

Algebraically Structured LWE, Revisited

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Outline

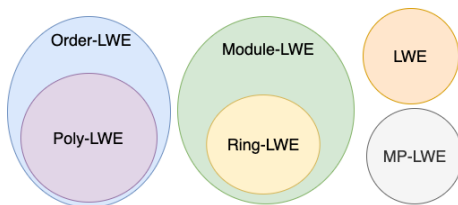
- 1 Introduction
- 2 Algebraic Number Theory
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- 4 \mathcal{L} -LWE
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Background

Regev proposed the **original LWE** [Reg09]

- Average-case to worst-case security
- Impractical efficiency

Structured LWEs are LWEs with special structure on matrix A

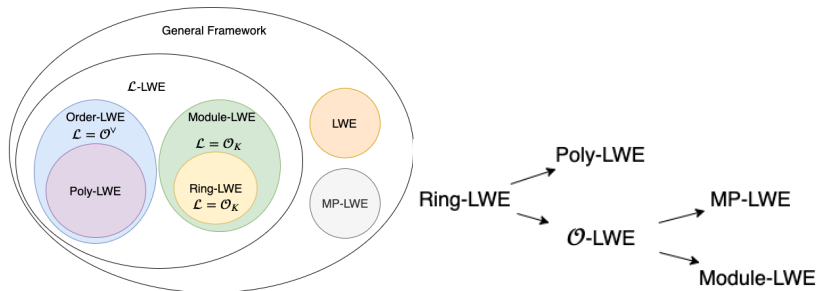


Advantage: improved efficiency

Disadvantages: complex security reduction

Contribution of this Paper

- A **framework** that encompasses **ALL** structured LWEs
- A **new LWE** that **generalizes** algebraic LWEs
- Use the framework to give much **simpler**, **more general**, and **tighter** reductions from Ring-LWE to other algebraic LWE variants



Algebraic Number Theory

Let K be a **field extension** of \mathbb{Q}

- Let degree- d polynomial $f(x) \in \mathbb{Q}[X]$ **irreducible** over \mathbb{Q}
- Let $\alpha \notin \mathbb{Q}$ be a **root** of $f(x)$
- $K = \mathbb{Q}(\alpha)$ is the **minimal field** that contains α

Example:

- $f(x) = x^2 - 2$ is irreducible over \mathbb{Q}
- $\sqrt{2} \notin \mathbb{Q}$ is a root of $f(x)$
- $K = \mathbb{Q}(\sqrt{2}) = \{a + b\sqrt{2}\}_{a,b \in \mathbb{Q}}$

Algebraic Number Theory

Given a **basis** $\vec{b} = (b_1, b_2, \dots, b_d) \in K$, K is isomorphic to a d -dimensional **vector space** over \mathbb{Q}

- $(1, \sqrt{2})$ is a basis of $\mathbb{Q}(\sqrt{2})$

For any $x \in K$, x is identified with a **map** $\phi_x : K \rightarrow K$ that is **multiplication by x**

- $\phi_x(y) = x \cdot y$
- ϕ_x is linear, **given a basis \vec{b} , ϕ_x is identified with a matrix M_x**
- For **different** basis, M_x **varies**, but $\text{Tr}(M_x)$ and $\det(M_x)$ are **invariant**
- Therefore, $\text{Tr}_{K/\mathbb{Q}}(x) := \text{Tr}(M_x)$ and $N_{K/\mathbb{Q}}(x) := \det(M_x)$, called the **trace** and **norm** of x , are **well defined**

Algebraic Number Theory

A **lattice** $\mathcal{L} \subseteq K$ is a **discrete, additive subgroup** of K

An **order** $\mathcal{O} \subseteq K$ is **both** a **lattice** and a **subring with unity** in K

- The **ring of integers** \mathcal{O}_K is the **maximal order** in K
 - The **coefficient ring** of \mathcal{L} is $\mathcal{O}^{\mathcal{L}} := \{x \in K : x\mathcal{L} \subseteq \mathcal{L}\}$ which is **also an order** of K
 - If \mathcal{L} is itself an order \mathcal{O} , then $\mathcal{O}^{\mathcal{L}} = \mathcal{O}$
-

