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# Real Variables: Problem Set X

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## Abstract

This work contains solutions to the problem set X of Real Variables 2015 at NYU.

## 1 Solutions

### Question 1. Royden 13-41.

41. Let  $X$  be the linear space of all polynomials defined on  $\mathbf{R}$ . For  $p \in X$ , define  $\|p\|$  to be the sum of the absolute values of the coefficients of  $p$ . Show that this is a norm on  $X$ . For each  $n$ , define  $\psi_n : X \rightarrow \mathbf{R}$  by  $\psi_n(p) = p^{(n)}(0)$ . Use the properties of the sequence  $\{\psi_n\}$  in  $\mathcal{L}(X, \mathbf{R})$  to show that  $X$  is not a Banach space.

**Solution.** We first show that  $\|\cdot\| : X \rightarrow \mathbb{R}$  given is a norm on  $X$ . First of all, let  $p = 0$ . Then,  $\|p\| = 0$ . Now, let  $p = \sum_{i=0}^n b_i x^i$ , and assume that  $\|p\| = 0$ . It follows that  $\sum_{i=0}^n |b_i| = 0$ . As  $|b_i| \geq 0$  for all  $i$ , we have that  $b_i = 0$  for all  $i$ . Hence,  $p = 0$ . For proving the triangle inequality, let  $p_1 = \sum_{i=0}^{n_1} b_i x^i$  and  $p_2 = \sum_{i=0}^{n_2} c_i x^i$ . Without the loss of generality, we assume that  $n_1 \geq n_2$ , and define  $n = n_1$ ,  $p_1 = \sum_{i=0}^n b_i x^i$  and  $p_2 = \sum_{i=0}^n c_i x^i$ , with  $c_i = 0$  for  $i > n_2$ . By the triangle inequality of reals, it follows that

$$\begin{aligned}\|p_1 + p_2\| &= \left\| \sum_{i=0}^n (b_i + c_i) x^i \right\| \\ &= \sum_{i=0}^n |b_i + c_i| \\ &\leq \sum_{i=0}^n |b_i| + |c_i| \\ &= \sum_{i=0}^n |b_i| + \sum_{i=0}^n |c_i| = \|p_1\| + \|p_2\|.\end{aligned}$$

Now, let  $p = \sum_{i=0}^n b_i x^i$ , and  $\alpha \in \mathbb{R}$ . It follows that

$$\begin{aligned}
\|\alpha p\| &= \left\| \alpha \sum_{i=0}^n b_i x^i \right\| \\
&= \left\| \sum_{i=0}^n \alpha b_i x^i \right\| \\
&= \sum_{i=0}^n |\alpha b_i| \\
&= |\alpha| \sum_{i=0}^n |b_i| = |\alpha| \|p\|.
\end{aligned}$$

Hence, we have shown that  $\|\cdot\|$  given is a norm.

Now, we first show that each operator  $\psi_n$  is bounded, thus continuous. Observe that we can represent an arbitrary polynomial  $p$  uniquely as, for some  $k$ ,  $p = \sum_{i=0}^{\infty} c_i x^i$ , where  $c_i = 0$  for  $i \geq k$ . Fix  $\psi_n$ . Observe that for any  $p$ , we have  $|c_n| \leq \|p\|$ . It follows that

$$\begin{aligned}
|\psi_n(p)| &= |n! \cdot c_n| \\
&= |n!| |c_n| \\
&\leq |n!| \|p\|
\end{aligned}$$

Hence,  $\psi_n$  is bounded, thus continuous for any  $n$ . Note that by taking  $p = x^n$ , we obtain  $n! \leq M$  for any bound  $M$  for  $\psi_n$ . Hence, it follows that  $\|\psi_n\| = n!$ . Again, for any polynomial  $p$ , observe that  $\psi_n(p) = 0$  for  $n > k$ , where  $k$  denotes the degree of the polynomial  $p$ . Consequently, we have

$$\lim_{n \rightarrow \infty} \psi_n(p) = 0,$$

for any  $p$ . Therefore, if  $X$  is Banach, the conditions of the Banach-Saks-Steinhaus theorem is satisfied. However, as  $\|\psi_n\| = n!$ ,  $\{\psi_n\}$  cannot be uniformly bounded. This is a contradiction.  $X$  is not Banach.

