

A SURVEY OF RIEMANN SURFACES AND THEIR CLASSIFICATION

A DISSERTATION SUBMITTED TO BIRKBECK, UNIVERSITY OF LONDON
FOR THE DEGREE OF MSc IN MATHEMATICS.

By

Y. Buzoku

Supervisor: Dr. B. Fairbairn

Department of Economics, Mathematics and Statistics

31st August 2021

Contents

Abstract	4
Declaration	5
1 Introduction	6
2 Elementary definitions and notation	7
3 Riemann Surfaces	8
3.1 Basic Definitions	8
3.2 Algebraic Curves	8
3.3 Proper Discontinuous Group Actions	9
3.3.1 Fuchsian groupoids	9
3.4 Attempting to classify some Riemann surfaces	9
3.4.1 The Riemann Sphere	9
3.4.2 Quotients of the Complex Plane	9
3.4.3 Moduli space of Tori	9
4 Calculus on Riemann Surfaces	10
4.1 Introduction	10
4.2 The Tangent and Cotangent Spaces	10
4.3 The Alternating Algebra and Differential forms	11
4.4 de-Rham Cohomology	11
4.5 Poincaré's lemma	11
4.6 Complex structures	11
5 The Uniformisation Theorem	13
5.1 Preliminaries	13
5.1.1 The Δ operator and Harmonic functions	13

5.1.2	Hilbert Spaces and the space $\mathcal{H}(X)$	17
5.2	The Dirichlet Energy Functional	21
5.3	Solving the Poisson equation on compact Riemann surfaces	24
5.3.1	Convolutions and related technical lemmas	25
5.3.2	Showing the Dirichlet energy \mathcal{L} is a bounded functional	29
5.3.3	The completion of $\mathcal{H}(X)$ and Weyl's lemma	32
5.4	Consequences of solving the Poisson equation on compact Riemann surfaces and the Riemann-Roch Theorem	39
5.5	The Uniformisation Theorem	41
5.6	The Poisson equation on simply connected, non-compact Riemann surfaces .	41

Abstract

BIRKBECK, UNIVERSITY OF LONDON

ABSTRACT OF DISSERTATION submitted by **Y. Buzoku** and entitled **A survey of Riemann surfaces and their classification.**

Date of Submission: 31st August 2021

Type your abstract here.

Declaration

This dissertation is submitted under the regulations of Birkbeck, University of London as part of the examination requirements for the MSc degree in Mathematics. Any quotation or excerpt from the published or unpublished work of other persons is explicitly indicated and in each such instance a full reference of the source of such work is given. I have read and understood the Birkbeck College guidelines on plagiarism and in accordance with those requirements submit this work as my own.

Chapter 1

Introduction

Chapter 2

Elementary definitions and notation

We begin by setting up the notation and tools we will be using from Complex Analysis and Topology.

Chapter 3

Riemann Surfaces

Intro to Riemann Surfaces. Talk about $\log(z)$ and it as a motivational entry into the subject.

3.1 Basic Definitions

We begin by stating the basic definitions of Riemann Surfaces and the analogs of some important notions from Complex Analysis in Riemann Surface Theory.

Definition 3.1.1 (Riemann Surface). A Hausdorff topological space X is said to be a Riemann Surface if:

- There exists a collection of open sets $U_\alpha \subset X$, where α ranges over some index set such that $\bigcup_\alpha U_\alpha$ cover X .
- There exists for each α , a homeomorphism, called a chart map, $\psi_\alpha: U_\alpha \rightarrow \tilde{U}_\alpha$, where \tilde{U}_α is an open set in \mathbb{C} , with the property that for all α, β , the composite map $\psi_\alpha \circ \psi_\beta^{-1}$ is holomorphic on its domain of definition. (These composite maps are sometimes called transition maps).

We call the triple $(\{U_\alpha\}, \{\tilde{U}_\alpha\}, \{\psi_\alpha\})$ an atlas of charts for the Riemann Surface X , though we also use the common notation (U, \tilde{U}, ψ) to denote this, where $U = \{U_\alpha\}$, $\tilde{U} = \{\tilde{U}_\alpha\}$ and $\psi = \{\psi_\alpha\}$.

3.2 Algebraic Curves

ALGBRAIC CURVES ARE COOOOOL MAN!

3.3 Proper Discontinuous Group Actions

Geometry and groups episode three, the revenge of the fundamental domain.

3.3.1 Fuchsian groupoids

Empty something.

3.4 Attempting to classify some Riemann surfaces

3.4.1 The Riemann Sphere

3.4.2 Quotients of the Complex Plane

The Cylinder

The Torus

3.4.3 Moduli space of Tori

Chapter 4

Calculus on Riemann Surfaces

4.1 Introduction

To gain a deeper understanding of Riemann Surfaces from a more analytical and geometric perspective, we wish to introduce notions from calculus to such surfaces. This involves defining the notions of integration, differential forms and vector fields on Riemann Surfaces. As we will see however, these notions give rise to certain groups and vector spaces which will be very important in the following sections, especially in the proof of the uniformisation theorem.

4.2 The Tangent and Cotangent Spaces

We begin our study of calculus on Riemann surfaces by defining notions of the tangent and cotangent spaces. The following definitions may be found in Chapter 5 of [1] unless otherwise noted.

Definition 4.2.1 (Smooth path on a Riemann Surface). Let X be a Riemann Surface and let $\epsilon > 0$. Then we say $\gamma : (-\epsilon, \epsilon) \rightarrow X$ is a smooth path on X if we can define $\frac{d\gamma}{dt}$ for all $t \in (-\epsilon, \epsilon)$.

Definition 4.2.2 (Tangent Space of a Riemann Surface). Let X be a Riemann surface. Let $p \in X$ be a point on the Riemann surface. We define $T_p X$, the tangent space of X at p , to be the space of equivalence classes of smooth paths γ through p such that $\gamma(0) = p$. Two paths γ_1, γ_2 are said to be equivalent if $\frac{d\gamma_1}{dt} = \frac{d\gamma_2}{dt}$.

Definition 4.2.3 (Cotangent Space of a Riemann Surface). Let X be a Riemann surface. For all $p \in X$ we define the real cotangent space at p to be $T_p^*X = \text{Hom}_{\mathbb{R}}(T_pX, \mathbb{R})$ and the complex cotangent space at p to be $T_p^*X^{\mathbb{C}} = \text{Hom}_{\mathbb{R}}(T_pX, \mathbb{C})$.

4.3 The Alternating Algebra and Differential forms

Definition 4.3.1 (Alternating Algebra). cf Calc to cohomology and Spivak

Definition 4.3.2 (Differential p -forms). Calc to cohomology. ALL FUNCTIONS SMOOTH AND REAL HERE! We only need to consider $p = 0, 1, 2$

Definition 4.3.3 (Volume form). Sometimes known as an area form on surfaces.

Space of differential 0-form is also written as $C^{\infty}(U)$

Definition 4.3.4 (Exterior Derivative). aaa

Definition 4.3.5 (Integration). Define integration

4.4 de-Rham Cohomology

Definition 4.4.1 (de-Rham Cohomology). aaa

Examples and quite a few of them

Definition 4.4.2 (Complex valued forms and de-Rham Complexes). aaa

4.5 Poincaré's lemma

Definition 4.5.1 (Partitions Of Unity).

Definition 4.5.2 (Support of a function).

Definition 4.5.3 (de-Rham complexes and cohomology with compact support).

Theorem 4.5.4 (Poincaré's lemma).

4.6 Complex structures

Definition 4.6.1 (Complex structure).

Lemma 4.6.2 (Structure splitting lemma). *Any \mathbb{R} -linear map from V to \mathbb{C} can be written in a unique way as a sum of complex linear and antilinear maps.*

Lemma 4.6.3 (Can split $\Omega^1(X, \mathbb{C})$ into direct sum).

Definition 4.6.4 (L_2 norm and inner-product on space of $(1, 0)$ -forms).

Chapter 5

The Uniformisation Theorem

5.1 Preliminaries

5.1.1 The Δ operator and Harmonic functions

We begin our treatment of the uniformisation theorem, with a discussion of a particular and extremely important differential operator on Riemann Surfaces; the Laplacian. The majority of definitions can be found in [1] unless explicitly stated.

Definition 5.1.1 (The Laplacian). Let X be a Riemann Surface. We define a linear map $\Delta : \Omega^0(X) \rightarrow \Omega^2(X)$, where $\Delta = 2i\bar{\partial} \wedge \partial$. We call this linear map the Laplacian. Often, we will suppress the \wedge and simply write $\Delta = 2i\bar{\partial}\partial$, with the \wedge being implied, where no confusion may arise from such notation.

Note that, though we have said that Δ is a map, it is a map from a space of functions to a space of functions, and hence is technically an operator; in particular, since it defines a differential equation in local coordinates, it is a differential operator and hence will be referred to as so.

In local co-ordinates, Δ simply recovers the expected Laplacian of a function since for a given function $f \in \Omega^0(X)$ we get that

$$\begin{aligned}\Delta f &= 2i\bar{\partial}\partial f = 2i\left(\frac{\partial}{\partial \bar{z}}\right)\left(\frac{\partial}{\partial z}\right)f(d\bar{z} \wedge dz) \\ &= \frac{i}{2}\left(\frac{\partial}{\partial x} + i\frac{\partial}{\partial y}\right)\left(\frac{\partial}{\partial x} - i\frac{\partial}{\partial y}\right)f(2idx \wedge dy) \\ &= -\left(\frac{\partial^2 f}{\partial x^2} + \frac{\partial^2 f}{\partial y^2}\right)dx \wedge dy\end{aligned}$$

which, when we replace $dx \wedge dy$ with the usual area form $dx dy$, becomes the usual Laplacian from vector calculus, up to a minus sign. We usually do this so we can identify functions with two-forms by choosing a volume form. For example, when given a function $f(x, y)$, it has an associated two-form $\rho = f(x, y)dx \wedge dy$, which can be integrated. Though we can define a notion of the Laplacian without the minus sign, as we will shortly see, that minus sign is important in our setup for the proof of the uniformisation theorem.

A special class of function that is also important to our theory is that of the Harmonic functions. They play an important role in studying the Laplacian operator generally and have a number of very nice properties that we will rely on in the coming sections.

Definition 5.1.2 (Harmonic function). Let X be a Riemann surface. A function $f \in \Omega^0(X)$ is said to be harmonic if $\Delta f = 0$. If however, f is a smooth complex function, it is said to be harmonic if both its real and imaginary parts are real valued harmonic functions.

We note that we can relax the differentiability condition considerably to make the functions only twice differentiable. Thus harmonic functions need to be at least twice differentiable. Furthermore, the domain of definition is not restricted to just Riemann surfaces but can also be any open subset of \mathbb{R}^n or \mathbb{C}^n for any $n \geq 1$.

