



# Reading Seminar in Derived Categories

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## 1 Lecture 1: Fourier Mukai Transforms

### 1.1 Grothendieck-Verdier Duality

Let  $f : X \rightarrow Y$  be a morphism of smooth schemes over a field  $k$  (any char). Denote the relative canonical bundle by

$$\omega_{X/Y} = \omega_X \otimes f^* \omega_Y^{-1}$$

Then, for any  $\mathcal{F}^\bullet \in D^b(X)$  and  $\mathcal{E}^\bullet \in D^b(Y)$  there exists a natural isomorphism

$$Rf_* R\mathcal{H}om(\mathcal{F}^\bullet, Lf^*(\mathcal{E}^\bullet) \otimes^{\mathbb{L}} \omega_{X/Y}[\dim X - \dim Y]) \simeq R\mathcal{H}om(Rf_* \mathcal{F}^\bullet, \mathcal{E}^\bullet)$$

Since for smooth maps  $\omega_{X/Y}$  is locally free the tensor product is underived. Define,

$$f^! : D^b(Y) \rightarrow D^b(X) \quad \mathcal{E}^\bullet \mapsto Lf^*(\mathcal{E}^\bullet) \otimes \omega_{X/Y}[\dim X - \dim Y]$$

Then  $f^! \dashv Rf_*$ .

### 1.2 Corollaries

1. Taking cohomologies we get,

$$R\Gamma Rf_* R\mathcal{H}om(\mathcal{F}^\bullet, Lf^*(\mathcal{E}^\bullet) \otimes \omega_{X/Y}[\dim X - \dim Y]) \simeq R\Gamma R\mathcal{H}om(Rf_* \mathcal{F}^\bullet, \mathcal{E}^\bullet)$$

But,  $R\Gamma \circ Rf_* = R\Gamma$  and  $R\Gamma \circ R\mathcal{H}om = R\mathcal{H}om$ . Therefore in degree zero we get,

$$\mathrm{Hom}_{D^b(X)}(\mathcal{F}^\bullet, Lf^*(\mathcal{E}^\bullet) \otimes \omega_{X/Y}[\dim X - \dim Y]) \simeq \mathrm{Hom}_{D^b(Y)}(Rf_* \mathcal{F}^\bullet, \mathcal{E}^\bullet)$$

2. (Serre Duality) Grothendieck Duality applied to  $f : X \rightarrow k$  yields classical Serre duality:

$$\mathrm{Hom}_{D^b(X)}(\mathcal{F}^\bullet[i], \omega_X[\dim X]) \simeq \mathrm{Hom}_k(Rf_* \mathcal{F}^\bullet[i], k)$$

In particular, for a sheaf we have,  $\mathcal{F}^\bullet = \mathcal{F}$ . This together with the facts,  $R^i f_* \mathcal{F} = H^i(X, \mathcal{F})$  and  $\mathrm{Hom}_{D^b(X)}(\mathcal{F}, \mathcal{G}[\dim X - i]) \simeq \mathrm{Ext}^{n-i}(\mathcal{F}, \mathcal{G})$ , yield

$$\mathrm{Ext}^{n-i}(\mathcal{F}, \omega_X) \simeq H^i(X, \mathcal{F})^*$$

3. For  $\mathcal{F}^\bullet, \mathcal{G}^\bullet \in \mathbf{D}^b(X)$ , the derived version of Serre duality gives

$$\begin{aligned} \mathrm{Hom}_{\mathbf{D}^b(X)}(\mathcal{F}^\bullet, \mathcal{G}^\bullet \otimes \omega_X[\dim X]) \\ &\simeq \mathrm{Hom}_{\mathbf{D}^b(X)}(R\mathcal{H}om(\mathcal{G}^\bullet, \mathcal{F}^\bullet), \omega_X[\dim X]) \\ &\simeq \mathrm{Hom}_k(R\Gamma R\mathcal{H}om(\mathcal{G}^\bullet, \mathcal{F}^\bullet), k) \\ &\simeq \mathrm{Hom}_{\mathbf{D}^b(X)}(\mathcal{G}^\bullet, \mathcal{F}^\bullet)^* \end{aligned}$$

### 1.3 Fourier Mukai Transforms

For this section, unless otherwise mentioned the standard notation will always mean derived. For instance, we will write  $\mathcal{F}$  for  $\mathcal{F}^\bullet$ ,  $\otimes$  for  $\otimes^{\mathbb{L}}$  etc.

