# An FPGA-Based Open-Source Hardware-Software Framework for Side-Channel Security Research

Davide Zoni, Andrea Galimberti, Davide Galli

Abstract—Attacks based on side-channel analysis (SCA) pose a severe security threat to modern computing platforms, further exacerbated on IoT devices by their pervasiveness and handling of private and critical data. Designing SCA-resistant computing platforms requires a significant additional effort in the early stages of the IoT devices' life cycle, which is severely constrained by strict time-to-market deadlines and tight budgets. This manuscript introduces a hardware-software framework meant for SCA research on FPGA targets. It delivers an IoT-class system-onchip (SoC) that includes a RISC-V CPU, provides observability and controllability through an ad-hoc debug infrastructure to facilitate SCA attacks and evaluate the platform's security, and streamlines the deployment of SCA countermeasures through dedicated hardware and software features such as a DFS actuator and FreeRTOS support. The open-source release of the framework includes the SoC, the scripts to configure the computing platform, compile a target application, and assess the SCA security, as well as a suite of state-of-the-art attacks and countermeasures. The goal is to foster its adoption and novel developments in the field, empowering designers and researchers to focus on studying SCA countermeasures and attacks while relying on a sound and stable hardware-software platform as the foundation for their research.

*Index Terms*—Side-channel analysis, RISC-V, open source, open hardware, field-programmable gate array, system-on-chip, Internet of things, research.

# I. INTRODUCTION

UNDREDS of billions of Internet of Things (IoT) devices are getting more and more able to autonomously make decisions thanks to artificial intelligence. As they get more capable, pervasive, and interconnected through 5G and 6G networks, IoT devices continuously collect, process, and exchange sensitive and critical data, making it paramount to consider security during their design and whole life cycle [1].

Relying on the theoretical security provided by traditional cryptography solutions such as AES, RSA, and SHA-3, and by secure communication protocols such as TLS and SSH is, however, insufficient to guarantee IoT device security. Sidechannel analysis (SCA) attacks target information collected from executing a specific implementation of a cryptographic scheme or security protocol rather than flaws in their specification or the theoretical problems at their foundation [2]. Such so-called side-channel information includes factors like the time taken for cryptographic computations, power consumption, electromagnetic emissions, and noise generated during execution. While some fault-injection attacks disrupt the target

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device's normal operation, many passive side-channel attacks, such as differential power analysis (DPA), remain undetectable by the system under attack.

While SCA attacks increasingly become serious security threats to IoT devices, and in particular to those that operate in public spaces and are accessible by anyone, the computing platforms that power these devices and that handle sensitive and critical data are, however, often not designed to protect against them. Commercially available microcontroller-based system-on-chips (SoCs), which provide computing and connectivity capabilities to IoT devices, often execute cryptographic applications despite not being designed with security as a primary focus, primarily due to cost-saving considerations [3]. Meanwhile, strict time-to-market pressures, combined with stringent constraints on energy, power consumption, and area, along with the rapidly evolving application domain, drive a shift towards flexible and general-purpose IoT platforms that may support operating systems to facilitate programmability. However, this trend towards general-purpose IoT devices results in more complex computing platforms, making side-channel vulnerability analysis increasingly challenging and necessitating the adoption of novel and efficient analysis frameworks.

In this context, the open literature lacks a comprehensive hardware-software solution to address the challenges of SCA security, particularly for modern RISC-V-based platforms, which are promising candidates for secure-aware designs due to their open and royalty-free instruction set architecture (ISA). The few RISC-V security-oriented solutions, e.g., OpenTitan [4], are not meant as general-purpose computing platforms and do not support the development of novel SCA attack and defense methodologies, while others focus their research effort solely on the hardware side while disregarding the software framework [5] or vice versa.

As new SCA attacks continue to emerge, designing novel IoT devices that are secure against them requires providing the system designers and security researchers with a sound and stable hardware-software platform that lets them focus on analyzing the security against SCA attacks and developing countermeasures to thwart the latter. Moreover, there is a need to not only detect the presence of vulnerabilities, i.e., of so-called information leakage, but also to pinpoint the specific signals in the hardware design that cause such leakage, enabling the designers with the possibility to more easily correct their systems and make them resistant to SCA attacks.

# Contributions

This manuscript introduces JARVIS, an open-source research framework for SCA on FPGA-based IoT-class computing

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platforms, that encompasses a complete SoC and a software toolchain for SCA attacks and countermeasures, delivering a comprehensive hardware-software solution that is, to the best of our knowledge, currently lacking in the open literature.

The framework provides an IoT-class SoC that includes a CPU based on the RISC-V ISA, dedicated hardware to enable the implementation of state-of-the-art SCA countermeasures, an ad-hoc debug infrastructure to maximize the observability and controllability of the computing platform and thus simplify the execution of SCA attacks, and support for the open source FreeRTOS real-time operating system (RTOS). A complete flow encompasses the configuration of the SoC, the execution of target applications and corresponding collection of side-channel information, and the analysis to identify SCA vulnerabilities and leakage sources.

The goal of empowering designers and researchers to focus solely on studying SCA countermeasures is achieved through three main contributions.

- 1) Capability to identify leakage sources. Hardware security requires not just identifying the presence of a vulnerability, but also pinpointing the source of such leakage. The computing platform has a minimal architecture to expose the least leakage sources and make them eventually simpler to identify, in addition to being easier to emulate and cheaper in terms of area and power cost, while a one-to-one match between the prototyped platform and its emulated counterpart is enforced through dedicated hardware mechanisms to enable the collection of the most accurate side-channel signal.
- 2) Usability for research purposes. The framework is released open source<sup>1</sup>, including hardware and software, from the SoC to the software scripts driving the SoC configuration, compilation, prototype execution and emulation, oscilloscope measurement, and state-of-the-art SCA attacks, to foster its adoption in research settings. Carrying out an analysis through the JARVIS framework not only provides the traditional power, performance, and area (PPA) quality metrics, but it extends them by adding the security dimension.
- 3) Complete SCA ecosystem. The framework includes dedicated hardware and software support for state-of-the-art SCA attacks and countermeasures that can be employed out of the box to directly compare with solutions from the literature as well as evaluate and enforce the SCA security of the computing platform. The experimental evaluation in this manuscript demonstrates the framework's capabilities and showcases the SCA attacks and countermeasures included as part of its open-source release.

# Structure of the manuscript

The rest of this manuscript is organized into five parts. Section II provides a background on SCA attacks and countermeasures and an overview of the state-of-the-art RISC-V computing platforms and frameworks for SCA attacks and countermeasures. Section III describes the JARVIS framework

and the hardware-software infrastructure that implements it. Section IV details the key hardware and software aspects that enable the SCA attack and countermeasure capabilities of the framework, Section V showcases the framework capabilities through a comprehensive experimental evaluation. Finally, Section VI draws conclusions and discusses the future works and developments on the proposed framework.

