Programmable Packet Scheduling With SP-PIFO: Theory, Algorithms and Evaluation

Balázs Vass*[†], Csaba Sarkadi*, Gábor Rétvári*

*High Speed Networks Laboratory (HSNLab), Department of Telecommunications and Media Informatics, Faculty of Electrical Engineering and Informatics (VIK), Budapest University of Technology and Economics † EIT Digital Industrial Doctoral School, {balazs.vass,retvari}@tmit.bme.hu, sarkadicsa@gmail.com

Abstract-Push-In First-Out (PIFO) is a theoretical hardware model for programmable packet scheduling, enabling scheduling policies to be comprehensibly and dynamically reconfigured, and SP-PIFO is a practical emulation for PIFO that can be readily implemented with stock P4 switches. The efficiency of SP-PIFO hinges on a simple heuristic, Push-Up/Push-Down (PUPD), which is responsible for dynamically adapting the mapping of input packets to a fixed set of strict priority queues so as to minimize the rate of scheduling errors with respect to an ideal PIFO. In this paper, we present the first formal analysis of the PUPD algorithm. Our competitive analysis yields that the capacity of PUPD to emulate an optimal PIFO model is getting linearly worse as we keep on adding priority queues to the system. Motivated by this finding, we present an optimal offline scheme, which, given a stochastic model of the input, outputs the optimal SP-PIFO configuration in polynomial time, and we introduce an online heuristic that aims to approximate the offline optimum without requiring a stochastic input model. Our simulations show that the online algorithm can improve the performance of SP-PIFO by a factor of 2x in certain configurations.

I. Introduction

Traditionally, fixed-function switches implement a specific set of network protocols engraved into the hardware. More recently, programmable switches have emerged, allowing network operators to refine on-the-fly the packet processing functionality, including header parsing and forwarding policies, using a high-level programming language like P4 [1]. Packet scheduling in P4 switches, however, has remained mostly fixed until recently. Push-In First-Out (PIFO) was the first hardware abstraction that, theoretically, enabled programming new scheduling algorithms into a switch without changing the hardware layout [2], [3]. In PIFO, each packet is assigned a *rank*, and the switch maintains packets in the hardware queues sorted by their rank (see Fig.1). Then, different scheduling policies, like SRPT or STFQ [3], can be implemented on top of PIFO by changing the way ranks are assigned to packets.

Lacking a viable hardware realization, PIFO had been considered mostly a theoretical possibility until the appearance of Strict Priority PIFO (SP-PIFO, [4]). SP-PIFO approximates PIFO by maintaining a set of strict priority (SP) queues and dynamically adapting the mapping between packet ranks and SP queues. The objective is to minimize the number *inversions*, where inversions connote the event that a high-rank (i.e., 'low-importance') packet precedes a low-rank (i.e., 'very important') packet during dequeuing. As such, the inversion count effectively measures the rate of 'scheduling mistakes'

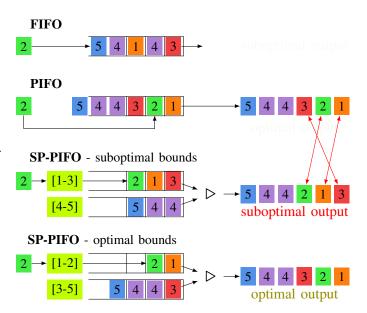


Fig. 1: Programmable scheduling: FIFO, PIFO, and SP-PIFO. The packets arrived in the order they are enqueued in FIFO (right to left). Trivially, FIFO does not do any sorting, while PIFO releases smallest ranked packets first. To avoid unnecessary rank inversions, queue bounds of SP-PIFO are needed to be optimized.

relative to an ideal PIFO implementation (see again Fig.1). SP-PIFO maps packets to queues by maintaining a *queue bound* for each queue, which determines the smallest packet rank that can be assigned to the queue, and the mapping is adapted by dynamically adjusting the queue bounds in concert with the ingress traffic ranks using the Push-Up/Push-Down (PUPD) heuristic (see later). PUPD can be implemented in P4, and thus can run inside the data plane at line rate.

The efficiency of SP-PIFO is ultimately contingent on the capacity of PUPD to adapt the way packets are assigned to queues *quickly* and *efficiently*. Unfortunately, as of now, no thorough formal analysis of PUPD is available, nor it is known whether more efficient algorithms could be defined to drive SP-PIFO. Our main goal in this study is to fill this gap.