**Definition 1.1** (Fourier-Mukai Transforms). Let  $\mathcal{P} \in \mathbf{D}^b(X \times Y)$ . Let  $q : X \times Y \rightarrow X$  and  $p : X \times Y \rightarrow Y$  be standard projections. This Fourier-Mukai transform is a functor  $\Phi_{\mathcal{P}} : \mathbf{D}^b(X) \rightarrow \mathbf{D}^b(Y)$  defined by

$$\mathcal{E}^\bullet \mapsto Rp_*(q^*\mathcal{E}^\bullet \otimes \mathcal{P})$$

The object  $\mathcal{P}$  is called the Fourier Mukai kernel of the Fourier-Mukai transform  $\Phi_{\mathcal{P}}$ .

- Remark.*
1. Since  $q$  is smooth (hence flat)  $q^*$  is underived.
  2. If  $\mathcal{P}$  is a complex of locally free sheaves,  $\otimes$  in the formula is also underived. This will be the case in most of the applications.
  3. It is a composition of exact functors and hence exact.

#### Examples:

1. (The identity functor)  $id : \mathbf{D}^b(X) \rightarrow \mathbf{D}^b(Y)$ . Then,  $id \simeq \Phi_{\mathcal{O}_\Delta}$ , where  $\Delta \subset X \times X$  is the diagonal.
2. We will show more generally that for a morphism  $f : X \rightarrow Y$ ,  $Rf_* \simeq \Phi_{\mathcal{O}_\Gamma}$ , where  $g : X \rightarrow \Gamma \subset X \times Y$  is the graph of the morphism  $f$ . For  $\mathcal{F} \in \mathbf{D}^b(X)$ ,

$$\begin{aligned} Rf_*(\mathcal{F}) &= R(q \circ g)_*(g^*p^*\mathcal{F}) \\ &= Rq_* \circ Rg_*(g^*p^*\mathcal{F}) \\ &= Rq_*(p^*\mathcal{F} \otimes Rg_*\mathcal{O}_X) \quad (\text{projection formula}) \\ &= Rq_*(p^*\mathcal{F} \otimes \mathcal{O}_\Gamma) \quad (g \text{ is an isomorphism.}) \end{aligned}$$

3. Let  $L$  be a line bundle on  $X$  then the functor  $\mathcal{F}^\bullet \mapsto \mathcal{F}^\bullet \otimes L$  is isomorphic to  $\Phi_{i_*L}$  where,  $i : X \rightarrow \Delta \subset X \times X$  is the diagonal embedding.
4. The shift functor  $T : D^b(X) \rightarrow D^b(X)$  is given by  $\Phi_{\mathcal{O}_\Delta[1]}$ .
5. Let  $\mathcal{P}$  be a flat coherent sheaf on  $X \times Y$ , then for a closed point  $x \in X$ ,

$$\Phi_{\mathcal{P}}(k(x)) = Rp_*(q^*k(x) \otimes \mathcal{P}) = \mathcal{P}_x$$

**Definition 1.2** (Theorem). For any object  $\mathcal{P} \in D^b(X \times Y)$  we define the following objects in  $D^b(X \times Y)$

$$\mathcal{P}_L = \mathcal{P}^\vee \otimes p^*\omega_Y[\dim Y] \quad \mathcal{P}_R = \mathcal{P}^\vee \otimes q^*\omega_X[\dim X]$$

where,  $\mathcal{P}^\vee = R\mathcal{H}om(\mathcal{P}, \mathcal{O}_{X \times Y})$ . Then,  $G = \Phi_{\mathcal{P}_L}$  is the left adjoint and  $H = \Phi_{\mathcal{P}_R}$  is the right adjoint of the the fourier mukai transform with kernel  $\mathcal{P}$ .

*Proof.*  $G \dashv \Phi_{\mathcal{P}}$ : For  $\mathcal{F}^\bullet \in D^b(Y)$  and  $\mathcal{E}^\bullet \in D^b(X)$ ,