An n -dimensional **\mathcal{L} lattice** admits a **\mathbb{Z} -basis** $\vec{b} = (b_1, \dots, b_n)$ in K

- The **dual lattice** of \mathcal{L} is $\mathcal{L}^{\vee} := \{x \in K : \text{Tr}_{K/\mathbb{Q}}(x\mathcal{L}) \subseteq \mathbb{Z}\}$
- The **dual basis** $\vec{b}^{\vee} := (b_1^{\vee}, \dots, b_n^{\vee})$ where $\text{Tr}_{K/\mathbb{Q}}(b_i \cdot b_j) = \delta_{ij}$ **is a basis of \mathcal{L}^{\vee}**
- $\mathcal{O}^{\mathcal{L}} = \mathcal{O}^{\mathcal{L}^{\vee}}$

Algebraic Number Theory

\mathcal{L}_q is the quotient group $\mathcal{L}/q\mathcal{L}$, similarly $\mathcal{L}_q^\vee := \mathcal{L}^\vee/q\mathcal{L}^\vee$

Field tensor product $K_{\mathbb{R}} := K \otimes_{\mathbb{Q}} \mathbb{R}$ is the real analog of K/\mathbb{Q}

- If $f(x)$ has s_1 real roots and s_2 conjugate pairs of complex roots, then $K_{\mathbb{R}} \simeq \mathbb{R}^{s_1} \times \mathbb{C}^{s_2}$

General Framework

A **module** of ring \mathcal{R} is a group M operated by \mathcal{R} such that

$$(a + b)x = ax + bx \quad a(x + y) = ax + ay \quad \forall a, b \in \mathcal{R}, x, y \in M$$

- A **free module** is a **module** that admits a **basis**
- **Free module** over rings is **analogous** of **vector space** over fields

General Framework

Observation: the **secret** s , **public multipliers** a and **product** $s \cdot a$ can be viewed as belonging to **free modules** M_s , M_a and M_b over some **commutative ring** \mathcal{R} respectively.

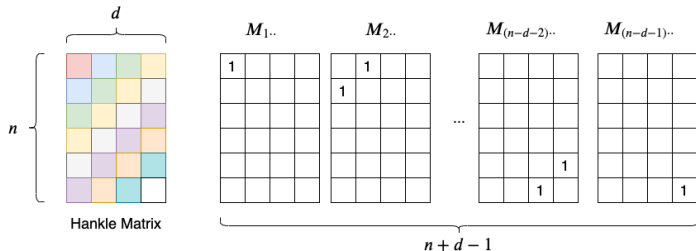
Multiplication: The multiplication is **generalized to** a fixed **\mathcal{R} -bilinear map** $T : M_s \times M_a \rightarrow M_b$.

- T can be represented by a **order-three tensor** (like a three-dimensional matrix)

| Variant | M_s | M_a | M_b | T | |
|----------|------------------------|------------------|------------------|---------------|---------------------|
| LWE | \mathbb{Z}_q^n | \mathbb{Z}_q^n | \mathbb{Z}_q | Inner product | |
| Ring-LWE | R_q^\vee | R_q | R_q^\vee | Field mult | $R = \mathcal{O}_K$ |
| MP-LWE | \mathbb{Z}_q^{n+d-1} | \mathbb{Z}_q^n | \mathbb{Z}_q^d | Hankle matrix | |

General Framework

For MP-LWE, the bilinear map $M : \mathbb{Z}_q^{n+d-1} \times \mathbb{Z}_q^n \rightarrow \mathbb{Z}_q^d$ corresponds to a $(n+d-1) \times n \times d$ tensor M , where the $M_{i..}$'s form a **basis of Hankle matrix**



\mathcal{L} -LWE

Given K/\mathbb{Q} of degree n

- \mathcal{L} a lattice in K
- $\mathcal{O}^{\mathcal{L}}$ coefficient ring of \mathcal{L}
- ψ distribution over $K_{\mathbb{R}}$
- q, k positive integers
- $\vec{s} \in (\mathcal{L}_q^{\vee})^k$ is secret vector