# II. BACKGROUND AND RELATED WORKS

#### A. Side-channel analysis attacks and countermeasures

A vast amount of research has tackled the complementary topics of SCA attacks and countermeasures ever since the emergence of SCA as a security threat [6].

SCA attacks can be classified as either non-profiled or profiled. Non-profiled ones, such as those based on DPA [6] and correlation power analysis (CPA) [7], only target side-channel information that is obtained from the specific device under attack while it is performing a computation, and then attempt to recover the corresponding secret key by leveraging the statistical correlation between the measured side-channel signal and the data being processed, exploiting a partial knowledge of the latter. Profiled attacks [8] are carried out instead by initially making use of a replica of the device to be attacked to identify and fine-tune the side-channel leakage model and later employing such model to attack the actual target device, under the assumption that the latter and its replica share identical or at least similar leakage models [9]. Whereas SCA attacks have traditionally employed statistical techniques, machineand deep-learning approaches recently emerged as promising research avenues for more capable attacks, ranging from identification of cryptographic operations from side-channel power traces [10], [11] to learning-based non-profiled [12] and profiled [13], [14] attacks.

The advancements in SCA attacks are mitigated by an even larger research effort being devoted to identifying new defense mechanisms against them. SCA countermeasures can be mainly split into masking and hiding ones. Masking countermeasures split the sensitive intermediate values into different shares that are computed independently from each other, with the goal of minimizing the dependency of each share from the secret key [15]. Hiding countermeasures aim instead to randomize or add noise to the side-channel emission of the computing platform to be protected in order to reduce the information leakage that can be exploited by an attacker. Such countermeasures make use of techniques such as code morphing, i.e., the insertion of random instructions in the original execution flow [16], clock frequency randomization [17], and the concurrent computation of multiple cryptographic operations with invalid keys [18].

# B. State-of-the-art RISC-V computing platforms

RISC-V has emerged as the de-facto standard ISA for IoTclass computing platforms thanks to its modularity, enabled by a minimal baseline instruction set whereas separate ISA extensions are each devoted to a specific set of additional features, and extensibility, given by the possibility of exploiting unused

<sup>&</sup>lt;sup>1</sup>Sources available at https://github.com/hardware-fab/JARVIS.

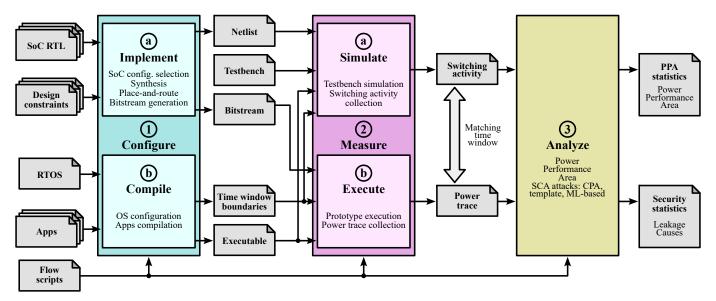


Fig. 1: High-level flow of the JARVIS framework.

opcode space to encode custom instructions and extensions according to the specific needs of computer designers [19].

On the one hand, a variety of RISC-V-based microcontrollerclass SoCs is available, such as PULPino [20] and GAP-8 [21], while ultra-low-power platforms also leverage RISC-V in multi-core heterogeneous architectures, e.g., Mr. Wolf [22] and HERO [23], and SoCs coupling hard-core CPU clusters with programmable FPGA logic, e.g., Microchip's PolarFire SoC [24]. Such solutions focus on delivering good performance with low energy and power consumption but do not address security, especially SCA-related aspects. Moreover, due to their nature, designers can not modify ASIC cores to implement SCA countermeasures not originally included, drastically limiting their usability for comprehensive SCA research.

On the other hand, multiple frameworks such as ESP [25] and Chipyard [26] have emerged in the literature for the agile development of heterogeneous multi- and many-core SoCs that feature both general-purpose CPU cores and hardware accelerators [27]. However, they focus on the modularity and composability of the obtained computing platforms and on the fast prototyping of the latter on FPGA targets, while they do not consider instead any security aspects.

All the previously listed platforms, including microcontrollerclass, ultra-low-power, and heterogeneous multi- and many-core SoCs, are capable and efficient on the computing side. However, their complexity hinders the possibility of simulating them in an RTL simulator, which is crucial to detecting SCA vulnerabilities and, more importantly, accurately identifying which signals are responsible for the information leakage, which becomes ever more challenging to achieve as such hardware platforms keep growing in size.

Finally, the OpenTitan project [4] delivers a hardware root of trust (RoT) centered around a RISC-V core, not providing instead either a complete SoC platform or a comprehensive hardware-software framework for SCA-related research.

There also exists a variety of both RISC-V resourceconstrained and application-class cores in the open literature, e.g., CORE-V CV32E40P [28] and CVA6 [29], UCB's Rocket [30], and Frontgrade Gaisler NOEL-V [31], that are however of limited use, when considered on their own, in providing a full platform for SCA research. State-of-the-art architectural simulators such as gem5 [32] and RISC-V-specific GVSoC [33] operate instead at a high level of abstraction, drastically shrinking the time required to simulate a computing platform but conversely making them unsuitable to produce information that can be effectively exploited by SCA attacks.

# C. State-of-the-art SCA frameworks

The literature offers few solutions that are meant for the SCA of RISC-V-based computing platforms.

lowRISC provides an open-source SCA setup for OpenTitan [34] allows instantiating the OpenTitan RoT on a target NewAE board and assessing the resistance of its cryptographic accelerators to power SCA attacks. The OpenTitan platform occupies however a large number of FPGA resources and requires therefore targeting large and expensive FPGAs, e.g., AMD Kintex-7 ones, leading to non-negligible costs, and does not offer any actuators that are suitable for protection against SCA attacks. Moreover, the size and complexity of OpenTitan makes it complex to modify the given design with the goal of implementing novel SCA countermeasures, and the SCA setup [34] lacks the ability to easily identify the leakage source in the microarchitectural design.

ASIC-based solutions, that include mounting on NewAE's CW308 board an FE310-G002 daughter board [35] which features a hard-core SiFive 32-bit RISC-V CPU but lacks any SCA protection, have two main drawbacks. On the one hand, pinpointing the side-channel leakage within the microarchitecture is not easily achievable due to the limited observability and closed-source nature of the computing platform. On the other hand, the researcher can not easily modify the hardware to implement SCA countermeasures.

