# Privacy-Preserving Machine Learning

# Privacy-Preserving Machine Learning What is privacy?

- Database privacy balances (i) "private" functions we wish to hide and (ii) "information" functions whose values we wish to reveal.
- Identification: It is not possible to link someones identity to a database entry
- **Privacy**: when several parties compute a function f with private inputs  $d_1, \ldots, d_n$  privacy is protecting each party's private input so that other parties can not deduce information that is not already deducible from the output  $f(d_1, \ldots, d_n)$

Dinur, I., & Nissim, K. (2003, June). Revealing information while preserving privacy. In Proceedings of the twenty-second ACM SIGMOD-SIGACT-SIGART symposium on Principles of database systems (pp. 202-210).

# Privacy-Preserving Machine Learning An overview of techniques

- Anonymisation
- $\epsilon$ -Differential Privacy
- Secure Multi-Party Computation
- Homomorphic encryption
- Federated Machine Learning

#### Anonymisation

Anonymisation (like removing names and social security numbers) is often not enough to protect privacy since there are other indirectly identifying attributes

Sweeney, L. (2000). Simple demographics often identify people uniquely. Health (San Francisco), 671(2000), 1-34.

#### Anonymisation

ZIP 60602 consisted primarily of a retirement community and there were less than 12 people of an age under 65 living there.

ZIP 60140 is the postal code for Hampshire and there were only two black women who resided in that town.

Likewise, 62052 had only four Asian families.

ZIP	Birth	Gender	Race
60602	7/15/54	m	Caucasian
60140	2/18/49	f	Black
62052	3/12/50	f	Asian

Figure 5 Data that looks anonymous

#### $\epsilon$ -Differential Privacy

- Idea: a persons privacy cannot be compromised if their data are not in the database. We do this by adding noise to the data.
- If the database contains data from a single person, that person's data contributes 100%. If the database contains data from a hundred people, each person's data contributes just 1%
- When a query is made on the data of fewer and fewer people (even with aggregates like average) more noise needs to be added to the query result to produce the same amount of privacy.

Dwork, C., McSherry, F., Nissim, K., & Smith, A. (2006). <u>Calibrating noise to sensitivity in private data analysis</u>. In Theory of Cryptography: Third Theory of Cryptography Conference, TCC 2006, New York, NY, USA, March 4-7, 2006. Proceedings 3 (pp. 265-284). Springer Berlin Heidelberg.



#### *€*-Differential Privacy

$$P[Q(D) \in S] \le e^{\epsilon} P[Q(D') \in S]$$

#### Where

- Q is a query function
- D and D' are two datasets, differing by one element
- S: a possible subset of outputs
- ullet : a parameter representing the privacy loss. Smaller values give greater privacy.

The goal is to ensure that the inclusion or exclusion of a single individual (D vs D') does not significantly affect the output of a computation, thereby preventing the disclosure of any individual's information.

### *€*-Differential Privacy

- . The <u>sensitivity</u> of the query is defined as:  $\Delta f = \max_{D,D'} \|f(D) f(D')\|^2$
- This gives us a guideline for how much noise we should add:

Noise 
$$\sim$$
 Laplace  $\left(\frac{\Delta f}{\epsilon}\right)$ 

Where Laplace refers to the Laplace distribution with pdf:

$$Lap(x \mid b) = \frac{1}{2b} exp\left(-\frac{|x|}{b}\right)$$

#### $\epsilon$ -Differential Privacy

Rules of thumb for choosing  $\epsilon$ 

- Strong privacy: range 0.01 0.1, probably degrade the data
- Moderate privacy: range 0.1 1, some utility loss
- Weaker privacy: range 1 10, better data utility

