5G/NR - Frame Structure

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Frame Structure

There has been long long discussions on frame structure both in academia and in 3GPP and now we have pretty clear agreements on what a NR(5G) radio frame would look like. In this page, I will describe on NR Frame Structure that is specified in 3GPP specification (38.211). If you are intrested in those long discussions and histories about show these specification came out for your personal interests and study, refer to <u>5G Frame Structure Candidate page</u>.

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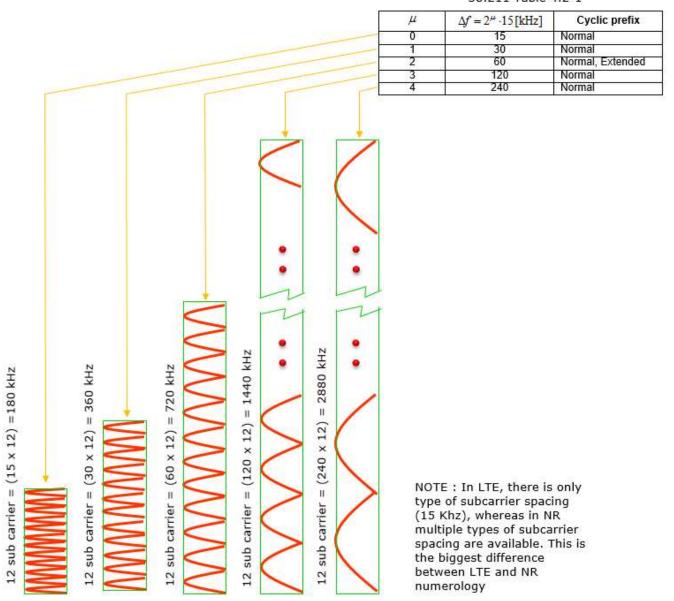
Numerology - Subcarrier Spacing

Comparing to LTE numberology (subcarrier spacing and symbol length), the most outstanding diffrence you can notice is thet NR support multiple different types of subcarrier spacing (in LTE there is only one type of subcarrier spacing, 15 Khz). The types NR numerology is summarized in 38.211 and I converted the table into illustration to give you intuitive understanding of these numerology.

As you see here, each numerology is labled as a parameter(u, mu in Greek). The numerology (u = 0) represents 15 kHz which is same as LTE. And as you see in the second column the subcarrier spacing of other u is derived from (u=0) by scaling up in the power of 2.

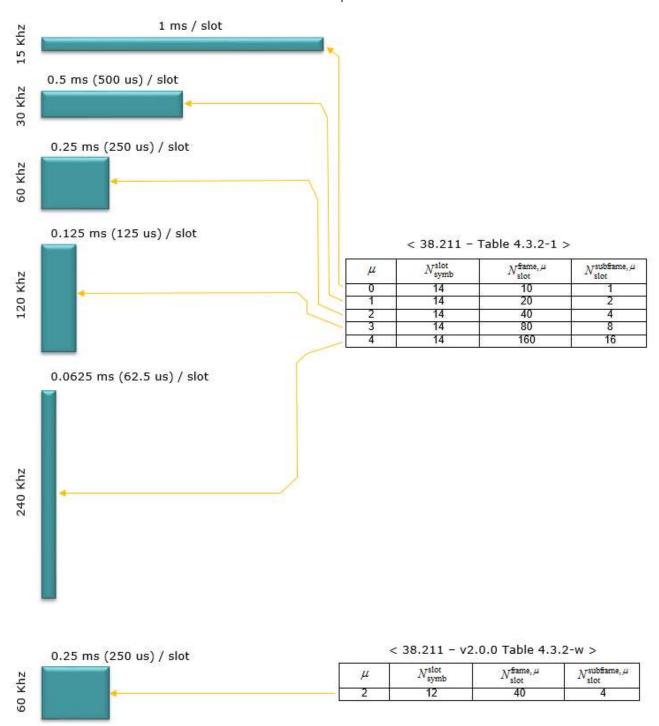
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38.211 Table 4.2-1



Numerology and Slot Length

As illustrated below, Slot length gets different depending on numerology. The general tendancy is that slot length gets shorter as subcarrier spacing gets wider. Actually this tendancy comes from the nature of OFDM. You would see further details on how the slot length is derived in Radio Frame Structure section.



Numerology and Supported Channels

Not every numerology can be used for every physical channel and signals. That is, there is a specific numerologies that are used only for a certain type of physical channels even though majority of the numerologies can be used any type of physical channels. Following table shows which numerologies can be used for which physical channels.

< 38.300-Table 5.1-1: Supported transmission numerologies and additional info.>

	< 36.300-la	bie 3.1-1. Supporte	u transmission numero	iogies and additional info	'- <i>'</i>
Numerology	Subcarrier Spacing (kHz)	CP type	Supported for Data (PDSCH, PUSCH etc)	Supported for Sync (PSS,SSS,PBCH)	PRACH
N/A	1.25		No	No	Long Preamble
N/A	5		No	No	Long Preamble
0	15	Normal	Yes	Yes	Short Preamble
1	30	Normal	Yes	Yes	Short Preamble
2	60	Normal,Extended	Yes	No	Short Preamble
3	120	Normal	Yes	Yes	Short Preamble
4	240	Normal	No	Yes	

OFDM Symbol Duration

Parameter / Numerlogy (u)	0	1	2	3	4
Subcarrier Spacing (Khz)	15	30	60	120	240
OFDM Symbol Duration (us)	66.67	33.33	16.67	8.33	4.17
Cyclic Prefix Duration (us)	4.69	2.34	1.17	0.57	0.29
OFDM Symbol including CP (us)	71.35	35.68	17.84	8.92	4.46

Numerology - Sampling Time

Sampling time can be defined differently depending on Numerogy (i.e, Subcarrier Spacing) and in most case two types of Timing Unit Tc and Ts are used.