$$\begin{aligned} \mathrm{Hom}_{D^b(X)}(G(\mathcal{F}^\bullet), \mathcal{E}^\bullet) &= \mathrm{Hom}_{D^b(X)}(Rq_*(p^*\mathcal{F}^\bullet \otimes \mathcal{P}_L), \mathcal{E}^\bullet) \\ &= \mathrm{Hom}_{D^b(X \times Y)}(\mathcal{P}_L \otimes p^*\mathcal{F}^\bullet, q^*\mathcal{E}^\bullet \otimes \omega_{X \times Y/X}[\dim Y]) \\ &\quad (\text{GV Duality; } q^* \text{ is underived since } q \text{ is flat}) \\ &= \mathrm{Hom}_{D^b(X \times Y)}(\mathcal{P}_L \otimes p^*\mathcal{F}^\bullet, q^*\mathcal{E}^\bullet \otimes p^*\omega_Y[\dim Y]) \\ &= \mathrm{Hom}_{D^b(X \times Y)}(\mathcal{P}^\vee \otimes p^*\mathcal{F}^\bullet, q^*\mathcal{E}^\bullet) \\ &= \mathrm{Hom}_{D^b(X \times Y)}(p^*\mathcal{F}^\bullet, \mathcal{P} \otimes q^*\mathcal{E}^\bullet) \\ &= \mathrm{Hom}_{D^b(X)}(\mathcal{F}^\bullet, Rp_*(\mathcal{P} \otimes q^*\mathcal{E}^\bullet)) \quad (Lp^* \dashv Rp_*) \\ &= \mathrm{Hom}_{D^b(X)}(\mathcal{F}^\bullet, \Phi_{\mathcal{P}}) \quad (p^* \dashv p_*) \end{aligned}$$

□

## 1.4 Composition of FM transforms

**Proposition 1.3.** *The composition*

$$D^b(X) \xrightarrow{\Phi_{\mathcal{P}}} D^b(Y) \xrightarrow{\Phi_{\mathcal{Q}}} D^b(Z)$$

*is isomorphic to  $\Phi_{\mathcal{R}} : D^b(X) \rightarrow D^b(Z)$ , where  $\mathcal{R} = R\pi_{XZ}^*(\pi_{XY}^*\mathcal{P} \otimes \pi_{YZ}^*\mathcal{Q})$ .*

### 1.5 Orlov's theorem and applications

**Theorem 1.4** (Orlov). *Let  $X$  and  $Y$  be two smooth projective varieties and let  $F : D^b(X) \rightarrow D^b(Y)$  be a fully faithful exact functor. If  $F$  admits right (and hence left) adjoint functors, then there exists an object  $\mathcal{P} \in D^b(X \times Y)$  unique up to isomorphism such that  $F \simeq \Phi_{\mathcal{P}}$ .*

*Proof.* Future lecture(maybe) □

#### Corollaries:

**Corollary 1.5.** *Let  $F : D^b(X) \rightarrow D^b(Y)$  be an equivalence between the derived categories of two smooth projective varieties. Then  $F$  is isomorphic to a FM transform  $\Phi_{\mathcal{P}}$  associated to a certain object  $\mathcal{P} \in D^b(X \times Y)$  unique up to isomorphism. Moreover,  $\dim X = \dim Y$  and  $\mathcal{P} \otimes q^*\omega_Y \simeq \mathcal{P} \otimes p^*\omega_X$ .*

*Proof.* Note that, equivalence of categories ensures existence of adjoints, namely the quasi-inverse  $F'$ . Therefore we can apply Orlov's theorem to find a  $\mathcal{P} \in D^b(X \times Y)$  unique up to isomorphism such that the FM transform with kernel  $\mathcal{P}$  is isomorphic to  $F$ . Again by applying the uniqueness of Orlov's theorem to the quasi-inverse  $F'$  we see that,  $\mathcal{P}_L \simeq \mathcal{P}_R$ . In other words,  $\mathcal{P}^\vee \simeq \mathcal{P}^\vee \otimes p^*\omega_X \otimes q^*\omega_Y[\dim X - \dim Y]$ . Since  $\mathcal{P}$  is a bounded complex that is not quasi-isomorphic to zero,  $\dim X = \dim Y$ . □

**Corollary 1.6.** *Suppose  $\Phi : D^b(X) \simeq D^b(Y)$  is an equivalence such that, for any close point  $x \in X$ , there exists a closed point  $f(x) \in Y$  such that  $\Phi(k(x)) \simeq k(f(x))$ . Then,  $f : X \rightarrow Y$  defines an isomorphism and  $\Phi \simeq \text{timeq}(M \otimes -) \circ f_*$  for some line bundle  $M \in \text{Pic}(Y)$ .*

**Definition 1.7** (Spanning Class). A collection  $\Omega$  of objects in a triangulated category  $\mathcal{D}$  is a spanning class of  $\mathcal{D}$  if for all  $B \in \mathcal{D}$  the following condition hold:

If  $\text{Hom}(B, A[i]) = 0$  for all  $A \in \Omega$  and  $\forall i \in \mathbb{Z}$  then,  $B \simeq 0$