Finally, NewAE's CW305, CW310, and CW340 boards also allow the users to flash their own custom bitstreams, including

RISC-V-based computing platforms. This requires however either porting a third-party computing platform to the new target or designing a new one, and both paths notably require a large amount of effort.

Conversely, a large part of the literature in the SCA research field targets instead more outdated hardware such as the STM32 32-bit Arm Cortex-M [36] and ATmega 8-bit AVR [37] microcontrollers, highlighting the need to foster novel SCA-related research on modern IoT-class computing platforms.

## III. FRAMEWORK

The JARVIS framework enables thoroughly evaluating the vulnerability to SCA attacks of cryptographic applications executed on an IoT-class SoC that implements countermeasures through dedicated hardware support. The possibility to accurately match the emulated and prototype execution of the computing platform allows not only detecting information leakage, but even more importantly identifying its sources, which is paramount to fixing the vulnerabilities of the SoC during the design phase. Section III-A outlines the high-level flow for the proposed framework, while Section III-B discusses how such flow is implemented in a hardware-software infrastructure.

## A. High-level flow

The JARVIS framework, depicted in Figure 1, can be described as a sequence of three main phases devoted to 1) configuring the hardware-software setup, 2) measuring SCA-related information from simulation and prototype execution, and 3) analyzing such information to detect leakage and identify its sources. Remarkably, the open-source nature of the framework, as well as the adoption of standard languages for all the inputs and its internals, enable the users to tinker, adapt, and tailor it to their needs. Software scripts automate the execution of each of the three phases, which are discussed in more detail in the rest of this section.

- 1) Configure: The high-level flow starts with the configuration of the hardware and software parts of the target to be simulated and prototyped. The SoC is thus configured by the user and is implemented according to the standard hardware design flow, while the applications and, optionally, the RTOS are compiled to produce an executable to be run by the CPU.
- a) Implement: The framework provides the RTL description of the SoC and the design constraints files that define the frequency of the clock signal and map the I/O to the target FPGA chip, thus enabling the deployment on the prototype board. The initial step requires selecting which additional components to instantiate in the SoC, the parameterization for configurable aspects of the SoC, and which FPGA to target, then an EDA toolchain is leveraged for the synthesis and place-and-route of the netlist and the generation of the corresponding FPGA bitstream.
- b) Compile: During this phase, the source code for the applications provided by the user of the JARVIS framework is compiled, through a compiler toolchain for RISC-V, to be executed on the target platform, both in simulation and on the prototype. The compilation produces an executable file for the

- compiled applications and, optionally, the RTOS, meant to be executed by the computing platform both in simulation and on the prototype FPGA. The boundaries for the time window of interest in the execution of the application, e.g., the computation of a specific cryptographic kernel of which to evaluate SCA resistance, are also extracted from the executable file.
- 2) Measure: Once the netlist and bitstream for SoC have been generated and the applications have been compiled, the simulation and prototype execution can be carried out to collect the measurements meant to be used for SCA purposes. The simulation produces switching activity statistics, while a power trace is measured from an oscilloscope connected to the board during the prototype execution. The simulation and prototype execution are notably time-aligned, i.e., synchronized, through dedicated hardware features implemented in the target SoC platform. Such synchronization enforces indeed a temporal match between the switching activity obtained from simulation and the power trace measured during the prototype execution.
- a) Simulate: The simulation, in a SystemVerilog testbench provided as part of the framework, of the post-place-and-route netlist of the SoC running the compiled application executable produces the switching activity of the internal signals of the SoC in the time window of interest.
- b) Execute: After flashing the bitstream to the FPGA mounted on the prototype board, the SoC is fed the application binary to be loaded into memory and then executes it. An oscilloscope collects the power trace measurement for the execution of the target application on the prototype board within the time window of interest, matching the one employed in the corresponding simulation.
- 3) Analyze: The final phase of the JARVIS framework foresees the analysis of the measurements from the previous phase, i.e., the switching activity of the simulation and the power consumption trace of the board execution, both corresponding to the time window of interest and synchronized with each other. Two degrees of analysis are supported by the synchronization and alignment between the switching activity and the power trace. On the one hand, SCA leakage and the corresponding time instant are detected from the physical power traces. On the other hand, and more importantly, such alignment helps to identify the specific signals of the design under analysis which are responsible for the SCA leakage, providing an in-depth understanding of the leakage behavior that drastically eases the subsequent ad-hoc design of effective countermeasures. A set of state-of-the-art SCA techniques, ranging from CPA and template to ML-based ones, is therefore provided to evaluate the SCA security of the platform and the application executed on top of it. Remarkably, the analysis phase also produces the traditional power, performance, and area (PPA) metrics.

## B. Hardware-software infrastructure

The hardware-software infrastructure depicted in Figure 2 realizes the high-level flow described in Section III-A by means of three main components, namely 1) a (virtual) prototype, 2) an oscilloscope, and 3) a host PC. This part discusses in detail the three components and how they interact with each other to deliver the JARVIS framework.

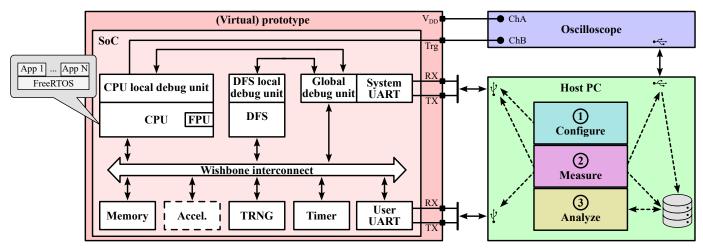


Fig. 2: Hardware-software infrastructure that implements the JARVIS framework.

1) (Virtual) prototype: The computing platform at the foundation of the proposed SCA research framework implements an SoC architecture that can notably be instantiated as a prototype on FPGA, to collect the power trace measurements from an oscilloscope, as well as emulated as a virtual prototype in a RTL simulator that instantiates its post-place-and-route netlist in a testbench, to generate the matching VCD switching activity. The correspondence between the prototype and its virtual counterpart, in particular in how they execute a target application, is enforced by dedicated hardware mechanisms and it is the crucial aspect that enables employing our framework for SCA analysis.

The SoC architecture is built around a Wishbone interconnect. It comprises a CPU, a dynamic frequency scaling (DFS) actuator, and a global debug unit as its masters and a memory, a user UART, a true random number generator (TRNG), and a timer as its bus slaves.