Let us give some examples of Harmonic functions.

Example 5.1.3 (Examples of various Harmonic functions on different domains). Over open sets in \mathbb{C} we have:

- Constant functions are trivially harmonic.
- Any holomorphic function is automatically a harmonic function since its real and imaginary parts satisfy the Cauchy-Riemann equations.
- $f(z) = e^z = e^{x+iy} = e^x \sin(y)$

Taking our domain of definition to be open subsets of \mathbb{R}^3 , we have:

- $f(x, y, z) = \frac{1}{\sqrt{x^2+y^2+z^2}}$

Finally, on the punctured plane $\mathbb{C} \setminus \{0\}$, we also have a nice family of examples:

- $f(x + iy) = \log(x^2 + y^2)$ and hence $g(x + iy) = K \log(x^2 + y^2)$ for all $K \in \mathbb{R}$.

All of the aforementioned functions satisfy the equation $\Delta f = 0$ on their domain of definition. This concludes the example.

It is enlightening to see the claim that any holomorphic function is harmonic using our language of differential forms.

Lemma 5.1.4. *Holomorphic functions are harmonic functions, ie they have harmonic real and imaginary parts.*

Proof. Let X be a Riemann surface and f a holomorphic function defined on X . Let us consider the Laplacian applied to the sum $\frac{1}{2i}(f \pm \bar{f})$.

$$\frac{1}{2i}\Delta(f \pm \bar{f}) = \bar{\partial}\partial(f \pm \bar{f}) = -\partial(\bar{\partial}f) \pm \bar{\partial}(\overline{\partial f}) = -\partial(0) \pm \bar{\partial}(0) = 0 \pm 0 = 0$$

where both brackets $\bar{\partial}f = 0$ because f is holomorphic. \square

Note that whilst holomorphic implies harmonic, the converse isn't always true. However, we can at least say the following.

Lemma 5.1.5. *Let X be a Riemann Surface, U an open set around a point $p \in X$ and let $\phi \in \Omega^0(U)$ be a harmonic function. Then there exists an open neighbourhood $V \subset U$ of the point p and a holomorphic function $f: V \rightarrow \mathbb{C}$ with $\phi = \operatorname{Re}(f)$.*

Since this is a local result on X , then the proof follows exactly as in classical complex analysis. However, we wish to showcase how using calculus on Riemann surfaces can help solve problems efficiently and as such we provide a proof using the tools we have established.

Proof. Let $A \in \Omega^1(U)$ be a real one-form such that:

$$\begin{aligned} A &= -\frac{\partial\phi}{\partial y}dx + \frac{\partial\phi}{\partial x}dy \\ &= i\bar{\partial}\phi + \overline{i\bar{\partial}\phi} \end{aligned}$$

Since ϕ is harmonic, $\bar{\partial}\partial\phi = 0$ and $d = \partial + \bar{\partial}$, we get that $dA = 0$. Hence, if V is an open, simply-connected set (such that $H^1(V) = 0$), then we can find a function $\psi \in \Omega^0(V)$ such that $d\psi = A$. By equating coefficients we get that $\partial\psi = -i\bar{\partial}\psi$ and $\bar{\partial}\psi = i\bar{\partial}\phi$. So if we construct a function $f: V \rightarrow \mathbb{C}$ where $f = \phi + i\psi$, we see that $\bar{\partial}f = \bar{\partial}(\phi + i\psi) = \bar{\partial}\phi + i(\bar{\partial}\psi) = 0$ and hence, f is a holomorphic function whose real part is ϕ . \square

Harmonic functions are, clearly, quite well behaved and have nice properties that are not generally exhibited by other functions. These properties, such as the maximum principle, which we will define and prove below, play an important role in our treatment of the uniformisation theorem.

To prove the maximum principle however, we first need a version of the mean value theorem for harmonic functions.

Lemma 5.1.6 (The Mean Value Theorem for Harmonic Functions). *Let U be a domain in \mathbb{C} around a point $a \in U$ and let $\phi \in \Omega^0(U)$ be harmonic on U . Let γ be a closed circle of radius $R > 0$ contained within U that encircles the point a . Then, the mean value of ϕ over the set bounded by γ is equal to the value of ϕ at the point a .*

We note that while the statement of this lemma talks about domains of \mathbb{C} , we could equally talk about domains on any Riemann surface as by definition of a Riemann Surface, we have chart maps that map open sets of a Riemann Surface to domains in \mathbb{C} .

Proof. Since ϕ is a real-valued harmonic function, then by lemma 5.1.5 then we have a holomorphic function f such that the real part of f is ϕ . We now consider the statement of Cauchy's Integral formula about γ .

$$f(a) = \frac{1}{2\pi i} \int_{\gamma} \frac{f(z)}{z} dz$$

Parameterising by γ gives us that $z = a + Re^{i\theta}$ for $\theta \in [0, 2\pi)$ on γ and that $dz = iRe^{i\theta} d\theta$. Plugging these into the above equation gives us the relation that

$$\begin{aligned} f(a) &= \frac{1}{2\pi i} \int_{\gamma} \frac{f(z)}{z} dz = \frac{1}{2\pi i} \int_0^{2\pi} \frac{f(z)}{Re^{i\theta}} iRe^{i\theta} d\theta \\ &= \frac{1}{2\pi} \int_0^{2\pi} f(z) d\theta \end{aligned}$$

Since ϕ is the real part of f , we can split f into real and imaginary parts with the integrals splitting into real and imaginary parts. Hence, we get that

$$\phi(a) = \frac{1}{2\pi} \int_0^{2\pi} \phi(z) d\theta$$

which implies that the average value of $\phi(z)$ along γ equals $\phi(a)$ giving us our mean value theorem. \square

Now we are in a position to discuss the (strong) maximum principle for harmonic functions. Some authors may call following the strong maximum principle though since we don't need the "weak" maximum principle, we will simply refer to the following as the maximum principle. The following can be found in [2]

Lemma 5.1.7 (The Maximum Principle). *Let X be a Riemann Surface, let U be a domain on X and ϕ be a real-valued harmonic function on U . Then ϕ has no extrema in U . In other words, if for some $w \in U$ we have that $\phi(w) = \max\{\phi(z) : \forall z \in U\}$ then ϕ must be a constant.*

Proof. Let w_0 be the point at which the maximum of ϕ occurs in U . Consider a small circular neighbourhood of w_0 , with w_0 at the centre with the closed neighbourhood bounded by a

circle called γ . We pick a point w_1 on the circle γ and suppose that $\phi(w_0) > \phi(w_1)$. Let us connect w_0 and w_1 with a straight line δ so that $\delta : [0, 1] \rightarrow U$ with $\delta(0) = w_0$ and $\delta(1) = w_1$.

Since ϕ is continuous, that implies that for all $t > 0$, we must have that $\phi(\delta(0)) > \phi(\delta(t))$. But this violates lemma 5.1.6, the mean value theorem for harmonic functions, for if this is the case, then we would have

$$\phi(w_0) > \frac{1}{2\pi} \int_0^{2\pi} \phi(\theta) d\theta$$

This clearly cannot be so we must make it so that $\phi(w_0) = \phi(\delta(t))$ for all $t > 0$. So ϕ is constant in this small neighbourhood, which is closed. However, for any point in this neighbourhood, there is an open ball contained in U surrounding it. Hence this neighbourhood is also open. This leads to the conclusion that the set of points maximising ϕ must either be empty, or must be the entire component of the domain containing w_0 . Hence, if ϕ has a maximum in U , ϕ must be constant across the whole of U . \square

So we see that harmonic functions are indeed quite special. We now move on to our next topics of interest.

5.1.2 Hilbert Spaces and the space $\mathcal{H}(X)$

Hilbert spaces are in some ways, the most familiar class of space that one may study. They crop up everywhere in Mathematics and more and more in Theoretical Physics and indeed they play a major part in the proof of the Uniformisation theorem. Specifially, we will want to construct a particular Hilbert space that will contain a function that we will use to prove the uniformisation theorem. Let us provide some definitions first.

Definition 5.1.8 (Inner Product Space). Let V be a vector space over a field \mathbb{F} and $\langle \cdot, \cdot \rangle : V \times V \rightarrow \mathbb{F}$ be a positive definite, sesquilinear form on V . Then the pair $\{V_{\mathbb{F}}, \langle \cdot, \cdot \rangle\}$ (frequently abbreviated as just $V_{\mathbb{F}}$ or V if the underlying field is known) is called an Inner Product Space or Pre-Hilbert Space. If $\mathbb{F} = \mathbb{R}$, then the sesquilinearity of the inner product becomes a symmetry condition, ie that for some $x, y \in V$ we have that $\langle x, y \rangle = \langle y, x \rangle$. Such inner product spaces are called real inner product spaces. Finally, inner product spaces have a norm associated with them, defined as a function $\| \cdot \| : V \rightarrow \mathbb{F}$ which can be computed by $\|v\| = \sqrt{\langle v, v \rangle}$ for all $v \in V$.

We note that because inner product spaces have a norm associated with them, that they are, in fact, metric spaces. We note that we can define on any inner product space a metric as follows.

Proposition 5.1.9. *Let V be an inner product space. Then V is a metric space.*

Proof. Let $\{V, \langle \cdot, \cdot \rangle\}$ be an inner product space. Pick two elements of $a, v \in V$. We define the metric $d : V \times V \rightarrow \mathbb{R}$ with the formula $d(a, b) = \|a - b\|$. By the properties of the inner product, we see that $d(a, b)$ is symmetric, and positive definite. The triangle inequality follows by applying the Cauchy-Schwartz inequality. \square

We can now define a Hilbert space.

Definition 5.1.10 (Hilbert Space). An inner product space V is called a Hilbert Space if it is a complete metric space with a norm induced by the inner product.

Hilbert spaces over the real numbers are called real Hilbert spaces and those over the complex numbers are called complex Hilbert spaces. We see that Hilbert spaces are very similar to just normal inner product spaces and as such a lot of our examples are familiar vector spaces. Examples include

Example 5.1.11. • \mathbb{R}^n with the usual Euclidean inner product.

However, the fact Hilbert spaces are complete, allows us to find the limits of all Cauchy sequences of their elements. This completeness property allows us to generalise our notions of calculus as we have guaranteed that well defined limits exist within our space.

A further important reason to want to use the theory of Hilbert Spaces is the Riesz Representation Theorem; a theorem that allows us, under sufficiently nice conditions, to represent a bounded linear functional acting on an element of a Hilbert space as an inner product of elements. Let us write this more precisely .

Theorem 5.1.12 (The Riesz Representation Theorem). *Let H be a real Hilbert Space, and let $\sigma : H \rightarrow \mathbb{R}$ be a bounded linear functional, so there exists a constant C such that $|\sigma(x)| \leq C\|x\|$ for all $x \in H$. Then there exists an element $z \in H$ such that*

$$\sigma(x) = \langle z, x \rangle$$

A proof of this theorem can be found in chapter ??? of ???