Downside: there is a trade-off between accuracy and privacy

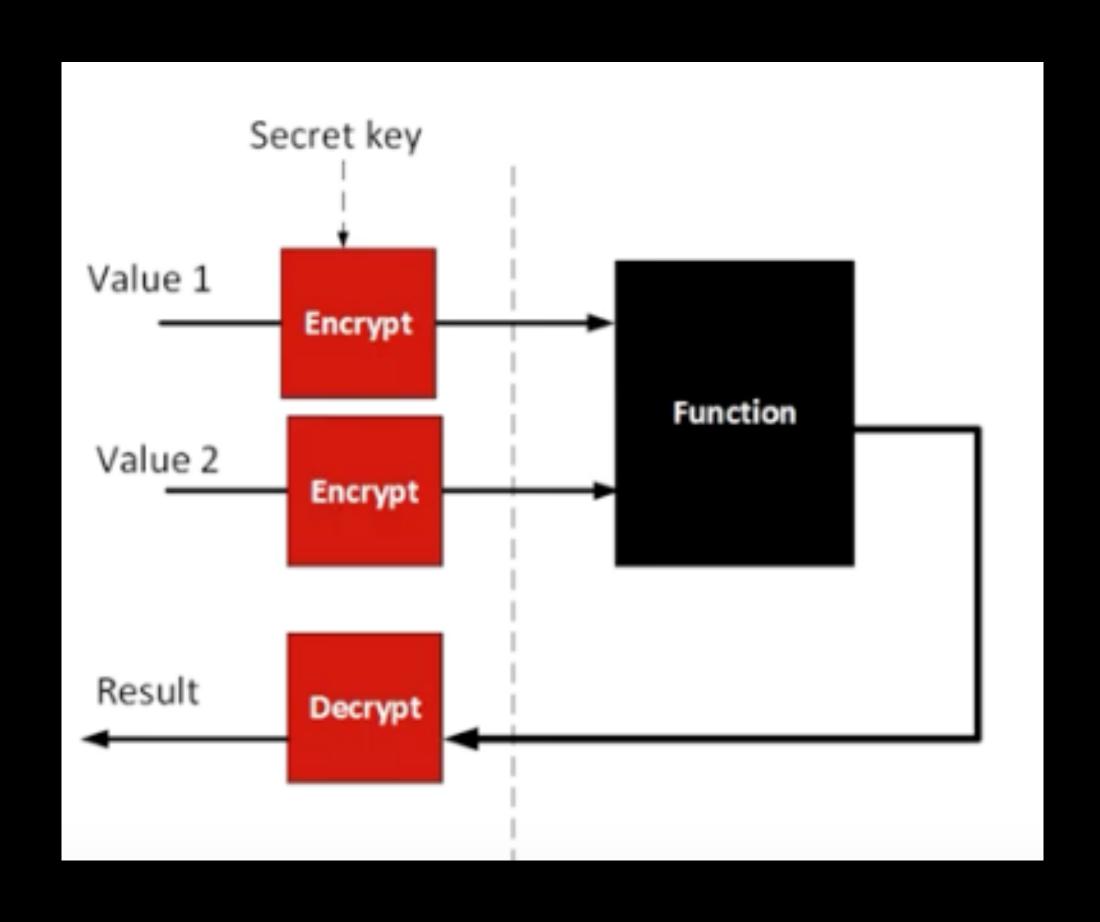
## Secure Multi-Party Computation

- A subfield of cryptography
- Enables multiple parties to compute a function over inputs while keeping those inputs private.
- There is a multitude of cryptographic techniques to achieve this

#### Secure Multi-Party Computation

SMPC uses cryptographic tricks to make it impossible to back-engineer the input from the output. The downside of this approach is that:

- It is not always simple to find encryption schemas for your specific function
- Cryptography is a field on its own and not easy to understand, so you will probably depend on someone else having implemented the functions

