# CS420: Operating Systems

# Introduction

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#### Introduction

- What Operating Systems Do
- Computer-System Organization
- Computer-System Architecture
- Operating-System Structure
- Operating-System Operations
- Process Management
- Memory Management
- Storage Management
- Protection and Security

- Distributed Systems
- Special-Purpose Systems
- Computing Environments
- Open-Source Operating Systems

### What is an Operating System?

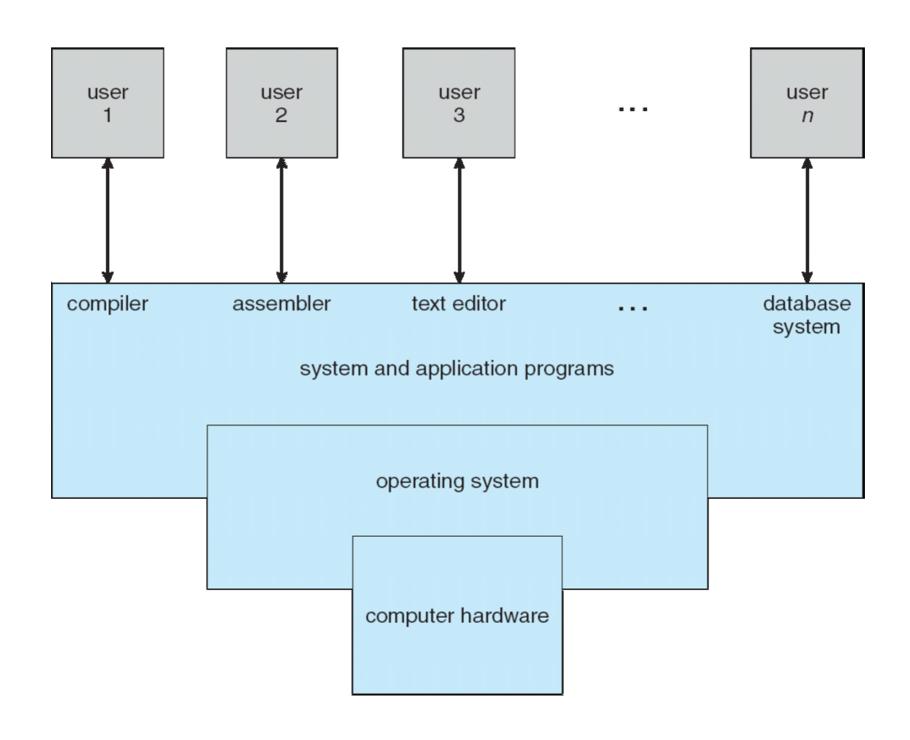
 A program that acts as an intermediary between a user of a computer and the computer hardware

- Operating system goals:
  - Execute user programs and make solving user problems easier
  - Make the computer system convenient to use
  - Use the computer hardware in an efficient manner

#### Computer System Structure

- Computer system can be divided into four components:
  - Hardware provides basic computing resources
    - CPU, memory, I/O devices
  - Operating system
    - Controls and coordinates use of hardware among various applications and users
  - Application programs define the ways in which the system resources are used to solve the computing problems of the users
    - Word processors, compilers, web browsers, database systems, video games
  - Users
    - People, machines, other computers

# Four Components of a Computer System



### What Do Operating Systems Do?

#### Depends on the who is using the OS

- Users want convenience, ease of use
  - Don't care about resource utilization
- However, a shared computer, such as mainframe or minicomputer, must keep all users happy
- Users of dedicated systems such as workstations have dedicated resources but frequently use shared resources from servers
- Handheld computers are resource poor, optimized for usability and battery life
- Some computers have little or no user interface, such as embedded computers in devices and automobiles

### Operating System Definition

#### OS is a resource allocator

- Manages all system resources
- Decides between conflicting requests for efficient and fair resource use

#### OS is a control program

- Controls execution of programs to prevent errors and improper use of the computer

### Operating System Definition (Cont.)

No universally accepted definition

- "Everything a vendor ships when you order an operating system" is good approximation
  - But varies wildly

• "The one program running at all times on the computer" is the kernel. Everything else is either a system program (ships with the operating system) or an application program.