We are now in a position to introduce a space of critical importance to our study of the Laplacian on a Riemann surface. This space will be a Hilbert space, though we prove this in steps, first showing it is a vector space, then that given a particular norm, it is an inner product space, and then later on showing that it is complete. We call this inner product

space $\mathcal{H}(X)$ and we shall explicitly construct it below, with its completion being constructed later and will be denoted as $\overline{\mathcal{H}}(X)$.

Note that henceforth we consider only connected Riemann surfaces to alleviate issues related to having multiple connected components.

Lemma 5.1.13. *Let X be a connected Riemann Surface. We define a relation on $\Omega^0(X)$ (or on $\Omega_c^0(X)$ if X is non-compact), whereby two functions are equivalent if they differ by a constant function. This relation, given the symbol \sim , defines an equivalence relation on $\Omega^0(X)$.*

Proof. We let $f, g, h \in \Omega^0(X)$ (or $\Omega_c^0(X)$ if X is non-compact) be functions and let $a, b \in \mathbb{R}$ be arbitrary constants. Since any function $f = f + 0$ then we have that $f \sim f$. Further, we have that if $f \sim g$ implying $f = g + a$, then we have that $g + (-a) = f$. Since $a \in \mathbb{R}$ then $-a \in \mathbb{R}$ and so we have $g \sim f$. Finally, if $f \sim g$ and $g \sim h$ implying that $f = g + a$ and $g = h + b$, then we have that $f \sim h$ since $f = g + a = (h + b) + a = h + (b + a)$ and $b + a \in \mathbb{R}$. Hence, \sim is indeed an equivalence relation on $\Omega^0(X)$. \square

With this equivalence relation we can now define the space of cosets of functions differing by a constant.

Definition 5.1.14 (The space $\mathcal{H}(X)$). Let X be a connected Riemann Surface. The space of cosets under the above defined equivalence relation \sim , is written as $\mathcal{H}(X) = \Omega^0(X) / \sim$. Each element of this space is a coset of smooth functions on the Riemann Surface X , whose elements all differ from the coset representative by a constant. Similarly, if X is non-compact, then we define $\mathcal{H}(X) = \Omega_c^0(X) / \sim$, whose elements all have compact support in X .

Note that in [1], this space is called both H and $C^\infty(X)/\mathbb{R}$, with the former occurring when X is non compact and the latter in the compact case. We will however, distinguish the two cases by identifying X as being compact and non compact, if necessary. Furthermore, the notation $C^\infty(X)/\mathbb{R}$, comes from $C^\infty(X)$ meaning the space of smooth functions on X in classical functional analysis.

Perhaps unsurprisingly, $\mathcal{H}(X)$ is a vector space. This follows from the fact that $\Omega^0(X)$ is itself a vector space, and the explicit proof is nearly identical to showing that $\Omega^0(X)$ is a vector space. Though this new space is somehow more complicated than the space of smooth functions, there is an advantage to working with these cosets; namely that we can define a particular inner product and associated norm which will be of particular interest to our study of the Laplacian on Riemann surfaces. This inner product, called the Dirichlet Inner

Product, is defined as follows.

Definition 5.1.15 (The Dirichlet Inner Product). Let X be a connected Riemann Surface and let $f, g \in \mathcal{H}(X)$, where at least one of f or g have compact support within X . Then we define the Dirichlet Inner Product $\langle \cdot, \cdot \rangle_D : \mathcal{H}(X) \times \mathcal{H}(X) \rightarrow \mathbb{R}$ as

$$\langle f, g \rangle_D = \langle df, dg \rangle = 2i \int_X \partial f \wedge \bar{\partial} g$$

where the inner product on the right hand side is defined in the previous section as definition 4.6.4. Notice that this is a real valued inner product. This inner product of course, also defines a norm in the usual way:

$$\|f\|_D^2 = \langle f, f \rangle_D$$

If the functions were to not have compact support within this region then, the value of the inner product and norm might be $+\infty$, so by considering only functions with suitable compact support, we guarantee that we have an inner product and norm.

So with all of this theoretical set up, it would be nice if we could somehow get back our claimed main object of study for this section; the Laplacian. Although hidden, the Dirichlet norm (and the associated inner product) already contain the Laplacian in their definition. The following proposition shows just how.

Proposition 5.1.16. *Given a connected Riemann surface X , if at least one function f, g is in $\mathcal{H}(X)$ (hence having compact support on X), then*

$$\langle f, g \rangle_D = \int_X f \Delta g = \int_X g \Delta f$$

Proof. We first consider the following two identities:

- $\bar{\partial} \wedge (f \bar{\partial} g) = f(\bar{\partial} \wedge \bar{\partial} g) + \bar{\partial} f \wedge \bar{\partial} g = 0 + 0 = 0$
- $\partial \wedge (f \bar{\partial} g) = \partial f \wedge \bar{\partial} g + f(\partial \wedge \bar{\partial} g) = \partial f \wedge \bar{\partial} g - \frac{1}{2i} f \Delta g$

Now let us expand the inner product. By the first identity above, we have that $f \bar{\partial} g$ is holomorphic, so that $d = \partial$. Hence, we can apply Stokes theorem. Note that since X has no boundary, $\partial X = \emptyset$ and hence, that integral vanishes.

$$\begin{aligned} \langle f, g \rangle_D &= 2i \int_X \partial f \wedge \bar{\partial} g = 2i \int_X \partial(f \bar{\partial} g) + \int_X f \Delta g \\ &= 2i \int_X \partial f \wedge \bar{\partial} g = 2i \int_{\partial X} f \bar{\partial} g + \int_X f \Delta g \\ &= \int_X f \Delta g \end{aligned}$$

Since the Dirichlet inner product is a real inner product, it is symmetric and hence we have that $\langle f, g \rangle_D = \langle g, f \rangle_D$ and so $\int_X f \Delta g = \int_X g \Delta f$ as claimed. \square

5.2 The Dirichlet Energy Functional

A point that we will not be proving explicitly is that all functionals we consider hereon are "continuous", in the sense that given a linear functional $F : \mathcal{H}(X) \rightarrow \mathbb{R}$, we have that for any $\epsilon > 0$ we have a $\delta > 0$ such that for all $x, y \in V$, $\|x - y\| < \delta \Rightarrow |F(x) - F(y)| < \epsilon$. This continuity allows us to search for limits, by looking at how the functional acts on Cauchy sequences, as we shall see. We now begin by defining the $\hat{\rho}$ functional, a simple integral functional from $\mathcal{H}(X)$ to \mathbb{R} .

Definition 5.2.1 (The $\hat{\rho}$ functional). Let X be a connected Riemann Surface and $\rho \in \Omega_c^2(X)$ be a 2-form of compact support in X such that $\int_X \rho = 0$. Then, we define the functional $\hat{\rho} : \mathcal{H}(X) \rightarrow \mathbb{R}$ to be defined as, for some f of compact support in $\mathcal{H}(X)$,

$$\hat{\rho}(f) = \int_X f \rho$$

Since $\int_X \rho = 0$ then we have that this functional is linear on functions of compact support in $\mathcal{H}(X)$. Note that, if X is a compact Riemann surface, then all functions and 2-forms on X automatically have compact support in X , so the compact support conditions really only matter in the cases of non-compact Riemann surfaces.

We now prove the claim that $\hat{\rho}$ is a linear functional on $\mathcal{H}(X)$.

Lemma 5.2.2 ($\hat{\rho}$ is a linear functional). *Let X be a connected Riemann surface. Then $\hat{\rho}$ is a linear functional, ie $\hat{\rho}(\lambda f + \mu g) = \lambda \hat{\rho}(f) + \mu \hat{\rho}(g)$*

Recall that elements of $\mathcal{H}(X)$ are equivalence classes of smooth functions on X (with compact support on X if X is non-compact), which differ by a constant.

Proof. Let $\tilde{f}, \tilde{g} \in \mathcal{H}(X)$ and $\lambda, \mu \in \mathbb{R}$. Then for some real constant, $a, b \in \mathbb{R}$ we have that $\tilde{f}(z) = f(z) + a$ and $\tilde{g}(z) = g(z) + b$. Recall also that $\int_X \rho = 0$. Hence, we get that:

$$\begin{aligned}
\hat{\rho}(\lambda\tilde{f} + \mu\tilde{g}) &= \hat{\rho}(\lambda(f + a) + \mu(g + b)) \\
&= \int_X (\lambda(f + a) + \mu(g + b))\rho \\
&= \lambda \int_X (f + a)\rho + \mu \int_X (g + b)\rho \\
&= \lambda \int_X f\rho + \lambda a \int_X \rho + \mu \int_X g\rho + \mu b \int_X \rho \\
&= \lambda\hat{\rho}(f) + \mu\hat{\rho}(g) \\
&= \lambda\hat{\rho}(\tilde{f}) + \mu\hat{\rho}(\tilde{g})
\end{aligned}$$

with the last line following since clearly $f \in \tilde{f}$ and $g \in \tilde{g}$. \square

Let us use the fact that $\hat{\rho}$ is a linear functional to define a new functional that will play a central role in our setup for the proof of the uniformisation theorem.

Definition 5.2.3 (Dirichlet Energy Functional). Let X be a connected Riemann Surface, and suppose $f \in \mathcal{H}(X)$. Suppose further that we have 2-form $\rho \in \Omega^2(X)$ (or $\Omega_c^2(X)$ if X is non-compact) such that $\int_X \rho = 0$. Then we can define a functional, $\mathcal{L} : \mathcal{H}(X) \rightarrow \mathbb{R}$ such that $\mathcal{L}(f) = \|f\|_D^2 - 2\hat{\rho}(f)$. This \mathcal{L} is called the Dirichlet energy functional, or sometimes Dirichlet energy for short.

The case of X being non-compact requires special treatment as we have seen in the preceding definitions. In these cases, we consider functions f that are compactly supported on X as this guarantees that f goes to zero as $\|f\|_D$ goes to $+\infty$. This condition, along with considering that a non-compact Riemann surface is simply connected, will be sufficient for our discussion of the Dirichlet functional on non-compact Riemann surfaces.

Returning to the Dirichlet functional, we see that we can consider this functional as an example of a Lagrangian; physically, a quantity that encapsulates the difference of the kinetic and potential energy of a dynamic system. With this in mind, let us try to set up a situation, which will give us the main tools needed to prove the Uniformisation theorem. In keeping with the theme of 19th century mathematics, we look to electrostatics for motivation. Let us for a moment, assume that our Riemann surface is in fact a conductive surface (known as a dielectric). We can then ask the question, "What stable (non-changing) distributions of electric charge are physically possible to apply onto our Riemann surface?"