The main contributions are as follows. After a short recap on programmable scheduling (\S II), we present the first *competitive analysis of PUPD* (\S III). Our results are mostly negative: we show that the number of inversions produced by PUPD can be n times worse than that of a hypothetical 'clairvoyant'

optimal bound-adaptation scheme, where n is the number of SP queues. This result suggests that the capacity of PUPD to adapt to yet unknown future ranks is limited, compared to an ideal bound-adaptation algorithm that 'knows the future', and the optimality gap increases linearly with the number of queues in SP-PIFO.

Driven by this observation, our next goal is to define an optimal algorithm. Our result in this context is a *polynomial-time offline algorithm*, which, given the probability distribution of ranks in incoming packets, computes a set of optimal queue bounds to minimize the number inversions (§ IV).

Given that the rank distribution is hard to fix offline, our third contribution is a *fast online algorithm* that dynamically 'learns' the rank distribution and adaptively adjusts the SP-PIFO queue bounds in accordance with the learned distribution (§ V). Our evaluations (§ VI) suggest that the new algorithm can approximate the optimal queue bounds more efficiently than PUPD. We conclude the paper in § VII.

II. BACKGROUND

Suppose input packet ranks are taken from the interval [1,k] and assume we are given n SP queues $Q[i]: i \in [1,n]$. The main idea in SP-PIFO is to maintain a queue bound q_i with respect to each queue, so that all packets with rank from q_i to $q_{i+1}-1$ are assigned to Q[i] (assuming an imaginary q_{n+1} equaling k+1), and adapt the bounds q_i as follows.

- At the beginning, all queue bounds are 0: $\forall i \in [1, n]$: $q_i = 0$.
- An incoming packet with rank r is enqueued into queue Q[i] if $r \geq q_i$ and i is maximal among the queues with this property, and q_i is immediately set to r (push-up).
- If no such i exists (i.e., $p < q_1$), then r is enqueued to Q[1] and all queue bounds are decreased by $q_1 r$ (push-down).
- SP queues are drained in strict priority order: packets from Q[1] are dequeued first, if Q[1] is empty then Q[2] is dequeued next, etc.

PUPD is appealing for a number of reasons. First, it closely emulates the ideal PIFO behavior, in that it tends to assign low-rank (i.e., 'important') packets to high-priority queues and high-rank (i.e., 'low importance') packets to low-priority queues, so that the packet sequence leaving the SP queues is approximately sorted by rank. Second, PUPD can be implemented in P4 entirely, maintaining the queue bounds in P4 registers. Hence, bound adaptation in PUPD occurs after processing each packet, at line rate. Third, PUPD can dynamically adapt the bounds to even potentially rapidly changing input rank patterns. Experimental evaluations show that PUPD can produce consistently good performance under a wide range of operational conditions [4].

The efficiency of SP-PIFO to emulate an optimal PIFO model is contingent on its capacity to consistently map low-rank packets to high-priority queues, and this is ultimately dependent on the efficiency of PUPD to adapt queue bounds to prevent 'scheduling mistakes'. Here, any deviation of the

output sequence of SP-PIFO from an ideal PIFO sequence, which is strictly sorted by packet rank, is considered an error.

III. A COMPETITIVE ANALYSIS OF PUPD

Due to the limited lookahead available in the P4 data plane pipeline, SP-PIFO bound-adaptation is severely hampered by the unpredictability of the ranks of future packets. Competitive analysis is a methodology to analyze such *online* algorithms, by comparing the performance to an optimal offline scheme that can access the entire sequence of future requests in advance. The competitive ratio is defined as the quotient of the worst-case error produced by the online and the offline algorithm over an adversarial input. The smaller the competitive ratio, the less the online algorithm is hampered by the unavailability of future requests and the closer the algorithm is to 'optimality'. Contrariwise, a relatively huge competitive ratio suggests that the performance penalty of the online setting can be prohibitive. The below results suggest that for PUPD this is indeed the case in the case of *deterministic* and stochastic input packet sequences alike.