- Tc = 0.509 ns
- Ts = 32.552 ns

See Physial Layer Timing Unit page to see how these numbers are derived and to see some other timing units.

Radio Frame Structure

As described above, in 5G/NR multiple numerologies(waveform configuration like subframe spacing) are supported and the radio frame structure gets a little bit different depending on the type of the numerology. However, regardless of numerology the length of one radio frame and the length of one subfame is same. The length of a Radio Frame is always 10 ms and the length of a subframe is always 1 ms.

Then what should get different to accommondate the physical property of the different numerology? The anwer is to put different number of slots within one subfame. There is another varying parameter with numerology. It is the number of symbols within a slot. However, the number of symbols within a slot does not change with the numberology, it only changes with slot configuration type. For slot configuration 0, the number of symbols for a slot is always 14 and for slot configuration 1, the number of symbols for a slot is always 7.

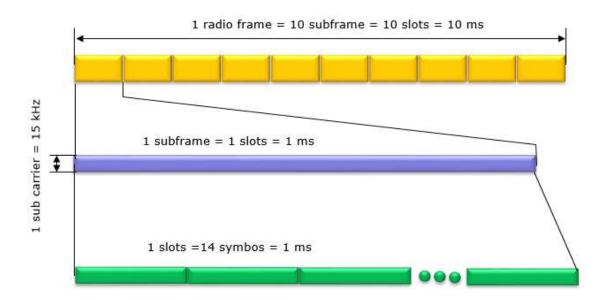
Now let's look into the details of radio frame structure for each numerology and slot configuration.

< Normal CP, Numerology = 0 >

In this configuration, a subframe has only one slot in it, it means a radio frame contains 10 slots in it. The number of OFDM symbols within a slot is 14.

< 38.211 - Table 4.3.2-1 >

μ	$N_{ m symb}^{ m slot}$	$N_{ m slot}^{ m frame,\mu}$	$N_{ m slot}^{ m subframe}, \mu$
0	14	10	1
1	14	20	2
2	14	40	4
3	14	80	8
4	14	160	16

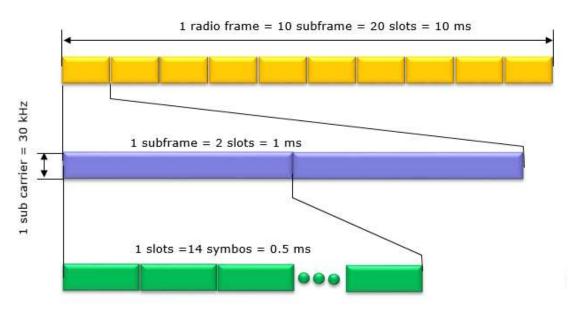


< Normal CP, Numerology = 1 >

In this configuration, a subframe has 2 slots in it, it means a radio frame contains 20 slots in it. The number of OFDM symbols within a slot is 14.

< 38.211 - Table 4.3.2-1 >

μ	$N_{ m symb}^{ m slot}$	$N_{ m slot}^{ m frame,\mu}$	$N_{ m slot}^{ m subframe}, \mu$
0	14	10	1
1	14	20	2
2	14	40	4
3	14	80	8
4	14	160	16

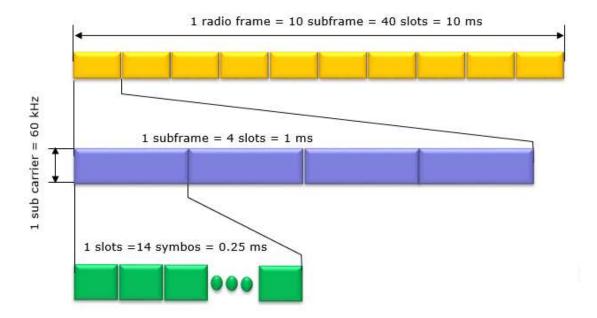


< Normal CP, Numerology = 2 >

In this configuration, a subframe has 4 slots in it, it means a radio frame contains 40 slots in it. The number of OFDM symbols within a slot is 14.

< 38.211 - Table 4.3.2-1 >

μ	$N_{ m symb}^{ m slot}$	$N_{ m slot}^{ m frame,}\mu$	$N_{ m slot}^{ m subframe}, \mu$
0	14	10	1
1	14	20	2
2	14	40	4
3	14	80	8
4	14	160	16

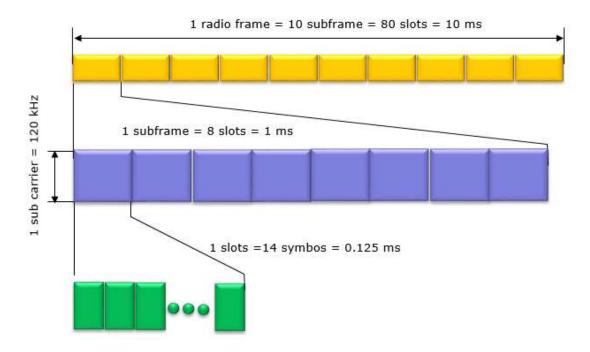


< Normal CP, Numerology = 3 >

In this configuration, a subframe has 8 slots in it, it means a radio frame contains 80 slots in it. The number of OFDM symbols within a slot is 14.