*Proof.* By Orlov's theorem,  $\Phi \simeq \Phi_{\mathcal{P}}$  for some object  $\mathcal{P} \in D^b(X \times Y)$ . Note that,  $\Phi(k(x)) = q^*(k(x)) \otimes^{\mathbb{L}} \mathcal{P} \simeq k(f(x))$ . Therefore, for any closed point  $x \in X$  the embedding  $i : x \times Y \hookrightarrow X \times Y$ ,  $Li^*\mathcal{P}$  is also a sheaf. Then the lemma below implies that,  $\mathcal{P}$  is a coherent sheaf flat over  $X$ . Therefore,  $R\Phi(k(x)) = \mathcal{P}|_{\{x\} \times Y} \simeq k(f(x))$ . Hence,  $\text{Supp } \mathcal{P}$  is precisely the graph of  $f$  and thus  $\Gamma_f$  has a reduced induced scheme structure.  $\Gamma_f$  is then a variety isomorphic to  $X$  by first projection and  $f$  is a composition of this isomorphism with projection to  $Y$ . Therefore,  $f : X \rightarrow Y$  defines a morphism.

Now, for  $\mathcal{F}^\bullet \in D^b(X)$ , if  $\text{Hom}(\mathcal{F}^\bullet, k(x)[-i]) = \text{Ext}^{-i}(\mathcal{F}^\bullet, k(x)) = 0$  for all  $x \in X$  and  $i \in \mathbb{Z}$ , then since  $\text{Ext}^p(\mathcal{H}^q(\mathcal{F}^\bullet), k(x)) \Rightarrow \text{Ext}^{p+q}(\mathcal{F}^\bullet, k(x))$ , we get that,  $\text{Ext}^p(\mathcal{H}^q(\mathcal{F}^\bullet), k(x)) = 0$ . But if  $x \in \text{Supp}(\mathcal{H}^{p+q})$ ,  $\text{Hom}(\mathcal{H}^{p+q}(\mathcal{F}^\bullet), k(x)) \neq 0$ . This contradiction shows that  $k(x)$  spans the category  $D^b(X)$ . Since,  $\Phi$  is an equivalence, it is easy to check that,  $k(f(x))$  spans  $D^b(Y)$ . Therefore, for  $y \in Y$  there is an integer  $m$  and  $x \in X$  such that,  $\text{Hom}(k(f(x)), k(y)[m]) \neq 0$ . This implies that,  $m = 0$  and  $y = f(x)$ .

For injectivity, pick  $x_1 \neq x_2$ . then  $\Phi(k(x_1)) \neq \Phi(k(x_2))$ . Therefore,  $f(x_1) \neq f(x_2)$ .

We can use similar argument on the quasi inverse to  $\Phi$  to show that  $f$  has an honest inverse.

Now,  $\mathcal{P}|_{\text{Supp}\mathcal{P}}$  has fibre of dimension 1, therefore,  $\mathcal{P}|_{\text{Supp}\mathcal{P}}$  is line bundle. Since,  $\text{Supp}(\mathcal{P}) \simeq Y$  via the projection  $p$ , it gives rise to a line bundle  $M = p_*\mathcal{P}$  on  $Y$ . From the formula for composition, it is possible to calculate and see that  $\Phi_{\mathcal{P}} \simeq (- \otimes M) \circ f_*$ .  $\square$

**Lemma 1.8.** *Consider a morphism  $S \rightarrow X$ . Suppose  $\mathcal{P} \in D^b(S)$  and assume that for all closed points  $x \in X$  the derived pull back  $Li_x^*\mathcal{P} \in D^b(S_x)$ , for  $i_x : S_x \hookrightarrow S$ , is a complex concentrated in degree 0, i.e. a sheaf. Then  $\mathcal{P}$  is isomorphic to a coherent sheaf which is flat over  $X$ .*

*Proof.* For a proof, see [1, pg.82 Lemma 3.31]  $\square$

**Corollary 1.9 (Gabriel).** *If  $\Phi : \mathbf{Coh}(X) \rightarrow \mathbf{Coh}(Y)$  is an equivalence of categories then  $\exists$  a morphism  $f$  such that  $f : X \simeq Y$  and  $\Phi \simeq (M \otimes -) \circ f_*$ , for a line bundle  $M$  on  $Y$ .*

*Proof.* In order to apply the previous corollary, we need to check that,  $\Phi(k(x)) \simeq k(f(x))$ .  $\square$

## 2 Lecture 2: Passing to cohomology

### 2.1 Grothendieck ring

Let  $K(X)$  be the Grothendieck group of  $X$ . Recall that the elements are coherent sheaves on  $X$  with the following equivalence relation: if  $0 \rightarrow \mathcal{F}' \rightarrow \mathcal{F} \rightarrow \mathcal{F}'' \rightarrow 0$  is an exact sequence, then  $[\mathcal{F}] = [\mathcal{F}'] + [\mathcal{F}']$ . On a smooth projective variety any coherent sheaf admits a locally free resolution, hence any element in the Grothendieck group may be written as a linear combination of locally free sheaves.