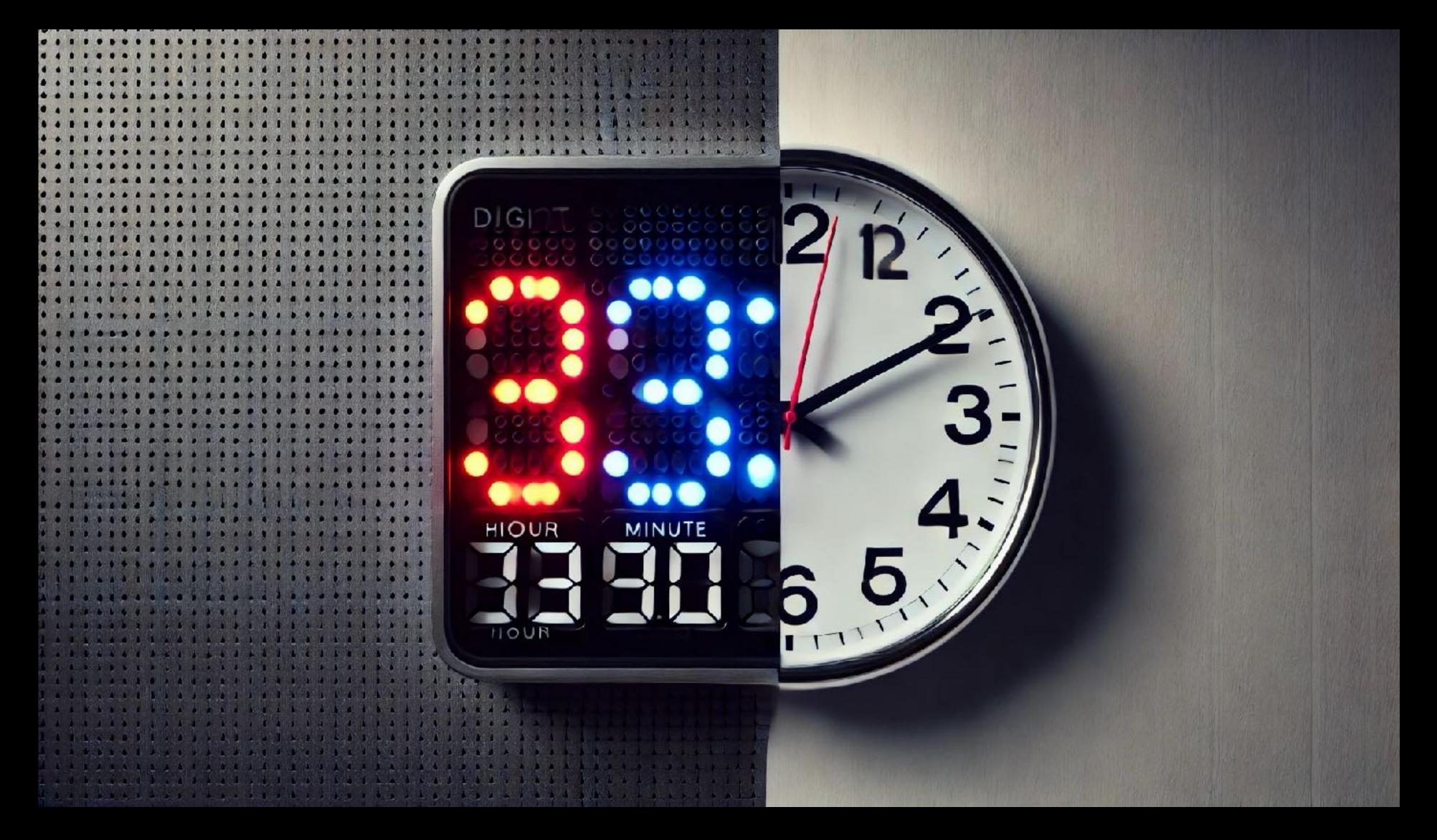


### Homomorphic

Homos: same

Morphe: shape

• Homomorphism: a map preserving all the algebraic structures between the domain an the range of a set.



