

23. Fresnel Equations

- EM Waves at boundaries
- Fresnel Equations:
Reflection and Transmission Coefficients
- Brewster's Angle
- Total Internal Reflection (TIR)
- Evanescent Waves
- The Complex Refractive Index
- Reflection from Metals

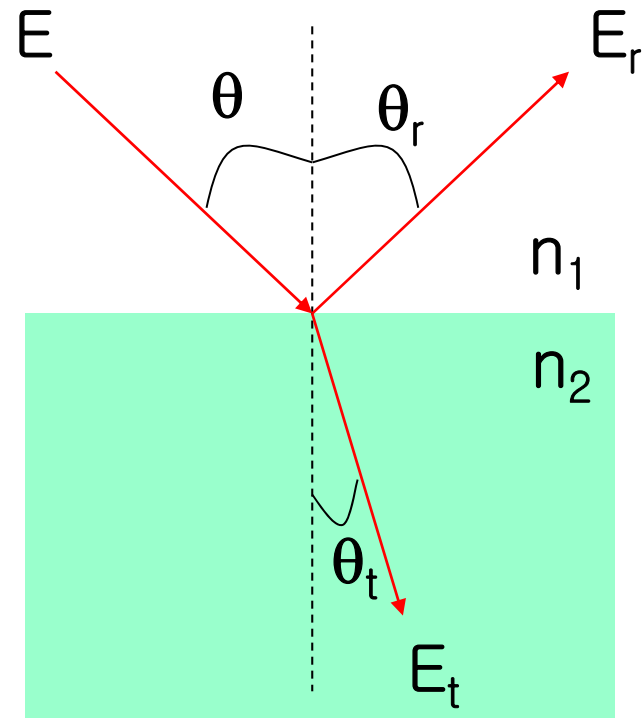
We will derive the Fresnel equations

r : reflection coefficient

$$r_{TE} = \frac{E_r}{E} = \frac{\cos \theta - \sqrt{n^2 - \sin^2 \theta}}{\cos \theta + \sqrt{n^2 - \sin^2 \theta}}$$
$$r_{TM} = \frac{E_r}{E} = \frac{-n^2 \cos \theta + \sqrt{n^2 - \sin^2 \theta}}{n^2 \cos \theta + \sqrt{n^2 - \sin^2 \theta}}$$

t : transmission coefficient

$$t_{TE} = \frac{E_t}{E} = \frac{2 \cos \theta}{\cos \theta + \sqrt{n^2 - \sin^2 \theta}}$$
$$t_{TM} = \frac{E_t}{E} = \frac{2n \cos \theta}{n^2 \cos \theta + \sqrt{n^2 - \sin^2 \theta}}$$



$$n \equiv \frac{n_{\text{transmitted}}}{n_{\text{incident}}} = \frac{n_2}{n_1}$$

EM Waves at an Interface

Incident beam: $\vec{E}_i = \vec{E}_{oi} \exp[i(\vec{k}_i \cdot \vec{r} - \omega_i t)]$

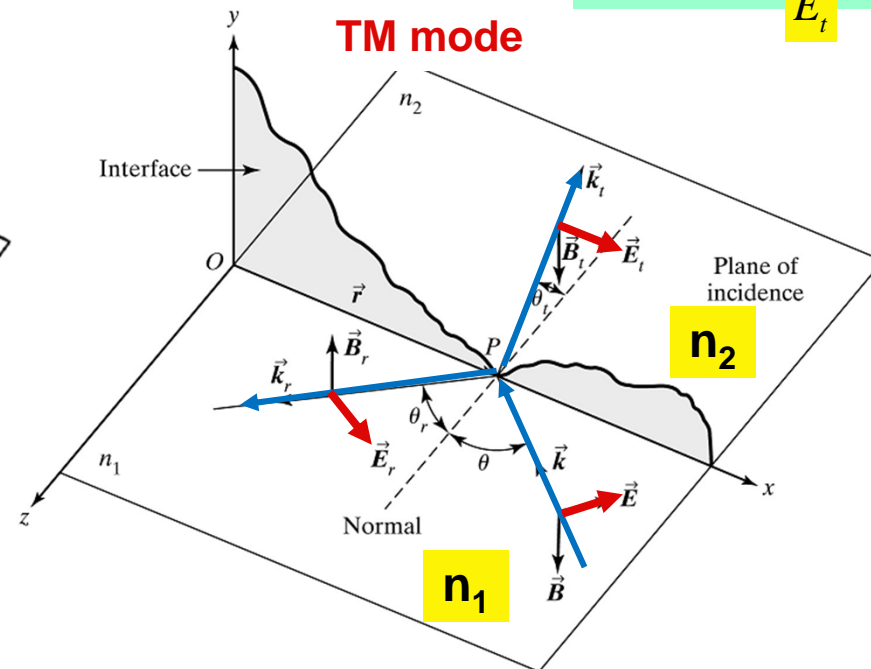
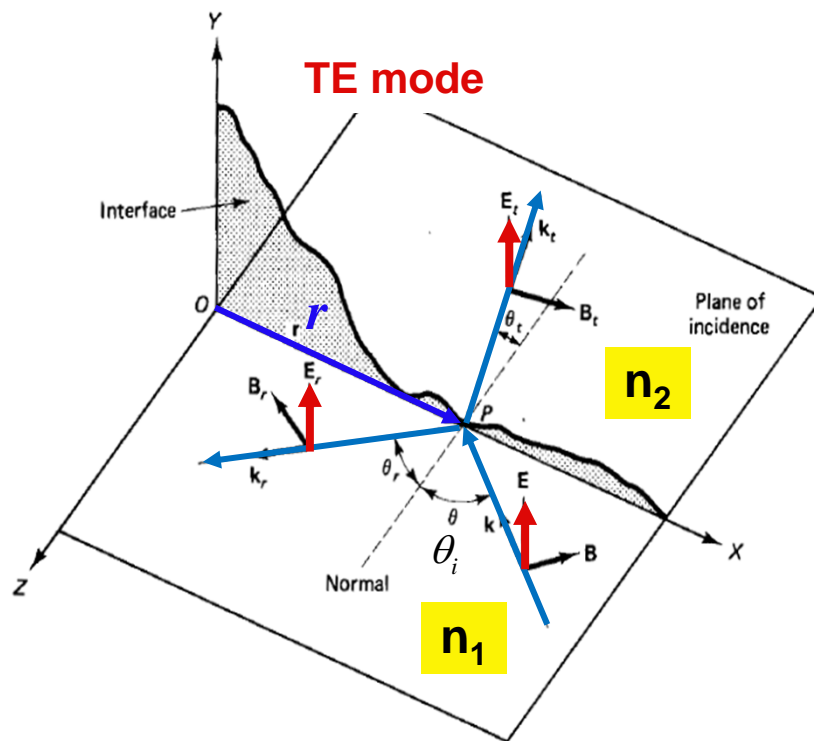
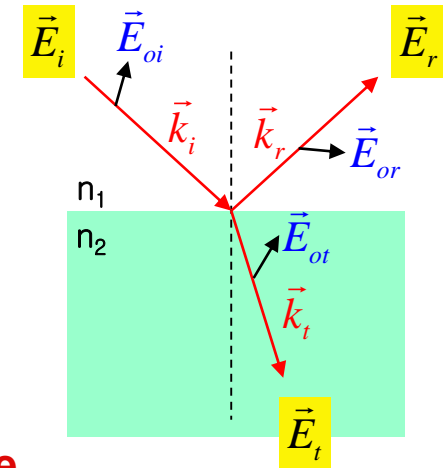
Reflected beam: $\vec{E}_r = \vec{E}_{or} \exp[i(\vec{k}_r \cdot \vec{r} - \omega_r t)]$

Transmitted beam: $\vec{E}_t = \vec{E}_{ot} \exp[i(\vec{k}_t \cdot \vec{r} - \omega_t t)]$

$$|\vec{k}_i| = n_1 k_0$$

$$|\vec{k}_r| = n_1 k_0$$

$$|\vec{k}_t| = n_2 k_0$$



Note the definition of the positive E-field directions in both cases.

EM Waves at an Interface

Incident beam: $\vec{E}_i = \vec{E}_{oi} \exp\left[i\left(\vec{k}_i \cdot \vec{r} - \omega_i t\right)\right]$

Reflected beam: $\vec{E}_r = \vec{E}_{or} \exp\left[i\left(\vec{k}_r \cdot \vec{r} - \omega_r t\right)\right]$

Transmitted beam: $\vec{E}_t = \vec{E}_{ot} \exp\left[i\left(\vec{k}_t \cdot \vec{r} - \omega_t t\right)\right]$

At the boundary between the two media (the $x-y$ plane), all waves must exist simultaneously,
and *the tangential component must be equal on both sides of the interface.*
Therefore, for all time t and for *all boundary points \vec{r} on the interface,*

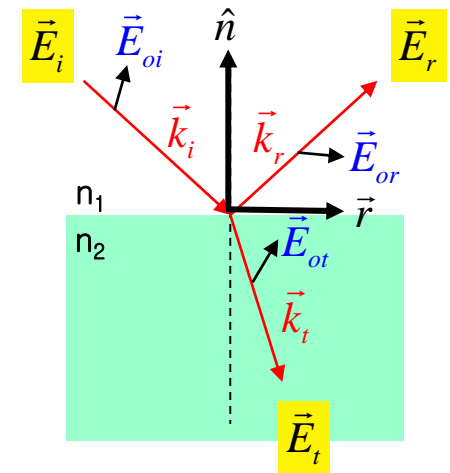
$$\hat{n} \times \vec{E}_i + \hat{n} \times \vec{E}_r = \hat{n} \times \vec{E}_t$$

$$\hat{n} \times \vec{E}_{oi} \exp\left[i\left(\vec{k}_i \cdot \vec{r} - \omega_i t\right)\right] + \hat{n} \times \vec{E}_{or} \exp\left[i\left(\vec{k}_r \cdot \vec{r} - \omega_r t\right)\right] = \hat{n} \times \vec{E}_{ot} \exp\left[i\left(\vec{k}_t \cdot \vec{r} - \omega_t t\right)\right]$$

Assuming that the wave amplitudes are constant,

the only way that this can be true over the entire interface and for all t is if :

$$\Rightarrow \left(\vec{k}_i \cdot \vec{r} - \omega_i t\right) = \left(\vec{k}_r \cdot \vec{r} - \omega_r t\right) = \left(\vec{k}_t \cdot \vec{r} - \omega_t t\right) : \text{Phase matching at the boundary!}$$



EM Waves at an Interface

Phase matching condition:

$$\left(\vec{k}_i \cdot \vec{r} - \omega_i t \right) = \left(\vec{k}_r \cdot \vec{r} - \omega_r t \right) = \left(\vec{k}_t \cdot \vec{r} - \omega_t t \right)$$

At $\vec{r}=0$, this results in

$$\omega_i t = \omega_r t = \omega_t t$$

$$\Rightarrow \omega_i = \omega_r = \omega_t$$

(Frequency does not change at the boundary!)

At $t=0$, this results in

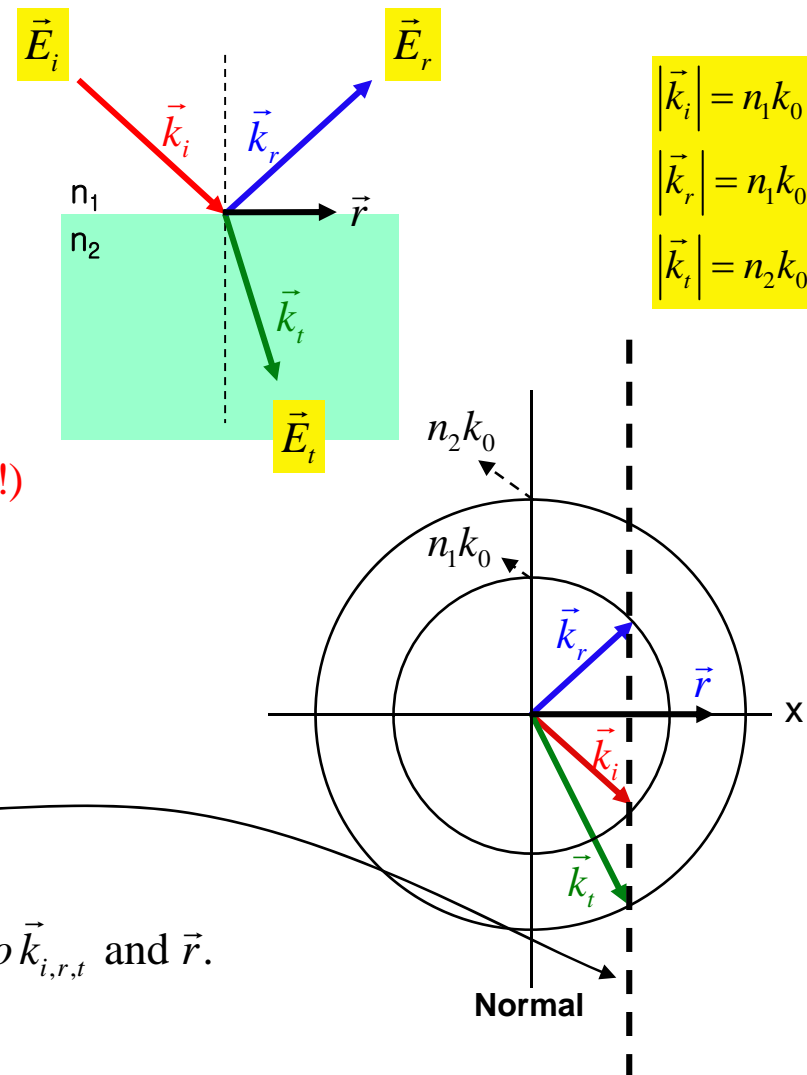
$$\Rightarrow \vec{k}_i \cdot \vec{r} = \vec{k}_r \cdot \vec{r} = \vec{k}_t \cdot \vec{r}$$

(Phases on the boundary does not change!)

$$\Rightarrow \vec{k}_{i,r,t} \cdot \vec{r} = \text{constant}$$

→ the equation for a plane perpendicular to $\vec{k}_{i,r,t}$ and \vec{r} .