We define a map  $[\ ] : D^b(X) \rightarrow K(X)$  by  $[\mathcal{F}^\bullet] = \sum (-1)^i [F^i]$ . We also define a ring structure on  $K(X)$  by  $[\mathcal{E}_1] \cdot [\mathcal{E}_2] = [\mathcal{E}_1 \otimes \mathcal{E}_2]$ .

*Remark.* •  $[\mathcal{F}^\bullet[k]] = (-1)^k [\mathcal{F}^\bullet]$ .

- $[\mathcal{F}^\bullet_1 \oplus \mathcal{F}^\bullet_2] = [\mathcal{F}^\bullet_1] + [\mathcal{F}^\bullet_2]$ .
- $[\mathcal{F}^\bullet] = \sum (-1)^i [\mathcal{H}^i(\mathcal{F}^\bullet)] \in K(X)$ .
- $[\mathcal{F}^\bullet_1 \otimes \mathcal{F}^\bullet_2] = [\mathcal{F}^\bullet_1] \cdot [\mathcal{F}^\bullet_2]$ .

So  $[\ ]$  is a ring map.

We can define the pullback of a morphism  $f : X \rightarrow Y$  at the Grothendieck ring in the usual way and it will be a ring homomorphism. Moreover, we will have:

$$\begin{array}{ccc} D^b(Y) & \xrightarrow{f^*} & D^b(X) \\ \downarrow [\ ] & & \downarrow [\ ] \\ K(Y) & \xrightarrow{f^*} & K(X) \end{array}$$

We also want to define a map of Grothendieck rings that commute with the pushforward. Let  $f_! : K(X) \rightarrow K(Y)$  be defined by  $f_![\mathcal{F}] = \sum (-1)^i [R^i f_* \mathcal{F}]$ . Using the fact that for a spectral sequence  $\sum (-1)^{p+q} [E_r^{p,q}] = \sum (-1)^{p+q} [E_{r+1}^{p,q}]$  is satisfied and using it for the spectral sequence  $E_2^{p,q} = R^p f_* \mathcal{H}^q \mathcal{E}^\bullet \Rightarrow R^{p+q} f_* \mathcal{E}^\bullet$  we have that:

$$\begin{array}{ccc} D^b(X) & \xrightarrow{f_*} & D^b(Y) \\ \downarrow [\ ] & & \downarrow [\ ] \\ K(X) & \xrightarrow{f_!} & K(Y) \end{array}$$

**Definition 2.1** (K-theoretic Fourier-Mukai transform). Let  $e \in K(X \times Y)$ . The K-theoretic Fourier-Mukai transform is the map  $\Phi_e^K : K(X) \rightarrow K(Y)$  defined by  $\Phi_e^K(g) = p_!(e \cdot q^*(g))$ .

Due to the previous remarks we have that:

$$\begin{array}{ccc} D^b(X) & \xrightarrow{\Phi_{\mathcal{P}}} & D^b(Y) \\ \downarrow [\ ] & & \downarrow [\ ] \\ K(X) & \xrightarrow[\Phi_{[\mathcal{P}]}^K]{} & K(Y) \end{array}$$

## 2.2 Cohomological Fourier-Mukai transform

We will assume from now that the ground field is  $\mathbb{C}$ .

Consider the ring  $H^*(X, \mathbb{Q})$ . The product of two classes  $\alpha, \beta \in H^*(X, \mathbb{Q})$  will be denoted by  $\alpha.\beta$  or simply  $\alpha\beta$ . Let  $f : X \rightarrow Y$  be a morphism. The pullback is defined for cohomology rings in the usual way. We assume  $X$  and  $Y$  are projective varieties and hence Poincaré duality holds for them. The composition of the dual map of the pullback with the isomorphisms of Poincaré duality give us a map  $f_* : H^*(X, \mathbb{Q}) \rightarrow H^*(Y, \mathbb{Q})$  and moreover we know that the map for degree  $k$  elements satisfy  $f_* : H^k(X, \mathbb{Q}) \rightarrow H^{k+2\dim(Y)-2\dim(X)}(Y, \mathbb{Q})$ . By definition, this map satisfies the Projection Formula, that is,  $f_*(f^*\alpha.\beta) = \alpha.f_*\beta$ .