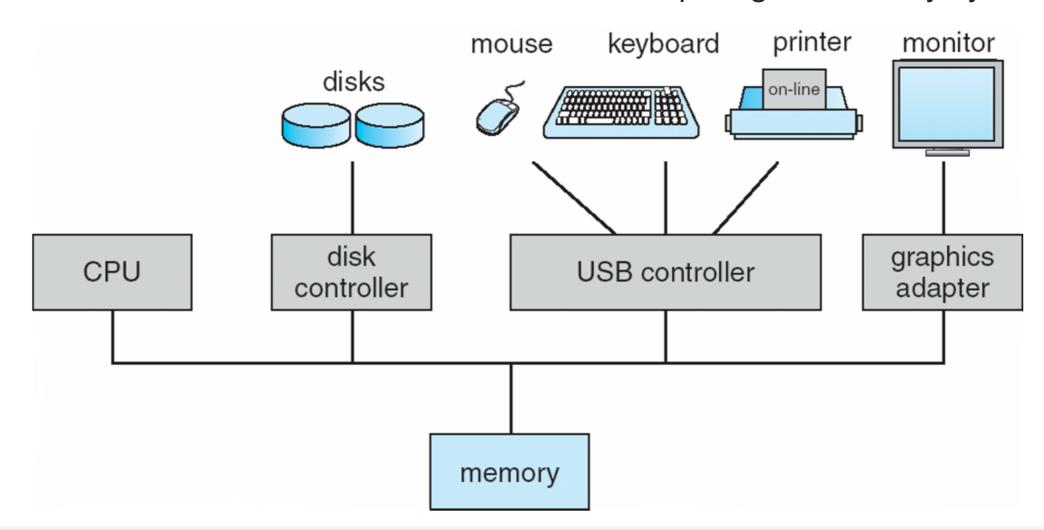
#### Computer Startup

- Bootstrap program is loaded at power-up or reboot
  - Typically stored in ROM or EPROM, generally known as firmware
  - Initializes all aspects of system
  - Loads operating system kernel and starts execution

#### Computer System Organization

#### Computer-system operation

- One or more CPUs, device controllers connect through common bus providing access to shared memory
- Concurrent execution of CPUs and devices competing for memory cycles



#### Computer-System Operation

- I/O devices and the CPU can execute concurrently
- Each device controller is in charge of a particular device type
- Each device controller has a local buffer
  - CPU moves data from/to main memory to/from local buffers
  - I/O is from the device to local buffer of controller
- Device controller informs CPU that it has finished its operation by causing an interrupt

#### Common Functions of Interrupts

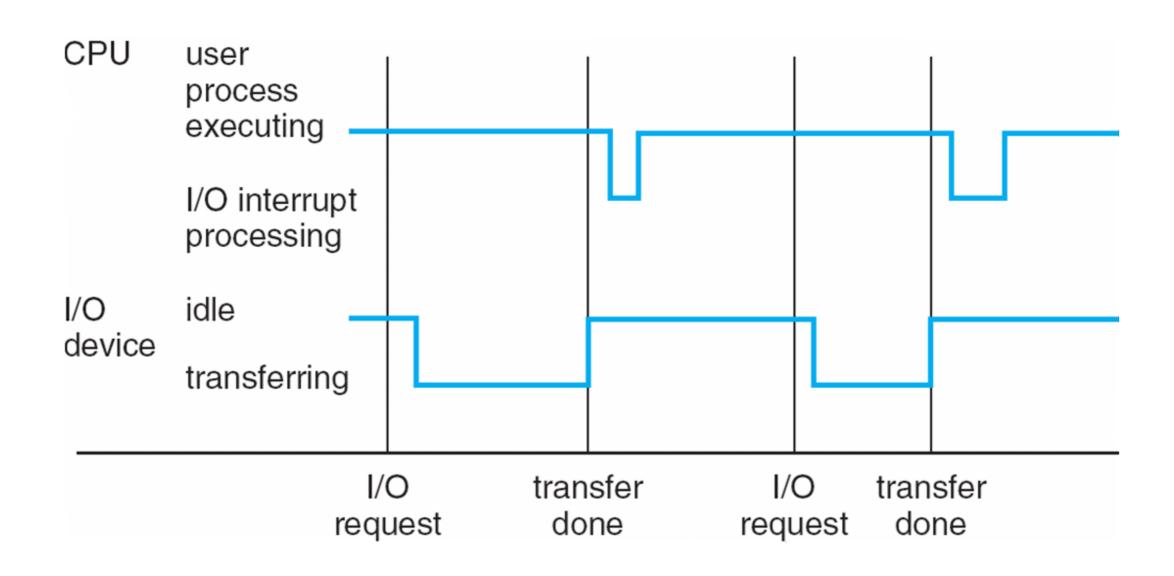
- Interrupt transfers control to the interrupt service routine generally, through the interrupt vector, which contains the addresses of all the service routines
- Interrupt architecture must save the address of the interrupted instruction
- Incoming interrupts are disabled while another interrupt is being processed to prevent a lost interrupt
- A trap is a software-generated interrupt caused either by an error or a user request
- An operating system is interrupt driven