The single-core, in-order, five-stage pipelined, 32-bit RISC-V CPU implements the base integer (I) and integer multiplication and division (M) RISC-V 32-bit ISA extensions [38]. Supporting only I and M extensions, i.e., the bare minimum extensions for an IoT-class CPU, provides the simplest and most observable setup, making it easier to carry out the sidechannel analysis. A floating-point unit (FPU) [39]-[41] can be optionally instantiated as a functional unit of the CPU to expand the computational capabilities of the SoC, enabling the execution of floating-point-intensive applications without affecting the overall side-channel resistance of the computing platform. The interconnect consists of two separate 64-bit Wishbone data and instruction buses, both supporting single read and write transactions as well as burst ones, according to a modified Harvard architecture to minimize contention. The DFS actuator enables changing at run time the frequency of the clock signal fed to the CPU, whereas the rest of the SoC operates at a fixed clock frequency. A global debug unit and local debug units connected to the former in a point-topoint fashion compose the debug infrastructure of the SoC. The global debug unit interacts with the host PC through the system UART while two different channels support its communication with the rest of the SoC. Memory location of the memorymapped bus slaves are accessed through Wishbone reads and writes, while dedicated lines connect the global debug unit to the two local ones that manage the CPU and DFS actuator, respectively.

The main memory, making use of the block RAM (BRAM) resources available on AMD FPGAs, is instantiated as a slave on the Wishbone data bus. The bus slaves include a TRNG, that produces a sequence of random bits meant to be used in cryptography and SCA countermeasure tasks, a timer, which enables support for FreeRTOS, and a user UART, that provides an I/O interface for the application. Hardware accelerators exposing a Wishbone interface can also notably be added as memory-mapped bus slaves to the SoC to extend its capabilities.

The implementation phase of the proposed flow includes the selection of which parts of the SoC to instantiate, e.g., the optional DFS actuator, TRNG, and timer, and the configuration of its parametric features, e.g., the baud rate of the UART interface, the width of the instruction and data buses, and the branch prediction scheme of the CPU.

In addition to the UART I/O interfaces, the prototype exposes the FPGA voltage ( $V_{DD}$  in Figure 2), which is related to the power consumption of the whole FPGA chip, and a trigger signal (Trg), that is driven by the local debug unit of the CPU.

- 2) Oscilloscope: Power measurements from the prototype execution are carried out through an oscilloscope with two analog channels and a frequency bandwidth that is sufficient to collect samples from the target platform under measurement without aliasing. The oscilloscope is connected to the prototype board, with an analog channel measuring the voltage of the FPGA and the other one monitoring a signal meant to trigger the data acquisition on the former. It is managed through a USB interface by the host PC, which takes care of its configuration and receives the data samples measured from the board on both analog channels.
- 3) Host PC: The host PC drives the whole JARVIS framework through the flow software scripts, which manage the interaction with both hardware devices, namely the prototype board and the oscilloscope, and software tools, such as the EDA and compilation toolchains and state-of-the-art SCA attack scripts. Matching the high-level flow previously described in

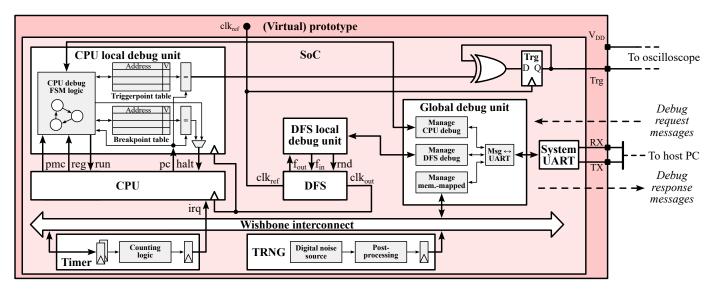


Fig. 3: Detailed microarchitecture of the debug subsystem, timer, and TRNG.

Section III-A, we can identify three main top-level scripts that drive the corresponding phases of the framework.

a) Configure: The configuration script leverages an EDA toolchain for the synthesis and place-and-route and bitstream generation of the SoC, previously configured according to the needs of the user, also taking as its inputs the XDC constraint files that include information about the internal clock signals as well the I/O mapping on the target FPGA chip. A RISC-V compiler toolchain is employed instead to compile the applications and, optionally, the RTOS. The compilation of the sources can thus include the sources for FreeRTOS, when targeting the execution of applications on top of the RTOS, or not, when the goal is instead bare-metal execution. A linker script and startup routines to be executed before the program's main function are included to support the latter case. The compilation process produces an executable file, that can be loaded into the memory of the computing platform to be executed both in its simulation and on the prototype FPGA. Boundaries for a time window of interest are obtained from the executable to enable accurately matching, also from a temporal standpoint, the simulation of the computing platform with its prototype execution.

b) Measure: The simulation leverages an RTL simulator to simulate the post-place-and-route netlist of the SoC inside a SystemVerilog testbench provided as part of the framework. The testbench loads the VMEM for the target application into the memory of the target SoC and drives the execution of the application according to the time window boundaries extracted from its ELF file at the previous phase. The simulation outputs a value change dump (VCD) that contains all the switching activity of the internal signals of the SoC corresponding to the execution of the application within the time window of interest The prototype execution requires, after flashing the bitstream to the FPGA mounted on the prototype board, feeding the application binary to the SoC to be loaded into its memory. The flow script properly drives the board execution through the SoC debug interface, matching the behavior of the SystemVerilog testbench, and manages the oscilloscope connected to the board

to collect the power trace of the execution. The VCD from the simulation and the power trace from the prototype execution are saved to a data storage device, so that they can be later retrieved for performing the various analyses.

c) Analyze: The VCD obtained from the simulation and the power traces collected from the prototype execution, both corresponding to the exact same time window, are retrieved from the storage where they were saved to analyze them through a set of state-of-the-art SCA techniques, including CPA, template, and ML-based attacks, to detect whether there was any cryptographic information leakage and also identify the eventual sources of the latter. In addition to the SCA security statistics, the analysis phase outputs the traditional PPA metrics leveraging the power consumption measured from the board execution, the cycle-granularity latency collected from simulation, and the resource utilization reports from netlist implementation. The outputs of the computation can also be obtained through the debug infrastructure to further check the correct functioning of the system.

### IV. MICROARCHITECTURE

Dedicated hardware and software mechanisms enable the SCA attack and countermeasure capabilities of the proposed framework. The debug subsystem, with its breakpointing and ad-hoc triggering mechanism, ensures maximum observability and controllability of the computing platform, thus allowing the collection of accurate side-channel information that can then be exploited by SCA attacks of different kinds. A TRNG, a DFS actuator, and a timer can instead be optionally instantiated in the SoC to enable support for a variety of state-of-the-art SCA countermeasures ranging from masking to hiding ones. The rest of this section delivers a detailed discussion of the microarchitecture of the debug subsystem, TRNG, DFS actuator, and timer.