**Definition 2.2** (Cohomological Fourier-Mukai). Let  $\alpha \in H^*(X \times Y, \mathbb{Q})$ . The cohomological Fourier-Mukai transform is the map  $\Phi_\alpha^H : H^*(X, \mathbb{Q}) \rightarrow H^*(Y, \mathbb{Q})$  defined by  $\Phi_\alpha^H(\beta) = p_*(\beta.q^*(\alpha))$ .

We will now define a map  $ch : K(X) \rightarrow H^*(X, \mathbb{Q})$  called the Chern character. Let  $A^i(X)$  be the cycles of codimension  $i$ . First we define an element  $c_i(\mathcal{E}) \in A^i(X)$  for a locally free sheaf  $\mathcal{E}$ . Recall that we also have a map  $A^i(X) \rightarrow H^{2i}(X, \mathbb{Q})$ . Notice that taking coefficients in  $\mathbb{C}$  and using the Hodge decomposition, this map will land in  $H^{i,i}(X)$ . For the current purposes it is enough to give some conditions for  $c_t(\mathcal{E}) = c_0(\mathcal{E}) + c_1(\mathcal{E})t + c_2(\mathcal{E})t^2 + \dots$  to satisfy, as it will define the elements in a unique way. The conditions are:

1. If  $\mathcal{E} \cong \mathcal{O}_X(D)$  for a divisor  $D$ , then  $c_t(\mathcal{E}) = 1 + Dt$ .
2. For  $f : Y \rightarrow X$ ,  $c_i(f^*\mathcal{E}) = f^*c_i(\mathcal{E})$  for all  $i$ .
3. For an exact sequence  $0 \rightarrow \mathcal{E}' \rightarrow \mathcal{E} \rightarrow \mathcal{E}'' \rightarrow 0$ ,  $c_t(\mathcal{E}) = c_t(\mathcal{E}').c_t(\mathcal{E}'')$ .

It satisfies the following condition: suppose we have a filtration  $\mathcal{E} = \mathcal{E}_0 \supseteq \mathcal{E}_1 \supseteq \dots \supseteq \mathcal{E}_r = 0$  such that  $\mathcal{E}_i/\mathcal{E}_{i+1} \cong \mathcal{L}_i$  an invertible sheaf. Then we have that  $c_t(\mathcal{E}) = \prod c_t(\mathcal{L}_i)$  and for this we can use condition 1.

Suppose now that  $c_t(\mathcal{E}) = \prod (1 + a_i t)$ . We define  $ch(\mathcal{E}) = \sum \exp(a_i)$ . Notice that for  $\mathcal{L} \in \text{Pic}(X)$ , we have  $ch(\mathcal{L}) = \sum \frac{c_1(\mathcal{L})^i}{i!}$ . We also define the Todd class as  $td(\mathcal{E}) = \prod \frac{a_i}{1 - e^{-a_i}}$ . We have that for an exact sequence  $0 \rightarrow \mathcal{E}' \rightarrow \mathcal{E} \rightarrow \mathcal{E}'' \rightarrow 0$ ,  $td(\mathcal{E}) = td(\mathcal{E}') \cdot td(\mathcal{E}'')$ .

**Definition 2.3.** Let  $X$  be a smooth variety. The Todd class of  $X$  is defined as  $td(X) = td(\mathcal{T}_X)$ .

**Theorem 2.4** (Grothendieck-Riemann-Roch formula). *Let  $f : X \rightarrow Y$  be a projective morphism of smooth projective varieties. Then for any  $e \in K(X)$*

$$ch(f_!(e)) \cdot td(Y) = f_*(ch(e) \cdot td(X))$$

For the case  $Y = \text{Spec}(k)$  we have that the pushforward may only be the nonzero map for  $H^{2n}(X, \mathbb{Q})$  where  $n = \dim(X)$ . We denote by  $\int_X$  the pushforward in this case. Notice that the map tells us the element in that degree. Also, notice that  $f_![\mathcal{F}] = \sum (-1)^i [R^i f_* \mathcal{F}] = \sum (-1)^i [H^i(X, \mathcal{F})] = \chi(\mathcal{F})$ . Taking this case we recover the following theorem:

**Theorem 2.5** (Hirzebruch-Riemann-Roch). *For any  $e \in K(X)$  we have  $\chi(e) = \int_X (ch(e) \cdot td(X))$ .*

**Definition 2.6.** The Mukai vector of  $e \in K(X)$  is  $v(e) = ch(e) \cdot \sqrt{td(X)}$ . For  $\mathcal{E}^\bullet \in D^b(X)$  we define  $v(\mathcal{E}^\bullet) = v([\mathcal{E}^\bullet]) = ch(\mathcal{E}^\bullet) \cdot \sqrt{td(X)}$ .

