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**Simulation de la signalisation calcique  
dans les prolongements fins  
astrocytaires**

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# Résumé

Les astrocytes sont les cellules gliales les plus abondantes du système nerveux central et sont essentiels à son fonctionnement, intervenant notamment dans la formation des synapses et de la barrière hémato-encéphalique, dans le maintien de l'homéostasie métabolique et ionique ainsi que dans la régulation des concentrations extracellulaires en neurotransmetteurs. Récemment, les astrocytes ont également été identifiés comme des partenaires essentiels des neurones dans le cadre du traitement de l'information dans le système nerveux central. Les astrocytes peuvent entrer en contact avec les neurones au niveau des synapses ( $\approx$  60-90% des synapses de l'hippocampe sont contactées par des astrocytes [1, 2]) et moduler la communication neuronale via la libération de gliotransmetteurs et l'absorption de neurotransmetteurs [3]. Les interactions au niveau de ces synapses dites tripartites sont nécessaires au développement et impliquées dans le fonctionnement normal du système nerveux central.

L'excitabilité des astrocytes résulte de fluctuations de la concentration cytosolique en calcium : les signaux calciques. Ces signaux sont altérés dans de nombreuses pathologies dont les maladies neuro-développementales, neurodégénératives et neuropsychiatriques. Le recours dans un nombre croissant d'études à la microscopie à super-résolution [4] et aux indicateurs calciques encodés génétiquement (GECI) [5] a permis de révéler une complexité jusqu'alors insoupçonnée des signaux calciques astrocytaires. Ces derniers présentent une grande diversité spatio-temporelle, avec notamment des différences majeures entre les signaux observés dans le corps cellulaire (le soma) et dans les prolongements fins périphériques [6]. Par rapport aux signaux somatiques, les signaux des prolongements sont caractérisés par une cinétique plus rapide, ont des amplitudes de pics d'un ordre de grandeur inférieur et sont fortement confinés spatialement. La majorité des signaux calciques mesurés *in vivo* et *in vitro* survient dans ces prolongements, qui représentent la majorité ( $\approx$  75%) du volume des astrocytes [7]

Historiquement, les signaux calciques ont été modélisés avec des modèles déter-

ministes parfaitement mélangés, qui supposent que le système comprend un grand nombre de molécules qui sont uniformément distribuées. Ces méthodes ne prennent pas en compte la stochasticité inhérente aux interactions moléculaires ainsi que les effets de diffusion, qui sont prépondérants dans les petits volumes. De fait, ces méthodes permettent d'étudier les signaux calciques à l'échelle de la cellule ou du réseau de cellules mais sont peu adaptées à l'étude des signaux dans de petits volumes comme ceux des prolongements fins astrocytaires. Les travaux présentés dans cette thèse étudient l'effet des propriétés spatiales (comme la géométrie cellulaire, les distributions spatiales des molécules et la diffusion) sur les signaux calciques dans les prolongements fins astrocytaires, en collaboration avec des expérimentateurs.

Le Chapitre IV présente notre modèle de signaux calciques en 2 dimensions spatiales. Comme les canaux calciques IP<sub>3</sub>R sont supposés être responsables de la majorité des signaux dans les astrocytes, nous proposons un modèle de signaux calciques IP<sub>3</sub>R-dépendants. Pour prendre en compte la stochasticité inhérente aux petits volumes sub-cellulaires et au faible nombre de molécules supposé dans les prolongements fins, le modèle est à la fois spatialement explicite et individu-centré. La cinétique des canaux IP<sub>3</sub>R est modélisée par une version simplifiée du modèle de De Young et Keizer [8] (voir la section III.1 pour plus de détails). Cette implémentation en 2D, bien que moins réaliste qu'un équivalent en 3D, est plus rapide et mieux adaptée à une analyse extensive de la diversité de comportements que le modèle peut exhiber. Les principales conclusions de cette étude sont les suivantes :

- Les signaux calciques spontanés du modèle émergent d'une interaction entre l'excitabilité et la stochasticité du système.
- Le modèle reproduit les principales formes de signaux calciques reportées dans la littérature.
- La fréquence des signaux calciques dépend de l'organisation spatiale des canaux calciques. Les simulations du modèle montrent notamment que deux prolongements astrocytaires exprimant exactement les mêmes canaux calciques peuvent être caractérisés par différents types de signaux en fonction de l'organisation spatiale des canaux.

Comme le paramétrage en 2 dimensions spatiales ne permet pas d'établir une relation exacte entre le nombre de molécules dans les simulations et les concentrations mesurées expérimentalement, nous avons décidé de développer notre modèle en 3 dimensions spatiales. Le modèle est stochastique, utilisant des méthodes dites "voxel-based" (voir la section III.4 pour plus de détails). Ce travail a été effectué

en collaboration avec l'équipe d'Erik De Schutter, OIST (Japon), qui a développé le logiciel STEPS, avec lequel le modèle a été implémenté. Ce modèle permet de plus d'étudier l'impact de la localisation des canaux IP<sub>3</sub>R, qui sont présents à la surface (2D) du reticulum endoplasmique (ER), sur les signaux calciques dans le volume cytosolique (en 3D). Dans ce modèle, les concentrations moléculaires et les volumes peuvent être ajustés avec précision pour décrire au mieux les données expérimentales. Les données expérimentales en question ont été obtenues par nos collaborateurs : l'équipe d'U. V. Nägerl de l'Institut interdisciplinaire des neurosciences de l'Université de Bordeaux (France) pour les mesures de signaux calciques en microscopie à super-résolution et en confocal et C. Cali, KAUST University (Arabie Saoudite) pour la reconstitution en 3D de la géométrie d'un astrocyte de l'hippocampe à partir d'images de microscopie électronique. Les résultats de cette étude sont présentés dans le Chapitre V. Brièvement, les principales conclusions de cette étude sont que :

- Notre modèle en 3D, avec un volume et des concentrations calciques réalistes, reproduit les données expérimentales de signaux calciques spontanés, obtenues dans des microdomaines calciques dans les prolongements fins.
- Les simulations du modèle prédisent que des variations locales de la concentration et de la cinétique des indicateurs fluorescents, utilisés dans les expériences d'imagerie calcique, pourraient contribuer à la diversité des signaux observée au sein d'un même astrocyte.
- Des interactions entre l'organisation spatiale des canaux calciques et la géométrie cellulaire permettent de moduler la fréquence et l'amplitude des signaux spontanés.

Les résultats de nos collaborateurs [9] ainsi que de nombreuses études révèlent la présence de signaux induits par l'activité des neurones avoisinant l'astrocyte. Dans le but de mieux comprendre cette communication entre neurones et astrocytes, nous avons simulé l'induction de signaux calciques astrocytaires en réponse à une stimulation neuronale. Les simulations ainsi que les données expérimentales associées sont présentées dans le Chapitre VI et permettent de mieux comprendre les paramètres qui peuvent influencer le déclenchement ainsi que la propagation des signaux au sein des prolongements fins. Les principales conclusions de cette étude sont :

- La géométrie des prolongements astrocytaires, qui sont le site des interactions entre neurones et astrocytes, influence la probabilité ainsi que la vitesse de propagation des signaux. Notamment, les structures observées par nos collaborateurs, constituant une alternance de renflements cellulaires et de ramifications plus fines, semblent isoler les renflements de la diffusion de molécules

tout en favorisant la propagation des signaux calciques.

- La présence de ramifications se refermant en anneaux semble diminuer la probabilité ainsi que la vitesse de propagation des signaux calciques, pouvant potentiellement favoriser une communication locale entre l'astrocyte et les synapses en contact avec ladite structure en anneaux.
- Des simulations de notre modèle révèlent que la distance entre une synapse neuronale et le plus proche ER astrocytaire influe sur la variabilité en amplitude et en fréquence des signaux calciques, pour une intensité de stimulus neuronal donnée.

En résumé, les simulations présentées dans cette thèse indiquent que (1) la diffusion moléculaire, fortement modulée par la concentration et la cinétique des buffers endogènes et exogènes, (2) l'organisation spatiale des molécules au sein de la cellule, notamment la co-clusterisation des canaux calciques, (3) la géométrie de l'ER et sa localisation dans la cellule et (4) la géométrie cellulaire influencent fortement les signaux calciques et pourraient contribuer à la diversité des signaux calciques astrocytaires.

**Mots-clés:** Système nerveux central, astrocytes, signalisation, calcium, neurosciences computationnelles, modélisation stochastique, réaction-diffusion.

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# Abstract and keywords

## Abstract

Astrocytes are predominant glial cells in the central nervous system, which are essential for the formation of synapses, participate to the blood-brain barrier and maintain the metabolic, ionic and neurotransmitter homeostasis. Recently, astrocytes have emerged as key elements of information processing in the central nervous system. Astrocytes can contact neurons at synapses and modulate neuronal communication via the release of gliotransmitters and the uptake of neurotransmitters. The use of super-resolution microscopy [4] and highly sensitive genetically encoded  $\text{Ca}^{2+}$  indicators (GECIs) [5] have revealed a striking spatiotemporal diversity of  $\text{Ca}^{2+}$  signals in astrocytes. Most astrocytic signals occur in processes, which are the sites of neuron-astrocyte communication, and strongly differ from somatic signals. Those processes are too fine to be resolved by conventional light microscopy so that super-resolution microscopy and computational modeling remain the only methodologies to study those compartments. The work presented in this thesis aims at investigating the effect of spatial properties (as e.g cellular geometry, molecular distributions and diffusion) on  $\text{Ca}^{2+}$  signals in those processes, which are deemed essential in such small volumes.

Historically,  $\text{Ca}^{2+}$  signals were modeled with deterministic well-mixed approaches, which enabled the study of  $\text{Ca}^{2+}$  signals in astrocytic networks or whole-cell events. Those methods however ignore the stochasticity inherent to molecular interactions as well as diffusion effects, which both play important roles in small volumes.

In this thesis, we present the spatially-extended stochastic model that we have developed in order to investigate  $\text{Ca}^{2+}$  signals in fine astrocytic processes. This work was performed in collaboration with experimentalists that performed electron (C. Cali, KAUST University, Saudi Arabia) as well as super-resolution microscopy (Nägerl team, Institut interdisciplinaire des neurosciences de l'Université de Bordeaux, France). The model was validated against experimental data. Simulations of

the model suggest that (1) molecular diffusion, strongly influenced by the concentration and kinetics of endogenous and exogenous buffers, (2) intracellular spatial organization of molecules, notably  $\text{Ca}^{2+}$  channels co-clustering, (3) ER geometry and localization within the cell, (4) cellular geometry strongly influence  $\text{Ca}^{2+}$  dynamics and can be responsible for the striking diversity of astrocytic  $\text{Ca}^{2+}$  signals. This work contributes to a better understanding of astrocyte  $\text{Ca}^{2+}$  signals, a prerequisite for understanding neuron-astrocyte communication and its influence on brain function.

**Keywords** : Central nervous system, astrocytes, signaling, calcium, computational neuroscience, stochastic modeling, reaction-diffusion.

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# List of scientific productions

## Publications

K. Ceyzériat, L. Ben Haim, A. Denizot, D. Pommier, M. Matos, O. Guillemaud, M.-A. Palomares, L. Abjean, F. Petit, P. Gipchtein, M.-C. Gaillard, M. Guillermier, S. Bernier, M. Gaudin, G. Aurégan, C. Joséphine, N. Déchamps, J. Veran, V. Langlais, K. Cambon, A. P. Bemelmans, J. Baijer, G. Bonvento, M. Dhenain, J.-F. Deleuze, S. H. R. Oliet, E. Brouillet, P. Hantraye, M.-A. Carrillo-de Sauvage, R. Olaso, A. Panatier, and C. Escartin, “Modulation of astrocyte reactivity improves functional deficits in mouse models of Alzheimer’s disease,” *Acta Neuropathologica Communications*, vol. 6, p. 104, Oct. 2018.

Denizot, A., Arizono, M., Nägerl, U.V., Soula, H., and Berry, H. (2019). Simulation of calcium signaling in fine astrocytic processes: Effect of spatial properties on spontaneous activity. *PLOS Comput. Biol.* 15, e1006795.

Denizot, A., Berry, H., Venugopal, S. Computational Modeling of Intracellular  $Ca^{2+}$  Signals in Astrocytes. in *Encyclopedia of Computational Neuroscience*, 2019. **Submitted**.

Denizot, A., Arizono, M., Chen, W., Hepburn, I., Soula, H., Nagerl, U. V., De Schutter, E., Berry, H. Investigating the effect of the nanoscale architecture of astrocytic processes on the propagation of calcium signals, accepted to the 28th Annual Computational Neuroscience Meeting, CNS 2019, Barcelona, Spain. **In Press**.

## Communications

A. Denizot, M. Arizono, W. Chen, I. Hepburn, H. Soula, V. U. Nägerl, E. De Schutter, H. Berry. Investigating the effect of the nanoscale architecture of astrocytic processes on the propagation of calcium signals, *Annual Computational Neuroscience Meeting*, July 2019, Barcelona, Spain. (poster)

A. Denizot, H. Soula, and H. Berry, Simulation of calcium signaling in fine astrocytic processes, *OIST Computational Neuroscience Course*, Okinawa, Japan, July 2018. (poster)

A. Denizot, H. Soula, and H. Berry, Simulation of calcium signaling in fine astrocytic processes, *LyonSysBio*, Lyon, France, November 2017. (poster)

A. Denizot, H. Soula, and H. Berry, Simulation of calcium signaling in fine astrocytic processes : effect of spatial properties on spontaneous activity, *LyonSysBio*, Lyon, France, November 2017. (oral presentation)

A. Denizot, H. Soula, and H. Berry, Simulation of calcium signaling in fine astrocytic processes, *OIST Computational Neuroscience Course*, Okinawa, Japan, July 2017. (poster)

A. Denizot, Towards simulation of calcium signaling in fine astrocytic processes, *International Astrocyte School*, Bertinoro, Italy, March 2017. (oral presentation)

A. Denizot, H. Soula, and H. Berry, Simulation of calcium signaling in fine astrocytic processes, *CompSysbio*, Aussois, France, March 2017. (poster)

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# Abbreviations

- AAV: Adeno-associated virus  
AD: Alzheimer's disease  
ANP: Atrial natriuretic peptide  
ATP: Adenosine-triphosphate  
BD: Brownian dynamics  
BDNF: Brain-derived neurotrophic factor  
cAMP: Cyclic adenosine monophosphate  
CB<sub>1</sub>: Cannabinoid receptor type 1  
CICR: Calcium-induced calcium release  
CME: Chemical master equation  
CNS: Central nervous system  
DREADD: Designer receptors exclusively activated by designer drugs  
DYK: De Young-Keizer  
EM: Electron microscopy  
ER: Endoplasmic reticulum  
FDF: Fire-diffuse-fire  
FIB: Focused ion beam  
FRET: Fluorescence resonance energy transfer  
FWHM: Full width at half maximum  
GABA: Gamma-Aminobutyric acid  
GECI: Genetically encoded calcium indicator  
GFAP: Glial fibrillary acidic protein  
GPCR: G protein-coupled receptors  
GFRD: Green's function reaction dynamics  
IP<sub>3</sub>R: Inositol 3-Phosphate receptor  
LTP: Long term potentiation  
mGluR: metabotropic glutamate receptor  
NMDA: N-Methyl-D-aspartic acid  
NCX: Na<sup>+</sup>-Ca<sup>2+</sup> exchanger  
NPC: Neural precursor cell  
ODE: Ordinary differential equation

OPC: Oligodendrocyte precursor cell  
PALM: Photo-activated localization  
PAP: Peripheral astrocytic process  
PD: Parkinson's disease  
PDE: Partial differential equation  
PDF: Probability density function  
PDMP: Piecewise deterministic markov processes  
PIP<sub>2</sub>: Phosphatidylinositol 4,5-bisphosphate  
PKA: Protein kinase A  
PLC: Phospholipase C  
PM: Plasma membrane  
RDME: Reaction-diffusion master equation  
ROS: Reactive Oxygen Species  
RyR: Ryanodine receptor  
SEM: Scanning electron microscopy  
SERCA: Smooth endoplasmic calcium ATPase  
SMFM: Single-molecule fluorescence microscopy  
SOCE: Store-operated Ca<sup>2+</sup> entry  
SSA: Stochastic Simulation Algorithm  
STED: Stimulated emission depletion  
STORM: Stochastic optical reconstruction  
TIRF: Total internal reflection fluorescence  
TRPV: Transient receptor potential cation channel subfamily V  
TTX: Tetrodotoxin  
WT: Wild type

*“If our small minds, for some convenience, divide this glass of wine, this universe, into parts — physics, biology, geology, astronomy, psychology, and so on — remember that nature does not know it! So let us put it all back together, not forgetting ultimately what it is for.”*

Richard Feynman



## Part A

# Modeling astrocyte excitability and $\text{Ca}^{2+}$ signaling



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# Chapter I

## Astrocyte characteristics and functions within the CNS

### I.1 What are astrocytes?

#### I.1.1 Historical considerations

Astrocytes are a type of glial cells of the central nervous system (CNS). The first report of glial cells was done by Virchow in 1846, describing a highly connected substance, cementing the brain, that he named "neuroglia" [10]. Camillo Golgi has first identified them as cells that differ from neurons [11, 12] and observed, using silver-chromate staining, a huge diversity of glial cells, which were organized in networks. He also observed that many of their cellular ramifications were heading towards blood vessels. Michael von Lenhossek has named those cells 'astrocytes', literally "star-shaped" cells, referring to their ramifications from the soma [13]. In the beginning of the XX<sup>th</sup> century, Ramón y Cajal has developed a method to stain astrocytes: gold and mercury chloride-sublimate, which labels only glial fibrillary acidic protein (GFAP), a marker that is mostly expressed in astrocytes [14]. He has suggested their role in regulating blood flow [15]. Few researchers a century ago even speculated that glia might play a central role for information processing in the CNS [16, 17].

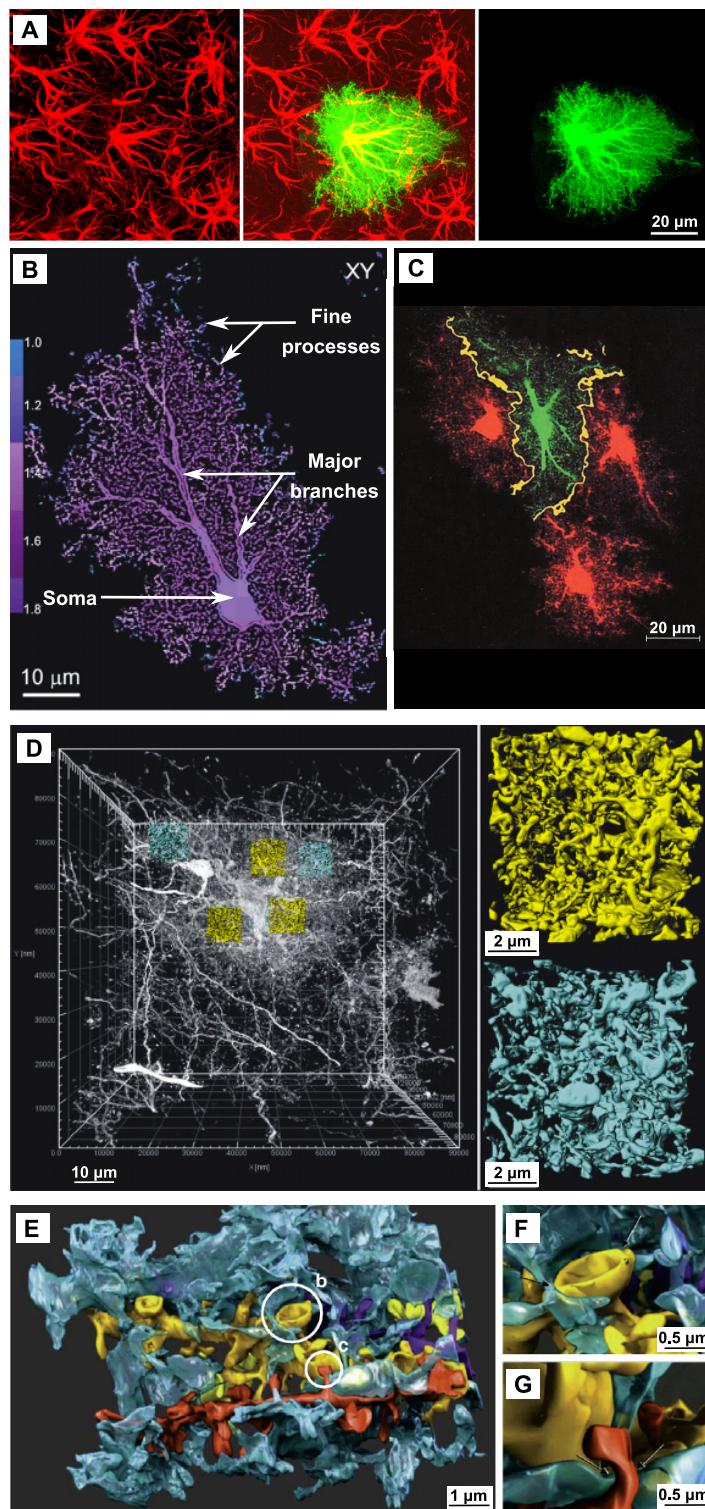
### I.1.2 Astrocyte characteristics

#### Astrocyte morphology

Deiters has first reported the ramified shape of some neuroglia, later confirmed by Michael von Lenhossek [18]. Astrocyte morphology consists in a big soma ( $\approx 6 \times 10^3 \mu\text{m}^3$  [19]), containing the nucleus, and cellular ramifications/branches referred to as astrocyte processes.

The first studies of astrocytic morphology were performed with stainings such as Golgi staining and with dyes that were loaded in the cell. Later studies have rather performed immunostaining, which relies on the selective visualization of proteins that are specific to the cell type of interest. For example, astrocytes have been traditionally characterized by their expression of GFAP and anti-GFAP immunostaining has yielded to the concept of astrocytes being star-shaped cells (see Fig I.1A). Modern tools beyond those techniques have been developed to visualize astrocyte morphology in physiological conditions. Transcriptomics studies [20, 21, 22] have identified astrocyte-specific promoters such as GFAP or S100 $\beta$  [23, 24, 25]. Those promoters can be used to express genetically encoded  $\text{Ca}^{2+}$  indicators (GECIs) specifically in astrocytes [26]. Assuming that all regions of astrocytes can display  $\text{Ca}^{2+}$  signals, those tools allow for a better resolution of astrocyte morphology *in vivo* and *in vitro* compared to e.g. GFAP staining, which marks 15% of the total astrocytic volume [27]. GECIs, similarly to dyes (see Fig I.1), actually reveal a shape that is more spongiform than star-shaped, with a volume of  $\approx 10^4 \mu\text{m}^3$  [28].  $\approx 80\%$  of the total surface area belongs to processes [29]. Specialized and polarized ramifications that are in contact with blood vessels are called endfeet [30]. Electron microscopy (EM) has been important for characterizing astrocytic morphology at the nanometer scale [31, 32, 33, 2, 1], revealing extra-thin sheets and processes near the neuropil and enwrapping synapses, often referred to as peripheral astrocyte processes (PAPs). Fig I.1 presents the typical morphology of astrocytes, revealed by different imaging techniques.

Interestingly, an astrocyte in the adult rodent brain can cover from 20 000 to 80 000  $\mu\text{m}^3$  [27, 35, 36]. Each astrocyte only contacts neighboring ones at its peripheral processes so that astrocytes occupy non-overlapping territories [27] (see also Fig I.1C). A single astrocyte can also wrap several neuronal somata and can interact with 300 to 600 neuronal dendrites [35]. Finally, it has been demonstrated that astrocytes in the rat hippocampus can contact simultaneously up to 100 000 synapses [27]. Since the volume of human astrocytes is 15 to 20 fold larger than in rodents, a single astrocytic domain could cover up to 2 000 000 synapses [37].



**Figure I.1: Typical morphology of astrocytes.** High resolution electron and light microscopy revealing the ramified, spongiform structure of astrocytes. (A) Comparison of astrocyte images obtained by glial fibrillary acidic protein (GFAP) immunostaining (red) and 3d reconstructions of dye-filled astrocytes (green). The images illustrate the higher morphological complexity that can be visualized with dye-filled compared to GFAP-labeled astrocytes, which is more spongiform than star-shaped. (B) Confocal image of a dye-filled astrocyte, revealing its discrete morphological compartments: the soma, major branches and distal finer processes. (C) Optical slice through the interface region of neighboring astrocytes (yellow), illustrating the restricted region in which astrocytes interdigitate.

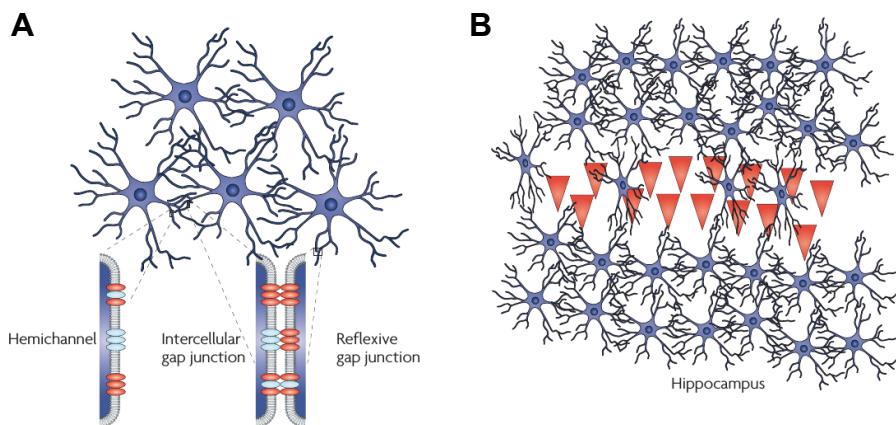
**Figure I.1: Typical morphology of astrocytes (continued).** (D) Electron microscopic image of an entire astrocyte that was stained with Golgi (left). Yellow zones represent three peri-somatic sub-volumes and cyan regions, three peripheral ones ( $680 \mu\text{m}^3$  each). The right panel illustrates the dense networks of fine astrocytic processes. (E) 3D reconstruction of astrocytic processes (blue) from a single astrocyte of the rat hippocampus. Their apposition to neuronal dendrites are clearly visible (four dendrites are reconstructed in gold, yellow, red and purple). Presynaptic neuronal elements are not reconstructed here.  $\approx 50\%$  of the surface of mushroom spines was apposed to peripheral astrocyte processes (PAPs) (F), while only the neck of thin dendrites was in contact with processes (G, arrows). Panel A was taken from Pekny et al [34], B from Shigetomi et al [26], C from Bushong et al [27] and D, E, F, G from Reichenbach et al [29].

### Neuron/astrocytes ratio

The number of glial cells in the central nervous system has for long been a controversial subject (see [38]). New stereological studies [39] have revealed a ratio of the total number of glia and neurons in macaque monkeys and human brains that is roughly 1:1, although the glia/neurons ratio depends on brain region: from 11:1 in the brain stem to 0.2:1 in the cerebellum [40]. Astrocytes most probably account for 20-40% of all glial cells [18], thus accounting for 10-20% of neural cells in the human CNS.

### Expression identity of astrocytes

In order to better characterize astrocyte gene expression identity and the possible variability of the latter depending on brain region, genetic screens have been performed. Astrocytes have first been characterized by the expression of glial fibrillary acidic protein (GFAP) [18]. GFAP is however not the best astrocytic marker as it is expressed late in development and fails at labelling protoplasmic astrocytes [41]. Recent transcriptomic studies on populations of astrocytes have revealed that the use of ALDH1L1 gene marker should be favoured instead [42, 43]. Other markers for astrocytes have been identified by Zhang et al [21]. No universal marker for staining all astrocytes in the CNS has been identified yet but the most commonly used are GFAP, Vimentin, S100 $\beta$ , EAAT-1 (GLAST), EAAT-2 (GLT-1), Glutamine synthetase, ALDH1L1, CX43, CX30, AQP4 and SOX9 (see [18] for more details). Importantly, cultured astrocytes do not express the same genes as *in vivo* astrocytes [42].



**Figure I.2: Astrocytic networks** (A) Astrocytes are connected to each other via connexin (Cx) channels. Specific domains characterize each astrocyte and astrocytes communicate via gap junction channels only at the interface between their territories. Note that 2 processes from the same astrocyte can contact each other and form 'reflexive' gap junctions. (B) Representation of the organization of the astroglial network in the hippocampus, in which the layer of pyramidal neurons (red) influences the number and morphologies of astrocytes. This figure was taken from Giaume et al [51].

### Astrocyte networks

Astrocyte coupling has first been demonstrated by injecting Lucifer yellow or biocytin in a single astrocyte, resulting in the staining of nearly a hundred of adjacent astrocytes. This connection between the cytosol of several astrocytes is orchestrated by gap junctions on astrocytic membranes. Gap junctions are composed of hundreds of channels named connexons, which, when open, enable the intercellular transport of all ions and molecules that are smaller than 1000 Da. There, two adjacent membranes come close together, with an intercellular space of  $\approx$  2-3 nm [44]. Astrocytes coupling is highly variable as it can involve networks of hundreds of cells [45, 46] to only 2-5 of them [47, 48] and as coupling is not ubiquitous so that neighboring cells may not be coupled even if closely apposed [49, 50]. The organization of astrocytic networks does not look random as they often follow anatomical structures such as barrels of the somatosensory cortex [51]. Interestingly,  $\approx$  25% of gap junctions have been reported to connect processes from the same cell [52], potentially enabling the coupling of different subcellular compartments [52].

### Astrocyte electrophysiological signature

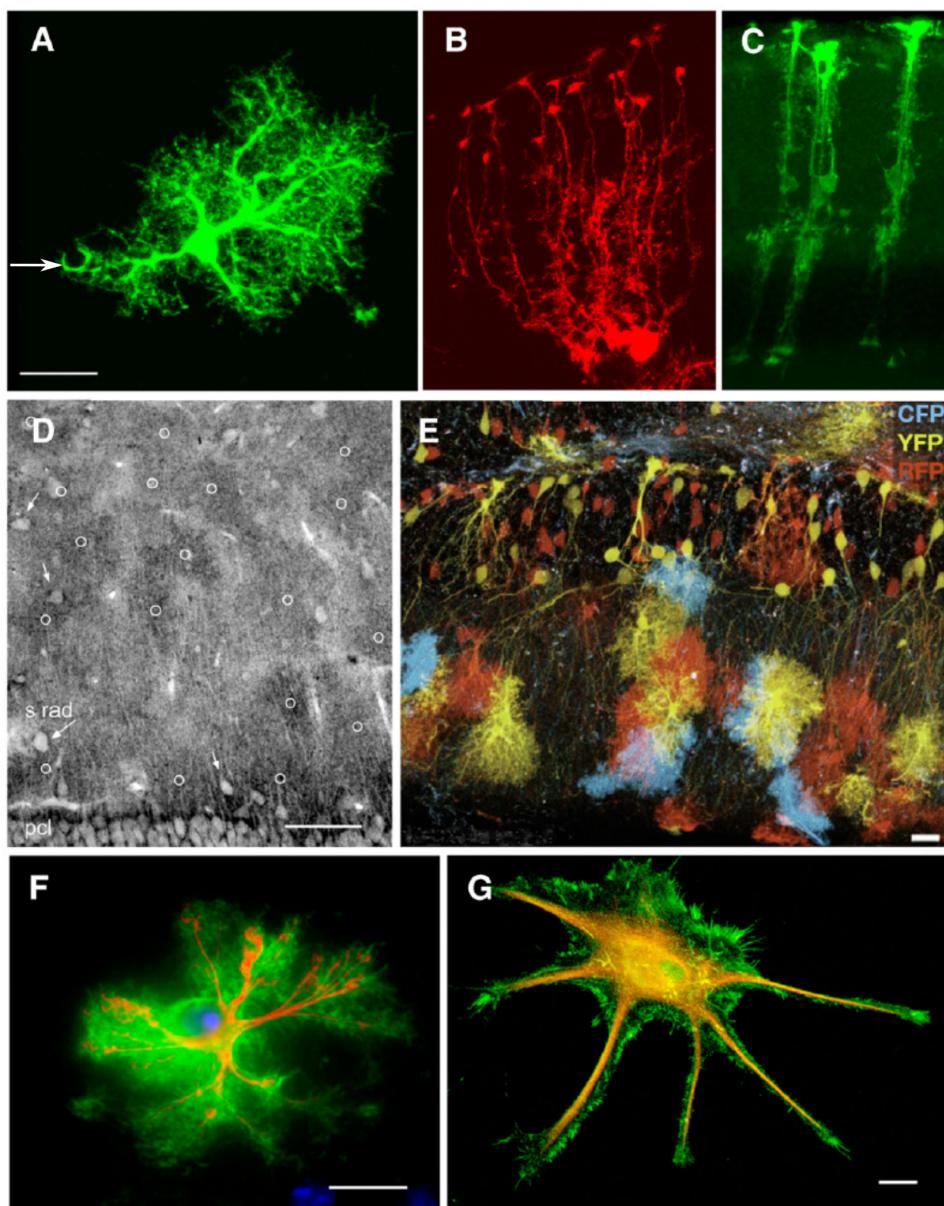
Mature astrocytes are hyperpolarized with a resting potential of  $\approx -80$  mV, due to high membrane permeability to  $K^+$  [53]. They are also characterized by a nearly linear relationship of current to voltage [54]. Although astrocytes display a huge variability in their morphology, their electrophysiological properties are remarkably similar. For more details on the ion channels responsible for ion currents and resting membrane potential in astrocytes, see [18].

### Astrocyte diversity

Although astrocytes share a similar ramified structure, they display a remarkable morphological heterogeneity, which was already reported by Ramón y Cajal [55]. The diversity of astrocytic morphology is presented in Fig I.3. Nine main astrocyte subtypes have emerged from morphological studies, including protoplasmic VS fibrous astrocytes, which are found in gray and white matter respectively, and the more specific Müller glia of the retina and Bergmann glia in the cerebellum [56]. Notably, cultured astrocytes display a very different morphology from *in situ* or *in vivo* astrocytes (Fig I.3F,G). Depending on brain region, astrocytes display various protein expression levels [57], transcriptionally distinct intracellular regions [43, 58, 59] as well as distinct  $Ca^{2+}$  signals and electrophysiological properties [45, 60, 61]. Although the morphology of astrocytes is as heterogeneous as that of neurons, the functional implications of this diversity is still poorly understood and requires further investigation.

The proportion of synapses that are in contact with astrocytes processes also displays both intra-regional and inter-regional diversity (see section I.2.7 for more details).

Better understanding the subregional and regional diversity of astrocytes is important as it might underlie selective neuronal degeneration in brain diseases such as Parkinson's disease [62]. For more detailed reviews on regional morphological and functional diversity of astrocytes and potential approaches that could uncover the diversity of astrocytes, see [63, 64, 18, 65].



**Figure I.3: Morphological diversity of astrocytes.** Astrocytes are polarized and highly ramified cells that can display various morphologies depending on brain region. (A) Astrocyte of the mouse neocortex. Note that one process on the left is contacting a blood vessel (white arrow). (B) Bergmann glial cells from mouse cerebellum. Those astrocytes display a specific polarization: cell bodies are localized at one end while the other end contacts the pia mater. (C) Müller glial cells of the mouse retina also display an elongated morphology. (D) Staining of ezrin, an actin-binding protein in PAPs, in the stratum radiatum of the rat hippocampus. This staining labels the tips of astrocytic processes and enables the visualization of astrocyte territories. Somata are labelled with white circles. Arrows represent pyramidal cells and interneurons. (E) Brainbow Cre/LoxP transgenic mice also revealing astrocyte territories and spongiform structures. (F–G) Co-immunostaining for GFAP (red) and ezrin (green), respectively labelling major processes and more distal ones and illustrating the lack of precision of morphological structure observed with GFAP staining. Also note the striking difference in morphology of acutely isolated (F) VS cultured (G) astrocytes. Cultured astrocytes appear less ramified, displaying a less complex morphological architecture. Scale bars ( $\mu\text{m}$ ): 20 (A), 100 (D), 20 (E), 15 (F), 10 (G). Figure from Reichenbach et al [29].

## I.2 Physiological functions of astrocytes

Although they were first considered to be mainly responsible for brain tissue cohesion, the roles of astrocytes in the functioning of the central nervous system are now better documented. The main roles of astrocytes in the CNS are presented in Fig I.4 and in the next paragraphs.