⇒ \vec{k}_i , \vec{k}_r , and \vec{k}_t are coplanar in the plane of incidence.



EM Waves at an Interface

At $t=0$,

$$\vec{k}_i \cdot \vec{r} = \vec{k}_r \cdot \vec{r} = \vec{k}_t \cdot \vec{r} = \text{constant}$$

Considering the relation for the incident and reflected beams,

$$\vec{k}_i \cdot \vec{r} = \vec{k}_r \cdot \vec{r} \quad \Rightarrow \quad k_i r \sin \theta_i = k_r r \sin \theta_r$$

Since the incident and reflected beams are in the same medium,

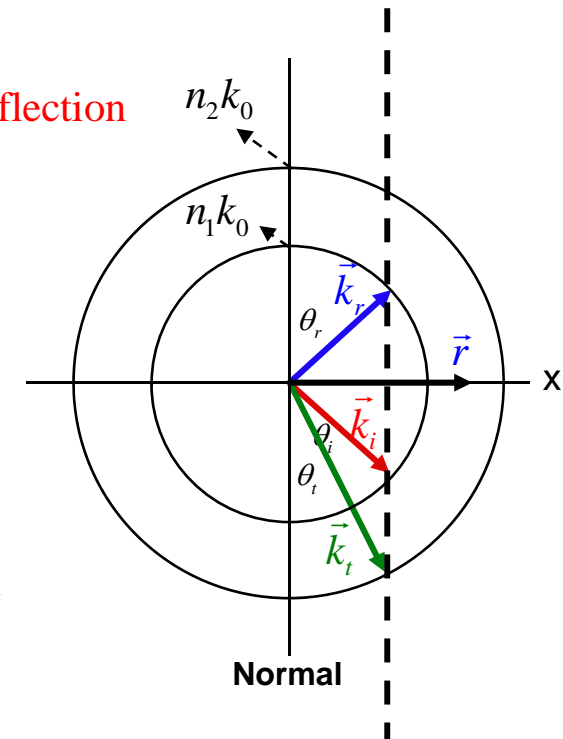
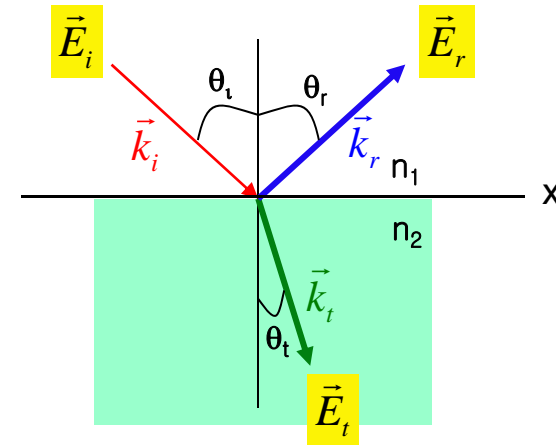
$$k_i = k_r = \frac{n_i \omega}{c} \quad \Rightarrow \quad \sin \theta_i = \sin \theta_r \quad \Rightarrow \quad \boxed{\theta_i = \theta_r} : \text{law of reflection}$$

Considering the relation for the incident and transmitted beams,

$$\vec{k}_i \cdot \vec{r} = \vec{k}_t \cdot \vec{r} \quad \Rightarrow \quad k_i r \sin \theta_i = k_t r \sin \theta_t$$

But the incident and transmitted beams are in different media,

$$k_i = \frac{n_i \omega}{c} \quad k_t = \frac{n_t \omega}{c} \quad \Rightarrow \quad \boxed{n_i \sin \theta_i = n_t \sin \theta_t} : \text{law of refraction}$$



Development of the Fresnel Equations

From Maxwell's EM field theory,
we have the boundary conditions at the interface
for the TE case:

$$E_i + E_r = E_t$$

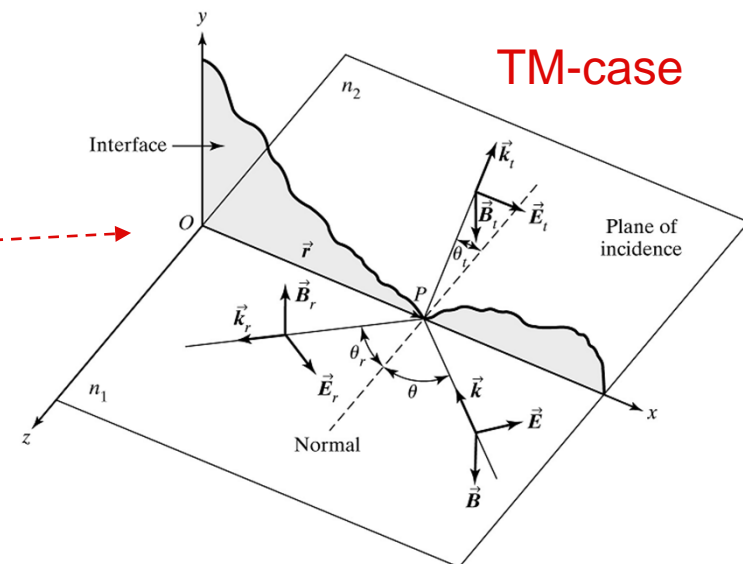
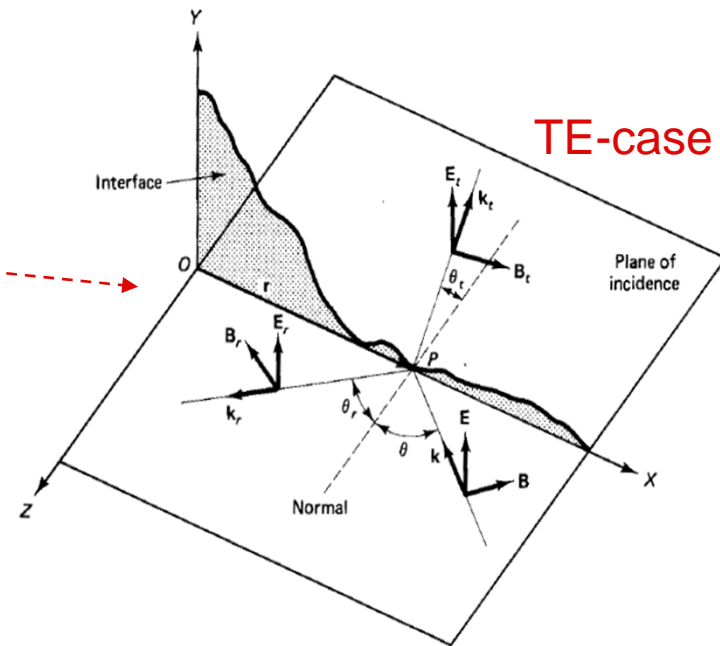
$$B_i \cos \theta_i - B_r \cos \theta_r = B_t \cos \theta_t$$

The above conditions imply that the *tangential* components of both \vec{E} and \vec{B} are equal on both sides of the interface. We have also assumed that $\mu_i \cong \mu_t \cong \mu_0$, as is true for most dielectric materials.

For the TM mode:

$$E_i \cos \theta_i + E_r \cos \theta_r = E_t \cos \theta_t$$

$$-B_i + B_r = -B_t$$



Development of the Fresnel Equations

Recall that $E = v B = \left(\frac{c}{n}\right) B \Rightarrow B = \frac{nE}{c}$

Let n_1 = refractive index of incident medium

n_2 = refractive index of refracting medium

For the TE mode:

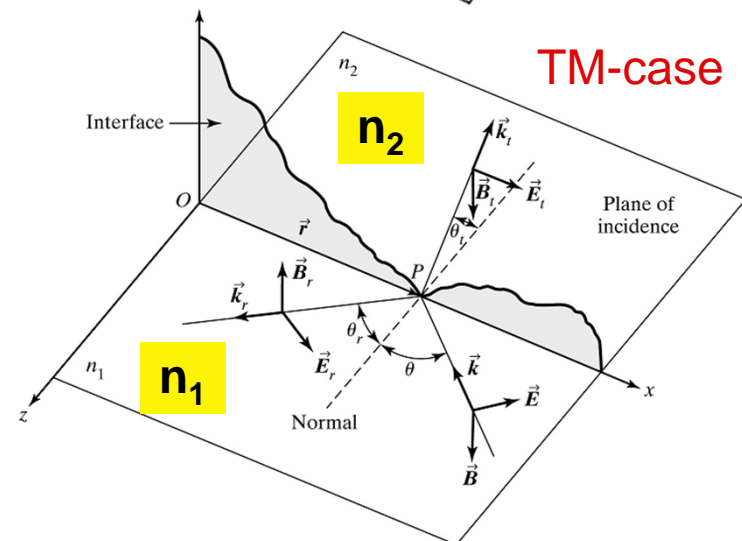
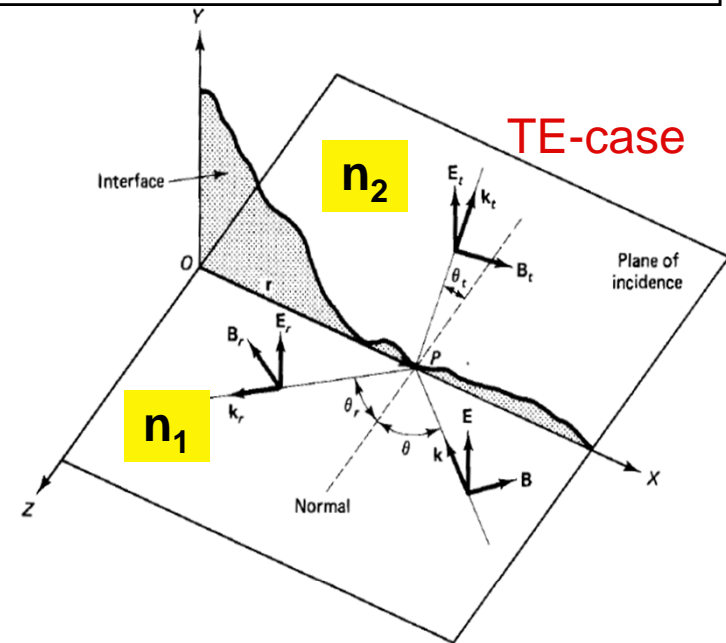
$$E_i + E_r = E_t$$

$$n_1 E_i \cos \theta_i - n_1 E_r \cos \theta_r = n_2 E_t \cos \theta_t$$

For the TM mode:

$$E_i \cos \theta_i + E_r \cos \theta_r = E_t \cos \theta_t$$

$$-n_1 E_i + n_1 E_r = -n_2 E_t$$



Development of the Fresnel Equations

*Eliminating E_t from each set of equations
and solving for the reflection coefficient we obtain:*

$$\text{TE case: } r_{TE} = \frac{E_r}{E_i} = \frac{\cos \theta_i - n \cos \theta_t}{\cos \theta_i + n \cos \theta_t}$$