# A. Debug subsystem

The framework provides maximum observability and controllability of the computing platform through the hardware support

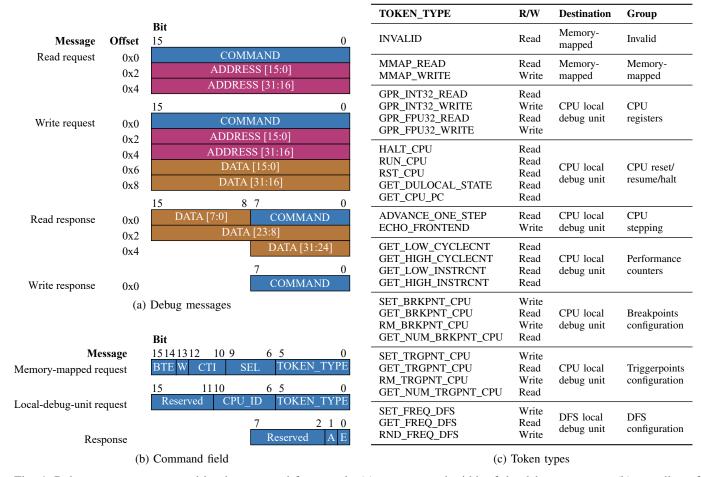


Fig. 4: Debug messages supported by the proposed framework: (a) structure and width of the debug messages, (b) encoding of the command field, (c) token types of the request messages. Legend: **BTE** burst type extension, **W** write enable (0: read, 1: write), **CTI** cycle type identifier (for burst mode), **SEL** select, **TOKEN\_TYPE** request message type, **Reserved** reserved for future use, **CPU\_ID** identifier for target local debug unit, **A** ack, **E** error; **BTE**, **W**, **CTI**, and **SEL** refer to Wishbone.

for breakpoints and triggerpoints as well as a dedicated debug infrastructure that exposes a GDB-like debug interface, thus enabling the collection of accurate side-channel information that can then be targeted by SCA attacks of different kinds. This part discusses first the global-local debug microarchitecture, then focuses on the breakpointing and triggering mechanisms, and finally provides an overview of the debug capabilities that can be exploited by the external host PC.

1) Global and local debug units: The debug subsystem enables controlling and observing the whole SoC through a message-based protocol. It is composed of a global debug unit and of a number of local debug units. The global one, that acts as a master on the Wishbone bus, receives debug messages through a system UART interface and accordingly communicates both with the other bus masters, through point-to-point connections to local debug units that act as adapters to interface with such masters, and with the bus slaves, with whom it interacts directly through the bus by exploiting their memory-mapped nature.

Two local debug units are instantiated for the CPU core and for the DFS actuator, respectively, to act as adapters between the global debug unit and the two bus masters. The local debug unit interface with the global one is common to all the local debug units, while the interface with the CPU or DFS actuator is custom tailored to the specific interactions that are implemented with such module. The CPU local debug unit can access the program counter (PC), registers, and performance monitoring counters (PMCs) of the CPU, as well as halt, reset, and restart it and advance its execution by a single step. The DFS one can set a new target clock frequency for the DFS actuator, get the frequency of the current clock signal generated and configure the DFS to randomly switch the frequency of the clock signal. Figure 3 depicts the microarchitecture of the debug subsystem of the SoC, highlighting the global debug unit, the CPU and DFS local debug units, and their interactions with each other as well as with the CPU and the DFS actuator.

2) Breakpoints and triggerpoints: The CPU local debug unit supports, through its interaction with the CPU, a traditional breakpointing system coupled with an ad-hoc triggering one. Breakpoints enable halting the CPU when the PC matches their corresponding addresses, while triggerpoints, an ad-hoc variant of breakpoints, are meant to toggle a trigger signal that drives the data acquisition from the oscilloscope and do not instead halt the CPU, which is kept regularly running. The local debug

unit for the CPU contains two tables for the breakpoint and triggerpoint addresses, respectively, as shown in Figure 3. Both tables include a configurable number of entries, each composed of a 32-bit address that corresponds to an instruction in the target application and a 1-bit flag that signals its validity.

The valid addresses in the breakpoint table are constantly checked against the current PC of the CPU, whose value is passed to the CPU local debug unit. Whenever there is a match between a valid breakpoint address and the current PC, the CPU is halted until it is resumed through a dedicate debug command. The triggerpoint table entries are similarly compared against the PC, but a match between the latter and a triggerpoint address produces a notably different effect. Rather than halting the CPU as in a traditional breakpoint fashion, reaching a triggerpoint toggles a 1-bit signal that is mapped on an I/O pin of the prototype board to be used as a trigger signal for an oscilloscope.

3) Debug messages: The debug infrastructure exposes a request-response protocol to interface with the SoC through the system UART. The communication is indeed carried out as a sequence of request and response messages. Notably, no new request message can be issued until the previous request has been completed and the corresponding response message has been sent back. Figure 4 summarizes the width, structure, and information encoded in the various messages depending on whether they are request or response and read or write and on whether they are intended for memory-mapped recipients or local debug units.

Request messages can be of four types, depending on whether they correspond to read or write actions and whether their recipients are memory-mapped devices or bus masters. All request messages are composed of a 16-bit command and a 32-bit, while write requests also comprise a 32-bit data, as shown in Figure 4a. The command field encodes different information depending on whether the debug message targets a memory-mapped peripheral or a local debug unit, as depicted in Figure 4b. Debug request messages that can be sent to the SoC through the system UART include, as listed in Figure 4c, i) memory-mapped reads and writes, ii) register reads and writes, iii) commands to reset, resume, and halt the CPU, advance its execution by a single step, and get its current state and program counter, iv) commands to retrieve performance monitoring counters v) commands to set, get, and remove both the breakpoints and the triggerpoints, and vi) commands to set and get the target clock frequency for the DFS actuator and configure it to randomly switch clock frequencies.

Response messages can be instead of only two types, i.e., either read or write ones. The former include data as part of the response, while the latter only acknowledge the completion of the requested operation or the occurrence of an error.

#### B. TRNG

The TRNG is a Wishbone slave peripheral that exposes a set of 32 random bits through a memory-mapped register. The TRNG can be configured in the architecture of the digital noise sources and post-processing methods that compose it to obtain different results in terms of FPGA resource utilization,

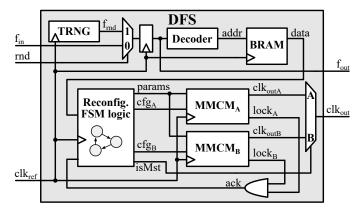


Fig. 5: Detailed microarchitecture of the DFS actuator.

throughput, and security. A digital noise source produces the actual entropy underlying the random number generation, while a post-processing method improves the statistical and security properties of the TRNG. Three digital noise sources and three post-processing methods from the literature are provided with the proposed framework to implement the TRNG component. The digital noise sources that can be instantiated in the TRNG module are NLFIRO, PLL-based, and edge-sampling ones, and they can be coupled with XOR, Von Neumann, and LFSR post-processing methods [42]. The random output produced by the TRNG component is periodically refreshed and exposed by a memory-mapped register that can be read through Wishbone.