A. Deterministic competitive ratio

Given a deterministic packet sequence S, we measure the total error over S as the number of inversions via a simplified metric that enumerates only the intra-queue inversions:

$$U_{\text{det}}(S) := \#(\{a,b\} \subseteq S : a < b \text{ and}$$

$$a \text{ and } b \text{ enqueued to the same } Q[i] \text{ and}$$

$$a \text{ is the next packet enqueued in } Q[i] \text{ after } b) \ .$$

$$(1)$$

Our next result shows that, for a certain sequence of packets S, PUPD produces n times more inversions than the optimal offline algorithm in terms of the error function $U_{\text{det}}(S)$, where n is the number of SP queues. Intuitively, PUPD is getting further from the optimum as we add more queues. Unfortunately, this is the case even if the number of distinct ranks k is just one more than n (for k=n the problem can be solved trivially by assigning each rank to a separate queue, ordered priority-wise).

Theorem 1: Given n queues and k = n + 1 input ranks, the competitive ratio of PUPD is at least n in terms of the error function (1).

Proof: First, let k := n + 1. Let the input rank sequence be as follows (first packet to arrive on the right):

$$S = l \times [n, \dots, 2, 1, 2, \dots, n, n + 1]$$
.

We theorem the queues built by the PUPD are (first packet enqueued to and dequeued from right):

$$Q_{\text{PUPD}}[i] = [i, i+1] \times l, \qquad \forall i \in \{1, \dots, n\}.$$

This means a number of l inversions per queue, that sums up to nl for the PUPD.

On the other hand, by setting constant offline queue bounds to $q = [q_1 = 2, q_2 = 3, \dots, q_n = n+1]$, the queues built are:

$$\begin{split} Q_{\text{offline}}[1] &= [2,1,2] \times l, \\ Q_{\text{offline}}[i] &= [i+1] \times (2l), \qquad \forall i \in \{2,\dots,n-1\}, \\ Q_{\text{offline}}[n] &= [n+1] \times (l). \end{split}$$

In this offline setting, we have l inversions in the first queue, and none in the other queues.

The number of inversions made by the PUPD divided by those made by the offline algorithm is n for any $l \ge 1$. This means that the competitive ratio of the PUPD is at least n on the number of inversions, i.e., error function (1).

B. Randomized competitive ratio

The above competitive analysis is specified in terms of a deterministic adversarial packet sequence S. Assuming that an adversary can precisely control the succession of packet ranks as received by a P4 switch, however, is somewhat unrealistic. Below, we turn to a weaker adversary model, where the adversary can choose the probability distribution of the packet ranks \mathcal{P} , but the exact succession of packet ranks S is not under control. Assuming that packet rank probabilities \mathcal{P} across the input sequence are i.i.d., the objective is to minimize the expected number of inversions in terms of \mathcal{P} :

$$U_{\text{stoch}}(\mathcal{P}) = \mathbf{E}_{\mathcal{P}}(U_{\text{det}}(S)).$$
 (2)

Theorem 2: Given n queues and k = n + 1 input ranks and a stationary probability distribution \mathcal{P} of packet ranks, the competitive ratio of PUPD is at least n in terms of error function (2).

Proof: Let us divide the input rank sequence into *phases*, where phase i starts when the i^{th} rank-1 packet arrives. We will construct a packet rank distribution \mathcal{P} , for which, in each phase, PUPD makes n inversions with high probability (WHP), while with $\underline{q}_{\text{offline}} = [1, 3, 4, 5, \dots, n+1]$, only 1 inversion per phase is incurring WHP. We note that S in the proof of Thm. 1 can be divided similarly.

Observe that, since the arrival time T_i of the next rank-i packet has a $\mathrm{Geo}(\mathcal{P}(i))$ distribution, $\mathbf{E}(T_i) = \frac{1}{\mathcal{P}(i)}$. Let $\mathcal{P}(1) = \epsilon_1$, $\mathcal{P}(n+1) = \epsilon_{n+1}$, and $\mathcal{P}(i) = \frac{1-\epsilon_1-\epsilon_{n+1}}{n-1}$ for $i \in \{2,\ldots,n\}$, and suppose $n^2 \ll \frac{1}{\epsilon_{n+1}} \ll \frac{1}{\epsilon_1}$. With this, a rank $i \in \{2,\ldots,n\}$ packet is much more likely to arrive than a rank-(n+1) one, that is much more likely than a rank-1 one.

We claim that after an initial learning period (when a monotone decreasing subsequence $(n+1),n\dots,2$ can be chosen out of the packets arrived so far), the bounds of the PUPD are set to $\underline{q}_{\text{PUPD}} = [2,\dots,n+1]$. On average, this happens after less than $n^2 + 1/\epsilon_{n+1}$ packets. The probability of a rank-1 packet arriving during this time is very low.