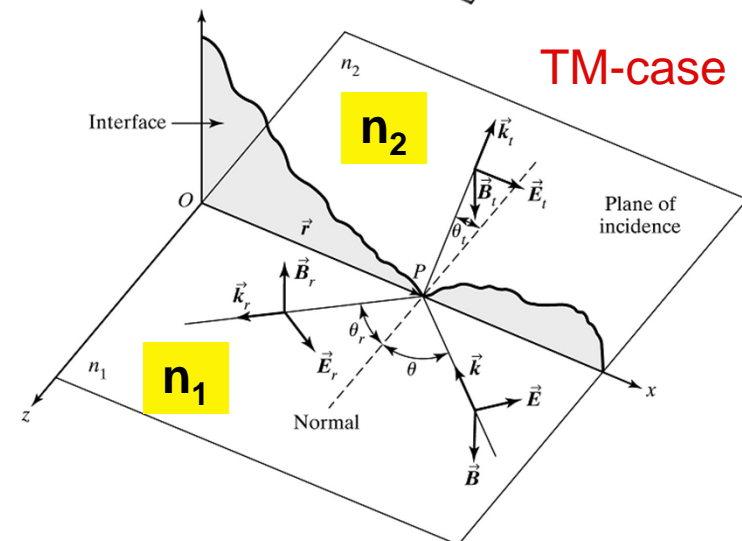
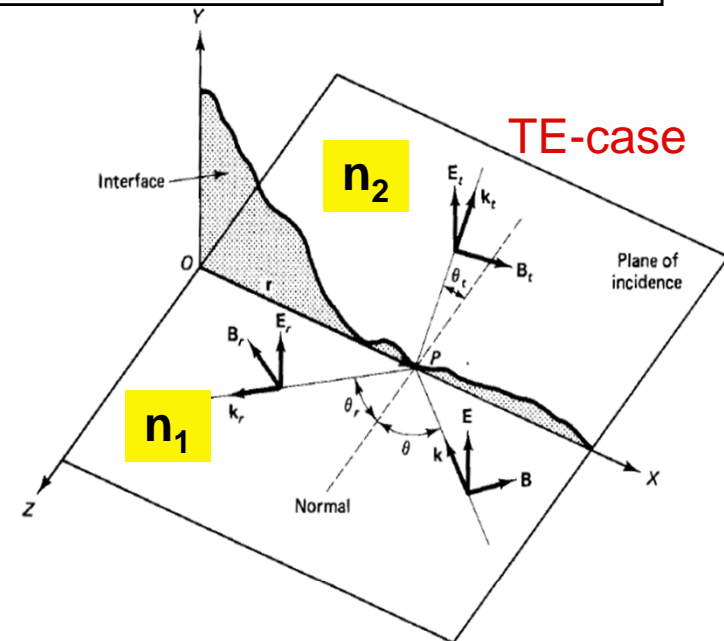
$$\text{TM case: } r_{TM} = \frac{E_r}{E_i} = \frac{-n \cos \theta_i + \cos \theta_t}{n \cos \theta_i + \cos \theta_t}$$

$$\text{where } n = \frac{n_2}{n_1}$$

We know that

$$\sin \theta_i = n \sin \theta_t$$

$$n \cos \theta_t = n \sqrt{1 - \sin^2 \theta_t} = n \sqrt{1 - \frac{\sin^2 \theta_i}{n^2}} = \sqrt{n^2 - \sin^2 \theta_i}$$



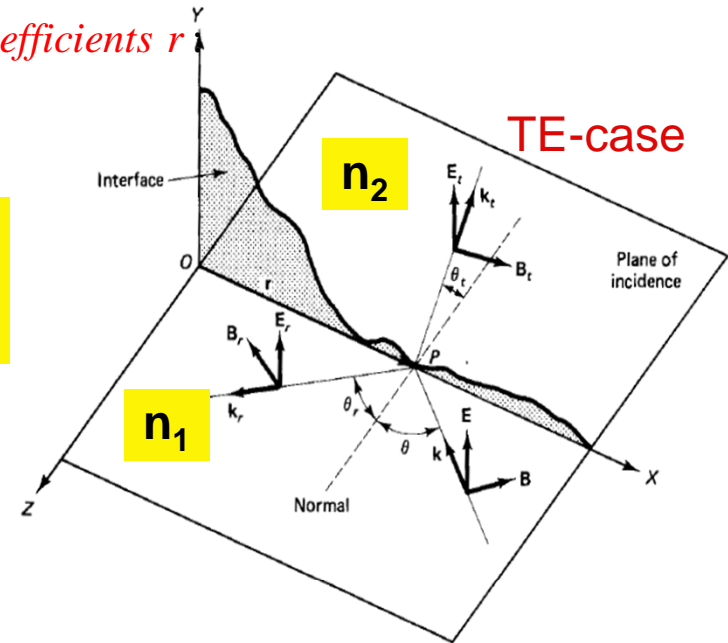
Now we have derived the Fresnel Equations

Substituting we obtain the Fresnel equations for *reflection coefficients* r

$$\text{TE case: } r_{TE} = \frac{E_r}{E_i} = \frac{\cos \theta_i - \sqrt{n^2 - \sin^2 \theta_i}}{\cos \theta_i + \sqrt{n^2 - \sin^2 \theta_i}}$$

$$\text{TM case: } r_{TM} = \frac{E_r}{E_i} = \frac{-n^2 \cos \theta_i + \sqrt{n^2 - \sin^2 \theta_i}}{n^2 \cos \theta_i + \sqrt{n^2 - \sin^2 \theta_i}}$$

$$n \equiv \frac{n_2}{n_1}$$



For the *transmission coefficient* t :

$$\text{TE case: } t_{TE} = \frac{E_t}{E_i} = \frac{2 \cos \theta_i}{\cos \theta_i + \sqrt{n^2 - \sin^2 \theta_i}}$$

$$\text{TM case: } t_{TM} = \frac{E_t}{E_i} = \frac{2n \cos \theta_i}{n^2 \cos \theta_i + \sqrt{n^2 - \sin^2 \theta_i}}$$

$$\text{TE: } t_{TE} = r_{TE} + 1$$

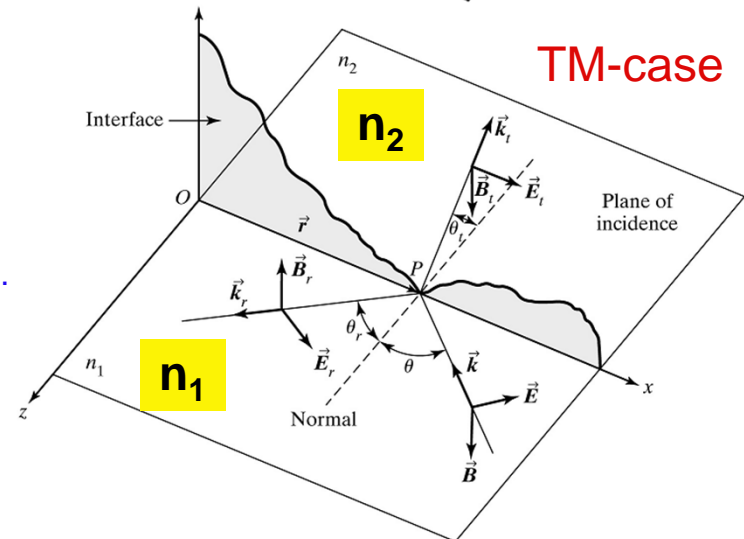
$$\text{TM: } nt_{TM} = 1 - r_{TM}$$



These just mean the boundary conditions.

For the TE case: $E_i + E_r = E_t$

For the TM mode: $-B_i + B_r = -B_t$



Power : Reflectance (R) and Transmittance (T)

The quantities r and t are ratios of electric field amplitudes.

The ratios R and T are the ratios of reflected and transmitted powers, respectively, to the incident power :

$$R = \frac{P_r}{P_i} \quad T = \frac{P_t}{P_i}$$

From conservation of energy:

$$P_i = P_r + P_t \Rightarrow 1 = R + T$$

We can express the power in each of the fields in terms of the product of an irradiance and area :

$$P_i = I_i A_i \quad P_r = I_r A_r \quad P_t = I_t A_t$$

$$\Rightarrow I_i A_i = I_r A_r + I_t A_t$$

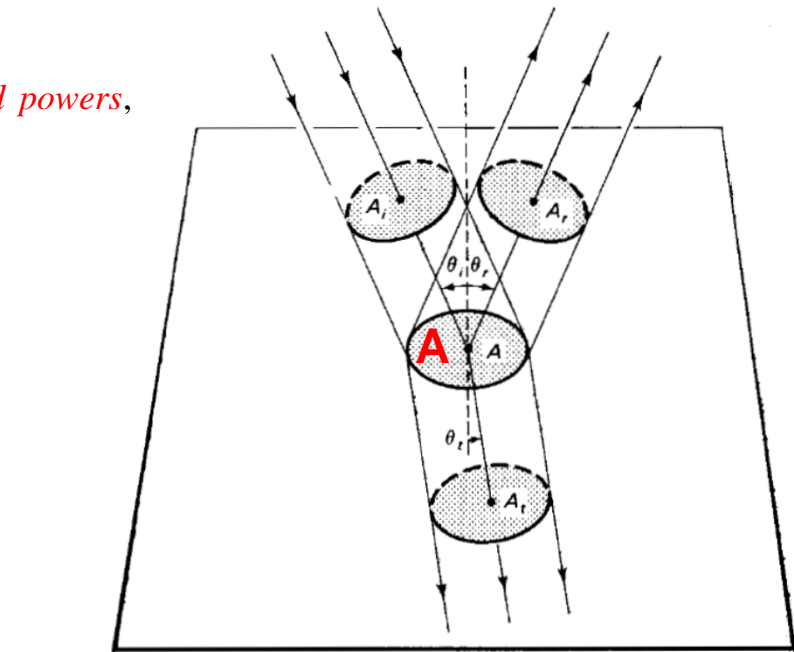
$$I_i A \cos \theta_i = I_r A \cos \theta_r + I_t A \cos \theta_t$$

$$I_i \cos \theta_i = I_r \cos \theta_r + I_t \cos \theta_t$$

$$\text{But } I = \frac{1}{2} n \epsilon_0 c E^2 \Rightarrow \frac{1}{2} n_1 \epsilon_0 c E_{0i}^2 \cos \theta_i = \frac{1}{2} n_1 \epsilon_0 c E_{0r}^2 \cos \theta_r + \frac{1}{2} n_2 \epsilon_0 c E_{0t}^2 \cos \theta_t$$

$$\Rightarrow 1 = \frac{E_{0r}^2}{E_{0i}^2} + \frac{n_2 E_{0t}^2 \cos \theta_t}{n_1 E_{0i}^2 \cos \theta_i} = \frac{E_{0r}^2}{E_{0i}^2} + n \left(\frac{\cos \theta_t}{\cos \theta_i} \right) \frac{E_{0t}^2}{E_{0i}^2} = R + T$$

$$\Rightarrow \boxed{R = \frac{E_{0r}^2}{E_{0i}^2} = r^2 \quad T = n \left(\frac{\cos \theta_t}{\cos \theta_i} \right) \frac{E_{0t}^2}{E_{0i}^2} = n \left(\frac{\cos \theta_t}{\cos \theta_i} \right) t^2}$$



$$\text{Power_ratio} = \frac{I_{out} \cos \theta_{out}}{I_{in} \cos \theta_{in}} = \left(\frac{n_{out} |E_{out}|^2 \cos \theta_{out}}{n_{in} |E_{in}|^2 \cos \theta_{in}} \right)$$

$$R = rr^* = |r|^2$$

$$T = \left(n \frac{\cos \theta_t}{\cos \theta_i} \right) tt^* = \left(n \frac{\cos \theta_t}{\cos \theta_i} \right) |t|^2$$

23-2. External and Internal Reflection

$$r_{TE} = \frac{\cos \theta_i - \sqrt{n^2 - \sin^2 \theta_i}}{\cos \theta_i + \sqrt{n^2 - \sin^2 \theta_i}} \quad r_{TM} = \frac{-n^2 \cos \theta_i + \sqrt{n^2 - \sin^2 \theta_i}}{n^2 \cos \theta_i + \sqrt{n^2 - \sin^2 \theta_i}}$$

External Reflection [$n = n_2 / n_1 > 1$]

$$\Rightarrow n_2 > n_1$$

$$\Rightarrow n = n_2 / n_1 > 1 \Rightarrow (n^2 - \sin^2 \theta) \geq 0$$

$\Rightarrow r_{TE, TM}$ are always real

\Rightarrow If $r_{TE, TM} > 0$ then there are no phase changes after reflection.

