "AcOnly"
loctype2 = "Ac"
```

Load data

Check correlation of covariates

```
require(corrplot)
corrplot.mixed(cor(PmScaled[, 18:29]), upper = "number", lower = "circle")
```



```
# Are all correlation coefficients < |0.6|?
abs(cor(PmScaled[, 18:29])) <= 0.6</pre>
```

```
chla temp600 wavepow bath_m slope aspect
                                                           dist
                                                                  ssh sshsd
                                                                               eke
          sst
        FALSE
                       TRUE
                                       TRUE
                                                                 TRUE
                                                                       TRUE
                                                                             TRUE
sst
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                                             TRUE
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chla
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temp600
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wavepow
         TRUE
               TRUE
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                                             TRUE
                                                     TRUE
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                                                                       TRUE
                                                                             TRUE
bath_m
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               TRUE
                       TRUE
                                TRUE
                                      FALSE
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slope
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aspect
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ssh
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sshsd
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                                                     TRUE
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                                                                 TRUE
                                                                       TRUE FALSE
eke
d2smt
         TRUE
               TRUE
                        TRUE
                                TRUE
                                       TRUE
                                             TRUE
                                                     TRUE
                                                           TRUE
                                                                 TRUE
                                                                       TRUE
                                                                             TRUE
        d2smt
         TRUE
sst
chla
         TRUE
temp600
         TRUE
wavepow
         TRUE
bath_m
         TRUE
slope
         TRUE
aspect
         TRUE
```

```
dist TRUE ssh TRUE sshsd TRUE eke TRUE d2smt FALSE
```

KS tests

I compared the distributions of environmental data between the whales and the absences. Plots are attached in separate powerpoint. In summary, temperature at 600 m, SSH, and chlorophyll were the only variables with significantly different distributions (p-value < 0.05). However, the D statistics were close to zero (D \sim 0.1) for each, indicating that although the distributions were different, they were not that far apart. The plots also show how similar the general shape of the distributions are between where the whales were observed and where they were not observed.

Data Splitting

Split the data into train and test sets

```
require(dplyr)
splitdf <- function(dataframe, seed = NULL) {</pre>
    if (!is.null(seed))
        set.seed(seed)
    index <- 1:nrow(dataframe)</pre>
    trainindex <- sample(index, trunc(length(index) * 0.7))
    trainset <- dataframe[trainindex, ]</pre>
    testset <- dataframe[-trainindex, ]</pre>
    list(trainset = trainset, testset = testset)
}
trainAcOnly = NULL
testAcOnly = NULL
seed = 678
seed = 555
for (s in c(1641, 1303, 1604, 1705, 1706)) {
    trSub <- filter(PmScaled, survey == s)</pre>
    # subset for presences and split 70/30
    pres1 <- filter(trSub, pa > 0 & sid == 999) # & loc == 1) #for S999 versions
    listPres <- splitdf(pres1, seed) #output is list for train and test
    # subset for absences and split 70/30
    abs0 <- filter(trSub, pa == 0)
    listAbs <- splitdf(abs0, seed)</pre>
                                    #output is list for train and test
    # combine train data for presence and absence
    trainAll <- rbind(listPres$trainset, listAbs$trainset)</pre>
    # combine test data for presence and absence
    testAll <- rbind(listPres$testset, listAbs$testset)</pre>
```

Generalized Additive Models

The data are treated as count data, number of sperm whale encounters per cell, and we used the Tweedie distribution since it has been shown to work well when fewer positive responses exist within the data. We used thin-plate regression splines (the default basis) for the smoothers of the environmental predictors. Each smoother was limited to 3 degrees of freedom (k=3) to reduce overfitting parameters per recommendations from other studies building similar types of cetaceans distribution models. The log of the effort was included as an offset to account for the variation in effort per cell.

25 km spatial scale

- Knots contrained to k=3 according to literature on cetacean distribution models.
- Automatic term selection is uses an additional penalty term when determining the smoothness of the function ('select' argument = TRUE)..
- We excluded all non-significant variables (alpha=0.05) and refit the models until all variables were significant.
- REML is restricted maximum likelihood used to optimize the parameter estimates.

Load training and test data