Eventually, a rank-1 packet arrives, starting a phase, and causing a push-down (setting q_{PUPD} to $[1,\ldots,n]$) and an inversion in Q[1]. WHP, this is followed by an inversion in each other queue Q[i] because of enqueuing some rank-i packets in them. Once, a rank-(n+1) packet arrives, setting q_n to (n+1), and initiating a series of push-ups, WHP leading back to $\underline{q}_{\text{PUPD}} = [2,\ldots,n+1]$. Then, the arrival of a rank-1 packet starts a new phase. It is easy to see that PUPD commits n inversions per phase, WHP.

Clearly, a static setting with queue bounds $\underline{q}_{\texttt{offline}} = [1,3,4,5,\ldots,n+1]$ makes 1 inversion per phase WHP (that incurs when the rank-1 packet arrives). The proof follows.

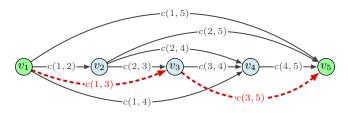


Fig. 2: Example of a DAG representing a possible configuration for k = 4. The highlighted path encodes the bounds for a 2-queue SP-PIFO setting (n = 2), with the bounds being $q_1 = 1$ and $q_2 = 3$.

IV. STOCHASTIC OFFLINE OPTIMUM

We have seen that, in the stochastic model, PUPD can perform n times worse compared to a simple setting, where the queue bounds are not changed at all. Below, we will show that the expected inversion cost defined by (2) for constant queue bounds can be minimized in polynomial time. The first observation is that, given n queues and k ranks, with constant bounds $\underline{q} = [q_1 = 1, q_2, q_3 \dots, q_n]$) extended with an imaginary queue bound $q_{n+1} = k+1$, the expected error is:

$$U_{\text{stoch}}^{\text{const}}(\mathcal{P}) = \sum_{i=1}^{n} \left(P_i \sum_{q_i \le a < b < q_{i+1}} \frac{p_b p_a}{P_i^2} \right) , \qquad (3)$$

where $P_i = \sum_{j=q_i}^{q_{i+1}-1} p(j)$ denotes the probability that the next packet will be enqueued to queue i. Here, the probability of the rank of the latest enqueued packet being b is p_b/P_i , that has to be multiplied with the probability of the next rank being a (i.e., p_a/P_i). The sum of these values for all the possibly inverted rank pairs in queue i (for b and a s.t. $q_i \leq a < b < q_{i+1}$), when multiplied with the incoming packet intensity P_i and summed over all i, yields (3).

Theorem 3: Let \mathcal{P} the probability distribution of packet ranks and suppose that \mathcal{P} is stationary. Then, the total expected inversion cost in terms of (3) can be minimized in $O(k^2n)$.

Proof: First we construct a directed acyclic graph D(V, A, c), where the set of nodes $V = \{v_1, \ldots, v_{k+1}\}$ corresponds to the set of possible rank values $\{1, \ldots, k\}$ associated with a helper node v_{k+1} , the arc set A stands of arcs $(v_i, v_j) : 1 \le i < j \le k+1$, and cost $c(v_i, v_j)$ of an arc is the expected error in queue i whenever packets with ranks $\{i, \ldots, j-1\}$ are queued in queue i (see Fig. 2).

We claim that, for all arcs $(v_i,v_j) \in A$, their costs $c(v_i,v_j)$ can be determined in $O(k^2)$ total time as follows. For a fixed lower bound a, we can determine c(a,a+1), c(a,a+2), ..., c(a,k+1), each after, using O(1) basic arithmetic operations per upper bound, where, for $i \geq a+2$, c(a,i) is calculated using the previously calculated c(a,i-1). Since there are $O(k^2)$ lower bound—upper bound pairs, the complexity follows. Based on this, D(V,A,c) can be determined in $O(k^2)$.

