

# FORMULA SHEET

Expectation values:

Free-space unnormalized Gaussian wavepacket:

$$\psi(x, t) = \int_{-\infty}^{\infty} A(k) e^{ikx} e^{-i\omega(k)t} \frac{dk}{2\pi}$$

$$A(k) = \sqrt{2\pi L^2} e^{-k^2 L^2/2}$$

Dirac notation:

$$\psi(x, t) = \frac{1}{(1 + i\hbar t/mL^2)^{1/2}} e^{-x^2/2L^2(1+i\hbar t/mL^2)}$$

$$\Delta x^2(t) = \Delta x^2(0) + \frac{\Delta p^2(0)t^2}{m^2}$$

$$\langle \hat{Q} \rangle = \int_{-\infty}^{\infty} \psi^*(x, t) \hat{Q} \psi(x, t) dx$$

$$\psi(x, t) = e^{-iEt/\hbar} \phi(x)$$

$$E\phi(x) = -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} \phi(x) + V(x)\phi(x)$$

$$\langle \phi_i | \phi_j \rangle = 0 \quad \text{if } E_i \neq E_j$$

Piece-wise linear potentials:

$$V(x) = \begin{cases} 0 & x < 0 \\ V_0 & 0 \leq x < L \\ 0 & x \geq L \end{cases}$$

$$k = \sqrt{\frac{2m(E-V)}{\hbar^2}} \quad \psi(x) = Ae^{ikx} + Be^{-ikx}$$

In allowed regions:

$$\kappa = \sqrt{\frac{2m(V-E)}{\hbar^2}} \quad \psi(x) = Ae^{\kappa x} + Be^{-\kappa x}$$

In forbidden regions:

Matching point and slope:

$$\psi(x_-) = \psi(x_+)$$

$$\frac{d\psi(x_-)}{dx} = \frac{d\psi(x_+)}{dx}$$

Heisenberg uncertainty relations:

$$\Delta x \Delta p \geq \frac{\hbar}{2} \quad \Delta E \Delta t \geq \frac{\hbar}{2}$$

Ehrenfest Theorem:

$$\dot{x} = x = i \frac{\partial}{\partial k}$$

$$\hat{p} = -i\hbar \frac{\partial}{\partial x} = \hbar k$$

Commutators:

$$\hat{E} = i\hbar \frac{\partial}{\partial t}$$

$$\hat{H} = \frac{\hbar^2}{2m} \hat{x}^2 + V(\hat{x}) = -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} \psi(x, t) + V(x)$$

Schrodinger equation, probability amplitudes:

$$i\hbar \frac{\partial}{\partial t} \psi(x, t) = -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} \psi(x, t) + V(x) \psi(x, t)$$

$$P(x, t) = |\psi(x, t)|^2$$

Time-independent simple harmonic oscillator:

$$E\psi(x) = -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} \psi(x) + \frac{1}{2} m \omega_0^2 x^2 \psi(x)$$

Creation and annihilation operators:

$$\hat{a} = \frac{1}{\sqrt{2}} \left[ \sqrt{\frac{m\omega_0}{\hbar}} x + \sqrt{\frac{\hbar}{m\omega_0}} \frac{d}{dx} \right] \quad \hat{a}^\dagger = \frac{1}{\sqrt{2}} \left[ \sqrt{\frac{m\omega_0}{\hbar}} x - \sqrt{\frac{\hbar}{m\omega_0}} \frac{d}{dx} \right]$$

$$\hat{a}\phi_n(x) = \sqrt{n} \phi_{n-1}(x) \quad \hat{a}^\dagger \phi_n(x) = \sqrt{n+1} \phi_{n+1}(x)$$

$$\hat{x} = \sqrt{\frac{\hbar}{2m\omega_0}} (\hat{a}^\dagger + \hat{a}) \quad \hat{p} = i\sqrt{\frac{m\hbar\omega_0}{2}} (\hat{a}^\dagger - \hat{a})$$

$$[\hat{a}, \hat{a}^\dagger] = 1$$

Classical state expansion:

For the special case where  $X(0) = X_0$ , with  $P(0) = 0$  and  $\Theta(0) = 0$ , we can write

$$\left[ \frac{m\omega_0}{\hbar\pi} \right]^{\frac{1}{4}} e^{-\frac{m\omega_0}{\hbar}(x-X_0)^2} = e^{-z^2/2} \sum_n \frac{1}{\sqrt{n!}} z^n \phi_n(x)$$

$$X_0 = \sqrt{\frac{2\hbar}{m\omega_0}} z$$

Simple harmonic oscillator excited states:

$$\phi_n(x) = \left[ \frac{m\omega_0}{\hbar\pi} \right]^{1/4} \frac{1}{\sqrt{2^n n!}} e^{-\frac{1}{2} \frac{m\omega_0}{\hbar} x^2} H_n \left( \sqrt{\frac{m\omega_0}{\hbar}} x \right)$$

$$E_n = \hbar\omega_0 (n + \frac{1}{2})$$

LC Circuit:

Classical equations:

$$\frac{d}{dt} v(t) = \frac{1}{C} i(t) \quad \frac{d}{dt} i(t) = -\frac{1}{L} v(t)$$

$$E = \frac{1}{2} L i^2(t) + \frac{1}{2} C v^2(t)$$

SHO classical states:

Quantum equations:

$$i\hbar \frac{\partial}{\partial t} \psi(x, t) = -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} \psi(x, t) + \frac{1}{2} m \omega_0^2 x^2 \psi(x, t)$$

$$\psi(x, t) = \left[ \frac{m\omega_0}{\hbar\pi} \right]^{1/4} e^{-i\Theta(t)} e^{iP(t)(x-X(t))/\hbar} e^{-\frac{1}{2} \frac{m\omega_0}{\hbar} |x-X(t)|^2}$$

$$\frac{d}{dt} X(t) = \frac{P(t)}{m} \quad \frac{d}{dt} P(t) = -m\omega_0^2 X(t)$$

$$\hbar \frac{d}{dt} \Theta(t) = \frac{1}{2} \hbar\omega_0 - \frac{P^2(t)}{2m} + \frac{1}{2} m\omega_0^2 X^2(t)$$

Time-independent Schrödinger equation:

Infinite square well:

$$\psi(x, t) = \sum_j a_j \phi_j(x) e^{-iE_j t/\hbar}$$

$$\hat{H} \phi_j = E_j \phi_j$$

$$a_j = \langle \phi_j(x) | \psi(x, 0) \rangle$$

Continuity of probability and probability flux:

$$\frac{\partial}{\partial t} P(\mathbf{r}, t) + \nabla \cdot \mathbf{J}_p(\mathbf{r}, t) = 0$$

$$\mathbf{J}_p(\mathbf{r}, t) = \frac{\hbar}{2mi} [\psi^*(\mathbf{r}, t) \nabla \psi(\mathbf{r}, t) - \psi(\mathbf{r}, t) \nabla \psi^*(\mathbf{r}, t)]$$

Single step:

Single step:  $\sqrt{1/V_0}$

$$E\phi(x) = -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} \phi(x) + V(x)\phi(x)$$

$$V(x) = \begin{cases} \infty & \text{for } x < 0 \\ 0 & \text{for } 0 \leq x \leq L \\ \infty & \text{for } x > L \end{cases}$$

$$\phi_n(x) = \sqrt{\frac{2}{L}} \sin(n\pi x/L)$$

$$E_n = \frac{\hbar^2 \pi^2 n^2}{2mL^2}$$

Exponential solutions:

$$\psi(y) = \begin{cases} e^{ik_1 y} + \frac{k_1 - k_f}{k_1 + k_f} e^{-ik_1 y} & \text{for } x \leq 0 \\ \frac{2k_1}{k_1 + k_f} e^{ik_1 y} & \text{for } x > 0 \end{cases}$$

$$R = \frac{(k_1 - k_2)^2}{(k_1 + k_2)^2}$$

$$T = \frac{4k_1 k_2}{(k_1 + k_2)^2}$$

with

$$\frac{d}{dy} \theta(y) = \zeta(y)$$

WKB representation (exact):

$$\epsilon = -\zeta^{\frac{1}{2}}(y) \frac{d^2}{dy^2} \zeta^{-\frac{1}{2}}(y) + v(y) - \zeta^2(y)$$

WKB approximation for forbidden regions:

$$\zeta(y) = \sqrt{v(y) - \epsilon}$$

Gamow approximation for transmission through a smooth barrier:

$$T \approx e^{-2G} \quad G = \int_{y_{min}}^{y_{max}} \sqrt{v(y) - \epsilon} dy$$

Without normalization:

$$G = \int_{x_{min}}^{x_{max}} \sqrt{\frac{2m|V(x) - E|}{\hbar^2}} dx$$

Variational Method:

$$E\psi(x) = -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} \psi(x) + V(x)\psi(x) = H\psi(x)$$

Trial solution:

$$\psi(x) \approx \psi_l[\alpha, \beta, \dots]$$

$$\epsilon_l[\alpha, \beta, \dots] = \langle \psi_l[\alpha, \beta, \dots] | H | \psi_l[\alpha, \beta, \dots] \rangle$$

$$\frac{\partial}{\partial \alpha} \epsilon_l = 0 \quad \frac{\partial}{\partial \beta} \epsilon_l = 0 \quad \dots$$

WKB approximation for allowed regions:

$$\eta(y) = \pm \sqrt{\epsilon - v(y)}$$

WKB approximate eigenvalue equation for bound states

$$\phi(y_{max}) - \phi(y_{min}) = \int_{y_{min}}^{y_{max}} \sqrt{\epsilon - v(y)} dy = \begin{cases} n\pi & \text{2 hard boundaries} \\ (n - \frac{1}{2})\pi & \text{1 hard boundary, 1 soft boundary} \\ (n - \frac{1}{2})\pi & \text{2 soft boundaries} \end{cases}$$

Optimization:

**Finite Basis Expansions:**

$$E\psi(x) = -\frac{\hbar^2}{2m} \frac{d^2}{dx^2}\psi(x) + V(x)\psi(x) = H\psi(x)$$

Trial solution (orthonormal basis  $u_j$ ):

$$\psi = \sum_j a_j u_j$$

$$E \begin{pmatrix} a_1 \\ \vdots \\ a_N \end{pmatrix} = \begin{pmatrix} H_{11} & \cdots & H_{1N} \\ \vdots & \ddots & \vdots \\ H_{N1} & \cdots & H_{NN} \end{pmatrix} \begin{pmatrix} a_1 \\ \vdots \\ a_N \end{pmatrix}$$

$$H_{ij} = \langle \phi_i | H | \phi_j \rangle$$

**Static Two-Level Model:**

$$E\psi(x) = -\frac{\hbar^2}{2m} \frac{d^2}{dx^2}\psi(x) + V(x)\psi(x) = H\psi(x)$$

Trial solution (orthonormal basis  $u_j$ ):

$$\psi = c_1 u_1 + c_2 u_2$$

$$E \begin{pmatrix} c_1 \\ c_2 \end{pmatrix} = \begin{pmatrix} H_1 & V_{12} \\ V_{21} & H_2 \end{pmatrix} \begin{pmatrix} c_1 \\ c_2 \end{pmatrix}$$

$$E_- = \frac{H_1 + H_2}{2} - \frac{1}{2}\sqrt{(H_2 - H_1)^2 + 4|V_{12}|^2}$$

$$E_+ = \frac{H_1 + H_2}{2} + \frac{1}{2}\sqrt{(H_2 - H_1)^2 + 4|V_{12}|^2}$$

$$e_{\mathbf{k},\sigma}(t) \sim e^{-i\omega_{\mathbf{k}} t} \quad \omega_{\mathbf{k}} = \frac{1}{\sqrt{\epsilon_0 \mu_0}} |\mathbf{k}| = c|\mathbf{k}|$$

Periodic boundary conditions:

$$\mathbf{k} = i_x \frac{2\pi n_x}{L} + i_y \frac{2\pi n_y}{L} + i_z \frac{2\pi n_z}{L}$$

where

$$n_x = 0, \pm 1, \pm 2, \dots$$

$$n_y = 0, \pm 1, \pm 2, \dots$$

$$n_z = 0, \pm 1, \pm 2, \dots$$

Quantized fields and operators

$$\hat{\mathbf{E}}(\mathbf{r}) = \sum_{\sigma} \sum_{\mathbf{k}} i_{\sigma} \sqrt{\frac{\hbar \omega_{\mathbf{k},\sigma}}{2\epsilon_0 L^3}} [\hat{a}_{\mathbf{k},\sigma} e^{i\mathbf{k}\cdot\mathbf{r}} + \hat{a}_{\mathbf{k},\sigma}^\dagger e^{-i\mathbf{k}\cdot\mathbf{r}}]$$

$$\hat{\mathbf{H}}(\mathbf{r}) = \sum_{\sigma} \sum_{\mathbf{k}} (i_{\mathbf{k}} \times i_{\sigma}) \sqrt{\frac{\hbar \omega_{\mathbf{k},\sigma}}{2\mu_0 L^3}} [\hat{a}_{\mathbf{k},\sigma} e^{i\mathbf{k}\cdot\mathbf{r}} + \hat{a}_{\mathbf{k},\sigma}^\dagger e^{-i\mathbf{k}\cdot\mathbf{r}}]$$

Vector potential operator

$$\mu_0 \hat{\mathbf{H}}(\mathbf{r}) = \nabla \times \hat{\mathbf{E}}(\mathbf{r})$$

$$\nabla \cdot \hat{\mathbf{A}}(\mathbf{r}) = 0$$

$$\hat{\mathbf{A}}(\mathbf{r}) = -i \sum_{\sigma} \sum_{\mathbf{k}} i_{\sigma} \sqrt{\frac{\hbar}{2\omega_{\mathbf{k}} \epsilon_0 L^3}} [\hat{a}_{\mathbf{k},\sigma} e^{i\mathbf{k}\cdot\mathbf{r}} - \hat{a}_{\mathbf{k},\sigma}^\dagger e^{-i\mathbf{k}\cdot\mathbf{r}}]$$

Bound state solutions:

$$\Phi = \prod_{\mathbf{k},\sigma} \frac{(\hat{a}_{\mathbf{k},\sigma}^\dagger)^{n_{\mathbf{k},\sigma}}}{\sqrt{n_{\mathbf{k},\sigma}!}} |\Phi_0\rangle$$

where  $|\Phi_0\rangle$  is the ground state.  
Hamiltonian with classical source:

$$\hat{H} = \int \frac{1}{2} \epsilon_0 |\hat{\mathbf{E}}(\mathbf{r})|^2 + \frac{1}{2} \mu_0 |\hat{\mathbf{H}}(\mathbf{r})|^2 d^3\mathbf{r} - \int \mathbf{J}(\mathbf{r}, t) \cdot \hat{\mathbf{A}}(\mathbf{r}) d^3\mathbf{r}$$

**Dynamic Two-Level Model:**

$$i\hbar \frac{\partial}{\partial t} \psi(x, t) = H_0 + V(t) \psi(x, t)$$

Trial solution ( $\phi_1$  and  $\phi_2$  eigenfunctions of  $H_0$ ):

$$\psi(t) = c_1(t) \phi_1 + c_2(t) \phi_2$$

$$i\hbar \frac{d}{dt} c_1(t) = \langle \phi_1 | H_0 | \phi_1 \rangle c_1(t) + \langle \phi_1 | V(t) | \phi_2 \rangle c_2(t)$$

$$i\hbar \frac{d}{dt} c_2(t) = \langle \phi_2 | V(t) | \phi_1 \rangle c_1(t) + \langle \phi_2 | H_0 | \phi_2 \rangle c_2(t)$$

Position and effective momentum in two-level approximation:

$$\langle x \rangle = \langle \Phi_2 | x | \Phi_1 \rangle [c_2^*(t)c_1(t) + c_1^*(t)c_2(t)]$$

$$\langle p \rangle = m \omega_0 \langle \Phi_2 | x | \Phi_1 \rangle \frac{(c_2^* c_2 - c_2^* c_1)}{i}$$

$$\frac{d}{dt} \langle x \rangle = \frac{\langle p \rangle}{m}$$

$$\frac{d}{dt} \langle p \rangle = -m \omega_0^2 \langle x \rangle + 2V(t) \frac{m \omega_0}{\hbar} \langle \Phi_2 | x | \Phi_1 \rangle (|c_2|^2 - |c_1|^2)$$

$$\omega = \frac{(E_2 - E_1)}{\hbar}$$

Bloch equations:

$$\frac{d}{dt} Q(t) = \omega_0 P(t) + \frac{2 \operatorname{Im} V_{12}(t)}{\hbar} N(t)$$

$$\frac{d}{dt} P(t) = -\omega_0 Q(t) + \frac{2 \operatorname{Re} V_{12}(t)}{\hbar} N(t)$$

$$\frac{d}{dt} N(t) = -\frac{2 \operatorname{Re} V_{12}(t)}{\hbar} P(t) - \frac{2 \operatorname{Im} V_{12}(t)}{\hbar} Q(t)$$

with polarization  $[Q(t), P(t)]$  and inversion  $[N(t)]$  variables defined as:

$$Q(t) = c_1^*(t)c_2(t) + c_2^*(t)c_1(t)$$

$$P(t) = \frac{1}{i} [c_1^*(t)c_2(t) - c_2^*(t)c_1(t)]$$

$$N(t) = |c_2(t)|^2 - |c_1(t)|^2$$

Particle and field:

$$\hat{H} = \int \frac{1}{2} \epsilon_0 |\hat{\mathbf{E}}(\mathbf{r})|^2 + \frac{1}{2} \mu_0 |\hat{\mathbf{H}}(\mathbf{r})|^2 d^3\mathbf{r} + \left[ -\frac{\hbar^2 \nabla^2}{2m} + V(\mathbf{r}) \right] - \frac{1}{2} \left[ \frac{q \hat{\mathbf{p}} \cdot \hat{\mathbf{A}}(\mathbf{r}) + \hat{\mathbf{A}}(\mathbf{r}) \cdot \hat{\mathbf{p}}}{m} \right]$$

Minimal coupling:

$$\hat{H} = \int \frac{1}{2} \epsilon_0 |\hat{\mathbf{E}}(\mathbf{r})|^2 + \frac{1}{2} \mu_0 |\hat{\mathbf{H}}(\mathbf{r})|^2 d^3\mathbf{r} + \left[ \frac{|\hat{\mathbf{p}} - q\hat{\mathbf{A}}(\mathbf{r})|^2}{2m} + V(\mathbf{r}) \right]$$

Electric dipole coupling:

$$\hat{H}_{int} = -\hat{\mathbf{d}} \cdot \hat{\mathbf{E}}(\mathbf{r})$$

Magnetic dipole coupling:

$$\hat{H}_{int} = -\hat{\mu} \cdot \hat{\mathbf{H}}(\mathbf{r})$$

Evolution with classical current source:

$$\frac{\partial}{\partial t} \epsilon_0(\hat{\mathbf{E}}) = \nabla \times (\hat{\mathbf{H}}) - \mathbf{J}(\mathbf{r}, t)$$

$$\frac{\partial}{\partial t} \mu_0(\hat{\mathbf{H}}) = -\nabla \times (\hat{\mathbf{E}})$$

$$\nabla \cdot (\epsilon_0(\hat{\mathbf{E}})) = 0$$

$$\nabla \cdot (\mu_0(\hat{\mathbf{H}})) = 0$$

Defining relations:

$$\sum_j \xi_j = \int g(\epsilon) \xi(\epsilon) d\epsilon$$

$$N(\epsilon) = \sum_{\epsilon_j < \epsilon} 1 \longrightarrow g(\epsilon) = \frac{dN}{d\epsilon}$$

$$\Delta E = \frac{dE(n)}{dn} \Delta n \longrightarrow g(\epsilon) \longrightarrow \frac{\Delta n}{\Delta E} = \left[ \frac{dE}{dn} \right]^{-1}$$

Electrons, 1-D square well, continuum limit:

$$g(\epsilon) = g_s \sqrt{\frac{mL^2}{2\hbar^2 \pi^2 \epsilon}}$$

**Problems in Several Dimensions:**

Separable problems:

$$E\Psi(x, y) = [\hat{H}_x(x) + \hat{H}_y(y)]\Psi(x, y)$$

$$\Psi(x, y) = \phi(x)\psi(y) \quad E = E_x + E_y$$

$$E_x \phi(x) = \hat{H}_x(x) \phi(x)$$

$$E_y \psi(y) = \hat{H}_y(y) \psi(y)$$

Eigenfunction expansions:

$$\Psi(x, y, t) = \sum_j \Phi_j(x, y) e^{-iE_j t/\hbar}$$

$$E_j \Phi_j(x, y) = \hat{H}(x, y) \Phi_j(x, y)$$

**Quantum EM Fields:**

Single mode:

$$\hat{E}\psi(e, t) = \left[ -\frac{\hbar^2 \omega_0^2}{2\epsilon_0 L^3} \frac{\partial^2}{\partial e^2} + \frac{\epsilon_0 L^3}{2} e^2 \right] \psi(e, t)$$

$$\hat{e} = e \quad \hat{h} = -i\hbar \frac{\omega_0 c}{L^3} \frac{\partial}{\partial e}$$

$$\phi_n(e) = \left[ \frac{\epsilon_0 L^3}{\pi \hbar \omega_0} \right]^{1/4} \frac{1}{\sqrt{2^n n!}} \exp \left\{ -\frac{1}{2} \frac{\epsilon_0 L^3}{\hbar \omega_0} e^2 \right\} H_n \left( \sqrt{\frac{\epsilon_0 L^3}{\hbar \omega_0}} e \right)$$

$$E_n = \hbar \omega_0 \left[ n + \frac{1}{2} \right]$$

$$\hat{H} = \hbar \omega_0 \left[ \hat{a}^\dagger \hat{a} + \frac{1}{2} \right]$$

Multi-mode:

$$\hat{H} = \sum_j \hbar \omega_j \left[ \hat{a}_j^\dagger \hat{a}_j + \frac{1}{2} \right]$$

$$\Psi(e_1, e_2, \dots) = \phi_{n_1}(e_1) \phi_{n_2}(e_2) \dots$$

$$E = \sum_j \hbar \omega_j \left[ n_j + \frac{1}{2} \right]$$

**Classical EM Fields (resonators):**

Classical equations:

$$\frac{\partial}{\partial t} \epsilon_0 \mathbf{E}(\mathbf{r}, t) = \nabla \times \mathbf{H}(\mathbf{r}, t)$$

$$\frac{\partial}{\partial t} \mu_0 \mathbf{H}(\mathbf{r}, t) = -\nabla \times \mathbf{E}(\mathbf{r}, t)$$

$$E = \int \frac{1}{2} \epsilon_0 |\mathbf{E}(\mathbf{r})|^2 + \frac{1}{2} \mu_0 |\mathbf{H}(\mathbf{r})|^2 d^3\mathbf{r}$$

Single mode solution:

$$\mathbf{E}(\mathbf{r}, t) = e(t) \mathbf{u}(\mathbf{r}) \quad \mathbf{H}(\mathbf{r}, t) = h(t) \mathbf{v}(\mathbf{r})$$

$$\frac{d}{dt} e(t) = \frac{1}{\epsilon_0} k h(t) \quad \frac{d}{dt} h(t) = -\frac{1}{\mu_0} k e(t)$$

$$E = \frac{1}{2} \epsilon_0 e^2(t) \int |\mathbf{u}(\mathbf{r})|^2 d^3\mathbf{r} + \frac{1}{2} \mu_0 h^2(t) \int |\mathbf{v}(\mathbf{r})|^2 d^3\mathbf{r}$$

Electrons, 3-D square well, continuum limit:

$$g(\epsilon) = g_s \frac{\pi}{4} \left( \frac{2mL^2}{\hbar^2 \pi^2} \right)^{\frac{1}{4}} e^{\frac{1}{4}}$$

$$g(\epsilon) = \frac{L^3}{\pi^2 \hbar^3 c^3} \epsilon^2$$

**Basic Thermodynamics:**

Basis: "In thermodynamic equilibrium, all accessible microstates are equally probable."