#### Interrupt Handling

 The operating system preserves the state of the CPU by storing registers and the program counter

 Separate segments of code determine what action should be taken for each type of interrupt

### Interrupt Timeline



#### I/O Structure

- After I/O starts, control returns to user program only upon I/O completion
  - Wait instruction idles the CPU until the next interrupt
  - Wait loop (contention for memory access)
  - At most one I/O request is outstanding at a time, no simultaneous I/O processing
- After I/O starts, control returns to user program without waiting for I/O completion
  - System call request to the operating system to allow user to wait for I/O completion
  - Device-status table contains entry for each I/O device indicating its type, address, and state
  - Operating system indexes into I/O device table to determine device status and to modify table entry to include interrupt

#### Direct Memory Access Structure

 Used for high-speed I/O devices able to transmit information at close to memory speeds

 Device controller transfers blocks of data from local storage buffer directly to main memory without CPU intervention

 Only one interrupt is generated per block, rather than the one interrupt per byte

#### Storage Structure

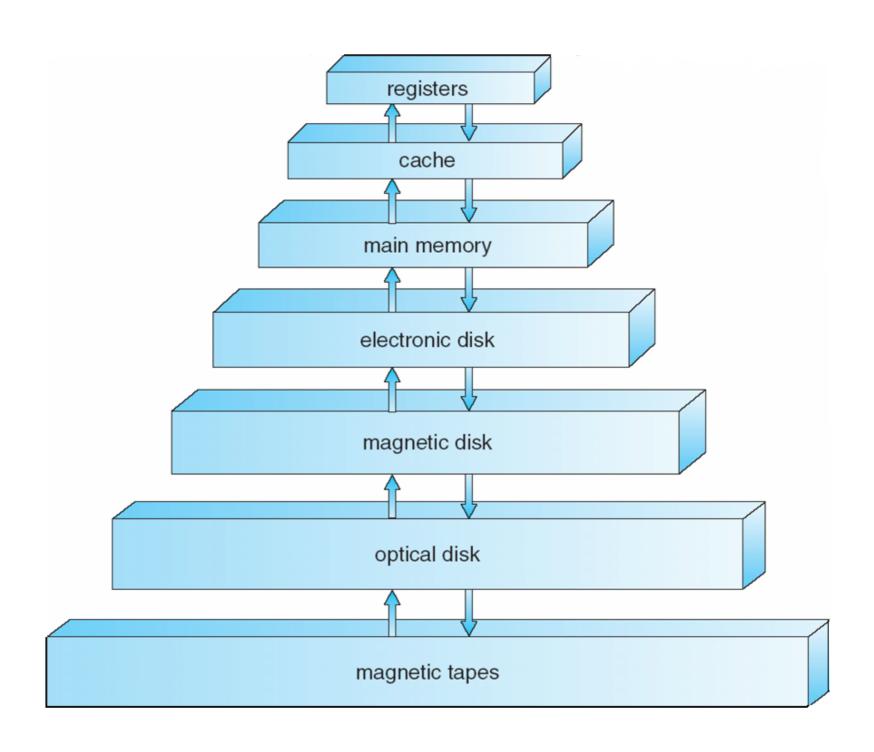
- Main memory only large storage media that the CPU can access directly
  - Random access
  - Typically volatile
- Secondary storage extension of main memory that provides large nonvolatile storage capacity
  - Typically magnetic disks rigid metal or glass platters covered with magnetic recording material
    - Disk surface is logically divided into tracks, which are subdivided into sectors
    - The disk controller determines the logical interaction between the device and the computer

### Storage Hierarchy

- Storage systems organized in hierarchy
  - Speed
  - Cost
  - Volatility

 Caching – copying information into faster storage system; main memory can be viewed as a cache for secondary storage