Next, we show that queue bounds set to the node indexes appearing in a shortest $v_1 - v_{k+1}$ path with (at most) n arcs are optimal. Such a shortest path can be computed in $O(k^2n)$, e.g., with the help of a slight variation of the Bellman-Ford algorithm [5], see Algorithm 1. The proposition we take

Algorithm 1: Modified Bellman-Ford algorithm for the stochastic offline optimum

```
\begin{array}{l} \textbf{Input: } D(V,A,c) \\ \textbf{for } v \in V \textbf{ do} \\ \textbf{1} & | & \texttt{cost}[v] := \infty; \, \texttt{predec}[v] := \texttt{null} \\ \textbf{end} \\ \textbf{2} & \texttt{cost}[v] := 0 \\ \textbf{for } i = 1..n \textbf{ do} \\ & | & \textbf{for } (v_a,v_b) \in A \textbf{ do} \\ & | & \textbf{if } cost[v_a] + c(v_a,v_b) < cost[v_b] \textbf{ then} \\ \textbf{3} & | & | & \texttt{cost}[v_b] := \texttt{cost}[v_a] + c(v_a,v_b) \\ \textbf{4} & | & | & \texttt{predec}[v_b] := v_a \\ & | & \textbf{end} \\ & \textbf{end} \\ & \textbf{end} \\ & \textbf{return } v_1 \text{-} v_{k+1} \ \textit{path built from list predec} \ \textbf{starting at } v_{k+1} \end{array}
```

advantage of is that, in any input graph, if there is an s-t path with at most i edges, then, after i repetitions of the outermost for loop of the Bellman-Ford algorithm, the computed s-t path is a shortest s-t path with at most i edges. Thus, a shortest v_1 - v_{k+1} path in D(V, A, c) constructed by the Bellman-Ford algorithm in n iterations of the outer for loop suits our needs. Since each iteration takes $O(k^2)$ time, the complexity of the algorithm follows.

We note that the above algorithm works for any conservative cost function c. Thus, as long as an alternative non-negative cost c' is polynomially computable, the minimization of the expected error can be done in polynomial time.

Also, some related cost formulations meet the convex or concave *Monge* properties [6]. Both cases yield low optimization complexities: [6] shows that finding a cheapest n-link path in a complete DAG with the cost function fulfilling the concave Monge property can be done in $O(k\sqrt{n\log k})$. Then, [7] offers an algorithm that solves the same problem in $k2^{O(\sqrt{\log n\log \log k})}$, if $n=\Omega(k)$. Note that these complexities does not include determining the necessary cost values.

V. APPROXIMATING THE OPTIMAL STATIC BOUNDS ONLINE IN CONSTRAINED SPACE

Unfortunately, Algorithm 1 cannot be implemented in real P4 switches. First, the offline algorithm needs the rank distribution \mathcal{P} that is not available in a switch. We solve this problem by learning the rank distribution *online*. Second, P4 switches do not have enough stateful memory to learn the empirical packet rank distribution; this would require $\Theta(k)$ space. We solve this problem by showing an algorithm that needs only $\Theta(n)$ memory, i.e., the space requirement is proportional to the number of queues, not the number of ranks (which is usually much larger). Of course, this will result in a loss of optimality; in \S VI we show that the price we pay for reducing the algorithm's memory footprint is not prohibitive.

Consider a simplified error function, where the objective is to minimize the maximum per-queue error, instead of the sum of errors:

$$U_{\text{stoch}}^{\text{max}}(\mathcal{P}) = \max_{i=1,\dots,n} \left(P_i \sum_{q_i \le a < b < q_{i+1}} \frac{p_b p_a}{P_i^2} \right) . \tag{4}$$

It is easy to see that minimizing (4) is a special case of the *sequence partitioning problem*, which can be solved in O(n(k-n)) time [8]. The space needed for this is reduced to O(k), thanks to the simplicity of the modified objective function (*min-max* instead of *min-sum*).

Recall, we want an algorithm that fits into O(n) memory. To this end, we need to take care of the space needed to store the learned rank distribution. Our objective will be to balance the load on the queues, without caring about the rank distribution inside the rank interval assigned to the queue. In other words, in addition to the queue bounds, we only remember the probability P_i of the next packet being enqueued to queue i. Intuitively, by minimizing the maximum of the P_i values, we even out the load on the queues $(P_i \simeq 1/n)$. As per (4), this will translate to a reasonably low inversion rate.

A. Continuous relaxation

Our task is now to learn the per-queue loads P_i , and meanwhile optimize the integer queue bounds so as to minimize (4). To simplify the development, first, we take a look at the continuous relaxation of this problem.