< 38.211 - Table 4.3.2-1 >

μ	N ^{slot} symb	$N_{ m slot}^{ m frame,} \mu$	$N_{ m slot}^{ m subframe}, \mu$
0	14	10	1
1	14	20	2
2	14	40	4
3	14	80	8
4	14	160	16



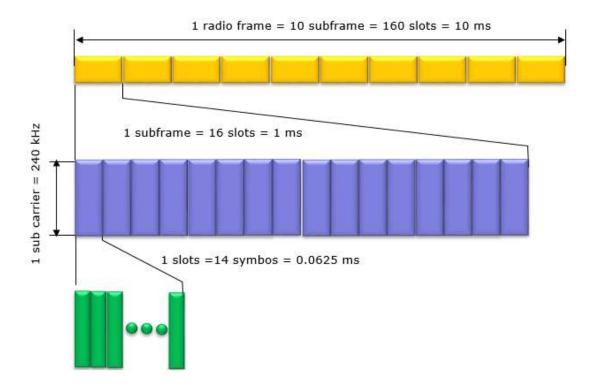
< Normal CP, Numerology = 4 >

In this configuration, a subframe has 16 slots in it, it means a radio frame contains 160 slots in it. The number of OFDM symbols within a slot is 14.

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25.03.2021 < 38.211 - Table 4.3.2-1 >

μ	N ^{slot} symb	$N_{ m slot}^{ m frame,} \mu$	$N_{ m slot}^{ m subframe}, \mu$
0	14	10	1
1	14	20	2
2	14	40	4
3	14	80	8
4	14	160	16

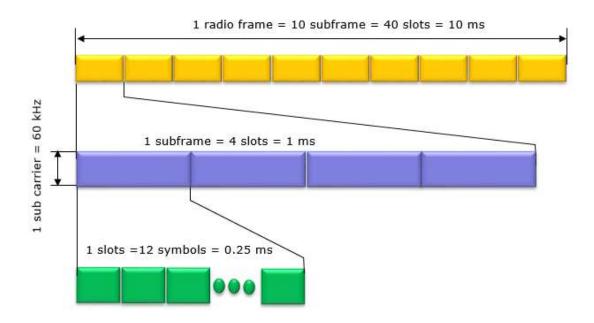


< Extended CP, Numerology = 2 >

In this configuration, a subframe has 4 slots in it, it means a radio frame contains 40 slots in it. The number of OFDM symbols within a slot is 12.

< 38.211 - v2.0.0 Table 4.3.2-w >

μ	$N_{ m symb}^{ m slot}$	$N_{ m slot}^{ m frame,\mu}$	$N_{ m slot}^{ m subframe,\mu}$
2	12	40	4



Slot Format

Slot Format indicates how each of symbols within a single slot is used. It defines which symbols are used for uplink and which symbols are used for downlink within a specific slot. In LTE TDD, if a subframe (equivalent to a Slot in NR) is configured for DL or UL, all of the symbols within the subframe should be used as DL or UL. But in NR, the symbols within a slot can be configured in various ways as follows.

- We don't need to use every symbols within a slot (this can be a similar concept in LAA subframe where only a part of subframes can be used for data transmission).
- Single slot can be devided into multiple segments of consecutive symbols that can be used for DL , UL or Flexible.

Theoretically we can think of almost infinite number of possible combinations of DL symbol, UL symbol, Flexible Symbol within a slot, but 3GPP allows only 61 predefined symbol combination within a slot as in following table. These predefined symbol allocation of a slot called Slot Format. (For the details on how these Slot Format is being used in real operation, refer to Slot Format Combination page).

<38.213 v15.7 -Table 11.1.1-1: Slot formats for normal cyclic prefix> D: Downlink, U: Uplink, F: Flexible

								ber in a						
Format	0	1	2	3	4	5	6	7	8	9	10	11	12	13
0	D	D	D	D	D	D	D	D	D	D	D	D	D	D
1	U	U	U	U	U	U	U	U	U	U	U	U	U	U
2	F	F	F	F	F	F	F	F	F	F	F	F	F	F
3	D	D	D	D	D	D	D	D	D	D	D	D	D	F
4	D	D	D	D	D	D	D	D	D	D	D	D	F	F
5	D	D	D	D	D	D	D	D	D	D	D	F	F	F
6	D	D	D	D	D	D	D	D	D	D	F	F	F	F
7	D	D	D	D	D	D	D	D	D	F	F	F	F	F
8	F	F	F	F	F	F	F	F	F	F	F	F	F	U
9	F	F	F	F	F	F	F	F	F	F	F	F	U	U
10	F	U	U	U	U	U	U	U	U	U	U	U	U	U
11	F	F	U	U	U	U	U	U	U	U	U	U	U	U
12	F	F	F	U	U	U	U	U	U	U	U	U	U	U
13	F	F	F	F	U	U	U	U	U	U	U	U	U	U
14	F	F	F	F	F	U	U	U	U	U	U	U	U	U
15	F	F	F	F	F	F	U	U	U	U	U	U	U	U