Notice that it makes sense to write  $\sqrt{td(X)}$  as  $td(X) = 1 + \dots$  then we can construct an element such that its square is  $td(X)$ .

The following is a Corollary of 2.4.

**Corollary 2.7.** *Let  $e \in K(X \times Y)$ . Then the following diagram commute:*

$$\begin{array}{ccc} K(X) & \xrightarrow{\Phi_e^K} & K(Y) \\ v \downarrow & & \downarrow v \\ H^*(X, \mathbb{Q}) & \xrightarrow{\Phi_{v(e)}^H} & H^*(Y, \mathbb{Q}) \end{array}$$

*Proof.* We check that the following commute:

$$\begin{array}{ccccccc} K(X) & \xrightarrow{q^*} & K(X \times Y) & \xrightarrow{\cdot e} & K(X \times Y) & \xrightarrow{p!} & K(Y) \\ v \downarrow & & \downarrow v\sqrt{td(Y)}^{-1} & & \downarrow v\sqrt{td(X)} & & \downarrow v \\ H^*(X, \mathbb{Q}) & \xrightarrow{q^*} & H^*(X \times Y, \mathbb{Q}) & \xrightarrow{\cdot v(e)} & H^*(X \times Y, \mathbb{Q}) & \xrightarrow{p_*} & H^*(Y, \mathbb{Q}) \end{array}$$

□

Given  $\mathcal{P} \in D^b(X \times Y)$  we will denote by  $\Phi_{\mathcal{P}}^H$  the induced cohomological Fourier-Mukai transform  $\Phi_{v(\mathcal{P})}^H$ . As characteristic classes are in even degree as they come from cycles, we have that  $\Phi_{\mathcal{P}}^H$  respects the parity. Indeed,  $\Phi_{\mathcal{P}}^H$  is an intersection with an even element followed by a pushforward which respects parity, so we have:  $\Phi_{\mathcal{P}}^H(H^{\text{even}}(X)) \subseteq H^{\text{even}}(Y)$  and  $\Phi_{\mathcal{P}}^H(H^{\text{odd}}(X)) \subseteq H^{\text{odd}}(Y)$ .

Using the same notation as for Fourier-Mukai we have the following lemma.

**Lemma 2.8.** *Let  $\Phi_{\mathcal{P}} : D^b(X) \rightarrow D^b(Y)$  and  $\Phi_{\mathcal{Q}} : D^b(Y) \rightarrow D^b(Z)$  be two Fourier-Mukai transforms and let  $\Phi_{\mathcal{R}} : D^b(X) \rightarrow D^b(Z)$  be the composition. Then  $\Phi_{\mathcal{R}}^H = \Phi_{\mathcal{Q}}^H \circ \Phi_{\mathcal{P}}^H$*

**Proposition 2.9.** *Suppose that  $\Phi_{\mathcal{P}} : D^b(X) \rightarrow D^b(Y)$  is an equivalence for some  $\mathcal{P} \in D^b(X \times Y)$ . Then the induced cohomological Fourier-Mukai transform  $\Phi_{\mathcal{P}}^H : H^*(X, \mathbb{Q}) \rightarrow H^*(Y, \mathbb{Q})$  is an isomorphism of rational vector spaces.*

*Proof.* As  $\Phi_{\mathcal{P}}$  is an equivalence then  $\Phi_{\mathcal{P}_R} \circ \Phi_{\mathcal{P}} \cong \text{id} \cong \Phi_{O_{\Delta}}$  and  $\Phi_{\mathcal{P}} \circ \Phi_{\mathcal{P}_R} \cong \text{id} \cong \Phi_{O_{\Delta}}$ . Due to the previous lemma, we can conclude then that  $\Phi_{\mathcal{P}_R}^H \circ \Phi_{\mathcal{P}}^H \cong \Phi_{O_{\Delta}}^H$  and  $\Phi_{\mathcal{P}}^H \circ \Phi_{\mathcal{P}_R}^H \cong \Phi_{O_{\Delta}}^H$ . So it is enough to show that  $\Phi_{O_{\Delta}}^H \cong \text{id}$ .

Let  $i : X \rightarrow \Delta \hookrightarrow X \times X$ . Using Grothendieck-Riemann-Roch we have that  $ch(O_{\Delta}).\text{td}(X \times X) = ch(i_* O_X).\text{td}(X \times X) = i_*(ch(O_X).\text{td}(X)) = i_*.\text{td}(X)$ . The last equality is because  $ch(O_X) = 1$ . So we get that  $v(O_{\Delta}) = ch(O_{\Delta}).\sqrt{\text{td}(X \times X)} = i_*(\text{td}(X)).\sqrt{\text{td}(X \times X)}^{-1} = i_*(\text{td}(X).i^*\sqrt{\text{td}(X \times X)}^{-1}) = i_*(\text{td}(X).\text{td}(X)^{-1}) = i_*(1)$ . We used that  $i^*(\sqrt{\text{td}(X \times X)}) = \text{td}(X)$ .