```
# trainAcOnly <- readRDS(here::here(</pre>
# pasteO('output/models/',loctype, '/data/Train_', gridsize,
# 'km_', loctype2, '.rda') )) testAcOnly <-</pre>
# readRDS(here::here( pasteO('output/models/',loctype,
# '/data/Test_', gridsize, 'km_', loctype2, '.rda') ))
# trainLoc <- readRDS(here::here(</pre>
# pasteO('output/models/',loctype, '/data/Train_', gridsize,
# 'km_', loctype2, '_Loc.rda') )) testLoc <-</pre>
# readRDS(here::here( pasteO('output/models/',loctype,
# '/data/Test_', gridsize, 'km_', loctype2, '_Loc.rda') ))
# Encounters only detected by acoustics, sighting ID = S999
trainS999 <- readRDS(here::here(paste0("output/models/", loctype,</pre>
    "/data/Train_", gridsize, "km_", loctype2, "_S999.rda")))
testS999 <- readRDS(here::here(paste0("output/models/", loctype,
    "/data/Test_", gridsize, "km_", loctype2, "_S999.rda")))
# trainS999 <- readRDS(here::here(</pre>
```

```
# pasteO('output/models/',loctype, '/data/Train_', gridsize,
# 'km_', loctype2, '_S999b.rda') )) testS999 <-
# readRDS(here::here( pasteO('output/models/',loctype,
# '/data/Test_', gridsize, 'km_', loctype2, '_S999b.rda') ))</pre>
```

Model Selection

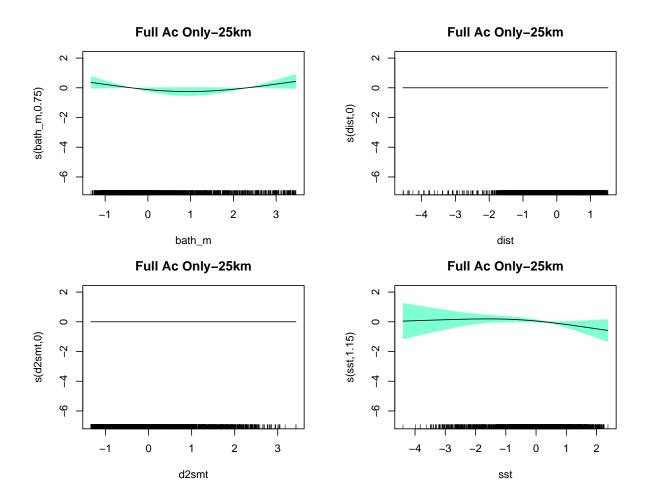
FULL MODEL

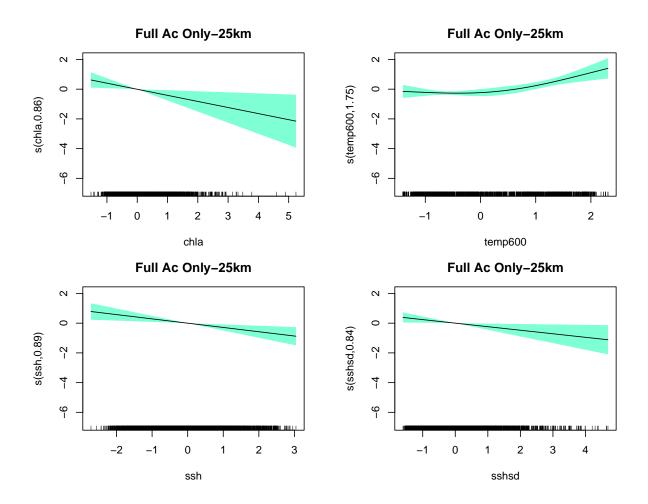
• does not include spatial smoother

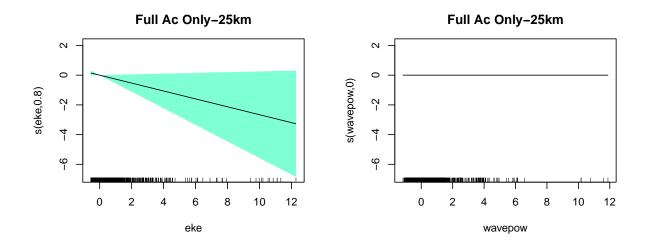
#* Does NOT include sighted acoustic encounters OR spatial