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16	D	F	F	F	F	F	F	F	F	F	F	F	F	F
17	D	D	F	F	F	F	F	F	F	F	F	F	F	F
18	D	D	D	F	F	F	F	F	F	F	F	F	F	F
19	D	F	F	F	F	F	F	F	F	F	F	F	F	U
20	D	D	F	F	F	F	F	F	F	F	F	F	F	U
21	D	D	D	F	F	F	F	F	F	F	F	F	F	U
22	D	F	F	F	F	F	F	F	F	F	F	F	U	U
23	D	D	F	F	F	F	F	F	F	F	F	F	U	U
24	D	D	D	F	F	F	F	F	F	F	F	F	U	U
25	D	F	F	F	F	F	F	F	F	F	F	U	U	U
26	D	D	F	F	F	F	F	F	F	F	F	U	U	U
27	D	D	D	F	F	F	F	F	F	F	F	U	U	U
28	D	D	D	D	D	D	D	D	D	D	D	D	F	U
29	D	D	D	D	D	D	D	D	D	D	D	F	F	U
30	D	D	D	D	D	D	D	D	D	D	F	F	F	U
31	D	D	D	D	D	D	D	D	D	D	D	F	U	U
32	D	D	D	D	D	D	D	D	D	D	F	F	U	U
33	D	D	D	D	D	D	D	D	D	F	F	F	U	U
34	D	F	U	U	U	U	U	U	U	U	U	U	U	U
35	D	D	F	U	U	U	U	U	U	U	U	U	U	U
36	D	D	D	F	U	U	U	U	U	U	U	U	U	U
37	D	F	F	U	U	U	U	U	U	U	U	U	U	U
38	D	D	F	F	U	U	U	U	U	U	U	U	U	U
39	D	D	D	F	F	U	U	U	U	U	U	U	U	U
40	D	F	F	F	U	U	U	U	U	U	U	U	U	U
41	D	D	F	F	F	U	U	U	U	U	U	U	U	U
42	D	D	D	F	F	F	U	U	U	U	U	U	U	U
43	D	D	D	D	D	D	D	D	D	F	F	F	F	U
44	D	D	D	D	D	D	F	F	F	F	F	F	U	U
45	D	D	D	D	D	D	F	F	U	U	U	U	U	U
46	D	D	D	D	D	F	U	D	D	D	D	D	F	U
47	D	D	F	U	U	U	U	D	D	F	U	U	U	U
48	D	F	U	U	U	U	U	D	F	U	U	U	U	U
49	D	D	D	D	F	F	U	D	D	D	D	F	F	U
50	D	D	F	F	U	U	U	D	D	F	F	U	U	U
51	D	F	F	U	U	U	U	D	F	F	U	U	U	U
52	D	F	F	F	F	F	U	D	F	F	F	F	F	U
53	D	D	F	F	F	F	U	D	D	F	F	F	F	U
54	F	F	F	F	F	F	f	D	D	D	D	D	D	D
55	D	D	F	F	F	U	U	U	D	D	D	D	D	D
62-254	Reserved UE determines the slot format for the slot based on tdd-UL-DL-ConfigurationCommon, or tdd-ULDL-													
	UE de	termine					based c	n tdd-l					or tdd-	ULDL-
255				onfigur										

Why we need so many different types of slot formats? Obviously it is not just to make your job difficult:). It is to make NR scheduling flexible especially for TDD operation. By applying a slot format or combining different slot formats in sequence, we can implement various different types of scheduling as in the following example (these examples are based on <u>5G NEW RADIO</u>: <u>Designing For The Future</u> (Ericsson Technology Review))

DL	-heav	/y tra	ansn	nissi	on w	ith ا	UL p	art																			
				Slot	(e.c	ı, slo	ot fo	mat	28)									Slot	(e.g	, slo	t for	mat	28)				
D	D	D	D	D	D	D	D	D	D	D	D	F	U	D	D	D	D	D	D	D	D	D	D	D	D	F	U

UL-	heav	y tra	ansn	nissi	on w	ith [DL C	ontr	ol																		
				Slot	(e.g	, slo	t for	mat	34)									Slot	(e.g	, slo	t for	mat	34)				
D	F	U	U	U	U	U	U	U	U	U	U	U	U	D	F	U	U	U	U	U	U	U	U	U	U	U	U

Slo	t agg	rega	ation	for	DL-ŀ	neav	y tra	ansm	nissio	on (e	e.g, 1	for e	MBE	3)													
	Slot(e.g, slot format 0)														Slot	(e.ç	ı, slo	t fo	mat	28)	1						
D	D	D	D	D	D	D	D	D	D	D	D	D	D	D	D	D	D	D	D	D	D	D	D	D	D	F	U