Physicists generally agree that nature is lazy, and always acts to minimise the energy of a dynamical system. Therefore, we should look for functions which minimise the Lagrangian

of this problem too. But what does the Lagrangian of this system look like? Perhaps, unsurprisingly, the electrostatic potential ϕ of a dielectric in the presence of an external charge distribution ρ , is equal to $\|\phi\|_D - 2\hat{\rho}(\phi)$. This fact can be found in [9]. In other words, the Dirichlet energy function, \mathcal{L} , is the Lagrangian of our system. Hence, we can turn this problem around to be the following:

Problem. Given a charge distribution ρ , of integral zero over our Riemann surface, which potential functions exists which minimise the energy of the system?

This leads us to look for functions in $\mathcal{H}(X)$ that minimise \mathcal{L} as much as possible. But how do we find such solutions? Do they even exist? To answer this, we appeal to the calculus of variations. We wish to apply the variational principle, a method for finding functions which minimise \mathcal{L} . To prove the existence of such minimising functions however, we need to show that \mathcal{L} is a bounded functional, bounded from below. This will allow us to apply the variational principle to find which functions minimise \mathcal{L} . However, we must be careful as such minimising functions may live outside of $\mathcal{H}(X)$, in the Hilbert space $\overline{\mathcal{H}}(X)$, the completion of our inner product space. In our case, that will not be so, though showing that will be a little trickier.

Let us, for a moment, suppose such functions exist and that \mathcal{L} is a bounded linear functional. What are the functions that minimise our problem? We begin by computing the first variation of \mathcal{L} . To do this we let $\epsilon > 0$ and let g be function on X . The conditions on g will be more precisely defined later, so for now, we assume such a g exists. Let us now compute the first variation of \mathcal{L} :

$$\begin{aligned} \left. \frac{d}{d\epsilon} \right|_{\epsilon=0} \mathcal{L}(f + \epsilon g) &= 0 \\ \left. \frac{d}{d\epsilon} \right|_{\epsilon=0} (\|f + \epsilon g\|_D^2 - 2\hat{\rho}(f + \epsilon g)) &= 0 \\ \left. \frac{d}{d\epsilon} \right|_{\epsilon=0} \langle f + \epsilon g, f + \epsilon g \rangle - 2 \left. \frac{d}{d\epsilon} \right|_{\epsilon=0} \left(\int_X f \rho + \epsilon \int_X g \rho \right) &= 0 \\ \left. \frac{d}{d\epsilon} \right|_{\epsilon=0} (\langle f, f \rangle + 2\epsilon \langle f, g \rangle + \epsilon^2 \langle g, g \rangle) - 2\hat{\rho}(g) &= 0 \\ 2\langle f, g \rangle - 2\hat{\rho}(g) &= 0 \\ \int_X g \Delta f - \int_X g \rho &= 0 \\ \int_X g(\Delta f - \rho) &= 0 \end{aligned}$$

The appearance of the Laplacian comes from proposition 5.1.16. Since we have that at least one of f, g and ρ, g have compact support (and in this case both f and ρ are compactly

supported on X), then for this integral to be zero, we need the following statement to be true:

There exists a unique solution f , up to addition by a constant, of the equation

$$\Delta f = \rho \iff \int_X \rho = 0$$

This equation, known as Poissons' equation, will be the main tool we will use in our treatment of the uniformisation theorem. As we shall shortly see, we only need to consider its solutions on a small number of Riemann surfaces to allow us to draw the conclusions we seek. We will split our study of the Poisson equation into two families:

- The Poisson equation on connected, compact Riemann surfaces.
- The Poisson equation on connected, simply connected, non-compact Riemann surfaces.

The reason for choosing these two families of Riemann surface is not immediately obvious but in brief, we will attempt to find all simply connected Riemann surfaces, and then show that all other Riemann surfaces must arise as some kind of quotient space of these simply connected surfaces. This search is motivated by a similar topological result which loosely says that a sufficiently nice topological space can be constructed by taking its universal cover (the unique simply-connected covering space) and quotienting it by a properly discontinuous group action. We will approach the problem in a similar way, but importantly in our case, the quotient spaces of these simply connected Riemann surfaces also have Riemann surface structures.

We begin by solving Poissons equation on connected, compact Riemann surfaces. A corollary of this section will be that there exists a single simply connected compact Riemann surface, up to conformal equivalence - the Riemann Sphere.

5.3 Solving the Poisson equation on compact Riemann surfaces

To begin this section, let us state the theorem that we wish to prove precisely.

Theorem 5.3.1 (Poissons's equation on compact Riemann surfaces). *Let X be a connected, compact Riemann surface. Let $\rho \in \Omega^2(X)$ be a 2-form on X . Then, there exists a unique, smooth solution $f \in \Omega^0(X)$ to the equation $\Delta f = \rho$, up to the addition of a constant, if and only if $\int_X \rho = 0$. This equation is called the Poisson equation.*

We can immediately prove two things:

- If f is a smooth solution to $\Delta f = \rho$, then $\int_X \rho = 0$
- f is unique up to a constant.

The remaining statement however, ie given an arbitrary $\rho \in \Omega^2(X)$ such that $\int_X \rho = 0$ then we can find a unique solution up to the addition of a constant, is much trickier to prove and this section is dedicated to proving that final point of the theorem. So let us begin the proof of Theorem 5.3.1 by proving the two aforementioned bullet points.

Proof. We wish to first prove that if f is a smooth solution to $\Delta f = \rho$ then $\int_X \rho = 0$. To show this, we note that since $d = \partial + \bar{\partial}$ and $d(\partial f) = \partial^2 f + \bar{\partial}\partial f = \bar{\partial}\partial f$ since $\partial^2 = 0$. Recall that $\Delta f = 2i\bar{\partial}\partial f$. Combining these two gives us that $\Delta f = 2id(\partial f)$. So let us integrate ρ .

$$\int_X \rho = \int_X \Delta f = 2i \int_X \bar{\partial}\partial f = 2i \int_X d(\partial f) = 2i \int_{\partial X} \partial f = 0$$

where the last equality follows from Stokes theorem and the fact that X has no boundary.

Now to show that such a solution is unique up to a constant. We assume f and g are both solutions to the Poisson equation, ie $\Delta f = \rho$ and $\Delta g = \rho$. Then we have that $\Delta(f - g) = 0$, ie that $f - g$ is a harmonic function. Thinking of the Dirichlet norm for a moment, if we consider $\|f - g\|_D$ we get $\int_X (f - g)\Delta(f - g) = 0$. Hence, we have $\|f - g\|_D = 0$. Unfortunately, this is not a norm on $\Omega^0(X)$ but on $\mathcal{H}(X)$. But elements of $\Omega^0(X)$ can easily be mapped to elements of $\mathcal{H}(X)$. So let us consider $\|\tilde{f} - \tilde{g}\|_D = \|df - dg\|$. It follows that $\|\tilde{f} - \tilde{g}\|_D = 0$ since $\Delta(\text{constant}) = 0$ and so by the definition of d and the Dirichlet norm, we get that $df - dg = d(f - g) = 0$ implying that $f - g = c$ where c is a constant. So any solution of the Poisson equation is unique up to the addition of a constant. \square

As stated, to complete our proof of Theorem 5.3.1, now must now show that given a 2-form $\rho \in \Omega^2(X)$ such that $\int_X \rho = 0$ we can find a smooth function f such that $\Delta f = \rho$.

5.3.1 Convolutions and related technical lemmas

Before we begin, we will diverge slightly from discussing the Poisson equation on connected, compact Riemann surfaces and instead talk briefly about convolutions and the Newtonian potential and prove some technical lemmas that will be useful when we attempt to prove the final part of Theorem 5.3.1.

Definition 5.3.2 (Convolution of functions). Let f, g be smooth, complex functions defined on \mathbb{R}^n . Then their convolution is defined as $(f * g)(x) = \int_{\mathbb{R}^n} f(y)g(x - y)dy$ where dy is volume form on \mathbb{R}^n , provided the integral exists for all $x \in \mathbb{R}^n$.

Note that this definition implies we have the identity $\langle f, g * h \rangle = \langle g * f, h \rangle$, that we will refer to as the triple product identity.

It is important to note that, one can relax the requirement for the integral to exist on all $x \in \mathbb{R}^n$ to requiring x to be defined on $\mathbb{R}^n \setminus U$, where $U \subset \mathbb{R}^n$ is a set of measure zero. The definition of a set of measure zero can be found on page 50 of [7]. For a detailed treatment of convolutions of functions and the theory of distributions and a more in depth discussion of the theory of Partial Differential Equations, one can refer to Chapter 6 of [3]. Let us prove some useful lemmas involving convolutions with the Laplacian that we will be using later.

We also will want to use a theorem from classical vector calculus, known as Green's identity, though we will modify the statement of this theorem for the case of the plane. This is not to be confused with Green's theorem for line integrals.

Theorem 5.3.3 (Green's identity). Let $u, v \in \mathbb{R}^2$ be smooth functions on a path connected, bounded set $\Omega \subset \mathbb{R}^2$. Then we have that

$$\iint_{\Omega} (u \nabla^2 v - v \nabla^2 u) dS = \int_{\partial \Omega} \left(u \frac{\partial v}{\partial n} - v \frac{\partial u}{\partial n} \right) dl,$$

where n is the oriented normal of the boundary curve, dS is the standard surface area element and dl is the standard arc length element of the boundary.

Recall that in local coordinates, the definition of the Laplacian gave us that

$$-\Delta f = \left(\frac{\partial^2 f}{\partial x^2} + \frac{\partial^2 f}{\partial y^2} \right) dx \wedge dy = (\nabla^2 f) dx \wedge dy$$

where $\nabla^2 f$ is the notation we shall use for the functional portion of our Laplacian (ie given a volume form $d\mu$, then $\Delta f = (\nabla^2 f) d\mu$).

In the plane, \mathbb{R}^2 , with regular cartesian coordinates, we have that $dS = dx \wedge dy$ or in more usual notation $dS = dx dy$. dS and dl are both examples of measures which, loosely speaking, "measure" how much one unit of whatever we are integrating over is. By changing coordinates we can perhaps simplify our problems, but dS still measures the same surface area element. We see that the limits of integration must hence change to account for the potential introduction of new factors in the integral, brought about by this change of coordinates. An example of this is if we change from our standard coordinates to polar coordinates $(r, \theta) \mapsto (r \cos(\theta), r \sin(\theta)) = (x, y)$. In this case, $dS = dx dy = r dr d\theta$ where the factor of r

is recovered by computing the determinant of the jacobian of the change of coordinates, as usual. In short, the important thing to note with Green's identity is that it is independent of choice of coordinates used, and our notation when using it will take advantage of this fact.