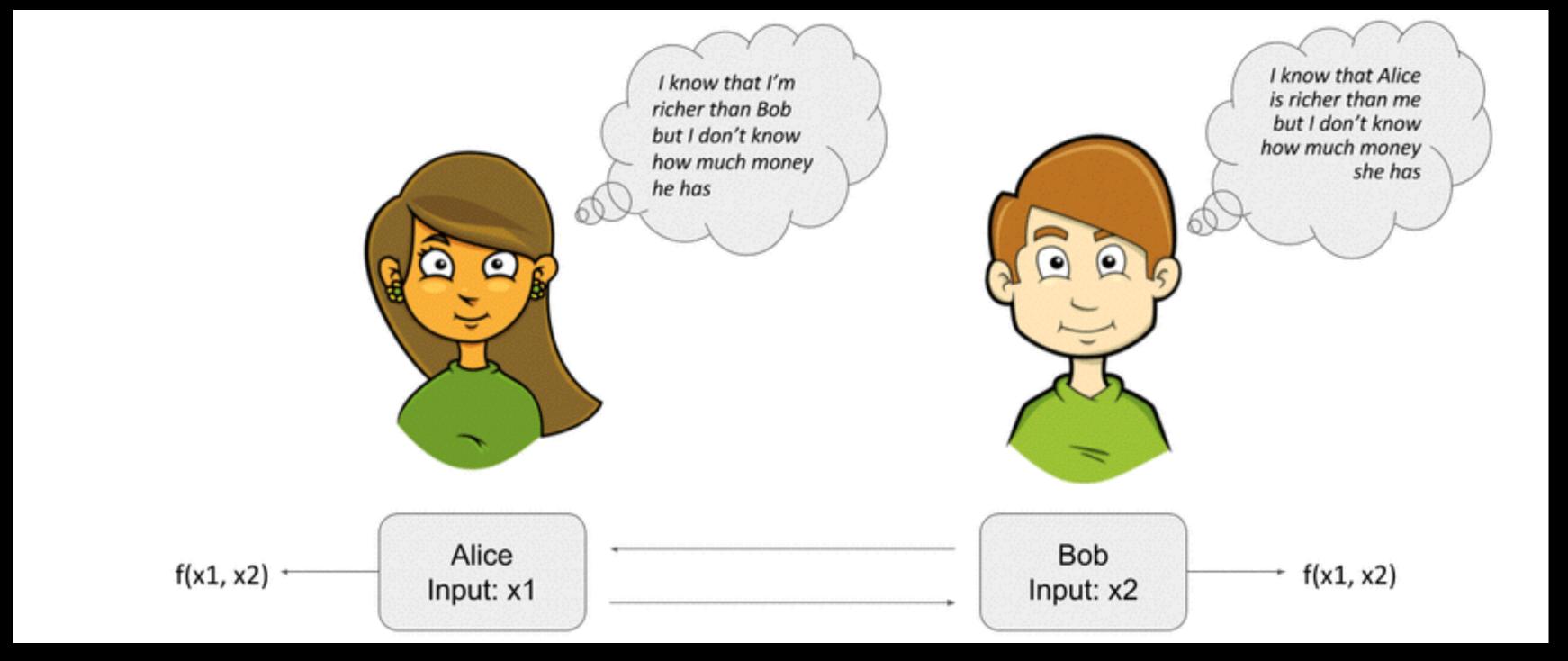
The mapping between the digital and analog time is homomorphic because it preserves the underlying structure (the representation of time) even though the form of representation differs.