# C. DFS actuator

The DFS actuator, whose microarchitecture is depicted in Figure 5, leverages two mixed-mode clock manager (MMCM) components to provide a glitch- and latency-free switching between different clock frequencies at run time [17]. It receives as its inputs a target clock frequency (fin in Figure 5), a 1-bit flag to enable random DFS (rnd), and a reference clock signal (clk<sub>ref</sub>), and it outputs the generated clock signal ( $clk_{out}$ ) and the current clock frequency ( $f_{out}$ ). The rnd flag selects the actual target clock frequency for the DFS reconfiguration between the one received through the fin input by the DFS local debug unit and a random value frnd output by an internal TRNG with similar to the one in Section IV-B. Such target clock frequency, registered to be output to the DFS local debug unit and readable through a dedicated debug command, is decoded and used as an address to select a corresponding set of MMCM configuration parameters from the BRAM memory.

Notably, the clock signal output by the MMCMs of an AMD FPGA remains low during its reconfiguration, thus causing a clock-gating effect on the computing platform. The proposed DFS actuator avoids such negative effect by employing two MMCM components (MMCM\_A and MMCM\_B in Figure 5), among which the FSM logic selects a master and a slave. The master MMCM keeps generating the output clock signal while the slave one is under reconfiguration, after which their roles are swapped. An ack signal monitors the locking status (lock\_i) of the two MMCMs to prevent triggering a new reconfiguration through the cfg\_i flag while the previous one is still in progress. The clkout clock output by the DFS actuator is selected

through the isMst 1-bit flag as the one output by the current master between the  $clk_{outA}$  and  $clk_{outB}$  clock signals output by the two MMCMs, respectively.

The flexible design of the DFS architecture supports up to 1024 different clock frequency configurations. The BRAM memory can indeed store up to 1024 user-defined sets of MMCM configuration parameters, with a minimum step of 0.125MHz and lowest and highest achievable clock frequencies of 5MHz and 800MHz, respectively, due to MMCM feasibility constraints. Random reconfiguration is activated through a debug request (RND\_FREQ\_DFS in Figure 4c), which is dispatched to the DFS local debug unit that in turn asserts the rnd input flag. When rnd is set to 1, the MMCMs keep indeed reconfiguring to new clock frequencies as soon as the previous reconfiguration has been completed, i.e., the ack signal to the FSM is asserted.

# D. Timer and FreeRTOS support

The timer is a memory-mapped peripheral that exposes two 64-bit registers which can be accessed through read and write requests on the Wishbone interconnect. The two registers correspond to the current time, which gets increased by 1 at each clock cycle, and to the time threshold. Once the current time is greater than the time threshold, an interrupt request to the CPU is raised by setting to 1 the content of a 1-bit register.

Instantiating a timer in the SoC notably enables executing the FreeRTOS real-time operating system and thus providing support for implementing coarse-grained multithreading.

# V. EXPERIMENTAL EVALUATION

The experimental campaign aims to showcase how the JARVIS framework delivers an effective research platform for SCA attacks and countermeasures on FPGA targets. Section V-A lists the hardware and software required by the framework, Section V-B details the specific setup employed for the experimental evaluation, and Section V-C delivers an analysis of the SCA techniques provided out of the box.

# A. Hardware and software requirements

The framework makes use of widely available devices and tools, including the development board and FPGA chips for prototyping, the digital oscilloscope for power trace measurement, and the software required for the various phases of the flow, ranging from EDA synthesis and place-and-route to compilation and SCA resistance evaluation.

1) Prototype: XDC design constraint files are provided for prototyping on the NewAE Technology CW305 Artix FPGA Target board, specifically designed for power analysis and fault injection attacks against hardware cryptographic functions<sup>2</sup>. The CW305 board mounts a BGA socket that can accommodate any chip from the AMD Artix-7 mid-range FPGA family, which is the most widely adopted in academic and industrial research, including the security and cryptography fields [43]. The proposed SoC fits into AMD Artix-7 50 chips, which pack

TABLE I: Breakdown of the SoC's FPGA resource utilization.

	FPGA resource utilization			
Component	LUT	FF	DSP	BRAM
CPU	4386	3192	4	0
DFS	822	225	0	1.5
Global debug unit	286	248	0	0
CPU local debug unit	875	587	0	0
DFS local debug unit	19	29	0	0
Memory	206	138	0	64
TRNG	2486	1252	0	0.5
Timer	144	163	0	0
System UART	365	292	0	0
User UART	359	284	0	0
Overall SoC	10667	7563	4	66

32600 look-up tables (LUT), 65200 flip-flops (FF), 120 digital signal processing (DSP) blocks, and 75 36kb blocks of block RAM (BRAM), and larger ones from the same family.

- 2) Oscilloscope: Power measurements are carried out through an oscilloscope from the Pico Technology PicoScope 5000 Series family, which features a 200MHz maximum bandwidth and can collect up to 1 billion samples per second at a resolution ranging from 8 to 16 bits<sup>3</sup>. The oscilloscope has its A analog channel connected to the board through a coaxial SMA connector to measure the FPGA voltage, while its B analog channel is connected to a general-purpose I/O pin that outputs the trigger signal which marks the start and the end of the computation.
- 3) Host PC: The host PC must be able to execute the AMD Vivado toolchain for the synthesis, place-and-route, and bitstream generation targeting AMD Artix-7 FPGAs, as well as for the simulation and switching activity VCD collection. The host PC is also in charge of the compilation of the application sources, optionally together with the FreeRTOS ones, through a C compiler toolchain for RISC-V targets. Drivers and software for the CW305 board and PicoScope 5000 oscilloscope, connected to the host PC through USB interfaces, are required to drive the prototype execution and power trace collection. All the VCD, power trace, area, and execution time data is stored permanently on a disk mounted on the host PC to be used in the analysis phase. Support for Python 3.9 or higher is necessary to run the software scripts that manage the whole framework as well as the scripts that implement state-of-the-art SCA attack techniques.

#### B. Experimental setup

1) Software setup: The framework is run in Ubuntu 22.04.3 LTS on a host PC that features an Intel i7-10700 CPU and a 64GB DDR4 memory. The host PC includes ChipWhisperer 5.1.0 software and PicoScope 7 software and drivers to manage the CW305 board and the oscilloscope, respectively. Applications are compiled with GCC 11.4.0 to be executed on the SoC either bare-metal or on top of FreeRTOS 11.0.1. AMD Vivado ML 2023.1 is employed for the RTL synthesis, place-and-route, bitstream generation, and simulation.