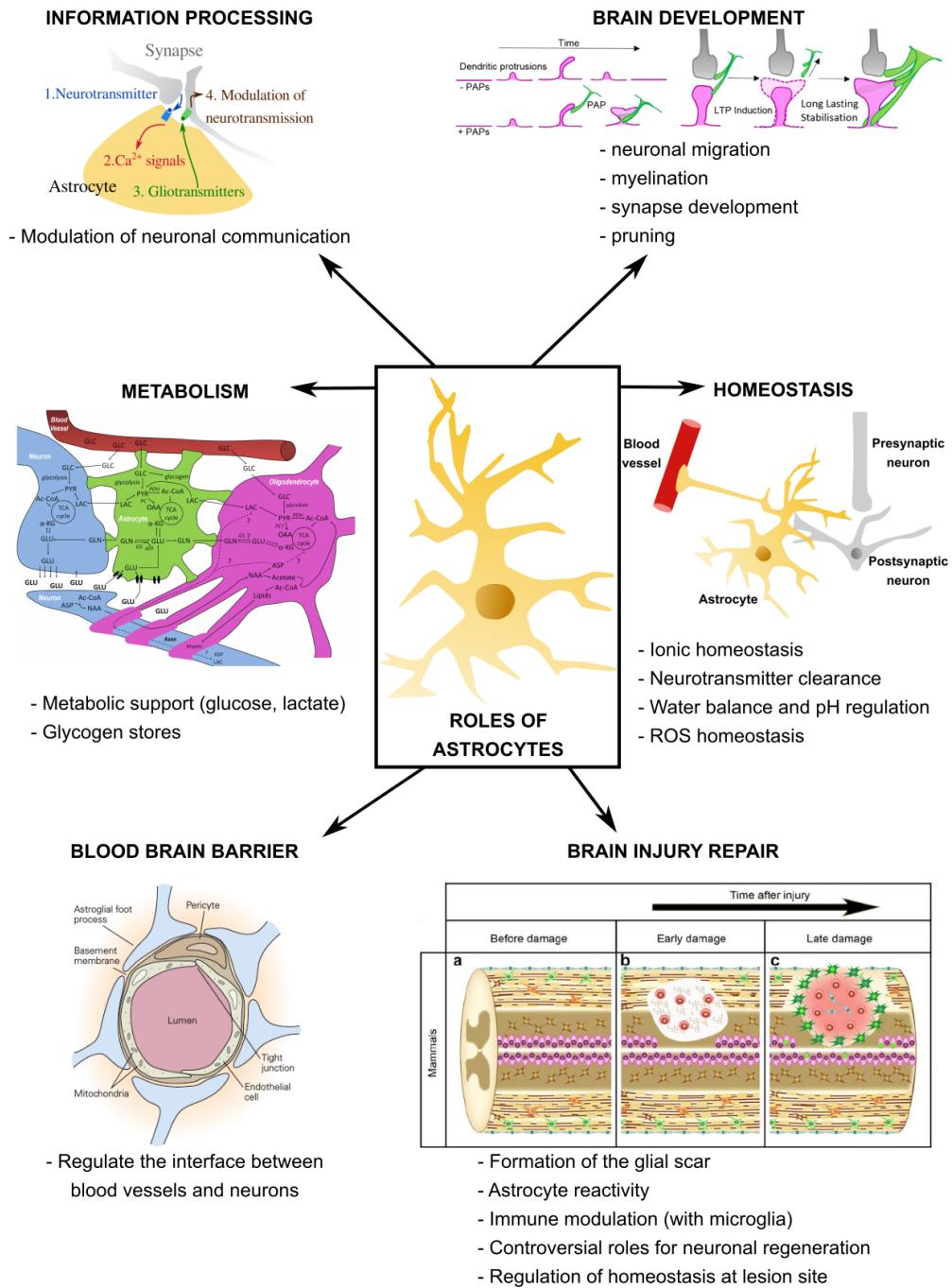
### I.2.1 Brain development

The critical role of astrocytes for synaptogenesis has first been observed in culture. Indeed, astrocyte-neuron co-cultures displayed seven times more synapses than classical neuronal cultures [66]. Astrocytes induce synapse formation via the release of diverse molecules including thrombospondins and chordin-like 1 [67, 68]. Astrocytes modulate neighboring dendritic spines morphology [69], synapse-astrocyte contact is correlated to the maturation and to the lifetime of dendritic spines [70] and movements of astrocytic processes are observed during synapse formation [70]. Interestingly, metabotropic glutamate receptors (mGluRs) are expressed in astrocytes as early as the first postnatal week [71], enabling them to detect synaptic activity at early stages of brain development. For a review on the roles of astrocytes in synapse formation, maturation, pruning, as well as synapse elimination, which are synthesized in the concept of the astroglial cradle [72, 73], see Dallerac et al [74].

Astrocytes are also involved in promoting the myelination of active neurons by oligodendrocytes [75]. For reviews on the roles of astrocytes in CNS development, see [76, 41].

### I.2.2 Homeostasis

Astrocytes regulate ionic homeostasis of the extracellular space, including  $K^+$ ,  $Na^+$  and  $Ca^{2+}$ , which is essential for the propagation of electrical signals within neurons [81]. Astrocytes are essential for uptaking and catabolizing neurotransmitters, including GABA, adenosine, monoamines and glutamate. Astrocytes also release precursors of neurotransmitters to neurons. For example, around 80% of glutamate is taken up by astrocytes in the CNS [82], which prevents neuronal and synaptic damage caused by high glutamate concentration [83]. Astrocytes also regulate the pH and water homeostasis of the extracellular space, thus also regulating its volume. Finally, astrocytes regulate the homeostasis of reactive oxygen species (ROS) [18].



**Figure I.4: Roles of astrocytes in brain development and function.** Astrocytes are essential for brain development (figure from Schiweck et al [77]), homeostasis, information processing and metabolism (figure from Amaral et al [78]). They are key elements of the blood brain barrier (figure from Kandel et al [79]) and participate to the repair of the injured brain tissue (figure from Lee-Liu et al [80]). For more detailed discussion and references on the physiological roles of astrocytes, please refer to section I.2 and to Verkhratsky et al [18].

Astrocytes are thus chemosensing cells that are responsible for systemic homeostasis of ions and metabolites in the CNS.

### I.2.3 Metabolic support

Astrocytes possess most of the brain glycogen reserves and can metabolize it through glycogenesis, before providing neurons with energy substrates such as glutamine [78, 84]. Glycogenesis is important for long term potentiation (LTP) and for memory consolidation [18]. Most importantly, astrocytes utilize glucose to perform aerobic glycolysis, which produces lactate [84]. The astrocyte-neuron lactate shuttle hypothesis (ANLSH) has been formulated by Pellerin et al [85] and proposes that neuronal activity relies on lactate that is released from astrocytes. Astrocytes can produce, release as well as take up extracellular lactate [86] so that astrocytes can support metabolic needs of neurons by producing as well as uptaking lactate.

### I.2.4 Blood Brain Barrier

Astrocytes contribute to the blood-brain barrier, which protects the brain from homeostatic changes and from most of the pathogens (although a few pathogens such as e.g the human immunodeficiency virus, HIV, can cross this barrier) [87]. Endfeet are enriched in ion channels and Kir  $K^+$  transporters [87], which contribute to systemic homeostasis in the brain by regulating its pH,  $Na^+$  and  $K^+$  concentrations. Astrocyte activity in response to neuronal activity can induce vasomodulation [88, 89, 90], illustrating potential roles of astrocytes for mediating interactions between active neurons and blood vessels.

### I.2.5 Brain injury

The involvement of glial cells in the diseased brain has been speculated since the beginning of the XIX<sup>th</sup> century by Alzheimer, Nissl and Frommann. However, the XX<sup>th</sup> century has been characterized by a neuro-centric investigation of the diseased brain [91].

As astrocytes play key roles in brain function, their functions are altered in various brain diseases such as epilepsy [91], brain tumours [91], neurodegenerative diseases [92], Down syndrome [93], major depressive disorder [91] and schizophrenia

[94]. The involvement of astrocytes in brain diseases is represented in Fig I.5A.

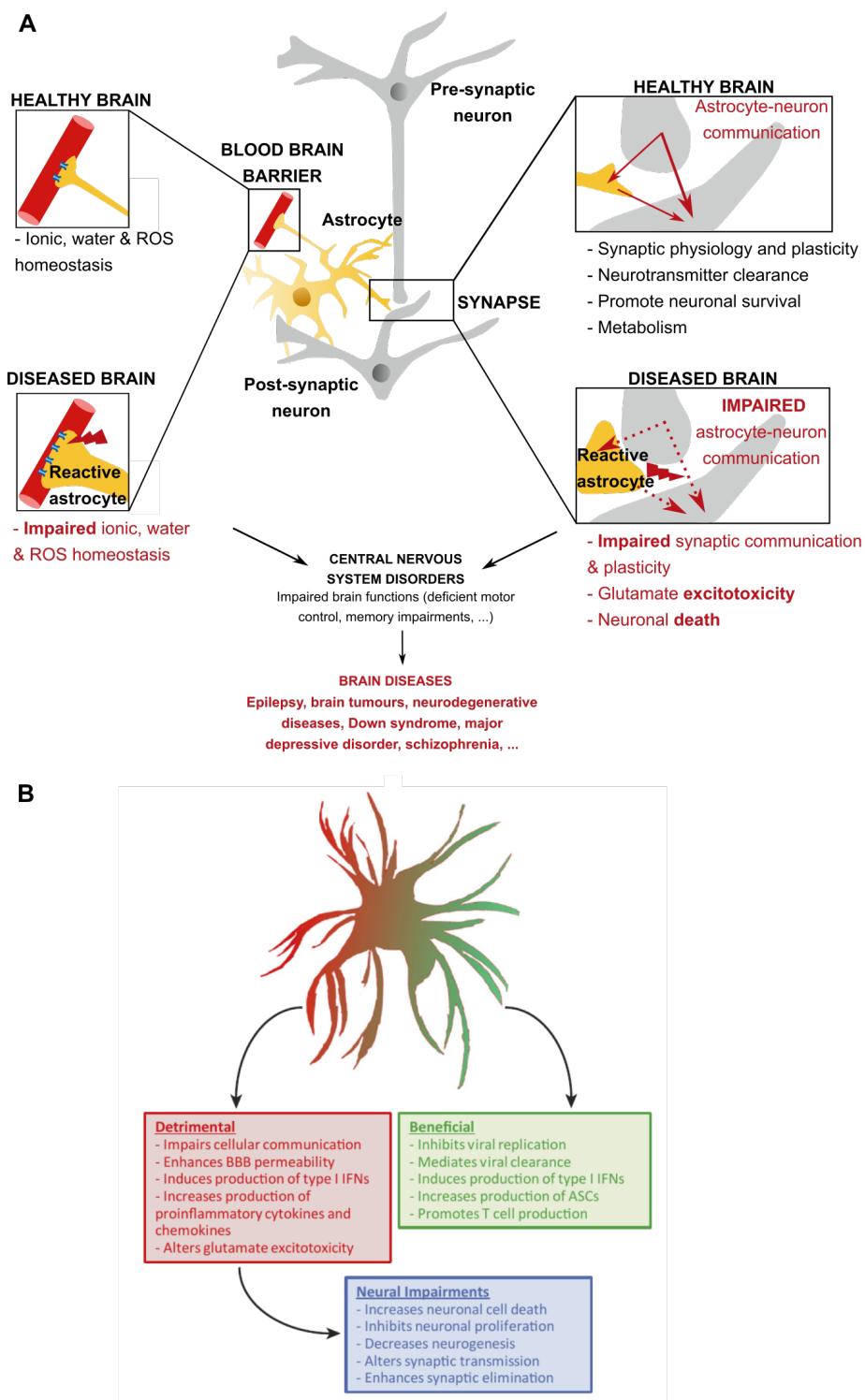
When the brain is injured, astrocytes migrate to the injured site and get activated. Astrocyte activation, also called astrogliosis, is characterized by an up-regulation of GFAP and by a modified pattern of gene expression. The beneficial and detrimental effects of reactive astrocytes are detailed in refs [95, 96, 34] and represented in Fig I.5B. After their activation, astrocytes often form a glial scar that isolates damaged from intact tissue [95] and assists recovery of the damaged tissue. The glial scar consists in astrocytes interacting with different cell types and only isolates severely damaged tissues such as tumours, necrosing tissue, neurodegenerative regions, infected or inflamed regions, both in brain and spinal chord injuries or following a stroke [97]. The glial scar is thus a hallmark of various brain diseases such as traumatic spinal cord and brain injuries, chronic (e.g multiple sclerosis (MS) and amyotrophic lateral sclerosis (ALS)) and acute neurodegenerative diseases (e.g Alzheimer's disease (AD) and Parkinson's disease (PD)) and stroke [98]. Recent studies have demonstrated that the glial scar is essential for the regeneration of stimulated axons within injured spinal cord [99]. However, the glial scar is also associated with some detrimental effects such as inhibition of neuronal growth and of synaptic transmission at the injury site [100] and consists in an impermeable barrier for regeneration, thus compromising neuronal repair [97].

My master's internship consisted in investigating the effect of astrogliosis in the hippocampus on neuronal communication. We have demonstrated that astrocyte reactivity impairs long term potentiation and is associated with learning and memory defects [101].

Astrocytes, especially reactive astrocytes, thus emerge as new therapeutic targets for the diseased brain. For reviews on astrogliosis, see [102, 103, 104, 34]. For more details on the involvement of astrocytes in the diseased brain, see dedicated reviews [94, 105, 91, 106].

### I.2.6 Information processing

As they do not exhibit electrical excitability [108], astrocytes were first characterized as non-excitable cells of the CNS, although they express voltage-gated channels [109] and membrane receptors for neurotransmitters such as glutamate,  $\gamma$ -aminobutyric acid (GABA) or adenosine triphosphate (ATP) [18]. Astrocyte excitability instead results from variations of cytosolic  $Ca^{2+}$  concentration [110], which can activate signals that modulate neuronal communication [111, 112, 113, 114]. The diversity and functional roles of astrocytic  $Ca^{2+}$  signals will be further developed in Chapter II and the interactions of astrocytes with neurons for information processing in the brain in section I.2.7.



**Figure 1.5: Impaired functions of astrocytes in the diseased brain.** Panel (A) is a schematic representation of the impaired functions of astrocytes in the diseased brain. For more details and references, see section I.2.5. For simplicity, only interactions with neurons and blood vessels are represented, although other cell types interact with astrocytes such as microglia and oligodendrocytes. Astrocytes in the diseased brain are responsible for glutamate excitotoxicity, altered brain homeostasis, impaired synaptic communication and plasticity and can trigger neuronal death. Note that only adult brain functions are represented in this cartoon but that impaired astrocytic functions in the developing brain lead to neurodevelopmental

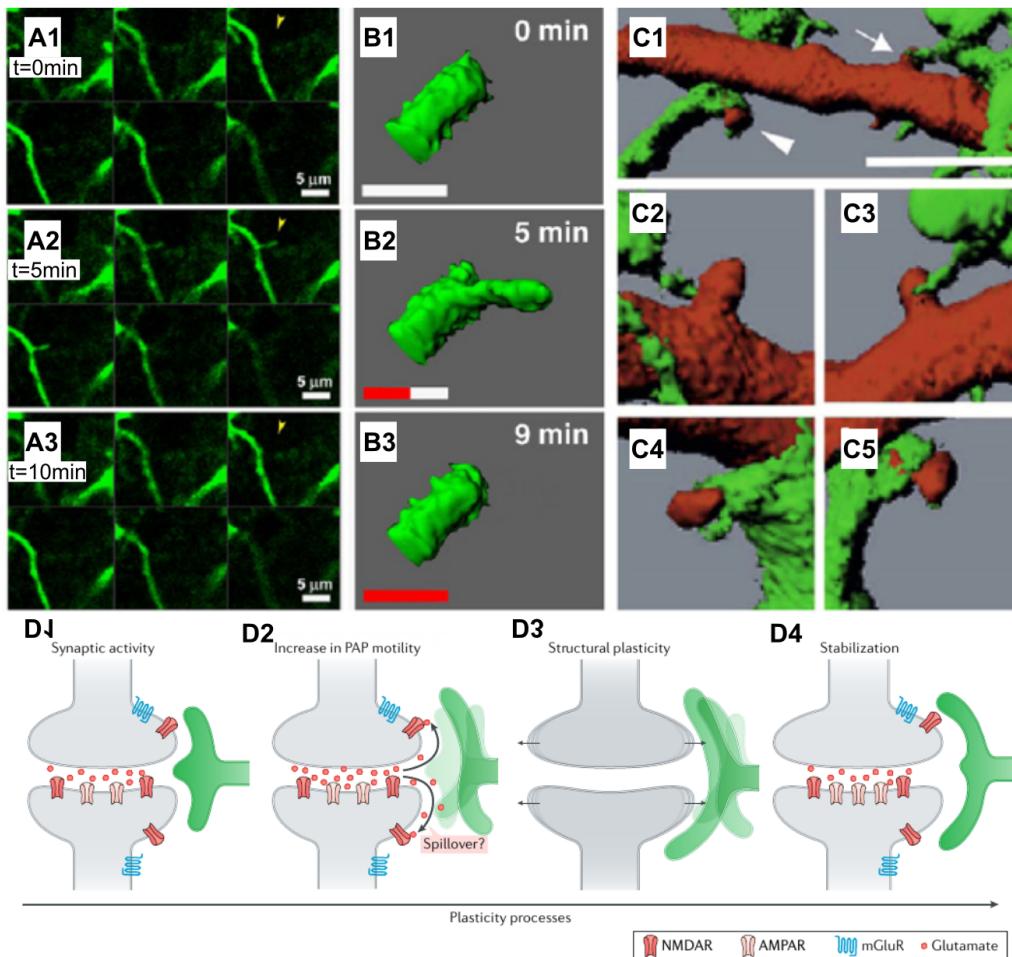
**Figure I.5: Impaired functions of astrocytes in the diseased brain (continued).** disorders that can be lethal [41]. Panel (B) is a figure taken from Soung et al [107] and represents the beneficial and detrimental effects of reactive astrocytes, which are a hallmark of many brain diseases.

### I.2.7 Focus on the tripartite synapse

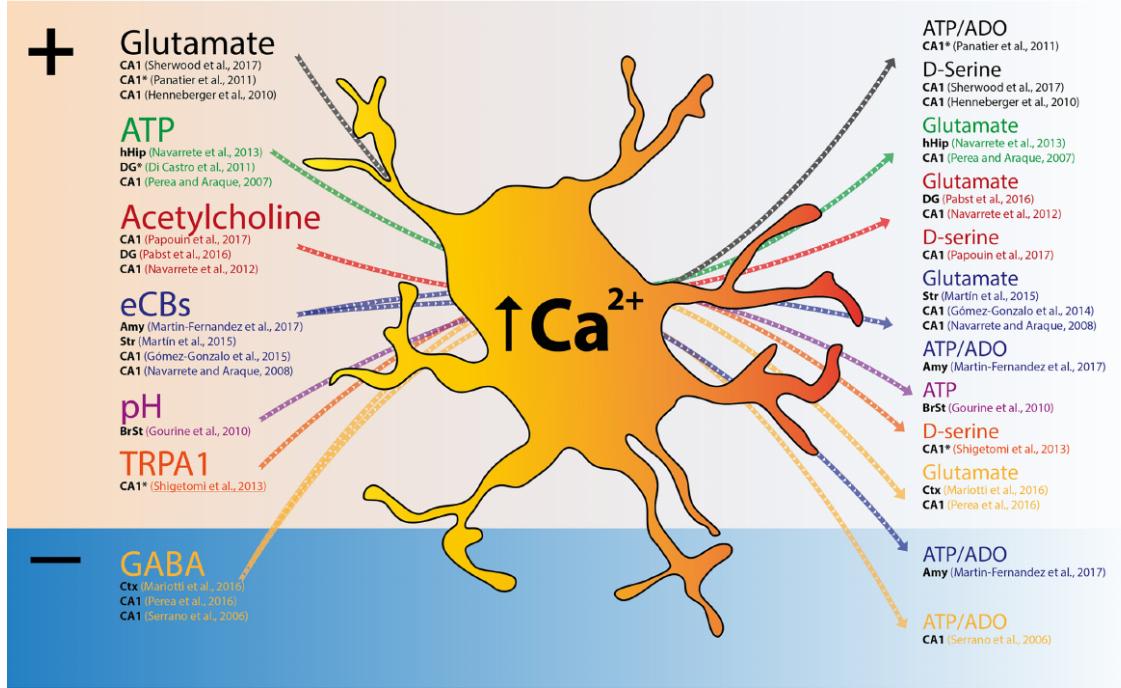
Electron microscopy has revealed that astrocytic processes can tightly wrap synapses [27]. At least half of the CNS synapses are indeed ensheathed by an astrocytic process, also referred to as peripheral astrocytic processe (PAP) [18]. The proportion of ensheathed synapses varies depending on brain region, from  $\approx 90\%$  in layer IV of the somatosensory cortex to 60-90% in the hippocampus and 29-56% in the neocortex [115], and also varies depending on the type of synapse [116, 117]. The synaptic surface that is contacted by astrocytes varies from being the whole synaptic cleft [117] to only a fraction of it [2] (see Fig I.6C). Electron microscopy reveals that PAPs are very thin,  $\approx 200$  nm in diameter on average, often  $< 100$  nm [29]. 80% of the plasma membrane of an astrocyte belongs to its PAPs, resulting in a high surface/volume ratio of  $\approx 25 \mu m^{-1}$  [118].

When apposed to synapses, astrocytes can sense neurotransmitters and neuromodulators released from pre-synaptic neurons: glutamate through metabotropic glutamate receptors (mGluRs) [119],  $\gamma$ -aminobutyric acid (GABA) through GABAB receptors [120], acetylcholine [114, 121] and dopamine [122]. They can also sense purines released by neurons such as adenosine-triphosphate (ATP) and adenosine [123, 124]. Astrocytes have also been shown to respond to post-synaptic activity [125], including endocannabinoids through cannabinoid CB1 receptors (CB1Rs) [126, 127, 128] and ectopic release of glutamate from glutamatergic fibers in the case of Bergmann glia in the cerebellum [129]. Those stimuli trigger  $Ca^{2+}$  signals in astrocytes that can activate the release of molecules, referred to as gliotransmitters, such as glutamate, D-Serine, ATP, tumor necrosis factor- $\alpha$  (TNF $\alpha$ ), brain-derived neurotrophic factor (BDNF) or atrial natriuretic peptide (ANP) [130], which can modulate synaptic transmission [111, 112, 113, 114] and vasoconstriction/vasodilatation [88, 89, 90, 131]. Detailed neuron-astrocytes signaling pathways at the tripartite synapse are presented in Fig I.7. For recent reviews on tripartite synapses and the associated controversies, see [3, 132, 133, 134, 135, 136].

The morphology of PAPs is highly dynamic and synapse coverage by astrocytic processes varies within minutes depending on physiological conditions [29] (see Fig I.6A,B). Long term potentiation (LTP) induction in hippocampal slices and sen-



**Figure I.6: Dynamic remodeling of PAPs.** (A) Spontaneous transient extension and protrusion of an astrocytic process in the brainstem (arrowhead). (A1), (A2) and (A3) represent a stack of 6 images recorded respectively at time 0, 5 and 10 min in the same acutely isolated brainstem slice. (B) 3D-reconstruction revealing the transient extension of the PAP pointed by the arrowhead in panel A. Scale bar: 5  $\mu$ m. (C) Diverse morphologies of neuron/astrocyte contact sites revealed by 3d reconstructions from two-photon image stacks. Contact sites can ensheathe dendritic necks (arrowhead in C1; zoom in C4, C5) or contact a small fraction of the dendrite (arrow in C1; zoom in C2, C3). Scale bar in C1: 5  $\mu$ m. (D) Schematic representation of the variations of synaptic coverage by astrocytes during synaptic plasticity. Increased synaptic activity at glutamatergic synapses (D1) is associated with the release of glutamate that triggers a temporary increase in the motility of PAPs (D2). If this transient motility results in a decrease of synaptic coverage, glutamate spillover occurs, activating both structural (D3) and functional (D4) synaptic plasticity. mGluR: metabotropic glutamate receptor. Panels A-C were taken from Reichenbach et al [29] and panel D from Dallerac et al [74].



**Figure I.7: Neuron-astrocyte interactions at the tripartite synapse.** Representation of the diverse signals that are exchanged between astrocytes and neurons at the tripartite synapses, both excitatory (+, orange) and inhibitory (-, blue). The left panel of the figure presents the different neurotransmitters that can be released by active neurons and that trigger  $\text{Ca}^{2+}$  signals in astrocytes. The right panel presents the gliotransmitters that can be released by astrocytes in response to neuronal activity-induced  $\text{Ca}^{2+}$  signals. The corresponding references for each signaling molecule is given in parenthesis. The abbreviations correspond to the regions studied: Amy: Amygdala; BrSt: brainstem; CA1: CA1 region of the hippocampus; Ctx: cortex; DG: dentate gyrus; hHip: human hippocampus; Str: Striatum. The \* symbol indicates that in the given study,  $\text{Ca}^{2+}$  signals involved in gliotransmission were local rather than global. This figure was taken from Guerra-Gomez et al [137].

sory stimulation *in vivo* both result in changes of synapse coverage by PAPs [138]. Under stimulation, astrocytic processes retract from the synapse, possibly favoring glutamate and ions clearance from the synaptic cleft [139]. The close association both structurally and functionally of astrocytes to pre- and post-synaptic elements presented in this section is referred to as the tripartite synapse. For more details on synaptic micro-environment associated with PAPs, see refs [139, 140, 115]. For a review on the involvement of astrocytes in synaptic plasticity, see Theodesis et al [139].

## Chapter II

# Astrocyte excitability: $\text{Ca}^{2+}$ signals

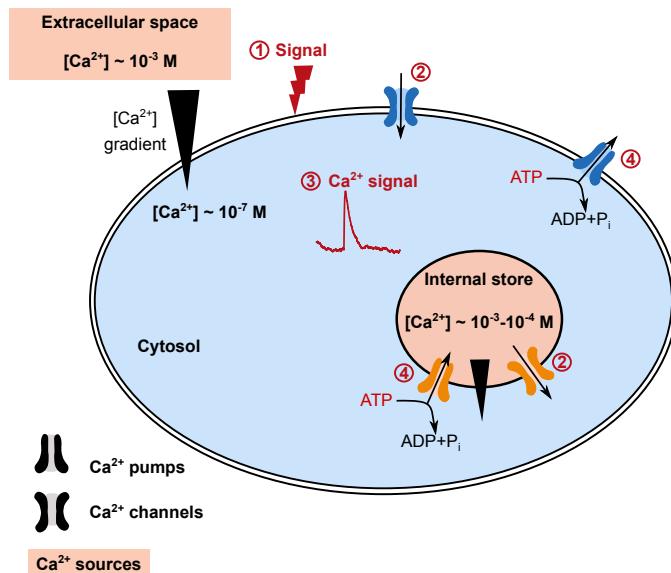
Astrocytes have recently emerged as essential partners of neurons for information processing in the brain. Chemical or mechanical stimulation of cultured astrocytes have revealed that astrocyte excitability results from variations of cytosolic  $\text{Ca}^{2+}$  concentration [141, 142, 143, 144, 145]. In this chapter, the roles, characteristics and mechanisms of  $\text{Ca}^{2+}$  signals in astrocytes are presented.

## II.1 General considerations on $\text{Ca}^{2+}$ signals

### II.1.1 $\text{Ca}^{2+}$ , ubiquitous intracellular messenger

$\text{Ca}^{2+}$  is an ubiquitous intracellular messenger in eukaryotic cells, playing key roles in signal transduction for cell growth, apoptosis, differentiation, secretion, metabolism, gene transcription, muscle contraction, fertilization, immunity, learning and memory [146]. Because of their central roles for cellular physiology,  $\text{Ca}^{2+}$  signals are altered in a lot of diseases, including cancer [147, 148].

$\text{Ca}^{2+}$  signals correspond to variations of cytosolic  $\text{Ca}^{2+}$  concentration in response to stimuli. The encoding of signals depends on the cell and on the stimulus. For example, the main mechanism of  $\text{Ca}^{2+}$  signals in astrocytes is believed to rely on the opening of type 2 IP<sub>3</sub>R. After agonists bind to G<sub>q/11</sub>-GPCRs, IP<sub>3</sub> synthesis is activated and the resulting IP<sub>3</sub> molecules, together with  $\text{Ca}^{2+}$ , activate the opening of IP<sub>3</sub>R channels, resulting in an influx of  $\text{Ca}^{2+}$  ions in the cytosol (see section II.2.3.2 for more details).  $\text{Ca}^{2+}$  signals can differ by their amplitude, duration, frequency and by their propagation range and speed. Those signals rely on the existence of  $\text{Ca}^{2+}$  stores and on the activity of  $\text{Ca}^{2+}$  channels and pumps that enable the generation of  $\text{Ca}^{2+}$  signals as well as the restoration of basal concentrations, respectively.



**Figure II.1: Principles of  $\text{Ca}^{2+}$  signaling.**  $\text{Ca}^{2+}$  signals correspond to transient increases in  $\text{Ca}^{2+}$  concentration in the cytosol (blue),  $[\text{Ca}^{2+}]_{\text{cyt}}$ . Resting  $[\text{Ca}^{2+}]_{\text{cyt}}$  is  $\approx 100 \text{ nM}$  while  $[\text{Ca}^{2+}]$  in  $\text{Ca}^{2+}$  sources (orange) (i.e. the extracellular space and internal stores: ER, mitochondria, lysosomes) is  $\approx 0.1\text{-}1 \text{ mM}$ . The  $[\text{Ca}^{2+}]$  gradient between the cytosol and  $\text{Ca}^{2+}$  sources is maintained at steady state, corresponding to equilibrated fluxes in and out of the cytosol. When a signal is sensed by the cell (1),  $\text{Ca}^{2+}$  influx to the cytosol is performed by  $\text{Ca}^{2+}$  channels (2), which results in a  $\text{Ca}^{2+}$  signal (3). Basal  $[\text{Ca}^{2+}]$  in the cytosol and in stores are then restored by the ATP-dependent activity of  $\text{Ca}^{2+}$  pumps (4).

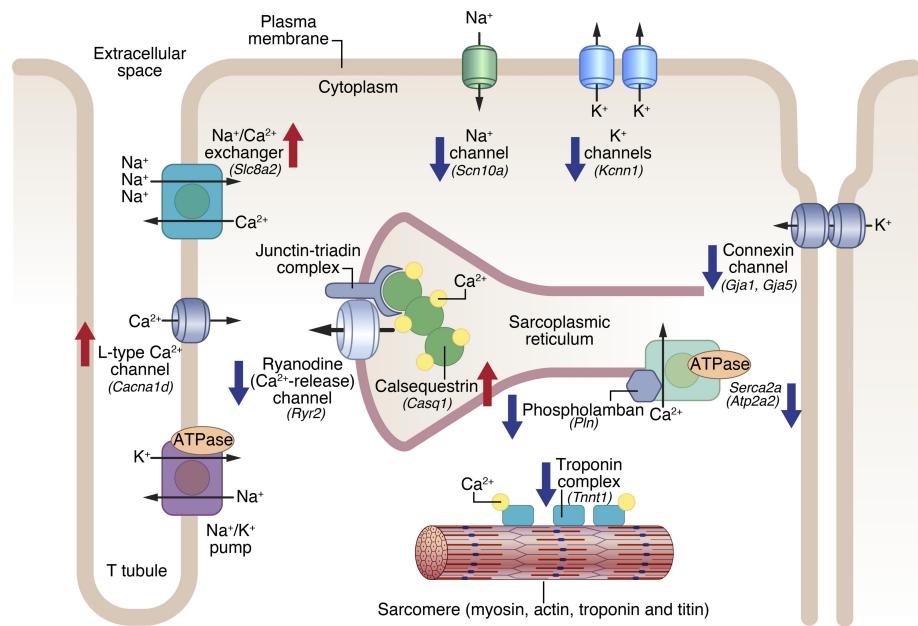
The detailed mechanisms are described in Fig II.1.  $\text{Ca}^{2+}$  channels and pumps as well as the proteins responsible for  $\text{Ca}^{2+}$  transport (i.e. diffusive  $\text{Ca}^{2+}$  buffers) strongly vary depending on cell type or even on subcellular localization, which contributes to the variability of  $\text{Ca}^{2+}$  signals [149].

Fig II.2 presents the molecular mechanisms responsible for the contraction of heart cells, the cardiomyocytes, which are mediated by  $\text{Ca}^{2+}$  signals.

For detailed reviews on  $\text{Ca}^{2+}$  signals and the molecules involved, see [146, 150]. For a detailed review on the roles of  $\text{Ca}^{2+}$  signaling throughout the evolutionary tree, see Plattner et al [151].

## II.1.2 Experimental methods to investigate $\text{Ca}^{2+}$ signaling

In this section, the main experimental methods that can be used for investigating  $\text{Ca}^{2+}$  signaling are presented, mostly focusing on techniques based on electron and



**Figure II.2: Role of  $\text{Ca}^{2+}$  in cardiomyocytes contraction.** Schematic representation of the molecular interactions that mediate  $\text{Ca}^{2+}$  signals in cardiomyocytes, which are necessary for normal cardiac contractility, taken from Baskin et al [152]. After an action potential depolarizes the plasma membrane of cardiomyocytes via a  $\text{Na}^+$  influx into the cytosol, voltage-gated L-type  $\text{Ca}^{2+}$  channels are activated, resulting in an increase of  $[\text{Ca}^{2+}]_{\text{cyt}}$ . This triggers the activation of  $\text{Ca}^{2+}$ -gated Ryanodine Receptors (RyRs) on the sarcoplasmic reticulum, increasing further  $[\text{Ca}^{2+}]_{\text{cyt}}$ .  $\text{Ca}^{2+}$  ions then interact with troponin, which conformational change enables the binding of myosin to actin, resulting in cellular contraction. Muscle relaxation is regulated by  $\text{Ca}^{2+}$  pathways that restore the concentration of  $\text{Ca}^{2+}$  in both the cytosol and the SR, notably via the activity of energy-dependent membranous pumps and  $\text{Na}^+/\text{Ca}^{2+}$  exchangers (NCX). Red and blue arrows refer to up- and down-regulated genes in Med12cKO hearts (see Baskin et al [152] for more details).

fluorescent microscopy.

### II.1.2.1 Molecular approaches to trigger $\text{Ca}^{2+}$ signals

The most common tools that are used to trigger  $\text{Ca}^{2+}$  signals in astrocytes are depolarization, mechanical stimuli, photolysis of caged  $\text{IP}_3$  or  $\text{Ca}^{2+}$  and the activation of astrocytic G protein-coupled receptors (GPCRs) by the application of agonists. The Designer receptors exclusively activated by designer drugs (DREADDs) are a family of engineered GPCRs created by Armbruster et al [153] that can selectively modulate signal transduction pathways *in vitro* and *in vivo*. Finally, optogenetics

[154] allow the investigation of  $\text{Ca}^{2+}$  signals with a high control of both spatial and temporal characteristics of the stimulation. For example, photo-activable  $\text{Ca}^{2+}$  channels can be used for triggering astrocytic  $\text{Ca}^{2+}$  [155] in specific sub-populations of astrocytes in a given physiological condition. For more details on molecular approaches to investigate  $\text{Ca}^{2+}$  signals in astrocytes, see [156, 157].

### II.1.2.2 Fluorescent microscopy for monitoring $\text{Ca}^{2+}$ signals

Fluorescing techniques involve the use of fluorescent indicators, I, to track molecules/ions of interest within the cell. Indicators fluoresce when bound to the molecule of interest and the variations of fluorescence with time are measured and normalized over the basal level of fluorescence ( $\Delta F/F_0$ ). Importantly, imaging fluorescent  $\text{Ca}^{2+}$  indicators does not measure the exact  $[\text{Ca}^{2+}]$  variations but rather the variations of  $[\text{Ca}^{2+}-\text{I}]$  so that the signals measured strongly depend on the concentration and kinetics of the indicator.

The available fluorescent indicators for studying  $\text{Ca}^{2+}$  signals, which kinetics are presented in Table II.1, are:

- **$\text{Ca}^{2+}$  dyes**

The first molecules that have been used to perform  $\text{Ca}^{2+}$  imaging were  $\text{Ca}^{2+}$  organic dyes such as Fluo4, BAPTA and EGTA. Those dyes are delivered by bulk loading or by dialysis of the cytosol with a patch pipette. They have been essential for studying astrocytic  $\text{Ca}^{2+}$  signals in live tissues, including their discovery, their relationship with neuronal activity [158, 159, 116, 111, 160] and their first recordings *in vivo* [47]. However, the loading of  $\text{Ca}^{2+}$  dyes in astrocytes in brain slices is often problematic and can result in cell death. Moreover, those dyes get diluted out of the cell with time so that they only reveal the soma and the major branches [161]. Importantly, a recent study has also demonstrated that BAPTA inhibits 30-80% of the activity of the  $\text{Na}^+, \text{K}^+$ -ATPase and suppresses spontaneous  $\text{Ca}^{2+}$  activity in astrocytes [162] so that its use should be avoided for monitoring  $\text{Ca}^{2+}$  signals.

- **GECIs**

In order to improve the spatial resolution of  $\text{Ca}^{2+}$  imaging, Hires et al [163] have engineered some fluorescent proteins, which comprise a single polypeptide chain and a  $\text{Ca}^{2+}$ -binding motif, called genetically-encoded  $\text{Ca}^{2+}$  indicators (GECIs). GECIs can be used in live tissues to monitor  $\text{Ca}^{2+}$  dynamics. Two main types of GECIs have been used to study astrocytes: single-wavelengths GECIs, as e.g GCaMPs, and FRET-based ratiometric GECIs (for more details see Tian et al [164]). GCaMPs are molecular constructs derived from

the fluorescent protein GFP and the  $\text{Ca}^{2+}$  buffer calmodulin (Fig II.3A1,A2). GCaMPs have been optimized for brightness, dynamic range, photostability and  $\text{Ca}^{2+}$  affinity. They display high signal/noise ratio (Fig II.3A4). The emergence of these tools have improved considerably our understanding of  $\text{Ca}^{2+}$  dynamics in astrocytes, notably by revealing the existence of local signals in fine processes (see Fig II.6). GECIs are constantly being improved and display various kinetics and resolutions, which are suited to different biological questions (spatial VS temporal resolution notably). For example, GCaMP6f is more suited to the study of spatially restricted compartments such as microdomains than GCaMP3 [165]. Some GECIs are targeted to plasmalemmal [166] or organellar [167, 168] membranes (-Lck membrane-tethering domain [169], see Fig II.3A3)) or to subcellular compartments such as mitochondria and the ER (e.g CEPPIAS) [170, 171, 172, 173, 174, 175], which enables a higher spatial resolution of  $\text{Ca}^{2+}$  signals.

GECIs can be targeted to be expressed specifically in astrocytes (Fig II.3B). This targeting further increases signal/noise ratio compared to classical  $\text{Ca}^{2+}$  dyes, enabling stable *in vivo* recordings and resolving the entire cellular ultrastructure [161]. Note that long-term expression of GECIs may cause astrogliosis and/or decreased health of neurons [176]. For a detailed review on GECIs, see Shigetomi et al [177].