Number of accessible microstates :  $\Omega$

$$\text{Entropy: } S = k_B \ln \Omega$$

$$\frac{1}{T} = \left( \frac{\partial S}{\partial E} \right)_{N,V}$$

$$\frac{p}{T} = \left( \frac{\partial S}{\partial V} \right)_{E,N}$$

$$\frac{\mu}{T} = -\left( \frac{\partial S}{\partial N} \right)_{E,V}$$

First Law:

$$dE = TdS + \mu dN - pdV$$

Ideal gas law:

$$pV = Nk_B T$$

$$\langle Q \rangle = \sum_m p_m(T) Q_m$$

$$p_m = \frac{g_m e^{-(E_m - \mu N_m)/k_B T}}{\sum_m g_m e^{-(E_m - \mu N_m)/k_B T}}$$

Fermi-Dirac Statistics:

$$\langle N \rangle = \frac{1}{1 + e^{(\epsilon - \mu)/k_B T}}$$

Bose-Einstein Statistics:

$$\langle N \rangle = \frac{1}{e^{(e-\mu)/k_B T} - 1}$$

Donor Statistics:

$$\langle N \rangle = \frac{1}{1 + \frac{1}{2} e^{(E_d - \mu)/k_B T}}$$

Grand Partition Function:

$$Z = \sum_m g_m e^{-(E_m - \mu N_m)/k_B T}$$

$$\langle N \rangle = k_B T \frac{\partial}{\partial \mu} \ln Z$$

$$\langle E \rangle = k_B T^2 \frac{\partial}{\partial T} \ln Z + \mu \langle N \rangle$$

Blackbody energy density:

$$u = \frac{1}{L^3} \sum_j \frac{\hbar \omega_j}{e^{\hbar \omega_j/k_B T} - 1} = \int_0^\infty \frac{\epsilon^2}{\pi^2 \hbar^3 c^3} \frac{\epsilon}{e^{\epsilon/k_B T} - 1} d\epsilon$$

Metals:

Determination of Fermi level:

$$n_e = \frac{1}{L^3} \sum_j f_{FD}(\epsilon_j) = \frac{1}{L^3} \int g(\epsilon) \frac{1}{1 + e^{(\epsilon - \mu)/k_B T}} d\epsilon$$

Semiconductors:

Density of states near band gap:

$$g_C(\epsilon) = \frac{\pi}{2} \left( \frac{2m_e^* L^2}{\hbar^2 \pi^2} \right)^{\frac{3}{2}} (\epsilon - E_C)^{\frac{1}{2}}$$

$$g_V(\epsilon) = \frac{\pi}{2} \left( \frac{2m_h^* L^2}{\hbar^2 \pi^2} \right)^{\frac{3}{2}} (E_V - \epsilon)^{\frac{1}{2}}$$

Carrier densities:

$$n = \frac{1}{L^3} \int g_C(\epsilon) \frac{1}{1 + e^{(\epsilon - \mu)/k_B T}} d\epsilon \approx N_C(T) e^{-(E_C - \mu)/k_B T}$$

$$N_C(T) = 2 \left( \frac{m_e^* k_B T}{2\pi \hbar^2} \right)^{\frac{3}{2}}$$

$$p = \frac{1}{L^3} \int_{-\infty}^{E_V} g_V(\epsilon) \frac{1}{1 + e^{-(\epsilon - \mu)/k_B T}} d\epsilon \approx N_V(T) e^{(E_V - \mu)/k_B T}$$

$$N_V(T) = 2 \left( \frac{m_h^* k_B T}{2\pi \hbar^2} \right)^{\frac{3}{2}}$$

Electrons in a box:

$$\mu(T) = \mu(0) \left[ 1 - \frac{\pi^2}{12} \left( \frac{k_B T}{\mu(0)} \right)^2 + \dots \right]$$

Equilibrium, undoped semiconductor:

$$n(T) = p(T) = n_i(T) = \sqrt{N_C(T) N_V(T)} e^{-E_g/2k_B T}$$

Doped semiconductor:

Averages near the Fermi surface:

$$\begin{aligned} \langle \xi \rangle &= \int_0^\infty g(\epsilon) \xi(\epsilon) f_{FD}(\epsilon) d\epsilon \\ &= \int_0^{E_F} g(\epsilon) \xi(\epsilon) d\epsilon + \frac{\pi^2}{6} (k_B T)^2 \left( \frac{d(g\xi)}{d\epsilon} \right)_{E_F} + \dots \end{aligned}$$

Electronic energy density:

$$u = u(0) + \frac{\pi^2}{6L^3} (k_B T)^2 g(E_F) + \dots$$

$$E_{1s} = -\frac{\mu e^4}{32\pi^2 \epsilon_0^2 \hbar^2} = -\frac{\mu}{m_e} I_H = -I_\mu$$

Hydrogen constants:

$$a_0 = \frac{4\pi \epsilon_0 \hbar^2}{m_e e^2} = 0.529 \text{ \AA}$$

$$I_H = \frac{m_e e^4}{32\pi^2 \epsilon_0^2 \hbar^2} = 13.6058 \text{ eV}$$

Radial and Angular Separation:

$$|\hat{\mathbf{p}}|^2 = -\hbar^2 \nabla^2 = \hat{p}^2 + \frac{|\hat{\mathbf{L}}|^2}{r^2}$$

$$\hat{p}^2 = -\hbar^2 \frac{1}{r^2} \frac{\partial}{\partial r} \left( r^2 \frac{\partial}{\partial r} \right)$$

$$|\hat{\mathbf{L}}|^2 = -\hbar^2 \left[ \frac{1}{\sin \theta} \frac{\partial}{\partial \theta} \left( \sin \theta \frac{\partial}{\partial \theta} \right) + \frac{1}{\sin^2 \theta} \frac{\partial^2}{\partial \phi^2} \right]$$

Schrodinger equation:

$$E\psi(r, \theta, \phi) = \left[ \frac{\hat{p}^2}{2\mu} + \frac{|\hat{\mathbf{L}}(\theta, \phi)|^2}{2\mu r^2} + V(r) \right] \psi(r, \theta, \phi)$$

Separated solutions:

$$\psi_{nlm}(r, \theta, \phi) = \frac{P(r)}{r} Y_{lm}(\theta, \phi)$$

Spherical harmonics:

$$|\hat{\mathbf{L}}(\theta, \phi)|^2 Y_{lm}(\theta, \phi) = \hbar^2 l(l+1) Y_{lm}(\theta, \phi)$$

$$Y_{lm}(\theta, \phi) = (-1)^{m+|l|}/2 \left[ \frac{(2l+1)(l-|m|)!}{4\pi(l+|m|)!} \right]^{\frac{1}{2}} P_l^{|m|}(\cos \theta) e^{im\phi}$$

$$Y_{lm}|Y_{l'm'}\rangle = \int_0^{2\pi} d\phi \int_0^\pi d\theta \sin \theta Y_{lm}^*(\theta, \phi) Y_{l'm'}(\theta, \phi) = \delta_{ll'} \delta_{mm'}$$

Classical Hydrogen Atom:

Coulomb potential:

$$V(|\mathbf{r}_2 - \mathbf{r}_1|) = -\frac{e^2}{4\pi\epsilon_0 |\mathbf{r}_2 - \mathbf{r}_1|}$$

Newton's laws:

$$\begin{aligned} \frac{d\mathbf{r}_1}{dt} &= \frac{\mathbf{p}_1}{m_1} & \frac{d\mathbf{r}_2}{dt} &= \frac{\mathbf{p}_2}{m_2} \\ \frac{d\mathbf{p}_1}{dt} &= -\nabla_1 V & \frac{d\mathbf{p}_2}{dt} &= -\nabla_2 V \end{aligned}$$

Energy:

$$E = \frac{|\mathbf{p}_1|^2}{2m_1} + \frac{|\mathbf{p}_2|^2}{2m_2} + V(|\mathbf{r}_2 - \mathbf{r}_1|)$$

Coordinate transformation:

$$\begin{aligned} \mathbf{r} &= \mathbf{r}_2 - \mathbf{r}_1 & \mathbf{R} &= \frac{m_1}{M} \mathbf{r}_1 + \frac{m_2}{M} \mathbf{r}_2 \\ \mathbf{p} &= \frac{\mu}{m_2} \mathbf{p}_2 - \frac{\mu}{m_1} \mathbf{p}_1 & \mathbf{P} &= \mathbf{p}_1 + \mathbf{p}_2 \end{aligned}$$

Newton's laws, center of mass, relative coordinates:

$$\begin{aligned} \frac{d\mathbf{r}}{dt} &= \frac{\mathbf{p}}{\mu} & \frac{d\mathbf{R}}{dt} &= \frac{\mathbf{P}}{M} \\ \frac{d\mathbf{p}}{dt} &= -\nabla V(|\mathbf{r}|) & \frac{d\mathbf{P}}{dt} &= 0 \end{aligned}$$

Energy:

$$E = \frac{|\mathbf{P}|^2}{2M} + \frac{|\mathbf{p}|^2}{2\mu} + V(|\mathbf{r}|)$$

Angular momentum:

$$\mathbf{L} = \mathbf{r} \times \mathbf{p} \quad \frac{d\mathbf{L}}{dt} = 0$$

Newton's laws for the radial coordinate:

$$\mu \frac{dr}{dt} = p$$

Energy associated with relative motion:

$$\frac{dp}{dt} = -\frac{d}{dr} \left[ V(r) + \frac{|\mathbf{L}|^2}{2\mu r^2} \right]$$

Quantum Hydrogen Atom:

Schrödinger equation:

$$\begin{aligned} i\hbar \frac{\partial}{\partial t} \Psi(\mathbf{r}_1, \mathbf{r}_2, t) \\ = \left[ -\frac{\hbar^2 \nabla_1^2}{2m_1} - \frac{\hbar^2 \nabla_2^2}{2m_2} - \frac{e^2}{4\pi\epsilon_0 |\mathbf{r}_2 - \mathbf{r}_1|} \right] \Psi(\mathbf{r}_1, \mathbf{r}_2, t) \end{aligned}$$

Eigenfunction expansion:

$$\begin{aligned} \Psi(\mathbf{r}_1, \mathbf{r}_2, t) &= \sum_j c_j e^{-iE_j t/\hbar} \Phi_j(\mathbf{r}_1, \mathbf{r}_2) \\ E_j \Phi_j(\mathbf{r}_1, \mathbf{r}_2) \\ &= \left[ -\frac{\hbar^2 \nabla_1^2}{2m_1} - \frac{\hbar^2 \nabla_2^2}{2m_2} - \frac{e^2}{4\pi\epsilon_0 |\mathbf{r}_2 - \mathbf{r}_1|} \right] \Phi_j(\mathbf{r}_1, \mathbf{r}_2) \end{aligned}$$

Center of mass, relative coordinate separation:

$$\begin{aligned} E\Phi(\mathbf{r}, \mathbf{R}) &= \left[ -\frac{\hbar^2 \nabla_{\mathbf{R}}^2}{2M} - \frac{\hbar^2 \nabla_{\mathbf{r}}^2}{2\mu} - \frac{e^2}{4\pi\epsilon_0 |\mathbf{r}|} \right] \Phi(\mathbf{r}, \mathbf{R}) \\ \Phi(\mathbf{r}, \mathbf{R}) &= e^{i\mathbf{K} \cdot \mathbf{R}} \end{aligned}$$

$$E = \frac{\hbar^2 |\mathbf{K}|^2}{2M} + E_r$$

Relative problem ( $\mathbf{K} = 0$ )

$$E\psi(\mathbf{r}) = \left[ -\frac{\hbar^2 \nabla^2}{2\mu} - \frac{e^2}{4\pi\epsilon_0 |\mathbf{r}|} \right] \psi(\mathbf{r})$$

Ground state solution:

$$\begin{aligned} \psi_{1s}(\mathbf{r}) &= \frac{1}{\sqrt{\pi a_\mu^3}} e^{-r/a_\mu} \\ \frac{1}{a_\mu} &= \frac{\mu e^2}{4\pi\epsilon_0 \hbar^2} = \frac{\mu}{m_e} \frac{1}{a_0} \end{aligned}$$

| $l$ | $m$     | $Y_{lm}(\theta, \phi)$   | $x, y, z$ -representation                             |
|-----|---------|--|---|
| 0   | 0       | $\frac{1}{\sqrt{4\pi}}$  | $\frac{1}{\sqrt{4\pi}}$                               |
| 1   | 0       | $\sqrt{\frac{3}{4\pi}} \cos \theta$                                | $\sqrt{\frac{3}{4\pi}} \frac{z}{r}$                   |
| 1   | $\pm 1$ | $\mp \sqrt{\frac{3}{8\pi}} \sin \theta e^{\pm i\phi}$              | $\mp \sqrt{\frac{3}{8\pi}} \frac{x \pm iy}{r}$        |
| 2   | 0       | $\sqrt{\frac{5}{16\pi}} (2 \cos^2 \theta - \sin^2 \theta)$         | $\sqrt{\frac{5}{16\pi}} \frac{2z^2 - x^2 - y^2}{r^2}$ |
| 2   | $\pm 1$ | $\mp \sqrt{\frac{15}{8\pi}} \sin \theta \cos \theta e^{\pm i\phi}$ | $\mp \sqrt{\frac{15}{8\pi}} \frac{z(x \pm iy)}{r^2}$  |
| 2   | $\pm 2$ | $\sqrt{\frac{15}{32\pi}} \sin^2 \theta e^{\pm 2i\phi}$             | $\sqrt{\frac{15}{32\pi}} \frac{(x \pm iy)^2}{r^2}$    |

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For this to be written in the form specified, we require:

$$\frac{E_i}{k_B T_j} = \frac{E_i}{k_B T} + \frac{1}{3} \frac{E_i^2}{N(k_B T)^2} \quad \frac{1}{T_j} = \frac{1}{T} + \frac{1}{3} \frac{E_i}{N k_B T} = \frac{1}{T} \left( 1 + \frac{1}{3} \frac{E_i}{N k_B T} \right)$$

$$T_j = \frac{T}{1 + \frac{1}{3} \frac{E_i}{N k_B T}} \quad P_j(T)$$

b) Find an expression for the occupation probability based on the Sackur-Tetrode entropy directly.

$$P_i(E_i) = \frac{\Omega_L(E_i) \Omega_R(E-E_i)}{\sum_{E_i} \Omega_L(E_i') \Omega_R(E-E_i')}$$

$$\Omega_R(E, V) = e^{S_{ST}(N, E, V)} = \exp \left\{ N \left[ \frac{3}{2} \ln \frac{E}{N} - \ln \frac{V}{N} + \frac{3}{2} \ln \frac{M}{3\pi R^2} + \frac{5}{2} \right] \right\}$$

$$= \left( \frac{E}{N} \right)^{3N/2} \left( \frac{V}{N} \right)^N \left( \frac{M}{3\pi R^2} \right)^{3N/2} \left( \frac{5}{2} \right)^N$$

for a specific  
gas. We can use this to write!

$$P_i(E_i) = \frac{\Omega_L(E_i) (E-E_i)^{3N/2}}{\sum_{E_i'} \Omega_L(E_i') (E-E_i')^{3N/2}} \quad \text{or} \quad P_i \beta = \frac{\Omega_j \left( \frac{3}{2} N k_B T - E_j \right)^{3N/2}}{\sum_k \Omega_k \left( \frac{3}{2} N k_B T - E_k \right)^{3N/2}}$$

thermal expectation value of a quantity  $Q_j = Q(E_j)$  is then

$$\langle Q \rangle = \frac{\sum Q_j \Omega_j e^{-E_j/k_B T}}{\sum_j \Omega_j e^{-E_j/k_B T}}$$

Suppose an infinite thermal reservoir is not available, &amp; the quantum system must make due with a distinctly finite reservoir made of an ideal gas.

a) Find an expression for  $T_j$  by expanding the entropy to second order. In this case the occupation probability can be written as:

$$P_i(T) = \frac{\Omega_i e^{-E_i/k_B T}}{\sum_k \Omega_k e^{-E_k/k_B T}}$$

where the occupation probability is reduced due to a loss of energy from the reservoir. Find an expression for  $T_j$  in terms of  $\eta$ 

$$P_i(N_i, E_i, V_i) = \frac{\Omega_L(N_i, E_i, V_i) \Omega_R(N-N_i, E-E_i, V-V_i)}{\sum_{E_i'} \Omega_L(N_i, E_i', V_i) \Omega_R(N-N_i, E-E_i', V-V_i)}$$

if we suppress the number & volume part of the problem:  $P_i(E_i) = \frac{\Omega_L(E_i) \Omega_R(E-E_i)}{\sum_{E_i'} \Omega_L(E_i') \Omega_R(E-E_i')}$

We can use a Taylor series approximation to second order to write

$$S_R(E-E_i) = S_R(E) - E_i \left( \frac{dS_R}{dE} \right)_E + \frac{1}{2} E_i^2 \left( \frac{d^2 S_R}{dE^2} \right)_E$$

We make use of Sackur-Tetrode entropy for ideal gas:

$$S_{ST}(N, E, V) = N k_B \left\{ \frac{3}{2} \ln \frac{E}{N} - \ln \frac{V}{N} + \frac{3}{2} \ln \frac{M}{3\pi R^2} + \frac{5}{2} \right\}$$

$$\left( \frac{\partial S_{ST}}{\partial E} \right)_{N,V} = \frac{3}{2} \frac{N k_B}{E} \quad \left( \frac{\partial^2 S_{ST}}{\partial E^2} \right)_{N,V} = -\frac{3}{2} \frac{N k_B}{E^2}$$

remember  
 $\frac{d}{dx} \ln(x) = \frac{1}{x}$

$$E = \frac{3}{2} N k_B T \Rightarrow \left( \frac{\partial S_{ST}}{\partial E} \right)_{N,V} = \frac{1}{T} \quad \left( \frac{\partial^2 S_{ST}}{\partial E^2} \right)_{N,V} = -\frac{3}{2} \frac{N k_B}{(\frac{3}{2} N k_B T)^2} = -\frac{2}{3} \frac{1}{N k_B T^2}$$

$$S_R(E-E_i) = S_R(E) - \frac{E_i}{T} - \frac{1}{3} \frac{E_i^2}{N k_B T^2}$$

$$P_i(E_i) = \Omega_L(E_i) \exp \left\{ -\frac{E_i}{k_B T} - \frac{1}{3} \frac{E_i^2}{N(k_B T)^2} \right\}$$

$$\frac{\sum_{E_i'} \Omega_L(E_i') \exp \left\{ -\frac{E_i'}{k_B T} - \frac{1}{3} \frac{(E_i')^2}{N(k_B T)^2} \right\}}{\sum_{E_i} \Omega_L(E_i) \exp \left\{ -\frac{E_i}{k_B T} - \frac{1}{3} \frac{E_i^2}{N(k_B T)^2} \right\}}$$

$$\Omega_R = e^{\frac{S_R}{k_B}}$$

## Pset 13 Question 1

Modeling the absorption of He into a small metal sample. (identical He atoms,  $\kappa$  absorption sites in metal. Absorption energy:  $\Delta E$  where  $\kappa\Delta E$  means energy required for helium to go into solid.

$$\Sigma_{N_1, E_1, V_1} \Omega_1(N_1, E_1, V_1) \Omega_2(N-N_1, E-E_1, V-V_1)$$

For the helium atom in the gas phase, we can estimate # of accessible micro states using Sackur-Tetrode ideal gas entropy:

$$\Omega_2(N_2, E_2, V_2) = N_2 K_B \left[ \frac{3}{2} \ln \frac{E_2}{N_2} - \ln \frac{N_2}{V_2} + \frac{3}{2} \ln \frac{M}{3\pi h^2} + \frac{5}{2} \right]$$

We assume pressure is small so volume change can be negligible

- a) Use the model to find a general expression for probability that the metal contains exactly  $N_1$  helium atoms.

$$P_1(N_1) = \frac{\Omega_1(N_1, E_1, V_1) \Omega_2(N-N_1, E-E_1, V-V_1)}{\sum_{N_1} \sum_{E_1} \sum_{V_1} \Omega_1(N'_1, E'_1, V'_1) \Omega_2(N-N'_1, E-E'_1, V-V'_1)}$$

$$= \frac{\Omega_1(N_1) \Omega_2(N-N_1, E-N, \Delta E)}{\sum_{N_1} \Omega_1(N'_1) \Omega_2(N-N'_1, E-N\Delta E)}$$

- b) Find a specific formula for probability there are  $N_1$  absorbed helium atoms in terms of gas temperature  $T$  & chemical potential  $\mu$  of helium in the gas.

$$S_T(N-N_1, E-N, \Delta E, V) = S_T(N, E, V) - N_1 \left( \frac{\partial S_T}{\partial N} \right)_{E, V} - N_1 \Delta E \left( \frac{\partial S_T}{\partial E} \right)_{N, V} + \dots$$

$$\left( \text{This is because } f(x_0+dx) = f(x_0) + dx \left( \frac{df}{dx} \right)_{x_0} + \frac{1}{2} dx^2 \left( \frac{d^2f}{dx^2} \right)_{x_0} + \dots \right) = \frac{-\mu}{T}$$

$$\rightarrow S_T(N, E, V) + N_1 \frac{\mu}{T} - N_1 \frac{\Delta E}{T}$$

$$\Omega_2(N_2, E_2, V_2) = e^{ \left[ \frac{N_1(\Delta E - \mu)}{kT} + \frac{S_T(N, E, V)}{k} \right] } = \Omega_2(N, E, V) e^{-N_1 \frac{(\Delta E - \mu)}{kT}}$$

$$P = \frac{\Omega_1(N_1) \Omega_2(N, E, V) e^{-N_1 \frac{(\Delta E - \mu)}{kT}}}{\sum_{N_1} \Omega_1(N'_1) \Omega_2(N'_1, E'_1, V'_1) e^{-N'_1 \frac{(\Delta E - \mu)}{kT}}} = \frac{\Omega_1(N_1) e^{-N_1 \frac{(\Delta E - \mu)}{kT}}}{\sum_{N_1} \Omega_1(N'_1) e^{-N'_1 \frac{(\Delta E - \mu)}{kT}}}$$

$$= \boxed{\frac{(K) \exp\left\{-N_1 \frac{(\Delta E - \mu)}{kT}\right\}}{\sum_{N_1} (N'_1) \exp\left\{-N'_1 \frac{(\Delta E - \mu)}{kT}\right\}}}$$

- c) Determine  $N_1$  that corresponds to the most probable state of the combined system  
Express answer in terms of  $M$  &  $\theta$  defined by  $\theta = \frac{N_1}{K}$   
Hint: Solve for  $p(N_1) = p(N_1+1)$

$$\frac{\partial}{\partial N_1} P(N_1) = 0$$

$$P_1(N_1) = P(N_1+1)$$

$$\Rightarrow e^{\frac{\Delta E - \mu}{kT}} = \frac{(K_{N_1+1})}{(K_{N_1})} = \frac{K-M}{N_1+1} \quad \text{Assume } N_1 \gg 1 \Rightarrow \theta = \frac{M}{K}$$

$$(N_1+1) = (K-N_1) \exp\left\{-\frac{\Delta E - \mu}{kT}\right\} \quad \theta = \frac{M}{K} \quad \frac{\theta}{1-\theta} = \exp\left\{-\frac{\Delta E - \mu}{kT}\right\}$$

- d) Evaluate the chemical potential of the ideal gas to find an expression for the absorbed fraction  $\theta$  as a function of gas pressure  $P$ .

$$\frac{-\mu}{T} = \left( \frac{\partial S_T}{\partial N} \right)_{E, V} = k_B \left\{ \frac{3}{2} \ln \frac{E}{N} - \ln \frac{N}{V} + \frac{3}{2} \ln \frac{M}{3\pi h^2} + \frac{5}{2} \right\} - \frac{3}{2} k_B - k_B$$

$$\frac{E}{N} \rightarrow \frac{3}{2} k_B T \quad \frac{N}{V} \rightarrow \frac{P}{k_B T} \Rightarrow \theta = k_B \left\{ \frac{3}{2} \ln \left( \frac{3}{2} \frac{k_B T}{N} - \ln \frac{P}{k_B T} + \frac{3}{2} \frac{M}{3\pi h^2} \right) \right\} - \ln \left( \frac{P}{k_B T} \right)$$

$$\exp\left\{-\frac{\mu}{k_B T}\right\} = \left( \frac{M k_B T}{2\pi h^2} \right)^{3/2} \left( \frac{P}{k_B T} \right)^{-1} \quad \frac{\theta}{1-\theta} = \exp\left\{-\frac{\Delta E - \mu}{k_B T}\right\} \left( \frac{2\pi h^2}{M k_B T} \right)^{3/2} \left( \frac{P}{k_B T} \right)$$

Pset 12 Problem 1

Two systems in equilibrium at diff. temperatures  $T_1$  &  $T_2$ , pressures  $p_1$  &  $p_2$ , & potentials  $\mu_1$  &  $\mu_2$ . They are then brought into contact with each other, & allowed to exchange energy, volume, & particles.