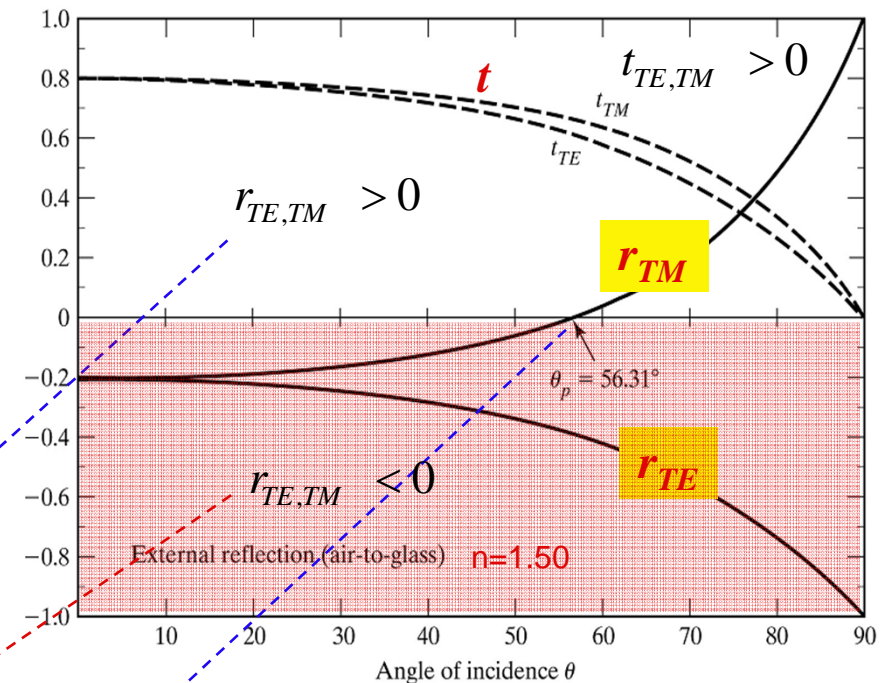
\Rightarrow If $r_{TE, TM} < 0$ then there are always $\pi (= 180^\circ)$ phase changes.

$$\rightarrow r_{TE, TM} = -|r_{TE, TM}| = e^{i\pi} |r_{TE, TM}|$$

Note for the TM case:

$$\Rightarrow r_{TM}(\theta = \theta_p) = 0 \text{ when } \theta_p = \tan^{-1} n$$

\Rightarrow Brewster's angle (or, polarizing angle)
(No reflection of TM mode)



Internal Reflection [$n = n_2 / n_1 < 1$]

$$r_{TE} = \frac{\cos \theta_i - \sqrt{n^2 - \sin^2 \theta_i}}{\cos \theta_i + \sqrt{n^2 - \sin^2 \theta_i}} \quad r_{TM} = \frac{-n^2 \cos \theta_i + \sqrt{n^2 - \sin^2 \theta_i}}{n^2 \cos \theta_i + \sqrt{n^2 - \sin^2 \theta_i}}$$

$$n_1 > n_2 \Rightarrow n = n_2 / n_1 < 1$$

$$\Rightarrow (n^2 - \sin^2 \theta) > 0, \text{ or, } (n^2 - \sin^2 \theta) < 0$$

\Rightarrow If $(n^2 - \sin^2 \theta) > 0$, $r_{TE, TM}$ are always real

\rightarrow If $r_{TE, TM} > 0$ then there are no phase changes after reflection.

\rightarrow If $r_{TE, TM} < 0$ then there are $\pi (= 180^\circ)$ phase changes.

\Rightarrow If $(n^2 - \sin^2 \theta) = 0$, $|r_{TE, TM}| = 1$

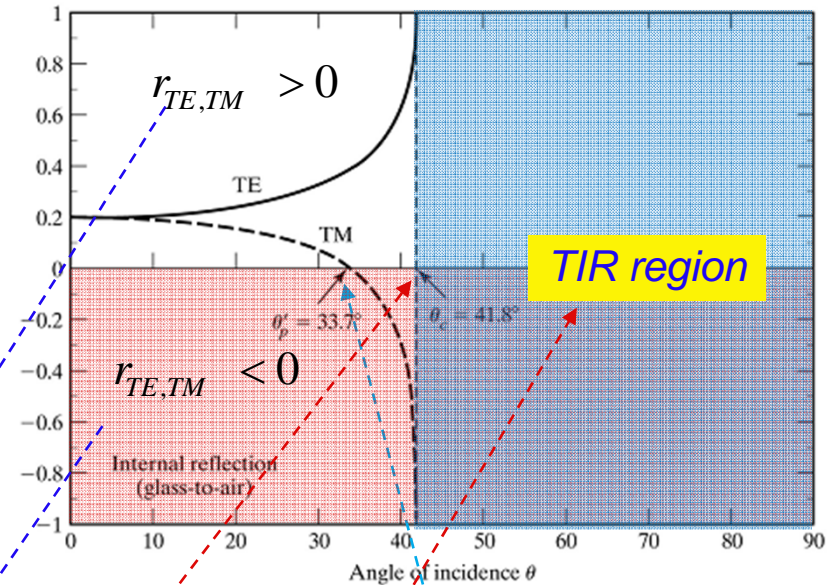
$\rightarrow \sin \theta_c = n = (n_2 / n_1) \quad \Rightarrow \text{critical angle}$

\Rightarrow If $(n^2 - \sin^2 \theta) < 0$, $|r_{TE, TM}| = 1$, **BUT** $r_{TE, TM}$ are complex!

$\rightarrow |r_{TE, TM}| = 1 \quad \Rightarrow \text{Total internal reflection (TIR) when } \theta > \theta_c$

$\rightarrow r_{TE, TM} = |r_{TE, TM}| e^{i\phi} = e^{i\phi}$

$\rightarrow \phi (-\pi \sim +\pi)$ phase change may occur after reflection



Note **Brewster's angle** ($\theta_p = \tan^{-1} n$)
for the TM case: $r_{TM} = 0$

Derivation of Brewster's Angle

Brewster's angle θ_p (for polarizing angle):

$$r_{TM}(\theta_p) = \frac{-n^2 \cos \theta_p + \sqrt{n^2 - \sin^2 \theta_p}}{n^2 \cos \theta_p + \sqrt{n^2 - \sin^2 \theta_p}} = 0$$

$$\begin{aligned} \Rightarrow n^4 \cos^2 \theta_p &= n^2 - \sin^2 \theta_p \\ n^4 \cos^2 \theta_p - n^2 + \sin^2 \theta_p &= 0 \\ &= (n^2 - 1)[n^2 \cos^2 \theta_p - \sin^2 \theta_p] = 0 \end{aligned}$$

$$\Rightarrow \theta_p = \tan^{-1} n$$

$$\text{For } n = 1.50, \theta_p = 56.31^\circ$$

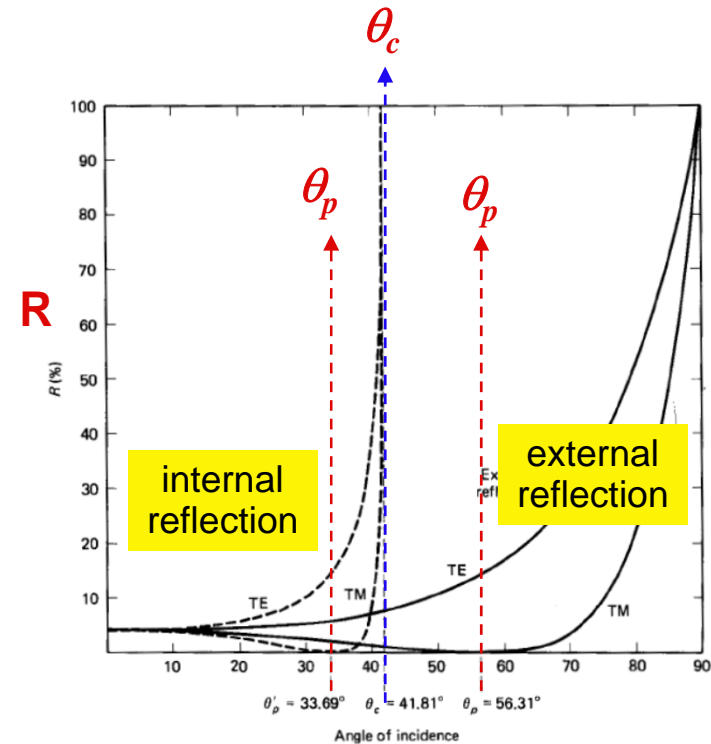
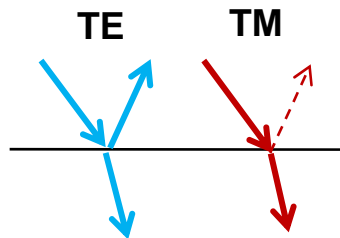


Figure 20-4 Reflectance for both external and internal reflection when $n_1 = 1$ and $n_2 = 1.50$.

Brewster's angle : $\tan \theta_p = n$: $n > 1$ or $n < 1$

→ External & Internal reflections, but TM-polarization only

Critical angle : $\sin \theta_c = n$: $n < 1$

→ TE & TM polarizations, but Internal reflection only

Total Internal Reflection (TIR)

Internal reflection: $n = \frac{n_2}{n_1} < 1$

For $\theta \geq \theta_c = \sin^{-1} n$, called total internal reflection (TIR),

$\Rightarrow r = 1$ and $R = rr^* = 1$ for both (TE and TM) cases.

$\Rightarrow r$ is a complex number

$$r_{TE} = \frac{E_r}{E_i} = \frac{\cos \theta_i - i\sqrt{\sin^2 \theta_i - n^2}}{\cos \theta_i + i\sqrt{\sin^2 \theta_i - n^2}}$$

$$r_{TM} = \frac{E_r}{E_i} = \frac{-n^2 \cos \theta_i + i\sqrt{\sin^2 \theta_i - n^2}}{n^2 \cos \theta_i + i\sqrt{\sin^2 \theta_i - n^2}}$$

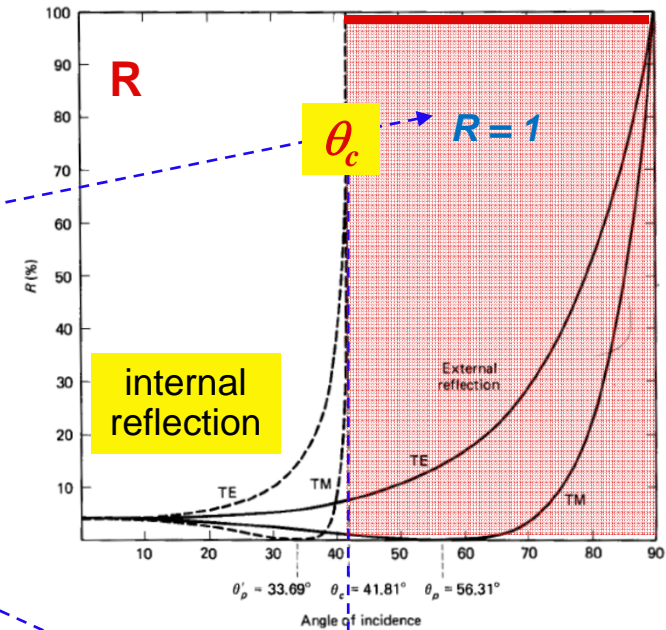
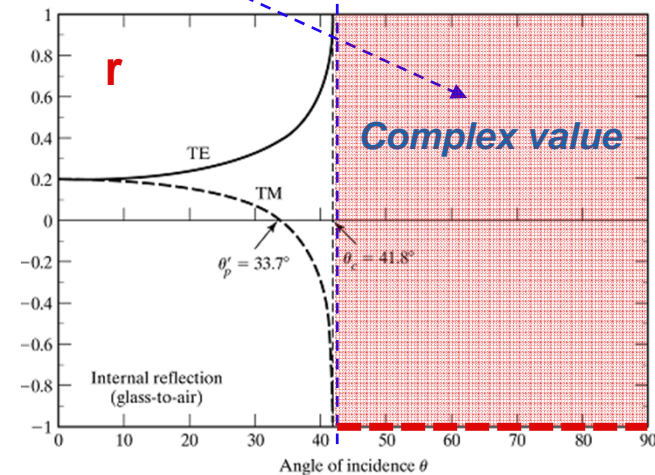


Figure 30.4 Reflectance for both external and internal reflection when $n_1 = 1$ and $n_2 = 1.50$