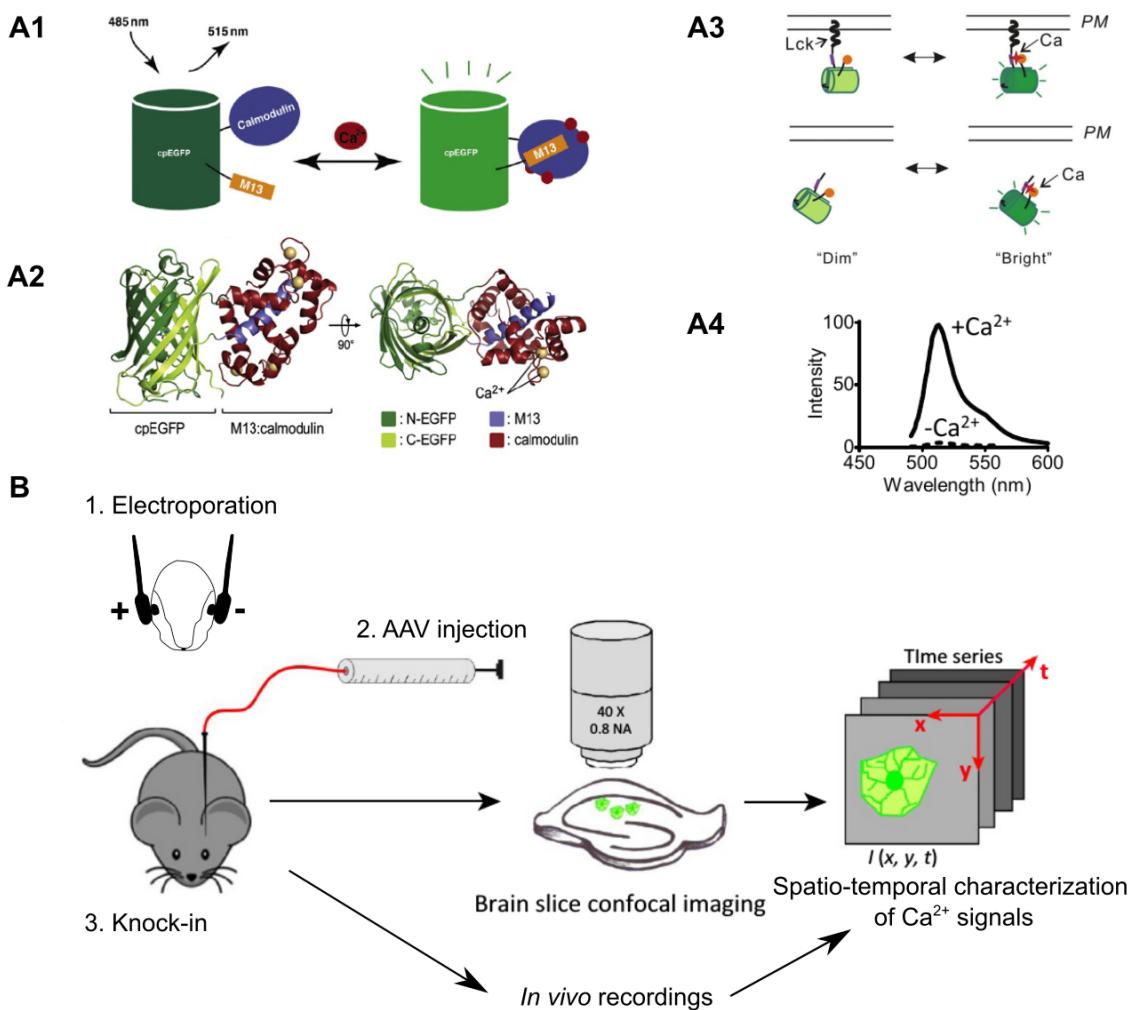
- **Biosensors of molecules of the  $\text{Ca}^{2+}$  pathway**

In order to refine our understanding of  $\text{Ca}^{2+}$  signaling, another strategy consists in tracking molecules that belong to the  $\text{Ca}^{2+}$  signaling pathway such as GCPRs [178], IP<sub>3</sub> [179, 180, 181], PKA [182] or cAMP [183].

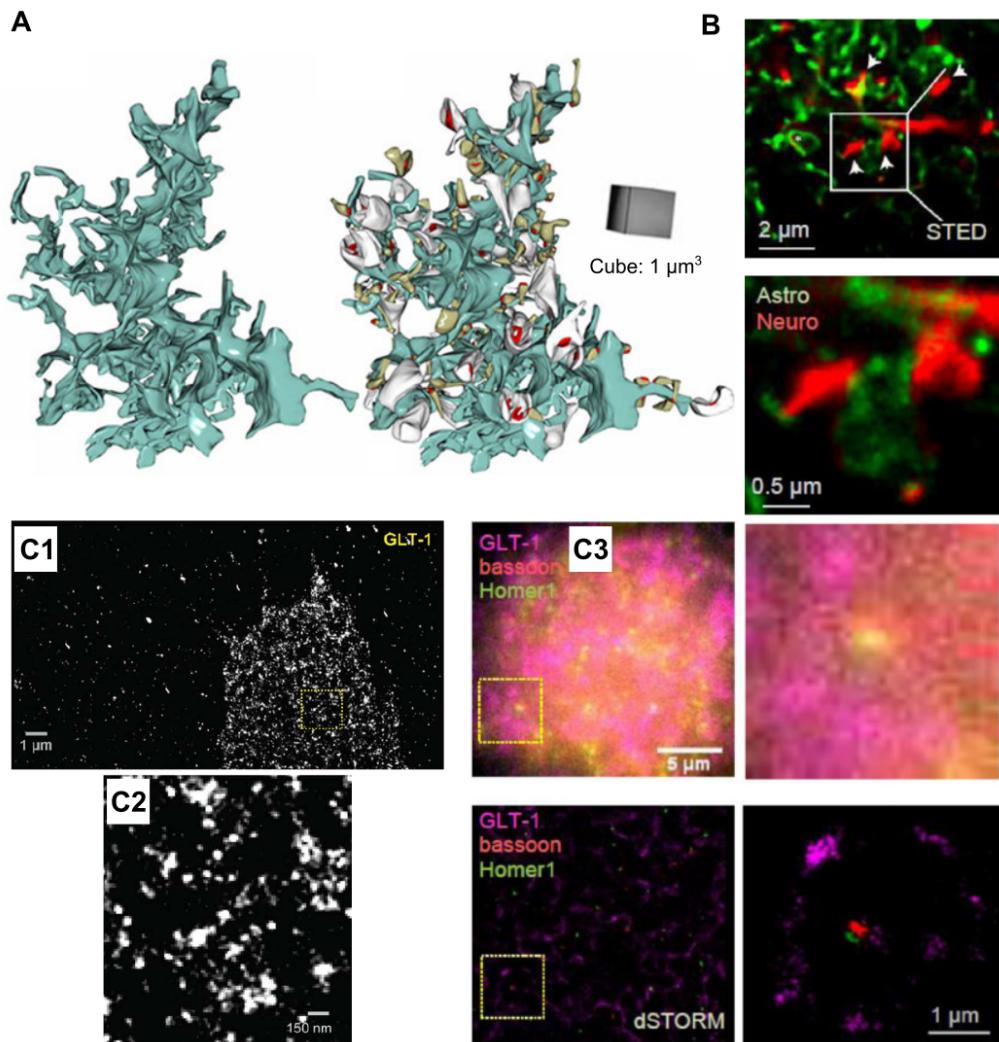
- **Nanobodies**

A recent study has developed plasmids that encode nanobodies against fluorescent proteins fused to functional modules [184]. Those nanobodies can be used as fluorescent sensors for visualizing  $\text{Ca}^{2+}$ ,  $H^+$  and ATP/ADP dynamics or can be targeted to the lumen of sub-compartments of interest. This toolkit could be useful for investigating  $\text{Ca}^{2+}$  fluxes e.g between the ER and mitochondria or at ER-plasma membrane contact sites.

Examples of the use of super-resolution microscopy techniques and electron microscopy for studying astrocytic sub-compartments are presented in Fig II.4. For further reading on the importance of super-resolution microscopy for studying  $\text{Ca}^{2+}$  dynamics in astrocytes, see dedicated reviews [158, 140, 4].



**Figure II.3: Genetically encoded  $\text{Ca}^{2+}$  indicators (GECIs).** (A) Molecular structure of GCaMP-like GECIs. GECIs are constructs based on GFP and calmodulin, which encounter a conformational change when binding  $\text{Ca}^{2+}$ , resulting in fluorescent emissions at 515 nm (A1). Panel (A2) represents 2 orthogonal views of the crystal structure of the GECI GCaMP2DRSET in the  $\text{Ca}^{2+}$ -bound state. The M13 helix is represented in blue, calmodulin in red and cpEGFP in green. Some constructs can diffuse within the cytosol while Lck- variants are anchored to the plasma membrane (A3). Panel A4 represents the typical modification in emission spectrum of GCaMP-like GECIs when binding  $\text{Ca}^{2+}$ . (B) Astrocyte-specific expression of GECIs can be induced by electroporation (1), AAV injection (2) or Knock-in (3).  $\text{Ca}^{2+}$  signals can then be investigated either in acute brain slices or *in vivo*. Panels A1, A2, A3 & A4 were taken from [161, 164, 188] and B was adapted from Shigetomi et al [177]



**Figure II.4: Super-resolution and electron microscopy for better resolving  $\text{Ca}^{2+}$  signaling at the nanoscale in astrocytes.** (A) 3D reconstruction of an astrocyte (blue) from electron microscopy shown without (left) and with (right) neighboring dendritic spines (thin in grey, mushroom spines in yellow) containing PSDs (red). (B) STED images displaying astrocytic processes (green) in CA1 stratum radiatum of hippocampal organotypic slices and the neighboring synaptic structures (red). Arrows point dendritic spines. Top and bottom panels correspond respectively to lower and higher (square in top panel) magnification. (C) dSTORM imaging of GLT-1 from cultured glial cells from rat hippocampus shown at lower (C1) and higher (C2, yellow squared region in C1) magnification. Panel C3 presents a comparison of confocal (top) with dSTORM (bottom) imaging of Bassoon (red), Homer1 (green) and GLT-1(magenta) in CA1 region from thick hippocampal slices. This figure was adapted from Heller et al [4, 140].

**Table II.1: Kinetics of the major  $\text{Ca}^{2+}$  indicators.** Note that the 2 components of  $k_{\text{off}}$  for GCaMP7s refer to the fast (61%) and slow (39%) components [185]. The values of  $k_{\text{on}}$  and  $k_{\text{off}}$  for a given indicator vary slightly depending on the study, although the order of magnitude is the same (see e.g kinetics of GCaMP6s and GCaMP6f in refs [185, 186]).

Indicator	$k_{\text{on}}(M^{-1} \cdot s^{-1})$	$k_{\text{off}}(s^{-1})$	References
Fluo4	$6 \cdot 10^8$	210	[187]
Mag-Fura-2	$7.5 \cdot 10^8$	26760	[187]
GCaMP3	$7.45 \cdot 10^6$	2.57	[186]
GCaMP6s	$4.3 \cdot 10^6$	0.69	[185]
GCaMP6f	$9.44 \cdot 10^6$	4.01	[185]
jGCaMP7f	$1.34 \cdot 10^7$	5.86	[185]
jGCaMP7s	$2.15 \cdot 10^7$	2.86 & 0.26	[185]
jGCaMP7c	$3.56 \cdot 10^6$	2.79	[185]
jGCaMP7b	$1.6 \cdot 10^7$	4.48	[185]

## II.2 Astrocytic $\text{Ca}^{2+}$ signals

This section presents the main functional roles and impairments of astrocytic  $\text{Ca}^{2+}$  signals, their spatiotemporal diversity as well as the main molecular mechanisms from which they can emerge.

### II.2.1 Functional roles of $\text{Ca}^{2+}$ signals in astrocytes

After the discovery of  $\text{Ca}^{2+}$  signals in astrocytes in response to stimuli, a lot of studies have investigated their physiological roles, which are presented in next paragraphs and summarized in Fig II.5.

#### II.2.1.1 In the developing CNS

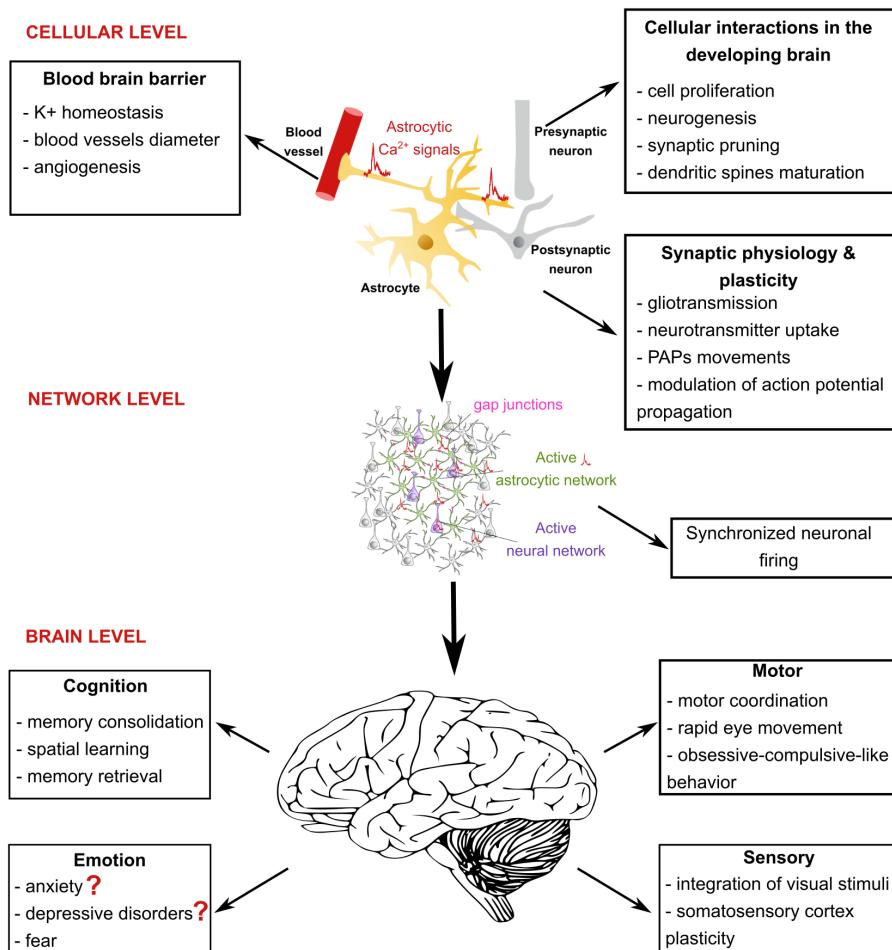
$\text{Ca}^{2+}$  signals in radial glia, precursors of astrocytes, control cell proliferation and neurogenesis [189, 190]. Yang et al [191] have demonstrated that if type 2 inositol 1,4,5-triphosphate receptor ( $\text{IP}_3\text{R}2$ ), which is the  $\text{Ca}^{2+}$  channel responsible for the majority of astrocytic signals (see section II.2.2), is not expressed then redundant synapses are observed in 2-weeks old mice. This suggests an essential role of astrocytic  $\text{Ca}^{2+}$  signals for developmental synapse elimination [191]. Astrocytic  $\text{Ca}^{2+}$  signals are also critical for dendritic spine maturation [192, 193]. Altogether, those data suggest strong implications of  $\text{Ca}^{2+}$  signals in astrocytes and their precursors for the development of the central nervous system (CNS).

#### II.2.1.2 In the adult brain functioning

In this section, the main brain functions that have been associated with  $\text{Ca}^{2+}$  signals in astrocytes are presented.

##### At the cellular level

For long, astrocytic  $\text{Ca}^{2+}$  signals have been considered too slow and to require sustained stimulation to impact synaptic communication [194, 195]. The use of super-resolution microscopy [4] and of highly sensitive genetically encoded  $\text{Ca}^{2+}$  indicators (GECIs) [5] (see section II.1) has yet revealed the existence of faster  $\text{Ca}^{2+}$  events in peripheral synaptic processes (PAPs) *in vivo* or in slices, with an onset and duration



**Figure II.5: Functional roles of astrocytic  $\text{Ca}^{2+}$  signals.** Representation of the main functional roles of  $\text{Ca}^{2+}$  signals in astrocytes that have been demonstrated so far. Astrocytic  $\text{Ca}^{2+}$  signals emerge as essential components of brain function, from cellular functioning to neural networks coupling and behavior. For more details and references, see section II.2.1.

of the same order of magnitude than those of neuronal signals [111, 160, 6, 196] (see Fig II.6). Those signals can trigger the release of gliotransmitters that modulate synaptic physiology and plasticity (see section I.2.7). Astrocytic  $\text{Ca}^{2+}$  signals are also essential for neurotransmitter uptake by astrocytes [197], modulating  $K^+$  homeostasis [198], controlling blood vessels diameter [199], the movements of astrocytic processes [200, 138, 201], glutamate spillover [202] or even modulate the propagation of action potentials [203].  $\text{Ca}^{2+}$  signals in astrocytes are thus crucial for synaptic physiology and plasticity.

### At the neural network level

One astrocyte can contact thousands of synapses that can project locally and/or to long distances. As  $\text{Ca}^{2+}$  signals can propagate within astrocytic networks through gap junctions (see section I.1.2), astrocytic  $\text{Ca}^{2+}$  signals could be involved in brain function at a higher spatial scale: neural networks.  $\text{Ca}^{2+}$  signals are indeed associated to and seem necessary for synchronized neuronal firing in slices [204, 205, 206, 207, 208] and *in vivo* [209].  $\text{Ca}^{2+}$  signaling from astrocytes in specific brain regions thus support local neuronal synchronization states. Further investigation is needed to refine our understanding of those processes.

### At the brain level

Astrocytic  $\text{Ca}^{2+}$  signals are essential for brain physiology, including cognition, emotion, motor and sensory processing. For a review, see Oliveira et al [210].

#### • Cognition

A deficiency in the expression of  $\text{IP}_3\text{R}2$  in astrocytes impairs memory consolidation during non-theta periods in the hippocampus [211]. This observation is however in contradiction with a previous study that found no impact of  $\text{IP}_3\text{R}2$  impairment on spatial memory [212]. Another study has also reported the necessity of astrocytic exocytosis, which relies on  $\text{Ca}^{2+}$ , for spatial learning and memory [213]. A recent *in vivo* study has demonstrated that activating astrocytes during memory acquisition is enough to improve memory retrieval [214]. Interestingly, transplanting human astrocyte progenitor cells within mouse brain resulted in an increased performance of the transplanted mice in learning and memory tasks [215]. Astrocytic  $\text{Ca}^{2+}$  signals thus emerge as key features of memory and learning, although further investigation is needed to resolve the apparent controversies. For a detailed review on the roles of astrocytes in cognition, see Santello et al [216].

#### • Sensory processing

Fast  $\text{Ca}^{2+}$  signals have been observed in astrocytes in response to sensory stimulation *in vivo* [217, 196]. The intracellular localization of those events

as well as the molecular pathways involved vary depending on brain region [218, 219]. Two-photon imaging of  $\text{Ca}^{2+}$  signals in ferret visual cortex *in vivo* has demonstrated that astrocytic  $\text{Ca}^{2+}$  signals display distinct spatial receptive fields depending on the visual stimuli [220]. IP<sub>3</sub>R2-dependent astrocytic  $\text{Ca}^{2+}$  signals are necessary for somatosensory cortex plasticity *in vivo* [114] and for the integration of visual stimuli [221]. Finally, astrocytic  $\text{Ca}^{2+}$  signals are responsible for an enhancement of evoked cortical responses by transcranial direct current stimulations [222]. Thus, astrocytic  $\text{Ca}^{2+}$  signals are associated with sensory processing.

- **Emotion processing**

IP<sub>3</sub>R2-dependent  $\text{Ca}^{2+}$  signaling does not seem to be involved in anxiety-related behaviors according to several studies [223, 202, 212]. Results on depressive-like behaviors are controversial depending on the genetic model [224, 212]. Astrocytic  $\text{Ca}^{2+}$  activity however reduces fear expression in fear-conditioning paradigm according to a recent study [225]. Overall, further investigation with more specific tools, notably that do not only trigger IP<sub>3</sub>R2-dependent  $\text{Ca}^{2+}$  signals, are needed to refine our understanding of the roles of astrocytic signals in emotion processing.

- **Motor processing**

Exploratory behavior does not seem to depend on IP<sub>3</sub>-dependent astrocytic  $\text{Ca}^{2+}$  signals [224, 202, 212], although the activation of astrocytic GPCRs suggests a role of  $\text{Ca}^{2+}$  signaling in motor coordination in an IP<sub>3</sub>R2-independent manner [226]. Tran et al [227] have demonstrated that the amplitude of  $\text{Ca}^{2+}$  signals in cortical astrocytes endfeet during neurovascular coupling is potentiated by the movement of the mouse. Finally, striatal microcircuits are altered *in vivo* in response to reduced  $\text{Ca}^{2+}$  signals in astrocytes, causing obsessive-compulsive-like behavior in mice (excessive self-grooming) [228]. Taken together, those results demonstrate an important role of astrocytic  $\text{Ca}^{2+}$  signals in motor circuits, although the detailed mechanisms require further investigation.

To conclude, astrocytic  $\text{Ca}^{2+}$  signals are essential for brain physiology, although the detailed mechanisms often remain controversial, probably because of the different techniques used. For example, studies with IP<sub>3</sub>R2<sup>-/-</sup> mice are compared with studies using IP<sub>3</sub> sponge, which inhibits all IP<sub>3</sub>-mediated  $\text{Ca}^{2+}$  signals, not to mention that most studies ignore IP<sub>3</sub>R2-independent  $\text{Ca}^{2+}$  signals in astrocytes. More specific tools are needed to better investigate the involvement of astrocytic  $\text{Ca}^{2+}$  activity in brain physiology.

### II.2.1.3 In the diseased brain

As a result of their roles in brain physiology, astrocytic  $\text{Ca}^{2+}$  signals are impaired in numerous brain injuries and diseases. Astrocytic  $\text{Ca}^{2+}$  signals are impaired in brain disorders such as neurodegenerative diseases including Alzheimer's disease (AD) [229, 230, 231, 232, 91, 233] and Parkinson's disease [234], epilepsy [235, 91, 236], schizophrenia [237], hyperactivity, attention deficit, and related psychiatric disorders [238], brain tumours [91], major depressive disorders [91], autism [239], Down syndrome [91, 93] and psychiatric disorders [240]. Aberrant  $\text{Ca}^{2+}$  signals have also been reported in reactive astrocytes, which are a hallmark of many brain diseases [241]. For reviews on the involvement of astrocytic  $\text{Ca}^{2+}$  signaling in CNS pathology, see [242, 105, 232, 91].

Alexander's disease (AxD) is directly associated with a deficiency in astrocytes. It is triggered by a mutation in the astrocytic intermediate filament GFAP. Its symptoms are diverse, from delays in mental and physical development, myelination deficits, focal lesions, macrocephaly and seizures. Interestingly, only specific brain regions are vulnerable to the effect of this mutation although GFAP is widely expressed throughout the CNS in astrocytes [243]. A recent study has generated iPSCs from 2 AxD patients and demonstrated that astrocytes displayed abnormal organellar morphology and distribution coupled with impaired  $\text{Ca}^{2+}$  wave propagation, while correcting the GFAP mutation restored functional phenotype [244]. Further investigation is needed to understand the causal relationship between impaired organelle localization, astrocytic  $\text{Ca}^{2+}$  signaling and AxD. This discovery however highlights the importance of subcellular spatial distributions of organelles for functional  $\text{Ca}^{2+}$  signaling.

## II.2.2 Characteristics of astrocytic $\text{Ca}^{2+}$ signals

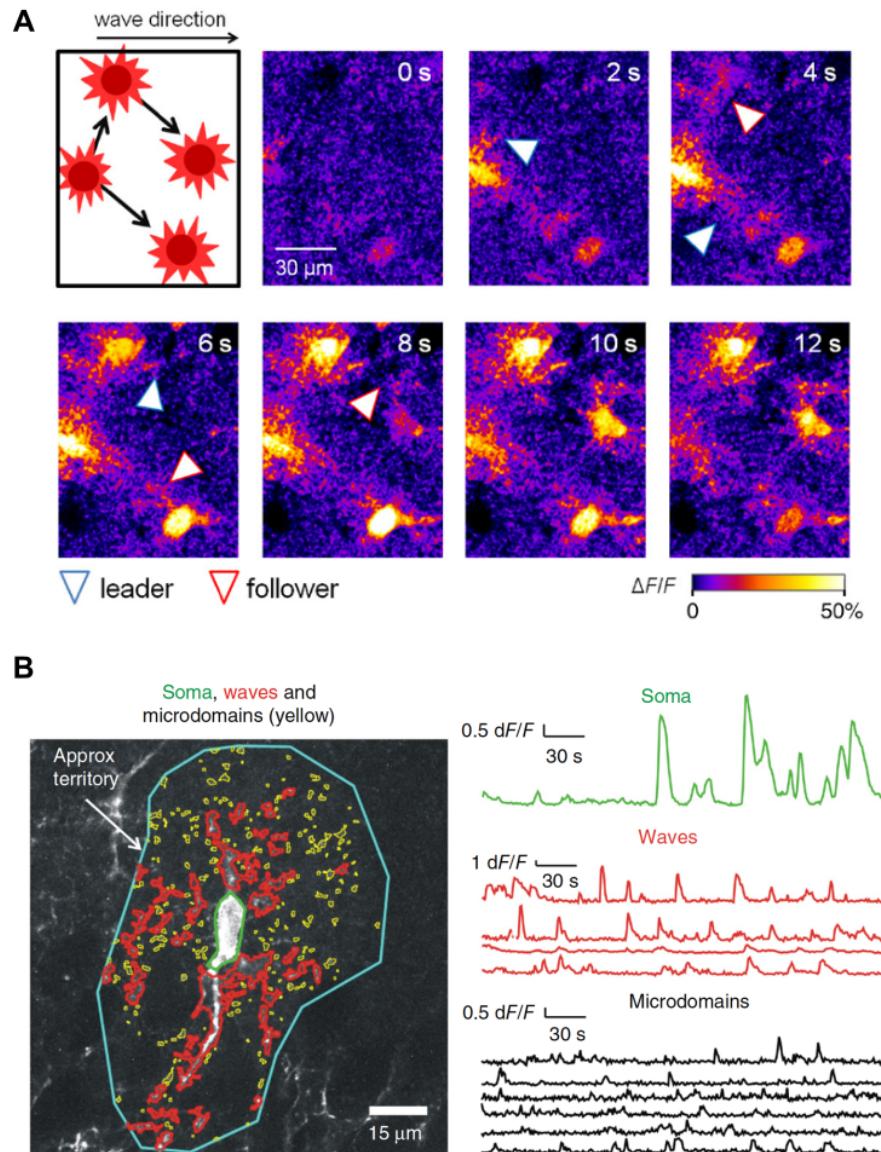
The first  $\text{Ca}^{2+}$  signals in astrocytic networks (see section I.1.2) have been observed in cell culture and consisted in a wave propagating at a velocity of  $\approx 20\mu\text{m.s}^{-1}$  [110, 245]. Few years later,  $\text{Ca}^{2+}$  waves have been observed *in situ* in the retina [246] and in the corpus callosum [247] and more recently observed *in vivo* in response to locomotion and startle [6, 248, 249].  $\text{Ca}^{2+}$  waves can propagate in astrocytic networks over long distances ( $300 - 400\mu\text{m}$ ). They propagate either by the cellular release of ATP and the activation of purinergic receptors [250, 251, 252] or directly through gap junctions [253]. A representative recording of an astrocytic  $\text{Ca}^{2+}$  wave measured with two-photon microscopy is presented in Fig II.6A.

The use of super-resolution microscopy [4] and of genetically encoded  $\text{Ca}^{2+}$  indicators (GECIs) [5] has revealed a striking spatiotemporal diversity of  $\text{Ca}^{2+}$  signals in astrocytes, notably demonstrating striking differences between signals in the soma and in the peripheral astrocytic processes (PAPs) [6] (see Fig II.6B).  $\text{Ca}^{2+}$  signals in PAPs are indeed highly localized, uncorrelated, characterized by faster kinetics and an order of magnitude smaller amplitude ( $\Delta F/F_0$ ) compared to somatic signals. Most  $\text{Ca}^{2+}$  signals detected both *in vitro* and *in vivo* occur in processes [7].

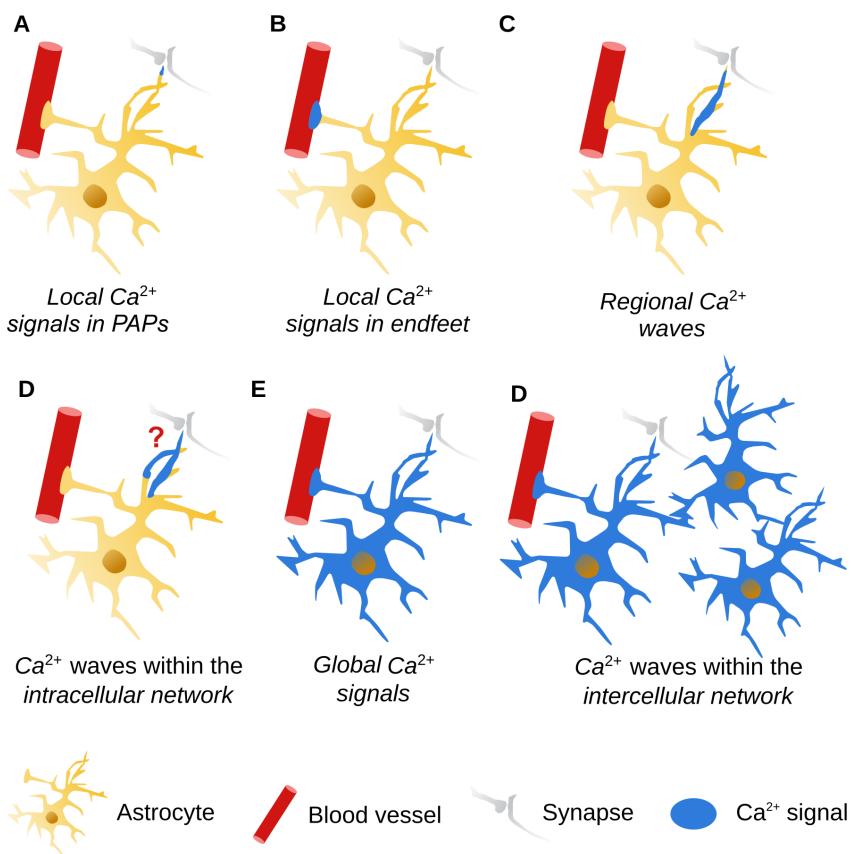
As depicted in the schematic in Fig II.7, the different spatial patterns of astrocytic  $\text{Ca}^{2+}$  signals consist of:

- Spatially-restricted  $\text{Ca}^{2+}$  signals in microdomains (Fig II.7A) in thin processes. They are extremely diverse and can correspond to fast events that can be TTX-sensitive (neuronal activity-induced) [254, 111] or spontaneous [255, 197, 254, 248, 160]. The longer lasting events ( $\approx 70\text{s}$ ) are referred as  $\text{Ca}^{2+}$  twinkles [218].
- Endfeet-localized  $\text{Ca}^{2+}$  signals (Fig II.7B), that are mediated by IP<sub>3</sub>R2 and plasmalemmal Transient receptor potential cation channel subfamily V member 4 (TRPV4) [256, 257].
- Local  $\text{Ca}^{2+}$  waves that propagate in larger processes, which sporadically propagate bidirectionally to and from the soma (Fig II.7C) [254, 7]. Local  $\text{Ca}^{2+}$  waves could also emerge from  $\text{Ca}^{2+}$  propagation across the intracellular network of processes via gap junction coupling (Fig. II.7D) [52]. Although  $\approx 25\%$  of gap junctions have been reported to connect processes from the same cell [52], intracellular network propagation has not been characterized yet.
- Global  $\text{Ca}^{2+}$  signals (Fig II.7E), which are IP<sub>3</sub>R2-dependent and propagate within the whole astrocyte .
- Intercellular  $\text{Ca}^{2+}$  waves (Fig II.7F) that propagate throughout astrocytic networks.

Taken together, the spatiotemporal diversity of astrocyte  $\text{Ca}^{2+}$  signals concerns their spatial extent (from localized microdomains to intercellular  $\text{Ca}^{2+}$  waves), their time-scales (from ms to tens of seconds).



**Figure II.6: Spatiotemporal diversity of  $\text{Ca}^{2+}$  signals in WT and  $IP3R2^{-/-}$  astrocytes.** (A) Two-photon imaging reveals that  $\text{Ca}^{2+}$  activity propagates from one astrocyte to another via gap junctions. The blue and red arrowheads correspond respectively to cells from which the wave comes and cells to which the wave is propagating. Taken from Kuga et al [46]. (B) This panel, taken from Srinivasan et al [6], illustrates the diversity of  $\text{Ca}^{2+}$  signals (amplitude, frequency, duration) in WT astrocytes depending on sub-cellular localization (soma in green, waves in red and microdomains in yellow). The blue line illustrates the approximate territory of the astrocyte.



**Figure II.7: Spatial diversity of  $\text{Ca}^{2+}$  signals in astrocytes.**  $\text{Ca}^{2+}$  signals in astrocytes display various spatiotemporal forms. Some signals can be localized at the vicinity of synapses (A), either spontaneous or induced by neuronal activity, in endfeet (B) or they can propagate via regionalized (C), intracellular (D), global (E) or intercellular (F)  $\text{Ca}^{2+}$  waves. Note that the propagation of intra- and inter-cellular  $\text{Ca}^{2+}$  waves occurs via gap junction coupling, although intracellular propagation through gap junctions has not been reported yet.

### II.2.3 Mechanisms of $\text{Ca}^{2+}$ signals in astrocytes

In the next paragraphs, the main molecules that are involved in  $\text{Ca}^{2+}$  signaling in astrocytes are described, with a particular emphasis on the  $\text{IP}_3\text{R}$  pathway and on the kinetics of  $\text{IP}_3\text{R}$  channels.

### II.2.3.1 $\text{Ca}^{2+}$ signaling molecules in astrocytes

Spatial and temporal dynamics of  $\text{Ca}^{2+}$  signals in astrocytes emerge from different processes involving cytosolic uptake and release to either extracellular space or intracellular  $\text{Ca}^{2+}$  stores, as well as  $\text{Ca}^{2+}$  buffering and diffusion (see Fig II.1). Several channels, pumps and transporters of  $\text{Ca}^{2+}$  are expressed in astrocytes, that are presented in Fig II.8. Their contribution to  $\text{Ca}^{2+}$  signals is not clear and might depend on intracellular localization (e.g soma, endfeet or processes) or on brain region. For reviews on the different mechanisms of  $\text{Ca}^{2+}$  signals in astrocytes, see [177, 258, 259, 3]

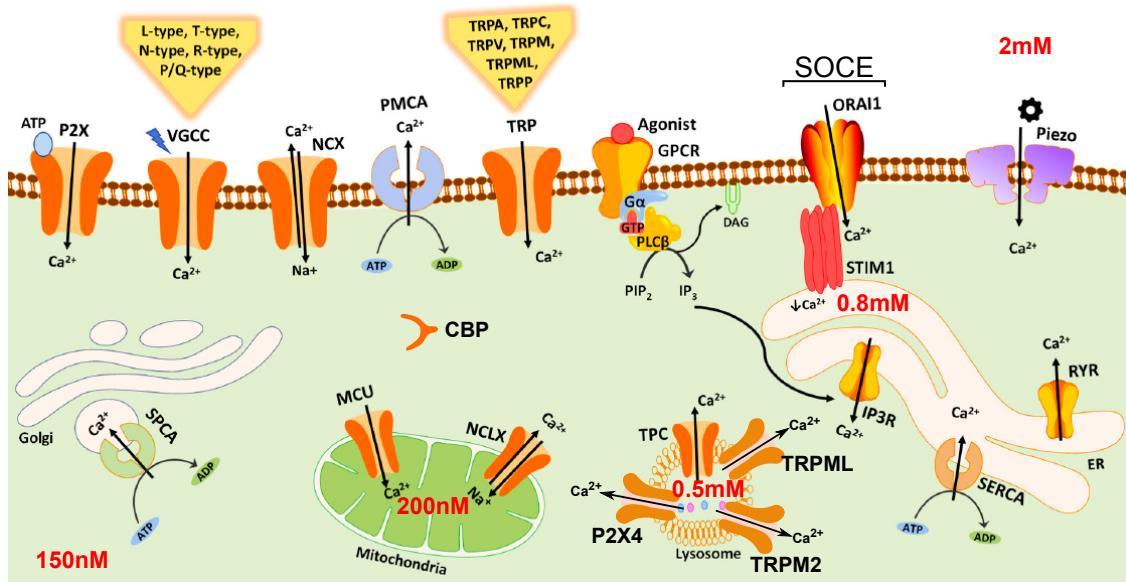
### II.2.3.2 The $\text{IP}_3\text{R}$ pathway

$\text{Ca}^{2+}$  signals in astrocytes are considered to rely mainly on the  $\text{IP}_3\text{R}$   $\text{Ca}^{2+}$  channel pathway. Indeed, type-2  $\text{IP}_3\text{R}$  ( $\text{IP}_3\text{R}2$ )  $\text{Ca}^{2+}$  channel is enriched in astrocytes [42] and knocking-out  $\text{IP}_3\text{R}2$  channels abolishes all  $\text{Ca}^{2+}$  signals in astrocytic soma and about half of those in processes [6]. The molecular origin of the  $\text{IP}_3\text{R}2$ -independent signals in processes remains a matter of debate and could involve  $\text{Ca}^{2+}$  fluxes through the plasma membrane [6] and/or other  $\text{IP}_3\text{R}$  channel subtypes [260] and/or other intracellular stores [177] (see also Fig II.8). In the next paragraphs, the  $\text{IP}_3\text{R}$  pathway is presented as well as the structure, kinetics and molecular interactions of  $\text{IP}_3\text{R}$  channels. For reviews on the controversies associated with  $\text{IP}_3\text{R}$ -dependent signals in astrocytes, see [3, 135, 136].

#### Pathway description

The binding of agonists to  $\text{G}_{q/11}$ -GPCRs activates  $\text{IP}_3$  synthesis via the cleavage of the membranous phosphatidylinositol bisphosphate (PIP2) by phospholipase C.  $\text{IP}_3$  molecules then diffuse and the binding of both  $\text{IP}_3$  molecules and  $\text{Ca}^{2+}$  ions to  $\text{IP}_3\text{R}$  channels on the membrane of the endoplasmic reticulum (ER) triggers a  $\text{Ca}^{2+}$  influx from the ER to the cytosol [261, 262].  $[\text{Ca}^{2+}]$  gradient between the ER and the cytosol is maintained by energy-dependent sarcoplasmic/endoplasmic reticulum  $\text{Ca}^{2+}$ -ATPase (SERCA) pumps (see also Fig II.8).