Returning to convolutions, we have the following lemma

Lemma 5.3.4. *Consider the function $V(z) = \frac{1}{2\pi} \log |z|$. We call this function the Newtonian potential and its significance will be discussed later. V is well defined on all of $\mathbb{C} \setminus \{0\}$. Let us further consider two smooth functions σ, τ of compact support in \mathbb{C} . Then we have the following two points:*

- $(V * \Delta\sigma)(z) = \sigma(z)$
- $\Delta(V * f)(z) = f(z)$

Proof. Since we can change coordinates linearly, we can set $z = 0$ and evaluate the convolution of $(V * \Delta\sigma)(0)$. We also note that since $\log(z)$ is harmonic on $\mathbb{C} \setminus \{0\}$, as we saw in example 5.1.3, then $\Delta \log(|z|) = 0$. By setting dS to be our volume form, we get:

$$(V * \Delta\sigma)(0) = \int_{\mathbb{C}} \frac{1}{2\pi} \log(|w|) \Delta\sigma dS$$

Let $\text{supp}(\sigma) = U \subset \mathbb{C}$. By the Heine-Borel theorem, since this is compact subset of the plane, it is also closed and bounded and hence, U has a boundary ∂U . Thus we can replace our domain of integration from \mathbb{C} to U since σ is zero outside of U . Let us also denote, for some $\delta > 0$, a small closed ball $B_\delta \subset U$ around 0. Since $\sigma(w) = 0$ for all $w \in \mathbb{C} \setminus U$ then the integral needs only to be evaluated on U . We will want however to be careful with how we treat the singularity at the origin. We begin by cutting out from U a small open ball of radius $\delta > 0$ for some small δ , such that the ball $B_\delta \subset U$. Then we can split U into a union of two sets $U = B_\delta \cup U_\delta$, where $U_\delta = U \setminus B_\delta$. In the limit of $\delta \rightarrow 0$ we get that $U = U_\delta$. So let us evaluate the above integral in this limit. We begin by applying Green's identity, over the set U_δ , since by letting $u = \frac{1}{2\pi} \log(|w|)$ and $v = \sigma$ we get the statement of the left hand side of Green's identity since $\frac{1}{2\pi} \log(|w|)$ is harmonic on U_δ . So we proceed as follows.

$$\begin{aligned} (V * \Delta\sigma)(0) &= \lim_{\delta \rightarrow 0} \iint_{U_\delta} \frac{1}{2\pi} \log(|w|) \Delta\sigma(w) dS \\ &= \lim_{\delta \rightarrow 0} \int_{\partial U_\delta} \left(\frac{1}{2\pi} \log(|w|) \frac{\partial \sigma(w)}{\partial n} - \sigma(w) \frac{\partial}{\partial n} \left(\frac{1}{2\pi} \log(|w|) \right) \right) dl \end{aligned}$$

Careful thought gives us that ∂U_δ has two components, one on the outer edge of U_δ , the boundary of the support of σ , called the outer boundary, and one on the circle around the origin, of radius δ , the inner boundary. Since σ is continuous, then on the outer boundary, the value of σ must be zero and so the integral there is zero. Hence, we only need to consider what

happens on the interior boundary. So to compute the integral, we must consider the normal outwards vector of this circle. However, the outwards vector of this circle points inwards towards the origin. So we define the normal vector $\hat{n} = -\frac{1}{r}\hat{r}$ and that the normal derivative is $\frac{\partial}{\partial n} = -\frac{\partial}{\partial r}$. Finally, since we are taking a radial limit it makes sense to parameterise w using polar coordinates, so $w = re^{i\theta}$ with $r \in [0, \infty)$ and $\theta \in [0, 2\pi)$. Our integral now becomes

$$\begin{aligned} (V * \Delta\sigma)(0) &= \lim_{\delta \rightarrow 0} \int_{\partial U_\delta} \left(\frac{1}{2\pi} \log(|w|) \frac{\partial \sigma(w)}{\partial n} - \sigma(w) \frac{\partial}{\partial n} \left(\frac{1}{2\pi} \log(|w|) \right) \right) dl \\ &= \lim_{\delta \rightarrow 0} \int_{\partial U_\delta} \left(-\frac{1}{2\pi} \log(r) \frac{\partial \sigma(re^{i\theta})}{\partial n} + \sigma(re^{i\theta}) \frac{\partial}{\partial r} \left(\frac{1}{2\pi} \log(r) \right) \right) dl \\ &= \lim_{\delta \rightarrow 0} \int_{\partial U_\delta} \left(-\frac{1}{2\pi} \log(r) \frac{\partial \sigma(re^{i\theta})}{\partial n} + \frac{1}{2\pi r} \sigma(re^{i\theta}) \right) dl \end{aligned}$$

Since we are evaluating this integral along the edge of the circle of radius δ , we can set $r = \delta$ and note that dl hence becomes $d\theta$ since parameterise our position on the circle by the angle θ . Hence our integral becomes

$$\begin{aligned} (V * \Delta\sigma)(0) &= \lim_{\delta \rightarrow 0} \int_{\partial U_\delta} \left(-\frac{1}{2\pi} \log(r) \frac{\partial \sigma(re^{i\theta})}{\partial n} + \frac{1}{2\pi r} \sigma(re^{i\theta}) \right) dl \\ &= \lim_{\delta \rightarrow 0} \int_{\partial U_\delta} \left(-\frac{1}{2\pi} \log(\delta) \frac{\partial \sigma(\delta e^{i\theta})}{\partial n} + \frac{1}{2\pi \delta} \sigma(\delta e^{i\theta}) \right) dl \\ &= \lim_{\delta \rightarrow 0} \int_0^{2\pi} \left(-\frac{1}{2\pi} \log(\delta) \frac{\partial \sigma(\delta e^{i\theta})}{\partial n} + \frac{1}{2\pi \delta} \sigma(\delta e^{i\theta}) \right) d\theta \\ &= \lim_{\delta \rightarrow 0} \left(-\frac{1}{2\pi} \log(\delta) \int_0^{2\pi} \frac{\partial \sigma(\delta e^{i\theta})}{\partial n} d\theta + \frac{1}{2\pi \delta} \int_0^{2\pi} \sigma(\delta e^{i\theta}) d\theta \right) \end{aligned}$$

If we now consider the averages of these integrals, we can attempt to directly compute the limit. We note that the average value of each integral will be the total arc length of the circle, $2\pi\delta$, multiplied by some value, as we are integrating over the whole circle. We let the symbol μ_δ indicate the average value of the first integral and $\bar{\sigma}_\delta$ to mean the average value of the second integral, at radius δ . Substituting these into the integral therefore gives us

$$\begin{aligned} (V * \Delta\sigma)(0) &= \lim_{\delta \rightarrow 0} \left(-\frac{1}{2\pi} \log(\delta) \int_0^{2\pi} \frac{\partial \sigma(\delta e^{i\theta})}{\partial n} d\theta + \frac{1}{2\pi \delta} \int_0^{2\pi} \sigma(\delta e^{i\theta}) d\theta \right) \\ &= \lim_{\delta \rightarrow 0} \left(-\frac{1}{2\pi} \log(\delta) 2\pi \delta \mu_\delta + \frac{1}{2\pi \delta} 2\pi \delta \bar{\sigma}_\delta \right) \\ &= \lim_{\delta \rightarrow 0} (-\delta \log(\delta) \mu_\delta + \bar{\sigma}_\delta) \\ &= \sigma(0) \end{aligned}$$

where the last equality holds since $\lim_{\delta \rightarrow 0} \delta \log(\delta) = 0$ and $\overline{\sigma_\delta} \rightarrow \sigma(0)$ as $\delta \rightarrow 0$ since we have that the average value of the integral of σ on smaller and smaller circles around the origin tends to the value of σ at the origin. Hence we have shown that $(V * \Delta\sigma)(0) = \sigma(0)$.

To show now that $\Delta(V * f)(z) = f(z)$, is simpler. We begin by bringing in the Δ into the integral, which we can do since the integral is with respect to the dummy variable w but Δ is the Laplacian on the coordinate z . We denote this volume form as dS_w . Hence we have,

$$\begin{aligned} \Delta(V * f)(z) &= \Delta \int_{\mathbb{C}} V(w) f(z - w) dS_w \\ &= \int_{\mathbb{C}} \Delta(V(w) f(z - w)) dS_w \\ &= \int_{\mathbb{C}} V(w) \Delta f(z - w) dS_w \end{aligned}$$

with the last equality following since V is a function of the coordinate w but Δ is an operator on the coordinate z . Hence, it passes through to f which is a smooth function of compact support. Hence, we have shown that $\Delta(V * f)(z) = V * (\Delta f)(z)$ which we have just shown is $f(z)$. Therefore we have that $\Delta(V * f)(z) = f(z)$ as claimed. \square

5.3.2 Showing the Dirichlet energy \mathcal{L} is a bounded functional

Returning to our strategy for showing that given a 2-form ρ on a connected, compact Riemann surface X such that $\int_X \rho = 0$, then we have a smooth function f on X such that $\Delta f = \rho$, we want to now prove that such an f can exist. To do this, we need to show that the Dirichlet energy, $\mathcal{L}(f) = -2\hat{\rho}(f) + \|f\|_D^2$ is a bounded functional, bounded from below. Since for any smooth function f on X we have a finite Dirichlet norm (ie $\|f\|_D < +\infty$ for all $f \in \mathcal{H}(X)$), we are left with needing to show that the $\hat{\rho}$ functional is also bounded.

To prove this, we need the following theorem and its subsequent corollary. We proceed by working in a bounded, convex, open set in the complex plane. We can do so as we can map any bounded, convex, open set from our Riemann surfaces conformally to the such sets in the complex plane using chart maps. Similarly, due to conformal equivalence, we can assume such sets are circular discs in the complex plane.

We omit the proofs of the following theorem and its corollary due to them being long arguments that are well described in the quoted references.

Theorem 5.3.5 (p122. Theorem 11 [1]). *Let Ω be a bounded convex open set in \mathbb{R}^2 and ψ be a smooth function on an open set containing the closure $\overline{\Omega}$ with $\overline{\psi}$ denoting the average*

$$\overline{\psi} = \int_{\Omega} \psi dS$$

where A is the area of Ω . Then, for $x \in \Omega$, we have

$$|\psi(x) - \bar{\psi}| \leq \frac{d^2}{2A} \int_{\Omega} \frac{1}{|x - y|} |\nabla \psi(y)| dS_y$$

where dS_y indicates that y is the variable of integration.

Note that in [1], the author uses the notation $d\mu$ instead of dS , calling $d\mu$ the Lebesgue measure on \mathbb{R}^2 . As mentioned before briefly, dS is an example of a measure. However to properly define a measure would be too large of a detour for such a minor point, and an in depth exposé can be avoided to some degree. Measure theory as a field of study however is a modern and analytical approach to integration amongst other things and is indeed extremely interesting. The reader is invited to read chapter 11 of [4] for a more depth introduction into the subject.