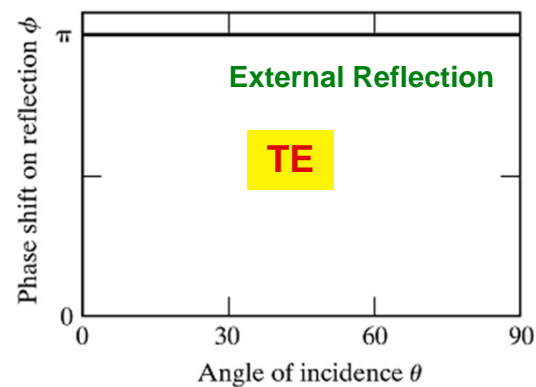
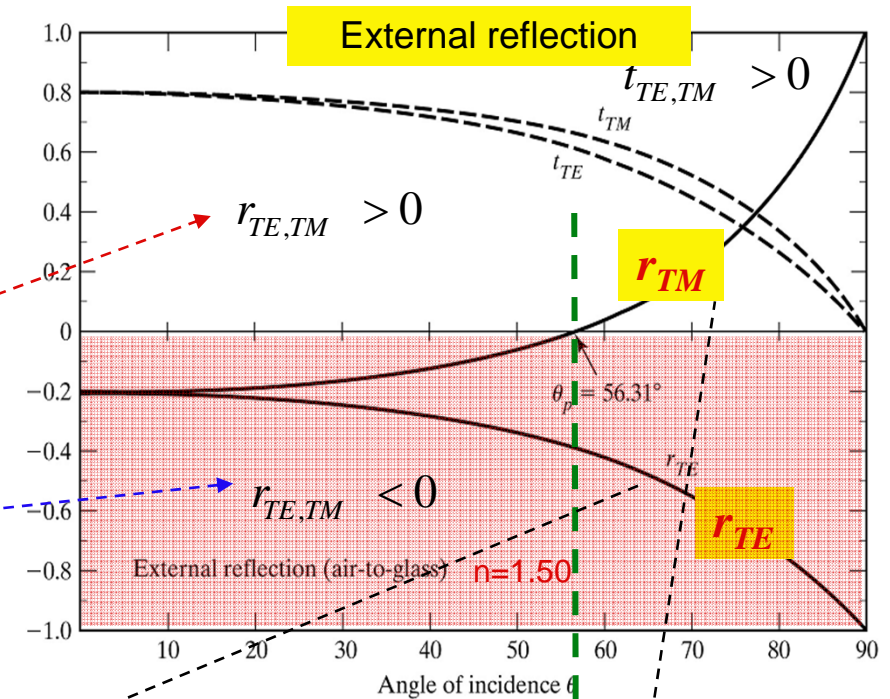
23-3. Phase changes on reflection

Phase shift after External Reflection

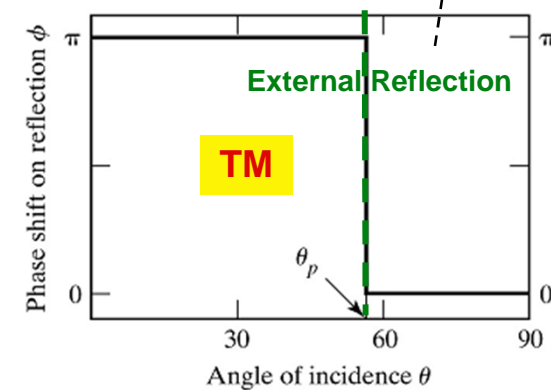
$r_{TE,TM}$ is always a real number for external reflection,

then the phase shift is 0° for $r_{TE,TM} > 0$,

and the phase shift is $180^\circ (= \pi)$ for $r_{TE,TM} < 0$.



(a) TE mode, external reflection (air to glass)



(c) TM mode, external reflection (air-to-glass)

For TE case, π phase shift for all incident angles

**For TM case, π phase shift for $\theta < \theta_p$
No phase shift for $\theta > \theta_p$**

Phase shift after Internal Reflection

$$\Rightarrow r_{TE} > 0 \text{ for } \theta < \theta_c = \sin^{-1} n$$

$$\Rightarrow r_{TE} \text{ is complex in TIR region where } \theta > \theta_c$$

$$\rightarrow r_{TE} = |r_{TE}| e^{i\phi_{TE}} = e^{i\phi_{TE}}$$

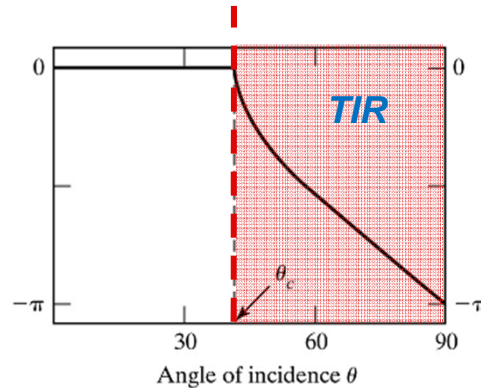
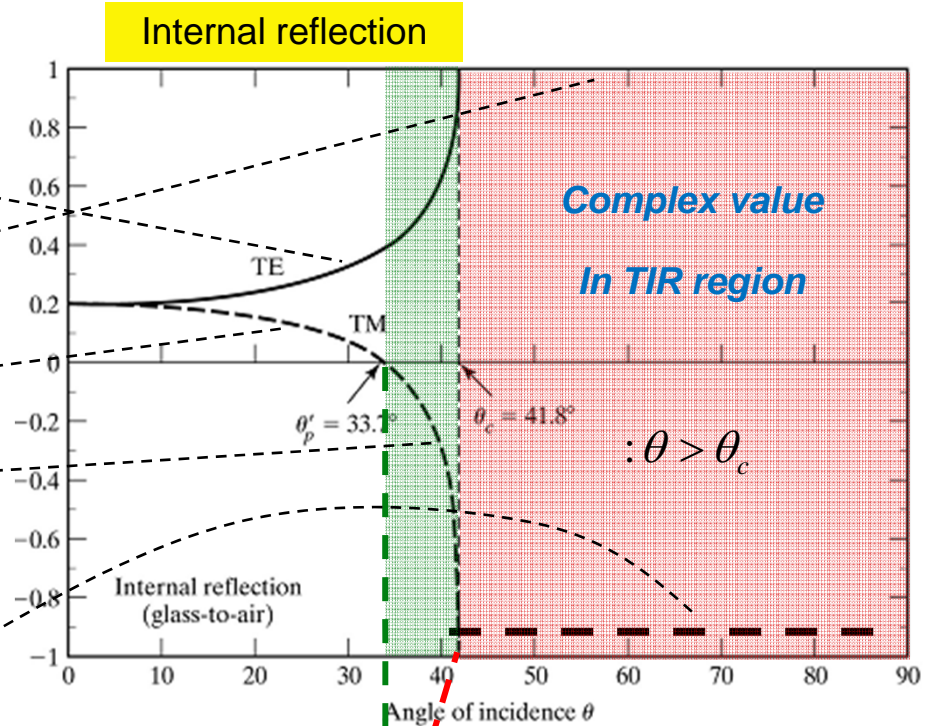
$$\Rightarrow r_{TM} > 0 \text{ for } \theta < \theta_p = \tan^{-1} n$$

$$\Rightarrow r_{TM} < 0 \text{ for } \theta_p < \theta < \theta_c$$

$$\rightarrow r_{TM} = -|r_{TM}| = e^{i\phi_{TM}} |r_{TM}| \rightarrow \phi_{TM} = \pi$$

$$\Rightarrow r_{TM} \text{ is complex in TIR region where } \theta > \theta_c$$

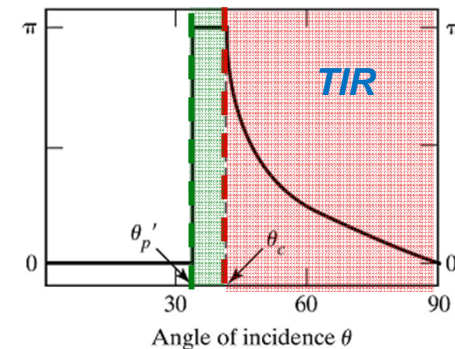
$$\rightarrow r_{TM} = |r_{TM}| e^{i\phi_{TM}} = e^{i\phi_{TM}}$$



(b) TE mode, internal reflection (glass to air)

For TE case, no phase shift for $\theta < \theta_c$

$\phi_{TE}(\theta)$ phase shift for $\theta > \theta_c$



(d) TM mode, internal reflection (glass-to-air)

For TM case, no phase shift for $\theta < \theta_p$

π phase shift for $\theta_p < \theta < \theta_c$

$\phi_{TM}(\theta)$ phase shift for $\theta > \theta_c$

Phase shifts on total Internal Reflection for both TE- and TM-cases

When $\theta \geq \theta_c$ (TIR case) then r is complex and for both the TE and TM cases has the form :

$$r = \frac{a - ib}{a + ib} = \frac{\cos \alpha - i \sin \alpha}{\cos \alpha + i \sin \alpha} = \frac{e^{-i\alpha}}{e^{+i\alpha}} = e^{-i2\alpha} = e^{i\phi} \quad \Rightarrow \quad \tan \alpha = \frac{\sin \alpha}{\cos \alpha} = \frac{b}{a} \quad \phi = -2\alpha$$

ϕ is the phase shift on total internal reflection(TIR).

$$\text{TE case: } r_{TE} = \frac{E_r}{E_i} = \frac{\cos \theta_i - i\sqrt{\sin^2 \theta_i - n^2}}{\cos \theta_i + i\sqrt{\sin^2 \theta_i - n^2}}$$

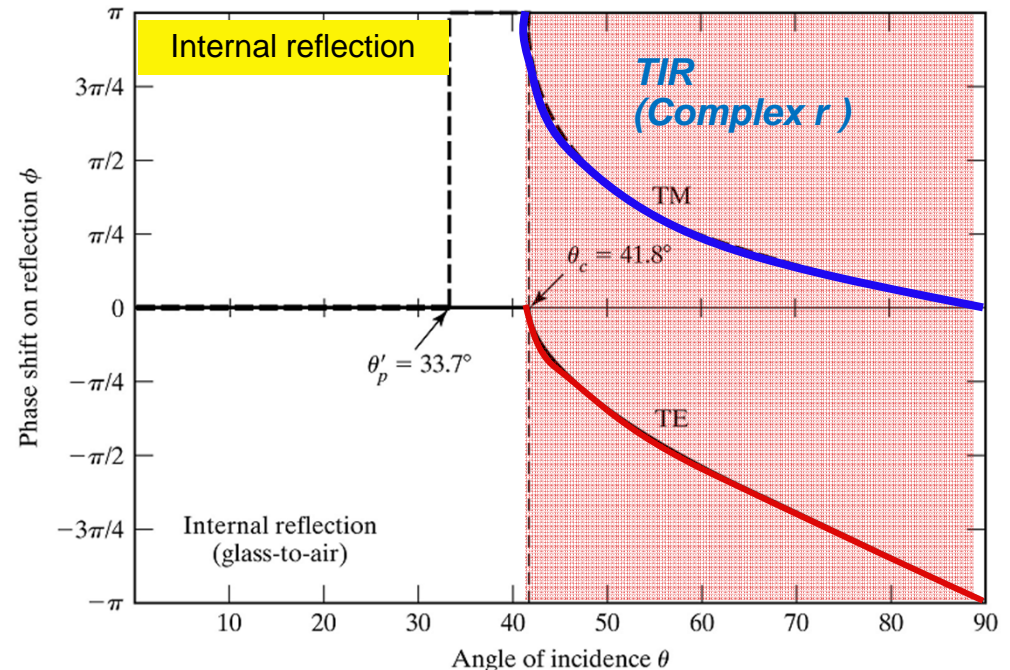
$$a = \cos \theta_i \quad b = \sqrt{\sin^2 \theta_i - n^2}$$

$$\Rightarrow \tan \alpha = \tan \left(-\frac{\phi_{TE}}{2} \right) = \frac{\sqrt{\sin^2 \theta_i - n^2}}{\cos \theta_i}$$

$$\boxed{\phi_{TE} = -2 \tan^{-1} \left(\frac{\sqrt{\sin^2 \theta_i - n^2}}{\cos \theta_i} \right)} : \theta_i > \theta_c$$

A similar analysis for the TM case gives:

$$\boxed{\phi_{TM} = \pi - 2 \tan^{-1} \left(\frac{\sqrt{\sin^2 \theta_i - n^2}}{n^2 \cos \theta_i} \right)} : \theta_i > \theta_c$$