Parker et al [263] have demonstrated that photolysis of caged  $\text{IP}_3$  in Xenopus oocytes triggered  $\text{Ca}^{2+}$  release from the ER while simultaneous injection of  $\text{Ca}^{2+}$  inhibited  $\text{Ca}^{2+}$  release, demonstrating a bell-curve relationship between the probability of  $\text{IP}_3\text{R}$  opening and  $[\text{Ca}^{2+}]$ . The initiation and propagation of  $\text{IP}_3\text{R}$ -dependent  $\text{Ca}^{2+}$  signals then relies on  $\text{Ca}^{2+}$ -induced- $\text{Ca}^{2+}$  release (CICR) mechanism: an increase, even small, of the local  $[\text{Ca}^{2+}]$  increases the probability of  $\text{IP}_3\text{R}$  opening



**Figure II.8: Schematic representation of the main molecules involved in  $\text{Ca}^{2+}$  signaling expressed in astrocytes.** This schematic presents the main channels, pumps and  $\text{Ca}^{2+}$  binding proteins (CBP) responsible for the variations of  $\text{Ca}^{2+}$  concentration in the cytosol of astrocytes. Note that specific sub-cellular distributions, such as molecular co-localizations, can increase the efficiency of those mechanisms. A widely spread mechanism is the store-operated  $\text{Ca}^{2+}$  entry (SOCE) process. During  $\text{Ca}^{2+}$  flux from the ER to the cytosol, stromal interaction molecules (STIM) translocate to the plasma membrane at ER/plasma membrane contact sites, where they interact with ORAI channels, which induces  $\text{Ca}^{2+}$  influx from the extracellular space and then into the ER. GPCR: G-protein coupled receptor, IP<sub>3</sub>R: inositol 1,4,5-triphosphate receptor, MCU: mitochondrial uniporter complex, NCLX: mitochondrial  $\text{Na}^{+}/\text{Ca}^{2+}$  exchanger, NCX:  $\text{Na}^{+}/\text{Ca}^{2+}$  exchanger, PLC: phospholipase C, PMCA: plasma membrane  $\text{Ca}^{2+}$ -ATPase, RyR: ryanodine receptor, SERCA: Sarcoplasmic/endoplasmic reticulum  $\text{Ca}^{2+}$ -ATPase, SPCA: secretory pathway  $\text{Ca}^{2+}/\text{Mn}^{2+}$ -ATPase, TPC: two-pore channel, TRP: transient potential channels, VGCC: voltage-gated  $\text{Ca}^{2+}$  channels. Concentrations in the main  $\text{Ca}^{2+}$  reservoirs and in the cytosol are indicated in bold red. This figure was modified from Maklad et al [148], according to Shigetomi et al [177].

(Fig II.9A), which increases local  $[\text{Ca}^{2+}]$ .

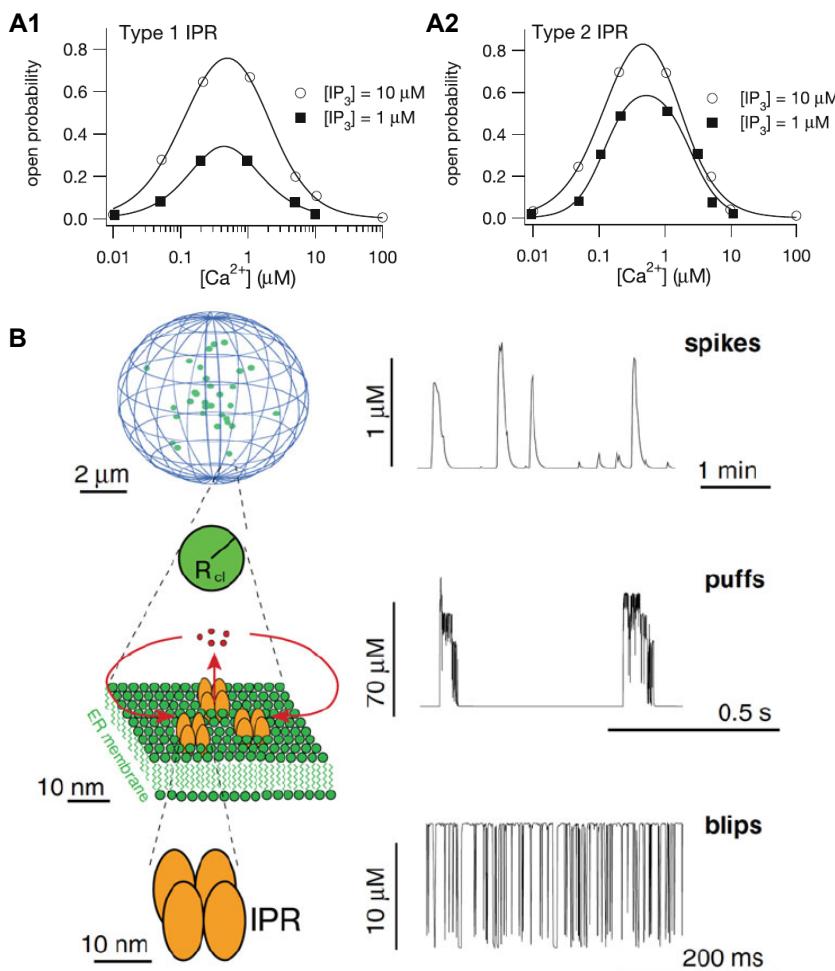
### Forms of $\text{Ca}^{2+}$ signals

$\text{Ca}^{2+}$  signals can be of diverse forms, as illustrated by Fig II.9B, being often divided in 3 different types: blips, puffs and waves. Blips correspond to signals of low amplitude and duration and are associated with the activity of single  $\text{IP}_3\text{R}$ s. Blips are representative of the stochastic binding of  $\text{Ca}^{2+}$  and  $\text{IP}_3$  to  $\text{IP}_3\text{R}$  channels. The  $[\text{Ca}^{2+}]$  at the mouth of an open  $\text{IP}_3\text{R}$  can reach  $\approx 10 \mu\text{M}$ . Puffs correspond to signals of higher amplitude, which can emerge from the simultaneous opening of several  $\text{IP}_3\text{R}$  channels. Non-uniform spatial distributions of the channels into clusters, i.e arrangements of several channels close together can favor this process. Such spatial arrangements of  $\text{IP}_3\text{R}$  channels into clusters have been reported in SH-SY5Y and COS7 cells [264, 265]. Finally,  $\text{Ca}^{2+}$  waves and global events (spikes) rely on the propagation of  $\text{Ca}^{2+}$  puffs from a cluster to neighboring clusters via  $\text{Ca}^{2+}$ -induced  $\text{Ca}^{2+}$  release (CICR). The spatial organization of  $\text{IP}_3\text{R}$  channels thus dictates the spatial spread of CICR and the resulting variability of  $\text{Ca}^{2+}$  signals: from blips and puffs to waves and whole-cell signals.

### $\text{IP}_3\text{R}$ ultrastructure and kinetics

$\text{IP}_3\text{R}$  is a ubiquitous 25 nm-long  $\text{Ca}^{2+}$  channel consisting in tetramers of 3 different isoforms in Vertebrates:  $\text{IP}_3\text{R}1$ , 2 or 3, with 60-80% homology in their amino acid sequences [269].  $\text{IP}_3\text{R}$  can be composed of either homo- or hetero-tetramers [270].  $\text{IP}_3\text{Rs}$  are involved in cell signaling of various cell types and are impaired in many diseases (see Seo et al [271] for a review).  $\text{IP}_3\text{R}$  channels have been first characterized in mice in 1989 [272] and their detailed structure with a 4.7 Å resolution has been uncovered in 2015 [273]. The detailed ultrastructure of  $\text{IP}_3\text{Rs}$ , including  $\text{IP}_3$  binding sites, is presented in Fig II.10A. Note that  $\text{Ca}^{2+}$  binding sites as well as the precise conformational changes associated to  $\text{Ca}^{2+}$  binding are still not clear so that current models are based on experimental measurements of  $\text{IP}_3\text{R}$  kinetics rather than on structural data. For more details on the ultrastructure of  $\text{IP}_3\text{Rs}$ , see Serysheva et al [274].

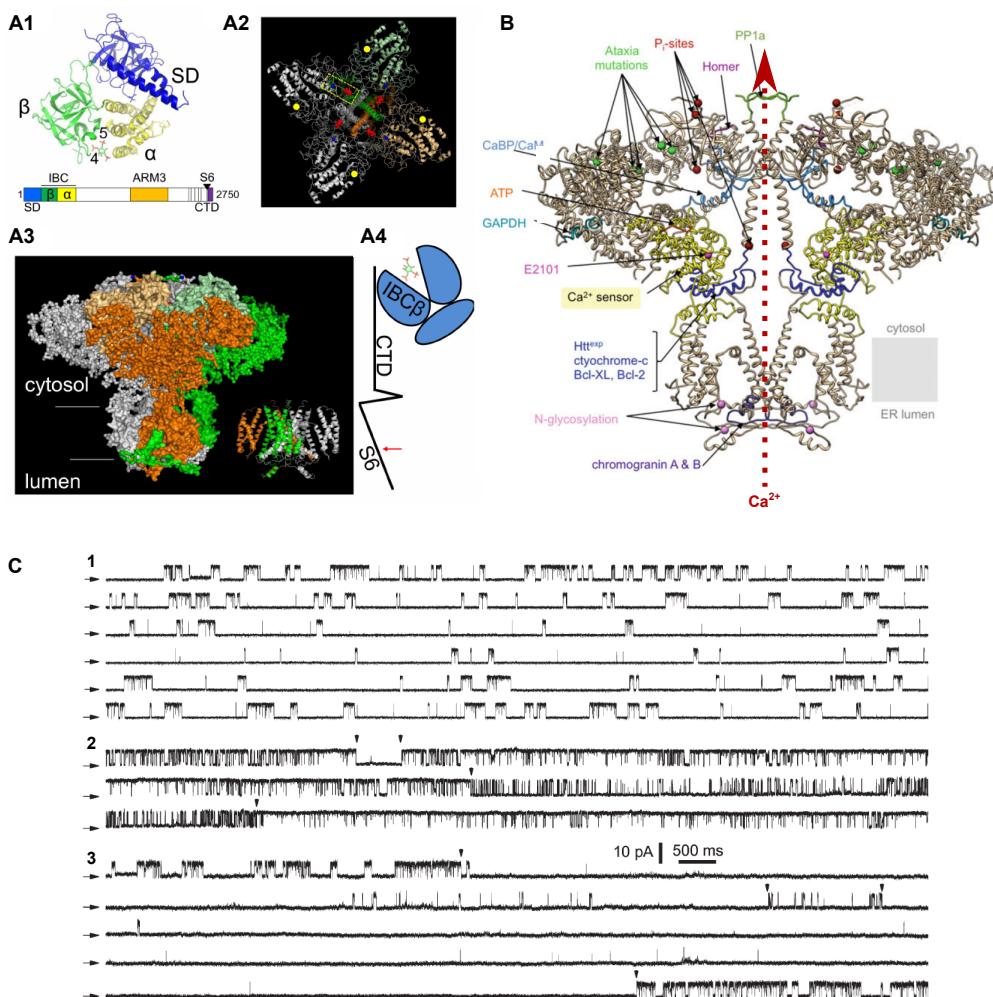
Most studies on  $\text{IP}_3\text{R}$  kinetics are based on  $\text{IP}_3\text{R}1$  isoform. A recent study has demonstrated that all of the 4 subunits must be bound to  $\text{IP}_3$  to trigger  $\text{IP}_3\text{R}$  opening, independent of  $[\text{Ca}^{2+}]$  or  $[\text{ATP}]$  [275]. Recent patch clamp measurements from single  $\text{IP}_3\text{R}$  channels (Fig II.10C) have refined our understanding of  $\text{IP}_3\text{R}$  functioning by revealing their modal gating kinetics [276]. In the study from Ionescu et al,  $\text{IP}_3\text{R}$  channels can be in 3 distinct modes, characterized by different  $\text{IP}_3\text{R}$  opening probabilities, from low to high. Channels stay in each mode for long periods of time (tens of opening/closing sequences).  $[\text{Ca}^{2+}]$  and  $[\text{IP}_3]$  do not influence the



**Figure II.9: IP<sub>3</sub>-dependent  $\text{Ca}^{2+}$ -induced  $\text{Ca}^{2+}$  release mechanism.** (A) Probability of IP<sub>3</sub>R opening  $P_0$  as a function of  $[\text{Ca}^{2+}]$ . Plots display the variability of  $P_0$  depending on  $[\text{IP}_3]$  and on the IP<sub>3</sub>R subtype: IP<sub>3</sub>R1 (A1) or IP<sub>3</sub>R2 (A2). Experimental data (symbols) were measured with on-nucleus patch clamp in DT40-3KO cells [266]. The smooth curves correspond to biphasic curves, commonly referred to as 'bell curves'. (B) Representation of the diversity of IP<sub>3</sub>-induced  $\text{Ca}^{2+}$  signals. The right column presents the equivalent  $\text{Ca}^{2+}$  signals, here corresponding to stochastic simulations. Bottom: signals of low amplitude and duration, called "blips", associated with the activity of single IP<sub>3</sub>R channels. Middle: IP<sub>3</sub>R-mediated signals of higher amplitude, referred to as "puffs", which emerge from the simultaneous opening of several IP<sub>3</sub>R channels. Top: at a higher spatial level,  $\text{Ca}^{2+}$  waves and global events (spikes) can be observed. They rely on the propagation of  $\text{Ca}^{2+}$  puffs from a cluster to neighboring clusters via  $\text{Ca}^{2+}$ -induced  $\text{Ca}^{2+}$  release (CICR). For more details on blips, puffs and waves, see dedicated paragraph in section II.2.3.2. Panel A was taken from Dupont et al [267] and B from Thurley et al [268].

opening probability within each mode but rather the probability of the channel to transition between modes. Those observations have changed the paradigms of  $\text{IP}_3\text{R}$  models (see section III.2), so that the major mechanism for regulating  $\text{IP}_3\text{R}$  activity in newly developed models occurs through modal switches of  $\text{IP}_3\text{R}$  states.

$\text{IP}_3\text{R}$  channels can interact with various molecules that can modulate their kinetics and activity. Those molecules include  $\text{IP}_3$  [275], Phosphatidylinositol 4,5-bisphosphate ( $\text{PIP}_2$ ) [277], phosphatases and kinases [278, 279], Adenosine triphosphate (ATP) [266], cyclic adenosine monophosphate (cAMP) [278], calmodulin [280, 278, 281], Homer [282] and BCl-2 [283] (see also Fig II.10B). See dedicated reviews for more details on the modulation of  $\text{IP}_3\text{R}$  activity and kinetics [284, 269].



**Figure II.10: Structure and kinetics of the IP<sub>3</sub>R channel.** Panel (A) represents the structure of the IP<sub>3</sub>R. (A1) Key domains of one subunit of IP<sub>3</sub>R. When IP<sub>3</sub> binds  $\alpha$  and  $\beta$  domains of the IP<sub>3</sub>-binding domain (IBC), a conformational change occurs, consisting in a movement of both  $\alpha$  and suppressor domains (SD). (A2) View from the cytosol of the IP<sub>3</sub>R, revealing 4 subunits and their contact sites. Red region corresponds to the C-termini of the 4 subunits, blue to the N-termini, yellow box represents the C- and N- termini of a single subunit and yellow circles to IP<sub>3</sub> binding sites. (A3) View across the ER membrane of IP<sub>3</sub>R structure (2 subunits visible). (A4) Possible mechanism for inter-subunit interactions. When IP<sub>3</sub> binds the IBC, it can induce a conformational change of the C-terminal domain (CTD) from the neighboring subunit, which lines the S6 region (red arrow), which causes hydrophobic constriction that maintains the  $\text{Ca}^{2+}$  pore closed. IP<sub>3</sub> binding thus contributes to conformational changes in S6 region of the neighboring subunit, enabling the opening of the pore and  $\text{Ca}^{2+}$  flux from the ER to the cytosol. (B) Visualization of the various molecules that can interact with IP<sub>3</sub>R channels and the corresponding binding sites.  $\text{Ca}^{2+}$  pore is schematized by a red dashed arrow. (C) Current traces from single IP<sub>3</sub>R recordings from Ionescu et al [276], illustrating modal gating of IP<sub>3</sub>R. In those recordings,  $[IP_3] = 10 \mu\text{M}$  (saturating) and  $[\text{Ca}^{2+}] = 100 \text{nM}$  (1),  $1 \mu\text{M}$  (2) &  $89 \mu\text{M}$  (3). Horizontal arrows on the left indicate the background currents of closed channels. Arrowheads in B and C indicate transitions corresponding to modified gating behavior of the IP<sub>3</sub>R. Panel A was taken from Taylor et al [285], B from Serysheva et al [286] and C from Ionescu et al [276].

# Chapter III

## Modeling astrocytic $\text{Ca}^{2+}$ signals

A computational model corresponds to a simplification that describes relevant parameters of a system of interest (its elements, their states and their interactions), allowing for better understanding, quantification and visualization of the system. Modeling is particularly useful to conduct experiments that are time-consuming or even unfeasible experimentally and can be predictive and informative about the system's behavior. For a model to be predictive, it first needs to be validated against experimental data. Molecular reaction kinetics can be simulated with 4 main different strategies, depending on whether they account for the stochasticity arising from discrete molecules and whether they include spatial information.

In this chapter, after presenting the different methods available for simulating the kinetics of molecular reactions, the main generic (i.e not cell type-specific) and astrocytic  $\text{Ca}^{2+}$  signaling models will be presented. Only  $\text{IP}_3$ -mediated  $\text{Ca}^{2+}$  signaling models will be described. For information about models of other  $\text{Ca}^{2+}$  signaling pathways, the reader can refer to [287, 288, 289].

### III.1 Deterministic well-mixed models

At the beginning of the XX<sup>th</sup> century, Michaelis and Menten have described enzymatic reactions with differential equations, which has been one of the first steps towards using modeling as a complementary approach to experimental studies. Modeling chemical reactions with ordinary differential equations (ODEs) has been historically the first modeling approach for biological systems and has contributed to a better understanding of molecular pathways, including  $\text{Ca}^{2+}$  signaling. Those models are both deterministic, i.e the average behavior of populations of molecules is monitored, and well-mixed, i.e space is considered homogeneous and molecules are assumed to be equally distributed within the system so that spatial effects such as

geometry and diffusion are ignored.

In this section, the methods and computational approaches for deterministic well-mixed models will be introduced before presenting examples of their implementation for simulating  $\text{Ca}^{2+}$  dynamics.

### III.1.1 Methods and computational approaches

Biological processes often display noise. Extrinsic noise corresponds to fluctuations in cellular environment, including temperature, pH, local concentrations as well as cell-to-cell variability [290]. Intrinsic noise (=molecular noise) [291] is expected to vary as  $\frac{1}{\sqrt{X}}$  where  $X$  is the number of molecules in the system [292] and thus arises from low number of molecules but also from the intrinsic stochastic nature of biochemical reactions [292]. If  $X$  is high enough, noise can be averaged and deterministic approximation is valid. If the number of molecules decreases, stochasticity must be taken into account, which is the focus of section III.2.

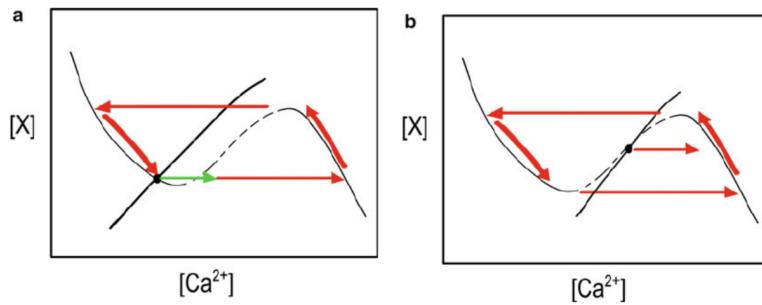
At any time point, the system is described by a vector containing the concentration of each reactant. The velocity of each reaction assumes mass action kinetics (see Eq III.1) or is based on an enzymatic kinetic law like Michaelis-Menten or Hill kinetics [293] and is specified using a rate equation. Mathematical description of those rates is done through the use of ordinary differential equations (ODEs), called reaction-rate equations, that can be solved analytically or numerically with an ODE solver [294] (see Eq III.4 for examples of rate equations describing  $\text{IP}_3\text{R}$ -dependent  $\text{Ca}^{2+}$  dynamics). ODEs coupled with initial concentrations of all molecular species are enough to predict their concentrations at any time point. Numerical methods for solving ODEs are presented in e.g Butcher et al [294].

A simple example to illustrate the principle of ODEs is to consider the bi-molecular reaction in which substrates  $S_1$  and  $S_2$  react to form  $S_3$  and  $S_4$ :

$S_1 + S_2 \xrightleftharpoons[k_b]{k_f} S_3 + S_4$ , where  $k_f$  is the forward binding rate constant and  $k_b$  the backward unbinding rate constant. Then the variation of the concentration of the species  $S_3$  with time can be described by the following deterministic formulation:

$$\frac{d[S_3]}{dt} = k_f[S_1][S_2] - k_b[S_3][S_4] \quad (\text{III.1})$$

Systems of differential equations are often studied two variables at a time with phase plane diagrams, which can help predict the dynamics of the system under study. The nullclines (curves where each derivative is zero) and the values of the



**Figure III.1: Phase plane diagrams of excitable and oscillatory systems.** (a) An excitable system is characterized by an n-shaped nullcline crossed by a second "straight" nullcline. The intersection of those nullclines forms a steady state (black circle). Enough perturbation from steady state (green arrow) crosses the unstable portion of the n-nullcline and values of  $[Ca^{2+}]$  then follow the red arrow, generating a transient rise in  $[Ca^{2+}]$ . The dashed and solid portions correspond to the unstable and stable portions, respectively. For more details, see Girard et al [297]. (b) When the "straight" nullcline is shifted, the crossing of the nullclines can lead to an unstable steady state (black circle). Then, perturbations off the steady state, even small, lead to oscillations of  $[Ca^{2+}]$ . The red trajectory will be followed, without returning to the steady state. This figure was taken from Jafri et al [298].

derivatives at other points (vector fields) define the trajectories of possible solutions of the set of ODEs. A steady state is a point where the derivatives all equal zero. Trajectories go away from the unstable portions, towards stable portions. Fig III.1 presents 2 examples of phase plane diagrams of the  $Ca^{2+}X$ -plane, one excitable (Fig III.1A) and one oscillatory (Fig III.1B). In models of  $IP_3$ -induced  $Ca^{2+}$  waves and oscillations, changing  $[IP_3]$  can shift the "straight nullcline" from a condition similar to Fig III.1A to Fig III.1B, thus triggering oscillations that persist without any perturbation. Those systems are referred to as Hopf bifurcation systems (see Marsden et al [295] for a review). For a detailed review on deterministic modeling principles, see Dupont et al [296].

### III.1.2 Deterministic well-mixed models of $Ca^{2+}$ signals

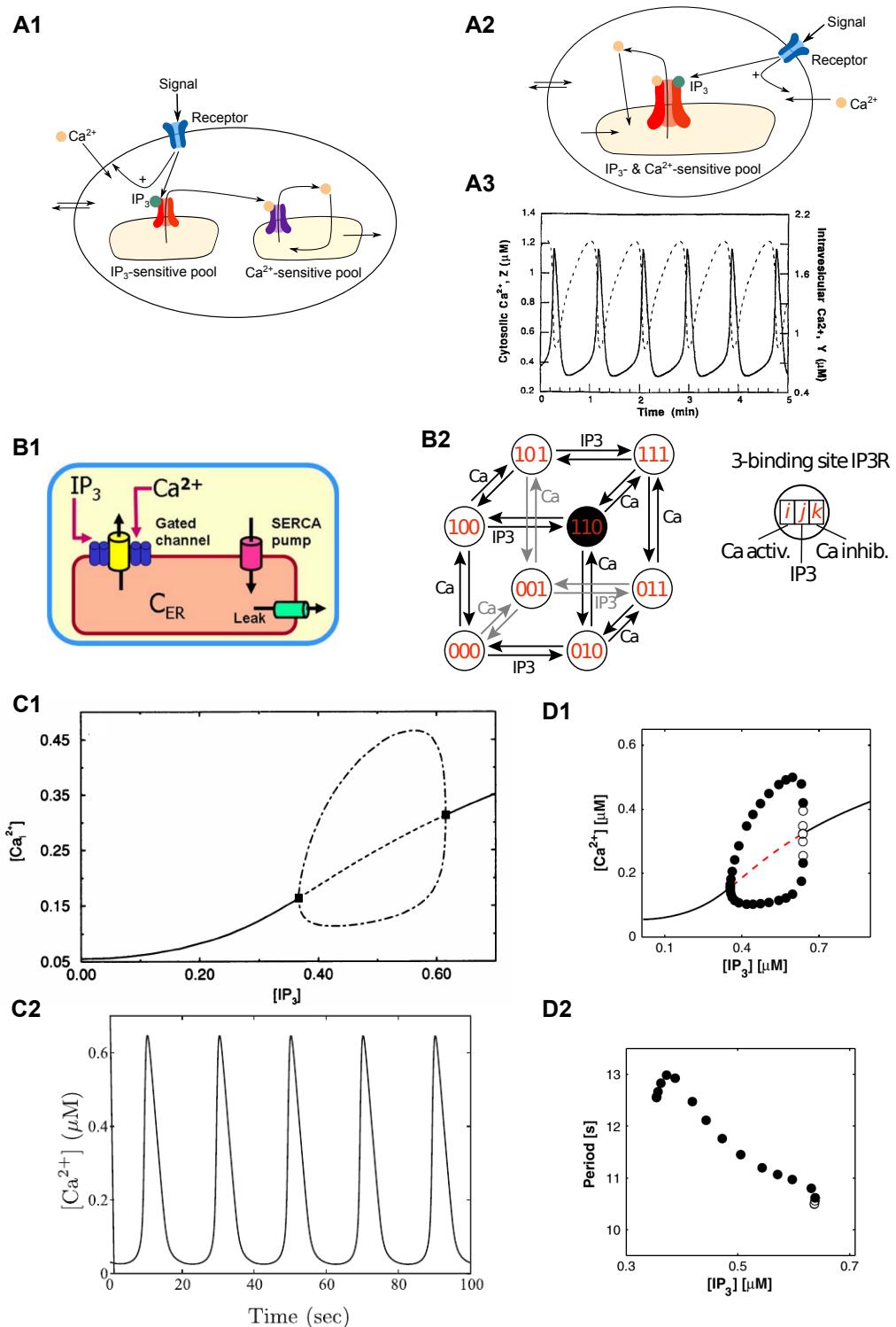
$Ca^{2+}$  oscillations have been first observed in excitable cells such as cardiac myocytes and neurons before being characterized in a variety of other non-excitable cell types such as e.g oocytes, hepatocytes and astrocytes [299]. Their frequency varies from  $\approx 10^{-3}$  to 1 Hz. In the next paragraphs, the most influential historical models of  $IP_3$ Rs (Fig III.2), in which  $[IP_3]$  is constant, are presented. In those models,  $Ca^{2+}$  oscillations emerge from Hopf bifurcations for a given range of  $[IP_3]$  (Fig III.2C1,D1).

One of the first models of  $\text{Ca}^{2+}$  oscillations has been developed by Meyer & Stryer [305]. They hypothesized that oscillations resulted from  $\text{Ca}^{2+}$ -dependent  $\text{IP}_3$  production by  $\text{PLC}\delta$  and successfully reproduced  $\text{Ca}^{2+}$  oscillations, coupled with  $\text{IP}_3$  oscillations, which have been proven not to exist in several cell types.

The model from Goldbeter, Dupont & Berridge consisted in two  $\text{Ca}^{2+}$  cellular pools: one that was  $\text{IP}_3$ -sensitive and one that was  $\text{Ca}^{2+}$ -sensitive (Fig III.2A1).  $\text{Ca}^{2+}$  released from the  $\text{IP}_3$ -sensitive pool activates CICR from the  $\text{Ca}^{2+}$ -sensitive pool. As experimental work suggested that  $\text{IP}_3$ -sensitive and  $\text{Ca}^{2+}$ -sensitive pools consisted in a unique pool, the ER, Dupont et al [301] have developed a one-pool version of this model, presented in Fig III.2A2,A3. This model has been further refined in subsequent studies [306, 307]. Those models have been very influential in the early 1990s but binding models, that take into account conformational states of  $\text{IP}_3\text{R}$  channels, have been preferred in future  $\text{IP}_3\text{R}$  models. The 2 most influential historical binding models of  $\text{IP}_3\text{R}$  are the De Young & Keizer model [8] and the Li-Rinzel model [302].

The bell-shaped relationship of the open probability of  $\text{IP}_3\text{R}$  ( $P_0$ ) with  $[\text{Ca}^{2+}]$  [263, 308] (Fig II.9) can be interpreted as a consequence of a slower inactivation of  $\text{IP}_3\text{R}$  by  $\text{Ca}^{2+}$  than its activation. This can be modeled simply by the existence of activating and inactivating  $\text{Ca}^{2+}$  binding sites, the latter displaying a smaller affinity for  $\text{Ca}^{2+}$ . The first binding model of  $\text{IP}_3\text{R}$  that took into account those different kinetics of  $\text{IP}_3\text{R}$  was the model by De Young & Keizer (DYK) [8]. The parameter values of the model were fitted on  $\text{IP}_3\text{R}$  open probability data [308] and  $\text{IP}_3$  binding data [309]. Because 4 different conductances were observed for a single  $\text{IP}_3\text{R}$  and that the most commonly open one was 3<sup>rd</sup> highest conductance [310], DYK assumed that  $\text{IP}_3\text{R}$  opened when 3 subunits were open.

In their model, each  $\text{IP}_3\text{R}$  subunit contains 3 binding sites: two activating sites, for  $\text{Ca}^{2+}$  and  $\text{IP}_3$ , and one inactivating site for  $\text{Ca}^{2+}$ .  $ijk$  is the state of an  $\text{IP}_3\text{R}$  subunit, where  $i$ ,  $j$  &  $k$  correspond respectively to  $\text{Ca}^{2+}$ -activating,  $\text{IP}_3$  and  $\text{Ca}^{2+}$ -inactivating sites. Each site can be in two states: 1 = bound or 0 = unbound, so that each subunit has  $2^3$  possible states which are depicted, along with their transition rates, in Fig III.2B2. For example, 110 represents an  $\text{IP}_3\text{R}$  subunit with  $\text{Ca}^{2+}$ -activating and  $\text{IP}_3$  sites bound and  $\text{Ca}^{2+}$ -inhibiting site unbound. Open probability  $P_O$  of a single  $\text{IP}_3\text{R}$  is thus  $P_O = x_{110}^3$ , where  $x_{110}$  corresponds to the fraction of  $\text{IP}_3\text{R}$  subunits in state 110. The model was then simplified by considering that  $\text{IP}_3$  binding is fast compared to the others, thus leaving 4 equations to describe the system: one for  $\text{Ca}^{2+}$  and  $2^2-1$  for  $\text{IP}_3\text{R}$  subunits (as  $\sum_{ijk} x_{ijk} = 1$ ), with  $x_{ijk}$  the fraction of subunits in state  $ijk$ ).  $\text{Ca}^{2+}$  dynamics in the DYK model is characterized by 2 Hopf bifurcations (Fig III.2C1). If  $[\text{IP}_3]$  (fixed in the DYK model) is chosen



**Figure III.2: Historical, deterministic well-mixed, models of IP3R channels.** This figure presents the historical models of  $\text{Ca}^{2+}$  dynamics from Golbeter-Dupont-Berridge [300, 301] (A), De Young-Keizer [8] (B, C) and Li-Rinzel [302] (B, D). (A1) Schematic representation of the two-pools model from Goldbeter-Dupont-Berridge. (A2) Schematic representation of the one-pool model from Dupont et al [301]. (A3) Typical oscillations from the one-pool model based on CICR. (A3)  $\text{Ca}^{2+}$  oscillations from the one-pool model are presented for  $I=0.53 \mu\text{M}$ . (B1) Schematic representation of the kinetic scheme from the De Young-Keizer (DYK) and Li-Rinzel models.

**Figure III.2: Historical, deterministic well-mixed, models of IP<sub>3</sub>R channels (continued).**

(B2) Schematic representation of the 8 possible states of an IP<sub>3</sub>R subunit in the DYK and Li-Rinzel models. The corresponding bifurcation diagram and examples of oscillations from DYK model are presented respectively in panels C1 and C2. (D1) Bifurcation diagram of the Li-Rinzel model.  $\text{Ca}^{2+}$  oscillations arise from Hopf bifurcation at  $[\text{IP}_3]=0.355 \mu\text{M}$  and stop at supercritical Hopf bifurcation at  $[\text{IP}_3]=0.637 \mu\text{M}$ . Between those bifurcation points, the amplitude of the oscillations increases with  $[\text{IP}_3]$  while their period remains almost constant (D2). Stable and unstable oscillations are represented with filled and open circles respectively, at their maximum and minimum values. Panel A3 was taken from Dupont et al [301], B1, D1 & D2 from De Pitta et al [303], C1 from DYK [8] and C2 from Timofeeva et al [304].

between those 2 points, then cellular  $\text{Ca}^{2+}$  oscillates (Fig III.2C2).

Several studies have developed alternative versions of the DYK model, that modeled sequential binding of  $\text{Ca}^{2+}$  and IP<sub>3</sub> [311], conformational transitions [312, 313] or implemented saturated effects at high  $[\text{Ca}^{2+}]$  [314, 315]. See Shuai et al for a review [316]. The DYK model is still influential, even though it is not in accordance with recent data such as the necessity of 4 IP<sub>3</sub> to be bound for the channel to open or the modal switching of the receptors (see Fig III.4).

Li & Rinzel have proposed a simplified version of the DYK model by taking into account that binding of activating IP<sub>3</sub> and  $\text{Ca}^{2+}$  is much faster than inactivating  $\text{Ca}^{2+}$  [302]. They simplified those 2 dynamics in their model so that it consists in 2 equations, describing the evolution of  $[\text{Ca}^{2+}]$  (C) and of the probability h that IP<sub>3</sub>R is unbound to inactivating  $\text{Ca}^{2+}$ . The open probability of IP<sub>3</sub>R can be expressed as follows:

$$P_O = x_{110}^3 = (m_\infty h)^3 \quad (\text{III.2})$$

where  $h = x_{000} + x_{010} + x_{100} + x_{110}$ .  $m_\infty$  and  $\frac{dh}{dt}$  can be expressed as follows:

$$\begin{aligned} m_\infty &= \frac{[I]}{[I + d_1]} \frac{C}{C + d_5} \\ \frac{dh}{dt} &= \frac{h_\infty - h}{\tau_h} \end{aligned} \quad (\text{III.3})$$

where C, I correspond to  $[\text{Ca}^{2+}]$  and  $[\text{IP}_3]$ , respectively, and  $d_i = \frac{b_i}{a_i}$  are derived from DYK model.

Similarly to DYK model,  $[\text{IP}_3]$  is not a variable but a parameter of the model.  $\text{Ca}^{2+}$  oscillations occur only for intermediate values of  $[\text{IP}_3]$  (I) ( $\approx 0.3 \mu\text{M} < I < 0.65 \mu\text{M}$ ), associated with Hopf bifurcations (Fig III.2D1,D2). Increased  $[\text{IP}_3]$  is associated with increased amplitudes of  $\text{Ca}^{2+}$  oscillations. Despite its simplifications,

this model displays a similar behavior than that of the DYK model, notably the bell-shaped relationship between  $P_O$  and  $[Ca^{2+}]$ , and has thus been widely used in the field.

The Li-Rinzel model has been adapted to account for variations of the total  $[Ca^{2+}] = [Ca^{2+}]_{cyt} + [Ca^{2+}]_{ER}$  [317, 318, 319].

For detailed reviews on deterministic well-mixed models of  $Ca^{2+}$  oscillations, see [320, 321].

### III.1.3 Deterministic well-mixed models of astrocytic $Ca^{2+}$ signals

Diverse models have used deterministic well-mixed approaches to model  $Ca^{2+}$  signals in astrocytes, being in their soma [322, 323, 324], whole-cell intracellular signals[325], their encoding [303], inter-astrocyte signals [326, 327, 328], astrocyte-neuron signals [329, 330] or astrocyte-blood vessels signals [331].

$Ca^{2+}$  oscillations in astrocytes can occur in response to extracellular signals released from neighboring neurons that trigger intracellular  $IP_3$  signals. Therefore, models of astrocytic excitability have adapted the historical  $IP_3R$  models in order to take into account the variations of  $[IP_3]$  associated with GPCR activation. The typical kinetic scheme of those models is presented in Fig III.3A and its mathematical formulation is as follows (parameters are defined in Fig III.3):

$$\begin{aligned}\frac{d[Ca^{2+}]_{cyt}}{dt} &= J_{IP3R} + J_{leak} - J_{SERCA} + J_{in} - J_{out} \\ \frac{d[Ca^{2+}]_{ER}}{dt} &= \alpha(J_{SERCA} - J_{leak} - J_{IP3R}) \\ \frac{d[IP3]}{dt} &= J_{PLC} - k_D[IP3]\end{aligned}\quad (\text{III.4})$$

In most models,  $J_{IP3R}$  corresponds to the Li-Rinzel model of  $IP_3R$  [322, 327, 331, 332, 333]. For example, the model from De Pitta et al [333] displays the same equations for  $[Ca^{2+}]$  ( $C$ ) and  $IP_3R$  closure ( $h$ ) than the Li-Rinzel model but also contains a third variable corresponding to variations of  $[IP_3]$ :  $I$ , which varies depending on regulatory pathways of  $IP_3$  production and degradation. This three-variable model is thus referred to as the ChI model. Typical evolution of  $C$ ,  $h$  and  $I$  with time during a  $Ca^{2+}$  wave is presented in Fig III.3C. The ChI model has been extended into the G-ChI model, to account for GPCR-dependent  $IP_3$  production. Using bifurcation analysis, they predicted that accounting for variations of  $[IP_3]$  in their model could trigger persistent oscillations, in which  $[Ca^{2+}]$  dynamics was encoded in frequency

or frequency & amplitude but not in amplitude alone.

Deterministic models of  $\text{IP}_3\text{R}$  channels mainly differ in their mechanism of  $\text{Ca}^{2+}$  oscillations, which can be either  $\text{IP}_3$ -independent [8, 302, 336, 312], coupled with passive [306] and/or with active  $\text{IP}_3$  oscillations [305, 333, 337, 338]. Only representative models of  $\text{IP}_3\text{R}$  have been presented here. For more information, see dedicated reviews [339, 316, 340, 341, 342].