```
# smoother
require(mgcv)
twS999 \leftarrow gam(pa \sim s(bath m, k = 3) + s(dist, k = 3) + s(d2smt, k
         k = 3) + s(sst, k = 3) + s(chla, k = 3) + s(temp600, k = 3) +
         s(ssh, k = 3) + s(sshsd, k = 3) + s(eke, k = 3) + s(wavepow,
         k = 3) + offset(log.effort), data = trainS999, family = tw,
         link = "log", select = TRUE, method = "REML")
summary(twS999)
Family: Tweedie(p=1.01)
Link function: log
Formula:
pa \sim s(bath_m, k = 3) + s(dist, k = 3) + s(d2smt, k = 3) + s(sst, k = 3)
         k = 3) + s(chla, k = 3) + s(temp600, k = 3) + s(ssh, k = 3) +
         s(shsd, k = 3) + s(eke, k = 3) + s(wavepow, k = 3) + offset(log.effort)
Parametric coefficients:
                            Estimate Std. Error t value Pr(>|t|)
                                                           0.1142 -201.1 <2e-16 ***
(Intercept) -22.9628
Signif. codes: 0 '***' 0.001 '**' 0.05 '.' 0.1 ' ' 1
Approximate significance of smooth terms:
                                         edf Ref.df F p-value
s(bath_m) 7.535e-01 2 1.534 0.04211 *
s(dist)
                          7.708e-05
                                                           2 0.000 1.00000
                                                              2 0.000 0.66559
s(d2smt)
                          1.150e-04
s(sst)
                          1.146e+00
                                                           2 1.392 0.08427 .
s(chla)
                          8.596e-01
                                                            2 2.919 0.00794 **
s(temp600) 1.749e+00
                                                              2 10.093 8.57e-06 ***
s(ssh)
                          8.916e-01
                                                               2 4.078 0.00225 **
                                                               2 2.597 0.01280 *
s(sshsd) 8.440e-01
s(eke)
                       7.982e-01
                                                               2 1.668 0.04011 *
s(wavepow) 7.147e-05
                                                               2 0.000 0.82655
Signif. codes: 0 '*** 0.001 '** 0.01 '* 0.05 '.' 0.1 ' ' 1
R-sq.(adj) = 0.0471 Deviance explained = 9.36%
```

+ s(slope, k=3) + s(aspect, k=3) # removed 9/27

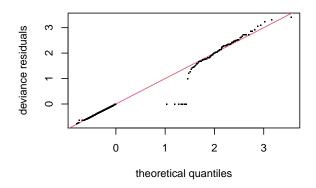


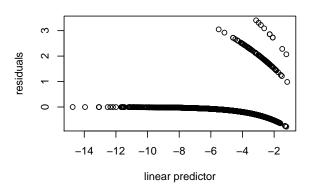




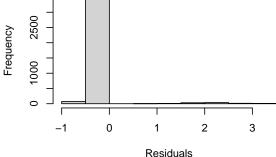
MODEL DIAGNOSTICS The stripe at the bottom left of the residuals vs. fitted values (linear predictor) corresponds to the zeros.

Resids vs. linear pred.

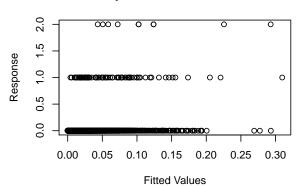




Histogram of residuals



Response vs. Fitted Values



Method: REML Optimizer: outer newton full convergence after 23 iterations. Gradient range [-0.0005605756,6.506142e-05] (score 295.9409 & scale 1.040415). eigenvalue range [-2.033627e-05,10199.49]. Model rank = 21 / 21

Basis dimension (k) checking results. Low p-value (k-index<1) may indicate that k is too low, especially if edf is close to k'.

k' edf k-index p-value 0.90 s(bath_m) 2.00e+00 7.54e-01 0.850 2.00e+00 7.71e-05 s(dist) 0.88 0.345 s(d2smt) 2.00e+00 1.15e-04 0.85 0.020 * s(sst) 2.00e+00 1.15e+00 0.86 0.070 . s(chla) 2.00e+00 8.60e-01 0.86 0.070 . s(temp600) 2.00e+00 1.75e+00 0.75 <2e-16 *** s(ssh) 2.00e+00 8.92e-01 0.89 0.525 s(sshsd) 2.00e+00 8.44e-01 0.84 0.005 ** 2.00e+00 7.98e-01 s(eke) 0.88 0.320 s(wavepow) 2.00e+00 7.15e-05 0.81 <2e-16 ***

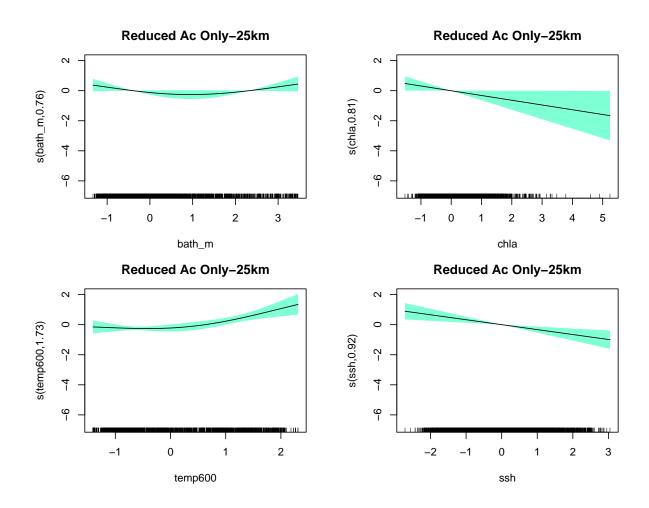
Signif. codes: 0 '*** 0.001 '** 0.01 '* 0.05 '.' 0.1 ' ' 1

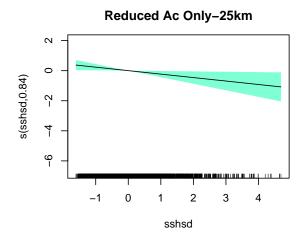
REDUCE MODEL PARAMETERS

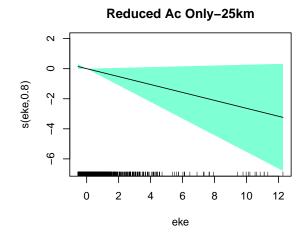
```
• Removed non-significant variables:
      - distance to land