→ Does the entropy of the total system increase, decrease, or stay the same during the contact & subsequent equilibration?

total # of accessible microstates initially

$$\Omega_{\text{before}}(N, E, V) = \Omega_1(N_1, E_1, V_1) \Omega_2(N_2, E_2, V_2)$$

$$N = N_1 + N_2 \quad E = E_1 + E_2 \quad V = V_1 + V_2$$

$$\Omega_{\text{after}}(N, E, V) = \sum_{N_1, E_1, V_1} \sum_{N_2, E_2, V_2} \Omega_1(N_1, E_1, V_1) \Omega_2(N_2, E_2, V_2)$$

We can see that the before state is going to be one of the terms in the summation for the number of accessible microstates after they are combined. Since the entropy is:

$$S = k_B \ln \Omega$$

by argument, we expect the entropy to increase, but it is possible for the # of accessible microstates to remain the same in special cases.

Pset 12 problem 4

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a) Reservoir contains 3 two-level systems, one unit of energy  $E = \hbar\omega_0$  above ground state for oscillator & 2-level system.

Compare the excitation probability  $p_n$  of the states of the oscillator.

|   |                                       |
|---|---------------------------------------|
| $\begin{array}{ccc} \times & \times & \times \\ \times & \times & \times \\ \times & \times & \times \end{array}$ | $\frac{1}{0} \frac{1}{1} \frac{1}{2}$ |
| $\begin{array}{ccc} \times & \times & \times \\ \times & \times & \times \\ \times & \times & \times \end{array}$ | $\frac{1}{0} \frac{1}{1} \frac{1}{2}$ |
| $\begin{array}{ccc} \times & \times & \times \\ \times & \times & \times \\ \times & \times & \times \end{array}$ | $\frac{1}{0} \frac{1}{1} \frac{1}{2}$ |
| $\begin{array}{ccc} \times & \times & \times \\ \times & \times & \times \\ \times & \times & \times \end{array}$ | $\frac{1}{0} \frac{1}{1} \frac{1}{2}$ |

$$\Omega_0 = \binom{3}{0} = 1 \Rightarrow \text{excited state in oscillator}$$

$$\Omega_1 = \binom{3}{1} = 3 \Rightarrow \text{not excited state in oscillator}$$

$$P_0 = \frac{\Omega_0}{\Omega_0 + \Omega_1} = \frac{1}{4} \quad P_1 = \frac{\Omega_1}{\Omega_0 + \Omega_1} = \frac{3}{4}$$

b) Now reservoir contains seven 2-level systems. Two units of energy  $E = 2\hbar\omega_0$  ~~above~~ excitation above ground state for oscillator & 2-level system.

Compare excitation probability  $p_n$  of the states of the oscillator.

$$\Omega_0 = 1 \quad (\text{all are in oscillator})$$

$$P_0 = \frac{\Omega_0}{\Omega_0 + \Omega_1 + \Omega_2} = \frac{1}{29}$$

$$\Omega_1 = \binom{7}{1} = 7 \quad (1 \text{ in oscillator})$$

$$P_1 = \frac{\Omega_1}{\Omega_0 + \Omega_1 + \Omega_2} = \frac{7}{29}$$

$$\Omega_2 = \binom{7}{2} = 21 \quad (0 \text{ in oscillator})$$

$$P_2 = \frac{\Omega_2}{\Omega_0 + \Omega_1 + \Omega_2} = \frac{21}{29}$$

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c) Estimate the temperature  $T$  associated with the reservoir when the oscillator is in the ground state for configurations described in part a & part b.

Recall for a very large reservoir, we would expect:

$$\frac{p_1}{p_0} = e^{-\hbar\omega_0/k_B T}$$

$$\text{pt. a)} \quad \frac{1/4}{3/4} = \frac{1}{3} = e^{-\hbar\omega_0/k_B T}$$

$$\text{pt. b)} \quad \frac{7/29}{21/29} = \frac{1}{3} = e^{-\hbar\omega_0/k_B T}$$

$$\ln\left(\frac{1}{3}\right) = -\hbar\omega_0/k_B T \rightarrow \frac{\hbar\omega_0}{k_B T} = \cancel{\ln(3)/k_B}$$

d) If the total energy  $E = m\hbar\omega_0$  is present in  $m-1$  two-level systems estimate the temperatures according to:

$$\frac{1}{T} = \frac{\partial S}{\partial E} = k_B \frac{\partial}{\partial E} \ln \Omega \approx k_B \left[ \frac{\ln \Omega(n=0) - \ln \Omega(n=1)}{\hbar\omega_0} \right]$$

$$\Omega(n=0) = \binom{4m-1}{m} \quad \frac{p_1}{p_0} = \frac{2m-1}{2m}$$

$$\Omega(n=1) = \binom{4m-1}{m-1}$$

$$\ln\left(\frac{\Omega_m}{\Omega_{m-1}}\right) = \ln\left(\frac{\binom{4m-1}{m}}{\binom{4m-1}{m-1}}\right) = \frac{\hbar\omega_0}{k_B T} \Rightarrow k_B T = \frac{\hbar\omega_0}{\cancel{4m-1}}$$

e) Fill in entries in the following table

| Ratio     | 3 two-level | 7 two-level | 4m-1 two-level                            | Entire reservoir |
|-----------|-------------|-------------|---|------------------|
| $P_0/P_1$ | $1/3$       | $1/3$       | $1/3$                                     | $1/3$            |
| $P_2/P_1$ | 0           | $1/7$       | $\frac{(4m-1)}{(m-2)} = \frac{m+1}{3m+1}$ | $1/3$            |

The ratio  $P_2/P_1$  is less than  $1/3$  because the reservoir is depleted, & hence the temperature is lower, the more energy goes into the oscillator.

At low temp. rare gas atoms can form weak bonds associated with Van der Waals interaction. For He & Ne, bond so weak  $\delta=0$  grand state of HeNe molecule is band, but none of rotational states  $\delta>0$  are band.

→ in this problem, we are interested in developing an isotherm for formation of HeNe ground state dimer.

Total # of accessible microstates:

$$\Omega(N_{He}, N_{Ne}, E, V) =$$

$$\sum_{N_1} \sum_{E_1} \sum_{E_2} \Omega_{HeNe}(N_1, E_1 + N, \Delta E, V) \Omega_{He}(N_{He} - N_1, E_2, V) \\ \Omega_{Ne}(N_{Ne} - N_1, E - E_1 - E_2, V)$$

a) Show constraints from finding most probable configuration is consistent with:  $T_{He} = T_{Ne} = T_{HeNe}$  and  $M_{HeNe} = \text{constant} + \text{affine}$

Find the constant value.

optimize by  $N_1$ . ~~Also optimize by  $E_1$~~

$$\frac{\partial}{\partial N_1} (\Omega_{HeNe}(N_1, E_1 + N, \Delta E, V) \Omega_{He}(N_{He} - N_1, E_2, V) \Omega_{Ne}(N_{Ne} - N_1, E - E_1 - E_2, V)) = 0$$

by chain rule:

$$\Rightarrow \left( \frac{\partial}{\partial N_1} \Omega_{HeNe}(N_1, E_1 + N, \Delta E, V) \right)_{E, V} \Omega_{He}(N_{He} - N_1, E_2, V) \Omega_{Ne}(N_{Ne} - N_1, E - E_1 - E_2, V) = 0$$

$$+ \Omega_{HeNe}(N_1, E, V) \left( \frac{\partial}{\partial N_1} \Omega_{He}(N_{He} - N_1, E_2, V) \right)_{E_2, V} = 0 \quad (\dots \text{too lazy to write next}) = 0$$

$$= \left( \frac{\partial}{\partial N_1} \ln \Omega_{HeNe}(N_1, E_1 + N, \Delta E, V) \right)_{E, V} + \left( \frac{\partial}{\partial N_1} \ln \Omega_{He}(N_{He} - N_1, E_2, V) \right)_{E_2, V} + \left( \frac{\partial}{\partial N_1} \ln \Omega_{Ne}(N_{Ne} - N_1, E - E_1 - E_2, V) \right)_{E, V} = 0$$

$$= \left( \frac{\partial}{\partial N_1} S_{HeNe}(N_1, E_1 + N, \Delta E, V) \right)_{E, V} + \left( \frac{\partial}{\partial N_1} S_{He}(N_{He} - N_1, E_2, V) \right)_{E_2, V} + \left( \frac{\partial}{\partial N_1} S_{Ne}(N_{Ne} - N_1, E - E_1 - E_2, V) \right)_{E, V} = 0$$

$$\frac{-M_{HeNe}}{T_{HeNe}} + \frac{\Delta E}{T_{HeNe}} + \frac{M_{He}}{T_{He}} + \frac{M_{Ne}}{T_{Ne}} = 0$$

$$\text{Optimize by } E_1: \frac{\partial}{\partial E_1} (\dots) = 0 \quad \left\{ \text{gives you } \frac{1}{T_{HeNe}} = \frac{1}{T_{Ne}} \right\}$$

$$\text{Optimize by } E_2: \frac{\partial}{\partial E_2} (\dots) = 0 \quad \left\{ \text{gives you } \frac{1}{T_{HeNe}} = \frac{1}{T_{He}} \right\}$$

$$\Rightarrow M_{HeNe} = \Delta E + M_{He} + M_{Ne}$$

b) Make use of the ideal gas chemical potential to derive an isotherm for molecular HeNe. The isotherm should be at the form:

$$\frac{N_{HeNe}}{n_{He} n_{Ne}} = F(T, M_{He}, M_{Ne}, M_{HeNe}) \quad \text{where} \quad n_{He} = \frac{N_{He}}{V} \quad n_{Ne} = \frac{N_{Ne}}{V}$$

Chemical potential from Sackur-Tetrode entropy:

$$\frac{-M}{k_B T} = \frac{3}{2} \ln \frac{E}{N} - \ln \frac{N}{V} + \frac{3}{2} \ln \frac{M}{3\pi r^3}$$

$$\Rightarrow -k_B T \left[ \frac{3}{2} \ln \frac{E_{HeNe}}{M_{HeNe}} - \ln \frac{N_{HeNe}}{V} + \frac{3}{2} \ln \frac{M_{HeNe}}{3\pi r^3} \right] = \Delta E - k_B T \left[ \frac{3}{2} \ln \frac{E_{He}}{N_{He}} - \ln \frac{N_{He}}{V} + \frac{3}{2} \ln \frac{M_{He}}{3\pi r^3} \right] - k_B T \left[ \frac{3}{2} \ln \frac{E_{Ne}}{N_{Ne}} - \ln \frac{N_{Ne}}{V} + \frac{3}{2} \ln \frac{M_{Ne}}{3\pi r^3} \right]$$

Stat Mech 2

$$\text{Plug in } E = \frac{3}{2} N k_B T, \text{ we get: } \frac{3}{2} \ln \left( \frac{3}{2} k_B T \right) - \ln N_{HeNe} + \frac{3}{2} \ln \frac{M_{HeNe}}{3\pi r^3}$$

$$= \frac{\Delta E}{k_B T} + \frac{3}{2} \ln \left( \frac{3}{2} k_B T \right) - \ln N_{He} + \frac{3}{2} \ln \frac{M_{He}}{3\pi r^3} + \frac{3}{2} \ln \left( \frac{3}{2} k_B T \right) - \ln N_{Ne} + \frac{3}{2} \ln \frac{M_{Ne}}{3\pi r^3}$$

$$\text{Simplified to: } \frac{\Delta E}{k_B T} + \frac{3}{2} \ln \frac{3\pi r^3 M_{HeNe}}{M_{He} M_{Ne}} = \ln \frac{N_{HeNe}}{N_{He} N_{Ne}} + \frac{3}{2} \ln \left( \frac{3}{2} k_B T \right)^{3/2}$$

$$\exp \left\{ \frac{\Delta E}{k_B T} \right\} \left( \frac{3\pi r^3 M_{HeNe}}{M_{He} M_{Ne}} \right)^{3/2} = \frac{N_{HeNe}}{N_{He} N_{Ne}} \left( \frac{3}{2} k_B T \right)^{3/2}$$

$$\text{Written as: } \frac{N_{HeNe}}{N_{He} N_{Ne}} = \exp \left\{ \frac{\Delta E}{k_B T} \right\} \left( \frac{3\pi r^3 M_{HeNe}}{M_{He} M_{Ne}} \right)^{3/2} \left( \frac{3}{2} k_B T \right)^{-3/2} = \frac{(3\pi r^3 M_{HeNe})^{3/2}}{(M_{He} M_{Ne}) k_B T} \exp \left\{ \frac{\Delta E}{k_B T} \right\}$$

## Pset II Problem 2

$$\hat{H}(x, y, z) = \hat{H}_x(x) + \hat{H}_y(y) + \hat{H}_z(z)$$

a) show density of states is of form:  
 $g(E) = (g_x * g_y * g_z)(E)$

$$E = E_x + E_y + E_z \quad g(E) = \int_0^\infty dE_x \int_0^\infty dE_y \int_0^\infty dE_z \{ g_x(E_x) g_y(E_y) g_z(E_z) \delta(E_x + E_y + E_z - E) \} \\ = \int_0^\infty g_x(E - E_y - E_z) g_y(E_y) g_z(E_z) dE_y dE_z = (g_x \otimes g_y \otimes g_z)(E)$$

For 3D,

$$g(E) = \int_0^\infty dE_x \int_0^\infty dE_y \int_0^\infty dE_z \{ g_x(E_x) g_y(E_y) g_z(E_z) \delta(E_x + E_y + E_z - E) \} \\ = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} g_x(E - E_y - E_z) g_y(E_y) g_z(E_z) dE_y dE_z dE_x \quad \text{definition of convolution} \\ = \int_{-\infty}^{\infty} (g_x \otimes g_y \otimes g_z)(E - E_z) g_z(E_z) dE_z = (g_x \otimes g_y \otimes g_z)(E)$$

b) determine density of states for a cubic 3D square well by using 1D density of states & convolution.

$$g_x(E) = \begin{cases} 0 & E < 0 \\ \frac{mL^2}{2\hbar^2 \pi^2 E_x} & 0 < E_x \end{cases}$$

$$\Rightarrow 2D \text{ density of states: } (g_x \otimes g_y)(E) = \int_0^\infty g_x(E - E_y) g_y(E_y) dE_y \\ = \int_0^E \int_{-\infty}^{\frac{mL^2}{2\hbar^2 \pi^2 (E - E_y)}} \frac{mL^2}{2\hbar^2 \pi^2 E_x} dE_y = \frac{mL^2}{2\hbar^2 \pi^2} \int_{E - E_y}^E \frac{1}{\sqrt{E(E - E_y)}} dE_y = \frac{mL^2}{2\hbar^2 \pi^2}$$

$$g(E) = \int_0^\infty (g_x \otimes g_y)(E - E_z) g_z(E_z) dE_z = \int_0^E \frac{mL^2}{2\hbar^2 \pi^2} \int_{E - E_z}^E \frac{mL^2}{2\hbar^2 \pi^2 E_z} dE_z = \left( \frac{mL^2}{2\hbar^2 \pi^2} \right)^{3/2} \frac{1}{\pi} \int_0^E \frac{1}{E^{1/2}} dE_z \\ = \frac{\pi}{4} \left( \frac{mL^2}{2\hbar^2 \pi^2} \right)^{3/2} \sqrt{E}$$

c) density of states (in the continuum approximation) associated with the Hamiltonian in three dimensions

$$\hat{H} = \frac{p^2}{2m} + \frac{1}{2} m \omega_0^2 (x^2 + y^2 + z^2)$$

$$g_x(E) = S_x(E) = \frac{1}{\hbar \omega_0} \quad (g_x \otimes g_y)(E) = \int_E^\infty \frac{1}{(\hbar \omega_0)^2} dE_y = \frac{E}{(\hbar \omega_0)^2}$$

$$g_z(E_z) = 2 \frac{dn}{dE} = \sqrt{\frac{mL^2}{2\hbar^2 \pi^2 E_z}} \quad E_n = \frac{\hbar^2 k_n^2}{2m} \quad K_n = \frac{2\pi n}{\hbar} \quad n = 0, \pm 1, \pm 2$$

$$g(E) = \int_0^\infty (g_x \otimes g_y)(E - E_z) g_z(E_z) dE_z \quad E_n = \frac{\hbar^2 (2\pi)^2}{2m L^2} n^2 \\ = \int_0^E \int_{E - E_z}^\infty \frac{1}{(\hbar \omega_0)^2} \sqrt{\frac{mL^2}{2\hbar^2 \pi^2 E_z}} dE_z = \frac{1}{(\hbar \omega_0)^2} \sqrt{\frac{mL^2}{2\hbar^2 \pi^2}} \frac{4}{3} E^{3/2} \quad dE = \frac{\hbar^2 (2\pi)^2}{2m L^2} 2ndn$$

A particle is incident on a finite barrier & reflects.

$$E\psi(x) = -\frac{\hbar^2}{2m} \frac{d^2}{dx^2}\psi(x) + V(x)\psi(x) \quad \text{where } E < V_0$$

$$V(x) = \begin{cases} 0 & x < 0 \\ V_0 & 0 < x \end{cases} \quad \psi(x) = \begin{cases} e^{ikx} + re^{-ikx} & x < 0 \\ Ae^{-\beta x} & 0 < x \end{cases}$$

- a) Determine  $K$  &  $\beta$  in terms of the model parameters.  
allowed regions      forbidden regions

$$E \begin{array}{c} \nearrow V_0 \\ \searrow \end{array} \quad K = \sqrt{\frac{2mE}{\hbar^2}} \quad \beta = \sqrt{\frac{2m(V_0-E)}{\hbar^2}}$$

- b) Find expressions for coefficients  $r$  &  $A$ .

$$\psi_I = \psi_{II} \Rightarrow e^{ikx} + re^{-ikx} = Ae^{-\beta x} \Rightarrow 1+r=A$$

at  $x=0$

$$\psi'_{I(0)} = \psi'_{II(0)} \Rightarrow ik e^{ikx} - ik r e^{-ikx} = -\beta A e^{-\beta x} \Rightarrow ik(1-r) = -\beta A$$

$$\begin{aligned} 1+r &= \frac{ik(1-r)}{-\beta} & 1+r &= \frac{ik-ikr}{-\beta} & -\beta &\leftarrow -\beta r = ik - ik r \Rightarrow r = \frac{ik+\beta}{ik-\beta} \\ A-1 &= \frac{-\beta A - ik}{-ik} & -Aik + ik &= -\beta A - ik \Rightarrow A = \frac{-2ik}{\beta - ik} = \boxed{\frac{2ik}{ik-\beta}} \end{aligned}$$

- c) We are interested in when barrier height could be determined from low energy measurements where  $E \ll V_0$ . Sol'n can be written as:

$$|\psi(x)|^2 \Big|_{x<0} \rightarrow 4\sin^2(kx - \frac{\theta}{2}) \quad \frac{\theta}{2} \text{ is a phase shift}$$

\* Recall that:  
 $1 - \cos(2\alpha) = 2\sin^2(\alpha)$   
 $\frac{1-E}{1-E} = 1 + 2E + 2E^2 + \dots$

$$\text{Reflection always occurs: } R = |r|^2 = 1$$

$$|\psi(x)|^2 \Big|_{x<0} = |e^{ikx} + re^{-ikx}|^2 = (e^{-ikx} + r^* e^{ikx})(e^{ikx} + re^{-ikx}) = 2 + r^* e^{2ikx} + re^{-2ikx}$$

If  $E \gg V_0$ , then  $\beta \gg K$ .

$$\Rightarrow r = \frac{-\beta + ik}{\beta - ik} \rightarrow -(1 + 2i \frac{k}{\beta} + \dots) \quad \text{interpreted as phase shift:}$$

$$|\psi(x)|^2 \Big|_{x<0} \rightarrow 2 - e^{-i\theta} e^{2ikx} - e^{i\theta} e^{-2ikx}$$

$$= 2 - e^{i(2kx-\theta)} - e^{-i(2kx-\theta)}$$

$$= 2 - 2\cos(2kx-\theta) = 2 - 2[1 - 2\sin^2(kx - \theta/2)] = 4\sin^2(kx - \theta/2) = 4\sin^2(kx - \frac{\theta}{2}).$$

Write in terms of  $E$  &  $V_0$ :

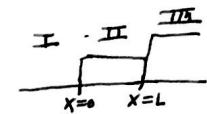
$$|\psi(x)|^2 \Big|_{x<0} \rightarrow 4\sin^2(kx - \sqrt{\frac{E}{V_0-E}})$$

Conclusion: barrier height can be determined from measurements of phase shift at different energies.