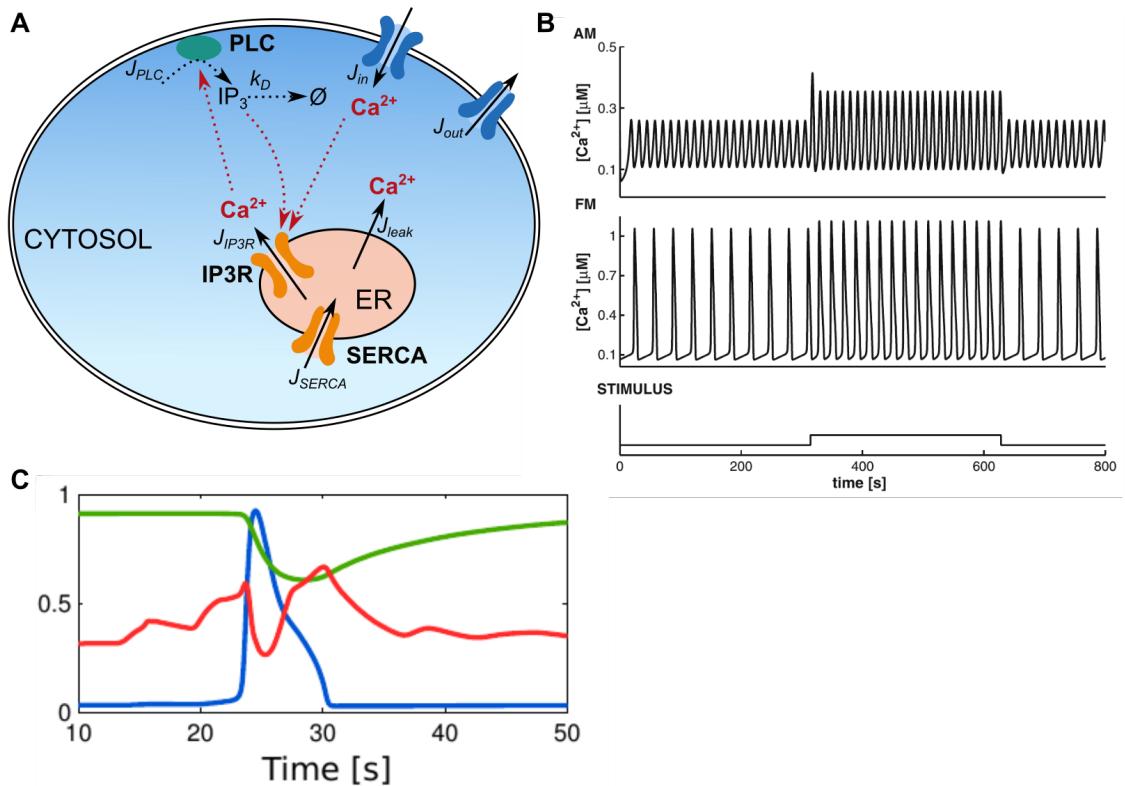
## III.2 Stochastic well-mixed models

As presented in section III.1, deterministic approaches fail to describe molecular interactions at low concentrations [343, 344] so that the discovery of highly localized  $\text{Ca}^{2+}$  signals occurring in femtoliter volumes encouraged modelers to go from deterministic to stochastic descriptions of  $\text{Ca}^{2+}$  signals. Stochastic approaches have been essential to grasp intracellular dynamics, such as the switching in bistable systems like the galactose signalling pathway [345].

In this section, the common methods to model well-mixed stochastic processes will be introduced before presenting their implementation in models of  $\text{Ca}^{2+}$  dynamics.

### III.2.1 Methods and computational approaches

Stochastic formulation of biochemical interactions consists in considering each reaction as a probabilistic event. The state of a given system of  $N$  molecular species  $S_i$ , with  $i \in [1, N]$  is described by a time-dependent state vector  $x(t) = [x_1(t), \dots, x_N(t)]$ , which contains the copy number  $x_i(t)$  of each molecular species  $S_i$  at time  $t$ .  $\alpha_j(x)dt$  is the likelihood of reaction  $j$  to occur during the time step  $dt$ , called propensity. This probability depends on the number of distinct reactants combinations for reaction  $j \in [1, R]$  and on the probability that a given combination of reactants interacts. Table III.1 illustrates examples of propensity functions for different types of reactions.  $v_j$  corresponds to the  $j^{\text{th}}$  column of the stoichiometry matrix  $v$ , and is the state change vector for reaction  $R_j$ , which specifies changes of the system when  $R_j$  occurs. Then the entire system of  $R$  reactions can be described with a differential equation, the chemical master equation (CME) [346, 347], that describes the temporal evolution of the probability density  $P(x,t)$ , which results from transitions from



**Figure III.3: Typical kinetic scheme of astrocytic  $\text{Ca}^{2+}$  oscillations and the associated  $\text{Ca}^{2+}$  dynamics.** (A) Schematic representation of the typical kinetic scheme of astrocytic  $\text{Ca}^{2+}$  models. Plain black arrows correspond to  $\text{Ca}^{2+}$  fluxes. Dotted black arrows represent  $\text{IP}_3$  formation, via  $\text{Ca}^{2+}$ -dependent PLC activity, and degradation. Red dotted arrows represent the activation of certain molecules by  $\text{IP}_3$  and/or  $\text{Ca}^{2+}$  such as  $\text{IP}_3\text{R}$  and PLC. The common ODE formulation for this system includes three equations that describe  $\text{Ca}^{2+}$  concentration in the cytosol,  $[\text{Ca}^{2+}]_{\text{cyt}}$ ,  $\text{Ca}^{2+}$  concentration in the ER,  $[\text{Ca}^{2+}]_{\text{ER}}$ , and  $\text{IP}_3$  concentration,  $[\text{IP}_3]$  (Eq III.4).  $J_{\text{PLC}}$  corresponds to the formation of  $\text{IP}_3$  by PLC,  $J_{\text{in}}$ ,  $J_{\text{IP3R}}$ ,  $J_{\text{leak}}$  correspond respectively to  $\text{Ca}^{2+}$  influx through plasma membrane channels,  $\text{IP}_3\text{Rs}$  or via ER leak.  $J_{\text{out}}$  and  $J_{\text{SERCA}}$  correspond respectively to  $\text{Ca}^{2+}$  outflux through plasma membrane pumps or SERCA pumps.  $k_D$  corresponds to  $\text{IP}_3$  degradation rate constant.  $\alpha = \frac{V}{V_{\text{ER}}}$ , which reflects the different changes of concentration of  $\text{Ca}^{2+}$  in the cytosol compared to the ER due to their different volumes  $V$  and  $V_{\text{ER}}$ , respectively (see Dupont et al [296]). Note that the pathway presented here is simplified, please refer to De Pitta et al [334] for further details. (B) Variations of stimulus intensity (bottom panel) are encoded by modifications of the amplitude of  $\text{Ca}^{2+}$  oscillations with the initial parameter values of the Li-Rinzel model (top panel), while for  $d_5 = 0.2\mu\text{M}$ , they are rather frequency-encoded (middle panel). (C) Typical variations with time of  $[\text{Ca}^{2+}]_C$  (blue curve),  $[\text{IP}_3]_I$  (red curve) and fraction of  $\text{IP}_3\text{R}$  that are unbound to inactivating  $\text{Ca}^{2+}$   $\text{h}$  (green curve). When  $[\text{IP}_3]$  increases above a given threshold, it triggers the opening of  $\text{IP}_3\text{R}$  and CICR mechanism (Fig II.9). When  $[\text{Ca}^{2+}]$  increases, both  $\text{PLC}\delta$  and  $\text{IP}_3\text{-3K}$  are activated and  $\text{IP}_3\text{-3K}$  is faster so that the  $\text{Ca}^{2+}$  spike is associated with a decreasing  $[\text{IP}_3]$ , participating to the ending of CICR. At intermediate  $[\text{Ca}^{2+}]$ ,  $\text{IP}_3\text{-3K}$  is no longer active and the activity of  $\text{PLC}\delta$  leads to a transient increase of  $[\text{IP}_3]$ , associated with its propagation through gap junctions. Panel B was taken from De Pitta et al [303], panel C was taken from Lallouette [335].

state  $x - v_j$  to  $x$  (via reaction  $R_j$ ) minus the transitions out of state  $x$ :

$$\frac{\partial P(x, t)}{\partial t} = \sum_{j=1}^R [\alpha_j(x - v_j)P(x - v_j, t) - \alpha_j(x)P(x, t)] \quad (\text{III.5})$$

Most of the time, the CME contains too many equations to be solved analytically and can be computationally expensive when increasing the number of molecules or when there are highly non-linear molecular pathways. In order to solve the CME, kinetic Monte Carlo algorithms have been developed [348, 349]. In those algorithms, random numbers are generated with pseudo-random number generators such as the Mersenne Twister algorithm [350]. Because of the stochasticity of the system, each simulation, corresponding to an individual realization of the possible evolution of states of the system, is different. Thus, probability distributions of variables must be calculated by running simulations with different seeds. For more details, see Wilkinson et al [351].

**Table III.1: Propensity functions for different reaction types.**  $x_i(t)$  corresponds to the number of molecules of species  $S_i$  at time  $t$ .  $c_j dt$  is the probability that a particular reactant combination of  $R_j$  will actually react during the time step  $dt$ . The constant  $c_j$  thus depends on the molecular interaction of interest and can be determined experimentally. It is actually proportional to the value of reaction rate from mass action laws (presented in section III.1). This table was reproduced from Singh et al [352].

reaction $R_j$	Propensity
$\emptyset \rightarrow$ reaction products	$c_j dt$
$S_i \rightarrow$ reaction products	$c_j x_i dt$
$S_i + S_k (i \neq k) \rightarrow$ reaction products	$c_j x_i x_k dt$

Below are presented the most common stochastic well-mixed approaches, divided into continuous and discrete approaches.

### III.2.1.1 Continuous stochastic approaches

Continuous stochastic approaches correspond to differential equations which contain an extra term that accounts for (Gaussian) noise [353, 354], referred to as stochastic ordinary differential equations (SDEs).

### III.2.1.2 Discrete stochastic approaches

Discrete stochastic approaches consider the number of reactants as opposed to the use of their concentrations in continuous methods. Several discrete algorithms have been developed to solve the CME:

- **Gillespie's SSA algorithm and variants**

One of the most commonly used stochastic well-mixed approaches is Gillespie's exact Stochastic Simulation Algorithm (SSA) [346, 355], in which time of next reaction as well as the nature of the reaction are chosen randomly, based on the probabilities of the reactions (propensity functions). This method is statistically exact, i.e it samples the exact trajectories from the distribution predicted by the CME. The probability density that next reaction is  $R_j$  and occurs between  $(t+\tau, t+\tau+dt)$  can be expressed as:

$$P(\tau, j) = \alpha_j e^{(-\alpha_0 \tau)} \quad (\text{III.6})$$

where  $\alpha_0 = \sum_{j=1}^R \alpha_j$  and  $\alpha_j$  is the propensity of reaction  $R_j$  ( $j \in [1, R]$ ). For more details on its implementation, see section IV.1 and e.g [355, 356, 351, 346, 357]. Several variants of the SSA algorithm have been developed [358, 359, 360, 361].

- **The  $\tau$ -leap method**

The  $\tau$ -leap method is an approximation of the SSA method that uses larger time steps  $\tau$  whereas the SSA method proceeds one reaction at a time. The  $\tau$ -leap method calculates the total number and nature of reactions that happen during a time step  $\tau$ , deduced from either Poisson [362] or binomial [363] distributions. This method is thus both faster and less accurate than the SSA. In particular, it can lead to negative numbers of reactants. If for example a Poisson distribution defines the number of realizations of reaction  $R_i$  during  $\tau$ , it can be expressed as follows:

$$x_i(t + \tau) = x_i(t) + \sum_{j=1}^R v_{ij} \xi_j(\alpha_j) \quad (\text{III.7})$$

where  $\xi_j$  is the expected number of times for the  $j^{\text{th}}$  reaction to occur during  $[t, t + \tau]$  and corresponds to a Poissonian random variable of parameter  $\alpha_j$ ,  $v_{ij}$  describes the change in the copy number of species  $S_i$  caused by reaction  $R_j$  ( $j \in [1, R]$ ),  $\alpha_j$  is the propensity of reaction  $R_j$ ,  $x_i(t)$  corresponds to the copy number of species  $S_i$  at time  $t$ .

For more details on stochastic modeling and methods, see [351, 292, 355, 364, 356, 365].

### III.2.2 Stochastic well-mixed models of $\text{Ca}^{2+}$ signals

Recent studies have suggested that  $\text{Ca}^{2+}$  signals exhibit stochastic behavior, independent from the level of  $\text{IP}_3$  stimulation and that it does not obey deterministic dynamics [366, 367, 368, 369, 370, 371]. The observable global  $\text{Ca}^{2+}$  signals such as microdomain signals, puffs, oscillations or waves are believed to be emergent properties of this stochastic process. Several models have investigated those dynamics and their modulation by stochastic channel activity. Next paragraphs will present the main representative stochastic well-mixed models of  $\text{Ca}^{2+}$  dynamics. For a review on possible physiological mechanisms responsible for the stochasticity of  $\text{Ca}^{2+}$  signals, see Dupont et al [372].

The first type of stochastic  $\text{IP}_3\text{R}$  models that have been developed corresponded to Markov processes or Langevin approaches based on the historical  $\text{IP}_3\text{R}$  models presented in section III.1 [373, 374, 375, 376]. The use of new techniques has enabled the recording of single  $\text{IP}_3\text{R}$  channels. Whereas the first models of  $\text{IP}_3\text{R}$  channels were based on mean behavior over time and over populations of  $\text{IP}_3\text{R}$  channels, models based on those single channels recordings are based on the evolution of the conductance of single channels over time. Those models take into account the conformational changes that  $\text{IP}_3\text{Rs}$  encounter after being bound to activating  $\text{Ca}^{2+}$  and  $\text{IP}_3$  and before being actually open [377, 378, 313, 316].

The development of techniques for measuring time-dependent single channel currents [276, 379] has allowed for the development of a new class of stochastic  $\text{IP}_3\text{R}$  models: modal models [380, 381, 382]. Experimental data have indeed revealed the existence of different modes of activity of  $\text{IP}_3\text{R}$  channels, each characterized by a specific open probability, and mode switching phenomena. The number of modes depends on the study, mostly 2 [380, 381] or 3 [382]. Those models are implemented as hierarchical Markov models, in which each mode corresponds to a Markov model of specific topology. Gating models still take into account state transitions within  $\text{IP}_3\text{R}$  channels but also consider that they can be in different conformations, that correspond to different open probabilities called modes. The two main modal gating models of  $\text{IP}_3\text{R}$  from Ullah et al [382] and Siekmann et al [381] are presented in Fig III.4. Because few mode switches occur in data collected, the first modal models of  $\text{IP}_3\text{R}$  modeled separately the different modes [380], which described accurately the dynamics in each mode but failed to represent the dynamics of mode switching. To go further, Siekmann et al [381] have developed a continuous-time Markov chain model that included different modes of  $\text{IP}_3\text{R}$  activity and switching between those modes. This study has resulted in 2 different modal models for type I and type II  $\text{IP}_3\text{R}$  channels and their regulation by  $\text{IP}_3$ , ATP and  $\text{Ca}^{2+}$ . They have isolated 2 different modes referred to as 2-states park (inactive) and 4-states drive

(highly active) modes. State transitions within each mode are ligand-independent while mode switching rates are complex non-linear functions of  $[Ca^{2+}]$  and  $[IP_3]$ , reflecting transitions that cannot be modeled by simple binding of  $IP_3$  or  $Ca^{2+}$  and might involve multiple additional states, that are not formulated in those models. Time spent in park mode  $t_{\text{park}}$  and in drive mode  $t_{\text{drive}}$  can be expressed as follows:

$$\begin{aligned} t_{\text{park}} &= \left(1 + \frac{q_{45}}{q_{54}}\right) \frac{1}{q_{42}} \\ t_{\text{drive}} &= \left(1 + \frac{q_{21}}{q_{12}} + \frac{q_{23}}{q_{32}} + \frac{q_{26}}{q_{62}}\right) \frac{1}{q_{24}} \end{aligned} \quad (\text{III.8})$$

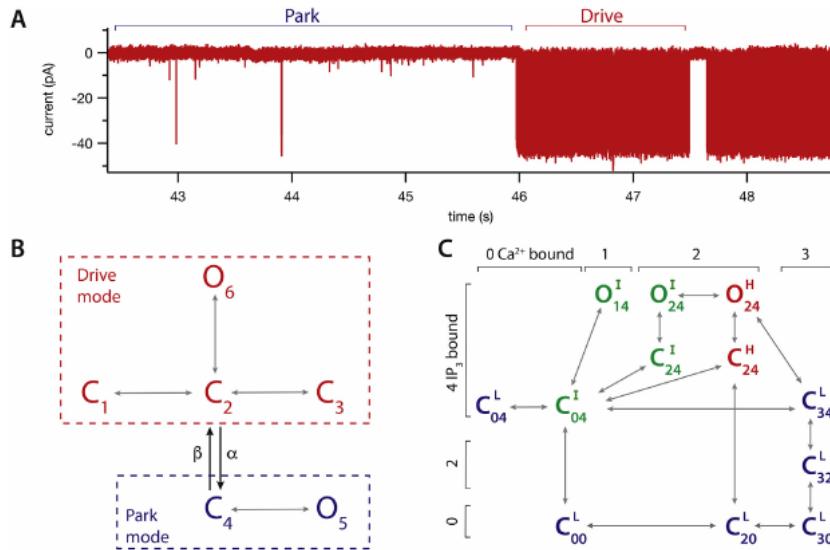
so that  $t_{\text{park}}$  can be approximated by  $\frac{1}{q_{42}}$  since the ratio  $\frac{q_{45}}{q_{54}}$  is low for  $IP_3R1$  and 2 and where  $q_{ij}$  represents the transition rate from state i to state j.

Modal models of  $IP_3R$  are still limited by current knowledge on the structure of  $IP_3R$ , as, for example, the precise  $Ca^{2+}$  binding sites and their physiological roles are still poorly understood [274]. A challenge in the field is to be able to build a model of  $IP_3R$  dynamics that combines our knowledge of macroscopic  $Ca^{2+}$  dynamics and the microscopic behavior of single  $IP_3Rs$  that is now available.

Scaling up to models of global  $Ca^{2+}$  events, stochastic models have revealed that puffs are strongly stochastic, noise-induced events [383, 384, 385] (see review [386]). Gillespie's SSA triggered  $Ca^{2+}$  oscillations associated to stochasticity while the deterministic implementation of the same model yield to steady state [387, 388, 366, 389, 390, 391]. Those observations highlight the different behaviors of deterministic versus stochastic simulators and that stochastic modeling might reveal subtle emergent behaviors of  $Ca^{2+}$  dynamics at subcellular locations. For a recent review on stochastic  $Ca^{2+}$  signaling models, see [392].

### III.2.3 Stochastic well-mixed models of astrocytic $Ca^{2+}$ signals

Recently, some astrocyte models have started to incorporate noise, especially for modelling intracellular  $Ca^{2+}$  dynamics. Riera et al [332] have developed a stochastic model of  $Ca^{2+}$  dynamics with stochastic differential equations. By changing the amount of  $Ca^{2+}$  influx through passive leakage, they have demonstrated potential mechanisms for the elevated resting  $[Ca^{2+}]$  of astrocyte networks in Alzheimer's disease (AD) mouse models reported by Kuchibotla et al [230]. A recent stochastic model has also been developed to study astrocyte-neuron communication [393], by adding an activity-dependent noise term accounting for stochastic neurotransmitter



**Figure III.4: Example of a modal gating model of IP<sub>3</sub>R: the Park & Drive model.** (A) Measurements of single IP<sub>3</sub>R2 channel currents from Siekmann et al [381], with [Ca<sup>2+</sup>]=50nM, [IP<sub>3</sub>]=10 μM and [ATP]=50nM. The recorded IP<sub>3</sub>R presented here displays for few seconds an activity characterized by a low open probability  $P_O$ , referred to as 'Park' mode, before switching to a mode characterized by a higher  $P_O$ , the 'Drive' mode. Panel B presents the modal model developed by Siekmann et al [381] based on the experimental measurements from panel A. Each mode is described by a Markov model, which different states do not correspond to any physiological observation. Only the inter-mode rates ( $\alpha$  &  $\beta$ ) depend on [IP<sub>3</sub>] and [Ca<sup>2+</sup>]. Subscripts indicate different states. Panel C presents the modal model of Ullah et al [382], that accounts for 3 different modes of IP<sub>3</sub>R activity: low (L), intermediate (I) and high (H)  $P_O$ , represented in blue, green and red, respectively. The numbers in the subscripts ij correspond to the number i of Ca<sup>2+</sup> and j of IP<sub>3</sub> bound to the IP<sub>3</sub>R in the state  $C_{ij}$  (or  $O_{ij}$  if the receptor is open). Superscripts L, I and H correspond to low, intermediate and high open probabilities respectively. For both models, C refers to close and O to open state. Taken from Dupont & Sneyd [340].

release, stochastic channel opening and diffusive noise.

The models presented in this section have shed light to the stochastic behavior of IP<sub>3</sub>R channels. As state-based (Markovian) kinetic models are often hard to scale and computationally demanding, those IP<sub>3</sub>R models are often combined with deterministic descriptions of other cellular processes in so-called multiscale/hybrid models (see section III.5).

As astrocytic Ca<sup>2+</sup> signals display a striking spatial diversity (see Fig II.7), implementing cellular geometry and Ca<sup>2+</sup> diffusion in models appears crucial for reproducing and better understanding experimental observations.

### III.3 Deterministic spatial models

Robert Brown reported in 1828 that pollen grains displayed constant random movements when suspended in water, which is now referred to as Brownian motion. At the beginning of the XX<sup>th</sup> century, Einstein and then Jean Perrin have contributed to a better understanding of those random movements. In parallel to this work, Adolf Fick in 1855 formulated the macroscopic laws of diffusion. Turing has demonstrated that diffusion can spontaneously drive a system to instability, leading to spatial patterns, even if the initial state of the system was uniform [394]. The geometry of the cell, as e.g a large tortuosity (i.e lots of obstacles that impose collisions of molecules onto membranes), can form structures from which the probability of molecules to diffuse outside is low, referred to as molecular traps.

Molecular interactions rely on (1) the probability of encounter of molecules and (2) on the reaction rate of the two molecules once they are close enough to interact. If (2) is great compared to (1), then reactions are diffusion-limited and the system's dynamics cannot be fully grasped by well-mixed models as described in sections III.1 and III.2. In case of diffusion-limited reactions, the spatial character of cellular processes and molecular transport must be taken into account in the model. If reactions are fast enough and if the number of molecular species is high, deterministic spatial approaches can be used to study the system. Those approaches are the least computationally expensive to simulate spatial effects. For heterogeneous space-dependent reaction rates or low-copy numbers of molecules, stochastic spatial approaches should be favored, which are described in section III.4. For more details on the theory of diffusion, see Nicholson et al [395].

### III.3.1 Methods and computational approaches

Simulating space with a deterministic model relies on the use of compartmental models. The geometry can be either derived from experiments or analytically constructed in 1D, 2D or 3D. The geometry is then divided into sub-compartments. Each sub-compartment is considered well-mixed and can be described with the tools presented in section III.1.

Incorporating Fick's law of diffusion to the Reaction Rate Equation (RRE) (section III.1) leads to a PDE system, which describes the changes in concentration of a set of species over time and space [396]. Mathematically, a PDE reaction-diffusion system, also referred to as Reaction-diffusion equation (RDE), describes variations of concentrations over time and space and is formulated as follows:

$$\frac{\partial u}{\partial t} = D \nabla^2 u + R(u) \quad (\text{III.9})$$

Or equivalently if the model is in 3 spatial dimensions (x, y & z):

$$\frac{\partial u}{\partial t} = D \left( \frac{\partial^2 u}{\partial x^2} + \frac{\partial^2 u}{\partial y^2} + \frac{\partial^2 u}{\partial z^2} \right) + R(u) \quad (\text{III.10})$$

where  $u$  is the concentration of the molecular species  $U$  of interest  $\in \mathbb{R}^\delta$  at time  $t \in [0, T]$ ,  $\delta$  is the dimension of the space being modeled,  $D$  is the diffusion coefficient of  $U$  and  $R$  is a function representing the reactions involving  $U$ , which are described by a set of differential equations. For example, if we come back to the bimolecular reaction presented in section III.1  $S_1 + S_2 \xrightleftharpoons[k_b]{k_f} S_3 + S_4$ , including diffusion in the model modifies Eq III.1 as follows:

$$\frac{\partial [S_3]}{\partial t} = k_f [S_1][S_2] - k_b [S_3][S_4] + D_{S_3} \nabla^2 [S_3] \quad (\text{III.11})$$

where  $D_{S_3}$  is the coefficient of diffusion of species  $S_3$ .

Theoretical diffusion coefficients of molecular species of interest can be calculated from molecular weights or, more precisely, can be measured by fluorescence recovery experiments in the system of interest. Increasing the number of species and/or of reactions increases computational cost. For techniques to solve numerically PDEs, see e.g Smith et al [397].

Several software tools are available for simulating spatial deterministic molecular interactions:

- Virtual Cell (VCell) [398].
- ReDi-Cell [399], which is a GPGPU solver, so that spatial decomposition of the system occurs over all available GPUs.
- GENESIS [400].
- Chemesis [401].
- MOOSE [402].
- NEURON [403, 404] and the recently derived ASTRO [405]. NEURON enables simulations on 1D branching geometries either described by the modeler or imported from tracing programs. It is one of the most used modeling software in neuroscience, accounting for more than 1900 publications and more than 600 models available on ModelDB, a platform for storing and retrieving neuroscience models [406].

For more details on tools and simulators available for spatially-extended deterministic models, see the review by Slepchenko et al [407].

### III.3.2 Deterministic spatial models of $\text{Ca}^{2+}$ signals

As presented in sections III.1 & III.2, the majority of  $\text{Ca}^{2+}$  models have been developed using rate equations or Markov processes which lack spatiality. When the biological question at stake necessitates to account for  $\text{Ca}^{2+}$  diffusion and the spatial distributions of  $\text{Ca}^{2+}$  signals, spatially-explicit models have been used instead. Deterministic spatial models of non-neuronal cell types, such as myocytes [408, 409, 410], have suggested that the positioning of intracellular  $\text{Ca}^{2+}$  channels affects the characteristics of  $\text{Ca}^{2+}$  waves. Spatial deterministic models have also provided the first mechanistic insights on  $\text{Ca}^{2+}$  microdomains [411].

Because of their spatial spread,  $\text{Ca}^{2+}$  waves are the first type of  $\text{Ca}^{2+}$  signals that has been investigated with spatially-extended models.  $\text{Ca}^{2+}$  waves correspond to increases of  $[\text{Ca}^{2+}]$  that start at a specific location in the cell and then propagate, potentially to the whole-cell. The wave is terminated by re-uptake/buffering mechanisms such as SERCA pump activity and  $\text{IP}_3$  degradation (exponential decay). 2 main mechanisms have been proposed to explain wave propagation:

- Passive wave propagation, which relies on  $\text{Ca}^{2+}$  diffusion within the cytosol.

- Active wave propagation, which relies on an excitable system. An increase of  $[\text{Ca}^{2+}]$  above a certain threshold triggers additional  $\text{Ca}^{2+}$  release.  $\text{Ca}^{2+}$  then diffuses across  $\text{IP}_3\text{R}$ -enriched regions, in which the  $\text{Ca}^{2+}$  wave propagates via  $\text{Ca}^{2+}$ -induced  $\text{Ca}^{2+}$  release (CICR) (see Fig II.9) [412, 413].

One of the most used deterministic model of  $\text{Ca}^{2+}$  waves is the Fire-diffuse-fire (FDF) model [414, 415], which is a threshold model. This model assumes that the wave is driven by passive diffusion of  $\text{Ca}^{2+}$  between distinct active release sites ( $\text{IP}_3\text{R}$  clusters in the case of  $\text{IP}_3\text{R}$ -dependent  $\text{Ca}^{2+}$  signals).  $\text{Ca}^{2+}$  is released ('fire') at release sites when  $[\text{Ca}^{2+}]$  is above a given threshold. Those models have been extensively studied mathematically and are computationally inexpensive. FDF models have enabled to study the impact of SERCA pumps on wave propagation [416] and the interactions between the ER and the cytosol [319, 417, 418].

Deterministic spatial models have demonstrated that waves in inhomogeneous medium can display unexpected behaviors [419] and that waves can arise from the interplay between ER distribution within the soma and  $\text{IP}_3$  generation in the neurite [420].

Only few representative spatially-extended models of  $\text{Ca}^{2+}$  waves have been presented in this section. For more details on deterministic spatial models of  $\text{Ca}^{2+}$  waves, see ref [321, 298, 304].

Samanta et al [421] and McIvor et al [422] have developed deterministic spatial models to investigate  $\text{Ca}^{2+}$  dynamics within  $\text{Ca}^{2+}$  microdomains and observed that  $\text{IP}_3\text{R}$  clustering as well as the spatial distribution of Orai channels influence the amplitude of  $\text{Ca}^{2+}$  peaks. More spatially-extended models are necessary to investigate microdomains dynamics although stochastic approaches (section III.4) should be preferred because of the small volumes and low copy numbers involved in microdomains.

### III.3.3 Deterministic spatial models of astrocytic $\text{Ca}^{2+}$ signals

As intra- and inter-cellular  $\text{Ca}^{2+}$  signals in astrocytes are highly spatialized, from recurrent sites of focal activity (microdomains) to propagating waves (see Fig II.7), spatially-extended models of intracellular  $\text{Ca}^{2+}$  signals in astrocytes have emerged recently.

A key point for modeling astrocytes with spatially-extended models is to choose the level of details to describe the extremely complex and ramified geometry of astrocytes. Fig III.5 presents the different types of geometries that can be used in spatially-explicit models of astrocytes. Note that apart from 1D that is specific to

deterministic approaches, those geometries can be used in both deterministic and stochastic spatial models. The geometry can either be built from scratch by the modeler, mimicking key geometrical aspects of the system of interest (Fig III.5A2, B2, C2) or directly imported from experiments (Fig III.5A1, B1, C1). If a 3D geometry (Fig III.5A) is not required for investigating the question of interest, the geometry can be projected in 2D ((Fig III.5B) or 1D (Fig III.5C). Discretization should lead to small enough compartments to represent the spatial entities of interest but large enough so that deterministic approximation of the system can hold (i.e high enough copy number of particles in the compartments of interest).

### III.3.3.1 Astrocyte models of intercellular $\text{Ca}^{2+}$ signals

The first spatial models of astrocytes have mainly focused on intercellular  $\text{Ca}^{2+}$  waves propagation, in 2D [423, 328] or in 3D [337, 424]. Höfer et al [337] have modified the Li-Rinzel model (Eq III.2 & III.3) to add IP<sub>3</sub> dynamics to the model and diffusion of  $\text{Ca}^{2+}$  and IP<sub>3</sub> in 2 spatial dimensions. The model consists in 4 ODEs for cytosolic  $[\text{Ca}^{2+}] = C$ ,  $[\text{Ca}^{2+}]$  in the ER=S,  $[\text{IP}_3] = I$  and the active fraction of IP<sub>3</sub>R=R:

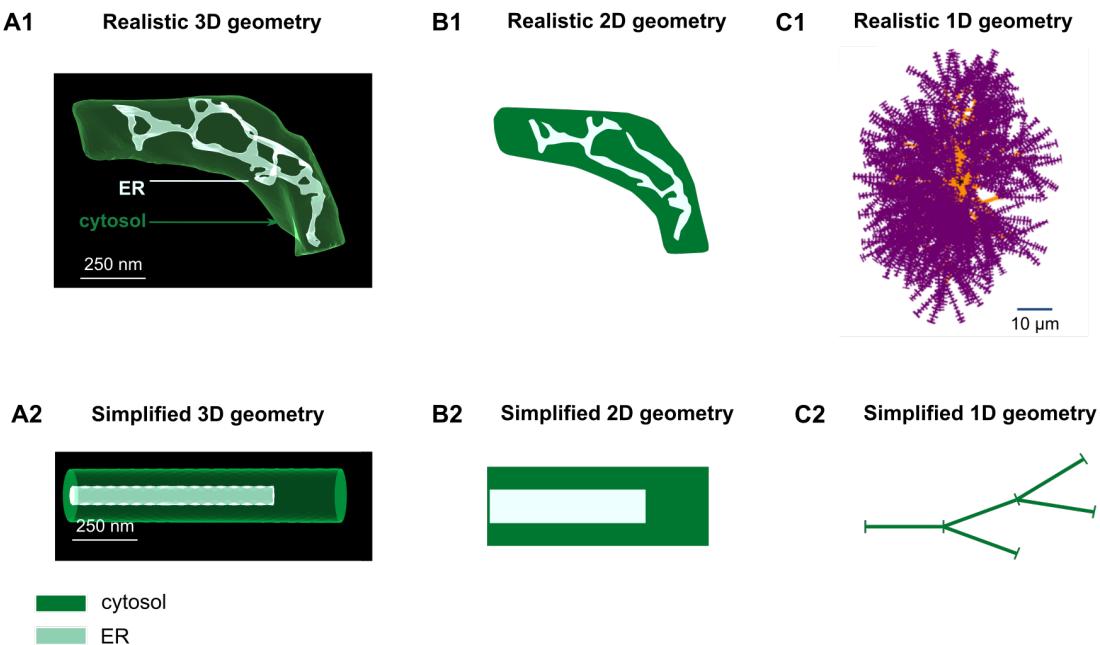
$$\begin{aligned}\frac{dC}{dt} &= v_{\text{rel}} - v_{\text{SERCA}} + v_{\text{in}} - v_{\text{out}} + D_{\text{Ca}} \left( \frac{\partial^2 C}{\partial x^2} + \frac{\partial^2 C}{\partial y^2} \right) \\ \frac{dS}{dt} &= \beta(v_{\text{SERCA}} - v_{\text{rel}}) \\ \frac{dI}{dt} &= v_{\text{PLC}\beta} + v_{\text{PLC}\delta} - v_{\text{deg}} + D_{\text{IP}_3} \left( \frac{\partial^2 I}{\partial x^2} + \frac{\partial^2 I}{\partial y^2} \right) \\ \frac{dR}{dt} &= v_{\text{rec}} - v_{\text{inact}}\end{aligned}\tag{III.12}$$

where  $v_{\text{PLC}\beta}$ ,  $v_{\text{PLC}\delta}$ ,  $v_{\text{rel}}$ ,  $v_{\text{SERCA}}$ ,  $v_{\text{deg}}$ ,  $v_{\text{in}}$  and  $v_{\text{out}}$  correspond to the rates of respectively PLC $\beta$ , PLC $\delta$ ,  $\text{Ca}^{2+}$  release from the ER,  $\text{Ca}^{2+}$  pumping into the ER by SERCA pumps, IP<sub>3</sub> degradation,  $\text{Ca}^{2+}$  influx to and outflux from the cytosol.  $v_{\text{inact}}$  and  $v_{\text{rec}}$  correspond to the rates of IP<sub>3</sub>R inactivation and recovery, respectively. Note that equations for I and C include the variations of concentration due to IP<sub>3</sub> and  $\text{Ca}^{2+}$  diffusion, with diffusion coefficients  $D_{\text{IP}_3}$  and  $D_{\text{Ca}}$ , respectively.

IP<sub>3</sub> transport from cell to cell via gap junctions, was derived from Fick's 1<sup>st</sup> Law of diffusion:

$$J_{\text{net}}(i \rightarrow j) = F(IP_3^i - IP_3^j)\tag{III.13}$$

where i designates a given compartment (=astrocyte), j a neighboring one,  $J_{\text{net}}$  the net flux of IP<sub>3</sub> from compartment i to j and  $IP_3^k$  the concentration of IP<sub>3</sub> in compartment k. F represents the coupling strength of the network and depends on the



**Figure III.5: Examples of geometries for modeling intracellular  $\text{Ca}^{2+}$  signals in astrocytes.** Depending on the biological question, the astrocytic geometry chosen for simulations can be in 3D (A), either realistic, extracted from 3d reconstructions of experimental data (A1), or simplified (A2). The geometry in panel A1 corresponds to a hippocampal astrocytic process  $\approx 1 \mu\text{m}$  long and with a diameter of  $\approx 200 \text{ nm}$ , extracted and reconstructed from electron microscopy, provided by C. Cali, KAUST University, Saudi Arabia [32]. Cytosolic volume is represented in dark and ER volume in light green. 2D projections of 3D reconstructions (B1) or of simplified geometries (B2) can also be performed for computational efficiency, although it decreases the accuracy of the model. (C) Spatial compartments can even be simplified as 1D segments. They can be derived from experimental data and thus represent a 3D tree composed of 1D segments (C1) or simplified networks of 1D segments representing average network properties (C2). Those 1D networks have been used both for modeling inter-cellular (network of astrocytes) and intra-cellular (network of processes) astrocytic networks. Panel C1 was taken from Savtchenko et al [405]. It presents a complete astrocyte model (z-projection) generated by NEURON software. Main branches are depicted in orange and thin processes in purple.

number of gap junctions in the system and on their permeability.

This model has been used by Goldberg et al [425] in a 1D chain of astrocytes. They have demonstrated that the coupling function of gap junctions must be non-linear and include a threshold of  $[IP_3]$  for long-distance wave propagation and that this type of propagation is facilitated if internal  $Ca^{2+}$  oscillations are in a frequency-modulation mode.

Kang & Othmer [424] have included extracellular ATP signaling in their model of  $IP_3R$ -mediated inter-cellular  $Ca^{2+}$  waves. Geometry of the network was based on *in vitro* and *in vivo* confocal immunofluorescence images. They found that  $Ca^{2+}$  waves in astrocytes are probably mediated by a combination of  $IP_3$  transport via gap junctions and by ATP signaling via the extracellular space.

Lallouette et al [426] have also used the model from Höfer et al [337] to investigate the impact of astrocytic network topology (i.e gap junction coupling) in 3D on inter-cellular  $Ca^{2+}$  waves propagation. Simulations of the model have demonstrated that modifying the topology of the network is sufficient to reproduce diverse forms of waves reported experimentally. Sparse connectivity favored wave propagation while long-distance or dense connectivity impaired it.