    distance to seamount

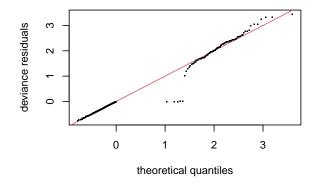
      - sst
      - wave power
\# * Does NOT include sighted acoustic encounters
twS999b \leftarrow gam(pa \sim s(bath_m, k = 3) + s(chla, k = 3) + s(temp600,
   k = 3) + s(ssh, k = 3) + s(sshsd, k = 3) + s(eke, k = 3) +
   offset(log.effort), data = trainS999, family = tw, link = "log",
   select = TRUE, method = "REML")
summary(twS999b)
Family: Tweedie(p=1.01)
Link function: log
Formula:
pa \sim s(bath_m, k = 3) + s(chla, k = 3) + s(temp600, k = 3) +
   s(ssh, k = 3) + s(sshsd, k = 3) + s(eke, k = 3) + offset(log.effort)
Parametric coefficients:
           Estimate Std. Error t value Pr(>|t|)
(Intercept) -22.949
                        0.113 -203.2 <2e-16 ***
Signif. codes: 0 '*** 0.001 '** 0.01 '* 0.05 '.' 0.1 ' 1
Approximate significance of smooth terms:
             edf Ref.df
                            F p-value
s(bath_m) 0.7599 2 1.588 0.04008 *
s(chla)
          0.8115
                     2 2.034 0.02480 *
s(temp600) 1.7331
                     2 9.479 1.79e-05 ***
                      2 5.414 0.00058 ***
s(ssh)
          0.9160
                      2 2.507 0.01437 *
s(sshsd) 0.8394
s(eke)
        0.7968
                      2 1.655 0.04145 *
Signif. codes: 0 '*** 0.001 '** 0.01 '* 0.05 '.' 0.1 ' ' 1
R-sq.(adj) = 0.0428 Deviance explained = 8.74%
-REML = 296.44 Scale est. = 1.0406 n = 3657
```

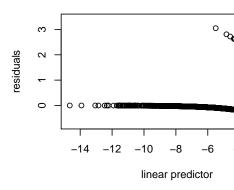






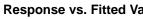
Resids vs. linear pre

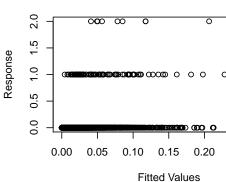




Histogram of residuals

-1 0 1 2 3 Residuals





MODEL DIAGNOSTICS

Method: REML Optimizer: outer newton full convergence after 21 iterations.

Gradient range [-0.0006173768,7.132451e-05]
(score 296.4414 & scale 1.040571).

Hessian positive definite, eigenvalue range [1.653048e-05,10199.49].

Model rank = 13 / 13

Basis dimension (k) checking results. Low p-value (k-index<1) may indicate that k is too low, especially if edf is close to k'.

k, edf k-index p-value 2.000 0.760 s(bath_m) 0.90 0.80 s(chla) 2.000 0.812 0.86 0.05 * s(temp600) 2.000 1.733 0.75 <2e-16 *** 0.55 s(ssh) 2.000 0.916 0.89 s(sshsd) 2.000 0.839 0.84 <2e-16 *** s(eke) 2.000 0.797 0.88 0.26 ___