Bound/ step-wise potential

Particle incident on a double step potential

$$\hat{H} = -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + V(x) \quad V(x) = \begin{cases} 0 & x < 0 \\ V_0 & 0 < x < L \\ V_0 & L < x \end{cases}$$



Interested in:  $E\psi(x) = \hat{H}\psi(x)$  with  $\psi(x)$ :

$$\psi(x) = \begin{cases} e^{ik_1 x} + re^{-ik_1 x} & x < 0 \text{ Region I} \\ ae^{ik_2 x} + be^{-ik_2 x} & 0 < x < L \text{ Region II} \\ ce^{ik_3 x} & L < x \text{ Region III} \end{cases}$$

- a) Find  $k_1$ ,  $k_2$ , &  $k_3$  in terms of energy  $E$

$$E_I = \frac{\hbar^2 k_1^2}{2m} \quad E_{II} = \frac{\hbar^2 k_2^2}{2m} + V_0 \quad E_{III} = \frac{\hbar^2 k_3^2}{2m} + V_0$$

$$k_1 = \sqrt{\frac{2mE}{\hbar^2}} \quad k_2 = \sqrt{\frac{2m(E-V_0)}{\hbar^2}} \quad k_3 = \sqrt{\frac{2m(E-V_0)}{\hbar^2}}$$

- b) Obtain constraints on  $r$ ,  $a$ ,  $b$ , &  $c$  from imposing boundary conditions at  $x=0$  &  $x=L$

$$\psi_I(0) = \psi_{II}(0) \Rightarrow 1+r = a+b$$

$$\psi'_I(0) = \psi'_{II}(0) \Rightarrow ik_1 - rk_1 = ik_2 a - ik_2 b = ik_1(1-r) = ik_2(a-b)$$

$$\psi_{II}(L) = \psi_{III}(L) \Rightarrow ae^{ik_2 L} + be^{-ik_2 L} = ce^{ik_3 L}$$

$$\psi'_{II}(L) = \psi'_{III}(L) \Rightarrow ik_2 a e^{ik_2 L} - ik_2 b e^{-ik_2 L} = ik_3 c e^{ik_3 L}$$

$$\begin{aligned} \frac{\frac{a}{t} e^{ik_2 L} + \frac{b}{t} e^{-ik_2 L}}{\frac{a}{t} e^{ik_2 L} - \frac{b}{t} e^{-ik_2 L}} &= \frac{e^{ik_3 L}}{\frac{K_2}{K_3} e^{ik_3 L}} & \frac{\frac{a}{t} e^{ik_2 L} + \frac{b}{t} e^{-ik_2 L}}{\frac{a}{t} e^{ik_2 L} - \frac{b}{t} e^{-ik_2 L}} &= \frac{e^{ik_3 L}}{\frac{K_3}{K_2} e^{ik_3 L}} \\ \frac{2\frac{a}{t} e^{ik_2 L}}{2\frac{a}{t} e^{ik_2 L} + \frac{b}{t} (1 - \frac{K_2}{K_3})} &= (1 + \frac{K_3}{K_2}) e^{ik_3 L} & \frac{2\frac{b}{t} e^{-ik_2 L}}{2\frac{b}{t} e^{-ik_2 L} + \frac{a}{t} (1 - \frac{K_3}{K_2})} &= (1 - \frac{K_3}{K_2}) e^{ik_3 L} \end{aligned}$$

- c) Find an expression for  $r$  in terms of  $K_1$ ,  $K_2$ ,  $K_3$ . Your analysis will be simpler if you work with  $y_t$ ,  $a_t$ ,  $b_t$ , &  $c_t$  as variables.

$$\begin{aligned} \frac{1}{t} + \frac{r}{t} &= \frac{a}{t} + \frac{b}{t} \\ \frac{1}{t} - \frac{r}{t} &= \frac{K_2}{K_1} \left( \frac{a}{t} - \frac{b}{t} \right) \\ \frac{2}{t} &= \frac{a}{t} \left( 1 + \frac{K_3}{K_1} \right) + \frac{b}{t} \left( 1 - \frac{K_2}{K_1} \right) \\ r &= \frac{\frac{a}{t} \left( 1 - \frac{K_2}{K_1} \right) + \frac{b}{t} \left( 1 + \frac{K_3}{K_1} \right)}{\frac{a}{t} \left( 1 + \frac{K_3}{K_1} \right) + \frac{b}{t} \left( 1 - \frac{K_2}{K_1} \right)} = \frac{\frac{1}{t} e^{i(K_2-K_1)L} \left( 1 + \frac{K_3}{K_1} \right) + \frac{1}{t} e^{i(K_3+K_2)L} \left( 1 - \frac{K_2}{K_1} \right)}{\frac{1}{t} e^{i(K_2-K_1)L} \left( 1 + \frac{K_3}{K_1} \right) + \frac{1}{t} e^{i(K_3+K_2)L} \left( 1 - \frac{K_2}{K_1} \right)} \\ &= \frac{e^{-iK_2L} (K_2 + K_3)(K_1 - K_2) + e^{iK_2L} (K_2 - K_3)(K_1 + K_2)}{e^{-iK_2L} (K_2 + K_3)(K_1 - K_2) + e^{iK_2L} (K_2 - K_3)(K_1 + K_2)} \end{aligned}$$

d) Find conditions under which the reflection coefficient is zero.  
Find constraints on  $k_3/k_2$  &  $k_2/k_1$  &  $k_2 L$

$$e^{-ik_2 L} (k_2 + k_3)(k_1 - k_2) + e^{ik_2 L} (k_2 - k_3)(k_1 + k_2) = 0$$

rewrite as:  $e^{2ik_2 L} = -\frac{(k_2 + k_3)(k_1 - k_2)}{(k_2 - k_3)(k_1 + k_2)} = -\frac{(1 + \frac{k_3}{k_2})(1 - \frac{k_2}{k_1})}{(1 - \frac{k_3}{k_2})(1 + \frac{k_2}{k_1})}$

Suppose  $\eta = \frac{k_3}{k_2} = \frac{k_2}{k_1}$

$$e^{2ik_2 L} = -\frac{(1 + \eta)(1 - \eta)}{(1 - \eta)(1 + \eta)} = -1$$

We require also that:

$$2k_2 L = \pi(2n+1)$$

$$\text{or } k_2 L = \pi(n + \frac{1}{2})$$

also there is no reflection if  $k_1 = k_2 = k_3$

Final 2018 Problem 2

12/12/19

Particle in a simple harmonic oscillator described by:

$$\hat{H} = \frac{-\hbar^2}{2m} \frac{d^2}{dx^2} + \frac{1}{2} m \omega_0^2 x^2 - F(t) X$$

a) In the special case that the force is constant

$$F(t) = F_0$$

Determine the ground state energy & eigenfunction.  
time-independent Schrödinger eqn:

$$E \psi(x) = \left[ -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + \frac{1}{2} m \omega_0^2 x^2 - F_0 x \right] \psi(x)$$

We know harmonic oscillator has a restoring force  $F_0 = kX_0 = m\omega_0^2 X_0$

$$\frac{1}{2} m \omega_0^2 x^2 - F_0 x = \frac{1}{2} m \omega_0^2 \left[ x^2 - 2x \frac{F_0}{m \omega_0^2} \right] X_0 = \frac{F_0}{m \omega_0^2}$$

$$= \frac{1}{2} m \omega_0^2 \left[ \left( x - \frac{F_0}{m \omega_0^2} \right)^2 - \left( \frac{F_0}{m \omega_0^2} \right)^2 \right] = \frac{1}{2} m \omega_0^2 \left[ (x - X_0)^2 - X_0^2 \right]$$

$$E \psi(x) = \left[ -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + \frac{1}{2} m \omega_0^2 (x - X_0)^2 - \frac{1}{2} m \omega_0^2 X_0^2 \right] \psi(x)$$

ground state sol'n is

$$\psi(x) = \phi_0(x - X_0) = \left( \frac{m \omega_0}{\pi \hbar} \right)^{1/4} \exp \left\{ -\frac{m \omega_0}{2 \hbar} (x - X_0)^2 \right\}$$

associated eigenvalue is

$$E = \frac{1}{2} \hbar \omega_0 - \frac{1}{2} m \omega_0^2 X_0^2 = \frac{1}{2} \hbar \omega_0 - \frac{1}{2} m \omega_0^2 \left( \frac{F_0}{m \omega_0^2} \right)^2 = \frac{1}{2} \hbar \omega_0 - \frac{F_0^2}{2(m \omega_0^2)^2}$$

b) In the event the force is a f-function

$$F(t) = P_0 f(t) \quad \text{if particle is at rest, find } \psi(x, t) \text{ for } t > 0$$

$$\psi(x, t) = \left( \frac{m \omega_0}{\pi \hbar} \right)^{1/4} e^{-i \theta(t)} \exp \left\{ i \frac{P(t)[x - \bar{x}(t)]}{\hbar} \right\} \exp \left\{ -\frac{m \omega_0}{2 \hbar} (x - \bar{x}(t))^2 \right\}$$

$$\frac{d}{dt} \bar{x}(t) = \frac{P(t)}{m} \quad \frac{d}{dt} P(t) = -m \omega_0^2 \bar{x}(t) + F(t) \quad \hbar \frac{d}{dt} \theta(t) = \frac{1}{2} \hbar \omega_0 - \frac{P(t)}{2m} + \frac{1}{2} m \omega_0^2 \bar{x}(t)$$

At  $t=0$ , we know that  $\bar{x}(0)=0$  &  $P(0)=0$ . determine initial impulse:  $-F(t) \bar{x}(t)$

$$\int_0^t \frac{d}{dt} P(t') dt' = P(0^+) - P(0^-) = \int_0^t -m \omega_0^2 \bar{x}(t') + P_0 \delta(t') dt' = P_0$$

For  $t > 0$ :  $\frac{d}{dt} \bar{x}(t) = \frac{P(t)}{m} \quad \frac{d}{dt} P(t) = -m \omega_0^2 \bar{x}(t)$

subject to  $\bar{x}(0) = 0 \quad P(0) = P_0$   
 $\bar{x}(t) = X_0 \sin(\omega_0 t) \quad P(t) = P_0 \cos(\omega_0 t)$

Newton's laws are satisfied if:  
 $\ddot{x}(t) = \omega_0^2 \bar{x}(t) = \frac{P_0 \omega_0 \sin(\omega_0 t)}{m} = \frac{P_0 \omega_0^2}{m} \cos(\omega_0 t)$   
 $X_0 = \frac{P_0}{m \omega_0}$

for phase, we have  $t > 0$

$$\hbar \frac{d}{dt} \theta(t) = \frac{1}{2} \hbar \omega_0 - \frac{P^2(t)}{2m} + \frac{1}{2} m \omega_0^2 \bar{x}^2(t) = \frac{1}{2} \hbar \omega_0 - \frac{P_0^2}{2m} \cos(2\omega_0 t)$$

$$\theta(t) - \theta(0) = -\frac{1}{2} \omega_0 t - \frac{P_0^2}{4m \omega_0^2} \sin(2\omega_0 t)$$

2016 Final

a forced harmonic oscillator:  $\hat{H} = -\frac{d^2}{dx^2} + y^2 - f y$   
normalized

Find ground state  
eigenfunction & eigenvalue.

$$E \psi = \left[ -\frac{d^2}{dx^2} + y^2 - f y \right] \psi(x)$$

$$\phi_f(y - y_0) = \frac{1}{\pi \hbar y} e^{-\frac{(y-y_0)^2}{2}}$$

$$\begin{matrix} \square \\ 0 \end{matrix} \rightarrow \begin{matrix} \square \\ x_0 \end{matrix}$$

$$f_0 = k y_0 \Rightarrow E = \frac{1}{2} \hbar \omega_0 + y_0^2$$

12/17/19  
Set 4 Question 3

Consider a simple harmonic oscillator with static force constant

$$\hat{H} = \frac{\hbar^2}{2m} \frac{d^2}{dx^2} + \frac{1}{2} m \omega_0^2 x^2 - F_0 x$$

a) Determine the ground state energy & eigenfunction by assuming a trial sol'n of form:  $\psi(x) = e^{-\beta(x-x_0)^2/2}$

We take derivative  $\frac{d}{dx} e^{-\beta(x-x_0)^2/2} = -\beta(x-x_0) e^{-\beta(x-x_0)^2/2}$

$$\frac{d^2}{dx^2} e^{-\beta(x-x_0)^2/2} = (\beta^2(x-x_0)^2 - \beta) e^{-\beta(x-x_0)^2/2}$$

insert into time-independent Schrödinger eqn

$$E \psi(x) = \frac{-\hbar^2}{2m} \frac{d^2}{dx^2} \psi(x) + \frac{1}{2} m \omega_0^2 x^2 \psi(x) - F_0 x \psi(x)$$

to obtain  $E e^{-\beta(x-x_0)^2/2} = -\frac{\hbar^2}{2m} (\beta^2(x-x_0)^2 - \beta) e^{-\beta(x-x_0)^2/2} + \frac{1}{2} m \omega_0^2 x^2 e^{-\beta(x-x_0)^2/2} - F_0 x e^{-\beta(x-x_0)^2/2}$

We can match terms to write:  $E = \frac{\hbar^2 \beta}{2m} - \frac{\hbar^2 \beta^2 x_0^2}{2m} \quad 0 = \frac{\hbar^2 \beta^2}{2m} x_0^2 - F_0 x_0$

⇒ We solve the  $x^2$  constraint to get:

$$\beta = \frac{m \omega_0}{\hbar} \quad x_0 = \frac{F_0}{m \omega_0^2}$$

$$\Rightarrow E = \frac{1}{2} \hbar \omega_0 - \frac{1}{2} m \omega_0^2 x_0^2 = \frac{1}{2} \hbar \omega_0 - \frac{F_0^2}{2m \omega_0^2}$$

b) Find expressions for the eigenfunctions & eigenvalues in general

In this case, we simplify things by writing the potential as a shifted parabolic potential plus a constant offset:

$$\frac{1}{2} m \omega_0^2 x^2 - F_0 = \frac{1}{2} m \omega_0^2 (x - x_0)^2 - \frac{1}{2} m \omega_0^2 x_0^2$$

$$m \omega_0^2 x_0 = F_0$$

$$x_0 = \frac{F_0}{m \omega_0^2}$$

The time-independent Schrödinger Eqn becomes:

$$E \psi(x) = -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} \psi(x) + \frac{1}{2} m \omega_0^2 (x - x_0)^2 \psi(x) - \frac{1}{2} m \omega_0^2 x_0^2 \psi(x)$$

We expect solns just to be shifted SHO according to:

$$\psi_n(x) = \phi_n(x - x_0) \quad E_n = \hbar \omega_0 (n + \frac{1}{2}) - \frac{1}{2} m \omega_0^2 x_0^2$$

Forced SHO

$$E\psi(x) = -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} \psi(x) + V(x) \psi(x)$$

$$V(x) = \begin{cases} 0 & x < 0 \\ V_0 & 0 \leq x \leq L \\ -V_0 & L < x \end{cases}$$

$$\psi = \begin{cases} e^{ik_f x} + r e^{-ik_f x} & x < 0 \\ a e^{ix} + b e^{-ix} & 0 \leq x \leq L \\ t e^{ik_f x} & L < x \end{cases}$$

$$a) \psi_I(0) = \psi_{II}(0) = 1 + r = a + b$$

$$\psi'_I(0) = \psi'_{II}(0) = i k_i - r i k_i = a \gamma + b \delta$$

$$\psi_{II}(L) = \psi_{III}(L) = a e^{i \gamma L} + b e^{-i \gamma L} = t e^{i k_f L}$$

$$\psi'_{II}(L) = \psi'_{III}(L) = a \gamma e^{i \gamma L} - \gamma b e^{-i \gamma L} = i k_f t + e^{i k_f L}$$

$$b) \frac{a}{t} e^{i \gamma L} + \frac{b}{t} e^{-i \gamma L} = e^{i k_f L}$$

$$\frac{a}{t} e^{i \gamma L} - \frac{b}{t} e^{-i \gamma L} = \frac{i k_f}{t} e^{i k_f L}$$

$$c) \frac{2a}{t} e^{i \gamma L} = (\frac{i k_f}{t} + 1) e^{i k_f L} \quad - \quad \frac{2b}{t} e^{-i \gamma L} = (1 - \frac{i k_f}{t}) e^{i k_f L}$$

$$\frac{1}{t} + \frac{r}{t} = \frac{a}{t} + \frac{b}{t} \quad (1-r) i k_i = \frac{(a-b)\delta}{t}$$

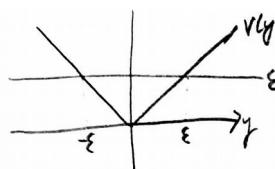
$$+ \frac{1}{t} - \frac{r}{t} = \frac{a \gamma}{t i k_i} + \frac{b \gamma}{t i k_i} \quad \Rightarrow +$$

$$r = \frac{\frac{2r}{t}}{\frac{2r}{t}} = (1 - \frac{\gamma}{i k_i}) \frac{a}{t} + (1 + \frac{\gamma}{i k_i}) \frac{b}{t}$$

$$r = \frac{(1 - \frac{\gamma}{i k_i}) \frac{a}{t} + (1 + \frac{\gamma}{i k_i}) \frac{b}{t}}{(1 + \frac{\gamma}{i k_i}) \frac{a}{t} + (1 - \frac{\gamma}{i k_i}) \frac{b}{t}} = \frac{(1 - \frac{\gamma}{i k_i})(\frac{i k_f}{t} + 1)(e^{i k_f L - i \gamma L})}{(1 + \frac{\gamma}{i k_i})(1 - \frac{i k_f}{t})e^{i k_f L + i \gamma L}}$$

$$d) 1 - r^2 = T \quad e^{i k_f L + i \gamma L} \quad \Rightarrow e^{i \gamma L}$$

One more bound problem / WKB



$$\text{Consider: } E\psi(y) = -\frac{d^2}{dy^2} \psi(y) + V(y) \psi(y) \quad \frac{96+15}{100} =$$

Use WKB to develop a formula for the energy eigenvalues

$$\psi(y) \sim \frac{\sin \phi(y)}{\sqrt{n}} \quad \frac{d\phi}{dy} = \gamma = \sqrt{E - V(y)} \quad \phi(y_{max}) - \phi(y_{min}) = \int_{-E}^E \gamma dy$$

$$2 \int_0^E \sqrt{E - y} dy = 2 \left[ -\frac{2}{3} (E - y)^{3/2} \right]_0^E = \frac{4}{3} E^{3/2}$$

$$(m+1)\pi - \frac{\pi}{2} = (m + \frac{1}{2})\pi = \frac{4}{3} E^{3/2} \quad E_m = \left( \frac{3}{4} (m + \frac{1}{2})\pi \right)^{2/3}$$

m starts from 0 soft boundary

Psct II problem 18

$$\hat{H} = \frac{\hat{p}^2}{2m} + V(x) \quad V(x) = \begin{cases} \infty & x < 0 \\ Ax & 0 < x \end{cases}$$

$$\psi(x) = \sqrt{\frac{2m(E-V_0\delta)}{\hbar^2}} \quad \phi(x_{max}) - \phi(x_{min}) = \int_{x_{min}}^{x_{max}} \gamma(x) dx = (n+1)\pi - \frac{\pi}{2} \quad x_{min}=0 \quad x_{max}=\frac{E}{A} \quad \int_0^{\frac{E}{A}} \sqrt{\frac{2m}{\hbar^2} (E-Ax)} dx = \left[ -\frac{2}{3} (E-Ax)^{3/2} \right]_0^{\frac{E}{A}} \sqrt{\frac{2m}{\hbar^2}}$$

$$n = \frac{2}{3A\pi} E^{3/2} \sqrt{\frac{2m}{\hbar^2}} - \frac{3}{4} \quad = \frac{2}{3A} E^{3/2} \sqrt{\frac{2m}{\hbar^2}} = (n + \frac{3}{4})\pi$$

$$\frac{dn}{dE} = \frac{3}{2} \frac{3}{3A\pi} E^{1/2} \sqrt{\frac{2m}{\hbar^2}} = \boxed{\frac{1}{A\pi} E^{1/2} \sqrt{\frac{2m}{\hbar^2}}}$$

Quiz 2016 Problem 5

$$\hat{H} = \frac{\hat{p}^2}{2m} + Mgz + V(x, y) \quad V(x, y) = \begin{cases} 0 & 0 < x < L, 0 < y < L \\ \infty & \text{otherwise} \end{cases}$$

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z < 0 potential is infinite

$$a) \Psi(x, y, z) = X(x) Y(y) Z(z) \quad \text{Find } X(x), Y(y), Z(z) \quad \text{time-independent Schrödinger eqn}$$

$$X: \frac{P_x^2}{2M} + V(x) \quad Y: \frac{P_y^2}{2M} + V(y) \quad Z: \frac{P_z^2}{2M} + Mgz$$

$$E\Psi = \left[ \frac{P_x^2}{2M} + V(x) \right] \Psi = \left[ \frac{P_y^2}{2M} + V(y) \right] \Psi = \left[ \frac{P_z^2}{2M} + Mgz \right] \Psi$$

$$b) \text{Find exact eigenfunctions } X(x) \text{ of } Y(y) \text{ of } Z(z) \text{ of Eigenvalues } E_x \text{ of } E_y \text{ of } E_z$$

$$X(x) = \sqrt{\frac{2}{L}} \sin\left(\frac{n\pi x}{L}\right) \quad Y(y) = \sqrt{\frac{2}{L}} \sin\left(\frac{n\pi y}{L}\right) \quad Z(z) = \sqrt{\frac{2}{L}} \sin\left(\frac{n\pi z}{L}\right)$$

$$E_x = \frac{\hbar^2 \pi^2 n_x^2}{2mL^2} \quad E_y = \frac{\hbar^2 \pi^2 n_y^2}{2mL^2} \quad E_z = \frac{\hbar^2 \pi^2 n_z^2}{2mL^2}$$

$$c) \text{WKB example} \quad \hbar(y) = \sqrt{\frac{2m(E-MgZ)}{\hbar^2}} \quad \phi(z_{max}) - \phi(z_{min}) = \int_0^L \gamma dy$$

$$= \sqrt{\frac{2m}{\hbar^2}} \int_0^L \frac{E}{Mg - E + MgZ} dz \quad u = -MgZ$$

$$\left( \frac{2\pi}{2m} \right)^2 \frac{2}{3Mg} (E - MgZ)^{3/2} \int_0^L \frac{du}{E/Mg - du} = \frac{2}{3Mg} E^{3/2} \sqrt{\frac{2m}{\hbar^2}}$$

$$\Rightarrow \int_0^L \frac{2}{\hbar^2} \left( \frac{-2}{3Mg} \right) E^{3/2} dz = (n - \frac{1}{4})\pi$$

$$\Rightarrow n = \frac{2}{3Mg} \pi E^{3/2} \sqrt{\frac{2m}{\hbar^2}} + \frac{1}{4}$$

Consider the normalized Schrödinger equation

$$\epsilon\psi(y) = -\frac{d^2}{dy^2}\psi(y) + v(y)\psi(y)$$

with a potential given by

$$v(y) = \begin{cases} \infty & y < 0 \\ y^3 & y > 0 \end{cases}$$

We are interested in developing an approximate ground state wavefunction and estimate for the eigenvalue. Assume that the unnormalized trial wavefunction is

$$\psi_t(y) = ye^{-\beta y^2/2}$$

for  $y > 0$ , and 0 for  $y < 0$ .

(a) Determine an expression for the variational energy  $E_t$  as a function of the variational parameter  $\beta$ .

(b) Find the value of  $\beta$  which minimizes the trial energy.