Returning to the theorem, an important corollary is the following.

Corollary 5.3.6 (p123. Corollary 6 [1]). *Under the hypothesis from Theorem 5.3.5, we have that*

$$\int_{\Omega} |\psi(x) - \bar{\psi}|^2 dS_x \leq \left(\frac{d^3 \pi}{A} \right)^2 \int_{\Omega} |\nabla \psi|^2 dS$$

Using these two estimates we can prove the following theorem.

Proposition 5.3.7. *Let X be a compact, connected Riemann surface. Then the functional $\hat{\rho} : \mathcal{H}(X) \rightarrow \mathbb{R}$ is bounded, ie there exists a constant C such that $|\hat{\rho}(\tilde{f})| \leq C \|\tilde{f}\|_D$, for all $\tilde{f} \in \mathcal{H}(X)$.*

The following proof follows the proof found on page 125 of [1], though we have modified our notation to make certain aspects of the proof clearer and easier to follow.

Proof. We split this proof into two parts. First we show that $\hat{\rho}$ is bounded in the case when $\text{supp}(\rho)$ is contained within a single coordinate chart, and then we show that we can construct a bound for $\hat{\rho}$ over the whole of our compact, connected Riemann surface X . So let us consider a bounded, convex set U in \mathbb{C} . We also state once again that, because chart maps between coordinate patches on \mathbb{C} and open sets in X are conformal equivalences, we can work in these coordinate patches directly, passing functions from X to sets in \mathbb{C} using precomposition with charts and transition maps if necessary (recall the the definition of an atlas). So let $\tilde{f} \in \mathcal{H}(X)$. We write

$$\hat{\rho}(\tilde{f}) = \int_U (f + a) \rho$$

for some $a \in \mathbb{R}$. We set the constant a be equal to $-\bar{f}$, the average value of f on U , hence writing

$$\hat{\rho}(\tilde{f}) = \int_U (f - \bar{f})\rho$$

By fixing an area form in this coordinate chart, say dS , we can write $\rho = g dS$, where $g \in \Omega^0(X)$. This hence gives us that

$$\hat{\rho}(\tilde{f}) = \int_U (f - \bar{f})g dS$$

which by the Cauchy-Schwarz inequality gives

$$|\hat{\rho}(\tilde{f})| = \left| \int_U (f - \bar{f})g dS \right| \leq \|g\| \|f - \bar{f}\|$$

Hence, by corollary 5.3.6, we get that

$$|\hat{\rho}(\tilde{f})| \leq C \|\nabla f\| = C \|df\|$$

where $C = d^3 \pi A \|g\|$, and we have used the fact that the norm of the gradient and exterior derivative of a function are equal. Finally, if we now compose with a chart map to map back to X from U , and letting $\|\cdot\|_U$ indicate the usual norm on U , we have that

$$\|df\|_U \leq \|df\|_X = \|\tilde{f}\|_{D,X}$$

Hence we have that if $\hat{\rho}$ is supported in a single coordinate chart on X , then $\hat{\rho}$ is a bounded operator.

So to extend this over multiple coordinate charts on X we first fix a finite cover of X by coordinate charts of the type considered in the previous case. This is possible since X is compact. We call this family of coordinate charts U_α . Since we showed that for each coordinate chart, a 2-form of compact support in that chart of integral zero is bounded, we wish to somehow stitch together these forms to form a 2-form of integral zero over the whole of X . To do this, we introduce a partition of unity χ_α , subordinate to our choice of finite cover U_α

Since integration of two forms defines an isomorphism between $H^2(X)$ and \mathbb{R} , we can write the 2-form from our functional $\hat{\rho}$ as $\rho = d\theta$ for some $\theta \in \Omega^1(X)$. Now on each U_α we derive a 2-form of compact support from θ using our partition of unity by setting $\rho_\alpha = d(\chi_\alpha \theta)$. Each 2-form ρ_α is of compact support in U_α which it gets from χ_α . Furthermore, we have that,

$$\int_X \rho_\alpha = \int_X d(\chi_\alpha \theta) = \int_{\partial X} \chi_\alpha \theta = 0$$

where we get the second to last equals sign by Stokes theorem, and the equality to zero since X has no boundary. So $\int_X \rho_\alpha = 0$. Finally, since $\sum_\alpha \chi_\alpha = 1$, we have that

$$\rho = d\theta = d\left(\sum_\alpha \chi_\alpha \theta\right) = \sum_\alpha d(\chi_\alpha \theta) = \sum_\alpha \rho_\alpha$$

As such, we have constructed a 2-form ρ such that $\int_X \rho = 0$. Since we showed in the first part that each $\hat{\rho}_\alpha$ is bounded in its coordinate chart, and since ρ is equal to a finite sum of ρ_α we conclude that the functional $\hat{\rho} = \sum_\alpha \hat{\rho}_\alpha$ is also bounded since it is a finite sum of bounded linear maps. \square

So we have that the functional $\hat{\rho}$ is bounded. Let us directly show that \mathcal{L} is hence bounded from below. We have that $\mathcal{L}(f) = -2\hat{\rho}(f) + \|f\|_D^2$ for some function $f \in \mathcal{H}(X)$. We showed above that $|\hat{\rho}(f)| \leq C\|f\|_D$ for the constant C defined above. Since $\hat{\rho}(f) \leq |\hat{\rho}(f)|$ we have that $\mathcal{L}(f) \geq -2C\|f\|_D + \|f\|_D^2 = (\|f\|_D - C)^2 - C^2 \geq -C^2$. Hence, we have that the Dirichlet energy is indeed bounded from below. We now proceed to seek this minimising function. Recall, that a function which minimises \mathcal{L} is a solution to the Poisson equation for a given ρ .

5.3.3 The completion of $\mathcal{H}(X)$ and Weyl's lemma

Let us start this section by discussing the completion of the space $\mathcal{H}(X)$. What does this space look like? We can construct this space abstractly, by defining it as follows.

Definition 5.3.8 (The space $\overline{\mathcal{H}}(X)$). Let X be a connected Riemann surface. The space $\overline{\mathcal{H}}(X)$, the abstract completion of $\mathcal{H}(X)$, can be constructed from the inner product space $\mathcal{H}(X)$ with the Dirichlet inner product, as the space of equivalence classes of Cauchy sequences of elements in $\mathcal{H}(X)$, under the equivalence relation \sim where two Cauchy sequences $\{\psi_i\}$ and $\{\phi_i\}$ are equivalent if $\lim_{i \rightarrow \infty} \|\psi_i - \phi_i\|_D \rightarrow 0$. Therefore, a point in $\overline{\mathcal{H}}(X)$ is an equivalence class of Cauchy sequences of functions that differ by a constant, that have the same limit.

This space is clearly very complicated, but its usefulness to us comes from the fact that we can guarantee that Cauchy sequences of functions will attain their limit in $\overline{\mathcal{H}}(X)$. This space is indeed a vector space because we can add elements of this vector space term by term. The space $\overline{\mathcal{H}}(X)$ also has an inner product, which we define as, for any two points $\psi, \phi \in \overline{\mathcal{H}}(X)$, where $\psi = \{\psi_i\}$ and $\phi = \{\phi_i\}$, the product $(\psi, \phi)_D = \lim_{i \rightarrow \infty} \langle \psi_i, \phi_i \rangle_D$. Note, that we use the notation $(\cdot, \cdot)_D$ for the inner product in $\overline{\mathcal{H}}(X)$ and $\langle \cdot, \cdot \rangle_D$ for the inner product in $\mathcal{H}(X)$.

An interesting point to note is that each inner product in the limit, is just a sequence that is Cauchy in \mathbb{R} . Since we have a complete inner product space, with a norm that we can derive from our new inner product, $(\cdot, \cdot)_D$, then $\overline{\mathcal{H}}(X)$ is in fact, a Hilbert space.

To do this, we begin by extending our functionals to $\overline{\mathcal{H}}(X)$; a process of redefining their domains from $\mathcal{H}(X)$ to $\overline{\mathcal{H}}(X)$. We identify these extended functionals with an underline, except for the extended Dirichlet inner product. This is necessary, as elements of this new Hilbert space look vastly different to that of $\mathcal{H}(X)$. We have already extended the definition of the norm, since we have that a norm on $\overline{\mathcal{H}}(X)$ is defined as, for some $\psi \in \overline{\mathcal{H}}(X)$ that $\|\psi\|_D^2 = (\psi, \psi)_D$. So we now need to extend $\hat{\rho}$. As stated earlier, $\hat{\rho}$ is a bounded functional on $\mathcal{H}(X)$. Its extension to $\overline{\mathcal{H}}(X)$ can be accomplished as follows; note first that for some $\psi = \{\psi_i\} \in \overline{\mathcal{H}}(X)$, we can define the functional

$$\underline{\hat{\rho}}(\psi) = \lim_{i \rightarrow \infty} \hat{\rho}(\psi_i) = \lim_{i \rightarrow \infty} \int_X \psi_i \rho$$

in similar vein to the inner product. We note that this functional is Cauchy in \mathbb{R} since if we take a Cauchy sequence from $\mathcal{H}(X)$, say $\{\phi_i\}$ then the sequence $\hat{\rho}(\phi_i)$ is Cauchy in \mathbb{R} . Furthermore, $\underline{\hat{\rho}}$ is bounded since we have that

$$|\underline{\hat{\rho}}(\psi)| = \lim_{i \rightarrow \infty} |\hat{\rho}(\psi_i)| \leq \lim_{i \rightarrow \infty} C \|\psi_i\| = C \|\underline{\psi}\|$$

for some $\psi \in \overline{\mathcal{H}}(X)$. As such, we can define our extended version of the Dirichlet energy as being $\underline{\mathcal{L}}(f) = -2\underline{\hat{\rho}}(f) + \|\underline{f}\|_D^2$, for any $f \in \overline{\mathcal{H}}(X)$. It follows that $\underline{\mathcal{L}}$ is also a functional that is bounded from below. The proof is identical to that of \mathcal{L} . However, now with our extended functional $\underline{\mathcal{L}}$, we can guarantee that if there is an abstract element Φ for which $\underline{\mathcal{L}}(\Phi) = -C^2$ then $\Phi \in \overline{\mathcal{H}}(X)$. To obtain such an element, we need to construct a Cauchy sequence of functions in $\mathcal{H}(X)$ that converges in $\overline{\mathcal{H}}(X)$, for which \mathcal{L} attains this minimum, thereby guaranteeing the existence of an element of $\overline{\mathcal{H}}(X)$ for which $\underline{\mathcal{L}}$ attains its minimum. We proceed with the following lemma.