Signif. codes: 0 '*** 0.001 '** 0.01 '* 0.05 '.' 0.1 ' ' 1

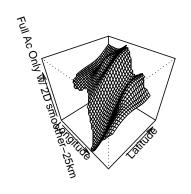
Include 2D Lat-Lon

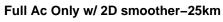
Includes 2D Lat-Lon smoother to account for spatial structure in the data and fit the spatial variation not explained by the other predictors

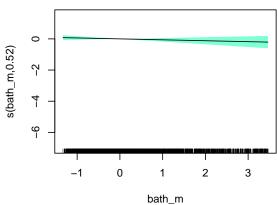
- * Notice that the temperature at 600m is no longer significant compared to the previous models
- * Chlorophyll and SSHsd remain significant
- + Does this indicate that they aren't spatially structured and are independent of location?

twS999LL <- gam(pa ~ s(Longitude, Latitude) + s(bath_m, k = 3) + s(dist, k = 3) + s(d2smt, k = 3) + s(sst, k = 3) + s(chla,

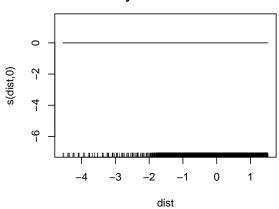
```
k = 3) + s(temp600, k = 3) + s(ssh, k = 3) + s(ssh, k = 3) +
   s(eke, k = 3) + s(wavepow, k = 3) + offset(log.effort), data = PmScaled,
   family = tw, link = "log", select = TRUE, method = "REML")
summary(twS999LL)
Family: Tweedie(p=1.01)
Link function: log
Formula:
pa ~ s(Longitude, Latitude) + s(bath_m, k = 3) + s(dist, k = 3) +
   s(d2smt, k = 3) + s(sst, k = 3) + s(chla, k = 3) + s(temp600,
   k = 3) + s(ssh, k = 3) + s(sshsd, k = 3) + s(eke, k = 3) +
   s(wavepow, k = 3) + offset(log.effort)
Parametric coefficients:
            Estimate Std. Error t value Pr(>|t|)
                        0.09803 -232.7 <2e-16 ***
(Intercept) -22.81564
Signif. codes: 0 '*** 0.001 '** 0.01 '* 0.05 '.' 0.1 ' 1
Approximate significance of smooth terms:
                           edf Ref.df
                                           F p-value
s(Longitude, Latitude) 1.537e+01
                                   29 3.844 < 2e-16 ***
s(bath_m)
                     5.216e-01
                                   2 0.548 0.131423
s(dist)
                     1.699e-04
                                   2 0.000 1.000000
                     7.386e-01
s(d2smt)
                                   2 1.409 0.040055 *
s(sst)
                                   2 2.872 0.006848 **
                     8.525e-01
                                  2 5.690 0.000334 ***
s(chla)
                     9.216e-01
s(temp600)
                     8.917e-01
                                   2 4.088 0.001146 **
s(ssh)