(c) What is the resulting estimate for the ground state energy?

$$\epsilon\psi(y) = -\frac{d^2}{dy^2}\psi(y) + v(y)\psi(y) \quad v(y) = \begin{cases} \infty & y < 0 \\ y^3 & y > 0 \end{cases} \quad \psi_t(y) = ye^{-\beta y^2/2}$$

$$a) \hat{H} = v(y) - \frac{d^2}{dy^2} \quad E_t = \frac{\langle \psi_t | \hat{H} | \psi_t \rangle}{\langle \psi_t | \psi_t \rangle} \text{ normalize}$$

$$\begin{aligned} \langle \psi_t | \hat{H} | \psi_t \rangle &= \int_{-\infty}^{\infty} (ye^{-\beta y^2/2})^\dagger H (ye^{-\beta y^2/2}) dy = \int_0^{\infty} ye^{-\beta y^2/2} (v(y)ye^{-\beta y^2/2} - \frac{d^2}{dy^2}ye^{-\beta y^2/2}) dy \\ &= \underbrace{\int_0^{\infty} ye^{-\beta y^2/2} v(y)ye^{-\beta y^2/2} dy}_{PE} + \underbrace{\int_0^{\infty} ye^{-\beta y^2/2} \left(-\frac{d^2}{dy^2}ye^{-\beta y^2/2}\right) dy}_{KE} \\ &= \frac{1}{\beta^3} + \frac{3}{8}\sqrt{\frac{\pi}{\beta}} \end{aligned}$$

$$\langle \psi_t | \psi_t \rangle = \int_0^{\infty} \psi_t \psi_t dy = \int_0^{\infty} y^2 e^{-\beta y^2} dy$$

$$E_t = \frac{\langle \psi_t | \hat{H} | \psi_t \rangle}{\langle \psi_t | \psi_t \rangle} = \frac{4}{\sqrt{\pi}} \left( \frac{1}{\beta^3} + \frac{3}{8}\sqrt{\frac{\pi}{\beta}} \right) \beta^{3/2},$$

b) We need to set  $\frac{dE_t}{d\beta} = 0$  & then solve for  $\beta$

$$\frac{d}{d\beta} \left( \frac{4}{\sqrt{\pi}} \left( \frac{1}{\beta^3} + \frac{3}{8}\sqrt{\frac{\pi}{\beta}} \right) \beta^{3/2} \right) = \frac{6}{\sqrt{\pi}} \left( \frac{1}{\beta^3} + \frac{3}{8}\sqrt{\frac{\pi}{\beta}} \right) \sqrt{\beta} + \frac{4}{\sqrt{\pi}} \left( -\frac{3}{\beta^4} - \frac{3}{16}\frac{1}{\beta^{3/2}} \right) \beta^{3/2} = 0$$

$$\Rightarrow \boxed{\beta = \left( \frac{2}{\pi} \right)^{1/5}}$$

c) We substitute  $\beta$  into  $E_t$ :

$$\frac{4}{\sqrt{\pi}} \left( \frac{1}{\left( \frac{2}{\pi} \right)^{3/5}} + \frac{3}{8} \sqrt{\left( \frac{2}{\pi} \right)^{1/5}} \right) \left( \frac{2}{\pi} \right)^{3/10} = \frac{5}{(2\pi)^{1/5}} = 3.46206$$

this is only  $\approx 0.01$  off from  $E = 3.45056$

## Pset 7, Questions 2 and 3

### Variational Method

#### Problem 3

We are interested in finding an approximate solution for the time-independent Schrödinger equation

$$E\psi(x) = \hat{H}\psi(x)$$

In this case, we would like to use a trial wavefunction composed of two basis states

$$\psi_t(x) = c_1 u_1(x) + c_2 u_2(x)$$

Unfortunately,  $u_1(x)$  and  $u_2(x)$  in this case are not orthogonal or normalized. To make things simpler, assume that  $\hat{H}$ ,  $u_1(x)$  and  $u_2(x)$  are all real, as well as  $c_1$  and  $c_2$ .

Use the variational principle to find constraints on  $c_1$  and  $c_2$  consistent with energy minimization. Make sure that the trial energy is properly normalized in your solution.

problem 3 25/25

$$\Psi_t = c_1 u_1(x) + c_2 u_2(x)$$

$$E_t = \frac{\langle \Psi_t | \hat{H} | \Psi_t \rangle}{\langle \Psi_t | \Psi_t \rangle} = \frac{\langle c_1 u_1 + c_2 u_2 | \hat{H} | c_1 u_1 + c_2 u_2 \rangle}{\langle c_1 u_1 + c_2 u_2 | c_1 u_1 + c_2 u_2 \rangle}$$

$$= C_1^* C_1 \langle u_1 | H | u_1 \rangle + C_2^* C_2 \langle u_2 | H | u_2 \rangle + C_1^* C_2 \langle u_1 | H | u_2 \rangle + C_2^* C_1 \langle u_2 | H | u_1 \rangle$$

$$= C_1^* C_1 H_{11} + C_2^* C_2 H_{22} + C_1^* C_2 H_{12} + C_2^* C_1 H_{21}$$

$$C_1^* C_1 O_{11} + C_2^* C_2 O_{22} + C_1^* C_2 O_{12} + C_2^* C_1 O_{21}$$

$$= C_1^2 H_{11} + C_2^2 H_{22} + 2 C_1 C_2 H_{12}$$

$$C_1^2 O_{11} + C_2^2 O_{22} + 2 C_1 C_2 O_{12}$$

$$\frac{\partial}{\partial c_1} E_t = 0 \quad \frac{\partial}{\partial c_2} E_t = 0$$

$$E_t = \frac{C_1^2 H_{11} + C_2^2 H_{22} + 2 C_1 C_2 H_{12}}{C_1^2 O_{11} + C_2^2 O_{22} + 2 C_1 C_2 O_{12}}$$

$$\frac{\partial E_t}{\partial c_i} = \frac{2 C_i H_{ii} + 0 + 2 C_j H_{ij}}{\text{den}} \quad \left. \right\} = 0$$

$$2 C_1 H_{11} + 2 C_2 H_{22} = \frac{\text{Num}}{\text{den}} (2 C_1 O_{11} + 2 C_2 O_{22})$$

$$= C_1 H_{11} + C_2 H_{22} = \frac{\text{Num}}{\text{den}} (C_1 O_{11} + C_2 O_{22})$$

$$E_t = \frac{\text{Num}}{\text{Den}}$$

$$H_{11} C_1 + H_{22} C_2 = E_t (O_{11} C_1 + O_{22} C_2)$$

$$H_{22} C_2 + H_{11} C_1 = E_t (O_{22} C_2 + O_{11} C_1)$$

$$\boxed{\begin{bmatrix} H_{11} & H_{12} \\ H_{21} & H_{22} \end{bmatrix} \begin{bmatrix} C_1 \\ C_2 \end{bmatrix} = E_t \begin{bmatrix} O_{11} & O_{12} \\ O_{21} & O_{22} \end{bmatrix} \begin{bmatrix} C_1 \\ C_2 \end{bmatrix}}$$

$$\Rightarrow \boxed{\bar{E} \bar{O} \bar{C} = \bar{H} \bar{C}}$$

### Pset 7 Question 5

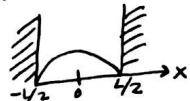
Square well augmented by a uniform force term described by Hamiltonian:

12/16/19

$$\hat{H} = \frac{\hbar^2}{2m} + \hat{V}_{\text{square}}(x) - F_0 x$$

where

$$\hat{V}_{\text{square}}(x) = \begin{cases} \infty & x < -L/2 \\ 0 & -L/2 \leq x \leq L/2 \\ \infty & x > L/2 \end{cases}$$



$$\Psi = \begin{cases} 0 & x < -L/2 \\ \cos(kx) & -L/2 \leq x \leq L/2 \\ 0 & x > L/2 \end{cases}$$

- a) Determine the ground state wave function & eigenvalue of the square well when  $F_0 = 0$ .

$$\begin{aligned} \Phi_1(x) &= \sqrt{\frac{2}{L}} \cos\left(\frac{\pi x}{L}\right) \\ \cos\left(k\left(-\frac{L}{2}\right)\right) &= 0 \quad k\left(-\frac{L}{2}\right) = \frac{\pi}{2} \\ \Rightarrow k &= \frac{\pi}{L} \end{aligned}$$

$$E_F = \frac{\hbar^2}{2m} \frac{d^2}{dx^2} + 0 \quad E_F \cos(kx) = \hbar^2 \cos(kx) \frac{k^2}{2m}$$

$$\Rightarrow E_F = \frac{\hbar^2 (\frac{\pi}{L})^2}{2m} = \frac{\hbar^2 \pi^2}{2mL^2}$$

- b) Determine the first excited state wave function  $\Phi_1(x)$  and eigenvalue  $E_1$  of the square well when  $F_0 = 0$ .

$$\begin{aligned} \Phi_2 &= \sqrt{\frac{2}{L}} \sin\left(kx\right) \\ &= \sqrt{\frac{2}{L}} \sin\left(\frac{2\pi x}{L}\right) \end{aligned}$$

$$\sin\left(k\left(-\frac{L}{2}\right)\right) = 0 \quad k\left(-\frac{L}{2}\right) = \pi \quad k = \frac{2\pi}{L}$$

$$E_2 = \frac{\hbar^2 \pi^2 k^2}{2m} = \frac{4\hbar^2 \pi^2}{2mL^2}$$

- c) Construct a two-state Hamiltonian that approximates the Hamiltonian above when  $F_0 \neq 0$ .

$$\begin{bmatrix} \langle \Phi_1 | \hat{H} | \Phi_1 \rangle & \langle \Phi_1 | \hat{H} | \Phi_2 \rangle \\ \langle \Phi_2 | \hat{H} | \Phi_1 \rangle & \langle \Phi_2 | \hat{H} | \Phi_2 \rangle \end{bmatrix} = \begin{bmatrix} \frac{\hbar^2 \pi^2}{2mL} & E_1 \langle \Phi_1 | \hat{H} | \Phi_2 \rangle \\ E_2 \langle \Phi_2 | \hat{H} | \Phi_1 \rangle & \frac{4\hbar^2 \pi^2}{2mL^2} \end{bmatrix}$$

$$\text{From peer soln: } \begin{aligned} \langle \Phi_1 | \hat{H} | \Phi_2 \rangle &= \frac{2}{L} \int_{-L/2}^{L/2} \cos\left(\frac{\pi x}{L}\right) \left( -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + V_{\text{sq}} - F_0 x \right) \sin\left(\frac{2\pi x}{L}\right) dx - F_0 x \sin\left(\frac{2\pi x}{L}\right) \\ &= -\frac{2}{L} F_0 \int_{-L/2}^{L/2} \cos\left(\frac{\pi x}{L}\right) x \sin\left(\frac{2\pi x}{L}\right) dx \\ \langle \Phi_2 | \hat{H} | \Phi_1 \rangle &= \frac{2}{L} \int_{-L/2}^{L/2} \sin\left(\frac{2\pi x}{L}\right) \left[ -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + V_{\text{sq}} - F_0 x \right] \cos\left(\frac{\pi x}{L}\right) dx \\ &= -\frac{2}{L} F_0 \int_{-L/2}^{L/2} \sin\left(\frac{2\pi x}{L}\right) x \cos\left(\frac{\pi x}{L}\right) dx \end{aligned}$$

From peer solutions:

$$\begin{aligned} \text{In general for an } N\text{-level model, we can write: } \hat{H}_N &= \sum_{j=1}^N \sum_{k=1}^N |\Phi_j\rangle \langle \Phi_j| \hat{H} |\Phi_k\rangle \langle \Phi_k| \\ \Rightarrow \hat{H}_2 &= |\Phi_1\rangle H_{11} \langle \Phi_1| + |\Phi_2\rangle H_{22} \langle \Phi_2| \\ &\quad - |\Phi_1\rangle \langle \Phi_1| F_0 x |\Phi_2\rangle \langle \Phi_2| \\ &\quad - |\Phi_2\rangle \langle \Phi_2| F_0 x |\Phi_1\rangle \langle \Phi_1| \end{aligned}$$

$$\text{apparently } \langle \Phi_1 | \times | \Phi_2 \rangle = \langle \Phi_2 | \times | \Phi_1 \rangle = \frac{16}{9\pi^2} L$$

$$\Rightarrow \hat{H}_{2\text{-level}} = |\Phi_1\rangle H_{11} \langle \Phi_1| + |\Phi_2\rangle H_{22} \langle \Phi_2| - \frac{16}{9\pi^2} F_0 L (|\Phi_1\rangle \langle \Phi_2| + |\Phi_2\rangle \langle \Phi_1|)$$

### Pset 8 Question 3

12/17/19

Consider a square well with a time-dependent & uniform force term described by Hamiltonian:  $\hat{H} = \frac{\hbar^2}{2m} + \hat{V}_{\text{square}}(x) - F(t)x$

$$\hat{V}_{\text{square}} = \begin{cases} \infty & x < -L/2 \\ 0 & -L/2 \leq x \leq L/2 \\ \infty & x > L/2 \end{cases}$$



Assume we make use of a two-state model based on lowest two states.

- a) Find evolution eqns for  $\langle x \rangle$  &  $\langle \hat{p} \rangle$  within the two-state approximation.

We expect Ehrenfest's theorem eqns of form:

$$\frac{d}{dt} \langle x \rangle = \frac{\langle \hat{p} \rangle}{m} \quad \frac{d}{dt} \langle \hat{p} \rangle = -m\omega_0^2 \langle x \rangle + 2 \frac{m\omega_0}{\hbar} \langle \Phi_1 | x | \Phi_2 \rangle^2 F(t) (|G_1(t)|^2 - |G_2(t)|^2)$$

- b) Determine  $\langle x \rangle$  for ground state in special case that  $F(t) = F_0$ , assuming perturbation is weak.

If perturbation is weak,  $|G_i|^2$  can be taken as close to 1, we can approximate:

$$\frac{d}{dt} \langle \hat{p} \rangle \rightarrow -m\omega_0^2 \langle x \rangle + 2 \frac{m\omega_0}{\hbar} \langle \Phi_1 | x | \Phi_2 \rangle^2 F_0$$

This allows for a steady state condition to determine expectation value  $\langle x \rangle$  for ground state w/ uniform force

$$0 = -m\omega_0^2 \langle x \rangle + 2 \frac{m\omega_0}{\hbar} \langle \Phi_1 | x | \Phi_2 \rangle^2 F_0$$

$$\langle x \rangle = \frac{2}{m\omega_0} \langle \Phi_1 | x | \Phi_2 \rangle^2 F_0$$

- c) Assume force is weak so two-level approximation shall be applicable w/ sinusoidal force:  $F(t) = F_0 \cos(\omega t)$  where  $\omega \ll E_3$   
 $E_3$  is the energy of third state of unperturbed well; interactions between the ground state & first excited state should dominate. Determine the Rabi oscillation frequency in resonance in this case

$$F(t) = F_0 \cos(\omega t) = F_0 \frac{e^{i\omega t} + e^{-i\omega t}}{2}$$

derived from Euler formula  
 1st: one term corresponds to increasing the energy by  $\omega t$  & the other corresponds to decreasing the energy by  $\omega t$ . Associated 2-level system keeping both terms:

$$\hat{H} = |\Phi_1\rangle H_{11} \langle \Phi_1| + |\Phi_2\rangle H_{22} \langle \Phi_2| - F_0 \cos(\omega t) (\langle \Phi_1 | \times | \Phi_2 \rangle [|\Phi_1\rangle \langle \Phi_1| + |\Phi_2\rangle \langle \Phi_2|])$$

With this approach, we can write:  $H_{11}(t) = H_{22}(t) = -F_0 \cos(\omega t) (\langle \Phi_1 | \times | \Phi_2 \rangle)$

Exact soln can be obtained in event that:  $H_{12}(t) = V_0 e^{i\omega t}$

If we assume  $H_{22} - H_{11} > 0$  &  $\omega \gg 0$ , we expect domin. part of interaction to be:

$$H_{12}(t) \rightarrow -F_0 \frac{e^{i\omega t}}{2} \langle \Phi_1 | \times | \Phi_2 \rangle$$

$$H_{21}(t) \rightarrow -F_0 \frac{e^{-i\omega t}}{2} \langle \Phi_1 | \times | \Phi_2 \rangle$$

$$\hbar \Omega(\omega) = \sqrt{(H_{22} - H_{11} + \hbar\omega)^2 + 4 \left( \frac{F_0 \langle \Phi_1 | \times | \Phi_2 \rangle}{2} \right)^2}$$

$$\Omega \rightarrow \frac{|F_0 \langle \Phi_1 | \times | \Phi_2 \rangle|}{\hbar} \quad \omega \rightarrow \frac{H_{22} - H_{11}}{\hbar}$$

$$\begin{aligned} H_{22} - H_{11} &= 4 \frac{\hbar^2 \pi^2}{2mL^2} - \frac{\hbar^2 \pi^2}{2mL^2} \\ &= 3 \frac{\hbar^2 \pi^2}{2mL^2} \end{aligned}$$

Rabi freq in resonance is

Consider a classical state which at  $t = 0$  is given by

$$\psi(x, 0) = \left(\frac{m\omega_0}{\pi\hbar}\right)^{\frac{1}{4}} e^{i\frac{p_0}{\hbar}x} e^{-\frac{m\omega_0}{2\hbar}x^2}$$

We would like to develop an expansion of this function in terms of the SHO eigenfunctions; in particular, we would like an expansion of the form

$$\psi(x, 0) = \sum_n a_n \phi_n(x)$$

We know that the expansion coefficients can be computed in principle from

$$a_n = \langle \phi_n | \psi(x, 0) \rangle$$

Unfortunately, the associated integrals are difficult to do in general. In this problem we are interested in the possibility of making use of the creation and annihilation operators to achieve an expansion of this kind.

problem 5

$$\psi(x, 0) = \left(\frac{m\omega_0}{\pi\hbar}\right)^{\frac{1}{4}} e^{i\frac{p_0}{\hbar}x} e^{-\frac{m\omega_0}{2\hbar}x^2} = \sum_n a_n \phi_n(x)$$

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$$a) \psi(x, 0) = \hat{Q} \phi_0 \quad \text{find } \hat{Q} \text{ w.r.t. } \hat{a} \text{ & } \hat{a}^\dagger$$

$$\hat{Q} = e^{\frac{iP}{\hbar}\hat{x}} \quad \text{where } \hat{x} = \frac{1}{\sqrt{2m\omega_0}}(\hat{a} + \hat{a}^\dagger)$$

$$\text{plugging in } \hat{x} \Rightarrow \hat{Q} = e^{\frac{iP}{\hbar}\frac{1}{\sqrt{2m\omega_0}}(\hat{a} + \hat{a}^\dagger)} = \sqrt{e^{iZ_0(\hat{a} + \hat{a}^\dagger)}} \quad \text{where we define } Z_0 = \frac{P_0}{\hbar\sqrt{\frac{1}{2m\omega_0}}}$$

$$b) \text{ Baker-Campbell-Hausdorff formula: } e^{\hat{x} + \hat{f}} = e^{\hat{x}} e^{\hat{f} - \frac{1}{2}[\hat{x}, \hat{f}]} e^{\hat{f} + (\hat{x}, [\hat{x}, \hat{f}])} + [\hat{x}, [\hat{x}, \hat{f}]] \dots$$

$$\hat{Q} = e^{iZ_0(\hat{a} + \hat{a}^\dagger)} = e^{iZ_0\hat{a}^\dagger} e^{iZ_0\hat{a}} e^{iZ_0(\hat{a}^\dagger + \frac{1}{2})[\hat{a}^\dagger, \hat{a}]} e^{iZ_0(\hat{a}^\dagger + \frac{1}{2})} \quad \checkmark$$

$$c) a_n = \langle \phi_n | \psi(x, 0) \rangle$$

$$e^x = 1 + x + \frac{x^2}{2!} + \dots = \sum_{n=0}^{\infty} \frac{x^n}{n!}$$

$$\hat{Q}|\phi_0\rangle = e^{-\frac{Z_0^2}{2}} e^{iZ_0\hat{a}^\dagger} (1 + iZ_0\hat{a} + \frac{(iZ_0)^2}{2!}\hat{a}^2 + \dots) |\phi_0\rangle \quad \checkmark$$

$$= e^{-\frac{Z_0^2}{2}} e^{iZ_0\hat{a}^\dagger} \phi_0 = 0 \quad \text{(destruction operator is being used in the ground state)}$$

$$= e^{-\frac{Z_0^2}{2}} \sum \frac{(iZ_0)^n (\hat{a}^\dagger)^n}{n!} = e^{-\frac{Z_0^2}{2}} \sum \frac{(iZ_0)^n (\phi_0 / n!) \cdot n!}{n!} = e^{-\frac{Z_0^2}{2}} \sum \frac{(iZ_0)^n}{n!} =$$

$$a_n = \langle \phi_n | \hat{Q} | \phi_0 \rangle = \langle \phi_n | e^{-\frac{Z_0^2}{2}} \sum \frac{(iZ_0)^n}{n!} | \phi_0 \rangle = \boxed{e^{-\frac{Z_0^2}{2}} \left( \frac{(iZ_0)^n}{n!} \right)} \quad \checkmark$$

$$d) P_n = |a_n|^2 = \frac{e^{-Z_0^2} (iZ_0)^{2n}}{n!} \quad \text{(Poisson distribution)} \quad \checkmark$$

**Diagram:**

**Equations and Calculations:**

- $V_L = V - V_s = -L \frac{di}{dt} \Rightarrow V_s = V - V_L = V + L \frac{di}{dt}$ 
 $i(t) = C \frac{dv}{dt} \Rightarrow \frac{di}{dt} = \frac{1}{C} v(t) \Rightarrow \boxed{\frac{d}{dt} V(t) = \frac{1}{C} i(t)}$
- Classical SHO**      **Quantum LC-Circuit**
 $\frac{d}{dt} x(t) = \frac{p(t)}{m} \leftrightarrow \frac{d}{dt} v(t) = \frac{i(t)}{C}$ 
 $\frac{d}{dt} p(t) = -m\omega_0^2 x(t) + F(t) \leftrightarrow \frac{d}{dt} i(t) = -\frac{v(t)}{L} + \frac{V_s(t)}{L}$ 
 $\hat{H} = \frac{\hat{p}^2}{2m} + \frac{1}{2} m\omega_0^2 \hat{x}^2 - F(t)x \leftrightarrow \boxed{\hat{H} = \frac{1}{2} L \hat{i}^2 + \frac{1}{2} C \hat{v}^2 - C' V_s(t) V}$
- Finding constant C'** using Ehrenfest's theorem
 $\frac{d}{dt} \langle \hat{v} \rangle = \langle \frac{\partial \hat{v}}{\partial t} \rangle + \frac{1}{i\hbar} \langle [\hat{v}, \hat{H}] \rangle = \frac{1}{i\hbar} \langle [\hat{v}, \frac{1}{2} \hat{i}^2 + \frac{1}{2} C \hat{v}^2 - C' V_s(t) V] \rangle$ 
 $= \frac{1}{i\hbar} \langle \frac{\partial \hat{v}}{\partial t} \rangle + \frac{1}{i\hbar} \langle [\hat{v}, \hat{H}] \rangle = \boxed{[i, -C' V_s V] f = C' \left[ -i\hbar \omega_0^2 \frac{\partial}{\partial V} ((-V_s V) f) + V_s V (-i\hbar \omega_0^2 \frac{\partial}{\partial V} f) \right]}$ 
 $= -C' i\hbar \omega_0^2 \left[ \frac{\partial}{\partial V} ((-V_s V) f) + V_s V \frac{\partial}{\partial V} f \right]$ 
 $= -C' i\hbar \omega_0^2 \left[ -V_s f + (-V_s V) \frac{\partial f}{\partial V} + V_s V \frac{\partial f}{\partial V} \right]$ 
 $[i, -C' V_s V] = C' i\hbar \omega_0^2 V_s \quad \frac{d}{dt} \langle \hat{v} \rangle = C' \omega_0^2 V_s = \frac{V_s(t)}{L} \quad \frac{d}{dt} i(t) = -\frac{V(t)}{L} + \frac{V_s(t)}{L}$ 
 $\Rightarrow C' = \frac{1}{L \omega_0^2} \quad \boxed{\frac{1}{i\hbar} \langle [\hat{v}, \frac{1}{2} \hat{i}^2] \rangle = \frac{1}{2} \langle [\hat{v}, \frac{1}{2} \hat{i}^2] \rangle = C' \omega_0^2}$
- Show:**  $\frac{d}{dt} \langle v \rangle = \frac{1}{i\hbar} \langle [v, \hat{H}] \rangle = \frac{\langle \dot{v} \rangle}{C}$ 
 $\frac{d}{dt} \langle \dot{v} \rangle = \frac{1}{i\hbar} \langle [\dot{v}, \hat{H}] \rangle = V_s C - \frac{\langle \dot{v} \rangle}{L}$ 
 $\frac{d}{dt} \langle v \rangle = \frac{1}{i\hbar} \langle [v, \frac{1}{2} L \dot{i}^2 + \frac{1}{2} C \dot{v}^2 - C' V_s(t) V] \rangle$ 
 $\Rightarrow \frac{1}{i\hbar} \langle \dot{v} \rangle = \frac{1}{i\hbar} \langle [v, \frac{1}{2} L \dot{i}^2] \rangle + \frac{1}{i\hbar} \langle [v, \frac{1}{2} C \dot{v}^2] \rangle - \frac{1}{i\hbar} \langle [v, C' V_s(t) V] \rangle$ 
 $= \frac{1}{i\hbar} \langle [v, \frac{1}{2} L \dot{i}^2] \rangle = \frac{1}{i\hbar} L \langle v \dot{i} \rangle = \frac{1}{i\hbar} L (i\hbar \omega_0^2 \dot{i} + \dot{i} i\hbar \omega_0^2) = i\hbar \omega_0^2 \dot{i}$ 
 $\frac{1}{i\hbar} \langle [v, \frac{1}{2} C \dot{v}^2] \rangle = \frac{1}{i\hbar} C \langle v \dot{v} \rangle = \frac{1}{i\hbar} C (i\hbar \omega_0^2 v \dot{v} - v \dot{i} i\hbar \omega_0^2 v) = -C v \omega_0^2 \dot{v}$ 
 $\frac{1}{i\hbar} \langle [v, C' V_s(t) V] \rangle = \frac{1}{i\hbar} C' V_s(t) \langle v V \rangle = C V_s(t) \frac{V_s(t)}{L} = C V_s(t) V$ 
 $\Rightarrow \boxed{\frac{d}{dt} \langle v \rangle = i\hbar \omega_0^2 \dot{i} \quad \frac{d}{dt} \langle \dot{v} \rangle = C \frac{V_s(t)}{L}}$
- Both  $\frac{d}{dt} \langle v \rangle$  and  $\frac{d}{dt} \langle \dot{v} \rangle$  check out according to Ehrenfest's theorem