**Question 2. Royden 14-18.**

18. Let  $X$  be a normed linear space,  $\psi$  belong to  $X^*$ , and  $\{\psi_n\}$  be in  $X^*$ . Show that if  $\{\psi_n\}$  converges weak-\* to  $\psi$ , then

$$\|\psi\| \leq \limsup \|\psi_n\|.$$

**Solution.** As  $\{\psi_n\}$  is weak-\* convergent to  $\psi$ , we have

$$\psi_n(x) \rightarrow \psi(x),$$

for all  $x \in X$ . Let  $x \in X$ . As  $|\cdot|$  is continuous on  $\mathbb{R}$ , it follows that

$$\lim_{n \rightarrow \infty} |\psi_n(x)| = |\psi(x)|.$$

As  $|\psi_n(x)| \leq \|\psi_n\| \cdot \|x\|$ ,

$$\begin{aligned} |\psi(x)| &= \lim_{n \rightarrow \infty} |\psi_n(x)| \\ &= \limsup_{n \rightarrow \infty} |\psi_n(x)| \\ &\leq \limsup_{n \rightarrow \infty} \|\psi_n\| \cdot \|x\| \\ &= \|x\| \limsup_{n \rightarrow \infty} \|\psi_n\|. \end{aligned}$$

Since  $x \in X$  was arbitrary, it follows that

$$\|\psi\| \leq \limsup_{n \rightarrow \infty} \|\psi_n\|,$$

as desired. □

**Question 3. Royden 14-23.**

23. Let  $Y$  be a linear subspace of a normed linear space  $X$  and  $z$  be a vector in  $X$ . Show that

$$\text{dist}(z, Y) = \sup \{ \psi(z) \mid \|\psi\| = 1, \psi = 0 \text{ on } Y \}.$$

**Solution.** I believe there is an error in this problem.

**Question 4. Royden 15-12.**

12. If  $Y$  is a linear subspace of a Banach space  $X$ , we define the *annihilator*  $Y^\perp$  to be the subspace of  $X^*$  consisting of those  $\psi \in X^*$  for which  $\psi = 0$  on  $Y$ . If  $Y$  is a subspace of  $X^*$ , we define  $Y^0$  to be the subspace of vectors in  $X$  for which  $\psi(x) = 0$  for all  $\psi \in Y$ .

(i) Show that  $Y^\perp$  is a closed linear subspace of  $X^*$ .

(ii) Show that  $(Y^\perp)^0 = \overline{Y}$ .

**Solution.** (i) For  $x \in X$ , let  $Y_x^\perp$  be defined by

$$Y_x^\perp = \{\psi \in X^* \mid \psi(x) = 0\}.$$

As  $\psi \in X^*$ ,  $\psi$  is continuous, hence  $Y_x^\perp$  is closed. Observe that

$$Y^\perp = \bigcap_{x \in Y} Y_x^\perp.$$

Each  $Y_x^\perp$  is closed, since a limit function of continuous functions with respect to the operator norm, will preserve the property that 0 will be achieved at  $x$ . Since an arbitrary intersection of closed sets is closed, we have that  $Y^\perp$  is closed linear subspace of  $X^*$ .

(ii) First, we show that  $(Y^\perp)^0$  is closed. Observe that

$$\begin{aligned} (Y^\perp)^0 &= \bigcap_{\psi \in Y^\perp} \{x \in X \mid \psi(x) = 0\} \\ &= \bigcap_{\psi \in Y^\perp} \psi^{-1}(0). \end{aligned}$$

As  $\psi^{-1}(0)$  is a pre-image of a single point, which is closed in a metric space, of a continuous function, and intersection of closed sets is closed, we have that  $(Y^\perp)^0$  is closed. By definition of  $Y^\perp$ , it follows that  $Y \subseteq (Y^\perp)^0$ , and as  $(Y^\perp)^0$  is closed, we obtain  $\overline{Y} \subseteq (Y^\perp)^0$ . Now, we show that  $(Y^\perp)^0 \subseteq \overline{Y}$  holds. It suffices to show that  $X \setminus \overline{Y} \subseteq X \setminus (Y^\perp)^0$  holds. Let  $x \in X \setminus \overline{Y}$ . Then, we know that there exists  $\psi \in X^*$  such that  $\psi(x) \neq 0$  and  $\ker(\psi)$  contains  $Y$ . Hence,  $x \notin (Y^\perp)^0$ . Therefore, we have shown that  $Y = (Y^\perp)^0$  as desired.  $\square$