                                   2 0.000 0.887862
                     1.663e-04
                                   2 15.707 1.64e-08 ***
s(sshsd)
                     1.757e+00
s(eke)
                     7.298e-01
                                    2 1.191 0.067162 .
s(wavepow)
                     1.162e-04
                                   2 0.000 0.733200
Signif. codes: 0 '*** 0.001 '** 0.01 '* 0.05 '.' 0.1 ' ' 1
R-sq.(adj) = 0.11 Deviance explained = 17.9%
-REML = 517.38 Scale est. = 1.0345
```



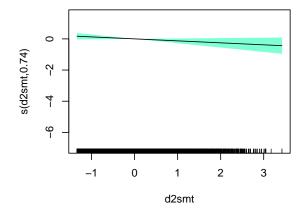


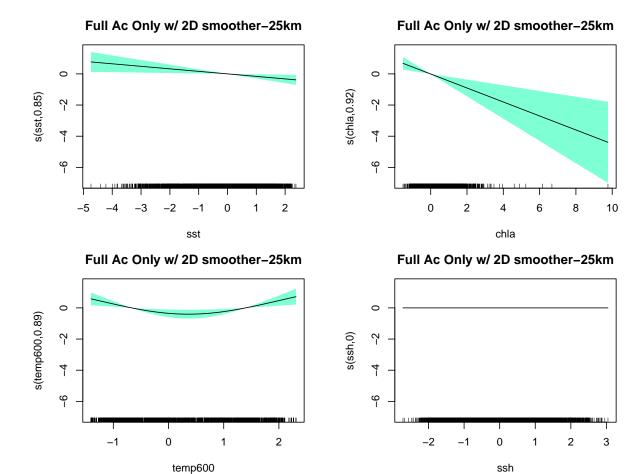


Full Ac Only w/ 2D smoother-25km

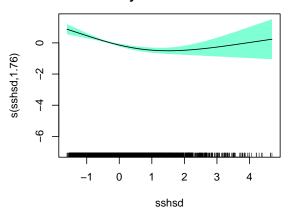


Full Ac Only w/ 2D smoother-25km

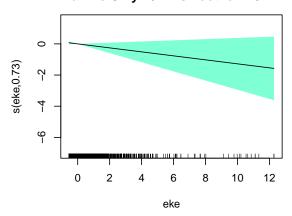




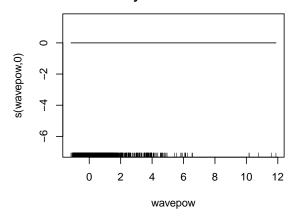
Full Ac Only w/ 2D smoother-25km



Full Ac Only w/ 2D smoother-25km



Full Ac Only w/ 2D smoother-25km



2D Spatial Smoother

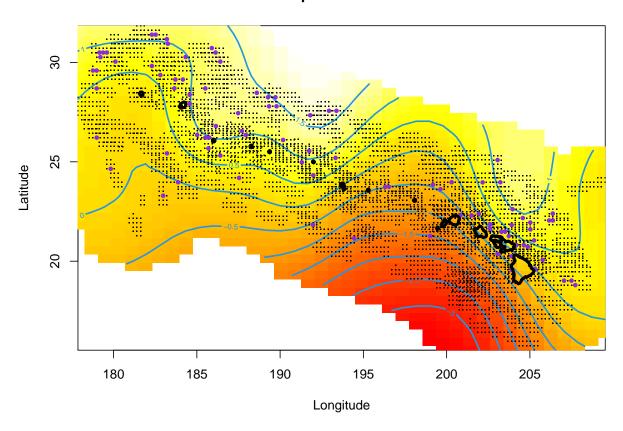
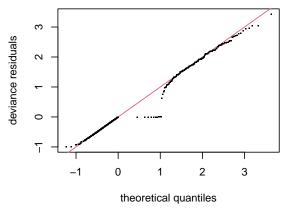
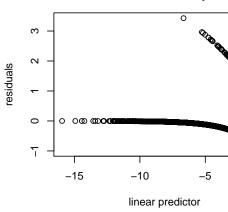


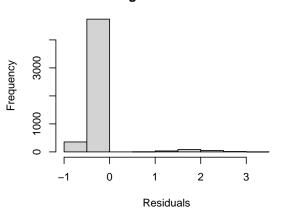
Figure 1: Purple dots represent acoustically detected encounters. Black dots are all data points (grid centroids)

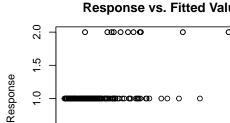
Resids vs. linear pred





Histogram of residuals





0.5

0.0 0.2 0.4 (Fitted Values

00000

MODEL DIAGNOSTICS

Method: REML Optimizer: outer newton full convergence after 30 iterations.

Gradient range [-0.001068839,0.000143211]
(score 517.3793 & scale 1.034495).

Hessian positive definite, eigenvalue range [2.214768e-05,21499.47].

Model rank = 50 / 50

Basis dimension (k) checking results. Low p-value (k-index<1) may indicate that k is too low, especially if edf is close to k'.

	k'	edf	k-index	p-value	
s(Longitude,Latitude)	2.90e+01	1.54e+01	0.84	<2e-16	***
s(bath_m)	2.00e+00	5.22e-01	0.86	0.010	**
s(dist)	2.00e+00	1.70e-04	0.83	<2e-16	***
s(d2smt)	2.00e+00	7.39e-01	0.82	<2e-16	***
s(sst)	2.00e+00	8.53e-01	0.82	<2e-16	***
s(chla)	2.00e+00	9.22e-01	0.83	<2e-16	***
s(temp600)	2.00e+00	8.92e-01	0.83	<2e-16	***
s(ssh)	2.00e+00	1.66e-04	0.86	0.025	*
s(sshsd)	2.00e+00	1.76e+00	0.81	<2e-16	***
s(eke)	2.00e+00	7.30e-01	0.83	<2e-16	***
s(wavepow)	2.00e+00	1.16e-04	0.83	<2e-16	***

19