<sup>&</sup>lt;sup>2</sup>More information on NewAE Technology CW305 Artix FPGA Target available at https://rtfm.newae.com/Targets/CW305%20Artix%20FPGA.

<sup>&</sup>lt;sup>3</sup>More information on Pico Technology PicoScope 5000 Series available at https://www.picotech.com/oscilloscope/5000/picoscope-5000-specifications.

- 2) Hardware setup: The FPGA target in the experimental evaluation is an Artix-7 100 (xc7a100tftg256-1) chip mounted on a CW305 board. The oscilloscope is a PicoScope 5244D, that features two analog channels and a 200MHz bandwidth. The SoC to be prototyped on FPGA and simulated is configured with a CPU that implements the RV32IM instruction set, a 256kB main memory, and a TRNG, a DFS actuator, and a timer that enable support for all the included state-of-the-art SCA countermeasures. Table I lists the FPGA resource utilization resulting from synthesis and place-and-route targeting 100 MHz and 50MHz clock frequencies for the CPU, driven by the DFS actuator, and the rest of the SoC.
- 3) Breakpoints and triggerpoints: Two triggerpoint addresses are assigned, respectively, the addresses of instructions in the target application between which to acquire the power measurement from the oscilloscope. In particular, reaching the start triggerpoint address raises a trigger signal mapped on an I/O pin of the prototype board, and such trigger signal stays high until the PC holds a value matching the end triggerpoint, thus toggling the trigger signal down to 0. Two breakpoints are also leveraged, coupled with the triggerpoints, to manage the oscilloscope's behavior. A breakpoint is configured to an address that is reached before the start triggerpoint one is employed to interrupt the CPU computation in order to get the oscilloscope ready to perform the acquisition, while another breakpoint is instead assigned to an address being encountered after the acquisition time window to interrupt again the CPU computation and dump the collected data that is stored in the oscilloscope's buffer.

Such combined usage of the breakpoints and triggerpoints in the prototype execution scenario is accurately replicated in the simulation one for two main purposes. On the one hand, it enables a perfect match from the temporal point of view between the switching activity VCD collected in the simulation and the power trace acquired by the oscilloscope during the prototype execution. On the other hand, it makes it possible to collect the VCD solely for the time window of interest to the SCA analysis, thus limiting the simulation's execution time. The latter is a particularly critical aspect due to the need to perform a timing simulation of a post-route netlist to have maximum correspondence with the design prototyped on the board and thus collect meaningful switching activity statistics.

## C. Experimental analysis

The experimental analysis applies to the computing platform, configured as described in Section V-B, the SCA countermeasure and attack techniques from the state of the art included in the framework, quantitatively assessing their effectiveness.

1) SCA countermeasure techniques: The SCA countermeasures include microarchitecture-level ones, such as clock frequency randomization, and architecture- and OS-level ones, such as the morphing and chaff techniques.

Clock frequency randomization: The DFS actuator can be used to continuously vary, in a random fashion, the frequency of the clock signal fed to the CPU, as previously described in Section IV-C, with the purpose of producing a distortion in the power consumption trace and therefore reducing the information leakage [17]. The DFS, when in random reconfiguration

# Algorithm 1 Chaff SCA countermeasure [44].

```
1: function CHAFFENCRYPT (cipher, key, ptx, numChaff)
2:
       mainThread \leftarrow Create (cipher, key, ptx)
3:
       chaffKey ← GenerateChaffKeys (key, numChaff)
       for i in 1 to numChaff do
4:
5:
           chaffThreads[i] \leftarrow Create (cipher, chaffKey[i], ptx)
       STARTRANDOMSCHEDULER (mainThread, chaffThreads)
6:
       while IsRunning (mainThread) do
7:
8:
           Wait ()
9:
       for i in 1 to numChaff do
10:
           KILL (chaffThreads[i])
```

mode, uses indeed as its target frequencies the values generated by the internal TRNG, rather than setting them through the debug infrastructure. The DFS actuator produces a clock signal that can be reconfigured to up to 1024 different frequencies every few tens of microseconds and without any glitching and gating effects, enabling a large variability and ensuring the effectiveness of the countermeasure.

Morphing: The morphing countermeasure leverages the TRNG to randomly modify the execution of a cryptographic operation [36]. For example, in the AES use case considered in the experimental evaluation, morphing targets the AddRoundKey and SubBytes steps of the AES cryptosystem. Each AddRoundKey execution employs a randomly selected version of the XOR operation, chosen among 8 equivalent implementations with different power consumption profiles. SubBytes executions are instead morphed by using S-Boxes that are masked by random values and periodically refreshed.

Chaff: The TRNG component and the support for software multithreading provided by FreeRTOS enable the adoption of the chaff approach, described in [44] and whose pseudocode is listed in Algorithm 1. The latter technique executes, concurrently to a thread for the actual instance of a cipher (line 2 in Algorithm 1), e.g., the encryption of a plaintext with a certain key, a set of additional threads performing the same cryptographic operation on the same input but with different keys, properly generated to be correlated with the original one (lines 3–5). All the threads are started and executed in parallel, according to a random scheduler that leverages the SoC's TRNG (line 6), until the thread performing the actual cryptographic operation has completed (lines 7–10).

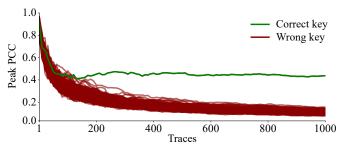
2) SCA attack techniques: The SCA attacks provided out of the box by the framework include 1) a CPA attack [7], that extracts the secret key of the target cryptosystem executions by correlating their power signature with their operating behavior, performed in the experimental campaign on up to 1 million power traces, 2) a template profiled attack [9], that leverages the Bayes' theorem to estimate the probability of a key given its multivariate normal distribution, carried out by using 1024 traces for each of the 256 possible key bytes during both the profiling and attack phases, and 3) an ML-based attack leveraging convolutional neural networks (CNNs) [37], that learn a recurrent pattern of the leakage to predict the secret key and whose training and inference are carried out on the same dataset as the template attack.

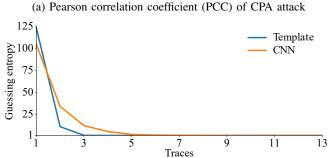
TABLE II: Quality metrics obtained by attacks to AES execution on the experimental platform when implementing different countermeasures. Undefined values are denoted by –.