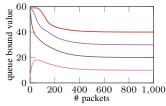
In our continuous model, ranks and queue bounds are real-valued. Packets arrive in infinitesimally small quanta, and so per-queue packet rates P_i can be determined for any queue bound setting. Let f(x) denote the probability density function for the ranks and let the two extreme queue bounds be fixed at $q_1=0$ and $q_{n+1}=+\infty$. Let $P_i:=\int_{q_i}^{q_{i+1}}f(x)dx$ for each $i\in\{1,\ldots,n\}$. When the optimization reaches a set of stable queue bounds, the following should hold:

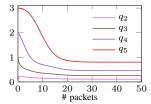
$$P_{i-1} = \int_{q_{i-1}}^{q_i} f(x)dx = \int_{q_i}^{q_{i+1}} f(x)dx = P_i \qquad \forall i \in [2, n]$$

For some suitably small time quantum Δt , let $\Delta P_i(t)$ denote the number of packets assigned to queue i during time interval $(t-\Delta t,t]$. We define the displacement of queue bound q_i $(i\in[2,n])$ after Δt time as:

$$q_i(t) = q_i(t - \Delta t) + \Delta P_i(t) - \Delta P_{i-1}(t). \tag{5}$$

The optimization step as defined above just happens to be essentially the same as the Euler method for solving differential equations. Fig. 3 shows our evaluation results of the continuous model over some famous rank distributions. Since we intend to always have $q_1 = 0$, it is enough to evaluate the rest of the bounds of a system. Fig. 3a shows the trajectory





(a) Ranks from U(0, 50), queue bounds q = [0, 5, 57, 58, 59]

(b) Ranks from Exp(2), queue bounds $\underline{q}_{\text{init}} = [0, 0, 1, 2, 3]$

Fig. 3: Queue bounds of the continuous relaxation of the Spring over time in case of continuous rank distributions

of the relevant queue bounds for the maximal packet rank 50 and a uniform rank distribution on [0,50]. Here, despite the unfortunate initial parameters (3 out of the 4 bounds initially exceed the maximum rank), the system quickly converges to the theoretical optimum. Fig. 3b shows the evolution of queue bounds for an exponential rank distribution.

B. Online learning of the rank distribution

As shown above, the algorithm as described so far should eventually converge around a set of stable queue bounds, assuming the packet ranks are i. i. d., but the counters may take on high values as packets are processed. Another problem is that the number of packets arriving over a short time period Δt is very small, yielding an imprecise empirical data on the packet rank distribution.

Counting packets with Exponentially Weighted Moving Averages (EWMA) solves both problems at once. Let us rediscretize time and the packet arrivals: packets arrive at each positive integer t (i.e., $\Delta t=1$), one by one. Let $I_i(t)$ be an indicator (taking on a value of either zero or one) of whether the received packet at time t is assigned to the i-th queue. In addition, let us define a parameter $\alpha \in (0,1)$ to control how significant a new packet should be relative to the packets recorded in the past (as well as how quickly we forget said packets), and update the EWMA based per-queue packet counters $\mu_i(t)$ on each incoming packet as follows:

$$\mu_i(t) \leftarrow (1 - \alpha) \cdot \mu_i(t - 1) + \alpha \cdot I_i(t)$$
,

where $\mu_i(0) \in [0,1]$ can be set arbitrarily, in Bayesian manner. It is easy to see that $\mu_i \in [0,1]$ holds at any time for each queue. Furthermore, using the moving averages instead of the ΔP_i values makes the random process of the changing of the queue bounds more stable.

Thus, in this setting, we refine (5) as follows: for all $i \in [2, n]$,

$$q_i(t) = q_i(t - \Delta t) + \mu_i(t) - \mu_{i-1}(t). \tag{6}$$

Alg. 2 summarizes our heuristic. Since the mechanics of our algorithm resemble the physical model of serially connected springs, we call our algorithm the *Spring* heuristic.

We still have to re-discretize the queue bounds. Thus, in the algorithm, we keep track of a real-valued version r_i of each queue bound q_i . More precisely, the often minor adjustments of the optimization are done on the continuous r_i bounds (line 8), while the actual queue bounds q_i are the corresponding integer roundings (line 10). This way, the actual queue bounds are just a coarse-grained approximation of an underlying fine-resolution representation.

Another subtlety is that a careless bound adjustment may result in the bound of a queue falling below that of the lower-ranked neighbor during a transient (recall Fig. 3). To avoid this problem, we have implemented an additional *collision detection* mechanism (in lines 6-10), which ensures that q_i is never pushed above $q_{i+1}-1$, or below $q_{i-1}+1$. These additions guarantee that queue bounds remain integral and sorted in an ascending order during the progression of the algorithm.