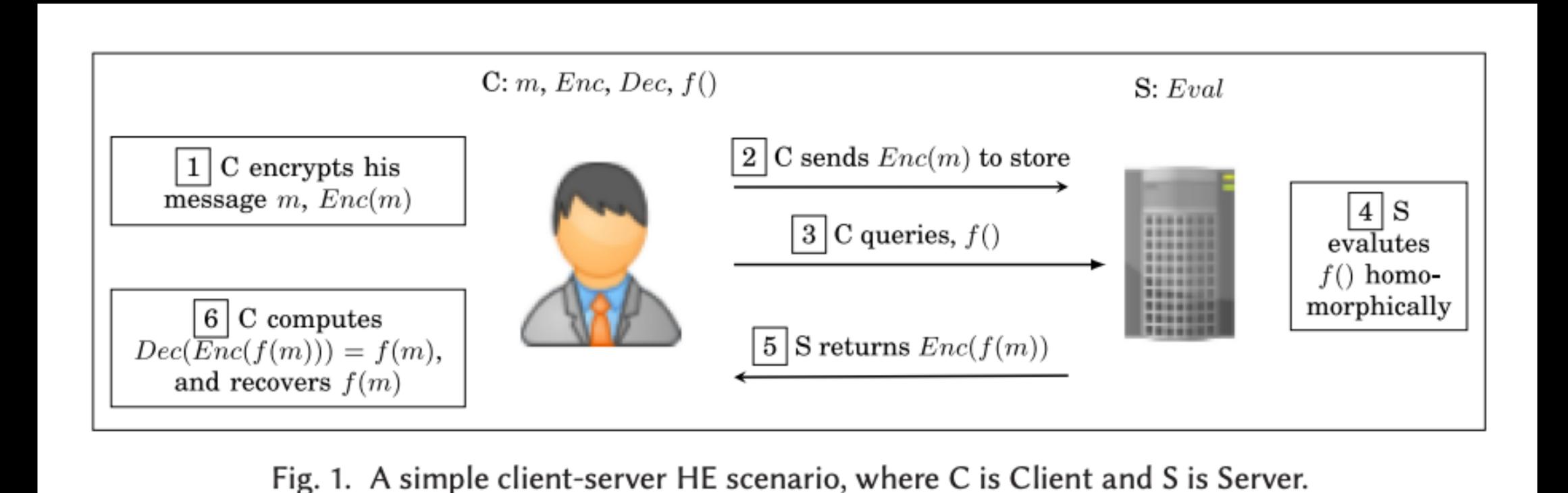
Reading is a homomorphism between ideas

#### Homomorphic Encryption Schemes

Homomorphic encryption allows a third party to perform calculations on the encrypted data while preserving the features of the function and format of the encrypted data



https://www.researchgate.net/figure/Millionaires-problem\_fig1\_320290997



Acar, A., Aksu, H., Uluagac, A. S., & Conti, M. (2018). <u>A survey on homomorphic encryption</u> schemes: Theory and implementation. *ACM Computing Surveys (Csur)*, *51*(4), 1-35.

An encryption scheme is called homomorphic over an operation " $\star$ " if it supports the following equation:

$$E(m_1) \star E(m_2) = E(m_1 \star m_2), \forall m_1, m_2 \in M$$

Where E is the encryption algorithm and M is the set of possible messages.

It is sufficient to allow only addition and multiplication operations for "★" because any Boolean circuit can be represented using only XOR (addition) and AND (multiplication) gates.

