# YIFAN CHEN

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# RESEARCH INTERESTS

I am broadly interested in the general area of **efficient machine learning**, aiming to understand the statistical structures of modern machine learning algorithms and apply these insights to real-world computational challenges.

I especially focus on **non-parametric models** and neural networks with intensive matrix operations, such as **transformers** (language models) and graph neural networks (**GNNs**).

### ACADEMIC POSITIONS AND EDUCATION

### Hong Kong Baptist University, Hong Kong, China

Aug 2023 -

• Assistant Professor in Computer Science and Mathematics (affiliate)

# University of Illinois Urbana-Champaign (UIUC), Illinois, United States

Aug 2018 - Aug 2023

• Ph.D. in Statistics, advisor: Prof. Yun Yang

• M.S. in Statistics May 2022

# Fudan University, Shanghai, China

Sept 2013 – July 2018

• B.S. in Statistics, School of Management

# The University of British Columbia, British Columbia, Canada

Sept 2016 - Dec 2016

• Exchange Student at the UBC Sauder School of Business

# HONORS, AWARDS, AND GRANTS

Early Career Scheme (ECS2425) Grant, Hong Kong Research Grants Council	24 - 2027
Start-up Grant, Hong Kong Baptist University	2023
<b>&amp;</b> Dissertation Completion Fellowships (declined due to early graduation), USD \$25,000, U of I Graduate College	ge 2023
The Fortieth International Conference on Machine Learning (ICML 2023) Grant Award, USD \$1,500	2023
Graduated with distinction: Shanghai Outstanding Graduate, Shanghai Municipal Education Commission	2018
Singapore Technologies Engineering Ltd Scholarship (top 5%), CNY <b>¥15,000</b> , Fudan University 20	15 - 2017
Sumitomo Corporation Scholarship (top 5%), CNY <b>¥3,000</b> , Fudan University 20	13 - 2014

# INDUSTRY EXPERIENCES

### Microsoft, Washington, United States

May 2022 – Aug 2022

Research Intern — Mentor: Ritchie Zhao, Bita Darvish Rouhani

Study the compression of Mixture-of-Experts Transformers. We reconstruct expert MLPs through optimal transport. The work here motivates the follow-up project [3], published at ICML 2023.

# Amazon, California, United States

Aug 2021 – Dec 2021

**Applied Scientist Intern** — Mentor: Di Jin, Dilek Hakkani-Tur

Do research on parameter-efficient fine-tuning, with [5], [7] accepted to EMNLP 2022 Oral, NAACL 2022 Findings respectively. We explore the connection between attention and kernel estimators to guide the parameter assignments in adapters.

# PUBLICATIONS AND MANUSCRIPTS (\* Co-first author, ☐ Corresponding author)

Summary (2021-present): 10 first-authored/supervised papers

### Peer-reviewed conference and journal papers

- [1] Hypervolume Maximization: A Geometric View of Pareto Set Learning  $\mathfrak{O}$ Xiaoyuan Zhang, Bo Xue, Xi Lin, Yifan Chen  $\square$ , Qingfu Zhang  $\square$  (Role: proof, writing, co-mentoring)
  Thirty-seventh Conference on Neural Information Processing Systems (NeurIPS 2023)
- [2] A Gromov-Wasserstein Geometric View of Spectrum-Preserving Graph Coarsening & Yifan Chen, Rentian Yao, Yun Yang, Jie Chen
  The Fortieth International Conference on Machine Learning (ICML 2023)
- [3] NTK-approximating MLP Fusion for Efficient Language Model Fine-tuning  $\mathcal{O}$ Tianxin Wei\*, Zeming Guo\*, Yifan Chen\*  $\square$ , Jingrui He  $\square$  (Role: main idea, proof, writing, co-mentoring)
  The Fortieth International Conference on Machine Learning (ICML 2023)
- [4] Calibrate and Debias Layer-wise Sampling for Graph Convolutional Networks 

  Yifan Chen\*, Tianning Xu\*, Dilek Hakkani-Tur, Di Jin, Yun Yang, Ruoqing Zhu

  Transactions on Machine Learning Research (TMLR), 2023
- [5] Inducer-tuning: Connecting Prefix-tuning and Adapter-tuning 

  Yifan Chen\*, Devamanyu Hazarika\*, Mahdi Namazifar, Yang Liu, Di Jin, Dilek Hakkani-Tur

  The 2022 Conference on Empirical Methods in Natural Language Processing (EMNLP 2022) Oral
- [6] Sketching as a Tool for Understanding and Accelerating Self-attention for Long Sequences & Yifan Chen\*, Qi Zeng\*, Dilek Hakkani-Tur, Di Jin, Heng Ji, Yun Yang 2022 Annual Conference of the North American Chapter of the Association for Computational Linguistics (NAACL 2022) Oral
- [7] Empowering parameter-efficient transfer learning by recognizing the kernel structure in self-attention S

  Yifan Chen\*, Devamanyu Hazarika\*, Mahdi Namazifar, Yang Liu, Di Jin, Dilek Hakkani-Tur