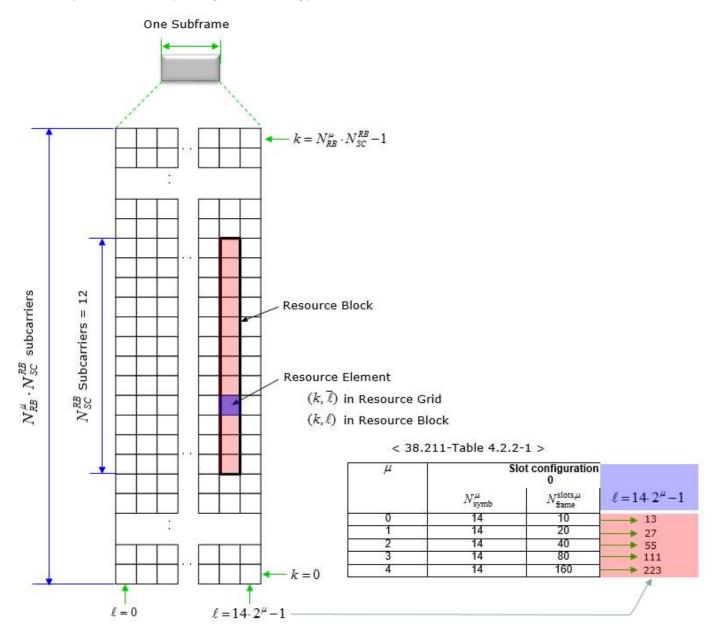
SI	ot	agg	rega	ation	for	UL-ŀ	neav	y tra	ınsm	issic	n (e	e.g, f	or e	MBB)													
	Slot									Slot																		
		F	U	U	U	U	U	U	U	U	U	U	U	U	D	U	U	U	U	U	U	U	U	U	U	U	U	U

TDD DL/UL Common Configuration

See TDD DL/UL Common Configuration page.

Resource Grid

The resource grid for NR is defined as follows. If you just take a look at the picture, you would think it is almost identical to LTE resource grid. But the physical dimmension (i.e, subcarrier spacing, number of OFDM symbols within a radio frame) varies in NR depending on numerology.



The maximum number of Resource blocks for downlink and uplink is defined as below (this is different from LTE). Following is the maximum number of RBs you can configure in RRC message and DCI. In terms of RF, you may need a little bit wider bandwidth than this because you need to consider the guardband. Refer to this page for estimating RF spectrum (RF bandwidth).

< 38.101-1 Table 5.3.2-1: Maximum transmission bandwidth configuration NRB: FR1 >

SCS (kHz)	5 MHz	10 MHz	15 MHz	20 MHz	25 MHz	30 MHz	40 MHz	50 MHz	60 MHz	80 MHz	100 MHz
	N _{RB}										
15	25	52	79	106	133	[TBD]	216	270	N/A	N/A	N/A
30	11	24	38	51	65	[TBD]	106	133	162	217	273
60	N/A	11	18	24	31	[TBD]	51	65	79	107	135

< 38.101-2 Table 5.3.2-1: Maximum transmission bandwidth configuration NRB : FR2 >

SCS (kHz)	50MHz	100MHz	200MHz	400 MHz		
	N _{RB}	N _{RB}	N _{RB}	N _{RB}		
60	66	132	264	N.A		
120	32	66	132	264		

SS/PBCH

SS(PSS and SSS) and PBCH in NR is transmitted in the same 4 symbol block as specified in the following table.

< Frequency Domain Resource Allocation >

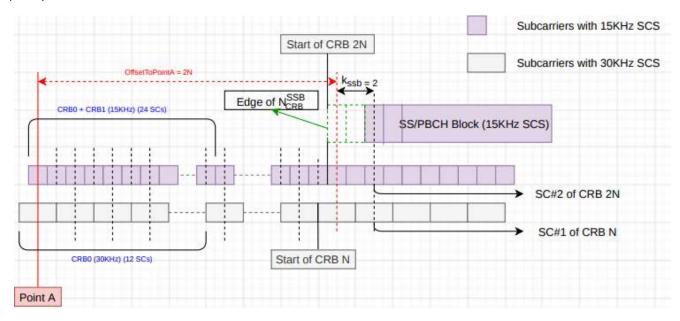
Overall description on the resource allocation for SS/PBCH block is described in 38.211 - 7.4.3.1 Time-frequency structure of an SS/PBCH block and followings are the summary of the specification.

- SS/PBCH block consists of 240 contiguous subcarriers (20 RBs)
- The subcarriers are numbered in increasing order from 0 to 239 within the SS/PBCH block
- The UE may assume that the contents(value) of the resource elements denoted as 'Set to 0' in Table 7.4.3.1-1 are set to zero. (This mean that the contents of the gray colored resource element in the SSB diagram shown below is filled with zeros).
- k_ssb corresponds to the gap between Subcarrier 0 of SS/PBCH block and Common Resource Block NCRB
 - NCRB is obtained from the higher-layer parameter OffsetToPointA
 - o offset-ref-low-scs-ref-PRB corresponds to the FrequencyInfoDL.absoluteFrequencyPointA. Data type is ARFCN-ValueNR and the range of the value is INTEGER (0..3279165) in integer.
- There are two types of SS/PBCH Block
 - Type A (Sub 6)
 - $k_ssb(k0 \text{ in older spec}) = \{0,1,2,...,23\}$
 - 4 LSB bits of k_ssb value can informed to UE via ssb-subcarrierOffset in MIB
 - The MSB bit is informed to UE via a bit within the PBCH Data (⁴⁷⁺⁵)
 - is expressed in terms of 15 Khz subcarrier spacing
 - u (numerology) = {0,1}, FR1 (sub 6 Ghz)
 - NSSB is expressed in terms of 15 Khz subcarrier spacing
 - Type B (mmWave)
 - $k_ssb(k0 \text{ in older spec}) = \{0,1,2,...,11\}$
 - the whole k_ssb value can be informed to UE via ssb-subcarrierOffset in MIB
 - ullet is expressed in terms of the subcarrier spacing provided by the higher-layer parameter subCarrierSpacingCommon in ${
 m \underline{MIB}}$.
 - u (numerology) = {3,4}, FR2 (mmWave)