Finally, Postnov et al [423] have implemented a model of neuron–astrocytes network and have reproduced typical global and local  $Ca^{2+}$  patterns observed in experiments.

### III.3.3.2 Models of intracellular $Ca^{2+}$ dynamics in astrocytes

The spatiotemporal complexity of intracellular  $Ca^{2+}$  signals in astrocytes presented in section II.2 (Fig II.6B) has given rise to a novel type of models of  $Ca^{2+}$  signals in astrocytes, focusing on intracellular  $Ca^{2+}$  dynamics rather than intercellular waves propagation. Below are presented the most representative spatially-extended deterministic models that have been developed to study intracellular  $Ca^{2+}$  dynamics in astrocytes.

In order to investigate distal  $Ca^{2+}$  signals in astrocytes, Brazhe et al [427] (Fig III.6) have developed a model that spatially segregates distal regions from deeper regions of astrocytes. In this model, perimembrane regions (type I) are characterized by metabotropic glutamate receptors (mGluRs)-mediated signals and by the absence of ER. Deeper regions (type II) such as the soma are characterized by  $IP_3R$ -dependent  $Ca^{2+}$  signaling and waves propagation via CICR. The coupling

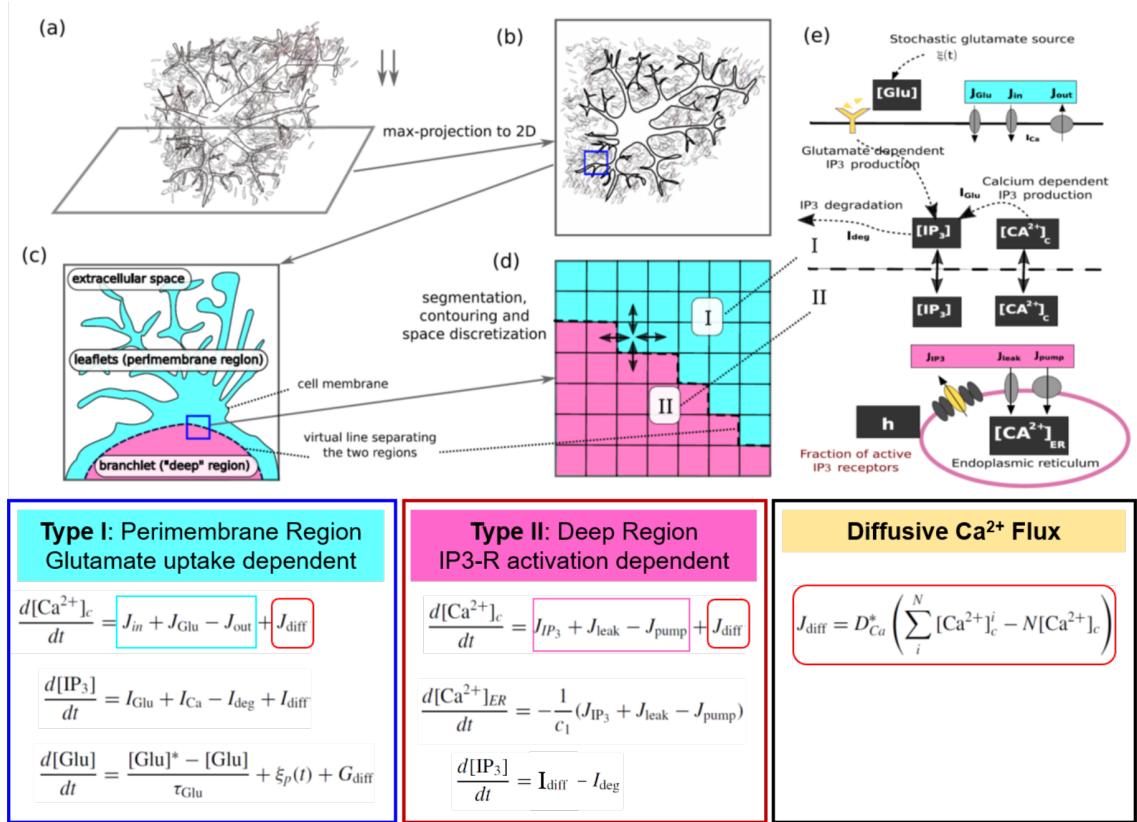
between those spatially-segregated regions is mediated by the diffusion of  $\text{Ca}^{2+}$  in the model,  $J_{\text{diff}}$ . The geometry of the model was extracted from confocal imaging of a rodent hippocampal astrocyte and then mapped in 2D, labeled and discretized (Fig III.6a-d), with a resolution of  $0.59 \mu\text{m}/\text{pixel}$ . The ODEs that describe the 2 distinct  $\text{Ca}^{2+}$  oscillators and  $\text{Ca}^{2+}$  diffusion are presented in the bottom panel of Fig III.6. This model offers insights into the interactions between neuronal activity-driven  $\text{Ca}^{2+}$  signals and somatic global events.

Gordleeva et al [428] have investigated the correlations between local and global astrocytic  $\text{Ca}^{2+}$  signals. Similarly to Brazhe et al. 2018 [427], they have used a compartmental approach, in which astrocytic geometry was reconstructed from a cultured astrocyte and was then divided into well-mixed cylinders, coupled with  $\text{Ca}^{2+}$  and  $\text{IP}_3$  diffusion. Their results suggest that  $\text{Ca}^{2+}$  signals in astrocytes can be triggered by synchronized neuronal signals, suggesting that astrocytes could act as detectors of spatial synchronization of neuronal activity.

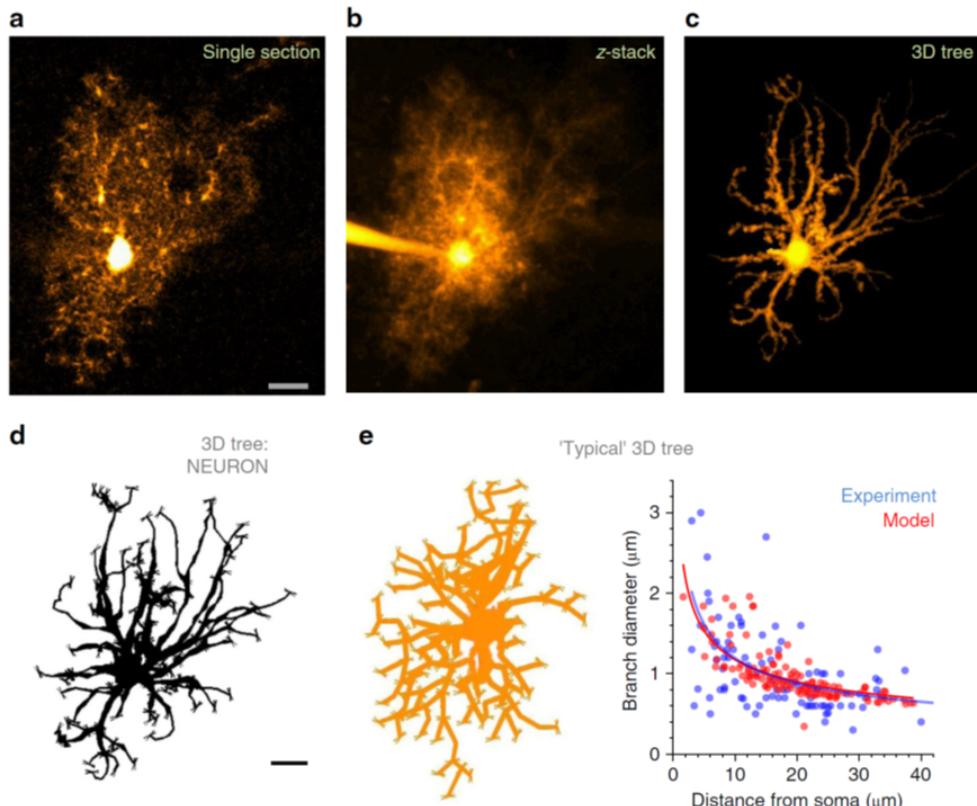
A recently published paper by Savtchenko et al [405] has developed a model of  $\text{Ca}^{2+}$  signaling based on a realistic astrocyte morphology. This study is based on the NEURON software, which enables deterministic simulations within segmented 1D geometries (Fig III.5C1). They modeled  $\text{Ca}^{2+}$  dynamics based on Fluo4 measurements and investigated the effect of  $\text{Ca}^{2+}$  buffers and of  $\text{IP}_3\text{R}$  clustering on the propagation of  $\text{Ca}^{2+}$  signals within the astrocytic intracellular network of processes. Added to those important results, this study has developed a tool derived from NEURON software, called ASTRO, that enables the simulation of macroscopic  $\text{Ca}^{2+}$  dynamics and of oscillatory  $\text{Ca}^{2+}$  dynamics at the microscopic scale in small compartments representing PAPs.

As fine processes are the site of neuron-astrocyte communication, modeling studies that investigate  $\text{Ca}^{2+}$  dynamics in peripheral astrocyte processes (PAPs) have emerged. Some have been developed to study  $\text{Na}^+$  [429] or  $\text{Ca}^{2+}$  [430] dynamics in PAPs. Montes de Oca Balderas et al have investigated  $\text{Ca}^{2+}$  signaling in PAPs in a spatially-extended model [430]. This study has investigated the impact of the distance of the astrocytic ER from the tip of the astrocytic process that contacts a synapse. They modeled PAPs as  $1 \mu\text{m}$ -long and  $100 \text{ nm}$  in diameter cylinders and neglected radial diffusion so that the model was in 1 spatial dimension. Their results suggest that  $\text{IP}_3$  diffusion on its own is sufficient to trigger  $\text{Ca}^{2+}$  signals in response to neuronal communication, even if the ER is  $1 \mu\text{m}$  away from the PSD. Note however that no  $\text{IP}_3$  degradation term was included in the model so that correct interpretation of simulation results might be tricky.

As PAPs contain low numbers of molecules, deterministic approaches might not



**Figure III.6: Example of a spatial oscillator model of intracellular astrocytic  $Ca^{2+}$  signals.** The top panel of this figure represents the model formulation of Brazhe et al [427]. 3D confocal fluorescent reconstruction (a) was projected in 2D (b). The cellular compartments were then labeled in this 2D geometry as perimembrane and deeper regions (c) before discretizing space in each compartment (d). The kinetic scheme modeled in each compartment is represented in panel (e):  $IP_3$ R-independent in leaflets (=perimembrane region, blue) and  $IP_3$ R-dependent in deeper regions (pink). Dotted curves indicate a functional dependency between molecular interactions. The  $J$ s represent  $Ca^{2+}$  fluxes through membranes and the 4 variables are represented in black boxed. The ODEs corresponding to the dynamics in each compartment and their coupling through  $Ca^{2+}$  diffusion are presented in the bottom panel of this figure (blue for perimembrane regions and pink for deep regions, yellow for diffusive  $Ca^{2+}$  flux). This figure was adapted from Brazhe et al [427].



**Figure III.7: Towards simulating signal propagation within intracellular astrocytic networks with ASTRO.** Single section (a) and full z-stack (b) of a dye-filled astrocyte of the hippocampal CA1 region and its 3D tree reconstruction using NeuroTrace (2D view of the 3D image) (c). Scale bar: 10  $\mu\text{m}$  (d) 2D visualization of the astrocyte stem tree from panel c in NEURON format. Thin 'buds' represent initial regions of nanoscopic protrusions. Scale bar: 10  $\mu\text{m}$ . (e-f) Typical astrocyte stem tree (e), obtained by fitting branch diameters according to available experimental data (f). Data from experiments and the model are presented respectively in blue and red. The solid lines correspond to power law fit of data scatters.

be well-suited to study this system and further investigation using stochastic approaches should be undertaken.

Deterministic spatially-extended models have led to significant advances in our understanding of  $\text{Ca}^{2+}$  signaling in astrocytes, especially at the whole-cell level. Deterministic spatial methods display however some limitations. For example, the spatial extent of functional compartments is not always well defined and can change over time, which is hard to estimate as some of those functional compartments are smaller than the resolution of conventional light microscopy. Another limitation of this approach is that it ignores low copy number of molecules that are often the hallmark of subcellular processes. Thus, compartmentalized models are well-suited for exploring cellular heterogeneity and the influence of geometry on molecular interactions at the cellular level but stochastic approaches should be favored for modeling local signals involving low copy number of molecules as encountered in PAPs.

## III.4 Stochastic spatial models

An increasing number of studies demonstrate important roles of spatial-stochastic effects on cellular processes, from highly heterogeneous intracellular geometry [431], to non-uniform spatial molecular patterns [432] or molecular crowding effects [433]. Spatial-stochastic approaches are essential for modeling systems that display low copy number of molecules as well as diffusive noise. Those models are computationally expensive so that whole-cell simulations have been described as a 'grand challenge of the 21<sup>st</sup> century' [434]. Those approaches have successfully reproduced dynamics that were not grasped by the corresponding mean-field or non-spatial models for systems such as oscillations of Min proteins in *E. Coli* cell division [435], signal transduction in *E. coli* chemotaxis [436], MAPK pathway [437], morphogen gradients [438] and interactions of DNA-transcription factors [439].

In this section, the main spatial stochastic modeling methods are introduced, before presenting the ones that have been specifically developed for studying  $\text{Ca}^{2+}$  dynamics. For a detailed review on spatial stochastic simulations of intracellular molecular interactions, see [440, 441, 442, 443, 399, 444].

### III.4.1 Methods and computational approaches

Similarly to deterministic spatial models, stochastic spatial approaches rely on geometries that can be either derived from experiments or constructed analytic geometries and can be implemented in 2D or 3D (Fig III.5). When space is discretized, the spatial compartments can be of different forms: from heterogeneous to homogeneous grids in 2D models to voxels of cuboid [445] or tetrahedra [446, 447] shape in 3D models. There are three main approaches for simulating reaction-diffusion systems that are presented in Fig III.8 and in next paragraphs: particle-based, voxel-based and hybrid spatially-extended stochastic approaches. Other spatial stochastic methods exist although out of the scope of this manuscript. Those methods include:

- Molecular dynamics [448], which attributes mass, momentum and energy to individual atoms with an extremely small time step ( $10^{-15}$  s) and often very large number of particles ( $\approx 10^{10}$ ).
- Spatial chemical Langevin equation (SCLE) [449], which is a spatialized adaptation of the chemical Langevin equation that incorporates diffusion and consists of a group of stochastic differential equations (SDEs) (see section III.2).

#### III.4.1.1 Microscopic spatial stochastic approaches

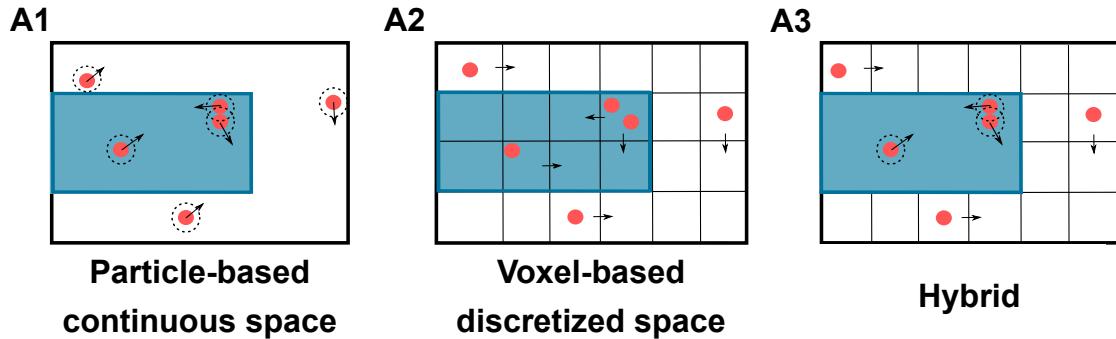
The most straightforward spatially-explicit stochastic approach consists of tracking the diffusive path of each individual molecule/ion (=particle) within the spatial domain. Particles are characterized by their individual spheres of interaction/interaction radii (Fig III.8A1). Second-order reactions occur depending on their rates when the reactants are within their interaction radii. Those approaches, referred to as particle-tracking, particle-based or microscopic approaches, can be implemented with various methods:

- **Brownian dynamics**

In Brownian dynamics, single molecules are tracked while following Brownian trajectories:

$$\mathbf{r}(t + \Delta t) = \mathbf{r}(t) + \sqrt{2D_i\Delta t}\xi \quad (\text{III.14})$$

where  $D_i$  is the diffusion coefficient of molecule  $i$  and  $\xi$  is a vector of i.i.d. Gaussian-distributed random numbers with zero mean and unit variance. Reactions occur when the molecules are within their interaction radii. The most common methods to implement Brownian dynamics include:



**Figure III.8: Spatially-explicit stochastic approaches.** This figure represents the main approaches for spatially-explicit stochastic simulations. In particle-based models (A1), particles (red circles) are characterized by their real-valued coordinates in space and by their sphere of interaction (dashed black circles). Second-order reactions occur according to their specific rate when reactants are within their interaction radii. Note that space can be continuous (A1) or discretized (lattice-based method, not represented). In stochastic compartment-based = voxel-based models (A2), space is discretized into voxels that are assumed to be well-mixed. Particles can react with reactants localized in the same voxel. The diffusion of particles is implemented as an additional reaction where single particles can hop from a voxel to a neighboring one. Voxels can be of various shapes, mainly cubes or tetrahedra, the latter leading to higher computational cost but finer description of the membranous contours of the various compartments. In hybrid models (A3), part of the system is implemented with a particle-based approach while the rest of the system is implemented with a voxel-based approach, providing a good compromise between accuracy and computational cost.

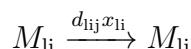
- Smoluchowski's model, in which a molecule is characterized by its radius of interaction and 2 reacting particles react immediately if they are within this radius.
- Doi/ $\lambda - \rho$  model [450], which is a generalization of Smoluchowski's model [451]. , where a molecule reacts with probability  $\lambda$  per time unit when the distance between its center and another reacting molecule is less than  $\rho$ .
- The microscopic lattice method, which discretizes space into subvolumes so that each subvolume can contain at most one molecule. In this method, molecules hop from subvolume to a neighboring one and can react with molecules that are in neighboring subvolumes. The size of the lattice strongly influences reaction rates [452] so that this method has not been used much, except for studies on molecular crowding or on oligomerization [453].

- **Green's function reaction dynamics methods**

Green's function reaction dynamics (GFRD) is an event-driven method in which reaction step is accurately calculated from propensity functions of individual reactions. Large jumps in time and space can be made if particles are far away from each other, so that this approach is ideal for systems with high time/distance between two reactions. This technique can be up to 5 orders of magnitude faster than Brownian dynamics if the number of molecules is low [454, 455].

### III.4.1.2 Mesoscopic spatial stochastic approaches

Mesoscopic approaches are the least computationally expensive stochastic spatially-explicit methods as they track populations of molecules within subvolumes rather than individual molecules. Space is subdivided into non-overlapping voxels in a mesh (Fig III.8A2). Diffusion is modeled as first-order events where a molecule  $M_l$  in a voxel  $v_i$  diffuses to a neighboring voxel  $v_j$  and is treated as a set of reactions in the CME, forming the reaction-diffusion master equation (RDME) [456, 457, 458].



where  $x_{li}$  is the number of  $M_l$  in  $v_i$  and  $d_{lij}$  is the diffusion rate constant of  $M_l$  from  $v_i$  to  $v_j$ , that depends on the coefficient of diffusion of  $M_l$ ,  $D_l$ , and on voxel sizes.

Molecules in each voxel are assumed to be well-mixed and can react with other reactants present in the same compartment or diffuse to a neighboring compartment. If space is divided into  $k$  voxels/compartments and there are  $N$  molecules, then the RDME is an equation for the probability density function (PDF) of  $kN$  dimensions and time. This high dimensionality makes RDME hard to be solved directly so that the model is analyzed statistically with Monte Carlo approaches. See Van Kampen's 'Stochastic Processes in Physics and Chemistry' [347] and Hellander et al [459] for more details on RDME.

Voxel size must be chosen carefully as it has to be smaller than the smallest geometrical feature of interest and also smaller than the diffusion length of all the reactants in the timescale chosen [460]. Accuracy and computational cost decrease with increasing sizes of compartments. The main limitations of this approach are the potential errors in capturing the effects at boundaries [450, 461] and decreased accuracy if voxel size is below the well-mixed subvolume condition [444]. Note that if mesh size is chosen carefully, compartment-based models have been shown to provide a very good approximation of molecular trajectories at a much lower computational cost than the corresponding molecular dynamics model [444, 462].

The most common mesoscopic spatial stochastic algorithms are:

- **The next subvolume method**

In the next subvolume method, the exact Gillespie's SSA (see section III.2) is computed in every voxel independently and diffusion is modeled between neighboring voxels.

- **Spatial  $\tau$ -leap**

The spatial  $\tau$ -leap algorithm is an approximation of the next subvolume method that uses larger time steps  $\tau$  [463]. It computes the  $\tau$ -leap method (see section III.2) independently in each voxel and diffusion is modeled between adjacent voxels.

#### III.4.1.3 Hybrid spatial stochastic methods

In order to optimize both accuracy and computational cost, hybrid (=multiscale) methods describe regions of particular interest such as the vicinity of  $\text{Ca}^{2+}$  channels with microscopic details while other regions are simulated with a compartment-based approach (Fig III.8A3). Those methods thus decrease the level of details for modeling regions in which accuracy is not necessary, thus optimizing computational cost. Flegg et al [464] have developed such a hybrid spatially-explicit approach, called the two-regime method (TRM).

#### III.4.1.4 Available stochastic spatial simulators

Currently available software packages for spatially-explicit stochastic models are presented in Table III.2. For more details on the features of the simulators presented here, see dedicated reviews [465, 445, 443, 455, 466, 467, 468, 469].

Note that software tools are also available for smaller spatial scales as e.g SpringSaLaD ([vcell.org/ssalad](http://vcell.org/ssalad)), in which individual molecules are described as collections of spheres that are bound together.

### III.4.2 Stochastic spatial models of $\text{Ca}^{2+}$ signals

High-resolution  $\text{Ca}^{2+}$  imaging studies have revealed that puffs occur at fixed cellular sites [480, 481, 265] and involve the simultaneous opening of several channels within a few hundred nm [482]. Those observations motivated the development of stochastic spatially-explicit models of  $\text{Ca}^{2+}$  signals.

**Table III.2: Spatially-extended stochastic simulators.** In Method column ('Meth'), 'Vox' refers to voxel-based approaches, 'Part' to particle-based approaches and 'Hyb' to hybrid approaches. 'Det' and 'WM' columns contain 'x' when it is also possible to perform deterministic or well-mixed implementations of the model with the simulator, respectively.

Simulator	Url	Meth	Det	WM	Ref
SmartCell	software.crg.es /smartcell	Vox	x		[470, 456]
MesoRD	mesord.sourceforge.net	Vox			[471]
Gridcell	iml.ece.mcgill.ca /GridCell	Vox			[445]
E-Cell	e-cell.org	Hyb			[472, 473]
VirtualCell (VCell)	vcell.org	Vox	x		[398]
URDME	urdme.org	Vox			[474]
STEPS	steps.sourceforge.net	Vox	x		[475]
Smoldyn	smoldyn.org	Part			[453]
ChemCell	chemcell.sandia.gov	Part			[476]
MCell	MCell.cnlsalk.edu	Part			[477]
eGFRD	gfrd.org	Part			[437]
Cell++	sourceforge.net/projects/ /cellpp	Hyb			[478]
Spatiocyte	spatiocyte.org	Hyb			[465]
ReADDy	readdy.github.io	Hyb			[479]

One of the first spatial stochastic model of  $\text{Ca}^{2+}$  dynamics was based on a 2D lattice and demonstrated the effect of various parameters such as  $\text{Ca}^{2+}$  diffusion,  $\text{Ca}^{2+}$  dynamics, the type and dose of agonist and morphological parameters such as size and geometry of the cell and its organelles on the temporal and spatial patterns of  $\text{Ca}^{2+}$  oscillations [483].

Because of their small volumes and low, highly variable numbers of  $\text{Ca}^{2+}$  ions (5-6 ions in a half- $\mu\text{m}$  diameter dendritic spine [460]), dendrites have been one of the first systems studied with spatial stochastic approaches. Dendritic morphology, especially dendritic diameter, has been demonstrated to influence the spatio-temporal characteristics of  $\text{Ca}^{2+}$  signals, molecular diffusion and compartmentalization [484, 485, 486, 487, 488]. Overall, spatial stochastic models have led to significant progress in understanding local  $\text{Ca}^{2+}$  dynamics in dendrites.

Spatial stochastic models have been used to model Ryanodine receptors (RyRs)-mediated  $\text{Ca}^{2+}$  signals in microdomains in myocytes [489] and revealed that the intrinsic noise of  $\text{Ca}^{2+}$  signals is associated with an increased probability of channel

opening. Spatially-explicit stochastic models have also been developed to investigate intracellular IP<sub>3</sub>R-mediated Ca<sup>2+</sup> dynamics. For example, Mazel et al [431] have revealed that IP<sub>3</sub>R channels that are located close to mitochondria have a slightly lower probability to open than average, resulting in a lower depletion of the ER in the vicinity of mitochondria. Wieder et al have demonstrated that local fluctuations of the number of Ca<sup>2+</sup> ions in microdomains due to diffusive noise increases the open probability of a single IP<sub>3</sub>R compared to the standard deterministic model [490]. In another model, diffusive noise mixed Ca<sup>2+</sup> within IP<sub>3</sub>R clusters and decreased self-inhibition of IP<sub>3</sub>R channels by Ca<sup>2+</sup>, thus increasing the open time of the channels, resulting in increased durations of Ca<sup>2+</sup> signals [491].

Beyond the scope of Ca<sup>2+</sup> signals, those models illustrate the roles of stochasticity, including diffusive noise, in intracellular signaling networks and suggest that they must be taken into account in order to accurately describe intracellular molecular interactions.

As explained in section III.3, waves are modeled as a result of the sequential opening of Ca<sup>2+</sup> release sites, coupled by diffusion. Experimental studies such as Marchant et al [368] have demonstrated that the frequency of Ca<sup>2+</sup> waves is influenced by the characteristics of local signals such as puff frequency and amplitude, which are inherently stochastic (see section III.2). Therefore, spatially-explicit stochastic models have been developed to investigate both RyR-mediated [414] and IP<sub>3</sub>R-mediated [377] Ca<sup>2+</sup> waves models. Other models have also implemented stochastic generalizations of the fire-diffuse-fire model (see section III.3) [492, 493] and demonstrated the emergence of coherence resonance of Ca<sup>2+</sup> stores as well as enhanced transitions from isolated Ca<sup>2+</sup> releases to steadily propagating Ca<sup>2+</sup> waves when [IP<sub>3</sub>] increases. For reviews on stochastic waves, see [304] but also [392].

### III.4.3 Stochastic spatial models of astrocytic Ca<sup>2+</sup> signals

As described previously, models of Ca<sup>2+</sup> signals in astrocytes have mostly been performed with spatially-explicit deterministic approaches, which are well-suited to the description of global events but fail to accurately describe the heterogeneous distribution of Ca<sup>2+</sup> channels and pumps [494, 495, 496, 497, 282] and local variations of the number of Ca<sup>2+</sup> ions in thin astrocytic processes. For example, a cytosolic Ca<sup>2+</sup> concentration of 100 nM in the 0.357  $\mu\text{m}^3$  hippocampal astrocytic volume presented in Fig III.5A1 corresponds to 21 ions.

Recently, some astrocyte models have started to incorporate noise using hybrid

approaches in order to better simulate stochastic components of  $\text{Ca}^{2+}$  dynamics at the subcellular level. For example, Cresswell-Clay et al [498] have developed a whole-cell model of a single astrocyte consisting in a soma with 5 main branches, all containing ER.  $\text{Ca}^{2+}$  signals were implemented as a stochastic influx. Their results suggest that both cellular geometry (e.g somatic volume) and the velocity of diffusing molecules influence the coupling and nature of the  $\text{Ca}^{2+}$  signals in response to neuronal stimulation. Interestingly, simulations also revealed complex spatiotemporal characteristics of  $\text{Ca}^{2+}$  depletion in the somatic ER in response to  $\text{Ca}^{2+}$  signals in processes, due to intra-ER  $\text{Ca}^{2+}$  diffusion.

The model that is presented in this thesis is the first spatially-explicit fully stochastic model of  $\text{Ca}^{2+}$  signals in astrocytes (see Chapters IV, V, VI).

To conclude, diverse spatially-explicit stochastic approaches can be performed, that display different levels of accuracy. As a general rule, microscopic approaches should only be performed when information about the exact trajectories of single molecules is required. In order to optimize the computational cost associated with spatial stochastic models, another strategy that is very prolific is to combine stochastic and deterministic approaches within the same model, each approach being adapted to reactions/phenomena within the system of interest. Those approaches are called hybrid approaches and are the focus of next section.

## III.5 Hybrid models

In most pathways, including  $\text{Ca}^{2+}$  signaling, some of the molecules involved are in low copy numbers while there are many copies of some others (as e.g the number of  $\text{IP}_3$  molecules compared to the number of  $\text{Ca}^{2+}$  buffers in astrocytic processes). Hybrid models, that combine different modelling approaches, can be ideal to model such systems by adding complexity and computational cost only to regions/molecular interactions that need a high level of details. Yet, the coupling of different modeling approaches remains tricky and can introduce errors. In this section, the different techniques that can be used for hybrid simulations as well as examples of hybrid models of  $\text{Ca}^{2+}$  signaling are briefly presented.

### III.5.1 Methods and computational approaches

Next paragraphs present the different combinations of approaches that are commonly performed in hybrid models. Hybrid approaches can be divided into two main categories: well-mixed VS spatially-extended methods. For recent reviews on hybrid methods, see [499, 467, 364].

#### III.5.1.1 Well-mixed hybrid methods

Well-mixed hybrid methods take into account a separation in timescales in which fast reactions or abundant molecules are described deterministically while slow reactions or scarce molecules are described with a discrete stochastic process [500, 501]. Those deterministic-stochastic models are mathematically defined as Piecewise Deterministic Markov Processes (PDMPs) [502], which combine ODEs (see section III.1) with discrete stochastic processes such as CLE-approximation, Gillespie's SSA or the  $\tau$ -leap method (see section III.2) or any combination [503]. Those models are simulated with Monte Carlo realizations. The coupling of the two methods can be done with fixed time-steps, associated with time-discretization errors, or with adaptive methods, in which the synchronous treatment of deterministic and stochastic systems should be ensured.

Several studies have developed the theoretical background and algorithms for performing such deterministic-stochastic well-mixed models [361, 504, 505, 506, 500, 507]. Partitioning methods, adaptive or not, have also been developed in order to sort reactions into "fast" and "slow" categories [508, 361, 509]. For examples of implementation of well-mixed hybrid models, see [510, 511]. For rigorous error analysis and identification/partitioning of reactions, see Ganguly et al [508].

#### III.5.1.2 Spatially-extended hybrid methods

If the system of interest displays heterogeneous distributions of molecular species or if diffusion is heterogeneous or limiting, the modeler can combine different spatially-extended approaches depending on spatial localization. As described previously (section III.3 & III.4), 4 main methods are available for spatially-extended models: macroscopic (well-mixed), mesoscopic, microscopic and molecular dynamics.

Several studies have developed algorithms for spatially-extended hybrid models [512, 513, 456, 514]. For example, Ferm et al [513] propose an adaptive hybrid method that divides the domain into diffusion-limited regions, described with the next subvolume method (see section III.4), and well-mixed regions, described with

PDEs (see section III.3). For detailed and recent reviews on spatially-extended hybrid methods and on the methods used for coupling the different simulators of the model, see [467, 515, 516].

### III.5.1.3 Simulators for hybrid approaches

Few simulators allow for multiscale modeling of molecular interactions:

- Multi-scale Object Oriented Simulation Environment (MOOSE, <http://moose.ncbs.res.in/>) [402, 517] can simulate individual molecules (via an adaptation of the Monte Carlo simulator of Smoldyn [453]) as well as populations of molecules within pathways, entire cells or large networks of cells via a range of numerical engines (deterministic, stochastic, reaction-diffusion with branching or cubic meshes).
- Smoldyn has also recently been adapted to accommodate hybrid stochastic models [518] in which the subsystems with disparate levels of stochasticity are segregated in space but can interact in an interface region [464, 519, 491].
- The GEneral NEural SImulation System simulator (GENESIS, <http://genesis-sim.org/GENESIS/genesis.html>) [400] has been developed to run hybrid simulations in neural systems but is no longer being actively developed.
- The MUSIC API (<http://software.incf.org/software/>) is a framework that enables to build multiscale modeling between pre-existing simulators/models, with which promising multi-simulation environment tools have started to be developed [520, 521].

## III.5.2 Hybrid models of $\text{Ca}^{2+}$ signals

### III.5.2.1 Well-mixed hybrid models of $\text{Ca}^{2+}$ signals

Well-mixed hybrid approaches have been widely used to model  $\text{Ca}^{2+}$  signaling. They model whole-cell dynamics ( $\text{Ca}^{2+}$  influx, removal, binding/unbinding to buffers and diffusion) with deterministic approaches but keep a high level of detail for describing biophysical properties of channel gating and kinetics [384, 340]. Those models have led to significant improvement in our understanding of intracellular

$\text{Ca}^{2+}$  signals, as e.g  $\text{Ca}^{2+}$  microdomains in cardiomyocytes [522, 523].

Hybrid models of  $\text{IP}_3\text{R}$ -dependent  $\text{Ca}^{2+}$  signaling have investigated the duration of  $\text{Ca}^{2+}$  signals depending on  $[\text{IP}_3]$ , in a single-cluster study [524] and then extended in a 2D plane with several  $\text{IP}_3\text{R}$  clusters [525]. The amplitude of  $\text{Ca}^{2+}$  peaks appeared random and only the largest ones could spread to next cluster, contributing to CICR and wave propagation. Another hybrid study on  $\text{IP}_3\text{R}$  clusters also predicted that CICR can be triggered by  $\text{IP}_3\text{R}$  clustering where homogeneous distribution of  $\text{IP}_3\text{Rs}$  didn't display signal propagation [526]. Wieder et al [490] have also proposed a hybrid model of  $\text{Ca}^{2+}$  microdomain in which  $\text{IP}_3\text{R}$  dynamics was modeled stochastically while diffusion and bulk reactions were modeled deterministically. They have demonstrated the influence of fluctuations in the number of  $\text{Ca}^{2+}$  ions on the equilibrium behavior of single  $\text{IP}_3\text{Rs}$  by increasing its opening probability compared to the equivalent deterministic implementation.

Those studies demonstrate the influence of discrete  $\text{Ca}^{2+}$  noise on cellular processes at a higher spatial scale.

### III.5.2.2 Spatially-extended hybrid $\text{Ca}^{2+}$ models

Consequently to the discovery of the spatial diversity of  $\text{Ca}^{2+}$  signals, an increasing number of spatially-explicit hybrid approaches have been applied to  $\text{Ca}^{2+}$  signals. Those models simulate global  $\text{Ca}^{2+}$  dynamics with PDEs and microdomains surrounding  $\text{IP}_3\text{R}$  channels with spatially-extended stochastic processes. Those models have proven very useful for modeling dendrites in neurons, in which dendritic spines are typically modeled stochastically while bigger cellular subcompartments such as the soma and dendrites are modeled deterministically [460].

Other studies rather focused on  $\text{Ca}^{2+}$  dynamics at  $\text{IP}_3\text{R}$  clusters and have successfully reproduced puff dynamics measured experimentally [527], investigated the influence of  $\text{IP}_3\text{R}$  clustering on puff dynamics [528], the effect of local signals on signals at the cell level [529, 530], the emergence of  $\text{Ca}^{2+}$  blips [531], the decrease of intra-cluster coupling of  $\text{IP}_3\text{Rs}$  when inter-channel distance increased [532], predicted that inter-peak-interval (IPI) as well as peak amplitude increase with  $\text{IP}_3\text{R}$  cluster size, even for small amounts of  $\text{IP}_3\text{R}$  within the cluster [533] and suggested optimal cluster sizes for CICR [369].

Other hybrid studies of  $\text{Ca}^{2+}$  signals have provided plausible mechanisms for the formation of  $\text{Ca}^{2+}$  waves based on puffs dynamics [534]. Other studies have demonstrated the effect of stochastic  $\text{IP}_3\text{R}$  gating on the propagation of  $\text{Ca}^{2+}$  waves in 2D [377] and the dependence of the lifetime of  $\text{Ca}^{2+}$  signals (puffs VS waves) on the inter-cluster distance [535].

Means et al [536] have used a spatially-explicit hybrid approach and modeled

reactions with PDEs except for the stochastic gating of  $\text{IP}_3\text{R}$  channels. Simulations were performed in 3D with realistic ER geometry extracted from electron microscopy. They have demonstrated that  $\text{IP}_3\text{R}$  clustering might reduce the activity of  $\text{IP}_3\text{R}$  channels, which could avoid ER depletion in case of repeated stimulations.