Algorithm 2: Spring heuristic

```
// Initialization:
1 [q_1,\ldots,q_n]:=[1,\ldots,n] // queue bounds 2 [r_1,\ldots,r_n]:=[1,\ldots,n] // q. bounds lin. relaxed 3 [\mu_1,\ldots,\mu_n]:=[0,\ldots,0] // error costs
   while Packet arrives with rank j do
        Packet enqueued into queue Q[i] s.t. j > q_i, where i is
          the greatest queue index satisfying this condition
5
        [\mu_1,\ldots,\mu_n] := [\mu_1,\ldots,\mu_n] * (1-\alpha) ; \mu_i := \mu_i + \alpha
        for i=n,\ldots,2 do
              lowerBound := r_{i-1} + 1; upperBound := +\infty
6
7
              if i < n then
                   upperBound := r_{i+1} - 1
              end
8
              r_i := r_i + \mu_i - \mu_{i-1}
             r_i := \min \{ \max \{ \text{lowerBound}, r_i \}, \text{upperBound} \}
              q_i := \text{round}(r_i)
        end
  end
```

C. P4 compatibility and resource usage

Due to the lack of space, we omit a thorough P4 compatibility analysis of the Spring heuristic. Regarding the semantics, we note that there are already examples of fixed point arithmetics implemented in P4 [9], and there are also existing P4 implementations of EWMA itself [10]. In terms of memory, the Spring algorithm as described should not require considerably more registers than the SP-PIFO itself or the related AIFO [11] which should already be well within the capacity of most P4 compatible devices.

VI. EVALUATION

To perform our measurements reproducible and comparable to earlier work, we reused an already existing version of NetBench [12] that contained a reference implementation of SP-PIFO. The simulations used the upstream traffic generators from NetBench, labeled 'Uniform', 'Poisson', 'Exponential', 'Inv. exp.', 'Convex', and 'Minmax', respectively, all of them generating i.i.d. integer packet ranks on the [0,100) interval. The Exponential and Poisson generate random numbers from distributions Exp(1/25) and Pois(50), respectively, and map them to integer values in [0,100). The Inv. exp. is based on the Exponential distribution, but it subtracts the generated integer from 99. The Convex distribution is based on a random variable $X \sim \text{Pois}(50)$, where the value of Convex is (X-1) mod 100. Finally, Minmax is based on a $Y \sim \text{Convex}$, with a value of (Y-10) mod 50.

The configuration of the PUPD matches those used in the inversion-related measurements of [4]: n=8. The Spring heuristic uses the same parameter as PUPD, with the addition of a parameter $\alpha=0.01$ to tune the EWMA component. As a benchmark, we also made measurements with heuristic Greedy of [4] with basic parameters. On the long turn, with i.i.d. ranks, the bounds of Greedy are considered to converge to the optimum, but its space requirement is infeasibly high [4]. The measurements were configured with a one-second limit on the simulated runtime, resulting in around one million packets.

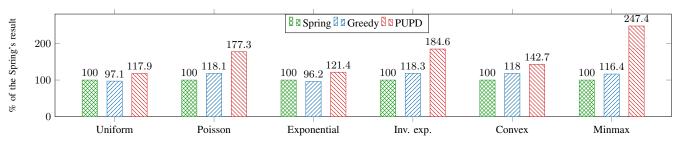


Fig. 4: Number of rank inversions of the different heuristics as percent of the Spring's results

Fig. 4 summarizes the relative performance of the heuristics in terms of the inversion count inbuilt to the NetBench implementation of SP-PIFO. We note that this inversion counter may differ from what our simplified metrics would predict. Despite this difference, Spring produced a consistently and significantly smaller number of inversions compared to the PUPD, beating it on all the distributions studied, with committing only around 40% to 85% of the inversions made by the PUPD. Compared to Spring, PUPD performed the worst at the Minmax, Inv. exp., and Poisson distributions, with roughly 1.7 to 2.5 times more inversions incurred. In the case of the Uniform and Exponential, this ratio was a more moderate 1.2.

Compared to the Greedy, Spring produced a similar number of inversions, beating it on 4 out of the 6 distributions studied. Here, Spring performed the best at the Inv. exp. and Convex distributions committing around 85% of the inversions made by the Greedy, while, at worst, in the case of the Uniform and Exponential distributions, this ratio was no more than 1.04.