Finally, we get that  $\Phi_{O_{\Delta}}^H(\beta) = p_*(q^*(\beta).v(O_{\Delta})) = p_*(q^*(\beta).i_*(1)) = p_*i_*(i^*q^*(\beta)) = \beta$ . □

As we are working with  $X$  smooth projective variety over  $\mathbb{C}$ , we have a Hodge structure  $H^k(X, \mathbb{C}) = \bigoplus_{p+q=k} H^{p,q}(X)$  such that  $\bar{H}^{p,q}(X) = H^{q,p}(X)$

and  $H^{p,q}(X) = H^q(X, \Omega_X^p)$ . As explained before all characteristic classes are of type  $(p, p)$ , so  $v() : K(X) \rightarrow \bigoplus H^{p,p}(X) \cap H^{2p}(X, \mathbb{Q})$ .

We have seen that the cohomological Fourier-Mukai does not respect the grading, only the parity. But we can improve that using the Hodge decomposition.

**Proposition 2.10.** *Suppose  $\Phi_{\mathcal{P}} : D^b(X) \rightarrow D^b(Y)$  is an equivalence. Then the induced  $\Phi_{\mathcal{P}}^H$  yields isomorphisms  $\bigoplus_{p-q=i} H^{p,q}(X) \cong \bigoplus_{p-q=i} H^{p,q}(Y) \forall i = -\dim(X), \dots, \dim(X)$ .*

*Proof.*  $\Phi_{\mathcal{P}}^H$  defines an isomorphism between  $H^*(x, \mathbb{Q})$  and  $H^*(Y, \mathbb{Q})$  as we saw before. So we need to see that its  $\mathbb{C}$ -linear extension satisfies  $\Phi_{\mathcal{P}}^H(H^{p,q}(X)) \subseteq$

$\bigoplus_{p-q=r-s} H^{r,s}(Y)$ . Consider the Künneth decomposition of  $v(\mathcal{P}) = \sum \alpha^{p',q'} \times$

$\beta^{r,s}$  with  $\alpha^{p',q'} \in H^{p',q'}(X)$  and  $\beta^{r,s} \in H^{r,s}(Y)$ . We know the element is a sum of element of type  $(t, t)$  we only consider the part of the sum such that  $p' + r = q' + s$ .

Let  $\alpha \in H^{p,q}(X)$ . Then  $\Phi_{\mathcal{P}}^H(\alpha) = p_*(q^*(\alpha) \cdot \sum \alpha^{p',q'} \times \beta^{r,s}) = \sum (\int_X (\alpha \cdot \alpha^{p',q'})) \beta^{r,s} \in \bigoplus H^{r,s}$ . The last equality is by definition of pushforward and how the pushforward behaves in the Künneth decomposition. Then, it is needed that  $(p + p', q + q') = (\dim(X), \dim(X))$ . The result follows.  $\square$

**Corollary 2.11.** *Let  $E, E'$  be two elliptic curves. Then  $D^b(E) \cong D^b(E')$  if and only if  $E \cong E'$ .*

*Proof.* Let  $\Phi_{\mathcal{P}}$  be the equivalence. As  $\Phi_{\mathcal{P}}^H$  respects parity, it induces an isomorphism  $H^1(E) \cong H^1(E')$  and  $H^0(E) \oplus H^2(E) \cong H^0(E') \oplus H^2(E')$ . By the previous proposition we know it also induces an isomorphism on  $H^{0,1}$  and  $H^{1,0}$ . We also know that  $E \cong H^{1,0}(E)^*/H_1(E, \mathbb{Z}) \cong H^{0,1}(E)/H^1(E, \mathbb{Z})$ . So we need to show that  $\Phi_{\mathcal{P}}^H(H^1(E, \mathbb{Z})) \subseteq H^1(E', \mathbb{Z})$ . As they are elliptic curves we have that  $\text{td}(E \times E') = 1$  and  $ch(\mathcal{P}) = r + c_1(\mathcal{P}) + \frac{1}{2}(c_1^2 - 2c_2)(\mathcal{P})$ . But the last term does not contribute to  $H^1$ . The result follows.  $\square$

## References

- [1] Huybrechts, Daniel, *Fourier Mukai Transforms in Algebraic Geometry*,