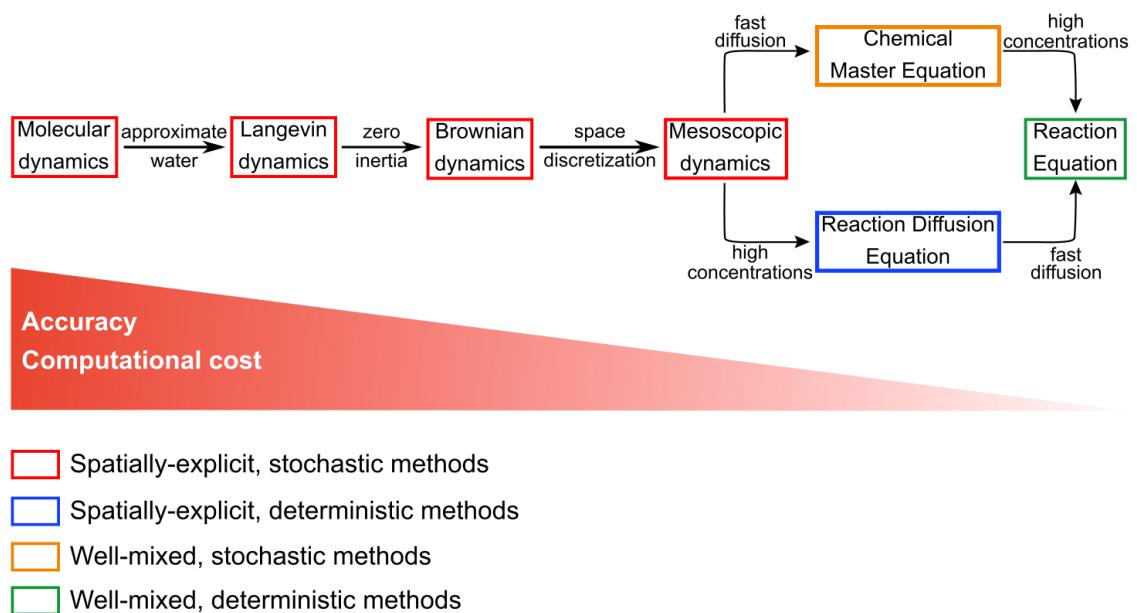
Hybrid computational approaches have promoted the emergence of studies of  $\text{Ca}^{2+}$  signals in 3D cellular geometries of increasing complexity. Those methods will be essential for understanding the influence of local noisy molecular interactions and diffusion on cellular  $\text{Ca}^{2+}$  patterns. No spatially-explicit hybrid model has been developed for modeling  $\text{Ca}^{2+}$  signals in astrocytes yet but should prove most useful for better understanding the diversity of astrocytic  $\text{Ca}^{2+}$  signals.

## III.6 Conclusion

Including more details in a model is associated with higher complexity and longer time to compute so that a compromise must be made between current knowledge of the system and computational cost. The art of modeling thus consists in finding a minimal representation that can capture the system's behavior. The citation from George Box, "All models are wrong but some are useful" [537], illustrates that models are abstract simplifications of a process. Even though those simplifications make the model incomplete to reproduce the system as a whole, they provide new understanding about the system that could not be grasped from a model as complex as the system of interest.

Fig III.9 summarizes the main methods presented in this chapter by order of accuracy/computational cost and sums up the approximations that have to be made for using each method. Models are designed to answer a particular research question and the lowest level of details that is enough to answer the biological question should be chosen. For a detailed list of tools for modeling  $\text{Ca}^{2+}$  signals, the reader can refer to the Toolbox of Dupont et al [267]. For reviews on  $\text{Ca}^{2+}$  signaling models developed so far, see [372, 538, 539, 321, 340, 392]. For more details on models of astrocytic excitability, see dedicated reviews [287, 540].

As astrocyte excitability plays key roles in information processing in the brain, better understanding its functioning is crucial in the neuroscience field. The complex spatiotemporal  $\text{Ca}^{2+}$  code of astrocytes yet remains to be decoded and computational modeling of  $\text{Ca}^{2+}$  signals in astrocytes is an essential step towards unravelling astrocyte excitability and its involvement in brain function.



**Figure III.9: Overview of the methods for simulating molecular interactions.** The different methods presented in chapter III are presented from high accuracy/high computational cost associated with molecular dynamics to less accurate but faster reaction ordinary differential equations.



## Part B

# Investigating $\text{Ca}^{2+}$ dynamics in fine astrocytic processes



## Chapter IV

# Towards a 2D spatial individual-based model of $\text{Ca}^{2+}$ signaling in small volumes

80% of the astrocyte  $\text{Ca}^{2+}$  activity *in vivo* take place in the gliapil, which is mostly formed by astrocytic ramifications that cannot be spatially resolved by conventional light microscopy [541], yet account for 75% of the astrocytic volume [7] (see Chapter I). At this spatial scale,  $\text{Ca}^{2+}$  signals are characterized by non-uniform spatial distributions composed of hotspots where  $\text{Ca}^{2+}$  signals are more likely to occur and repeat [480, 542] (see Chapter II). Those observations suggest the existence of subcellular spatial organizations responsible for the spatial distribution of  $\text{Ca}^{2+}$  signal patterns. Understanding  $\text{Ca}^{2+}$  signaling in PAPs, where astrocytes potentially regulate neuronal information processing, is crucial. However, most models of intracellular astrocytic  $\text{Ca}^{2+}$  have focused on the soma and main processes and did not take into account the stochastic effects associated with the small volumes and the low copy number of molecules involved in fine processes.

This chapter presents the 2D implementation of the model of spontaneous  $\text{IP}_3\text{R}$ -mediated  $\text{Ca}^{2+}$  signaling that has been developed during this PhD. To account for the stochasticity inherent to small sub-cellular volumes and low copy numbers expected in fine processes, the model is both spatially explicit and particle-based. The kinetics of  $\text{IP}_3\text{R}$  channels is accounted for with a simplified version of the DYK Markov model (see section III.1). This 2D implementation, even though less realistic than the 3D implementations presented in Chapters V and VI, allowed for an exploration of the range of dynamical behaviors that the model can display.

## IV.1 Kinetic scheme and modeling approach

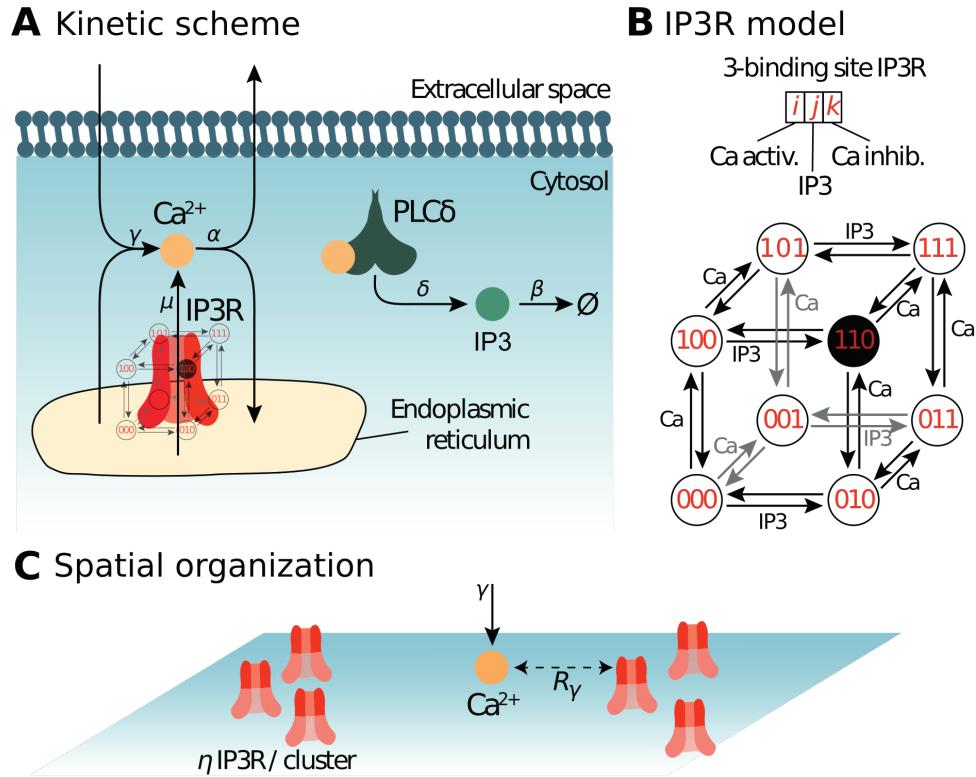
### IV.1.1 Kinetic scheme

The model considers cytosolic  $\text{Ca}^{2+}$  and  $\text{IP}_3$  dynamics in the framework of  $\text{Ca}^{2+}$ -induced  $\text{Ca}^{2+}$  release (CICR) signaling. The reaction scheme considered is shown in figure IV.1 A. In short, we consider  $\text{Ca}^{2+}$  fluxes between the cytosol and the extracellular space or the endoplasmic reticulum (ER), including via  $\text{IP}_3\text{R}$  channels. We also take into account the effect of phospholipase C  $\delta$  ( $\text{PLC}\delta$ ), that, when activated by  $\text{Ca}^{2+}$ , synthesizes  $\text{IP}_3$ . To derive simple models for this scheme, we made the following assumptions:

- We considered that the extracellular and ER  $\text{Ca}^{2+}$  concentrations are constant during the simulation, as well as the electrical potentials across the plasma and ER membranes. In this case,  $\text{Ca}^{2+}$  outflow from the cytosol to the ER or to the extracellular medium can be lumped into a single first-order rate  $\alpha$ . Likewise,  $\text{Ca}^{2+}$  entry from the extracellular medium or any  $\text{IP}_3\text{R}$ -independent  $\text{Ca}^{2+}$  influx from the ER can be considered constants, too. We lumped them into a single overall constant flux  $\gamma$ .
- $\text{PLC}\delta$  enzymes remain located in the cytosol (no translocation) and the amount of their substrate PIP2 is present everywhere in large excess. Under this condition, activated  $\text{PLC}\delta$  produces  $\text{IP}_3$  with constant rate  $\delta$ .

$\text{IP}_3\text{R}$  channels are gated both by  $\text{Ca}^{2+}$  and  $\text{IP}_3$ , with a bell-shaped dependence of the open probability to  $\text{Ca}^{2+}$  concentration [308] (see Fig II.9). To model their dynamics, we used the classical 8-state Markov model proposed in [308, 8], with two  $\text{Ca}^{2+}$  binding sites and one  $\text{IP}_3$  binding site for each  $\text{IP}_3\text{R}$  (see figure IV.1B). However we used the following simplifications:

- We considered that the binding or unbinding rate constant of a given binding site is independent from the occupancy state of the other sites (no intra-channel cooperativity). Under this assumption, the rate constant for  $\text{Ca}^{2+}$  binding at the first  $\text{Ca}^{2+}$  binding site,  $a_1$ , does not depend on whether the other two binding sites are bound or not. Thus, the rate constant for  $\{000\} + \text{Ca} \rightarrow \{100\}$  has the same value as e.g. the reaction  $\{011\} + \text{Ca} \rightarrow \{111\}$  (where the triplet notation corresponds to the one defined in figure IV.1). Likewise, the rate constants for  $\text{Ca}^{2+}$  or  $\text{IP}_3$  binding or unbinding to the three sites were considered independent from the other occupancy states.
- The open state is assumed to be state  $\{110\}$  (first  $\text{Ca}$  site and  $\text{IP}_3$  bound,



**Figure IV.1: Reaction scheme and  $\text{IP}_3\text{R}$  model** The biochemical processes included in the model are illustrated in (A): Cytosolic  $\text{Ca}^{2+}$  can exit the cytosol to the extracellular space or the endoplasmic reticulum (ER) at a (total) rate  $\alpha$ , lumping together the effects of ER and plasma membrane pumps. Likewise,  $\text{Ca}^{2+}$  can enter the cytosol from the extracellular space or from the ER via  $\text{IP}_3\text{R}$ -independent flow, with (total) rate  $\gamma$ , emulating  $\text{Ca}^{2+}$  channels from the plasma membrane. When an  $\text{IP}_3\text{R}$  channel opens,  $\text{Ca}^{2+}$  enters the cytosol through the channel at rate  $\mu$ . Phospholipase C  $\delta$  ( $\text{PLC}\delta$ ), once activated by  $\text{Ca}^{2+}$  binding, produces  $\text{IP}_3$  at rate  $\delta$ . Like  $\text{Ca}^{2+}$ ,  $\text{IP}_3$  can bind the  $\text{IP}_3\text{R}$  channel and is removed with rate  $\beta$ . (B) Our model of the kinetics of the  $\text{IP}_3\text{R}$  channel is an 8-state Markov model adapted from [8, 308]. Each  $\text{IP}_3\text{R}$  channel monomer is associated with 3 binding sites, two  $\text{Ca}^{2+}$  binding sites and one  $\text{IP}_3$  binding site. Occupancy states are designated by a triplet  $\{i, j, k\}$  where  $i$  stands for the occupation of the first  $\text{Ca}^{2+}$  binding site ( $i = 1$  if bound, 0 else),  $j$  for that of the  $\text{IP}_3$  binding site and  $k$  for the second  $\text{Ca}^{2+}$  site. The first  $\text{Ca}^{2+}$  binding site has higher affinity than the second. The open state is state  $\{110\}$ , where the first  $\text{Ca}^{2+}$  and the  $\text{IP}_3$  sites are bound but not the second  $\text{Ca}^{2+}$  site. (C) Spatial parameters for the particle-based model. The  $N_{\text{IP}_3\text{R}}$   $\text{IP}_3\text{R}$  molecules are positioned within uniformly distributed clusters, with  $\eta$   $\text{IP}_3\text{R}$  in each cluster. Hence  $\eta = 1$  corresponds to uniformly distributed  $\text{IP}_3\text{R}$  (no clustering), while the degree of clustering increases with  $\eta$  (for constant total  $\text{IP}_3\text{R}$  number). To account for potential co-localization between  $\text{IP}_3\text{R}$ -dependent and  $\text{IP}_3\text{R}$ -independent  $\text{Ca}^{2+}$  sources, the influx of  $\text{IP}_3\text{R}$ -independent  $\text{Ca}^{2+}$  (at rate  $\gamma$ ) occurs within distance  $R_\gamma$  of an  $\text{IP}_3\text{R}$ . Thus, low values of  $R_\gamma$  emulate co-localization between  $\text{IP}_3\text{R}$ -dependent and  $\text{IP}_3\text{R}$ -independent  $\text{Ca}^{2+}$  influx sources.

second Ca site free), as in [308, 8]. These latter models further assume inter-channel cooperativity, where IP<sub>3</sub>R channels assemble as tetramers of which at least three monomers must be in the open state for  $\text{Ca}^{2+}$  to be transferred. Here we neglected inter-channel cooperativity and considered that every single channel was open when in the open state i.e., as long as an IP<sub>3</sub>R channel is open, it is assumed to inject  $\text{Ca}^{2+}$  in the cytosol at constant rate  $\mu$ .

## IV.1.2 Modeling approach

### Monte Carlo simulations of the spatially-explicit stochastic particle-based model

We first modeled the kinetic scheme described in figure IV.1 with a lattice-free spatially-explicit stochastic particle-based model (see section III.4), referred to as “Particle-based” model below, in two spatial dimensions, with reflective boundary conditions. Each molecule of the system was explicitly modeled with its associated position in space. PLC $\delta$  and IP<sub>3</sub>R molecules were considered immobile whereas  $\text{Ca}^{2+}$  and IP<sub>3</sub> molecules were mobile by diffusion. At the beginning of each Monte-Carlo (MC) simulation of this model, the space coordinates for each  $\text{Ca}^{2+}$ , IP<sub>3</sub> and PLC $\delta$  molecules were chosen uniformly at random.

To determine the positions of the  $N_{\text{IP3R}}$  IP<sub>3</sub>R molecules, we first chose the centers of  $N_c = N_{\text{IP3R}}/\eta$  IP<sub>3</sub>R clusters uniformly at random in the reaction space, where  $\eta$  is the number of IP<sub>3</sub>R channels per cluster (as illustrated in figure IV.1 C). For each cluster, we positioned  $\eta$  IP<sub>3</sub>R molecules uniformly at random within a distance  $R_c$  of the cluster center, with  $R_c = d_{\text{IP3R}} \sqrt{\eta/0.91}$ , where  $d_{\text{IP3R}}$  is the interaction distance of the IP<sub>3</sub>R, i.e. the maximal distance between IP<sub>3</sub>R center and a  $\text{Ca}^{2+}$  or IP<sub>3</sub> molecule below which binding can occur. According to this algorithm,  $\eta = 1$  corresponds to randomly distributed independent IP<sub>3</sub>R molecules (no clustering) whereas IP<sub>3</sub>R molecules become increasingly clustered when  $\eta$  increases, with constant IP<sub>3</sub>R density within the clusters and constant total IP<sub>3</sub>R number in the reaction space.

Each MC stimulation step (of duration  $\Delta t$ ) consists in iterating the following steps:

- *Diffusion.* The position of each mobile molecule ( $\text{Ca}^{2+}$  and IP<sub>3</sub>) is updated independently according to Brownian motion:  $\mathbf{r}(t + \Delta t) = \mathbf{r}(t) + \sqrt{2D_i \Delta t} \xi$ , where  $D_i$ ,  $i = \{\text{Ca}, \text{IP3}\}$  is molecule  $i$  diffusion coefficient and  $\xi$  is a vector of i.i.d. Gaussian-distributed random numbers with zero mean and unit variance.

In a subset of simulations, the new position of each mobile molecule was chosen at random in the reaction volume, i.e.  $\mathbf{r}(t + \Delta t) = \zeta$  were  $\zeta$  is a vector of i.i.d. random numbers uniformly distributed in  $[0, L]$ , with  $L$  the length of the spatial domain. We refer to this setting as “infinite” diffusion coefficients,  $D = \infty$ .

- *Binding.* For each  $\text{Ca}^{2+}$  ion close enough to a  $\text{PLC}\delta$  to react (i.e. when the distance between both is less than the interaction radius of  $\text{PLC}\delta$ ), a new  $\text{IP}_3$  molecule is created with probability  $\delta\Delta t$  at the position of the  $\text{PLC}\delta$  molecule. Likewise, each  $\text{Ca}^{2+}$  or  $\text{IP}_3$  molecule close enough to an  $\text{IP}_3\text{R}$  molecule (i.e. within its interaction radius) can bind it depending on its occupancy state. If the  $\text{IP}_3$  binding site is free, an  $\text{IP}_3$  molecule binds with probability  $a_2\Delta t$ . If one of the Ca sites is free, a  $\text{Ca}^{2+}$  ion binds the free site with probability  $a_1\Delta t$  (first Ca site) or  $a_3\Delta t$  (second Ca site). If both Ca sites are free, binding occurs to the first site with probability  $a_1\Delta t$  and to the second one with probability  $(1 - a_1\Delta t)a_3\Delta t$ .
- *Unbinding.* Each  $\text{IP}_3\text{R}$  molecule releases its bound  $\text{Ca}^{2+}$  or  $\text{IP}_3$  molecules independently, with probability  $b_1\Delta t$  (first Ca site),  $b_2\Delta t$  ( $\text{IP}_3$  site) and  $b_3\Delta t$  (second Ca site).  $\text{Ca}^{2+}$  or  $\text{IP}_3$  molecules that bound the  $\text{IP}_3\text{R}$  at the previous (binding) step of the current time step do not unbind.
- *Removal.* Free cytosolic  $\text{Ca}^{2+}$  and  $\text{IP}_3$  molecules are removed from the cytosol with probability  $\alpha\Delta t$  and  $\beta\Delta t$ , respectively.  $\text{Ca}^{2+}$  and  $\text{IP}_3$  molecules that unbound from  $\text{IP}_3\text{R}$  at the previous (unbinding) step of the current time step are not removed.
- *$\text{Ca}^{2+}$  Influx.* For each  $\text{IP}_3\text{R}$  channel in the open state  $\{110\}$ , a new  $\text{Ca}^{2+}$  ion is created in the cytosol at the  $\text{IP}_3\text{R}$  position with probability  $\mu\Delta t$ . A new  $\text{Ca}^{2+}$  ion can also be created in the cytosol with probability  $\gamma\Delta t$ , mimicking  $\text{Ca}^{2+}$  influx from  $\text{IP}_3\text{R}$ -independent sources in the ER membrane or through the plasma membrane. Note that the position of this new  $\text{Ca}^{2+}$  is not uniform over space but depends on parameter  $R_\gamma$  and works as follows: an  $\text{IP}_3\text{R}$  molecule is chosen (uniformly) at random and the new  $\text{Ca}^{2+}$  ion is positioned uniformly at random within distance  $R_\gamma$  of the chosen  $\text{IP}_3\text{R}$ . Therefore low values of  $R_\gamma$  emulate co-localization between  $\text{IP}_3\text{R}$ -dependent and  $\text{IP}_3\text{R}$ -independent  $\text{Ca}^{2+}$  influx sources, whereas the location of  $\text{IP}_3\text{R}$ -independent  $\text{Ca}^{2+}$  influx is uniform over the reaction volume when  $R_\gamma$  becomes as large as the volume side length.

Table IV.1 gives the parameter values used in our 2D simulations, including the initial numbers of  $\text{Ca}^{2+}$ ,  $\text{PLC}\delta$ ,  $\text{IP}_3$  and  $\text{IP}_3\text{R}$  molecules.

**Table IV.1:** Parameter values and initial conditions of the 2D model. a.u : arbitrary unit. In 2d, by definition, a MC time unit is  $100 \Delta t$  and one MC space unit is set by the interaction radius of IP<sub>3</sub>R, i.e.  $d_{\text{IP3R}} = 1.0$  MC space unit.  $\delta$ ,  $\beta$ ,  $\mu$ ,  $\gamma$ ,  $b_1$ ,  $b_2$  and  $b_3$  are first order constants, in  $(\text{MC time unit})^{-1}$ . Diffusion coefficients  $D_{\text{Ca}}$  and  $D_{\text{IP3}}$  are expressed in  $(\text{MC space unit})^2 \cdot (\text{MC time unit})^{-1}$  whereas  $\alpha$ ,  $a_1$ ,  $a_2$ ,  $a_3$  are expressed in  $(\text{MC space unit})^2 \cdot (\text{MC time unit})^{-1}$ .

Parameter	Description	Value in 2d model
V	Cell volume	$200 \times 200$ a.u.
<i>IP<sub>3</sub> dynamics</i>		
$IP_0$	Initial IP <sub>3</sub> number	15 molec.
$D_{\text{IP3}}$	IP <sub>3</sub> diffusion	10 a.u
$N_{\text{plc}}$	PLC $\delta$ number	1000 molec.
$\delta$	PLC $\delta$ max rate	0.1 a.u
$\beta$	IP <sub>3</sub> decay	0.01 a.u
<i>Ca<sup>2+</sup> dynamics</i>		
$Ca_0$	Initial Ca <sup>2+</sup> number	50 molec.
$D_{\text{Ca}}$	Ca <sup>2+</sup> diffusion	varied
$\mu$	Ca <sup>2+</sup> flux through open IP <sub>3</sub> R	50 a.u
$\gamma$	Cytosolic Ca <sup>2+</sup> influx	50 a.u
$\alpha$	Ca <sup>2+</sup> decay rate	1.0 a.u
<i>IP<sub>3</sub>R</i>		
$N_{\text{IP3R}}$	IP <sub>3</sub> R number	1000 molec.
$d_{\text{IP3R}}$	IP <sub>3</sub> R interact. distance	1 space unit
<i>IP<sub>3</sub>R binding</i>		
$a_1$	First Ca	1.0 a.u
$a_2$	IP <sub>3</sub>	1.0 a.u
$a_3$	Second Ca	0.1 a.u
<i>IP<sub>3</sub>R dissociation</i>		
$b_1$	First Ca	0.1 a.u
$b_2$	IP <sub>3</sub>	0.1 a.u
$b_3$	Second Ca	0.1 a.u

### Mean-field (MF) dynamics of the perfectly stirred model

With infinite diffusion, the dynamics of the system can be assumed to be perfectly stirred. With that mean-field (MF) assumption, the temporal dynamics of reaction scheme figure IV.1 can be modeled using ordinary differential equations based on the mass-action law (see section III.1). IP<sub>3</sub>R dynamics in these conditions can be described with seven ODEs that express the temporal dynamics of the concentration of IP<sub>3</sub>R in state {ijk}, [ijk]:

$$\left\{ \begin{array}{l} d[000]/dt = -(a_1[Ca] + a_2[IP_3] + a_3[Ca])[000] + b_1[100] + b_2[010] + b_3[001] \\ d[001]/dt = -(a_1[Ca] + a_2[IP_3] + b_3)[001] + b_1[101] + b_2[011] + a_3[Ca][000] \\ d[010]/dt = -(a_1[Ca] + b_2 + a_3[Ca])[010] + b_1[110] + a_2[IP_3][000] + b_3[011] \\ d[011]/dt = -(a_1[Ca] + b_2 + b_3)[011] + b_1[111] + a_2[IP_3][001] + a_3[Ca][010] \\ d[100]/dt = -(b_1 + a_2[IP_3] + a_3[Ca])[100] + a_1[Ca][000] + b_2[110] + b_3[101] \\ d[101]/dt = -(b_1 + a_2[IP_3] + b_3)[101] + a_1[Ca][001] + b_2[111] + a_3[Ca][100] \\ d[110]/dt = -(b_1 + b_2 + a_3[Ca])[110] + a_1[Ca][010] + a_2[IP_3][100] + b_3[111] \end{array} \right. \quad (\text{IV.1})$$

where [Ca] and [IP<sub>3</sub>] denote Ca<sup>2+</sup> and IP<sub>3</sub> concentration, respectively. The concentration of the eighth occupancy state, {111} is obtained from conservation of the IP<sub>3</sub>R, i.e. [1111] = N<sub>IP<sub>3</sub>R</sub>/V - ([000] + [001] + [010] + [011] + [100] + [101] + [110]). IP<sub>3</sub> dynamics in the mean-field model is given by :

$$d[IP_3]/dt = -a_2[IP_3] \sum_{i=0}^1 \sum_{k=0}^1 [i0k] + b_2 \sum_{i=0}^1 \sum_{k=0}^1 [i1k] + \delta[PLC\delta][Ca] - \beta[IP_3] \quad (\text{IV.2})$$

where [PLCδ] = N<sub>plc</sub>/V. Finally, the mean-field dynamics of the free Ca<sup>2+</sup> is obtained with:

$$\begin{aligned} d[Ca]/dt = & - \left( a_1 \sum_{j=0}^1 \sum_{k=0}^1 [0jk] + a_3 \sum_{i=0}^1 \sum_{j=0}^1 [ij0] \right) [Ca] \\ & + b_1 \sum_{j=0}^1 \sum_{k=0}^1 [1jk] + b_3 \sum_{i=0}^1 \sum_{j=0}^1 [ij1] \\ & - \alpha[Ca] + \gamma + \mu[110] \end{aligned} \quad (\text{IV.3})$$

For comparison with the output of the other models, the concentrations were transformed into numbers of molecules by multiplication by the reaction volume V.

### Perfectly-stirred stochastic temporal dynamics (SSA)

For comparison, we also modeled the reaction scheme depicted in Figure IV.1 using Gillespie's exact SSA that accounts for stochasticity due to low copy numbers

and assumes perfect mixing of the reactants [346, 355] (see section III.2). In this model, the dynamic variables are the number of  $\text{Ca}^{2+}$  and  $\text{IP}_3$  molecules in the system,  $N_{\text{Ca}}$  and  $N_{\text{IP}_3}$  and the number of  $\text{IP}_3\text{R}$  channels in state  $\{ijk\}$ ,  $N_{ijk}$ . The rates of all the reactions of the scheme of figure IV.1 are then calculated according to mass-action laws like in the MF model of eq. (IV.1,IV.2,IV.3). For instance, at reaction time  $t$ , the rate of reaction  $\{001\} + \text{Ca} \rightarrow \{101\}$  is given by  $a_2/VN_{001}(t)N_{\text{Ca}}(t)$ . The next reaction time  $\tau$  is sampled from an exponential distribution with mean  $1/R_T$ , where  $R_T$  is the sum of the reaction rates of all reactions. The next reaction to occur at time  $t + \tau$  is chosen as an integer random variable with point probability given by the ratio of its rate to  $R_T$ . For instance, for the reaction illustrated above, the probability that this reaction is the one occurring at time  $t + \tau$  is  $a_2/VN_{001}(t)N_{\text{Ca}}(t)/R_T$ . Finally, the variables are updated according to the chosen reaction. In the data presented below, we have modeled each receptor individually, i.e. for each receptor  $l \in 0 \dots N_{\text{IP3R}}$ ,  $N_{ijk}^l = 1$  if receptor  $l$  is in state  $ijk$ , 0 else. If the illustration reaction described above on receptor  $l$  is chosen, this means  $N_{001}^l(t + \tau) = N_{001}^l(t) - 1$ ,  $N_{\text{Ca}}(t + \tau) = N_{\text{Ca}}(t) - 1$  and  $N_{101}^l(t + \tau) = N_{101}^l(t) + 1$ . The other variables keep their values.

## Simulation code

The code of the ODE, Gillespie and Particle-based models is available on ModelDB at <http://modeldb.yale.edu/247694>.

## Peak detection and analysis

In order to characterize  $\text{Ca}^{2+}$  dynamics,  $\text{Ca}^{2+}$  peaks were isolated and analyzed. They correspond to transient increases of the concentration of  $\text{Ca}^{2+}$ . Automated peak detection from the model simulations was based on the statistics of baseline  $\text{Ca}^{2+}$  trace. A histogram of  $\text{Ca}^{2+}$  trace was built with a bin size of 0.25 ions and the mode of this histogram was used to define baseline  $\text{Ca}^{2+}$ . A peak initiation corresponded to the time step where  $\text{Ca}^{2+}$  trace overcame a peak threshold defined as baseline +  $n\sigma_{\text{Ca}}$  where  $\sigma_{\text{Ca}}$  is the standard deviation of the above histogram. The value of  $n$  varied between 2 and 4 and was set by hand for each simulation, depending on its signal/noise ratio. The peak was considered terminated when the  $\text{Ca}^{2+}$  trace decreased again below peak threshold. This implies that in case of a second  $\text{Ca}^{2+}$  peak starting before the first one terminated, both events were considered as being part of the same peak. Peak duration was defined as the time between peak initiation and termination. Peak amplitude was defined as the maximum number of  $\text{Ca}^{2+}$  ions

reached during the peak duration. The number of  $\text{IP}_3\text{R}$  open per peak was defined as the maximum number of  $\text{IP}_3\text{R}$  open simultaneously during peak duration. Puffs were defined as  $\text{Ca}^{2+}$  events resulting from the cooperation of more than one  $\text{IP}_3\text{R}$ . In our spatially-explicit simulations, a  $\text{Ca}^{2+}$  signal was considered to be a puff if more than one  $\text{IP}_3\text{R}$  were open during the peak and if the average distance traveled by  $\text{Ca}^{2+}$  within the duration of this peak was larger than the distance between the simultaneously open  $\text{IP}_3\text{R}$  molecules.

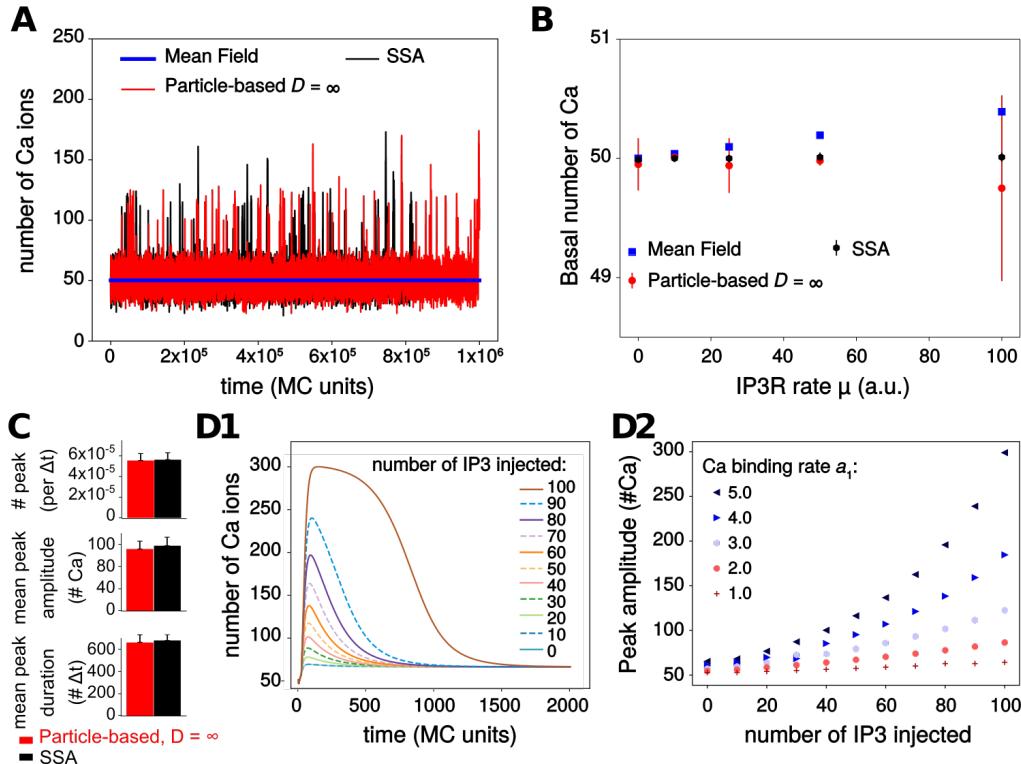
## IV.2 Results: effect of spatial properties on spontaneous $\text{Ca}^{2+}$ activity in the 2D model

### IV.2.1 Spontaneous oscillations of the 2D model

We first analyzed our particle-based model for the CICR signaling system of Fig IV.1. To that end, we compared Monte-Carlo simulations of the particle-based model in two dimensions with the corresponding Mean-Field and Gillespie's SSA models (see Methods section). Those three models represent three different levels of approximation: the Mean-Field model assumes deterministic kinetics and perfect mixing; the SSA model keeps the perfect mixing hypothesis but assumes stochastic kinetics while the particle-based model assumes stochastic kinetics but accounts for potential non-perfect mixing, i.e. diffusion effects. For comparison with SSA, we first considered perfect mixing of  $\text{Ca}^{2+}$  ions and  $\text{IP}_3$  molecules in the particle-based model by setting the diffusion coefficients  $D_{\text{Ca}} = D_{\text{IP}_3} = \infty$  (see section IV.1).

Fig IV.2A shows one simulation sample for each model. A first result is that the stochastic models (SSA and particle-based) do exhibit spontaneous  $\text{Ca}^{2+}$  peaks with the parameters of this figure. On top of a background level of roughly 50  $\text{Ca}^{2+}$  ions, with fluctuations of roughly  $\pm 20$  ions, large and fast peaks arise spontaneously with a total amplitude between 20 and 120 ions above the baseline. In strong opposition, the (deterministic) mean-field model does not show these oscillations: one gets a stationary trace, that systematically coincides with the baseline level of the stochastic traces (Fig IV.2B). Comparing the two stochastic models (SSA and particle-based) indicates that both display the same basal  $\text{Ca}^{2+}$  level (Fig IV.2B) and the same frequency, mean peak amplitude and duration (Fig IV.2C). Altogether, this suggests that stochasticity is necessary for spontaneous  $\text{Ca}^{2+}$  signals to occur in this model.

We next searched for the dynamical mechanism that gives rise to those sponta-



**Figure IV.2: 2D model exploration.** (A) Spontaneous transients are observed in simulations of the particle-based and the Gillespie's SSA model but not in the Mean Field model. (B) The three models display the same basal  $\text{Ca}^{2+}$  level when  $\mu$ , the  $\text{Ca}^{2+}$  influx rate through open  $\text{IP}_3\text{R}$  channels, increases. The higher variability in the stochastic models reflects the integer value of basal  $\text{Ca}^{2+}$  (either 49 or 50, depending on simulations). (C) Quantification of  $\text{Ca}^{2+}$  transients in the stochastic models ( $\text{Ca}^{2+}$  peak frequency, mean peak amplitude and mean peak duration). No significant difference between the two models was observed. (D) Excitability of the Mean-Field model: increasing quantities of exogenous  $\text{IP}_3$  molecules were injected at time  $t = 20\Delta t$ , after model equilibration. The amplitude of the resulting  $\text{Ca}^{2+}$  response (D1) was quantified depending on the amount of  $\text{IP}_3$  injected and the value of the binding rate constant to the first  $\text{Ca}^{2+}$   $\text{IP}_3\text{R}$  site,  $a_1$  (D2). Parameter values for the particle-based model:  $D_{\text{Ca}} = D_{\text{IP}_3} = \infty$  (perfect mixing) and  $\eta = 1$ ,  $R_\gamma = 200$ , i.e. no  $\text{IP}_3\text{R}$  channels clustering, and no co-localization of  $\text{IP}_3\text{R}$  with  $\text{IP}_3\text{R}$ -independent  $\text{Ca}^{2+}$  sources. For SSA and particle-based models, the figure shows the average  $\pm$  standard deviation over 20 simulations.

neous peaks. A thorough numerical parameter exploration of the mean-field model failed to demonstrate the existence of Hopf bifurcations or of any other bifurcation that would generate limit-cycle oscillations in the model. This is a distinctive feature of our model, since spontaneous oscillations in the vast majority of  $\text{IP}_3\text{R}$ -mediated  $\text{Ca}^{2+}$  signaling models arise from limit-cycle generating bifurcations [8, 300, 302]. This is however not unexpected since the simplifications made to derive our model significantly reduced its nonlinearity compared to these models, and the emergence of limit-cycle bifurcations demands strong nonlinearity. For instance, limit-cycle oscillations in the classical Li-Rinzel model [302] disappear when  $\text{IP}_3\text{R}$  opening needs less than three open monomers. However, our model retains enough nonlinearity to exhibit excitability. To evidence this, we used the mean-field model, waited until all concentrations reached their stationary state, and injected an increasing amount of exogenous  $\text{IP}_3$  molecules. In response to this  $\text{IP}_3$  injection, a  $\text{Ca}^{2+}$  transient was obtained, before relaxation to the stationary state (Fig IV.2D). Fig IV.2D2 shows how the resulting transient amplitude depends on the amount of injected  $\text{IP}_3$ . For low values of  $\text{IP}_3\text{R}$   $\text{Ca}^{2+}$  binding rate (first site),  $a_1$ , the  $\text{Ca}^{2+}$  response is basically linear with the number of injected  $\text{IP}_3$ : doubling the amount of  $\text{IP}_3$  injected only doubles the amplitude of the  $\text{Ca}^{2+}$  response. However, as  $a_1$  increases, peak amplitude becomes a strongly nonlinear function of the number of  $\text{IP}_3$  injected. With  $a_1 = 5$  a.u. for instance, doubling the number of injected  $\text{IP}_3$  from 50 to 100, results in an almost threefold increase of the  $\text{Ca}^{2+}$  response. Therefore the mean-field model with large values of  $a_1$  is an excitable system that amplifies the fluctuations of  $\text{IP}_3$  in its  $\text{Ca}^{2+}$  responses. We conclude that spontaneous  $\text{Ca}^{2+}$  transients occur in the system of Fig IV.1 through the interplay of the stochasticity of the SSA or particle-based models and the underlying excitability of the system.