$$E(m_1) \star E(m_2) = E(m_1 \star m_2), \forall m_1, m_2 \in M$$

#### Federated Machine Learning

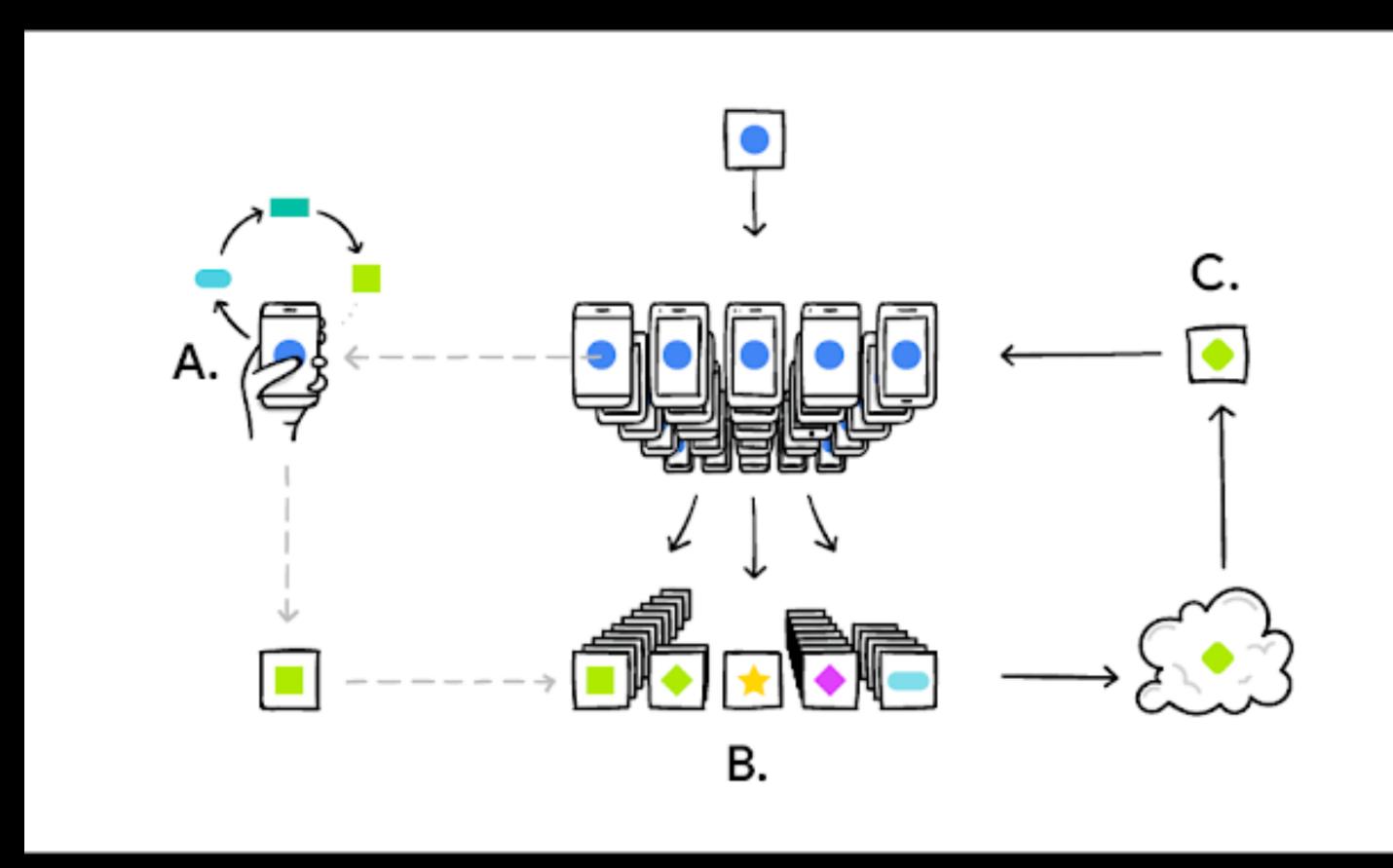
- Define  $\mathcal N$  data owners  $\{\mathcal F_1...\mathcal F_{\mathcal N}\}$
- They train on data  $\{\mathcal{D}_1...\mathcal{D}_{\mathcal{N}}\}$
- Conventional is to use  $\mathscr{D} = \bigcup_{i=0}^{\mathscr{N}} \mathscr{D}_i$  to train a model  $\mathscr{M}_{\mathscr{D}}$
- In federated learning, we construct  $\mathscr{M}_{FED} = \bigcup_{i=0}^{\mathscr{N}} \mathscr{M}_{\mathscr{D}_i}$
- . In addition we want  $\left| loss(\mathcal{M}_{\mathcal{D}}) loss(\mathcal{M}_{FED}) \right| < \delta$

#### Federated Machine Learning

- Horizontal Federated Learning: shared feature space
- Vertical Federated Learning: shared ID space
- Federated Transfer Learning: use a limited common sample to learn a mapping between feature spaces

#### Federated Learning

- Download the current model
- (A) train the model on your local data
- (B) aggregate many users updates
- (C) average the updates to a consensus update
- Repeat