 N_{CRB}^{SSB} is expressed in terms of 60 Khz subcarrier spacing

NOTE: Actually understanding k_ssb and NCRB in the resource grid often get confusing and hard to visualize. One of Sharetechnote reader Koray Kökten kindly send me a nice diagram visualizing this and allowed me to share it in the note. Following is an example where the SubcarrierSpacingCommon is equal to 30KHz, and k_ssb=2, where in such a case the center of the first subcarrier of the SS/PBCH Block (which has 15KHz SCS) coincides with the center

frequency of the subcarrier 1 of N_{CRB}^{SSB}



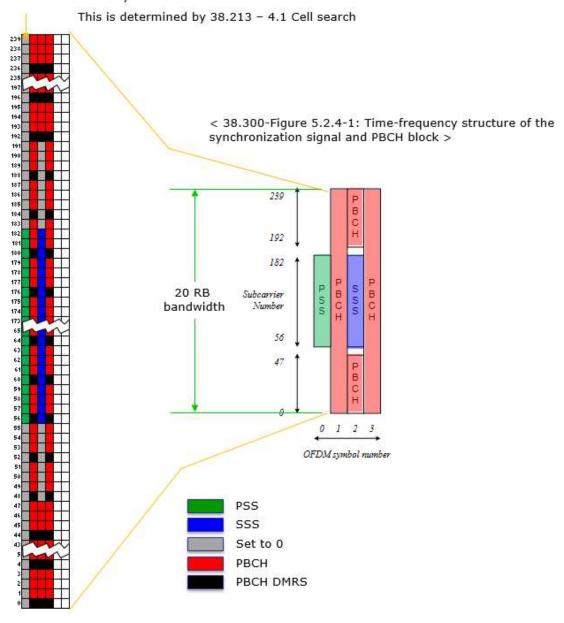
 Following table shows the time domain (OFDM symbol number) and frequency domain (Subcarrier Number) within SS/PBCH bloc.

< 38.211- Table 7.4.3.1-1: Resources within an SS/PBCH block for PSS, SSS, PBCH, and DM-RS for PBCH >

Channel or signal	OFDM symbol number 1 relative to the start of an SS/PBCH block	Subcarrier number k relative to the start of an SS/PBCH block				
PSS	0	56, 57,, 182				
SSS	2	56, 57,, 182				
Set to 0	0	0, 1,, 55, 183, 184,, 236				
(a)	2	48, 49,, 55, 183, 184,, 191				
- 1	1, 3	0, 1,, 239				
PBCH	2	0, 1,, 47, 192, 193,, 239				
fo ano secretative materia	1, 3	0 + v, 4 + v, 8 + v,, 236 + v				
DM-RS for PBCH	2	0 + v, 4 + v, 8 + v,, 44 + v 192 + v, 196 + v,, 236 + v				

This table can be represented in Resource Grid as shown below. Note that the position of PBCH DM-RS varies with v and the value v changes depending on Physical Cell ID.

The first OFDM Symbol



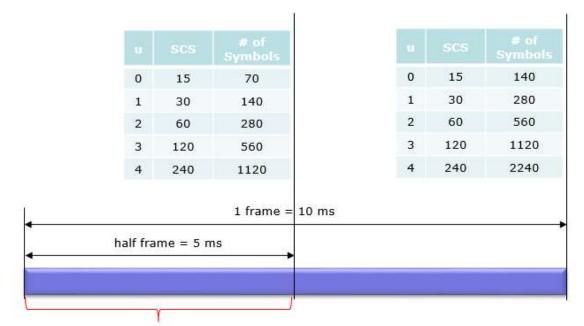
< Time Domain Resource Allocation >

Following table indicates the first OFDM symbol number (s) where SS/PBCH is transmitted. This is based on 38.213 - 4.1 Cell Search.

The document states as follows:

For a half frame with SS/PBCH blocks, the number and first symbol indexes for candidate SS/PBCH blocks are determined according to the subcarrier spacing of SS/PBCH blocks as follows.

This mean that [38.213 - 4.1 Cell Search] specifies SS/PBCH location in time domain as illustrated below.



[38.213 - 4.1 Cell Search] specifies the starting OFDM symbol number of SSBlock in this time span

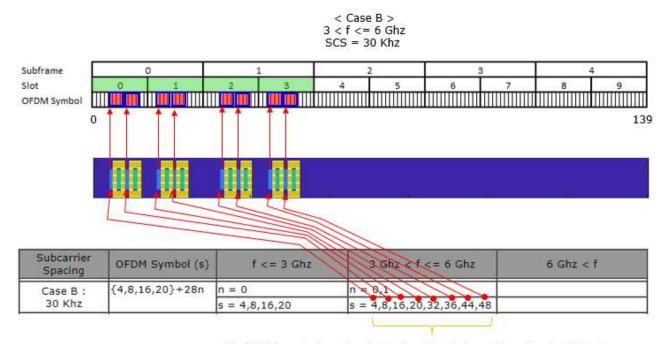
< Start Symbols for each subcarrier spacing and frequency >