```
Signif. codes: 0 '*** 0.001 '** 0.01 '* 0.05 '.' 0.1 ' 1
```

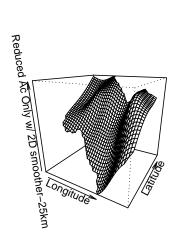
REDUCE MODEL PARAMETERS

```
• Removed non-significant variables:
      - depth

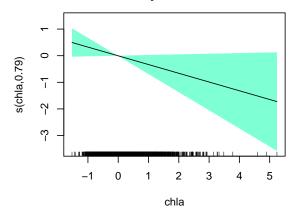
    distance to land

    distance to seamount

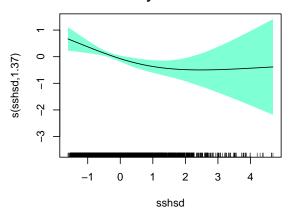
      - sst
      - temp at 600 m
      - SSH
      - EKE
      - wave power
twS999LLb <- gam(pa \sim s(Longitude, Latitude) + s(chla, k = 3) +
   s(sshsd, k = 3) + offset(log.effort), data = trainS999, family = tw,
   link = "log", select = TRUE, method = "REML")
summary(twS999LLb)
Family: Tweedie(p=1.01)
Link function: log
Formula:
pa ~ s(Longitude, Latitude) + <math>s(chla, k = 3) + s(sshsd, k = 3) +
   offset(log.effort)
Parametric coefficients:
           Estimate Std. Error t value Pr(>|t|)
Signif. codes: 0 '*** 0.001 '** 0.01 '* 0.05 '.' 0.1 ' 1
Approximate significance of smooth terms:
                         edf Ref.df F p-value
s(Longitude, Latitude) 11.4515 29 2.298 1.46e-12 ***
s(chla)
                      0.7884
                                2 1.735 0.031557 *
s(sshsd)
                      1.3692
                                 2 5.023 0.000972 ***
Signif. codes: 0 '*** 0.001 '** 0.01 '* 0.05 '.' 0.1 ' 1
R-sq.(adj) = 0.0642 Deviance explained = 15.6%
-REML = 285.19 Scale est. = 1.0386
# twS999LLfull <- gam(pa ~ s(Longitude, Latitude) + s(chla,
\# k=3) + s(sshsd, k=3) + offset(log.effort), data = PmScaled,
# family = tw, link = 'log', select = TRUE, method = 'REML')
# summary(twS999LLfull)
```



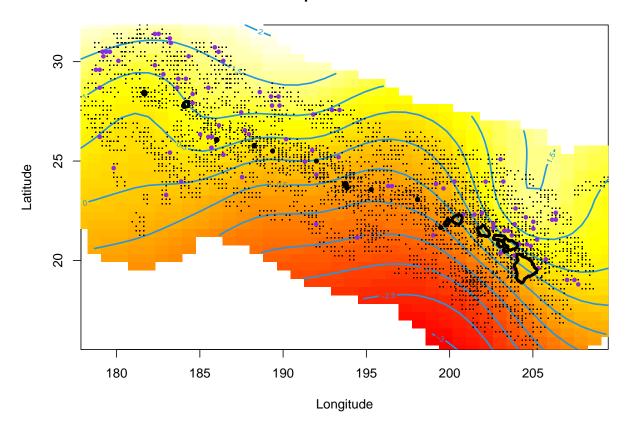
Reduced Ac Only w/ 2D smoother-25km



Reduced Ac Only w/ 2D smoother-25km



2D Spatial Smoother



Conclusions

The first reduced Acoustics Only model included more dynamic variables for the significant smooth terms compared to both models that included a 2D spatial smoother. The former addressed my hypothesis more clearly given that depth, chl a, and SSH/SSHsd were included as important variables. The introduction of the 2D smoother reduces all dynamic variables except for chla and SSHsd. Acoustic encounters of sperm whales indicate sperm whale occurrence is related to productivity in some way whether or not a 2D smoother is included. If the 2D smoother is left out of the model, I should acknowledge how certain important variables may also be spatially autocorrelated. Some papers state that spatial autocorrelation exists, calculate Moran's I to determine the magnitude, and don't do anything more about it, such as Forney et al. 2015.