We have also evaluated the sum of the rank differences of the inverted packet pairs. As Table I demonstrates, for most distributions, the Spring performs slightly better compared to Greedy. The extremes are the Exponential and Inv. exp., where the total inversion size of Greedy is 0.6 and 2.85 times of the Spring's, respectively. PUPD is consistently worse, on average making 4.45 times more total inversion size than the Spring.

VII. CONCLUSION

Motivated by the industry trends towards rendering the network data plane comprehensibly reconfigurable [2], in this study, we revisited the theory and algorithms for programmable packet scheduling.

We presented the first competitive analysis of heuristic PUPD presented in the SP-PIFO framework for approximating theoretical PIFO queues. We encountered a strong negative result: the PUPD may commit up to n times more packet rank inversions than inevitably needed, with both deterministic and stochastic input, where n is the number of SP queues in the system. In other words, the ability of PUPD to take advantage of an additional SP queue largely decreases, and the algorithm

	Uniform	Poisson	Exponential	Inv. Exp.	Convex	Minmax
Spring	100	100	100	100	100	100
Greedy	122	158	60	285	101	107
PUPD	290	462	145	904	167	703

TABLE I: Sum of the rank difference of the inverted packets in percent of the Spring's results.

gets further from the optimum as the number of SP queues on disposal grows.

Motivated by this finding, we propose an algorithm to compute the optimal static queue bounds minimizing the expected rate of inversions in case of a given rank distribution. The algorithm runs in polynomial time: its complexity is $O(k^2n)$, where k is the maximum rank.

Considering the online setting, a new online bounds adaptation heuristic called *Spring* was also proposed. Crucially, Spring is easy to reason about formally, which is not the case for PUPD, and it provides favorable results during evaluations: in our measurements, it committed up to 2 times fewer inversions than the PUPD.

ACKNOWLEDGEMENTS

This research was partially supported by the National Research, Development and Innovation Fund of Hungary (grant No. 135606). Supported by the ÚNKP-21-4 New National Excellence Program of the Ministry of Innovation and Technology from the source of the National Research, Development and Innovation Fund.

REFERENCES

- [1] P. Bosshart et al., "P4: Programming protocol-independent packet processors," ACM SIGCOMM Computer Comm. Review, vol. 44, 2014.
- [2] O. Michel et al., "The programmable data plane: Abstractions, architectures, algorithms, and applications," ACM Comput. Surv., vol. 54, 2021.
- [3] A. Sivaraman et al., "Programmable packet scheduling at line rate," ser. SIGCOMM '16. New York, NY, USA: Association for Computing Machinery, 2016.
- [4] A. G. Alcoz et al., "SP-PIFO: Approximating Push-In First-Out Behaviors using Strict-Priority Queues," in 17th USENIX Symposium on Networked Systems Design and Implementation (NSDI 20), 2020.
- [5] R. Bellman, "On a routing problem," Quarterly of applied mathematics, vol. 16, pp. 87–90, 1958.
- [6] A. Agarwal et al., "Finding a minimum weight k-link path in graphs with Monge property and applications," in Proc. ACM Symposium on Computational Geometry, 1993, pp. 189–197.
- [7] B. Schieber, "Computing a minimum weight k-link path in graphs with the concave Monge property," *Journal of Algorithms*, vol. 29, 1998.
- [8] B. Olstad et al., "Efficient partitioning of sequences," *IEEE Transactions on Computers*, vol. 44, pp. 1322–1326, 1995.
- [9] S. Narayana et al., "Language-directed hardware design for network performance monitoring," ser. SIGCOMM '17. New York, NY, USA: Association for Computing Machinery, 2017.
- [10] A. Fingerhut, "Floating point operations in P4," https://github.com/jafingerhut/p4-guide/blob/d03b4d726a75192f8c7cb7e2ee0d4fceda3bacca/docs/floating-point-operations.md, 2020.
- [11] Z. Yu et al., "Programmable packet scheduling with a single queue," ser. SIGCOMM '21. New York, NY, USA: Association for Computing Machinery, 2021, p. 179–193.
- [12] G. Memik et al., "NetBench: a benchmarking suite for network processors," in IEEE/ACM International Conference on Computer Aided Design. ICCAD 2001. IEEE/ACM Digest of Technical Papers, 2001.