Subcarrier	OFDM Symbol (s)	f <= 3 Ghz	3 Ghz < f <= 6 Ghz	6 Ghz < f
Spacing	1 1			6 GHZ < 1
Case A:	{2,8} + 14 n	n = 0,1	n = 0,1,2,3	
15 KHz		s = 2,8,16,22 (<i>Lmax</i> = 4)	s = 2,8,16,22,30,36,44,50 (<i>Lmax</i> = 8)	
	{4,8,16,20}+28n	n = 0	n = 0,1	
Case B:	(1,0,10,20) 12011	s = 4,8,16,20	s = 4,8,16,20,32,36,44,48	
30 Khz		(Lmax = 4)	(Lmax = 8)	
Case C :	{2,8} + 14 n	n = 0,1	n = 0,1,2,3	
30 Khz		s = 2,8,16,22	s = 2,8,16,22,30,36,44,50	
		(Lmax = 4)	(Lmax = 8)	
Case D : 120 Khz	{4,8,16,20} + 28n			n=0, 1, 2, 3, 5, 6, 7, 8, 10, 11, 12, 13, 15, 16, 17, 18
				s = 4,8,16,20,
				32,36,44,48,
				60,64,72,76, 88,92,100,104,
				144,148,156,160,
				172,176,184,188,
				200,204,212,216,
				228,232,240,244,
				284,288,296,300,
				312,316,324,328,
				340,344,352,356,
				368,372,380,384, 424,428,436,440,
				452,456,464,468,
				480,484,492,496,
				508,512,520,524
				(Lmax = 64)
Case E :	{8, 12, 16, 20, 32,			n=0, 1, 2, 3, 5, 6, 7, 8
240 Khz	36, 40, 44} + 56n			s = 8,12,16,20,
				32,36,40,44,
				64,68,72,76,
				88,92,96,100,
				120,124,128,132,
				144,148,152,156,
				176,180,184,188,
				200,204,208,212,
				288,292,296,300, 312,316,320,324,
				344,348,352,356,
1	1	I .	ı	311,310,332,330,



Followings are examples of SSB Transmission for each cases. For the simplicity, I set the frequency domain location of SSB block to be located at the bottom of the system bandwidth, but in reality the frequency domain location can change to other location (e.g, center frequency of the system bandwidth). The main purpose of these examples is o show the time domain location (transmission pattern) of each cases. In real deployment, it is highly likely (but not necessarily) that the frequency domain location of the SSB located around the center frequency.

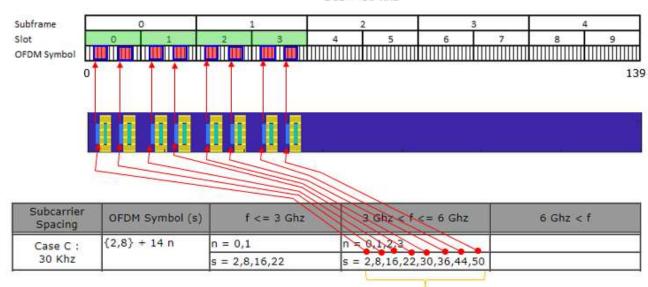
The example below shows how you can correlate the above table to the SSB transmission plot shown in the following examples.



The OFDM symbol number indicating the start position of each SSBlock transmission

= The OFDM symbol number indicating the position of PSS of each SSBlock transmission



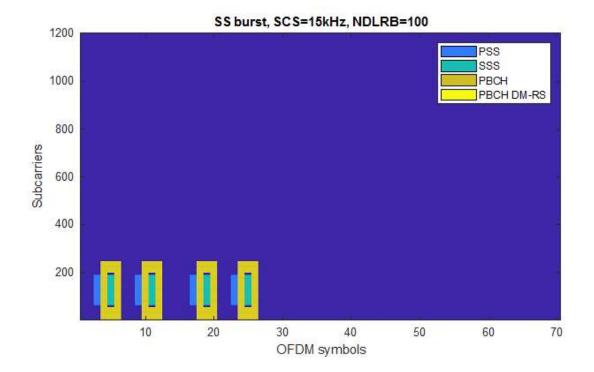


The OFDM symbol number indicating the start position of each SSBlock transmission

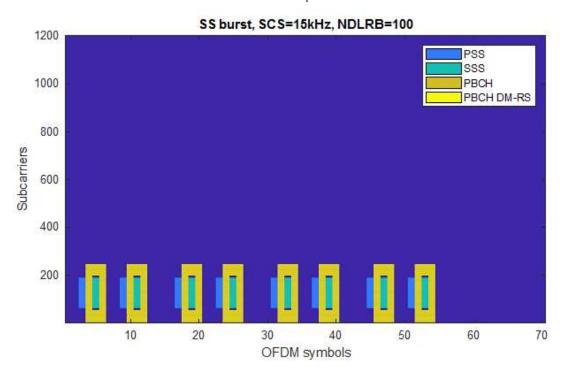
= The OFDM symbol number indicating the position of PSS of each SSBlock transmission

< Case A : f < = 3 Ghz >

This plot is created by Matlab 5G library. See this page for the Matlab code and more examples.