An \mathcal{L} -LWE distribution $A_{q,\psi}^{\mathcal{L},k}(\vec{s})$ over $(\mathcal{O}_q^{\mathcal{L}})^k \times K_{\mathbb{R}}/q\mathcal{L}^{\vee}$ is sampled by

- choosing uniformly $\vec{a} \leftarrow (\mathcal{O}_q^{\mathcal{L}})^k$
- choose $e \leftarrow \psi$
- output $(a, b = \langle \vec{s}, \vec{a} \rangle + e \bmod q\mathcal{L}^{\vee})$

\mathcal{L} -LWE

The **decision \mathcal{L} -LWE $_{q,\psi,\ell}^k$ problem** is to distinguish between

- ℓ samples from $A_{q,\psi}^{\mathcal{L},k}(\vec{s})$ where $\vec{s} \leftarrow U((\mathcal{L}_q^\vee)^k)$; and
- ℓ samples from **uniform distribution over $(\mathcal{O}_q^\mathcal{L})^k \times K_{\mathbb{R}}/q\mathcal{L}^\vee$**

The **search \mathcal{L} -LWE $_{q,\psi,\ell}^k$ problem** is given ℓ samples from $A_{q,\psi}^{\mathcal{L},k}(\vec{s})$ for arbitrary $\vec{s} \in U((\mathcal{L}_q^\vee)^k)$, find \vec{s}

\mathcal{L} -LWE

\mathcal{L} -LWE generalizes the algebraic number field LWEs. Variants differ in specific choices of

- The lattice \mathcal{L}
- The dimension k

| LWE Variants | \mathcal{L} | \mathcal{L}_q^\vee | $\mathcal{O}_q^\mathcal{L}$ | k |
|--------------|-------------------------------|------------------------|-----------------------------|-----|
| Ring-LWE | $\mathcal{R} = \mathcal{O}_K$ | \mathcal{R}_q^\vee | \mathcal{R}_q | 1 |
| Module-LWE | $\mathcal{R} = \mathcal{O}_K$ | \mathcal{R}_q^\vee | \mathcal{R}_q | k |
| Poly-LWE | $\mathbb{Z}[\alpha]^\vee$ | $\mathbb{Z}_q[\alpha]$ | $\mathbb{Z}_q[\alpha]$ | 1 |
| Order-LWE | \mathcal{O} | \mathcal{O}_q^\vee | \mathcal{O}_q | 1 |

Reduction from \mathcal{L} -LWE to \mathcal{L}' -LWE

Lemma 4.1

Let $\mathcal{L}' \subseteq \mathcal{L}$ be lattices in number field K , q positive integer. The **natural inclusion map** $h : \mathcal{L}'_q \rightarrow \mathcal{L}_q$ is a **bijection** iff q is **coprime** with $|\mathcal{L}/\mathcal{L}'|$. In this case, h is **efficiently computable and invertible** given arbitrary basis of \mathcal{L}' relatively a basis of \mathcal{L} .

The **natural inclusion map** $\mathcal{L}'_q \rightarrow \mathcal{L}_q$ sends $x + q\mathcal{L}'$ to $x + q\mathcal{L}$.

Proof:

- ① Let \vec{b}, \vec{b}' be \mathbb{Z} -basis of $\mathcal{L}, \mathcal{L}'$, $\vec{b}' = T\vec{b}$, T is **square integral matrix**
- ② x' has coordinate \vec{x}' in $\vec{b}' \Rightarrow x = h(x')$ has coordinate $\vec{x} = T^t \vec{x}'$ in \vec{b}
- ③ h is **bijection** $\Leftrightarrow T$ is **invertible** over $\mathbb{Z}_q \Leftrightarrow |\det(T)| = |\mathcal{L}/\mathcal{L}'|$ **coprime** with q

Reduction from \mathcal{L} -LWE to \mathcal{L}' -LWE

Lemma 4.2

Let $\mathcal{L}' \subseteq \mathcal{L}$ be lattices in number field K , q positive integer **coprime** with $|\mathcal{L}/\mathcal{L}'|$. If $\mathcal{O}^{\mathcal{L}'} \subseteq \mathcal{O}^{\mathcal{L}}$ then the **natural inclusion map** $g : \mathcal{O}_q^{\mathcal{L}'} \rightarrow \mathcal{O}_q^{\mathcal{L}}$ is a **bijection**