Therefore, $r_{TE, TM}$ after TIR is

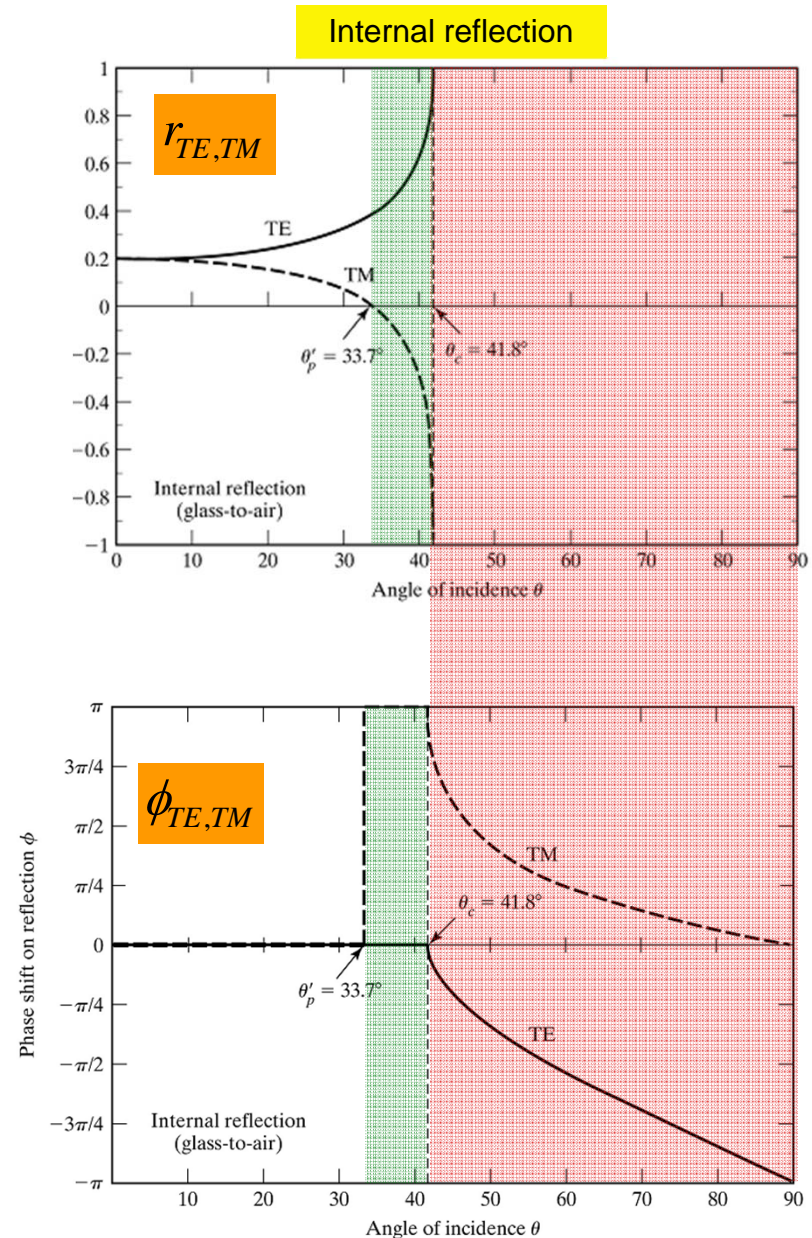
For TIR case ($\theta_{incident} > \theta_c$)

$$r_{TE} = \frac{E_r}{E_i} = \frac{\cos \theta_i - i\sqrt{\sin^2 \theta_i - n^2}}{\cos \theta_i + i\sqrt{\sin^2 \theta_i - n^2}}$$

$$r_{TM} = \frac{E_r}{E_i} = \frac{-n^2 \cos \theta_i + i\sqrt{\sin^2 \theta_i - n^2}}{n^2 \cos \theta_i + i\sqrt{\sin^2 \theta_i - n^2}}$$

$$\phi_{TM} = \pi - 2 \tan^{-1} \left(\frac{\sqrt{\sin^2 \theta_i - n^2}}{n^2 \cos \theta} \right)$$

$$\phi_{TE} = -2 \tan^{-1} \left(\frac{\sqrt{\sin^2 \theta_i - n^2}}{\cos \theta} \right)$$

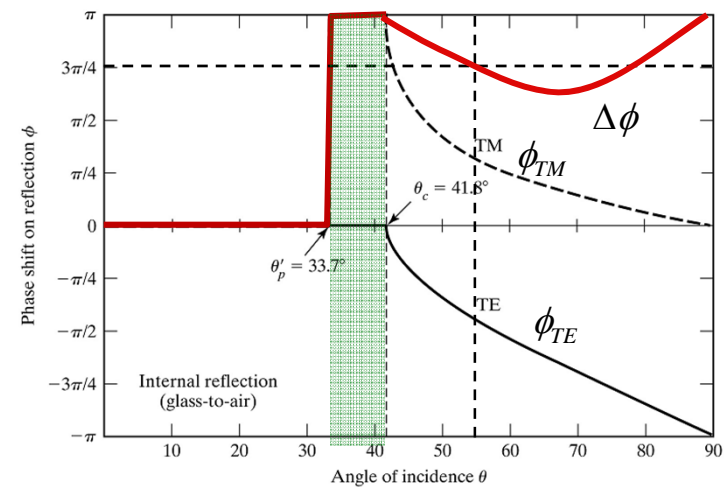
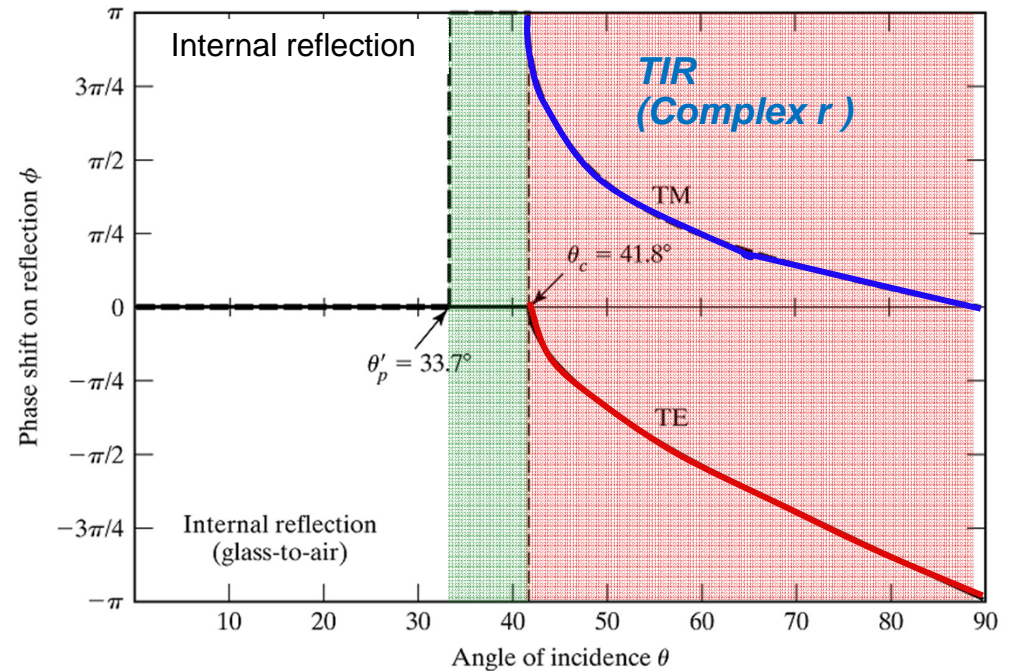


Summary of Phase Shifts on Internal Reflection

$$\phi_{TM} = \begin{cases} 0^\circ & \theta < \theta_p' \\ \pi (=180^\circ) & \theta_p' < \theta < \theta_c \\ \pi - 2 \tan^{-1} \left(\frac{\sqrt{\sin^2 \theta_i - n^2}}{n^2 \cos \theta} \right) & \theta < \theta_c \end{cases}$$

$$\phi_{TE} = \begin{cases} 0^\circ & \theta < \theta_c \\ -2 \tan^{-1} \left(\frac{\sqrt{\sin^2 \theta_i - n^2}}{\cos \theta} \right) & \theta > \theta_c \end{cases}$$

$$\Delta\phi = \phi_{TM} - \phi_{TE} \begin{cases} = 0^\circ & \theta < \theta_p' \\ = \pi & \theta_p' < \theta < \theta_c \\ > 0^\circ & \theta_c < \theta \end{cases}$$



Fresnel Rhomb

Note $\phi_{TM} - \phi_{TE} = \frac{3\pi}{4}$ near $\theta_i = 53^\circ$ when $n = 1.5$

→ After two consecutive TIRs,

$$\rightarrow \phi_{TM} - \phi_{TE} = \frac{3\pi}{2}$$

$$\rightarrow |\Delta\phi| = |\phi_{TM} - \phi_{TE}| = \frac{\pi}{2}$$

→ Quarter-wave retarder

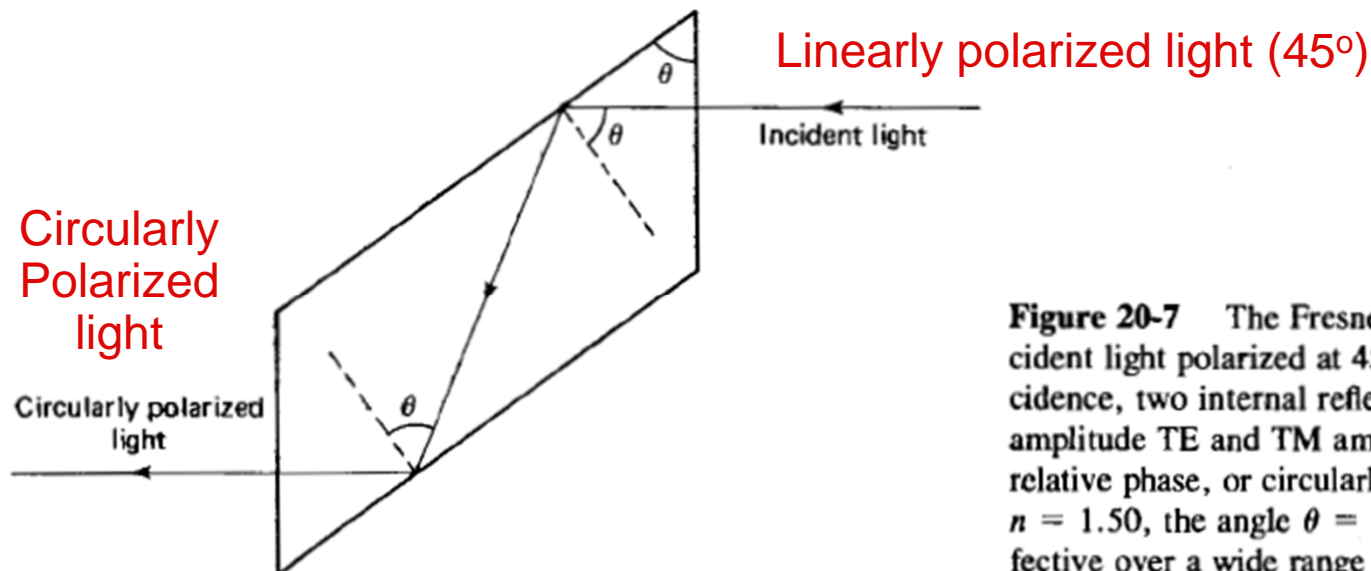
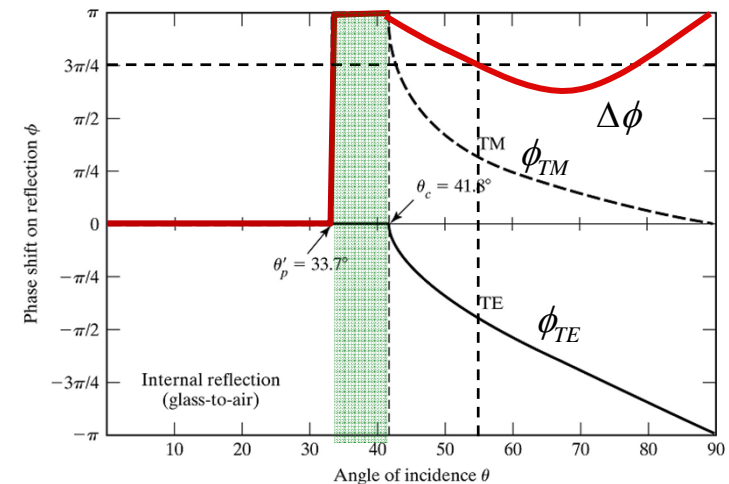


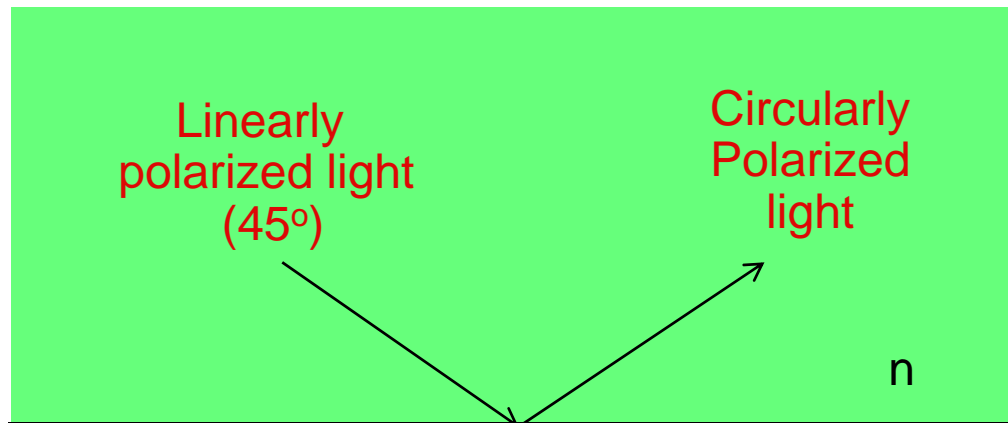
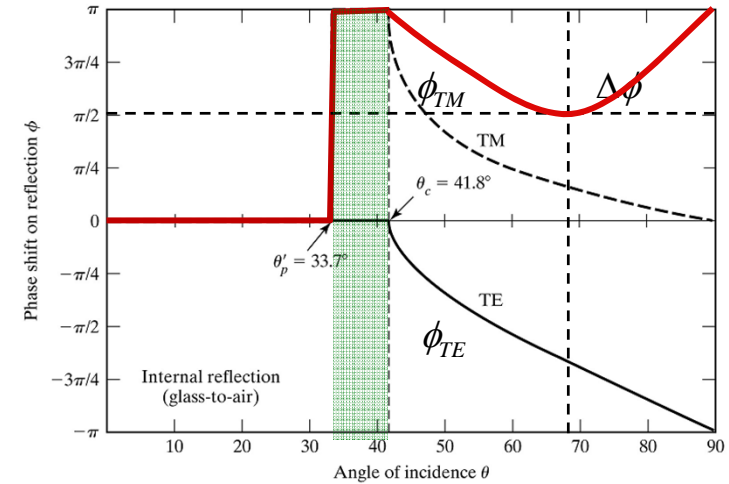
Figure 20-7 The Fresnel rhomb. With the incident light polarized at 45° to the plane of incidence, two internal reflections produce equal amplitude TE and TM amplitudes with a 90° relative phase, or circularly polarized light. For $n = 1.50$, the angle $\theta = 53^\circ$. The device is effective over a wide range of wavelengths.