			Attack		
Countermeasure	Quality metric	CPA	Template	CNN	
None	Guessing entropy	1	1	1	
	Guessing distance	_	0.86	0.77	
None	Success rate	100%	100%	100%	
	Number of traces	180	3	10	
	Guessing entropy	108.75	153.22	1.06	
C - 6	Guessing distance	-	-0.57	0.45	
Software masking	Success rate	0%	0%	98%	
	Number of traces	_	-	_	
	Guessing entropy	112.75	127	72.20	
Clock frequency	Guessing distance	-	-0.52	-0.31	
randomization	Success rate	0%	0%	6%	
	Number of traces	_	-	_	
Morphing	Guessing entropy	1	1	1	
	Guessing distance	-	0.38	0.81	
	Success rate	100%	100%	100%	
	Number of traces	3072	580	8	
Chaff	Guessing entropy	105.25	135	122	
	Guessing distance	-	-0.52	-0.48	
	Success rate	0%	0%	0%	
	Number of traces	_	-	_	

3) SCA security assessment: The experimental analysis assesses first the vulnerability of the computing platform to the previously described attacks while executing the AES-128 cryptosystem. We make use of the constant-time, S-box-based AES-128 C implementation from the widely adopted OpenSSL [45] cryptography suite. In particular, we evaluate whether each attack, specifically targeting the SubBytes step of the first AES round, succeeds or fails when executing the plain AES cryptosystem without any protection, a software-masked version of AES, and plain AES protected by the clock frequency randomization, morphing, and chaff SCA hiding countermeasures.

The attacks' effectiveness is evaluated according to 1) the guessing entropy, defined as the average rank position of the correct key among all possible key guesses, 2) the guessing distance, which represents the normalized probability distance between the correct key and the first-ranked non-correct one, 3) the success rate, i.e., the percentage of attacks that succeed in delivering the secret key, and 4) the minimum number of traces required to obtain a prediction that is always correct. Table II lists the values of such four quality metrics obtained for the various countermeasure-attack combinations considered in the experimental campaign. In particular, the attacks that always succeed, i.e., with guessing entropy equal to 1 and 100% success rate, respectively, list the corresponding number of traces employed to achieve such a perfect attack outcome. The execution of the plain AES application is successfully attacked by all three considered techniques, while the masked version is broken only by the CNN attack. The clock frequency randomization and chaff countermeasures prove instead to be effective against the three SCA attacks, whereas applying morphing does not protect the computing platform from any of them.





(b) Guessing entropy of template and CNN attacks

Fig. 6: SCA attacks against unprotected AES.

More in detail, clock frequency randomization and chaff are the most effective countermeasures against the proposed SCA attacks, as they achieve a high guessing entropy and a success rate close to 0% in every scenario. The morphing countermeasure is instead shown to be more vulnerable, as every technique can break it. The CNN attack can isolate the correct key with a guessing distance of 0.81, using only eight traces, while CPA and template attacks are less effective though successful. Guessing distance values are notably undefined for the CPA attack, since the latter is not a probabilistic attack. Guessing distance measures indeed a probability distance and, thus, it is suitable only for attacks such as template and CNN ones that compute the probability of a key guess being correct.

Figure 6 depicts how the SCA attacks against the execution of plain AES evolve as the number of traces increases when applying no SCA countermeasure. The CPA attack, as shown in Figure 6a, requires 180 traces to correctly identify the correct key. More traces are needed to reduce the correlation coefficient of the wrong key guesses, drawn in red, whereas the Pearson correlation coefficient (PCC) of the correct key, drawn in green, remains steadily around 0.43. Figure 6b demonstrates instead how the guessing entropy improves in the template and CNN attacks as more traces get analyzed and how it stabilizes to 1 after 3 and 10 traces have been processed, respectively.

To further demonstrate the flexibility of the proposed hardware-software framework, Table III reports the results of the template attack when the computing platform executes three additional cryptographic applications from the OpenSSL [45] suite, namely, the Camellia, Clefia, and Seed block ciphers. For each evaluated cryptosystem, results are reported considering no countermeasures, the use of clock frequency randomization, the use of morphing and the use of chaffing. The reported quality metrics to assess the side-channel vulnerability refer to the SubBytes operation in the first round of each cryptosystem.

TABLE III: Quality metrics obtained by template attacks to Camellia, Clefia, and Seed execution when implementing different countermeasures. Undefined values are denoted by –.

		Cryptosystem		
Countermeasure	Quality metric	Camellia	Clefia	Seed
None	Guessing entropy Guessing distance Success rate Number of traces	1 0.28 <b>100</b> % 1202	1 0.61 <b>100%</b> 52	1 0.23 <b>100%</b> 1021
Clock frequency randomization	Guessing entropy Guessing distance Success rate Number of traces	133.09 -0.49 <b>0%</b>	105.53 -0.45 <b>0%</b>	132.63 -0.50 <b>0%</b>
Morphing	Guessing entropy Guessing distance Success rate Number of traces	9 -0.06 <b>40</b> % -	1 0.31 <b>100%</b> 1379	55.75 -0.31 <b>3%</b>
Chaff	Guessing entropy Guessing distance Success rate Number of traces	148.06 -0.56 <b>0%</b>	119.72 -0.48 <b>0%</b>	133.84 -0.56 <b>0%</b>

The results show that applying morphing to protect Clefia is ineffective against template attacks, whereas it is moderately and highly effective in the Camellia and Seed use cases, respectively. Conversely, the clock frequency randomization and chaff countermeasures are shown to successfully thwart the template attack when applied during the execution of all three cryptographic applications.

#### VI. CONCLUSIONS

This manuscript introduced a novel open-source framework for research on SCA targeting FPGA-based IoT-class computing platforms. The framework includes a RISC-V-based IoT-class SoC that features an ad-hoc debug infrastructure to maximize the observability and controllability of the computing platform and thus simplify the execution of SCA attacks, as well as a DFS actuator, a TRNG, and a timer that provide support for a set of state-of-the-art SCA countermeasures available out of the box. A complete automated flow encompasses the configuration of the SoC, the execution of target applications and corresponding collection of side-channel information, and the analysis to identify eventual SCA vulnerabilities and pinpoint the sources of side-channel information leakage.

The user is encouraged and empowered to expand the capabilities of the hardware-software infrastructure and support novel SCA attacks and countermeasures by the open-source nature of the framework, its adoption of standard languages for both its hardware and software components, and the usage of widely available devices and tools.

Future developments foresee the addition of a dual-core CPU architecture and of accelerators specifically dedicated to cryptography purposes. Such optional features, limited in terms of additional resources to still deliver a lightweight IoT-class computing platform, will not compromise the observability and controllability of the system for SCA, which are essential for detecting side-channel leakage and its sources. In addition, we plan to extend our framework to support research on fault attacks and countermeasures against the latter.

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