  2022 Annual Conference of the North American Chapter of the Association for Computational Linguistics (NAACL 2022) Findings
- [8] Skyformer: Remodel Self-Attention with Gaussian Kernel and Nyström Method <u>Yifan Chen\*</u>, Qi Zeng\*, Heng Ji, Yun Yang Thirty-Fifth Conference on Neural Information Processing Systems (NeurIPS 2021)
- [9] Accumulations of Projections—A Unified Framework for Random Sketches in Kernel Ridge Regression & Yifan Chen, Yun Yang
- The 24th International Conference on Artificial Intelligence and Statistics (AISTATS 2021)
- [10] Fast Statistical Leverage Score Approximation in Kernel Ridge Regression & Yifan Chen, Yun Yang
  The 24th International Conference on Artificial Intelligence and Statistics (AISTATS 2021)
- [11] Narrowing the Gap between Professionality and Popularity: An Empirical Investigation on Community QA & Chenghong Zhang, Yifan Chen, Hongyue Lan, Yicheng Zhang, Tian Lu

  American Conference on Information Systems (AMCIS 2017) Session on TREOS

#### **Preprints and submissions**

- [12] Sampling-based Randomized Sketching for Approximate Matrix Multiplication Yifan Chen, Yun Yang
- [13] Connecting Cross-Domain Representations: A Ladder for Domain Generalization Tianxin Wei\*, <u>Yifan Chen\*</u>, Xinrui He, Jingrui He (Role: proof, writing, co-mentoring)
- [14] Statistical Leverage Score Approximation for Penalized Kernel Empirical Risk Minimization Yifan Chen, Yun Yang

### **Patents**

[1] Sanitary wastewater reuse system

Yifan Chen

China Patent CN202187397U, published 2012-04-11

## TEACHING EXPERIENCE

#### Instructor

• COMP 7070: Advanced Topics in Artificial Intelligence and Machine Learning §

Spring 2024

#### **Discussion Leader**

• STAT 400: Statistics and Probability I

Instructor: Albert Yu

Spring 2022, Fall 2022

#### **Teaching Assistant**

• STAT 425: Statistical Modeling I (Upper undergraduate level)

Spring 2023

Instructor: Prof. Trevor H. Park

• STAT 576: Empirical Process Theory and Weak Convergence (Ph.D. core course)

Spring 2021

Instructor: Prof. Sabyasachi Chatterjee

• CSE 428: Statistical Computing (Upper undergraduate level)

Fall 2019, Fall 2020

Instructor: Uma Ravat, Prof. Shulei Wang

• STAT 510: Mathematical Statistics (Ph.D. core course)

Spring 2020

Instructor: Prof. Yun Yang

• STAT 410: Statistics and Probability II

Spring 2019, Summer 2019

Instructor: Prof. Yun Yang, Alexey G Stepanov

• STAT 400: Statistics and Probability I

Fall 2018

Instructor: Prof. Hyoeun Lee

# MENTORING EXPERIENCE

• Zeming Guo, Undergraduate at UIUC (now Master at Cornell).

Topic: Efficient Transformers. had a first-author paper published at ICML 2023.

• Xiaoyuan Zhang, Ph.D. Student at CityU.

Topic: Deep Learning Theory. had a first-author paper published at NeurIPS 2023.

# PROFESSIONAL SERVICES

#### **Program Committee**

• International Conference on Machine Learning (ICML) 2022, 2023

• Neural Information Processing Systems (NeurIPS)

2022, 2023 2023

AAAI Conference on Artificial Intelligence (AAAI)
International Conference on Artificial Intelligence and Statistics (AISTATS)

2023

• Annual Conference of the North American Chapter of the Association for Computational Linguistics (NAACL) 2024

### Journal Reviewer

- Statistica Sinica
- Computational Statistics & Data Analysis
- Journal of Machine Learning Research
- IEEE Transactions on Information Theory
- Journal of the American Statistical Association

#### **External Conference Reviewer**

• The Conference on Information and Knowledge Management (CIKM)

2022

# Professional Talks

- A Gromov–Wasserstein Geometric View of Spectrum-Preserving Graph Coarsening HKBU Math department, Hong Kong, Aug 2023
- NTK-approximating MLP Fusion for Efficient Language Model Fine-tuning
   At the 1st International Conference on AI-generated Content (AIGC2023), Remote, Aug 2023
- Inducer-tuning: Connecting Prefix-tuning and Adapter-tuning At EMNLP 2022, Remote, Dec 2022
- One Expert with Multiple Instruments Microsoft Azure, Remote, Aug 2022

- Sketching as a Tool for Understanding and Accelerating Self-attention for Long Sequences AI Time PhD NAACL Special Session, Remote, Aug 2022
- Sketching as a Tool for Understanding and Accelerating Self-attention for Long Sequences At NAACL 2022, Seattle, Washington, United States, July 2022
- Empowering parameter-efficient transfer learning by recognizing the kernel structure in self-attention Amazon Alexa AI, Sunnyvale, California, United States, Dec 2021
- Skyformer: Remodel Self-Attention with Gaussian Kernel and Nyström Method At NeurIPS 2021, Remote, Dec 2021
- Fast Statistical Leverage Score Approximation in Kernel Ridge Regression At AISTATS 2021, Remote, Apr 2021