Quarter-wave retardation after TIR

Note $\phi_{TM} - \phi_{TE} = \frac{\pi}{2}$ near $\theta_i = 69^\circ$ when $n = ???$

$$\rightarrow |\Delta\phi| = |\phi_{TM} - \phi_{TE}| = \frac{\pi}{2}$$

\rightarrow Quarter-wave retarder



23-5. Evanescent Waves at an Interface

Incident beam: $\vec{E}_i = \vec{E}_{oi} \exp[i(\vec{k}_i \cdot \vec{r} - \omega_i t)]$

Reflected beam: $\vec{E}_r = \vec{E}_{or} \exp[i(\vec{k}_r \cdot \vec{r} - \omega_r t)]$

Transmitted beam: $\vec{E}_t = \vec{E}_{ot} \exp[i(\vec{k}_t \cdot \vec{r} - \omega_t t)]$

For the transmitted beam:

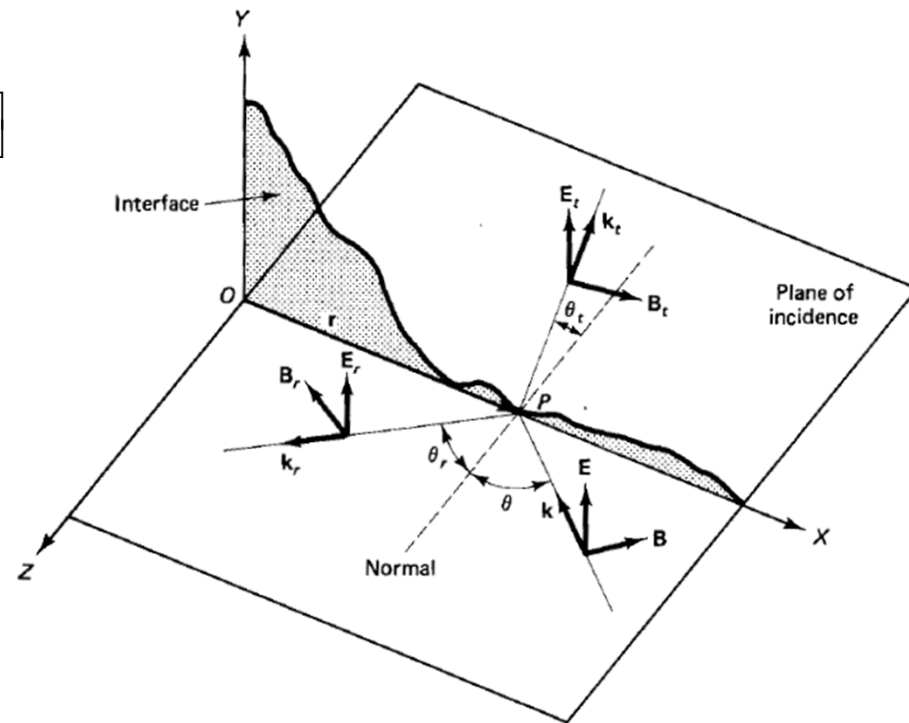
$$E_t = E_{ot} \exp[i(\vec{k}_t \cdot \vec{r} - \omega_t t)]$$

$$\begin{aligned} \vec{k}_t \cdot \vec{r} &= (k_t \sin \theta_t \hat{x} + k_t \cos \theta_t \hat{z}) \cdot (x \hat{x} + z \hat{z}) \\ &= k_t (x \sin \theta_t + z \cos \theta_t) \end{aligned}$$

But, $\cos \theta_t = \sqrt{1 - \sin^2 \theta_t} = \sqrt{1 - \frac{\sin^2 \theta_i}{n}}$

When $\sin \theta_i > n$ (total internal reflection), then:

$$\cos \theta_t = i \sqrt{\frac{\sin^2 \theta_i}{n} - 1} \Rightarrow \text{a purely imaginary number}$$



Evanescent Waves at an Interface

For the transmitted beam *with an TIR condition* ($\sin \theta_i > n$),
we can write the phase factor as:

$$\vec{k}_t \cdot \vec{r} = k_t \left(x \frac{\sin \theta_t}{n} + i z \sqrt{\frac{\sin^2 \theta_i}{n^2} - 1} \right)$$

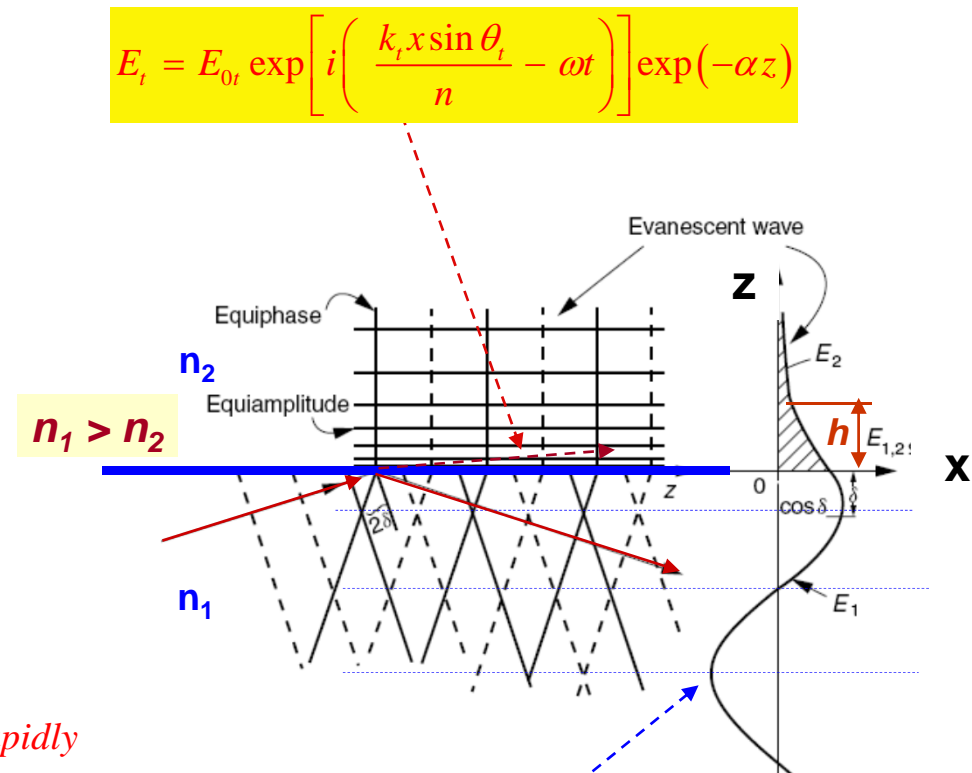
Defining the coefficient α :

$$\alpha = k_t \sqrt{\frac{\sin^2 \theta_i}{n^2} - 1} = \frac{2\pi}{\lambda_t} \sqrt{\frac{\sin^2 \theta_i}{n^2} - 1}$$

We can write the transmitted wave as:

$$E_t = E_{0t} \exp \left[i \left(\frac{k_t x \sin \theta_t}{n} - \omega t \right) \right] \exp(-\alpha z)$$

The evanescent wave *amplitude will decay rapidly*
as it penetrates into the lower refractive index medium.



Note that the incident and reflection waves
form a standing wave in x direction

Penetration depth: $E_t = \left(\frac{1}{e} \right) E_{0t} \Rightarrow h = \frac{1}{\alpha} = \frac{\lambda}{2\pi \sqrt{\frac{\sin^2 \theta_i}{n^2} - 1}}$

Frustrated TIR

T_p = fraction of intensity
transmitted across gap

$$T_p = [1/(\alpha \sinh^2 y + 1)],$$

$$\alpha = \left(\frac{n^2 - 1}{2n} \right)^2 \frac{[(n^2 + 1) \sin^2 \theta_i - 1]^2}{\cos^2 \theta_i (n^2 \sin^2 \theta_i - 1)},$$

$$y = 2\pi \left(\frac{d}{\lambda} \right) (n^2 \sin^2 \theta_i - 1)^{1/2}.$$

Zhu et al., "Variable Transmission Output
Coupler and Tuner for Ring Laser Systems,"
Appl. Opt. **24**, 3610-3614 (1985).

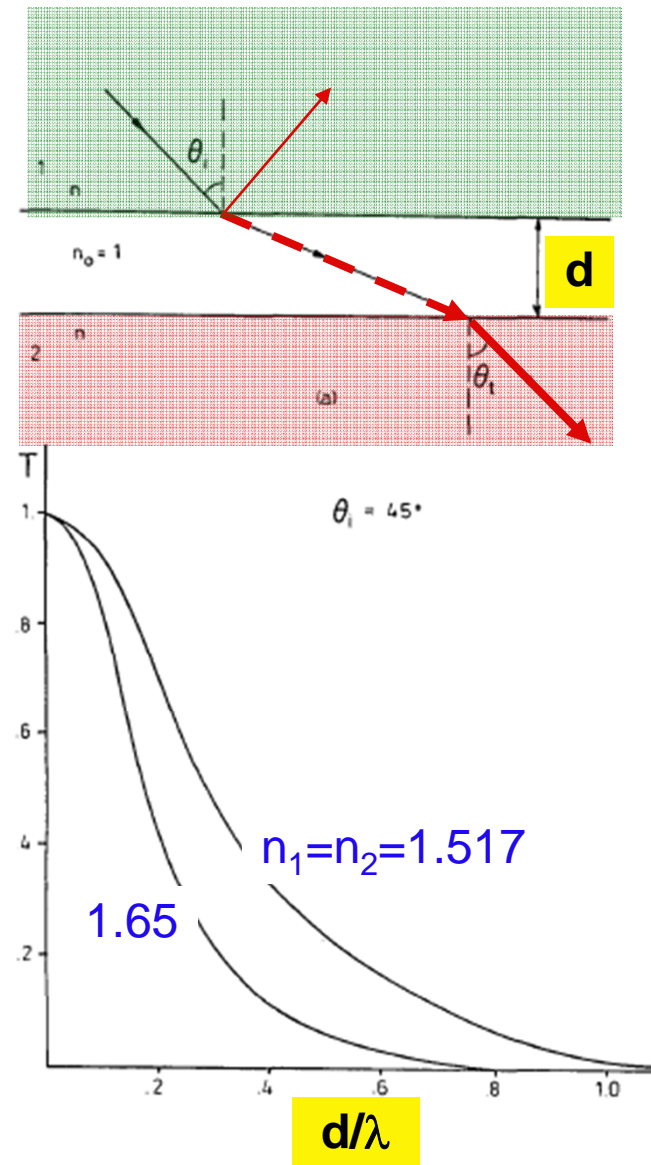


Fig. 2. (a) Tunneling of light through the gap between the regions 1 and 2; frustrated total internal reflection. (b) The fraction of transmitted light vs (d/λ) plotted for two different values of the refractive index n .