(d) Find a classical state solution in terms of  $V(t)$ ,  $I(t)$  and  $\Theta(t)$ , and determine the associated constraints.

$$\text{d) } \Psi(x,t) = \left(\frac{m\omega_0}{\pi\hbar}\right)^{1/4} e^{-i\theta(t)} e^{i\frac{pt}{\hbar}} (x - \Sigma(t)) e^{-\frac{i}{2}\frac{mv_0^2}{\hbar}(x - \Sigma(t))^2}$$

$$\Psi(0,t) = \left(\frac{m\omega_0}{\pi\hbar}\right)^{1/4} e^{-i\theta(t)} e^{i\frac{\Sigma(t)}{\hbar m v_0^2}(v - \Sigma(t))} e^{-\frac{i}{2}\frac{v^2}{\hbar m v_0^2}(v - \Sigma(t))^2}$$

End of prev. problem

$$\begin{aligned} \frac{d}{dt} \Sigma(t) &= \frac{P(t)}{m} & \longleftrightarrow & \frac{d}{dt} V(t) = \frac{I(t)}{C} \\ \frac{d}{dt} P(t) &= -m\omega_0^2 \Sigma(t) + F(t) & \longleftrightarrow & \frac{d}{dt} I(t) = -\frac{V(t)}{L} + \frac{V_s(t)}{L} \end{aligned}$$

Blown up of part you can't see

$$\frac{d}{dt} \theta(t) = \frac{1}{2} k w_0 - \frac{P^2(t)}{2m} + \frac{1}{2} m w_0^2 \dot{x}^2(t) \Leftrightarrow \frac{d}{dt} \theta(t) = \frac{1}{2} k w_0 - \frac{1}{2} L I^2 + \frac{1}{2} C \dot{x}^2 - (V_s(t)) \ddot{x}(t)$$

$$-i, -c'VsV] = c'ik\omega_0^{-}Vs$$

$$\Rightarrow C = \frac{1}{L W_0^2}$$

$$\frac{1}{4\pi} \left\langle \left[ \hat{r}, \frac{1}{2} C V^2 \right] \right\rangle = \frac{1}{2} \left\langle \left[ \hat{r}, V^2 \right] \right\rangle = C' \omega_0^2$$

$$\text{Ansatz: } \frac{d}{dt} \langle v \rangle = \frac{1}{i\hbar} \langle [v, \hat{H}] \rangle = \frac{\langle \dot{v} \rangle}{c}$$

~~From part~~

$$\frac{1}{2}C[i, v^2] = \frac{1}{2}C([i, v]v - v[i, v])$$

$$\frac{d}{dt} \langle \hat{\psi} \rangle = \frac{1}{i\hbar} \langle [\hat{\psi}, \hat{H}] \rangle = V_s C - \frac{\langle \hat{\psi} \rangle}{L}$$

$$f(t) = \frac{1}{i\pi} \left( C_1 + \frac{1}{2} CV^2 - CVs(t) \right) V = CVs - \frac{CV^2}{2} \quad |V|$$

$$\hat{f}(t) = \sqrt{T} f - \sqrt{T} V f = V \left( -i k \omega_0^2 \frac{\partial^2}{\partial V^2} f \right)$$

In this problem we are concerned with the development of a quantum mechanical model for an LC-circuit with a nonlinear capacitor. For the classical version of the problem we can write circuit equations of the form

$$v(t) = -L \frac{d}{dt} i(t)$$

$$i(t) = \frac{d}{dt} q(t)$$

### LC, nonlinear capacitor and inductor Ehrenfest's theorem

where  $i(t)$  is the classical current, where  $v(t)$  is the classical voltage, and where  $q(t)$  is the charge on the capacitor. We can write for the total classical energy

$$E = \frac{1}{2} L i^2(t) + E_q(q(t))$$

where  $E_q(t)$  is the electrostatic energy of the capacitor. The voltage in this case is derived from the derivative of the stored energy with respect to charge

$$v = \frac{d}{dq} E_q(q)$$

$$\begin{aligned} a) \quad & \frac{d}{dt} q(t) = i(t) \quad \leftarrow \quad \frac{d}{dt} x(t) = \frac{p(t)}{m} \quad \text{SC} \quad i(t) \leftrightarrow p(t) \\ & \frac{d}{dt} i(t) = -\frac{1}{L} \frac{d}{dt} E_q(q(t)) \leftrightarrow \frac{d}{dt} p(t) = -\frac{d}{dx} V(x(t)) \\ & E = \frac{1}{2} L i^2(t) + E_q(q(t)) \leftrightarrow E = \frac{p^2(t)}{2m} + V(x(t)) \\ & \hat{H} = \frac{1}{2} L i^2 + E_q(q) \leftrightarrow \hat{H} = \frac{p^2}{2m} + V(x) \end{aligned}$$

$$b) \quad \hat{p} = -i\hbar \frac{\partial}{\partial x} \quad \hat{t} = -A i \hbar \frac{\partial}{\partial q}$$

Finding constant A using Ehrenfest's theorem

$$\frac{d}{dt} \langle q \rangle = \left\langle \frac{\partial}{\partial t} q \right\rangle + \frac{1}{i\hbar} \left\langle [q, \hat{H}] \right\rangle = \frac{1}{i\hbar} \left\langle [q, \frac{1}{2} L i^2 + E_q(q)] \right\rangle$$

$$[q, \frac{1}{2} L i] = \frac{1}{2} L [q, i^2] = \frac{1}{2} L [q, \hat{i}^2] + \hat{i} [q, \hat{i}]$$

$$[q, \hat{i}] f = q \hat{i} f - \hat{i} q f = q(-A i \hbar \frac{\partial f}{\partial q}) + A i \hbar \frac{\partial}{\partial q} (q f)$$

$$[q, \hat{i}] = A i \hbar \quad \Leftrightarrow \quad -q A i \hbar \frac{\partial f}{\partial q} + A i \hbar f + A i \hbar q \frac{\partial}{\partial q} f = A i \hbar f$$

$$\rightarrow \frac{1}{2} L (A i \hbar \hat{i} + \hat{i} A i \hbar) = L i \hbar \hat{A}$$

$$\frac{d}{dt} \langle q \rangle = \frac{1}{i\hbar} \langle \hat{A} \hat{i} \rangle = L A \langle \hat{i} \rangle \Rightarrow A = \frac{1}{L}$$

$$\hat{i} = -\frac{i\hbar}{L} \frac{\partial}{\partial q} \quad \hat{H} = \frac{1}{2} L \hat{i}^2 + E_q(q) \quad \text{where } \hat{i} = -\frac{i\hbar}{L} \frac{\partial}{\partial q}$$

$$c) \quad \frac{d}{dt} \langle \hat{i} \rangle = \left\langle \frac{\partial \hat{i}}{\partial t} \right\rangle + \frac{1}{i\hbar} \left\langle [\hat{i}, \hat{H}] \right\rangle = \frac{1}{i\hbar} \left\langle [\hat{i}, \frac{1}{2} L \hat{i}^2 + E_q(q)] \right\rangle$$

$$[\hat{i}, E_q(q)] f(g) = \hat{i} E_q(q) f(g) - E_q(q) \hat{i} f(g) = -\frac{i\hbar}{L} \frac{\partial}{\partial q} (E_q(q) f(g)) + E_q(q) \frac{i\hbar}{L} \frac{\partial}{\partial q} f(g)$$

$$= -\frac{i\hbar}{L} \left( \frac{\partial E_q(q)}{\partial q} f + E_q(q) \frac{\partial f}{\partial q} \right) + E_q(q) \frac{i\hbar}{L} \frac{\partial^2 f}{\partial q^2}$$

$$[\hat{i}, E_q(q)] = -\frac{i\hbar}{L} \frac{\partial}{\partial q} E_q(q) \Rightarrow \left[ \frac{d}{dt} \langle \hat{i} \rangle = -\frac{1}{L} \frac{\partial}{\partial q} E_q(q) \right] \quad \text{with } \frac{d}{dt} i(t) = -\frac{1}{L} \frac{\partial}{\partial q} E_q(q(t))$$

In this problem we are concerned with the development of a quantum mechanical model for an LC-circuit with a nonlinear inductor. For the classical version of the problem we can write circuit equations of the form

$$i(t) = C \frac{d}{dt} v(t)$$

$$v(t) = -\frac{d}{dt} \lambda(t)$$

where  $i(t)$  is the classical current, where  $v(t)$  is the classical voltage, and where  $\lambda(t)$  is the flux linkage of the inductor. We can write for the total classical energy

$$E = \frac{1}{2} C v^2(t) + E_L(\lambda(t))$$

where  $E_L(\lambda(t))$  is the magnetic energy of the inductor. The current in this case is related to the derivative of the stored magnetic energy with respect to flux linkage



$$\begin{aligned} i(t) &= C \frac{d}{dt} v(t) \\ v(t) &= -\frac{d}{dt} \lambda(t) \quad i = \frac{d}{d\lambda} E_L(\lambda) \end{aligned}$$

a) Classical particle

$$\frac{d}{dt} x(t) = \frac{p(t)}{m}$$

$$\frac{d}{dt} p(t) = -\frac{d}{dx} V(x(t))$$

$$\hat{H} = \frac{p^2(t)}{2m} + V(x(t))$$

$$b) \quad \frac{d}{dt} \langle \lambda \rangle = \left\langle \frac{\partial}{\partial t} \lambda \right\rangle + \frac{1}{i\hbar} \left\langle [\lambda, \frac{1}{2} C v^2(t) + E_L(\lambda(t))] \right\rangle$$

$$[\lambda, \frac{1}{2} C v^2(t)] = \frac{1}{2} C [\lambda, v^2(t)]$$

$$[\lambda, v^2] = [\lambda, v] v + v [\lambda, v]$$

$$[\lambda, v] f = \lambda (-i\hbar A \frac{df}{dx}) + i\hbar A \frac{d}{dx} (\lambda f) = -i\hbar A \frac{df}{dx} + i\hbar A f + i\hbar A \cancel{\frac{d}{dx}}$$

$$[\lambda, v] = i\hbar A \Rightarrow [\lambda, v^2] = 2i\hbar A v \Rightarrow \frac{1}{2} C [\lambda, v^2(t)] = -C i\hbar A \cancel{v}$$

$$\frac{d}{dt} \langle \lambda \rangle = C A \langle \hat{v} \rangle = -\langle \hat{v} \rangle \Rightarrow A = -\frac{1}{C}, \quad \hat{v} = \frac{i\hbar}{C} \frac{d}{dx}$$

$$c) \quad \frac{d}{dt} \langle v \rangle = \left\langle \frac{\partial}{\partial t} v \right\rangle + \frac{1}{i\hbar} \left\langle [v, \frac{1}{2} C v^2 + E_L(\lambda(t))] \right\rangle$$

$$[v, E_L(\lambda(t))] f = \sqrt{E_L(\lambda(t))} f - E_L(\lambda(t)) v f$$

$$= \frac{i\hbar}{C} \frac{d}{dx} (E_L(\lambda(t)) f) - E_L(\lambda(t)) \frac{i\hbar}{C} \frac{df}{dx}$$

$$= \frac{i\hbar}{C} \frac{d}{dx} E_L(\lambda(t)) f + \frac{i\hbar}{C} E_L(\lambda(t)) \frac{df}{dx} - \frac{i\hbar}{C} E_L(\lambda(t)) \cancel{\frac{df}{dx}}$$

$$\frac{d}{dt} \langle v \rangle = \frac{1}{C} \left\langle \frac{d E_L(\lambda(t))}{d \lambda} \right\rangle = \frac{\langle \dot{\lambda} \rangle}{C}$$

There are a great many papers in the literature that focus on a model of a harmonic oscillator with a dynamical mass, with a Hamiltonian given by

$$\hat{H} = e^{-rt} \frac{\hat{p}^2}{2m} + e^{rt} \frac{1}{2} m \omega_0^2 x^2$$

a)  $\frac{d^2}{dt^2} \langle x \rangle + A \frac{d}{dt} \langle x \rangle + B \langle x \rangle + C = 0$  Use Ehrenfest's theorem to find a second order evolution equation for  $\langle x \rangle$  of the form:

$$\begin{aligned} \frac{d}{dt} \langle x \rangle &= \left\langle \frac{\partial x}{\partial t} \right\rangle + \frac{1}{i\hbar} \left\langle [\hat{x}, e^{-rt} \frac{\hat{p}^2}{2m} + e^{rt} \frac{1}{2} m \omega_0^2 x^2] \right\rangle \\ &= \frac{e^{-rt}}{2m} \langle [\hat{x}, \hat{p}] \rangle \Rightarrow [\hat{x}, \hat{p}^2] = [\hat{x}, \hat{p}] \hat{p} + \hat{p} [\hat{x}, \hat{p}] \\ &= \frac{2\hbar i e^{-rt}}{2m} \langle \hat{p} \rangle = \frac{e^{-rt}}{m} \langle \hat{p} \rangle = i\hbar \hat{p} + \hat{p}; \hbar = 2i\hbar \hat{p} \\ \frac{d^2}{dt^2} \langle x \rangle &= \frac{d}{dt} \left( \frac{e^{-rt}}{m} \langle \hat{p} \rangle \right) = \left\langle \frac{d}{dt} \left( \frac{e^{-rt}}{m} \langle \hat{p} \rangle \right) \right\rangle + \frac{1}{i\hbar} \frac{e^{-rt}}{m} \langle [\hat{p}, A] \rangle \end{aligned}$$

$$\begin{aligned} [\hat{p}, \hat{A}] &= [\hat{p}, e^{-rt} \frac{\hat{p}^2}{2m} + e^{rt} \frac{1}{2} m \omega_0^2 x^2] \\ &= [\hat{p}, e^{rt} \frac{1}{2} m \omega_0^2 x^2] f = \hat{p} [e^{rt} \frac{1}{2} m \omega_0^2 x^2] f + e^{rt} \frac{1}{2} m \omega_0^2 x^2 \hat{p} (f) \end{aligned}$$

$$\begin{aligned} \hat{p} &= -i\hbar \frac{\partial}{\partial x} \quad -i\hbar \frac{\partial}{\partial x} e^{rt} \frac{1}{2} m \omega_0^2 x^2 f + -i\hbar \left( e^{rt} \frac{1}{2} m \omega_0^2 x^2 \right) \frac{\partial f}{\partial x} - e^{rt} \frac{1}{2} m \omega_0^2 x^2 (-i\hbar) \\ &= (-i\hbar e^{rt} \frac{1}{2} m \omega_0^2 x^2) f \Rightarrow [\hat{p}, \hat{A}] = -i\hbar e^{rt} m \omega_0^2 x \\ -\frac{1}{i\hbar} \frac{e^{-rt}}{m} (-i\hbar) e^{rt} m \omega_0^2 \langle \hat{x} \rangle &= -\omega_0^2 \langle x \rangle, \leftarrow \text{this is } \frac{1}{i\hbar} \frac{e^{-rt}}{m} \langle [\hat{p}, \hat{A}] \rangle \end{aligned}$$

$$\begin{aligned} \frac{d^2}{dt^2} \langle x \rangle &= -re^{-rt} \frac{\langle \hat{p} \rangle}{m} - \omega_0^2 \langle x \rangle \Rightarrow \frac{d^2}{dt^2} \langle x \rangle + re^{-rt} \frac{\langle \hat{p} \rangle}{m} + \omega_0^2 \langle x \rangle = 0 \\ &= \frac{d^2}{dt^2} \langle x \rangle + r \frac{d}{dt} \langle x \rangle + \omega_0^2 \langle x \rangle = 0 \quad \boxed{A=r, B=\omega_0^2, C=0} \end{aligned}$$

b)  $\hat{H} = \frac{\hat{p}^2}{2m} + \frac{1}{2} [me^{rt}] \omega_0^2 x^2 \quad \frac{1}{\omega_0} \ll 1 \quad \frac{dm}{dt} \approx 0$  Hamiltonian can be written this way

$$t=0 \quad \hat{p}/m + \frac{1}{2} m \omega_0^2 x^2 \rightarrow \phi_0 = \left( \frac{m \omega_0}{\pi \hbar} \right)^{1/4} e^{-\frac{m \omega_0}{2\hbar} X^2} \quad E = \frac{\hbar \omega_0 r}{2} \quad \left( \hat{H} \approx \frac{\hat{p}^2}{2m} + \frac{1}{2} m \omega_0^2 x^2 \right)$$

$$t=1/\gamma \quad m(\gamma) = m e^{rt} \rightarrow \phi_0 = \left( \frac{m \omega_0}{\pi \hbar} \right)^{1/4} e^{-\frac{m \omega_0}{2\hbar} X^2} \quad E = \frac{\hbar \omega_0}{2} \quad \left( \hat{A} \approx \frac{\hat{p}^2}{2m} + \frac{1}{2} m \omega_0^2 x^2 \right) \quad m \equiv e n$$

Use this approximation to determine ground state & approximate energy eigenvalue at  $t=0$  &  $t=1/\gamma$   
i.e. frequency is maintained in this time.

c) Find an exact sol'n in which the wavefunction could be thought of as being in the ground state for all time (even w/ mass changing in time). Assume that  $\gamma < 2\omega_0$ .

Assume squeezed state sol'n of form

$$\psi(x, t) = \left[ \frac{2 \alpha(t)}{\pi} \right]^{1/4} e^{-i\theta(t)} e^{-\alpha(t)x^2}$$

plug into Schrödinger eqn & end up with result

$$i\hbar \frac{\partial}{\partial t} \psi(x, t) = -e^{-rt} \frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} \psi(x, t) + e^{rt} \frac{1}{2} m \omega_0^2 x^2 \psi(x, t)$$

$$i\hbar \left[ \frac{1}{4\alpha} \frac{d\alpha}{dt} - i \frac{d\theta}{dt} - x^2 \frac{d\alpha}{dx} \right] = -e^{-rt} \frac{\hbar^2}{2m} [-2\alpha + 4\alpha^3 x^2] + e^{rt} \frac{1}{2} m \omega_0^2 x^2$$

match terms to obtain

$$\frac{-i\hbar}{4\alpha} \frac{d\alpha}{dt} + i\hbar \frac{d\theta}{dt} = e^{-rt} \frac{\hbar^2}{m} \quad i\hbar \frac{d\alpha}{dt} = e^{-rt} \frac{\hbar^2}{m} \alpha^2 - e^{rt} \frac{1}{2} m \omega_0^2$$

$$\alpha(t) = \frac{m \omega_0}{2\hbar} e^{rt/2} \quad i\hbar \sqrt{\frac{m \omega_0}{2\hbar}} = \frac{\hbar^2}{m} \left( \frac{m \omega_0}{2\hbar} \right)^2 - \frac{1}{2} m \omega_0^2$$

consistent w/  $\eta^2 - \frac{r^2}{4\omega_0^2} - 1 = 0 \Rightarrow \eta = \left[ i \left( \frac{r}{\omega_0} \right) \pm \sqrt{\left( \frac{r}{\omega_0} \right)^2 - 4} \right] / 2$

choosing  $r < 2\omega_0$  allows  $\eta$  to contain a positive # to preserve  $\psi$

$$\Rightarrow \eta = \sqrt{1 - \left( \frac{r}{2\omega_0} \right)^2} - i \left( \frac{r}{2\omega_0} \right)$$

next solve for  $\Theta(t)$

$$i\hbar \frac{d\theta}{dt} = i\hbar \frac{d\alpha}{dt} + e^{-rt} \frac{\hbar^2}{m} \alpha$$

ultimately

$$\psi(x, t) = \left[ \frac{m \omega_0}{\pi \hbar} \right]^{1/4} \exp \left\{ -i \frac{1}{2} \sqrt{\omega_0^2 - \eta^2} t + \right\} \exp \left\{ -\frac{m \omega_0 e^{rt}}{2\hbar} x^2 \right\}$$

$$\eta = \sqrt{1 - \left( \frac{r}{2\omega_0} \right)^2} - i \left( \frac{r}{2\omega_0} \right)$$

$$\alpha(t) = \frac{m \omega_0}{2\hbar} e^{rt/2} \quad i\hbar \frac{d\alpha}{dt} = \frac{i\hbar}{4} r + \frac{\hbar^2}{m} \frac{r^2}{2\hbar} \eta$$

$$\Theta(t) = \frac{1}{2} \omega_0 \eta t \quad = \frac{i\hbar r}{4} + \frac{r \omega_0}{2}$$

$$\Rightarrow (\Theta)(t) = \frac{1}{2} \omega_0 \left[ \sqrt{1 - \left( \frac{r}{2\omega_0} \right)^2} - i \left( \frac{r}{2\omega_0} \right) \right] t + \frac{i}{4} rt = \frac{1}{2} \sqrt{\omega_0^2 - \eta^2} t$$

Pset 11 Problem 3

Golden Rule

$$\omega_0^2 = \frac{1}{LC}$$

12/12/19

LC circuit by adding a bath.  
where  $\hat{H}_{LC} = \frac{1}{2}L\dot{i}^2 + \frac{1}{2}CV^2$   
assume  $p(E) = p_0$

$$\begin{aligned}\hat{H} &= \hat{H}_{LC} + \hat{H}_{bath} + \hat{H}_{int} \\ \hat{H}_{bath} &= \sum_i (\langle \phi_i | H_i | \phi_i \rangle + \langle \phi_i | H_2 | \phi_2 \rangle) \\ \hat{H}_{int} &= A \nu \sum_j (\langle \phi_i | \phi_2 \rangle + \langle \phi_2 | \phi_i \rangle)\end{aligned}$$

2) Classical eqns of motion for LC circuit w/ resistor in series:

$$\frac{d}{dt} V(t) = \frac{1}{C} i(t) \quad \frac{d}{dt} i(t) = -\frac{1}{L} (V(t) + R i(t))$$

We can check by working out Ehrenfest's theorem for  $V \neq i$ .

$$\begin{aligned}\frac{d}{dt} \langle V \rangle &= \frac{1}{i\hbar} \langle [V, \hat{H}] \rangle = \frac{1}{i\hbar} \langle [V, -\frac{\hbar^2}{2LC^2} \frac{\partial^2}{\partial V^2}] \rangle = -\left(\frac{\hbar^2}{2LC^2}\right) \frac{1}{i\hbar} \langle -2 \frac{\partial}{\partial V} \rangle \\ &= \frac{1}{C} \langle -\frac{i\hbar}{L} \frac{\partial}{\partial V} \rangle = \frac{1}{C} \langle \dot{i} \rangle \quad \checkmark \text{ commutes w/ energy operator for two-level system.}\end{aligned}$$

$\frac{d}{dt} \langle \dot{i} \rangle = \frac{1}{i\hbar} \langle [\dot{i}, \hat{H}] \rangle = \frac{1}{i\hbar} \langle \dot{i}, \frac{1}{2} C (V^2) \rangle + \frac{1}{i\hbar} \langle [\dot{i}, V \hat{O}] \rangle = \frac{1}{i\hbar} \left( -\frac{i\hbar}{LC} \right) \langle \frac{\partial}{\partial V} V \hat{O} \rangle = -\frac{1}{LC} \langle \hat{O} \rangle$

This interaction Hamiltonian has the right form to contribute loss one way a resistor would. Would work best if followed that:  $-\frac{R}{L} \langle \dot{i} \rangle = -\frac{1}{LC} \langle \hat{O} \rangle$  or  $\langle \dot{S} \rangle = R \langle \dot{i} \rangle$

b) Find the decay rate for energy loss in case of classical Series RLC circuit.