Proof:

- 1 For any $a \in \mathcal{O}_q^{\mathcal{L}'}$, $x \in \mathcal{L}'_q$, $h(a \cdot x) = g(a) \cdot h(x)$
- 2 For any $a, b \in \mathcal{O}_q^{\mathcal{L}'}$ satisfying $g(a) = g(b)$, $h(a \cdot x) = h(b \cdot x)$
- 3 By Lemma 4.1, h is bijection, so $a \cdot x = b \cdot x \bmod q\mathcal{L}'$
- 4 $(a - b) \cdot \mathcal{L}' \subseteq q\mathcal{L}' \Rightarrow a - b \in q\mathcal{O}^{\mathcal{L}'} \Rightarrow a = b \bmod q\mathcal{O}^{\mathcal{L}'}$

Reduction from \mathcal{L} -LWE to \mathcal{L}' -LWE

Theorem 4.3

Let $\mathcal{L}' \subseteq \mathcal{L}$ be lattices in number field K , q positive integer, ψ distribution over $K_{\mathbb{R}}$. If $\mathcal{O}^{\mathcal{L}'} \subseteq \mathcal{O}^{\mathcal{L}}$ and the natural inclusion map $g : \mathcal{O}_q^{\mathcal{L}'} \rightarrow \mathcal{O}_q^{\mathcal{L}}$ is efficiently invertible bijection, there is an efficient deterministic transform:

- 1 maps uniform distribution over $(\mathcal{O}_q^{\mathcal{L}})^k \times K_{\mathbb{R}}/q\mathcal{L}^{\vee}$ to uniform distribution over $(\mathcal{O}_q^{\mathcal{L}'})^k \times K_{\mathbb{R}}/q(\mathcal{L}')^{\vee}$
- 2 maps \mathcal{L} -LWE distribution $A_{q,\psi}^{\mathcal{L}}(s)$ to \mathcal{L}' -LWE distribution $A_{q,\psi}^{\mathcal{L}'}(s')$ where $s = s' \bmod q(\mathcal{L}')^{\vee}$

Reduction from \mathcal{L} -LWE to \mathcal{L}' -LWE

Proof:

- The transformation is: given $(a, b) \in (\mathcal{O}_q^{\mathcal{L}})^k \times K_{\mathbb{R}}/q\mathcal{L}^{\vee}$, output

$$(a' = g^{-1}(a), b' = b \bmod q(\mathcal{L}')^{\vee})$$

- g is bijection, so g sends uniform a to uniform a' ;
 $\mathcal{L}' \subseteq \mathcal{L} \Rightarrow q\mathcal{L}^{\vee} \subseteq q(\mathcal{L}')^{\vee}$, so b' is uniform
- To show that if $b = s \cdot a + e \bmod q\mathcal{L}^{\vee}$ then $b' = s' \cdot a' + e \bmod q(\mathcal{L}')^{\vee}$

$$\begin{aligned} a \cdot s &= a' \cdot s + q(\mathcal{O}^{\mathcal{L}} \cdot s) \\ &\subseteq a' \cdot s + q\mathcal{L}^{\vee} \\ &\subseteq a' \cdot (s' + q(\mathcal{L}')^{\vee}) + q\mathcal{L}^{\vee} \\ &\subseteq a' \cdot s' + q(\mathcal{L}')^{\vee} \end{aligned}$$

Reduction from \mathcal{L} -LWE to \mathcal{L}' -LWE

Corollary 4.4

Let $\mathcal{L}' \subseteq \mathcal{L}$ be lattices in number field K , q positive integer coprime with $|\mathcal{L}/\mathcal{L}'|$, ψ distribution over $K_{\mathbb{R}}$. If $\mathcal{O}^{\mathcal{L}'} \subseteq \mathcal{O}^{\mathcal{L}}$ and the bases of \mathcal{L}' , $\mathcal{O}^{\mathcal{L}'}$ relative to bases of \mathcal{L} , $\mathcal{O}^{\mathcal{L}}$ are known. Then there is an **efficient deterministic reduction** from \mathcal{L} -LWE $_{q,\psi,\ell}$ to \mathcal{L}' -LWE $_{q,\psi,\ell}$ for both the search and decision versions.