Frustrated Total Internal Reflectance

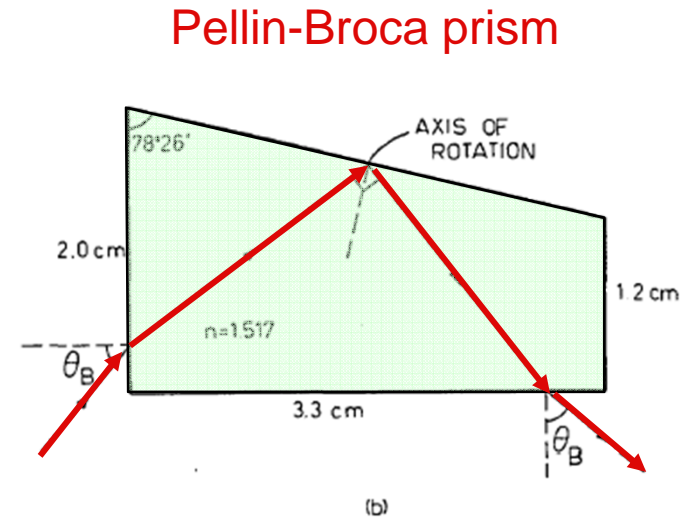
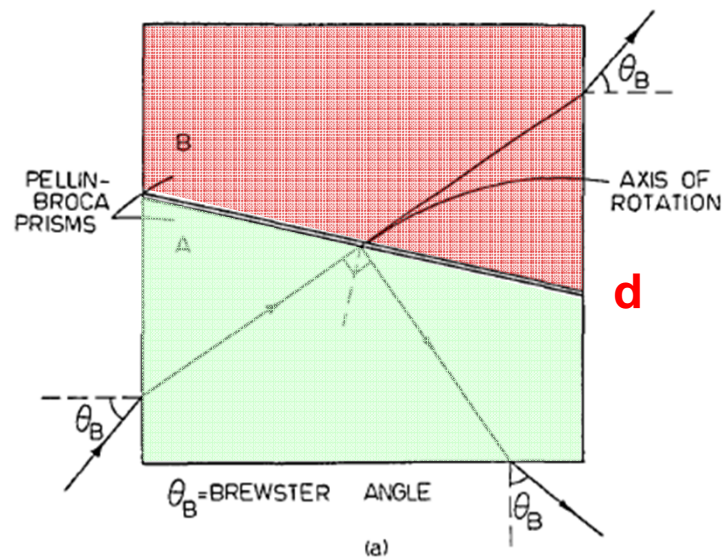


Fig. 3. (a) Configuration of two Pellin-Broca prisms for frustrated total internal reflection. All beams enter and exit at Brewster angle θ_B . (b) Dimensions of the Pellin-Broca prism.

Zhu et al., "Variable Transmission Output Coupler and Tuner for Ring Laser Systems," Appl. Opt. **24**, 3610-3614 (1985).

$d = 1 \sim \lambda$: changing the reflectance
Rotation: changing the wavelength resonant at θ_B

23-6. Complex Refractive Index

For a material with conductivity(σ) : $\tilde{n} = \sqrt{1 + i \left(\frac{\sigma}{\epsilon_0 \omega} \right)} = n_R + i n_I$

$$\tilde{n}^2 = 1 + i \left(\frac{\sigma}{\epsilon_0 \omega} \right) = n_R^2 - n_I^2 + i 2n_R n_I$$

Solving for the real and imaginary components we obtain:

$$\begin{aligned} n_R^2 - n_I^2 &= 1 & 2n_R n_I &= \frac{\sigma}{\epsilon_0 \omega} \Rightarrow n_R = \frac{\sigma}{2n_I \epsilon_0 \omega} \\ \Rightarrow \left(\frac{\sigma}{2n_I \epsilon_0 \omega} \right)^2 - n_I^2 &= 1 & \Rightarrow n_I^4 - n_I^2 - \left(\frac{\sigma}{2\epsilon_0 \omega} \right)^2 &= 0 \end{aligned}$$

From the quadratic solution we obtain:

$$n_I^2 = \frac{1 \pm \sqrt{1 + 4 \left(\frac{\sigma}{2\epsilon_0 \omega} \right)^2}}{2} \Rightarrow n_I^2 = \frac{1 + \sqrt{1 + 4 \left(\frac{\sigma}{2\epsilon_0 \omega} \right)^2}}{2}$$

We need to take the positive root because n_I is a real number.

Complex Refractive Index

Substituting our expression for the complex refractive index back into our expression for the electric field we obtain

$$\begin{aligned}\vec{E} &= \vec{E}_0 \exp\left[i\left(\vec{k} \cdot \vec{r} - \omega t\right)\right] \\ &= \vec{E}_0 \exp\left\{i\left[\left(n_R + i n_I\right) \frac{\omega}{c} \left(\hat{u}_k \cdot \vec{r}\right) - \omega t\right]\right\} \\ &= \vec{E}_0 \exp\left\{i \omega \left[\frac{n_R}{c} \left(\hat{u}_k \cdot \vec{r}\right) - t\right]\right\} \exp\left[-\frac{n_I \omega}{c} \left(\hat{u}_k \cdot \vec{r}\right)\right]\end{aligned}$$

The first exponential term is oscillatory.

The EM wave propagates with a velocity of n_R / c .

The second exponential has a real argument (absorbed).

Complex Refractive Index

$$\vec{E} = \vec{E}_0 \exp \left\{ i \omega \left[\frac{n_R}{c} (\hat{u}_k \cdot \vec{r}) - t \right] \right\} \exp \left[- \frac{n_I \omega}{c} (\hat{u}_k \cdot \vec{r}) \right]$$

The second term leads to absorption of the beam in metals due to inducing a current in the medium. This causes the irradiance to decrease as the wave propagates through the medium.

$$I \equiv \vec{E} \vec{E}^* = \vec{E}_0 \vec{E}_0^* \exp \left[- \frac{2 n_I \omega (\hat{u}_k \cdot \vec{r})}{c} \right]$$

$$I = I_0 \exp \left[- \frac{2 n_I \omega (\hat{u}_k \cdot \vec{r})}{c} \right] = I_0 \exp \left[- \alpha (\hat{u}_k \cdot \vec{r}) \right]$$

The absorption coefficient is defined : $\alpha = \frac{2 n_I \omega}{c} = \frac{4 \pi n_I}{\lambda}$

23-7. Reflection from Metals

Reflection from metals is analyzed

by substituting the complex refractive index \tilde{n} in the Fresnel equations:

$$TE \text{ case: } r_{TE} = \frac{E_r}{E_i} = \frac{\cos \theta_i - \sqrt{\tilde{n}^2 - \sin^2 \theta_i}}{\cos \theta_i + \sqrt{\tilde{n}^2 - \sin^2 \theta_i}}$$

$$TM \text{ case: } r_{TM} = \frac{E_r}{E_i} = \frac{-\tilde{n}^2 \cos \theta_i + \sqrt{\tilde{n}^2 - \sin^2 \theta_i}}{\tilde{n}^2 \cos \theta_i + \sqrt{\tilde{n}^2 - \sin^2 \theta_i}}$$

Substituting $\tilde{n} = n_R + i n_I$ we obtain:

$$TE \text{ case: } r = \frac{E_r}{E_i} = \frac{\cos \theta_i - \sqrt{(n_R^2 - n_I^2 - \sin^2 \theta_i) + i(2n_R n_I)}}{\cos \theta_i + \sqrt{(n_R^2 - n_I^2 - \sin^2 \theta_i) + i(2n_R n_I)}}$$

$$TM \text{ case: } r = \frac{E_r}{E_i} = \frac{-[(n_R^2 - n_I^2) + i(2n_R n_I)] \cos \theta_i + \sqrt{(n_R^2 - n_I^2 - \sin^2 \theta_i) + i(2n_R n_I)}}{[(n_R^2 - n_I^2) + i(2n_R n_I)] \cos \theta_i + \sqrt{(n_R^2 - n_I^2 - \sin^2 \theta_i) + i(2n_R n_I)}}$$

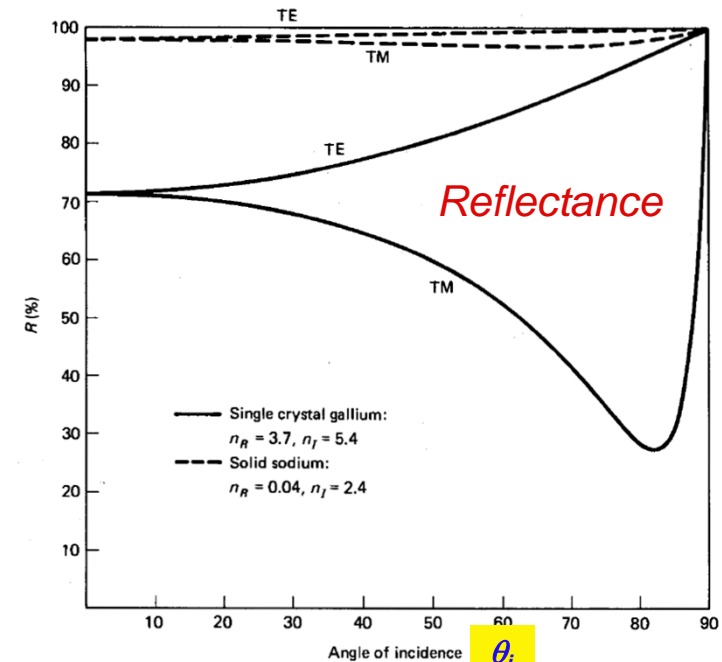


Figure 20-10 Reflectance from metal surfaces by using Fresnel's equations. The values of n_R and n_I are given for sodium light of $\lambda = 589.3 \text{ nm}$.

Reflection from Metals at normal incidence ($\theta_i=0$)

At normal incidence, $\theta_i = 0^\circ$:

$$r_{TE} = \frac{\cos \theta_i - \sqrt{\tilde{n}^2 - \sin^2 \theta_i}}{\cos \theta_i + \sqrt{\tilde{n}^2 - \sin^2 \theta_i}} = \frac{1 - \tilde{n}}{1 + \tilde{n}}$$

$$r_{TM} = \frac{-\tilde{n}^2 \cos \theta_i + \sqrt{\tilde{n}^2 - \sin^2 \theta_i}}{\tilde{n}^2 \cos \theta_i + \sqrt{\tilde{n}^2 - \sin^2 \theta_i}} = \frac{1 - \tilde{n}}{1 + \tilde{n}}$$

$$\therefore r = \frac{1 - (n_R - i n_I)}{1 + (n_R - i n_I)}$$

The *power reflectance* R is given by

$$R = r r^* = \left[\frac{1 - (n_R - i n_I)}{1 + (n_R - i n_I)} \right] \left[\frac{1 - (n_R + i n_I)}{1 + (n_R + i n_I)} \right] = \left(\frac{1 - 2n_R + n_R^2 + n_I^2}{1 + 2n_R + n_R^2 + n_I^2} \right)$$

$$R = \frac{(n_R - 1)^2 + n_I^2}{(n_R + 1)^2 + n_I^2}$$

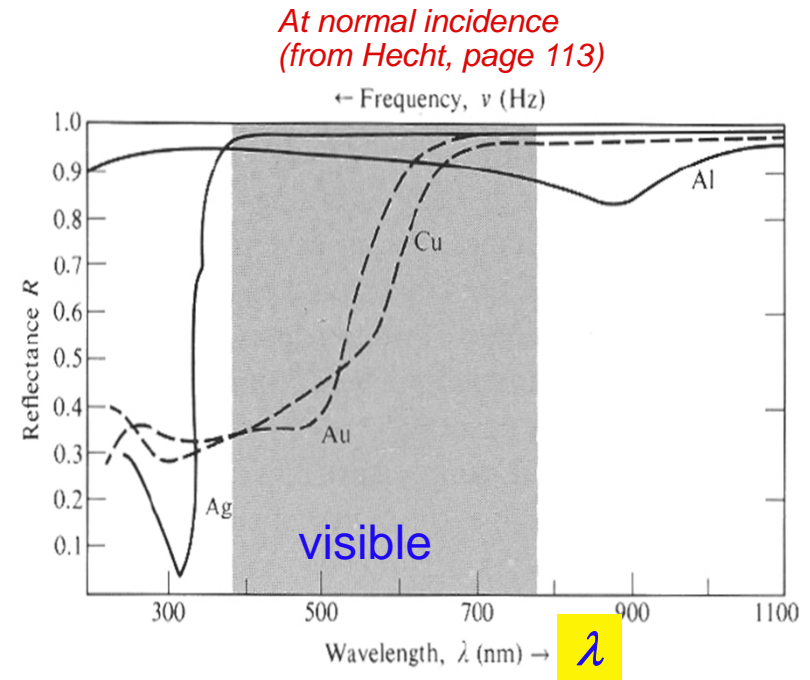


Figure 4.42 Reflectance versus wavelength for silver, gold, copper, and aluminum.