We can estimate the rate of energy loss by solving for the complex frequency at resonance. We assume:

$$\begin{aligned}v(t) &= V_0 e^{-i\omega t} & \frac{d}{dt} i(t) &= -\frac{1}{L} v(t) - \frac{R}{L} i(t) \\ i(t) &= i_0 e^{-i\omega t} & \frac{d^2}{dt^2} i(t) &= -\frac{R}{L} \frac{dv(t)}{dt} - \frac{R}{L} \frac{di(t)}{dt} \\ \frac{d^2}{dt^2} i(t) + \frac{1}{C} i(t) + \frac{R}{L} \frac{di}{dt} &= 0 & \uparrow &= \frac{1}{C} i(t) \\ \Rightarrow S^2 + \frac{E}{L} S + \frac{1}{LC} &= 0 \\ S = -\frac{R}{L} \pm \sqrt{\left(\frac{R}{L}\right)^2 - \frac{4}{LC}} &= -\frac{R}{2L} \pm \sqrt{\left(\frac{R}{2L}\right)^2 - \frac{1}{LC}} \\ S \propto i \omega_0 - \frac{R}{2L} & \uparrow \\ \sqrt{\omega_0^2 - \left(\frac{R}{2L}\right)^2} & \quad i(t) \sim e^{i\omega_0 t} e^{-\frac{R}{2L}t} \sim V(t) \\ E = \frac{1}{2} (V^2(t)) + \frac{1}{2} L i^2(t) & \sim e^{-\frac{Rt}{L}} \quad \text{Note } \gamma \sim \frac{R}{L} \text{ energy decay rate.}\end{aligned}$$

c) Find an expression for the transition matrix element between initial state  $\Psi_i$  w/ no photon & no excited states in the bath, and a final state  $\Psi_f$  w/ LC circuit in ground state & one excited bath state.

$$\Psi_i = \underline{\Psi_i(v)}, \underline{\Phi_0}$$

↓  
one photon in LC circuit  
↑  
no excited states in bath

$$\Psi_f = \underline{\Psi_f(v)}, \underline{(1\phi_2)(\phi_1)_K \Phi_0}$$

↑  
one photon  
↑  
one excited bath state.  
LC circuit ground state

$$\begin{aligned}\langle \Psi_f | \hat{H}_{int} | \Psi_i \rangle &= \langle \Psi_0(v) | (1\phi_2)(\phi_1)_K \Phi_0 \rangle / A \nu \sum_j (1\phi_j)(\phi_{j1})_K \Phi_0 / \sum_j (1\phi_j)(\phi_{j2})_K \Phi_0 \\ &= \langle (1\phi_2)(\phi_1)_K \Phi_0 | (1\phi_1)(\phi_{11})_K \Phi_0 \rangle \\ &\quad \text{because } (1\phi_1)(\phi_{12})(1\phi_2)(\phi_{21}) = 0 \\ &= \langle (1\phi_2)(\phi_1)_K \Phi_0 | (1\phi_1)_K \Phi_0 \rangle \\ A \langle \Psi_0(v) | V | \Psi_i(v) \rangle &= A \langle \Psi_0(v) | \frac{\hbar \omega_0}{2C} (\hat{a} + \hat{a}^\dagger) | \Psi_0(v) \rangle = A \sqrt{\frac{\hbar \omega_0}{2C}}$$

d) Evaluate the decay rate for single oscillator quantum through Golden Rule

$$\begin{aligned}\Gamma &= \frac{2\pi}{\hbar} |\langle \Psi_f | V | \Psi_i \rangle|^2 p(E) \\ &= \frac{2\pi}{\hbar} |A \sqrt{\frac{\hbar \omega_0}{2C}}|^2 p_0\end{aligned}$$

2005 Final Problem 5

Use the Golden rule to estimate radiative capture rate for a free electron by a proton.  $\Gamma = \frac{2\pi}{\hbar} |\langle \Psi_f | V | \Psi_i \rangle|^2 p(E_f)$ . When the electron is free, assume it is described by  $\Psi_i = \frac{e^{ikr}}{L^{3/2}}$ . In the ground state, the electron is given by:  $\Psi_f = \frac{1}{\sqrt{\pi a_0^3}} e^{-r/a_0}$

$$\hat{V} = d \cdot \hat{E}(r) \text{ where } d = -e \hat{r} \quad \Psi_i = \Psi_i(r) \Phi_0 \quad \Psi_f = \Psi_f(r) (\hat{a}_K^\dagger \Phi_0)$$

$$\text{Assume wavelength of proton is large compared to size of atom } e^{ikr} \approx 1 \\ \int e^{ikr} \hat{z} e^{-r/a_0} dr = -i (32\pi a_0^5) \frac{k z}{(1+a_0^2/k^2)^3}$$

Since proton mass  $m_p \gg$  electron mass  $m_e$ , reduced mass:

$$m = \frac{m_e m_p}{m_e + m_p} \approx m_e$$

$$\text{Emitted photon carries an energy } \hbar \omega = E_i - E_f = \frac{\hbar^2 k^2}{2m} + \frac{m}{me} I_H = \frac{\hbar^2 k^2}{2m} + I_H$$

$$\text{density of states: } p(E_f) = \frac{L^3 \omega^2}{\pi^3 \hbar^3 c^3}$$

$$\text{matrix element: } \langle \Psi_f | \hat{V} | \Psi_i \rangle = -\langle \Psi_f | d | \Psi_i \rangle \cdot \langle \phi_1 | \hat{E} | \Psi_f \rangle = -\langle \Psi_f | d | \Psi_i \rangle \sum_{\sigma} \sum_k i_0 \frac{\hbar \omega}{2\varepsilon_0 L^3} (\hat{a}_K^\dagger \Phi_0) / \hat{a}$$

$$\text{The dipole moment: } \langle \hat{a}_f | d | \Psi_i \rangle = -e \langle \Psi_f | \hat{r} | \Psi_i \rangle = -e [ \langle \Psi_f | x | \Psi_i \rangle i_x + \langle \Psi_f | y | \Psi_i \rangle i_y + \langle \Psi_f | z | \Psi_i \rangle i_z ]$$

$$= \frac{ie(32\pi a_0^5)}{L^3 \pi a_0^3 (1+a_0^2/k^2)^3} [k_x i_x + k_y i_y + k_z i_z]$$

Since the electric field can be polarized in arbitrary directions, we need to do an averaging of the matrix element squared over all angles. Let  $\theta$  be the angle between  $i_0$  and  $k$ :

$$\begin{aligned}|\langle \Psi_f | \hat{V} | \Psi_i \rangle|^2 &= \frac{\hbar \omega}{2\pi a_0^3 \epsilon_0 L^6} \frac{e^2 (32\pi a_0^5)^2 / k^2}{(1+a_0^2/k^2)^6} \frac{\int_0^{2\pi} d\phi \int_0^\pi d\theta \cos^2 \theta \sin \theta}{\int_0^{2\pi} d\phi \int_0^\pi d\theta \sin \theta} \\ &= \frac{\hbar \omega}{6\pi a_0^3 \epsilon_0 L^6} \frac{e^2 (32\pi a_0^5)^2 / k^2}{(1+a_0^2/k^2)^3}\end{aligned}$$

Therefore the Golden Rule radiative capture rate is  $\Gamma = \frac{2\pi}{\hbar} K |\Psi_f | \hat{V} | \Psi_i \rangle|^2 p(E_f)$

$$\boxed{\Gamma = \frac{\omega^3 a_0^7}{3C^3 \hbar^2 \epsilon_0 L^3} \frac{e^2 32^2 / k^2}{(1+a_0^2/k^2)^6}}$$

## Dealing with interaction matrix

### Problem 4

In this problem we are interested in the Compton scattering of a photon from an electron in free space using a nonrelativistic approximation. We make use of the Hamiltonian

$$\hat{H} = \int \frac{1}{2} \epsilon_0 \hat{\mathbf{E}}(\mathbf{r}) \cdot \hat{\mathbf{E}}(\mathbf{r}) + \frac{1}{2} \mu_0 \hat{\mathbf{H}}(\mathbf{r}) \cdot \hat{\mathbf{H}}(\mathbf{r}) + \frac{(\hat{\mathbf{p}} + e\hat{\mathbf{A}}(\mathbf{r})) \cdot (\hat{\mathbf{p}} + e\hat{\mathbf{A}}(\mathbf{r}))}{2m}$$

where we have assumed for the charge of the electron

$$q = -|e| = -e$$

For the initial state we take a free electron state normalized to the volume of a box (making use of periodic boundary conditions for the electron), and a photon according to

$$\Psi_i = \frac{1}{\sqrt{L^3}} e^{i \mathbf{q}_i \cdot \mathbf{r}} \hat{a}_{\mathbf{k}_i, \sigma_i}^\dagger |\Phi_0\rangle$$

and for the final state we have

$$\Psi_f = \frac{1}{\sqrt{L^3}} e^{i \mathbf{q}_f \cdot \mathbf{r}} \hat{a}_{\mathbf{k}_f, \sigma_f}^\dagger |\Phi_0\rangle$$

We require that

$$\mathbf{k}_i \neq \mathbf{k}_f \quad \mathbf{q}_i \neq \mathbf{q}_f$$

in order to ensure that a scattering event is modeled. Later on we will assume that the electron is initially at rest

$$\mathbf{q}_i \rightarrow 0$$

(a) Evaluate the interaction matrix element

$$\langle \Psi_f | \hat{H}_{int} | \Psi_i \rangle = \left\langle \Psi_f \left| \frac{e^2 \hat{\mathbf{A}}(\mathbf{r}) \cdot \hat{\mathbf{A}}(\mathbf{r})}{2m} \right| \Psi_i \right\rangle$$

Note that for photon scattering, there is one photon in the initial state and one photon in the final state, so that terms linear in  $\hat{A}$  will not contribute at lowest order.

(b) (Optional) There are various ways to proceed with the evaluation of the Golden Rule rate. Perhaps the simplest for this calculation is to make use of

$$\gamma = \frac{2\pi}{\hbar} \sum_{\mathbf{q}_f} \sum_{\mathbf{k}_i} \sum_{\sigma_f} |\langle \Psi_f | \hat{H}_{int} | \Psi_i \rangle|^2 \delta(E_i - E_f(\mathbf{q}_f, \mathbf{k}_f))$$

where we assume that a continuum approximation will be made for the evaluation of the  $\delta$ -function in energy. For this part of the problem, carry out the summation over the final electron momentum  $\mathbf{q}_f$ .

Lemma 4 + 9/10

$$\hat{H} = \frac{1}{2} \epsilon_0 \hat{E}(r) \cdot \hat{E}(r) + \frac{1}{2} M_0 \hat{H}(r) \cdot \hat{H}(r) + \frac{(\hat{p} + e\hat{A}(r)) \cdot (\hat{p} + e\hat{A}(r))}{2m}$$

$$\Rightarrow \text{wante } \gamma = \frac{2\pi}{L} / \langle \Psi_i | H_{int} | \Psi_i \rangle / \rho$$

$$\Psi_i = \frac{1}{L^3} e^{i\vec{q}_i \cdot \vec{r}} \hat{a}_{k_i}^+ |\Phi_0\rangle \quad \Psi_f = \frac{1}{L^3} e^{i\vec{q}_f \cdot \vec{r}} \hat{a}_{k_f}^+ |\Phi_0\rangle$$

a)  $\langle \Psi_f | \hat{H}_{int} | \Psi_i \rangle = \left\langle \frac{e^{i\vec{q}_f \cdot \vec{r}}}{L^3} \hat{a}_{k_f}^+ | \Phi_0 \right| \left[ \sum_{k_0} \sum_{\sigma} \sqrt{\frac{\pi}{2\varepsilon_0 L^3 w_k}} \frac{[\hat{a}_{k_0} e^{i\vec{k}_0 \cdot \vec{r}} \cdot \hat{a}_{k_0}^+ e^{-i\vec{k}_0 \cdot \vec{r}}]}{i} \right] \right.$

$\left. \left[ \sum_{k_0} \sum_{\sigma} \sqrt{\frac{\pi}{2\varepsilon_0 L^3 w_k}} \frac{[\hat{a}_{k_0} e^{i\vec{k}_0} - \hat{a}_{k_0}^+ e^{-i\vec{k}_0}]}{i} \right] \left| \frac{e^{i\vec{q}_f \cdot \vec{r}}}{L^3} \hat{a}_{k_f}^+ | \Phi_0 \right\rangle \right]$

define:  $\hat{A}(\vec{r}) = -i \sum_{k_0} \sum_{\sigma} \frac{1}{\sigma} \sqrt{\frac{\pi}{2\varepsilon_0 L^3 w_k}} (\hat{a}_{k_0} e^{i\vec{k}_0 \cdot \vec{r}} - \hat{a}_{k_0}^+ e^{-i\vec{k}_0 \cdot \vec{r}}) = \hat{A}_+(\vec{r}) + \hat{A}_-(\vec{r})$

$\rightarrow = \frac{e^2}{m} \frac{1}{L^3} \left\langle e^{i\vec{q}_f \cdot \vec{r}} \hat{a}_{k_f}^+ | \Phi_0 \right| \hat{A}_+(\vec{r}) \cdot \hat{A}_-(\vec{r}) + \hat{A}_-(\vec{r}) \hat{A}_+(\vec{r}) \left| e^{i\vec{q}_f \cdot \vec{r}} \hat{a}_{k_f}^+ | \Phi_0 \right\rangle$

$= \frac{e^2}{m} \frac{1}{L^3} \frac{\pi}{2\varepsilon_0 L^3} \int \frac{1}{w_{k_f} w_{k_f}} \hat{1}_{\sigma_i} \cdot \hat{1}_{\sigma_f} \langle \Psi_f | \Phi_0 \rangle \int \int \int \int e^{-i\vec{q}_f \cdot \vec{r}} e^{-i\vec{k}_f \cdot \vec{r}} e^{i\vec{q}_f \cdot \vec{r}} e^{i\vec{k}_f \cdot \vec{r}} d^3 r$

$\Rightarrow \boxed{\int \frac{e^2}{m} \frac{1}{L^3} \frac{\pi}{2\varepsilon_0} \frac{1}{w_{k_f} w_{k_f}} \hat{1}_{\sigma_i} \cdot \hat{1}_{\sigma_f} \int_{\vec{q}_f + \vec{r}, \vec{k}_f - \vec{k}_f}^{q_f} q_f \text{ if } k_f \text{ are discrete} \rightarrow L^3 \int_{\vec{q}_f + \vec{k}_f - \vec{q}_i - \vec{k}_i}^{q_f + \vec{k}_f - \vec{q}_i - \vec{k}_i} d^3 r = d^3 x d^3 y d^3 z}$

$$b) \quad f = \frac{2\pi}{h} \sum_{q_f} \sum_{k_f} \sum_{E_f} \left| \langle \Psi_f | H_{int} | \Psi \rangle \right|^2 \delta(E_i - E_f(q_f, k_f))$$

$$P_{xy}(E) = \int_{-\infty}^{\infty} dE_x \int_{-\infty}^{\infty} dE_y P_x(E_x) P_y(E_y) f(E - E_x - E_y)$$

$$EI = \frac{h^2/9.1^2}{2m} + t \cdot c$$

$$\sum_{\vec{q}_F} |S_{\text{eff}}(E_F + E_i - \vec{q}_F - \vec{k}_F)|^2 = |S_{\text{eff}}|^2$$



$$S(E_i - E_F(\vec{q}_F, \vec{k}_F)) = \int \left( \frac{\hbar^2 |\vec{q}_F|^2}{2m} + \hbar c / k_F \right) - \frac{\hbar^2 |\vec{q}_F + \vec{k}_F|^2}{2m} + \hbar c / k_F \quad \vec{q}_F \Rightarrow \text{electron momentum}$$

$$= \frac{2\pi}{\hbar} \left[ \frac{e^2 n}{2\epsilon_{\text{Fermi}}} \right]^2 \sum_{\vec{k}_F} \sum_{\vec{q}_F} \frac{1}{W_{\vec{k}_F} W_{\vec{q}_F}} (\vec{n}_{\vec{k}_F} \cdot \vec{n}_{\vec{q}_F})^2 \int \left( \frac{\hbar^2 |\vec{q}_F|^2}{2m} + \hbar c / E_i \right) - \frac{\hbar^2 |\vec{q}_F + \vec{k}_F|^2}{2m} - \hbar c / k_F$$

# PSer 10 Problem

12/17/19

focus on modes  $n_x=1, n_y=1, n_z=0$   
 $u(r) = \hat{i}_z \sqrt{2} \sin\left(\frac{\pi x}{L}\right) \sin\left(\frac{\pi y}{L}\right)$

$$v(r) = \hat{i}_x \sqrt{2} \sin\left(\frac{\pi x}{L}\right) \cos\left(\frac{\pi y}{L}\right) - \hat{i}_y \sqrt{2} \cos\left(\frac{\pi x}{L}\right) \sin\left(\frac{\pi y}{L}\right)$$

a) Show TM is normalized according to  $\int |u(r)|^2 d^3r = L^3$  &  $\int |v(r)|^2 d^3r = L^3$   
 Just plug in & check. Remember:

$$\text{i.e. } \int |\hat{u}(r)|^2 d^3r = \int_0^L \int_0^L \int_0^L |\hat{u}(r)|^2 dx dy dz = 4 \cdot \left(\frac{1}{2}L\right)\left(\frac{1}{2}L\right)L = L^3$$

b) Find expressions for field operators  $\hat{E}(r)$ ,  $\hat{H}(r)$ , &  $\hat{A}(r)$  for this mode in terms of  $u(r)$  &  $v(r)$ . Note that vector potential can be constructed using:

$$\nabla \times \hat{A}(r) = 0$$

$$\nabla \cdot \hat{A}(r) = 0$$

$$\hat{E}(r) = \frac{\sqrt{\mu_0}}{2\epsilon_0 L^3} \hat{u}(r) (\hat{a} - \hat{a}^\dagger)$$

$$\hat{H}(r) = \frac{\sqrt{\mu_0}}{2\epsilon_0 L^3} \hat{v}(r) \left( \frac{\hat{a} - \hat{a}^\dagger}{i} \right)$$

For the vector potential operator, we would expect:  $\hat{A}(r) = \hat{u}(r) \hat{A}$

Plugging into sol'n that carries  $\hat{A}(r)$  &  $A(r)$ ,  $\nabla \cdot \hat{A}(r) = \mu_0 \frac{\sqrt{\mu_0}}{2\epsilon_0 L^3} \hat{v}(r) \left( \frac{\hat{a} - \hat{a}^\dagger}{i} \right) = \nabla \cdot A(r) = \nabla \cdot [\hat{u}(r) \hat{A}]$   
 Resonator modes satisfy:  $\nabla \times \hat{u}(r) = k \hat{u}(r)$ ,  $\nabla \times \hat{v}(r) = k \hat{v}(r)$   
 so we can write:  $\mu_0 \frac{\sqrt{\mu_0}}{2\epsilon_0 L^3} \hat{v}(r) \left( \frac{\hat{a} - \hat{a}^\dagger}{i} \right) = k \hat{v}(r) \hat{A}$

$$\text{conclude: } \hat{A} = \frac{\mu_0}{k} \frac{\sqrt{\mu_0}}{2\epsilon_0 L^3} \left( \frac{\hat{a} - \hat{a}^\dagger}{i} \right) = \frac{\mu_0 c}{\epsilon_0} \sqrt{\frac{\mu_0}{2\epsilon_0 L^3}} \left( \frac{\hat{a} - \hat{a}^\dagger}{i} \right) = \sqrt{\frac{\mu_0}{\epsilon_0 2\epsilon_0 L^3}} \left( \frac{\hat{a} - \hat{a}^\dagger}{i} \right) = \sqrt{\frac{\mu_0}{2\epsilon_0 \epsilon_0 L^3}} \left( \frac{\hat{a} - \hat{a}^\dagger}{i} \right)$$

$$\Rightarrow \hat{A}(r) = \sqrt{\frac{\mu_0}{2\epsilon_0 \epsilon_0 L^3}} \hat{u}(r) \left( \frac{\hat{a} - \hat{a}^\dagger}{i} \right)$$

c) Consider a semi-classical approximation in which an electron is treated classically, and the fields are treated quantum mechanically. The Hamiltonian in this case is:

$$H = \frac{1}{2} \epsilon_0 \hat{E}(r) \cdot \hat{E}(r) + \frac{1}{2} \mu_0 \hat{H}(r) \cdot \hat{H}(r) d^3r - \int \hat{J}(r, t) \hat{A}(r) d^3r \quad \text{electron trajectory: } z(t) = v_0 t$$

associated current density:

$$\hat{J}(r, t) = \hat{i}_z g_{\text{f}} v_0 \delta(x - 4/2) \delta(y - 4/2) \delta(z - v_0 t)$$

Write down the time-dependent Hamiltonian to the mode in configuration space. Hamiltonian should be in the form:  $\hat{H} = [\dots] \hat{e}^2 + [\dots] \hat{h}$

For the electromagnetic Hamiltonian, we can write:

$$\int \frac{1}{2} \epsilon_0 \hat{E}(r) \cdot \hat{E}(r) + \frac{1}{2} \mu_0 \hat{H}(r) \cdot \hat{H}(r) d^3r = -\frac{(\pi \omega_0)^2}{2\epsilon_0 L^3} \frac{d^2}{dr^2} + \frac{1}{2} \epsilon_0 L^3 e^2$$

For the interaction, we can write:

$$-\int \hat{J}(r, t) \hat{A}(r) d^3r = -\int \hat{J}(r, t) \cdot \sqrt{\frac{\mu_0}{2\epsilon_0 \epsilon_0 L^3}} \hat{u}(r) \left( \frac{\hat{a} - \hat{a}^\dagger}{i} \right) d^3r$$

$$= -\sqrt{\frac{\mu_0}{2\epsilon_0 \epsilon_0 L^3}} \int \hat{J}(r, t) \cdot \hat{u}(r) d^3r \left( \frac{\hat{a} - \hat{a}^\dagger}{i} \right)$$