Proof:

- By Lemma 4.1, 4.2, the **natural inclusion maps** h, g are efficiently **computable and invertible bijections**
- For **decision problems**, use the **transform** from Theorem 4.3 to map the input samples
- For **computation problems**, apply the transform and recover s from s' by $s = h^{-1}(s')$

Reduction from \mathcal{O} -LWE to MP-LWE

Definition 5.1

A **tweaked power basis** of order \mathcal{O} of a number field is a \mathbb{Z} -basis \vec{p} of the form $t \cdot (1, x, \dots, x^{d-1})$ for some $t, x \in \mathcal{O}$

Theorem 5.2

Let $d \leq n$ be positive integers, \mathcal{O} be an **order** of a degree- d number field K with a **tweaked power basis** \vec{p} , ψ be a **distribution** over $K_{\mathbb{R}}$, and q a positive integer. There is an **efficient randomized transform** which:

- ① maps **uniform distribution** over $\mathcal{O}_q \times K_{\mathbb{R}}/q\mathcal{O}^{\vee}$ to **uniform distribution** over $\mathbb{Z}_q^n \times (\mathbb{R}/q\mathbb{Z})^d$
- ② maps **\mathcal{O} -LWE distribution** $A_{q,\psi}^{\mathcal{O}}(s)$ to **MP-LWE distribution** $C_{n,d,q,\psi'}(\vec{s}')$ where \vec{s}' is some **fixed linear function** (depending only on \vec{p}) of s , and $\psi' = \text{Tr}_{K_{\mathbb{R}}/\mathbb{R}}(\psi \cdot \vec{p})$

In particular, there is an **efficient randomized reduction** from (search or decision) \mathcal{O} -LWE $_{q,\psi,\ell}$ to (search or decision, respectively) MP-LWE $_{n,d,q,\psi',\ell}$.

Reduction from \mathcal{O} -LWE to MP-LWE

Proof:

- Extend \vec{p} to **generating set** \vec{p}' of size n by **including more powers of x**
- The transform maps (a, b) to (\vec{a}, \vec{b}) where
 - ▶ \vec{a} is **uniform random solution** to $\langle \vec{p}', \vec{a} \rangle = a$
 - ▶ \vec{b} is $\text{Tr}_{K_{\mathbb{R}}/\mathbb{R}}(b \cdot \vec{p})$
- **Uniform case:**
 - ▶ The solutions to $\langle \vec{p}', \vec{a} \rangle = 0 \in \mathcal{O}_q$ form a subgroup $G \subseteq \mathbb{Z}_q^n$, a uniformly random $a \in \mathcal{O}_q$ corresponds to a uniformly random coset of G
 - ▶ $\text{Tr}_{K_{\mathbb{R}}/\mathbb{R}}(b \cdot \vec{p})$ is the coordinate of b under basis \vec{p}' , which is an \mathbb{R} -basis of $K_{\mathbb{R}}$
- **LWE case:**
 - ▶ The \mathcal{O} -LWE bilinear map $T : \mathcal{O}_q^{\vee} \times \mathcal{O}_q \rightarrow \mathcal{O}_q^{\vee}$ corresponds to $(n + d - 1) \times n \times d$ tensor $T_{ijk} = \text{Tr}_{K/\mathbb{Q}}(p_i^{\vee} \cdot p_j' \cdot p_k) \bmod q$, $T_{i..}$ is a $n \times d$ **Hankle matrix**
 - ▶ The MP-LWE bilinear map $M : \mathbb{Z}^{n+d-1} \times \mathbb{Z}^n \rightarrow \mathbb{Z}^d$ corresponds to a tensor M_{ijk} where $M_{i..}$'s form a basis of all Hankle matrices, so there is $(n + d - 1) \times d$ matrix P such that $T_{i..} = \sum_j M_{j..} P_{ji}$

Reduction from \mathcal{O} -LWE to MP-LWE

Proof (Continued):