NEED HELP HERE: Trying to sort out how to use the test data set for prediction purposes and how to use those results to evaluate model performance.

Predict Test Data

```
# https://qist.github.com/aperium/9fc737ea311a758328eadf27c2426e47
require(magrittr)
require(dplyr)
# pulling the prediction and residual data from the model
trainS999 %<>% mutate(resid = resid(twS999LLb), predict = predict(twS999LLb))
predTrain <- predict.gam(twS999LLb, type = "response") #calculate MSE for these to compare with test s</pre>
trainS999$fit <- predTrain</pre>
# using scale of 0,1,2 makes this hard to interpret
mean((trainS999$pa - trainS999$fit)^2) #MSE
mean(abs((trainS999$pa - trainS999$fit))) #Mean absolute error
## Calculate MSE AFTER transforming the predictions back to
## the same scale as the observed data
# plot %<>% + geom_smooth(aes(y = predict), method = gam,
# formula = y \sim s(x, bs = 'ps'), data = filter(sa_factor,
# climate_scenario == unique(sa_factor$climate_scenario)[1]),
# size = 1, se = FALSE)
predSW <- predict.gam(twS999LLb, newdata = testS999, type = "response",</pre>
    se.fit = TRUE)
testFinal <- data.frame(testS999, fit = predSW$fit, se.fit = predSW$se.fit)</pre>
mean((testFinal$pa - testFinal$fit)^2) #MSE
mean(abs((testFinal$pa - testFinal$fit))) #Mean absolute error
plot(testS999$chla, testFinal$predSW)
predSWtidy <- predict_gam(twS999LLb) #, newdata = testS999)#, type = 'response', se.fit = TRUE)
ggplot(testFinal, aes(chla, fit)) + geom_smooth_ci()
lons \leftarrow seq(180, 210, 1/4)
lats \leftarrow seq(15, 33, 1/4)
zz <- matrix(NA, nrow = 98, ncol = 98) #nrow and ncol are sqrt(max(effCell))
zz[trainS999$effCells] <- trainS999$predicted
image(lons, lats, zz)
```

Models including only static variables What are the effects of the static/geographic variables on sperm whale occurrence? * Resulted in only ~6% deviance explained for any iteration * Depth, slope, distance to seamount were significant, no aspect included

Models only including dynamic variables to evaluate their explanatory power alone.

% explained devia			