For the spatial integral, we can write:

$$\begin{aligned} \int \hat{J}(r, t) \cdot \hat{u}(r) d^3r &= \int \left[ \hat{i}_z g_{\text{f}} v_0 \delta(x - 4/2) \delta(y - 4/2) \delta(z - v_0 t) \right] \cdot \left[ \hat{i}_z 2 \sin\left(\frac{\pi x}{L}\right) \sin\left(\frac{\pi y}{L}\right) \right] d^3r \\ &= 2g_{\text{f}} v_0 \left[ \int_0^L \delta(x - 4/2) \sin\left(\frac{\pi x}{L}\right) dx \right] \left[ \int_0^L \delta(y - 4/2) \sin\left(\frac{\pi y}{L}\right) dy \right] \left[ \int_0^L \delta(z - v_0 t) dz \right] \\ &= 2g_{\text{f}} v_0 \left[ \sin\left(\frac{\pi x}{L}\right) \right] \left[ \sin\left(\frac{\pi y}{L}\right) \right] \left[ \int_0^L \delta(z - v_0 t) dz \right] \end{aligned}$$

The sine term both evaluate to 1 and the last integral evaluates to 1 when the electron is inside the cavity. Consequently, we can write:

$$\int \hat{J}(r, t) \hat{u}(r) d^3r = 2g_{\text{f}} v_0 \left\{ \begin{array}{ll} 0 & t < 0 \\ 1 & 0 < t < L/v_0 \\ 0 & L/v_0 < t \end{array} \right.$$

We can then write the time-dependent Hamiltonian as:

$$\hat{H} = -\frac{(\pi \omega_0)^2}{2\epsilon_0 L^3} \frac{d^2}{dr^2} + \frac{1}{2} \epsilon_0 L^3 e^2 - \sqrt{\frac{\mu_0}{2\epsilon_0 \epsilon_0 L^3}} 2g_{\text{f}} v_0 f(t) \left( \frac{\hat{a} - \hat{a}^\dagger}{i} \right)$$

$$\text{We recall that } \hat{h} = -i \frac{\hbar \omega_0}{L^3} \frac{d}{dt} = \sqrt{\frac{\mu_0}{2\epsilon_0 \epsilon_0 L^3}} \left( \frac{\hat{a} - \hat{a}^\dagger}{i} \right)$$

We can write the dynamic Hamiltonian as

$$\hat{H} = -\frac{(\pi \omega_0)^2}{2\epsilon_0 L^3} \frac{d^2}{dt^2} + \frac{1}{2} \epsilon_0 L^3 e^2 - 2 \sqrt{\frac{\mu_0}{\epsilon_0}} \frac{g_{\text{f}} v_0}{\omega_0} f(t) \hat{h}$$

d) Find Ehrenfest theorem evolution equations for  $\langle e \rangle$  &  $\langle h \rangle$  for this model.  
 Without the source present, we know that Ehrenfest equations would be:

$$\frac{d}{dt} \langle e \rangle = \frac{k}{\epsilon_0} \langle h \rangle \quad \frac{d}{dt} \langle h \rangle = -\frac{k}{\epsilon_0} \langle e \rangle$$

The only issue is that there is a driving term. We know that  $\hat{h}$  commutes with  $\hat{h}$  so we would not expect the second Ehrenfest theorem evolution equation to change. However, there will definitely be modification of the first one.

$$\frac{d}{dt} \langle e \rangle = \frac{k}{\epsilon_0} \langle h \rangle + \frac{1}{i\hbar} \left\{ \langle e, -2 \sqrt{\frac{\mu_0}{\epsilon_0}} \frac{g_{\text{f}} v_0}{\omega_0} f(t) \hat{h} \rangle \right\} = \frac{k}{\epsilon_0} \langle h \rangle - \frac{1}{i\hbar} 2 \sqrt{\frac{\mu_0}{\epsilon_0}} \frac{g_{\text{f}} v_0}{\omega_0} f(t) \langle e, \hat{h} \rangle$$

$$\text{We evaluate the commutator: } [e, \hat{h}] = [e, -i \frac{\hbar \omega_0}{L^3} \frac{d}{dt}] = i \frac{\hbar \omega_0 c}{L^3}$$

$$\text{This can be used to obtain: } \frac{d}{dt} \langle e \rangle = \frac{k}{\epsilon_0} \langle h \rangle - \frac{1}{i\hbar} 2 \sqrt{\frac{\mu_0}{\epsilon_0}} \frac{g_{\text{f}} v_0}{\omega_0} f(t) \left[ i \frac{\hbar \omega_0 c}{L^3} \right] = \frac{k}{\epsilon_0} \langle h \rangle - \frac{g_{\text{f}} v_0}{\epsilon_0 L^3} f(t)$$

e) Solve for  $\langle e \rangle$  and  $\langle h \rangle$  for  $0 \leq t \leq L/v_0$   
 Assume model is in ground state initially.

$$\text{For } 0 \leq t \leq L/v_0, \text{ assume sol'n of form: } \langle e \rangle = A + B \sin(\omega_0 t) + C \cos(\omega_0 t)$$

plug in & get:

$$\omega_0 B \cos(\omega_0 t) - \omega_0 C \sin(\omega_0 t) = \frac{k}{\epsilon_0} [D + F \sin(\omega_0 t) + G \cos(\omega_0 t) - 2 \sqrt{\frac{\mu_0}{\epsilon_0}} \frac{g_{\text{f}} v_0}{\omega_0} f(t)]$$

$$\omega_0 F \cos(\omega_0 t) - \omega_0 G \sin(\omega_0 t) = -\frac{k}{\epsilon_0} [A + B \sin(\omega_0 t) + C \cos(\omega_0 t)]$$

$$\text{Match terms & write: } \omega_0 B = \frac{k}{\epsilon_0} G, -\omega_0 C = \frac{k}{\epsilon_0} F, D = \frac{k}{\epsilon_0} D - 2 \sqrt{\frac{\mu_0}{\epsilon_0}} \frac{g_{\text{f}} v_0}{\omega_0}, \omega_0 F = -\frac{k}{\epsilon_0} C$$

$$-\omega_0 G = -\frac{k}{\epsilon_0} B, O = A$$

Match boundary condition at  $t=0$  leads to:  $A + C = 0, D + G = 0$

$$\text{use this to assign constants: } A=0, C=0, F=0, D=2 \frac{g_{\text{f}} v_0}{\epsilon_0 L^3}, G=-\frac{k}{\epsilon_0 \omega_0}, B=-\sqrt{\frac{\mu_0}{\epsilon_0}} 2 \frac{g_{\text{f}} v_0}{\omega_0 L^3}$$

$$\langle e \rangle = -\sqrt{\frac{\mu_0}{\epsilon_0}} 2 \frac{g_{\text{f}} v_0}{\omega_0 L^3} \sin(\omega_0 t) \quad \langle h \rangle = 2 \frac{g_{\text{f}} v_0}{\epsilon_0 L^3} (-\cos(\omega_0 t))$$

f) Making use of  $\langle e \rangle$ , what would you expect for the value of  $\langle h \rangle$  if photons in mode after a single electron pass through? (Hint: would you expect this system to generate a classical state generated by electron leaves, we can use expectation value at  $t=L/v_0$  to figure out  $\langle h \rangle$ )

$$\text{Classical} = \frac{1}{2} \epsilon_0 L^3 \langle e \rangle^2 + \frac{1}{2} \mu_0 L^3 \langle h \rangle^2$$

$$\text{plugging in part (e) & solve: } \langle h \rangle = \hbar \omega_0 \langle e \rangle$$

$$\langle h \rangle = \frac{4 g_{\text{f}}^2 v_0^2}{\epsilon_0 \omega_0^3 L^3} [1 - \cos(\frac{\omega_0}{\epsilon_0} L)]$$

Consider a model for a charged particle in an electromagnetic field described by Hamiltonian:

$$\hat{H} = \frac{(\hat{p} - q\vec{A}) \cdot (\hat{p} - q\vec{A})}{2m} + q\Phi \quad \text{In the special case that:}$$

Make use of Ehrenfest's theorem to evaluate  $\vec{A} = \hat{i}_x A_x(z, t)$

$$m \frac{d^2}{dt^2} \langle \vec{r} \rangle = \langle \vec{F} \rangle$$

Determine the expected value for force  $\langle \vec{F} \rangle$ . You can use the Coulomb gauge

Hint 1: may be useful to define canonical momentum:

$$\hat{\pi} = \hat{p} - q\vec{A}$$

Hint 2: Recall a non-relativistic charged<sup>1</sup> particle in the presence of an electric field & magnetic field is accelerated according to:

$$m \frac{d^2}{dt^2} \vec{r}(t) = q \vec{E} + \vec{V} \times \vec{B} \quad \text{where in free space}$$

$$\frac{d}{dt} \langle \vec{r} \rangle = \frac{1}{i\hbar} \langle [\vec{r}, \vec{A}] \rangle = \frac{1}{i\hbar} \left\langle \left[ \vec{r}, \frac{1}{2m} \vec{p}^2 \right] \right\rangle - \frac{1}{i\hbar} \left\langle \left[ \vec{r}, \frac{1}{m} \vec{A} \cdot \hat{p} \right] \right\rangle \quad \text{B-MotH}$$

Coulomb Gauge:  

$$\hat{H} = \frac{(\hat{p} - q\vec{A}) \cdot (\hat{p} - q\vec{A})}{2m} + q\Phi = \frac{\hat{p} \cdot \hat{p}}{2m} - \frac{(q\vec{A}\hat{p} + \hat{p}q\vec{A})}{2m} + \frac{q^2 \vec{A} \cdot \vec{A}}{2m} + q\Phi \quad \text{From class notes}$$
  

$$\left( -\frac{1}{m} \vec{A} \cdot \vec{p} \right) \Rightarrow \text{Coulomb Gauge}$$

$$\begin{aligned} \frac{d}{dt} \langle \vec{r} \rangle &= \frac{1}{i\hbar} \left\langle \left[ \hat{i}_x \vec{x}, -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} \right] \right\rangle + \frac{1}{i\hbar} \left\langle \left[ \hat{i}_y \vec{y}, -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial y^2} \right] \right\rangle + \frac{1}{i\hbar} \left\langle \left[ \hat{i}_z \vec{z}, -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial z^2} \right] \right\rangle \\ &+ \frac{1}{i\hbar} \left\langle \left[ \hat{i}_x \vec{x}, i\hbar \frac{q}{m} A_x \frac{\partial}{\partial x} \right] \right\rangle = \langle \hat{i}_x \frac{\hat{p}_x}{m} \rangle + \langle \hat{i}_y \frac{\hat{p}_y}{m} \rangle + \langle \hat{i}_z \frac{\hat{p}_z}{m} \rangle - \langle \hat{i}_x \frac{q}{m} A_x \rangle \\ &= \frac{q\hat{p}_x}{m} \end{aligned}$$

easier to work with effective momentum operator:  $\hat{\pi} = \hat{p} - q\vec{A}$

$$\begin{aligned} \frac{d}{dt} \langle \hat{\pi} \rangle &= \left\langle \frac{\partial \hat{\pi}}{\partial t} \right\rangle + \frac{1}{i\hbar} \langle [\hat{\pi}, \hat{H}] \rangle \\ &= \left\langle -q \frac{\partial \vec{A}}{\partial t} \right\rangle + \frac{1}{i\hbar} \langle [\hat{p}, q\Phi] \rangle + \frac{1}{i\hbar} \langle [\hat{p}, -\frac{q}{m} \vec{A} \cdot \hat{p}] \rangle + \frac{1}{i\hbar} \langle [\hat{p}, \frac{q^2}{2m} |\vec{A}|^2] \rangle + \frac{1}{i\hbar} \langle [-q\vec{A}, \frac{|\vec{p}|^2}{2m}] \rangle \\ &+ \frac{1}{i\hbar} \langle [-q\vec{A}, -\frac{q}{m} \vec{A} \cdot \hat{p}] \rangle \end{aligned}$$

$$\left\langle -q \frac{\partial \vec{A}}{\partial t} \right\rangle = q E_T \quad \frac{1}{i\hbar} \langle [\hat{p}, q\Phi] \rangle = -q\vec{V} = q E_L \quad \frac{1}{i\hbar} \langle [\hat{p}, -\frac{q}{m} \vec{A} \cdot \hat{p}] \rangle = \frac{1}{i\hbar} \langle [-qik \frac{\partial}{\partial z}, ik \frac{\partial}{\partial z}] \rangle$$

$$\frac{1}{i\hbar} \langle [\hat{p}, \frac{q^2}{2m} |\vec{A}|^2] \rangle = \left\langle \frac{q^2}{m} A_x \frac{\partial A_x}{\partial z} \right\rangle$$

$$\begin{aligned} \frac{1}{i\hbar} \langle [-q\vec{A}, \frac{|\vec{p}|^2}{2m}] \rangle &= \frac{1}{i\hbar} \langle [-q\hat{i}_x A_x, -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2}] \rangle = \left\langle -\frac{q}{2m} \hat{i}_x \hat{p}_x \frac{\partial A_x}{\partial z} \right\rangle \\ \frac{1}{i\hbar} \langle [-q\vec{A}, -\frac{q}{m} \vec{A} \cdot \hat{p}] \rangle &= \langle -q\hat{i}_x A_x, \frac{q}{m} A_x \frac{\partial}{\partial x} \rangle = 0 + \left\langle -\frac{q}{2m} \hat{i}_x \frac{\partial A_x}{\partial z} \hat{p}_x \right\rangle \end{aligned}$$

$$\begin{aligned} \frac{d}{dt} \langle \hat{\pi} \rangle &= q E_T + q E_L + \left\langle \hat{i}_z \frac{\partial A_x}{\partial z} \frac{q}{m} \hat{p}_x \right\rangle + \left\langle -\frac{q^2}{m} A_x \frac{\partial^2}{\partial z^2} \right\rangle + \left\langle \frac{q}{2m} \hat{i}_x \hat{p}_z \frac{\partial A_x}{\partial z} \right\rangle \\ &+ \left\langle -\frac{q}{2m} \hat{i}_x \frac{\partial A_x}{\partial z} \hat{p}_x \right\rangle \end{aligned}$$

$$E = E_L + E_T$$

$$B = \nabla \times A = \begin{vmatrix} \hat{i}_x & \hat{i}_y & \hat{i}_z \\ \frac{\partial}{\partial x} & \frac{\partial}{\partial y} & \frac{\partial}{\partial z} \\ A_x(z) & 0 & 0 \end{vmatrix} = \hat{i}_y \frac{\partial A_x}{\partial z}$$

quantum mechanical version:

$$\begin{aligned} \frac{1}{2} \left( \frac{\hat{p} - q\vec{A}}{m} \times B - B \times \frac{\hat{p} - q\vec{A}}{m} \right) &= \frac{1}{2} \left( \hat{i}_z \frac{\hat{p}_x - qA_x}{m} B_y - \hat{i}_x \frac{\hat{p}_z}{m} B_y \right) \\ &- \frac{1}{2} \left( -\hat{i}_z B_y \frac{\hat{p}_x - qA_x}{m} + \hat{i}_x B_y \frac{\hat{p}_z}{m} \right) = \hat{i}_z \frac{\hat{p}_x - qA_x}{m} B_y - \hat{i}_x \frac{\hat{p}_z}{2m} B_y + \hat{i}_x B_y \frac{\hat{p}_z}{2m} \end{aligned}$$

Based on this, we conclude that Ehrenfest's theorem is consistent with

$$\frac{d}{dt} \langle \hat{\pi} \rangle = q E + \left\langle \frac{1}{2} \left( \frac{\hat{p} - q\vec{A}}{m} \times B - B \times \frac{\hat{p} - q\vec{A}}{m} \right) \right\rangle$$

$$\text{or } \frac{d}{dt} \langle \hat{\pi} \rangle = q E + \left\langle \frac{1}{2} \left( \frac{\hat{\pi}}{m} \times B - B \times \frac{\hat{\pi}}{m} \right) \right\rangle$$

overall, we can write

$$m \frac{d^2}{dt^2} \langle \vec{r} \rangle = q E + \left\langle \frac{1}{2} \left( \frac{\hat{\pi}}{m} \times B - B \times \frac{\hat{\pi}}{m} \right) \right\rangle$$

A particle is trapped in an elongated well to which the time-independent Schrödinger equation applies:  $E\tilde{\Psi}(x,y,z) = \left[-\frac{\hbar^2\nabla^2}{2m} + V(x,y,z)\right]\Psi(x,y,z)$

$$V(x,y,z) = \begin{cases} A_z & 0 < x < bz, 0 < y < bz, z > 0 \\ \infty & \text{otherwise} \end{cases}$$

Assume an adiabatic sol'n of form:  $\tilde{\Psi}(x,y,z) = \rho(x,y,z)\psi(z)$

a) The adiabatic wavefunction  $\psi(x,y,z)$  satisfies a parameterized Schrödinger eqn of the form:  $\hat{E}_{xy}(z)\psi(x,y,z) = \hat{H}_{xy}(x,y,z)\psi(x,y,z)$

Find an expression for  $\hat{H}_{xy}(x,y,z)$ .

We take  $z$  as a parameter & write

$$\hat{H}_{xy}(x,y,z) = -\frac{\hbar^2}{2m}\left[\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2}\right] + V(x,y,z) \quad \text{where } V(x,y,z) = V(x,y,z)$$

b) Determine  $\psi(x,y,z)$  &  $E_{xy}(z)$  assuming the particle is in adiabatic ground state.

$$E_{xy}(z)\psi(x,y,z) = -\frac{\hbar^2}{2m}\left[\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2}\right]\psi(x,y,z) + V(x,y,z)\psi(x,y,z)$$

$$\psi(x,y,z) = \frac{1}{bz}\sin\left(\frac{\pi x}{bz}\right)\sin\left(\frac{\pi y}{bz}\right) \quad E_{xy}(z) = \frac{\hbar^2\pi^2}{2m(bz)^2}$$

c) In the adiabatic approximation  $H(z)$  satisfies eqn of form:  $E\tilde{\Psi}(z) = \hat{H}_z(z)\tilde{\Psi}(z)$

Find  $\hat{H}_z(z)$

$$E\tilde{\Psi}(z) = \left[-\frac{\hbar^2}{2m}\frac{d^2}{dz^2} + A_z + E_{xy}(z)\right]\tilde{\Psi}(z)$$

$$\hat{H}_z(z) = \frac{-\hbar^2}{2m}\frac{d^2}{dz^2} + A_z + E_{xy}(z) = -\frac{\hbar^2}{2m}\frac{d^2}{dz^2} + A_z + 2\frac{\hbar^2\pi^2}{2m(bz)^2}$$

d) Find approximate shifted SHO sol'n for  $\psi(z)$  & for  $E$ .

Taylor series for potential ~~at~~ in  $z$  around minimum:

$$\frac{d}{dz}V_z(z) = 0 = \frac{d}{dz}[A_z + E_{xy}(z)] = \frac{d}{dz}[A_z + z\frac{\hbar^2\pi^2}{2m(bz)^2}]$$

$$\Rightarrow z_0 = \left(\frac{2\hbar^2\pi^2}{Am^2}\right)^{1/3}$$

Taylor series in general away from  $z=0$ :  $V_z(z) = V_z(z_0) + (z-z_0)\frac{dV_z}{dz}\Big|_{z=z_0} + \frac{1}{2}(z-z_0)^2\frac{d^2V_z}{dz^2}\Big|_{z=z_0} + \dots$

$$\hat{H}_z(z) = -\frac{\hbar^2}{2m}\frac{d^2}{dz^2} + V_z(z_0) + \frac{1}{2}(z-z_0)^2\frac{d^2V_z}{dz^2}\Big|_{z=z_0}$$

define shifted variable  $z' = z - z_0$

$$m\omega_0^2 = \frac{d^2V_z}{dz^2}\Big|_{z=z_0} = \frac{d^2V_z}{dz^2}\Big|_{z=z_0}$$

$$\Rightarrow \psi(z) = \left[\frac{m\omega_0}{\hbar}\right]^{1/4} \exp\left\{-\frac{m\omega_0}{2\hbar}(z')^2\right\} \quad \text{with } E = V_z(z_0) + \frac{1}{2}m\omega_0^2$$

To find explicit expression for  $V_z(z_0)$  &  $\frac{d^2V_z}{dz^2}\Big|_{z=z_0}$ , we write:

$$V_z(z_0) = A_{z_0} + \frac{\hbar^2\pi^2}{mb^2z_0^2} = A_{z_0}\left(\frac{2\hbar^2\pi^2}{Am^2}\right)^{1/3} + \frac{\hbar^2\pi^2}{mb^2}\left(\frac{Am^2}{2\hbar^2\pi^2}\right)^{1/3} = (\dots) = 3A\left(\frac{Am^2}{2\hbar^2\pi^2}\right)^{1/3}$$

$$m\omega_0^2 = \frac{d^2V_z}{dz^2}\Big|_{z=z_0} = 3A\left(\frac{Am^2}{2\hbar^2\pi^2}\right)^{1/3}$$

$$\omega_0 = \sqrt{\frac{1}{m}\frac{d^2V_z}{dz^2}\Big|_{z=z_0}} = \sqrt{\frac{3A}{m}\left(\frac{Am^2}{2\hbar^2\pi^2}\right)^{1/3}} = \left(\frac{27}{2}\right)^{1/6} \left[\frac{A^2b}{\pi m}\right]^{1/3}$$

a) Determine the ground state energy for an electron with effective mass  $m_e$  in a spherical quantum well of radius  $R$ .

Solve as shown for a one-dimensional  $\hat{x}$  well:

$$\frac{\hbar^2\pi^2}{2m_e R^2}$$

b) Estimate the total energy for two electrons in the ground state of the same quantum well. (Coulomb interaction!)  $V_C = \frac{e^2}{4\pi\epsilon_0 r_1 r_2}$

$$\text{arbitrary estimate for } |r_1 - r_2| \approx \frac{3R}{2}$$

$$E = 2E[1s] + \frac{e^2}{6\pi\epsilon_0 R} = \frac{\hbar^2\pi^2}{6m_e R^2} + \frac{e^2}{6\pi\epsilon_0 R}$$

$$\frac{e^2}{4\pi\epsilon_0 \frac{3R}{2}} = \frac{e^2}{6\pi\epsilon_0 R}$$

c) The ground state energy for the two-electron ground state in the independent particle approximation can be written in the form

$$E_t = 2I[1s] + D[1s, 1s]$$

Find  $I[1s]$  &  $D[1s, 1s]$

$$I[1s] = \frac{\hbar^2\pi^2}{2m_e R^2} \quad \text{with definition } \phi_{1s}(r) = \frac{P_{1s}(r)}{1+\pi r}$$

$$D[1s, 1s] = \iint \phi_{1s}(r_1) \phi_{1s}(r_2) \frac{e^2}{4\pi\epsilon_0 |r_1 - r_2|} \phi_{1s}(r_1) \phi_{1s}(r_2) d^3r_1 d^3r_2$$

$$= \frac{e^2}{4\pi\epsilon_0} \sum_{lm} \frac{4\pi}{2l+1} \int_0^R dr_1 \int_0^R dr_2 P_{ls}(r_1) P_{ls}(r_2) \left(\frac{r_1^l}{r_1^{2l+1}}\right) \int_0^{2\pi} \int_0^\pi Y_{lm}(\theta_1, \phi_1) d\theta_1 d\phi_1$$

$$\times \int_0^{2\pi} \int_0^\pi Y_{lm}(\theta_2, \phi_2) d\theta_2 d\phi_2 = \frac{e^2}{4\pi\epsilon_0} \int_0^R dr_1 \int_0^R dr_2 P_{ls}(r_1) P_{ls}(r_2) \frac{1}{r_1}$$

d) For the Coulomb potential,  $2s$  state has same energy as  $2p$  state

Would you expect the  $1s^2$  states to have higher or lower energy than  $1s^2p$  states?

• For Coulomb potential,  $2s$  state has same energy as  $2p$  state.

• potential in this prob. is flat  $\approx$  Coulomb potential w/  $r$  goes closer to origin altered

•  $2s$  state is closer to origin, energy lowered in this prob. & is higher than that of  $2p$  state

• we expect  $1s^2$  to have higher energy than  $1s^2p$  state.