# Storage-Device Hierarchy



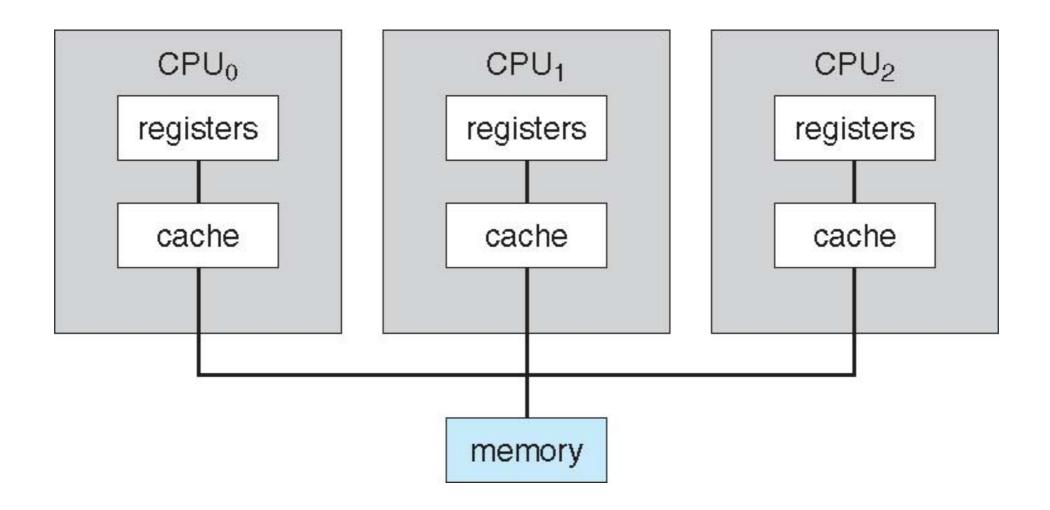
#### Caching

- Important principle, performed at many levels in a computer (in hardware, operating system, software)
- Information in use copied from slower to faster storage temporarily
- Faster storage (cache) checked first to determine if information is there
  - If it is, information used directly from the cache (fast)
  - If not, data copied to cache and used there
- Cache smaller than storage being cached
  - Cache management important design problem
  - Cache size and replacement policy

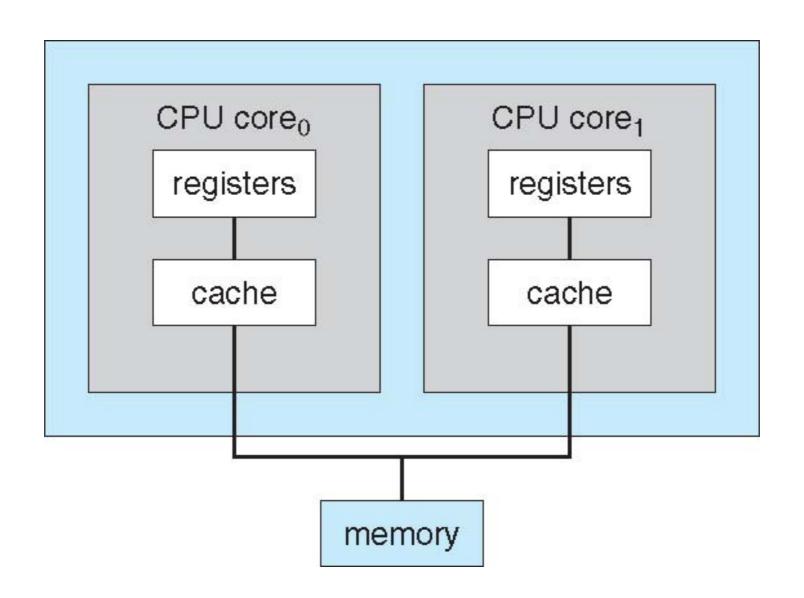
#### Computer-System Architecture

- Most systems use a single general-purpose processor (from cell phones to mainframes)
  - Most systems have special-purpose processors as well
- Multiprocessors systems growing in use and importance
  - Also known as parallel systems, tightly-coupled systems
  - Advantages include:
    - Increased throughput
    - Economy of scale
    - Increased reliability graceful degradation or fault tolerance
  - Two types:
    - Asymmetric Multiprocessing each processor is assigned a specific task
    - Symmetric Multiprocessing each processor performs all tasks

# Symmetric Multiprocessing Architecture



## A Dual-Core Design



#### Clustered Systems

- Like multiprocessor systems, but multiple systems working together
  - Usually sharing storage via a storage-area network (SAN)
  - Provides a high-availability service which survives failures
    - Asymmetric clustering has one machine in hot-standby mode
    - Symmetric clustering has multiple nodes running applications, monitoring each other
  - Some clusters are for high-performance computing (HPC)
    - Applications must be written to use parallelization

### Clustered Systems