Lemma 5.3.9. *Let X be a compact, connected Riemann surface. We let \mathcal{L} be the Dirichlet energy functional, and let it be bounded from below by a number $M \in \mathbb{R}$, ie $\mathcal{L} \geq M$ (which holds true by the previous section with $M = -C^2$). Let $\{f_i\}$ be a sequence of functions such that $\lim_{i \rightarrow \infty} \mathcal{L}(f_i) = M$. Then $\{f_i\}$ is a Cauchy sequence in $\mathcal{H}(X)$, implying the existence of an abstract element $\Phi \in \overline{\mathcal{H}}(X)$, such that $\underline{\mathcal{L}}(\Phi) = M$.*

Note that the work in this lemma and proof follow the proposition on pages 30 and 31 of [8].

Proof. Let $\epsilon > 0$. Then there exists some $N \in \mathbb{N}$ such that for $i, j \geq N$ we have that $\mathcal{L}(f_i) \leq M + \frac{1}{4}\epsilon$ and $\mathcal{L}(f_j) \leq M + \frac{1}{4}\epsilon$. We define a function $I : [0, 1] \rightarrow \mathbb{R}$, as a function of t ,

with $I(0) = \mathcal{L}(f_j)$ and $I(1) = \mathcal{L}(f_i)$, which interpolates between the two giving

$$\begin{aligned}
I(t) &= \mathcal{L}(tf_i + (1-t)f_j) \\
&= \|tf_i + (1-t)f_j\|_D^2 - 2\hat{\rho}(tf_i + (1-t)f_j) \\
&= \|f_j + (f_i - f_j)t\|_D^2 - 2\hat{\rho}(f_j + (f_i - f_j)t) \\
&= \|f_i - f_j\|_D^2 t^2 + 2\langle f_i, f_j \rangle_D t + \|f_j\|_D^2 - 2\hat{\rho}(f_j) - 2\hat{\rho}(f_i - f_j)t \\
&= \|f_i - f_j\|_D^2 t^2 + 2(\langle f_i, f_j \rangle_D - \hat{\rho}(f_i - f_j))t + (\|f_j\|_D^2 - 2\hat{\rho}(f_j)) \\
&= \|f_i - f_j\|_D^2 t^2 + 2(\langle f_i, f_j \rangle_D - \hat{\rho}(f_i - f_j))t + \mathcal{L}(f_j)
\end{aligned}$$

So we have that $I(t)$ is a quadratic polynomial. By expanding $2(I(0) - 2I(\frac{1}{2}) + I(1))$ we get the coefficient of t^2 . Considering that $I(0) = \mathcal{L}(f_j) \leq M + \frac{1}{4}\epsilon$ and $I(1) = \mathcal{L}(f_i) \leq M + \frac{1}{4}\epsilon$ and that for all t we have that $I(t) \geq M$, since $\mathcal{L} \geq M$ for all functions, then we can apply the following approximation

$$\begin{aligned}
\|f_i - f_j\|_D^2 &= 2(I(0) - 2I(1/2) + I(1)) \\
&\leq 2(M + \frac{1}{4}\epsilon - 2M + M + \frac{1}{4}\epsilon) \\
&\leq \epsilon
\end{aligned}$$

Hence, giving us that the sequence $\{f_i\}$ is Cauchy and as such, by the definition of $\overline{\mathcal{H}}(X)$, there exists an element $\Phi = \lim_{i \rightarrow \infty} f_i$ such that $\underline{\mathcal{L}}(\Phi) = M$. \square

The fact that this sequence is Cauchy means that it defines an equivalence class of sequences of functions from $\mathcal{H}(X)$, or in other words, it, along with the limit $\lim_{i \rightarrow \infty} f_i$ belong to $\overline{\mathcal{H}}(X)$.

So we have proven the existence of the minimising element Φ of $\underline{\mathcal{L}}$ in $\overline{\mathcal{H}}(X)$. But we want to understand it a bit better. As things stand, it is simply an equivalence class of Cauchy sequences; not a very usable object. We want to instead show it is an element of $\mathcal{H}(X)$, that is, show it can be identified with an equivalence class of smooth functions (up to the addition of a constant). This implies Weyl's lemma; a theorem that states that every solution of the Poisson equation is a smooth function, which in our case, allows us to conclude our proof of the reverse direction of Theorem 5.3.1.

Before we embark on this final part of our proof, let us first show that indeed, we are on the right track and that this minimising element does indeed give a solution to the Poisson equation. The method mirrors what we did before for \mathcal{L} with the arbitrary function g , however, now we use $\underline{\mathcal{L}}$ and Φ instead of an arbitrary function. Recall, earlier on we *assumed* that there existed a function g which minimised \mathcal{L} . As things stand, we still don't know that.

What we do know however is that we can minimise $\underline{\mathcal{L}}$ by applying it to Φ . Let us compute the first variation of $\underline{\mathcal{L}}$, ie for some $f \in \mathcal{H}(X)$ computing

$$\delta \underline{\mathcal{L}} = \left. \frac{d}{d\epsilon} \right|_{\epsilon=0} \underline{\mathcal{L}}(\Phi + \epsilon f) = 0$$

In an argument, largely the same as before we reach the following conclusion

$$\begin{aligned} (\Phi, f)_D &= \hat{\rho}(f) \\ \lim_{i \rightarrow \infty} \langle \phi_i, f \rangle_D &= \hat{\rho}(f) \\ \lim_{i \rightarrow \infty} \int_X f \Delta \phi_i &= \hat{\rho}(f) \end{aligned}$$

Since $\hat{\rho}$ is a bounded linear functional, we have that the sequence of real numbers, defined by the integrals as the limit is taken to infinity is, in fact, a Cauchy sequence in \mathbb{R} and so, we can precisely write that

$$\begin{aligned} \int_X f \Delta \Phi &= \hat{\rho}(f) \\ \int_X f \Delta \Phi - f \rho &= 0 \\ \int_X f (\Delta \Phi - \rho) &= 0 \end{aligned}$$

In other words, Φ is indeed a solution to the Poisson equation. Such a Φ is often called a *Weak Solution* to the Poisson equation since it is not necessarily a smooth, or even continuous function. Now all that is left to do, is show Φ can be identified with a smooth function. We begin by stating the infamous Weyl's Lemma.

Theorem 5.3.10 (Weyl's Lemma). *Let X be a compact, connected Riemann Surface. If $\rho \in \Omega^2(X)$ such that $\int_X \rho = 0$, then a weak solution to Poissons equation $\phi \in \overline{\mathcal{H}}(X)$ in fact is an element of $\mathcal{H}(X)$, i.e. ϕ is a smooth function.*

We begin the proof as we did earlier, when we showed $\hat{\rho}$ is a bounded functional, by considering Φ on a single open coordinate chart of \mathbb{C} and showing that in local charts, Φ can indeed be identified with a function and then showing it can be extended over the whole of X . Then, returning to one coordinate chart, we will show that Φ is locally smooth, so using a partition of unity to stitch together the local smooth functions obtained from Φ , we obtain a smooth function from Φ that solves the Poisson equation on the whole of X . This argument is based on that from Chapter 10 of [1]. Note that henceforth, we associate to the weak solution Φ , the Cauchy sequence $\{\phi_i\}$ of elements in $\mathcal{H}(X)$ (or, when considering local coordinate charts, $\mathcal{H}(U)$).

We begin by fixing a coordinate chart U of X . By adding suitable constants to each ϕ_i , we can modify the value of $\int_U \phi dS_U$, where dS_U is a fixed area form for U , so that the integrals are zero (to make the average value of ϕ_i over U zero). Then, by corollary 5.3.6, we have that, for some i, j the norm $\|\phi_i - \phi_j\| \leq C\|\phi_i - \phi_j\|_D$. Since this is a Cauchy sequence in $\mathcal{H}(U)$ then by the completeness of the space of square-integrable functions on U under the usual norm, we have that the Cauchy sequence $\{\phi_i\}$ converges to a square-integrable function ϕ . Hence we have identified the abstract object Φ with a square integrable function which we call ϕ . Square integrable functions, also called L^2 functions, are functions for whom $\int |f|^2$ is finite, over the domain of definition.

So now we want to show that this same sequence $\{\phi_i\}$ converges to a L^2 function on the whole of X rather than on just U . Since we just showed that in any given coordinate chart, $\{\phi_i\}$ converges to a L^2 function, we define the set $A \subset X$, to be the set of points $x \in X$ with the property that there exists a coordinate chart around x such that $\{\phi_i\}$ converges to ϕ under the usual norm. Then A by the above discussion is non-empty. Since X is connected then we have that the complement of A is not open unless $A = \emptyset$, which cannot be. Therefore, either $A = X$ and we are done, or there exists a $y \in X$ such that $y \in \bar{A}$, the closure of A , but not in A . But in that case, we could find a coordinate chart U' about y such that for some real numbers c_i , we can subtract them from each ϕ_i such that $\phi_i - c_i$ converges to the L^2 limit in U' . However, now we have that there is a point $x \in A \cap U'$ such that both ϕ_i and $\phi_i - c_i$ converge to ϕ under the usual norm. Hence, c_i must tend to 0 as $i \rightarrow \infty$ giving that, in fact, $y \in A$, a contradiction. Hence $A = X$ and we have shown that we can extend ϕ to be a L^2 function on the whole of X .

Now to show ϕ is smooth. To do so we need a local version of Weyl's lemma.

Lemma 5.3.11 (Local Weyl's Lemma). *Let U be a bounded open set in \mathbb{C} and let $\rho \in \Omega^2(U)$ be a 2-form on U . Suppose ϕ is a L^2 function on U with the property that, for any smooth function h of compact support in U we have that*

$$\int_U \Delta h \phi = \int_U \chi \rho$$

Then ϕ is smooth and satisfies the equation $\Delta \phi = \rho$.

Since we can stitch together locally smooth solutions using a partition of unity subordinate to our choice of finite cover of coordinate charts of X , as we saw earlier, we will focus on proving the statement of the local Weyl's lemma, after which, the full Weyl's lemma follows. First we will try to simplify the problem to the case when $\rho = 0$.

To do this, we will show that ϕ is smooth on U' , the interior of U , where we suppose that for some $\epsilon > 0$, we have an ϵ neighbourhood of $U' \subset U$. We then find a ρ' which is equal to ρ on a neighbourhood of the closure of U' and of compact support in U . If we can find a smooth solution ϕ' of the equation $\Delta\phi' = \rho'$ over U , then $f = \phi - \phi'$ will be a weak solution to $\Delta f = 0$ in U' . So, our strategy will boil down to showing that f is smooth, which then implies that ϕ must be also be smooth.