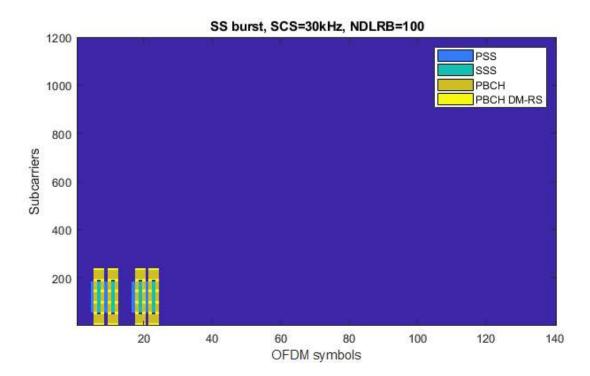


< Case A : 3 Ghz < f < = 6 Ghz >

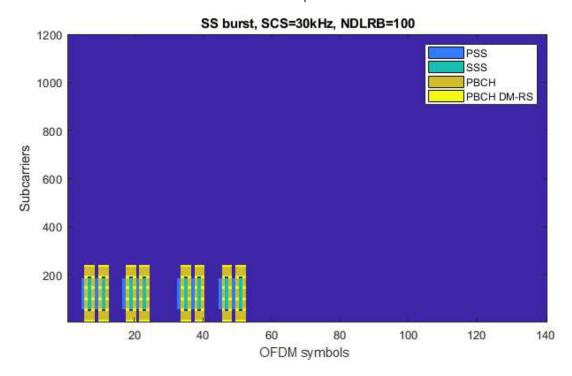


< Case B : f <= 3 Ghz >

This plot is created by Matlab 5G library. See this page for the Matlab code and more examples.

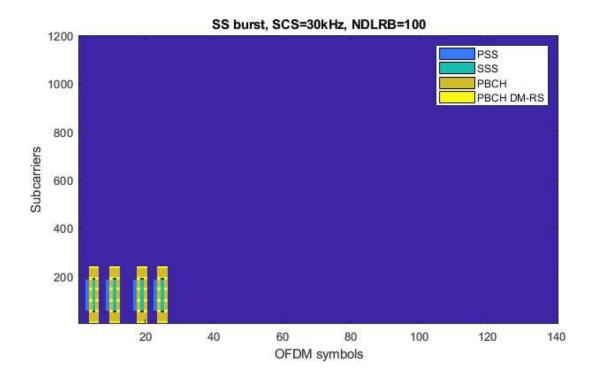


< Case B : 3 Ghz < f < = 6 Ghz >

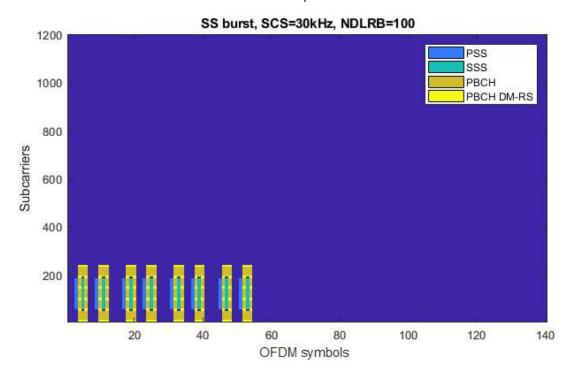


< Case C : f <= 3 Ghz >

This plot is created by Matlab 5G library. See this page for the Matlab code and more examples.

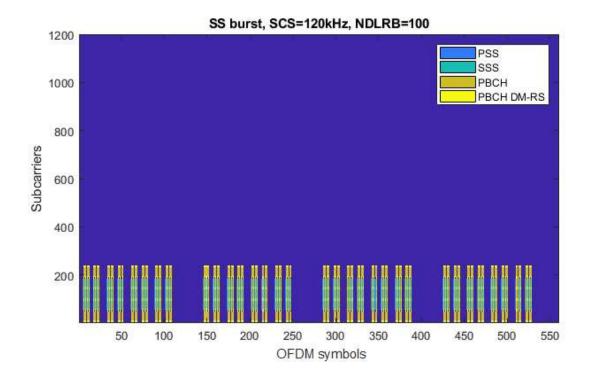


< Case C : 3 Ghz < f < = 6 Ghz >

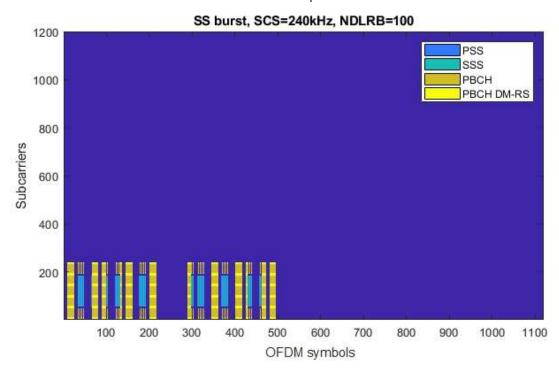


< Case D : 6 Ghz < f >

This plot is created by Matlab 5G library. See this page for the Matlab code and more examples.



< Case E : 6 Ghz < f >



Reference

[1] 3GPP TSG RAN WG1 Meeting NR#3: R1- 1716650 Comparison of PBCH DMRS mapping schemes [2] 3GPP TSG RAN WG1 Meeting NR#3: R1-1715841 Remaining Details on PBCH design and contents [3] 3GPP TSG RAN WG1 Meeting AH_NR#3: R1-1716609 - On remaining details of NR DL DMRS [4] 3GPP TSG RAN WG1 NR Ad-Hoc#3: R1-1716574 - Discussion on time domain resource allocation [5] 5G NEW RADIO: Designing For The Future (Ericsson Technology Review) [6] Making 5G NR a reality (Qualcomm)