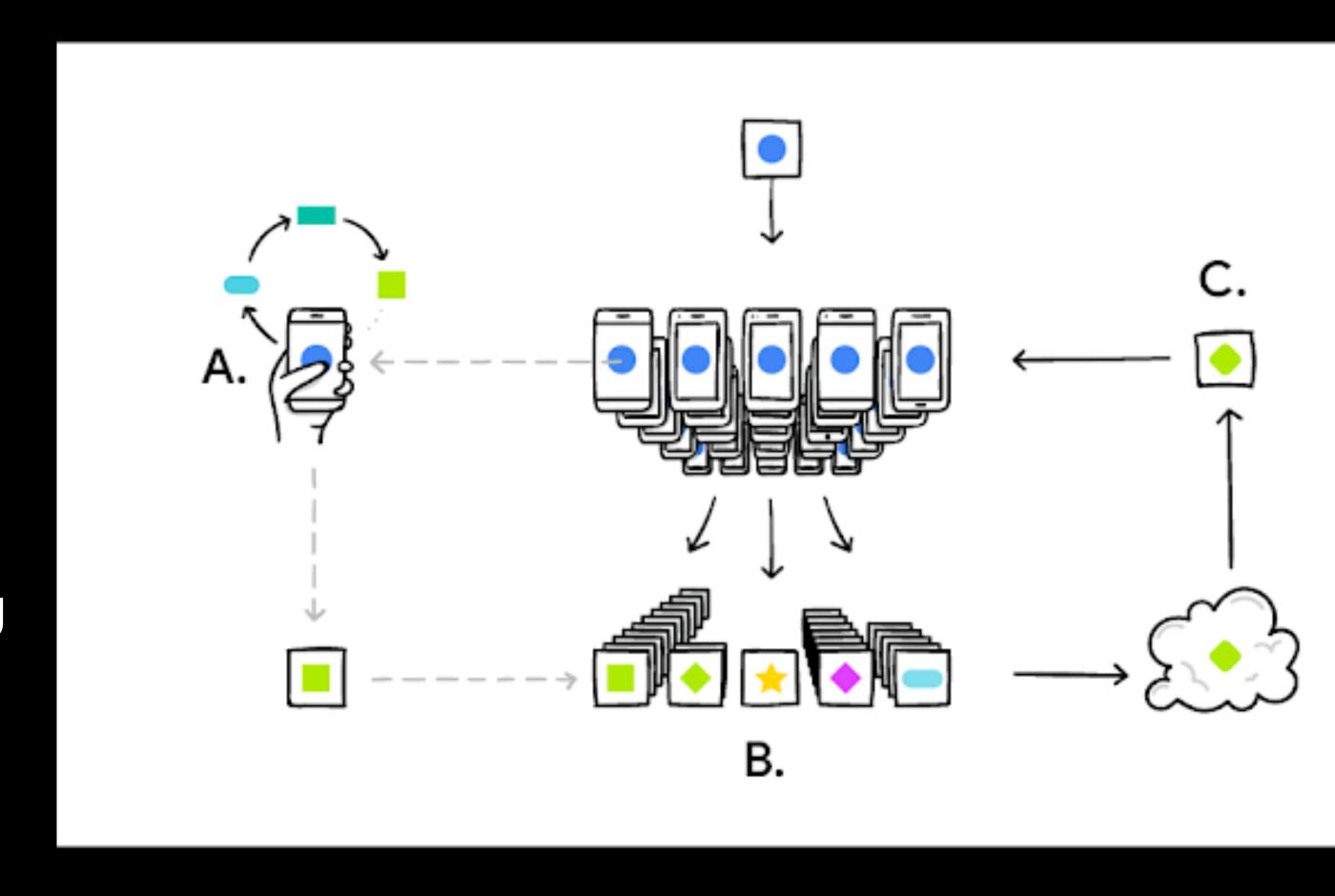
#### Federated Learning

#### Typical machine learning are

- Highly iterative
- Low latency
- High throughput

#### While the federated situation is

- Only intermittently available for training
- High latency
- Lower throughput



#### Federated Learning

#### Note that:

- Federated machine learning does preserves privacy
- However, theoretically, the model could still learn negative behaviour (eg let's say it learns how to keep you "engaged" on eating disorder themed content by inducing a negative body image)
- Now the model can use this to "help" others find the "right" content to "feed" their eating disorder scrolling behaviour
- This might be legally correct, and it preserves privacy, but is it ethical to "share" this information while assuring users that this is "privacy by design"?