So, we suppose f is a weak solution to $\Delta f = 0$ on U . This implies that f is a harmonic function (in fact, this is precisely the definition of a harmonic function), and as such, we can use the mean value theorem for harmonic functions. Recall, that lemma 5.1.6 stated that the value of a harmonic function at the center of a circle in the plane is equal to the average value of the function on the circle. We define a smooth function $\beta : [0, \infty) \rightarrow \mathbb{R}$ such that for $\epsilon > 0$, we have that $\beta(r)$ is a constant for values of $r < \epsilon/2$ and 0 for $r \geq \epsilon$ and such that $2\pi \int_0^\infty r\beta(r)dr = 1$. Extending β to $U \subset \mathbb{C}$ by defining $B(z) = \beta(|z|)$, gives us that B is also smooth and has integral 1 over the whole of \mathbb{C} since the integral is independent of the angular coordinate and hence, $\int_{\mathbb{C}} B(z)dS = \int_0^{2\pi} \int_0^\infty B(r, \theta)rdrd\theta = 2\pi \int_0^\infty r\beta(r)dr = 1$. Now if we have a smooth, harmonic function g on a neighbourhood of the ϵ disc centred around the origin. Then we have that

$$\begin{aligned} \int_{\mathbb{C}} B(0 - z)g(z)dS_z &= \int_0^{2\pi} \int_0^\infty r\beta(r)g(r, \theta)rdrd\theta \\ &= \int_0^\infty r\beta(r) \left(\int_0^{2\pi} g(r, \theta)d\theta \right) dr \\ &= \int_0^\infty r\beta(r)2\pi g(0)dr \\ &= g(0) \left(2\pi \int_0^\infty r\beta(r)dr \right) \\ &= g(0) \end{aligned}$$

Note that the first integral is the definition of the convolution of B and g . So we have that $(B * g)(0) = g(0)$. Since we can shift the coordinate z by any complex number w anywhere, then this convolution is translation invariant (this can be seen by for some $a \in \mathbb{C}$ setting $w = z + a$). Therefore, we can summarise the above in the following proposition.

Proposition 5.3.12. *Let ψ be a smooth function on \mathbb{C} , and let $\Delta\psi$ be supported in a compact set $J \subset \mathbb{C}$. Then $B * \psi - \psi$ vanishes outside an ϵ neighbourhood of J for some $\epsilon > 0$.*

Though it is not immediately clear, if we compute the L^2 inner product $\langle \Delta\psi, (B * \psi) - \psi \rangle$ (with B and ψ as in the proposition), then we get $-\langle \Delta\psi, \psi \rangle + \langle \Delta\psi, B * \psi \rangle$ which equals zero outside of J since $\Delta\psi$ has as support J and as we showed above, in an ϵ neighbourhood

around J , B has its support, so both inner products are defined and are zero outside of J but are equal within J .

Therefore we can use this proposition to help us show that our f is smooth, since, if f were smooth on U , then $B * f = f$ on the interior set U' . Additionally, by the properties of convolutions, the convolution of any L^2 function with B is smooth on U since, B itself is smooth. This gives us the condition we want; proving the smoothness of f in U' is the equivalent to showing that $B * f = f$ at all points in U' . Simply put, if we can show $B * f - f = 0$ on U' , then f must be smooth.

We begin by considering the inner product of $f - B * f$ with a smooth function, χ , of compact support in U' ,

$$\langle \chi, f - B * f \rangle = \int_{U'} (\chi)(f - B * f) dS = 0$$

for any choice of area form dS on U .

Let $h = V * (\chi - B * \chi)$ where $V(z) = \frac{1}{2\pi} \log(|z|)$, the Newtonian potential. We want to show that h has compact support in U' . We want to show that we can use this h as the h in the hypothesis of the local Weyl's Lemma, ie, show it has compact support in U . Expanding this gives us that $h = V * \chi - V * B * \chi$. Since $V * \chi$ is a smooth function on \mathbb{C} and by the lemma on the properties of convolutions of the Laplacian with the Newtonian potential (Lemma 5.3.4), we have that $\Delta V * \chi = \chi$. Hence, $\Delta V * \chi$ must vanish outside the support of χ and so $B * V * \chi = V * \chi$ outside the ϵ neighbourhood of the support of χ . Therefore, h has compact support, contained in U , since χ has by hypothesis. Using the hypothesis of the local Weyl's lemma we have that $\langle \Delta h, f \rangle = 0$. Now we note that $\Delta h = \Delta V * (\chi - B * \chi) = \chi - B * \chi$, again since both B and χ are compactly supported. Hence, we have that

$$\langle \chi - B * \chi, f \rangle = 0$$

which when we apply the triple product identity, gives us

$$\langle \chi, f - B * f \rangle = 0$$

which implies that $f - B * f = 0$ on in U' . Hence, f is indeed a smooth solution to $\Delta f = 0$, finally implying that ϕ , our L^2 function, is in fact, a smooth solution to the Poisson equation. To then extend this solution over the whole of X , we must pick a finite collection of coordinate charts, and pick a partition of unity subordinate to this cover. Once we identify this solution with a 2-form on X , by fixing an area form, then we proceed as in the second part of the proof of proposition 5.3.7. \square

5.4 Consequences of solving the Poisson equation on compact Riemann surfaces and the Riemann-Roch Theorem

The implications of theorem 5.3.1 are not immediately obvious. Let us recall the statement of this theorem.

Theorem. *Let X be a connected, compact Riemann surface. Let $\rho \in \Omega^2(X)$ be a 2-form on X . Then, there exists a unique, smooth solution $f \in \Omega^0(X)$ to the equation $\Delta f = \rho$, up to the addition of a constant, if and only if $\int_X \rho = 0$. This equation is called the Poisson equation.*

So how can we use the guaranteed existence of such a function f for a given 2-form to help us classify connected, compact Riemann surfaces? We begin this section by using this result to help us prove four very useful isomorphisms between various cohomology groups of X .

Theorem 5.4.1. *Let X be a compact, connected Riemann surface. Then we have the following isomorphisms:*

- *The induced map $\sigma : H^{1,0}(X) \rightarrow \overline{H^{0,1}}(X)$, induced by the mapping $\alpha \mapsto \bar{\alpha}$ is an isomorphism*
- *The bilinear map $B : H^{1,0}(X) \times H^{0,1}(X) \rightarrow \mathbb{C}$ defined by $B(\alpha, \tilde{\theta}) = \int_X \alpha \wedge \theta$ gives an isomorphism between $H^{0,1}(X)$ and the dual space $(H^{1,0}(X))^*$*
- *Define the mapping $\mu : H^{1,0}(X) \oplus H^{0,1}(X) \rightarrow H^1(X)$ given by $\mu(\alpha, \beta) = i(\alpha) + \overline{i(\sigma^{-1}(\beta))}$, where $i : H^{1,0}(X) \rightarrow H^1(X)$ is the inclusion map, defined by mapping a holomorphic 1-form to its cohomology class in $H^1(X)$. The map μ defines an isomorphism.*
- *The map $\nu : H^{1,1}(X) \rightarrow H^2(X)$ defined as the natural inclusion of $\text{Im}(\bar{\partial} : \Omega^{1,0}(X) \rightarrow \Omega^2(X))$ in $\text{Im}(d : \Omega^1(X) \rightarrow \Omega^2(X))$ defines an isomorphism.*

Proof. We take these each in turn. □

So we have seen, that these isomorphisms which provide nice connections between the real and complex cohomology groups of our Riemann surface, depend on this solution to the Poisson equation existing. But what of classification? We notice that the third isomorphism implies that the cohomology groups $H^{1,0}(X)$ and $H^{0,1}(X)$ not only have the same dimension, but in fact, each share half the value of the dimension of $H^1(X)$, by the first isomorphism. This connection links the notion of the genus of our surface X to the dimensions of these

cohomology groups. But what is the dimension of these cohomology groups? To answer that, we make the following proposition.

Proposition 5.4.2. *Let X be a connected, compact Riemann surface. This implies that $H^{0,1}(X)$ has finite dimension, say g . Then, given any $g + 1$ points on X , p_1, \dots, p_{g+1} , there exists a meromorphic, non-holomorphic, function on X with a simple pole at each of the points p_1, \dots, p_{g+1} .*

As we have noted in our first attempts at classifying Riemann surfaces, we have the result that, given a Riemann surface, if we can construct a meromorphic function on X such that it has a simple pole at a point $p \in X$ then it must be conformally equivalent to the sphere. This argument, generalises this result considerably and allows us to classify *all* connected, compact Riemann surfaces.

Proof. We start by considering a single point $p_1 = p \in X$ and then stitching together our argument for $g + 1$ points. We pick a coordinate chart such that p is mapped to zero in this chart. Then, we have that the function $\frac{1}{z}$ is a meromorphic function on this coordinate chart U , with a simple pole at 0. We can multiply this function by a smooth real-valued function β which we will define as follows. Given an $\epsilon > 0$ let

$$\beta(z) = \begin{cases} 1, & |z| < \frac{1}{4}\epsilon \\ 0, & |z| > \frac{3}{4}\epsilon \end{cases}$$

Thus, the function $f(z) = \beta(z)/z$ is a smooth function supported in an open set $V = B_\epsilon(0) \subset U$, with, $f(z) = 1$ for z near p . The domain of $f(z)$ is clearly $V \setminus \{p\}$. In fact, since f is supported in V , we have that $f(z)$ is actually well defined on the whole of $X \setminus \{p\}$ (subject to transitioning to other coordinate charts, but noting that the value of f always remains 0 except for $z \in V$). Thus, the quest of finding a meromorphic function on X with a pole at any given point p on X , is equivalent to finding a smooth function g such that $g + f(z)/z$ is holomorphic on $X \setminus \{p\}$, where the function g acts to shift our choice of point p around X . Now we can define a special form, with support in $X \setminus \{p\}$

$$A = \bar{\partial}(f(z)) = \bar{\partial}(\beta(z))\frac{1}{z}$$

Since $\beta(z)$ is constant on the disc $|z| < \epsilon/4$, then the derivative of β in that region is 0. Consequently, all limits of A approaching p equal 0, and so we can extend A over the point p , so that A is a $(0,1)$ -form defined on the *whole* of X . Hence, to find a smooth function $g \in \Omega^0(X)$, we need to solve the following equation

$$\partial g = -A$$

□

5.5 The Uniformisation Theorem

5.6 The Poisson equation on simply connected, non-compact Riemann surfaces

Bibliography

- [1] S. Donaldson, *Riemann Surfaces*,
- [2] V. I. Arnol'd, *Lectures on Partial Differential Equations*,
- [3] W. Rudin, *Functional Analysis*,
- [4] W. Rudin, *Principles of Mathematical Analysis*,
- [5] Jones & Singerman. *Complex Functions*, Cambridge University Press (DATE).
- [6] I. Madsen & J. Tornehave, *From Calculus to Cohomology*, Cambridge University Press (1997)
- [7] M.Spivak, *Calculus on Manifolds*,
- [8] The Notes
- [9] The book
- [10] MacTutor History of Mathematics Archive, at <https://mathshistory.st-andrews.ac.uk/>
[accessed 9 May 2030]