- For $b = s \cdot a + e \bmod q\mathcal{O}^\vee$, let $\vec{s} = \text{Tr}(s \cdot \vec{p}) \in \mathbb{Z}_q^d$, $\vec{e} = \text{Tr}(e, \vec{p})$, then $\vec{b} = M(P\vec{s}, \vec{a}) + \vec{e} \bmod q\mathbb{Z}^d$
- To apply the transform to get a reduction from \mathcal{O} -LWE to MP-LWE, \vec{s} needs to be **rerandomized**. Choose **uniformly random** $\vec{r} \in \mathbb{Z}_q^{n+d-1}$, and **replace** sample (\vec{a}, \vec{b}) with $(\vec{a}, \vec{b} + M(\vec{r}, \vec{a}))$
- For decision problem, apply the transform directly
- For search problem, recover the secret of \mathcal{O} -LWE by $s = \langle \vec{p}^\vee, P_L^{-1} \vec{s} \rangle$ where P_L^{-1} is the left inverse of P

Reduction from \mathcal{O} -LWE to MP-LWE

Theorem 5.2 transforms \mathcal{O} -LWE with error distribution ψ to MP-LWE with error distribution ψ' which is related to ψ . However, we want a reduction from many \mathcal{O} -LWE problems to a single MP-LWE problem.

- For ψ being Gaussian distribution over $K_{\mathbb{R}}$, ψ' is Gaussian over \mathbb{R}^n
- Fix some orthogonal \mathbb{R} -basis \vec{b} of $K_{\mathbb{R}}$, let $P_b = \text{Tr}(\vec{b} \cdot \vec{p}^t)$
- If covariance of ψ is Σ , then covariance of ψ' is $\Sigma' = P_b^t \cdot \Sigma \cdot P_b$

Corollary 5.4

Let $d \leq n$ be positive integers, \mathcal{O} be an order of a degree- d number field K with a tweaked power basis \vec{p} , $\Sigma \in \mathbb{R}^{d \times d}$ a positive definite matrix, q a positive integer. For any $\Sigma' \succ P_b^t \cdot \Sigma \cdot P_b$, there is an efficient randomized reduction from (search or decision) \mathcal{O} -LWE $_{q, D_{\sqrt{\Sigma}}, \ell}$ to (search or decision) MP-LWE $_{n, d, q, D_{\sqrt{\Sigma'}}, \ell}$.

In particular, for any $r' > r \cdot \|P_b\|$, there is an efficient randomized reduction from (search or decision) \mathcal{O} -LWE $_{q, D_r, \ell}$ to (search or decision, respectively) MP-LWE $_{n, d, q, D_{r'}, \ell}$.

Reduction from \mathcal{O}' -LWE to \mathcal{O} -LWE^k

Theorem 6.1

Let K'/K be a number field extension; \mathcal{O} be an order of K ; \mathcal{O}' be an order of K' that is a rank- k free \mathcal{O} -module with known basis \vec{b} ; ψ' be a distribution over $K'_{\mathbb{R}}$; and q be a positive integer. There is an **efficient deterministic transform** which:

- 1 maps **uniform distribution** over $\mathcal{O}'_q \times K'_{\mathbb{R}}/q(\mathcal{O}')^{\vee}$ to **uniform distribution** over $\mathcal{O}_q^k \times K_{\mathbb{R}}/q\mathcal{O}^{\vee}$
- 2 maps **\mathcal{O}' -LWE distribution** $A_{q,\psi'}^{\mathcal{O}'}(s')$ to **\mathcal{O} -LWE^k distribution** $A_{q,\psi}^{\mathcal{O},k}(\vec{s})$ for $\vec{s} = \text{Tr}_{K'/K}(s' \cdot \vec{b}) \bmod q\mathcal{O}^{\vee}$ and $\psi = \text{Tr}_{K'_{\mathbb{R}}/K_{\mathbb{R}}}(\psi')$

It immediately follows that there is an **efficient randomized reduction** from (search or decision) \mathcal{O}' -LWE¹ _{q,ψ',ℓ} to (search or decision, respectively) \mathcal{O} -LWE^k _{q,ψ,ℓ} .

Thank you