### IV.2.2 Transitions between $\text{Ca}^{2+}$ activity regimes

The experimental and modeling literature on intracellular  $\text{Ca}^{2+}$  signals distinguishes two classes of localized  $\text{Ca}^{2+}$  peaks: puffs and blips [532] (see Fig II.9). Blips refer to brief and weak peaks that correspond to the opening of a single  $\text{IP}_3\text{R}$  channel (or a single  $\text{IP}_3\text{R}$  channel tetramer), whereas puffs are peaks of larger duration and amplitude, resulting from the concerted opening of a group of nearby  $\text{IP}_3\text{R}$  channels (or tetramers thereof), via the  $\text{Ca}^{2+}$ -induced  $\text{Ca}^{2+}$ -release principle. We next examined whether our model was able to reproduce these observations.

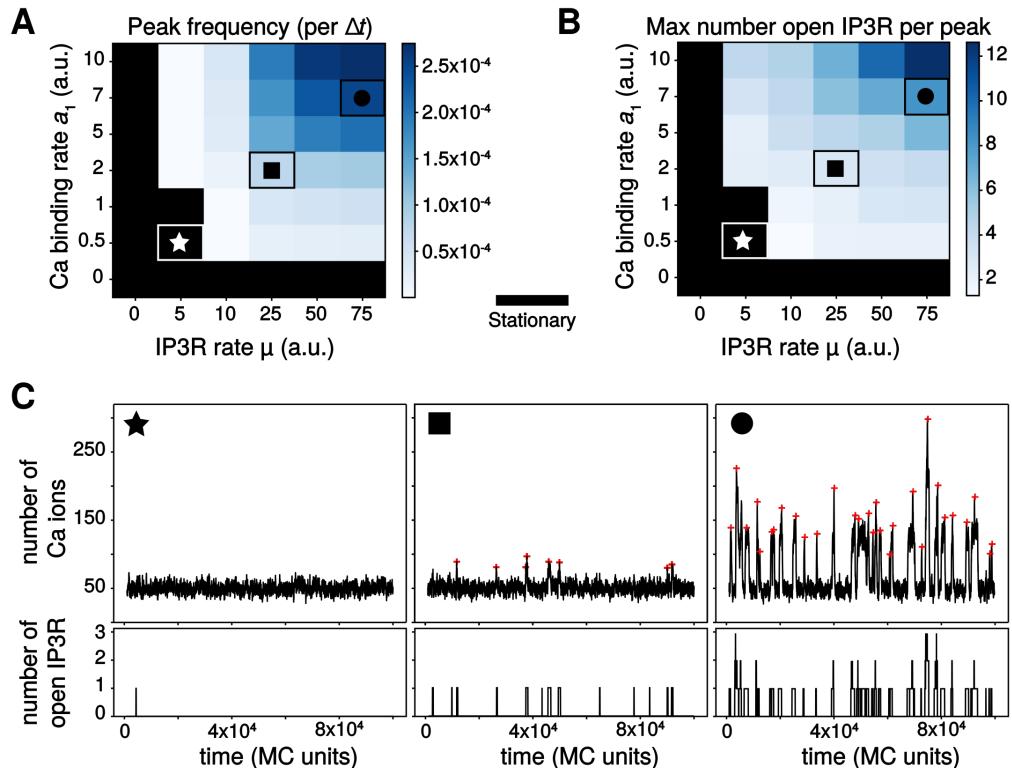
We carried out parameter exploration of the particle-based model in conditions of perfect mixing for mobile molecules ( $\text{Ca}$  and  $\text{IP}_3$ ) and uniform spatial distribu-

tion of the immobile ones ( $\text{PLC}\delta$ ,  $\text{IP}_3\text{R}$ ). As expected, we found that  $\text{Ca}^{2+}$  peaks frequency depends on parameter values (Fig IV.3A). When the rate of  $\text{Ca}^{2+}$  influx through open  $\text{IP}_3\text{R}$  channels  $\mu$  or the binding rate constant to the first  $\text{Ca}$   $\text{IP}_3\text{R}$  site  $a_1$  are too small, the model does not exhibit  $\text{Ca}^{2+}$  peaks at all, only fluctuations around a stationary state (Fig IV.3C★). This is in agreement with our analysis of the systems excitability above, that demonstrated excitability only for large enough values of  $a_1$  (Figure IV.2D2). Note however that in the model,  $\text{IP}_3\text{R}$  openings do not necessarily lead to a  $\text{Ca}^{2+}$  peak, especially for low values of both  $\mu$  and  $a_1$  (Fig IV.3C★). Spontaneous  $\text{Ca}^{2+}$  transients are obtained in the particle-based model beyond threshold of  $(\mu, a_1)$  values, with a peak frequency that increases with parameters values (Fig IV.3A). Inspection of the maximal number of open  $\text{IP}_3\text{R}$  per peak, defined as the average over 20 simulations of the maximum number of open  $\text{IP}_3\text{R}$  per peak per simulation, reveals that not only the frequency, but also the type of these transient signals changes with parameters values (Fig IV.3A): the less frequent signals are generally associated with a single open  $\text{IP}_3\text{R}$  per peak (Fig IV.3C■), corresponding to blips, whereas the high-frequency spontaneous signals rely on the opening of 2 – 12  $\text{IP}_3\text{R}$  in a peak (Fig IV.3C●), corresponding to puffs. In agreement with experimental observations[265, 264],  $\text{Ca}^{2+}$  puffs in the particle-based model are characterized by higher peak amplitude and peak duration compared to blips.

Taken together, these results show that our particle-based model not only reproduces the existence of spontaneous  $\text{Ca}^{2+}$  peaks in conditions of low copy numbers, but is also able to reproduce the existence of different types of localized  $\text{Ca}^{2+}$  transients, in agreement with experimental measurements.

### IV.2.3 Impact of $\text{Ca}^{2+}$ diffusion coefficient on $\text{Ca}^{2+}$ signals

Flegg et al [543] have demonstrated the necessity to account for the stochasticity inherent to  $\text{Ca}^{2+}$  diffusion when modeling  $\text{Ca}^{2+}$  signaling in small volumes. We next investigated the impact of  $\text{Ca}^{2+}$  diffusion on  $\text{Ca}^{2+}$  dynamics in the particle-based model. In neurons or astrocytes, the amount of endogenous  $\text{Ca}^{2+}$  buffers is large so that the diffusion distance of free  $\text{Ca}^{2+}$  is believed to be very small. Many of the endogenous buffers are however mobile. Buffers can have a very significant effect on  $\text{Ca}^{2+}$  dynamics because they decrease the diffusion distance and the effective diffusion coefficient of  $\text{Ca}^{2+}$  ions [544, 530, 545, 546, 547, 548]. Here, we have chosen not to include buffers explicitly in the model for the sake of model simplicity, but to account for their presence by decreasing the diffusion coefficient for  $\text{Ca}^{2+}$ . Therefore, the latter is to be interpreted as an effective diffusion coefficient lumping together  $\text{Ca}^{2+}$  buffering by mobile endogenous buffer and diffusion of these buffers.



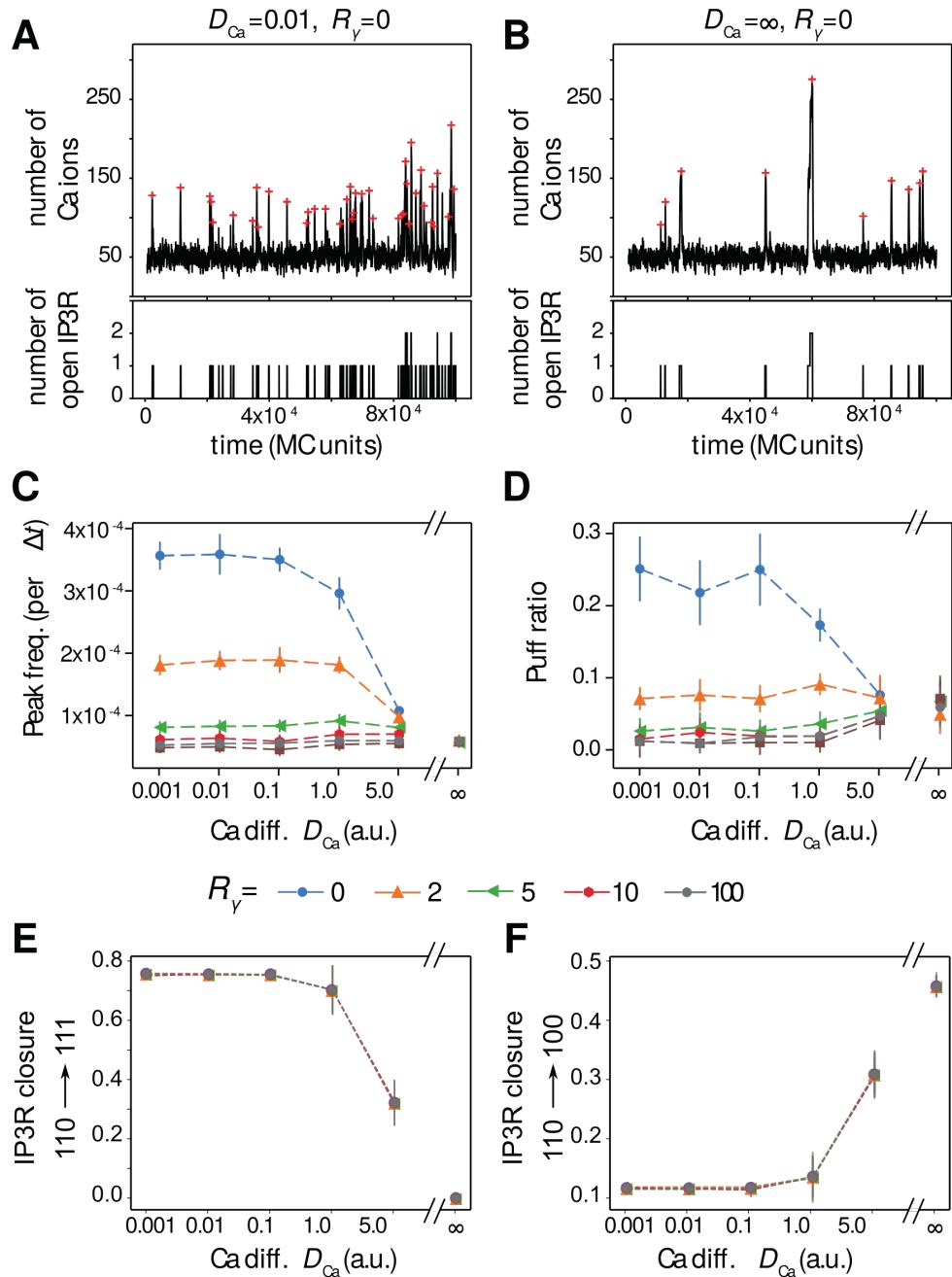
**Figure IV.3:** The particle-based model produces different  $\text{Ca}^{2+}$  activity regimes depending on parameter values. Color-coded map of variation of the peak frequency, expressed as the number of  $\text{Ca}^{2+}$  peaks per MC time step (A) and as the maximal number of  $\text{IP}_3\text{R}$  channel open per peak (B). The color scale is given for each map. The black area corresponds to the stationary regime. Note that the  $x$  and  $y$ -axis scales in (A) and (B) are not regularly spaced. The symbols  $\star$ ,  $\blacksquare$  and  $\bullet$  locate parameter pairs that are illustrative of the three dynamical regimes shown in (C): stationary ( $\star$ ,  $\mu = 5, a_1 = 0.5$ ), blips ( $\blacksquare$ ,  $\mu = 25, a_1 = 2$ ) and puffs ( $\bullet$ ,  $\mu = 75, a_1 = 7$ ). Red crosses show the locations of peaks from automatic detection.  $D_{\text{Ca}} = D_{\text{IP}_3} = \infty, \eta = 1, R_\gamma = 200$ .

To confirm that explicit addition of buffers yields effects similar to a decrease of the  $\text{Ca}^{2+}$  diffusion coefficient, we have both developed a mean field model of  $\text{Ca}^{2+}$  buffering (see Appendix A.2.1.1) and a version of the 2D model described here in which endogenous buffer molecules were explicitly added and in which the coefficient of diffusion was low for buffers and high for free  $\text{Ca}^{2+}$  ions (see Appendix A.2.1.2). These simulations confirm the absence of significant difference between simulations obtained using fast  $\text{Ca}^{2+}$  diffusion and slow explicit buffers on the one hand, and our reference model without buffers but with an effective lower  $D_{\text{Ca}}$  on the other hand (see Appendix A.2.1.2).

Moreover, several plasma membrane proteins, in particular the  $\text{Na}^+-\text{Ca}^{2+}$  exchanger (NCX) have been observed to co-localize with ER proteins in neurons and astrocytes [495]. Such a co-localization of  $\text{Ca}^{2+}$  signaling molecules might imply spatial organizations including raft-like micro-domains. This organization seems essential for  $\text{Ca}^{2+}$  wave propagation in astrocytes [496]. mGluR5-ER proteins co-clusters have also been revealed in astrocytic processes and are mediated by an interaction with Homer1 scaffold protein [497]. Homer1 is also known for increasing  $\text{Ca}^{2+}$  activity in neurons by increasing  $\text{IP}_3\text{R}$ -mGluR5 proximity [282]. Those experimental studies suggest that several  $\text{Ca}^{2+}$  sources are co-localized with ER proteins in astrocytes and that it might alter  $\text{Ca}^{2+}$  dynamics. Such a co-localization could be crucial for  $\text{Ca}^{2+}$  signaling, in particular in small volumes. We thus placed our study of the influence of  $\text{Ca}^{2+}$  mobility on  $\text{Ca}^{2+}$  signaling in a framework where  $\text{Ca}^{2+}$  sources ( $\text{IP}_3\text{R}$ -dependent and  $\text{IP}_3\text{R}$ -independent) can co-localize.

To this end, the  $\text{IP}_3\text{R}$ -independent  $\text{Ca}^{2+}$  influx in the cytosol (from e.g. plasma membrane transporters or channels) was made dependent on parameter  $R_\gamma$ , that sets the distance from  $\text{IP}_3\text{R}$  receptors within which new  $\text{Ca}^{2+}$  ions are injected in the cytosol when they originate from  $\text{IP}_3\text{R}$ -independent fluxes (see Methods section). When  $R_\gamma=0$ , the initial location of the new  $\text{Ca}^{2+}$  ion is shared with an  $\text{IP}_3\text{R}$  channel whereas when  $R_\gamma$  increases, the injection positions of new  $\text{Ca}^{2+}$  ions are increasingly uncorrelated from those of the  $\text{IP}_3\text{R}$  channels. When  $R_\gamma$  becomes as large as the size of the reaction surface (i.e. for  $R_\gamma=100$ ), the injection position of the new  $\text{Ca}^{2+}$  ion is effectively independent of the positions of the  $\text{IP}_3\text{R}$  channels.

Our simulations show that the impact of the  $\text{Ca}^{2+}$  diffusion coefficient is mainly visible when  $\text{Ca}^{2+}$  sources are co-localized, i.e. for small values of  $R_\gamma$ . Figures IV.4A-B compare a representative simulation obtained when  $\text{Ca}^{2+}$  diffuses slowly (A) with a simulation obtained with perfectly-mixed  $\text{Ca}^{2+}$  (B), in a case where the  $\text{IP}_3\text{R}$  receptors are not clustered ( $\eta=1$ ). Those representative simulations hint that the peak frequency is much larger with slow  $\text{Ca}^{2+}$ , and suggests that slow  $\text{Ca}^{2+}$  diffusion slightly favors the puff regime compared to perfect mixing. The systematic quantifi-



**Figure IV.4:  $\text{Ca}^{2+}$  diffusion modulates the temporal characteristics of the signals upon co-localization.** Representative simulations of the particle-based model showing both  $\text{Ca}^{2+}$  trace and number of open  $\text{IP}_3\text{R}$  for co-localized  $\text{Ca}^{2+}$  sources ( $R_{\gamma} = 0$ ) in the case of slow  $\text{Ca}^{2+}$  diffusion (A) or perfect-mixing of  $\text{Ca}^{2+}$  (B). The red crosses show peak locations from automatic detection. The impact of  $\text{Ca}^{2+}$  diffusion coefficient  $D_{\text{Ca}}$  on peak frequency (C) and the amount of puff (D) are shown for different values of the co-localization parameter  $R_{\gamma}$ : from  $R_{\gamma} = 0$  ( $\text{IP}_3\text{R}$  are not clustered but co-localized with other  $\text{Ca}^{2+}$  sources) to  $R_{\gamma} = 100$  ( $\text{IP}_3\text{R}$  are neither clustered nor co-localized). The puff ratio quantifies the fraction of peaks that are puffs. (E) and (F) respectively present the probabilities that  $\text{IP}_3\text{R}$  closure results from binding of a  $\text{Ca}^{2+}$  to the inactivating site (probability to switch to state  $\{111\}$ ,  $P_{110 \rightarrow 111}$ ) or unbinding of an  $\text{IP}_3$  (probability to switch to state  $\{100\}$ ,  $P_{110 \rightarrow 100}$ ) depending on  $D_{\text{Ca}}$  and on  $R_{\gamma}$ . Probability of closure due to  $\text{Ca}^{2+}$  unbinding from activating site,  $P_{110 \rightarrow 010}$  can be deduced from  $1 = P_{110 \rightarrow 010} + P_{110 \rightarrow 100} + P_{110 \rightarrow 111}$ . Data are presented as mean  $\pm$  standard deviation over 20 simulations. Lines are guide for the eyes. Note that the x-axis scales in (C), (D), (E) and (F) are not regularly spaced. Other parameters:  $\eta = 1$  (no clustering),  $a_1 = 1$ ,

cation of figure IV.4C-D confirms these interpretations: when  $\text{IP}_3\text{R}$ -dependent and  $\text{IP}_3\text{R}$ -independent  $\text{Ca}^{2+}$  sources are co-localized, i.e. for  $R_\gamma < 5$ , the value of  $D_{\text{Ca}}$  controls  $\text{Ca}^{2+}$  transient frequency, as well as the probability to observe a puff. The effects are strong: for instance for  $R_\gamma = 0$ , decreasing  $D_{\text{Ca}}$  from 5 to 0.1 increases the frequency roughly threefold. However, when the  $\text{IP}_3\text{R}$ -independent influx was not co-localized with  $\text{IP}_3\text{R}$  channels (i.e. for  $R_\gamma \geq 5$ ), both the peak frequency and the type of signal were found not to depend on the  $\text{Ca}^{2+}$  diffusion coefficient anymore. Those results suggest that  $\text{Ca}^{2+}$  diffusivity could control the frequency and type of  $\text{Ca}^{2+}$  signals within astrocytes when  $\text{IP}_3\text{R}$  channels are co-localized with  $\text{IP}_3\text{R}$ -independent  $\text{Ca}^{2+}$  sources.

Once open, i.e in state  $\{110\}$ , the  $\text{IP}_3\text{R}$  can switch to state  $\{111\}$  with probability  $P_{110 \rightarrow 111}$ , due to binding of  $\text{Ca}^{2+}$  to the inactivating site. Open receptors can also switch to state  $\{100\}$  (or  $\{010\}$ ) with probability  $P_{110 \rightarrow 100}$  (or  $P_{110 \rightarrow 010}$ , respectively), due to the unbinding of  $\text{IP}_3$  (or of  $\text{Ca}^{2+}$ , respectively) from the activating site. Fig IV.4E-F shows how the probabilities  $P_{110 \rightarrow 111}$  and  $P_{110 \rightarrow 100}$  vary with  $D_{\text{Ca}}$  and  $R_\gamma$  ( $P_{110 \rightarrow 010}$  can be deduced from  $1 = P_{110 \rightarrow 010} + P_{110 \rightarrow 100} + P_{110 \rightarrow 111}$ ). In contrast,  $R_\gamma$  has no significant effect on  $P_{110 \rightarrow 111}$ ,  $P_{110 \rightarrow 100}$  and  $P_{110 \rightarrow 010}$  probabilities. The effect of the effective diffusion coefficient  $D_{\text{Ca}}$  is strong: when low, most of  $\text{IP}_3\text{R}$  closure is due to the binding of  $\text{Ca}^{2+}$  to the inhibiting site. As  $D_{\text{Ca}}$  increases,  $P_{110 \rightarrow 111}$  decreases and in well-mixed conditions ( $D_{\text{Ca}} = \infty$ ),  $\text{IP}_3\text{R}$  closure is always due to the stochastic unbinding of  $\text{IP}_3$  and  $\text{Ca}^{2+}$ . So, receptor closure is strongly dominated by binding of  $\text{Ca}^{2+}$  to the inactivating site when  $\text{Ca}^{2+}$  effective diffusion is slow, but mostly relies on unbinding from the activating sites for fast  $\text{Ca}^{2+}$  effective diffusion. This result illustrates that well-mixed simulations are not well-suited to study the self-inhibiting behaviour of  $\text{IP}_3\text{R}$ , i.e the fact that the  $\text{Ca}^{2+}$  influx resulting from the opening of a given  $\text{IP}_3\text{R}$  can subsequently shut down this very receptor. Therefore accounting for diffusion with spatial models appears necessary to the study of the dynamics of  $\text{IP}_3\text{R}$  at the single-receptor scale.

#### IV.2.4 $\text{IP}_3\text{R}$ clustering controls $\text{Ca}^{2+}$ signals when co-localized

Experimental data demonstrate that  $\text{IP}_3\text{R}$  in SH-SY5Y and COS7 cells are not uniformly distributed on the ER membrane but form clusters [264, 265]. We next investigated the impact of  $\text{IP}_3\text{R}$  clustering on  $\text{Ca}^{2+}$  signal dynamics in our particle-based model. Simulations were performed with  $D_{\text{ca}}=0.1$  and various amounts of co-localization between  $\text{IP}_3\text{R}$  channels and other  $\text{Ca}^{2+}$  sources (parameter  $R_\gamma$ ). Representative simulations for uniformly-distributed  $\text{IP}_3\text{R}$  channels ( $\eta = 1$ ) and strongly clustered  $\text{IP}_3\text{R}$  ( $\eta = 50$ ) are presented in figure IV.5A-B. In these two examples, the  $\text{IP}_3\text{R}$  were weakly co-localized with the  $\text{IP}_3$ -independent  $\text{Ca}^{2+}$  sources

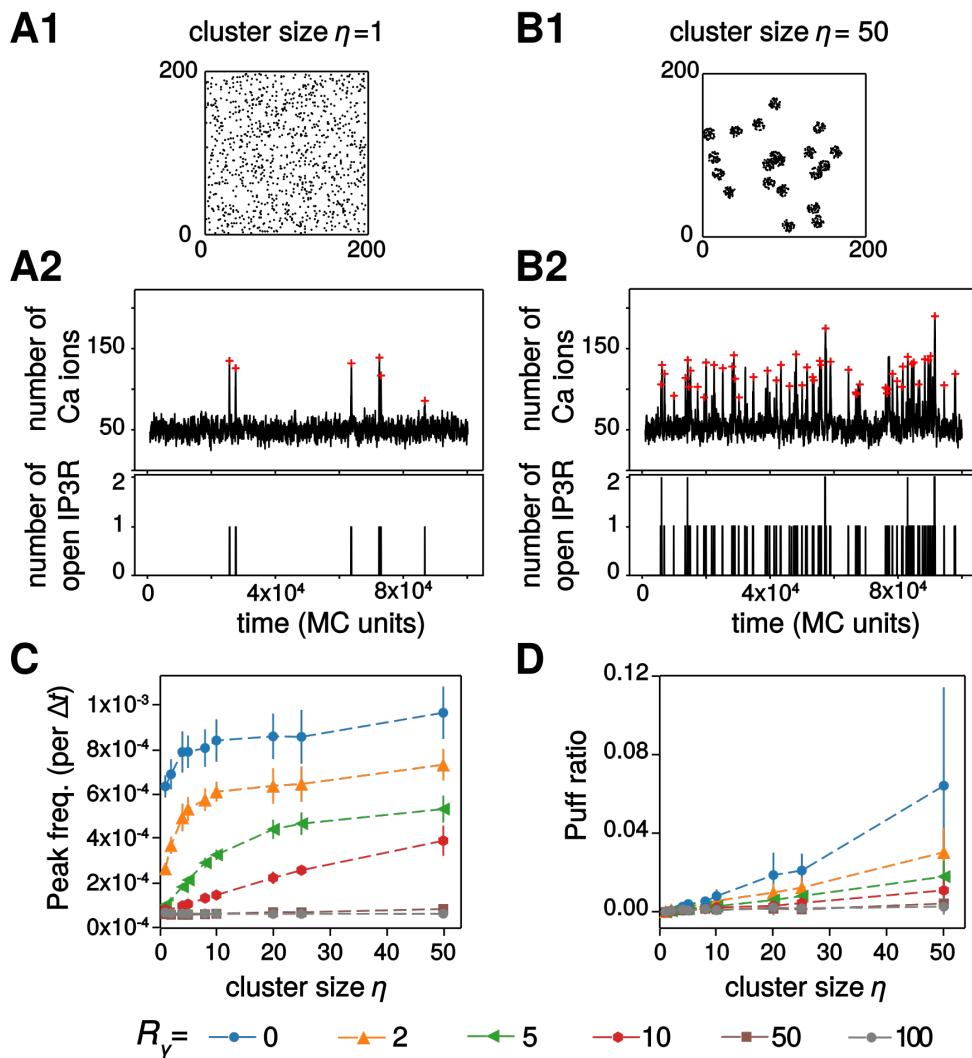
(i.e.  $R_\gamma = 10$ ). These traces indicate that the frequency and type of  $\text{Ca}^{2+}$  signal in this case is heavily dependent on the spatial distribution of  $\text{IP}_3\text{R}$  channels: clustered  $\text{IP}_3\text{R}$  seem to exhibit much larger peak frequency and slightly more frequent puffs. However, here again this effect is quite mitigated by the amount of co-localization between  $\text{IP}_3\text{R}$  channels and the  $\text{IP}_3\text{R}$ -independent  $\text{Ca}^{2+}$  sources. In particular, the dynamical range of the modulation by  $\text{IP}_3\text{R}$  cluster size  $\eta$  (i.e. the ratio between the frequency at  $\eta = 50$  and  $\eta = 1$ ) is maximal for intermediate co-localizations ( $2 \leq R_\gamma \leq 10$ ) but the  $\text{Ca}^{2+}$  peak frequency is hardly dependent on  $\eta$  when co-localization is either very strong ( $R_\gamma < 2$ ) or very weak ( $R_\gamma \geq 50$ ). Increasing clustering also tends to improve the emergence of puffs, although the effect is significant only for strong co-localization ( $R_\gamma \leq 2$ , Fig IV.5D). We emphasize that in such cases of strong co-localization, the regime of  $\text{Ca}^{2+}$  activity (puffs *vs* blips) changes by simply rearranging the spatial distribution of the  $\text{IP}_3\text{Rs}$ , without changing any of the kinetics parameters of the model.

Taken together, those simulation results pinpoint the interplay between  $\text{Ca}^{2+}$  source co-localization and the degree of  $\text{IP}_3\text{R}$  clustering as a crucial modulator of temporal characteristics of the  $\text{Ca}^{2+}$  signals and of the signaling regime. In particular, they suggest that in the presence of certain amount of co-localization between  $\text{IP}_3\text{R}$  channels and other sources of  $\text{Ca}^{2+}$  influx in the cytosol the spontaneous  $\text{Ca}^{2+}$  peak frequency can have a large amplitude variation. Within this range of parameters,  $\text{Ca}^{2+}$  peak frequency can be finely tuned by the geometry of the colocalization.

## IV.3 Discussion

### **IP<sub>3</sub>R subunits cooperativity and spontaneous signals**

$\text{IP}_3\text{R}$  channels assemble as tetramers and a recent experimental study suggested that the four subunits of the tetramer must be simultaneously bound to  $\text{IP}_3$  for the tetramer to allow  $\text{Ca}^{2+}$  influx, independently of cytosolic  $\text{Ca}^{2+}$  or ATP concentrations [275] (see section II.2). Actually, the original  $\text{IP}_3\text{R}$  models predicted that subunit cooperativity for  $\text{Ca}^{2+}$  binding is also necessary to fit experimental data of  $\text{IP}_3\text{R}$  dynamics [8, 302]. Even though the  $\text{IP}_3\text{R}$  binding sites for  $\text{Ca}^{2+}$  have been characterized, their roles in  $\text{IP}_3\text{R}$  dynamics are still poorly understood [274]. The requirement for inter-subunit cooperativity, in which the 4  $\text{IP}_3$  binding sites should simultaneously be bound for the tetramer to open, is expected to hinder the emergence of spontaneous  $\text{Ca}^{2+}$  events. In a subset of simulations, we have replaced our non-cooperative  $\text{IP}_3\text{R}$  model, in which the binding of a single  $\text{IP}_3$  site is enough to open the monomer channel, with the cooperative model proposed by Bicknell and



**Figure IV.5: IP<sub>3</sub>R clustering modulates  $\text{Ca}^{2+}$  signals when co-localized.** Representative simulations of the particle-based model with the corresponding IP<sub>3</sub>R distribution over space, the  $\text{Ca}^{2+}$  trace and number of open IP<sub>3</sub>R for weakly co-localized  $\text{Ca}^{2+}$  sources ( $R_\gamma = 10$ ) in the case of uniform distribution of the IP<sub>3</sub>R (A) or strongly clustered IP<sub>3</sub>R (B) are illustrated. The red crosses show peak locations from automatic detection. The impact of IP<sub>3</sub>R cluster size  $\eta$  on  $\text{Ca}^{2+}$  peak frequency (C) and on the amount of puffs (D) are shown for different values of the cluster size: from  $\eta = 1$  (IP<sub>3</sub>R are not clustered) to  $\eta = 50$  (strong clustering). Data are presented as mean  $\pm$  standard deviation over 20 simulations. Lines are guide for the eyes. Other parameters :  $D_{\text{Ca}} = 0.1$ ,  $a_1 = 1$ ,  $\mu = 50$ .

collaborators [549]. With 100 nM basal IP<sub>3</sub> and Ca<sup>2+</sup> [550, 551], we could not produce spontaneous Ca<sup>2+</sup> signals, even after a search of the parameter space to locate parameters allowing spontaneous activity with this cooperative model. This issue might reflect a general problem of the De Young Keizer model in discrete particle-based models with low copy number of particles. The De Young-Keizer model is based on steady-state experimental data representing averages over time and over channel populations, which proved sufficient to reproduce experimental statistics such as the average open time or the steady-state open probability. However, this model might not be suited to describe behaviors at the level of individual channels and low copy number of particles. More recent models have been proposed that successfully reproduce the evolution with time of the open/close dynamics of a single IP<sub>3</sub>R [380, 381]. In those models, the transition rates between different states of the IP<sub>3</sub>R are not triggered by Ca<sup>2+</sup> or IP<sub>3</sub> binding events but by complex continuous functions of their concentrations (see section III.2). We could not implement such complicated functions with a pure particle-based modeling strategy such as used here. Therefore, further investigation is needed to clarify the suitability of the De Young-Keizer model in the context of particle-based spatially-explicit stochastic models. Alternatively, our results may be interpreted as casting doubts on the existence of spontaneous Ca<sup>2+</sup> signals in astrocytes when the basal IP<sub>3</sub> and Ca<sup>2+</sup> concentrations are of the order of 100 nM (see also section V.3).

### Varying IP<sub>3</sub>R affinity for Ca<sup>2+</sup> and the effective $D_{Ca}$ as a mechanism for Ca<sup>2+</sup> signals diversity

In our model, the value of the rate constant for Ca<sup>2+</sup> binding to IP<sub>3</sub>R changes the type of spontaneous dynamics (e.g. blips vs puffs) in addition to its characteristics (frequency, amplitude). Experimentally, several post-transcriptional mechanisms can modulate IP<sub>3</sub>R affinity. For instance, phosphorylation of type-1 and -2 IP<sub>3</sub>R by cAMP-activated PKA increases the affinity of IP<sub>3</sub>R to Ca<sup>2+</sup> and IP<sub>3</sub> [278]. At a larger time scale, the sensitivity of IP<sub>3</sub>R to Ca<sup>2+</sup> is encoded in a sequence of Ca<sup>2+</sup> sensor (Cas) region that differs depending on the IP<sub>3</sub>R isoform [552, 553, 266]. Since multiple IP<sub>3</sub>R isoforms seem to be involved in Ca<sup>2+</sup> signaling within astrocytic processes [260], they could assemble into a variety of homo- or hetero- IP<sub>3</sub>R tetramers that would exhibit a range of Ca<sup>2+</sup> and IP<sub>3</sub> affinity.

In addition, immobile or weakly mobile endogenous Ca<sup>2+</sup> buffers are responsible for an effective intracellular Ca<sup>2+</sup> diffusion that is an order of magnitude slower than free Ca<sup>2+</sup> ions [554]. The variability of these endogenous Ca<sup>2+</sup> buffers, with various kinetics and various diffusion coefficients, is large [555]. Some of them are overexpressed in hippocampal and striatal astrocytes, possibly in a region-specific

pattern [28]. Our simulation results indicate that the value of the effective  $\text{Ca}^{2+}$  mobility also participates in the determination of the type and characteristics of the spontaneous events, thus confirming previous modeling approaches [543]. Such a regional differential expression of the genes coding for endogenous  $\text{Ca}^{2+}$  buffers could thus be involved in the regional variability of astrocytic  $\text{Ca}^{2+}$  signaling [64].

Therefore, the  $\text{IP}_3\text{R}$  repertoire, the post-transcriptional regulation of  $\text{IP}_3\text{R}$  affinity and the differential expression of endogenous  $\text{Ca}^{2+}$  buffers could also be potential determinants allowing a range of responsiveness and spatio-temporal characteristics of  $\text{Ca}^{2+}$  signals in astrocyte processes.

### **IP<sub>3</sub>R clustering as a potential mechanism for plastic information processing in astrocytes**

For simplicity,  $\text{IP}_3\text{R}$  clustering in our model was considered static during simulation time. Experimentally, though,  $\text{IP}_3\text{R}$  clustering might be highly dynamic [556, 557] even if reported not dynamic over minutes in HeLa cells [480]. Several molecules can trigger  $\text{IP}_3\text{R}$  clustering, including  $\text{IP}_3$  and  $\text{Ca}^{2+}$  themselves [557, 556], through a mechanism that may include the lateral diffusion of  $\text{IP}_3\text{R}$  on the ER surface [557] or be independent from it [558]. Beyond this  $\text{IP}_3\text{R}$  classification into clustered and un-clustered populations, another approach is to quantify single  $\text{IP}_3\text{R}$  channels based on their mobility. A recent study on HeLa cells [480] indicates that  $\text{Ca}^{2+}$  signals emerge most of the time from immobile  $\text{IP}_3\text{R}$  channels, which are found in apposition to ER-plasma membrane junctions, whereas the mobile  $\text{IP}_3\text{R}$  fraction would not be involved in  $\text{Ca}^{2+}$  influx. Our simulation results, in agreement with previous  $\text{IP}_3\text{R}$ -mediated  $\text{Ca}^{2+}$  models [369, 533], indicate that  $\text{IP}_3\text{R}$  clustering can lead to an increase of the frequency and amplitude of their  $\text{Ca}^{2+}$  signals. This result is in contradiction with a previous modeling study that concluded in favor of a reduction of  $\text{IP}_3\text{R}$  channel activity upon  $\text{IP}_3\text{R}$  clustering [536]. This discrepancy might rely on the different modeling choices. In particular, the model in [536] incorporates a 5-state  $\text{IP}_3\text{R}$  model derived from [552, 559]. All of those modeling studies however agree that dynamical  $\text{IP}_3\text{R}$  clustering could be a mechanism used by astrocyte processes to modulate their  $\text{Ca}^{2+}$  signals. This could provide astrocyte processes with a capacity for information processing plasticity.

### **Conclusion**

In this chapter, a 2D individual-based model has been presented to investigate intracellular  $\text{Ca}^{2+}$  signaling based on CICR in small sub-cellular volumes. Simula-

tions of the model show that spontaneous  $\text{Ca}^{2+}$  signals arise in the model via the interplay between the excitability of the system and its stochasticity. The model accounts for both types of  $\text{Ca}^{2+}$  signals in astrocytic ramifications ("blip" or "puff") and their frequencies depend on the spatial organization of the signaling molecules. Therefore, two ramifications expressing exactly the same  $\text{Ca}^{2+}$  channels can display different types of  $\text{Ca}^{2+}$  signals depending on the spatial organization of their channels. Moreover, we found that co-localization of sources of  $\text{Ca}^{2+}$  influx in the cytosol is paramount to the diversity of the signals. It would thus be interesting to investigate whether those co-localizations can be observed at specific locations, such as at neuron-astrocyte contact sites. This model therefore represents a validated spatially-extended stochastic tool to investigate  $\text{Ca}^{2+}$  signals and constitutes a significant advance in intracellular signaling in general because it presents the first fully stochastic model suited to investigate  $\text{Ca}^{2+}$  dynamics in small compartments.



## Chapter V

# Modeling spontaneous $\text{Ca}^{2+}$ signals in realistic 3D geometries

The 2D simulations of the particle-based model presented in Chapter IV have the advantage of a good computational efficiency, which makes them suitable for parametric studies with averaging over a number of Monte-Carlo simulations. However, the 2D setting does not facilitate the comparison of the copy number of molecules in the simulations with molecular concentrations as measured experimentally. Moreover, it is difficult to investigate the impact of the localization of  $\text{IP}_3\text{R}$  channels at the surface of the ER membrane (rather than freely diffusing in the cytosol) with a 2D setting. To address those questions, we have extended the model for simulations in three-dimensional geometries, which will be presented in this chapter. In this implementation, molecular concentrations and volumes can be precisely adjusted to experimental data from astrocytic processes.

The experimental work presented in this chapter has been performed by our collaborators: U. V. Nägerl's team, Interdisciplinary Institute for Neuroscience, Université de Bordeaux, France and C. Calì, BESE Division, King Abdullah University of Science and Technology, Thuwal, Saudi Arabia. They have conducted  $\text{Ca}^{2+}$  imaging experiments (see Arizono et al [9] for methods) and electron microscopy (see Calì et al [32] for methods), respectively.

### V.1 Simulating $\text{Ca}^{2+}$ signals in a simplified 3D PAP geometry

As 80% of  $\text{Ca}^{2+}$  activity occurs in astrocytic ramifications that cannot be resolved by optical microscopy [7], astrocytic  $\text{Ca}^{2+}$  signaling models must take into account

small volumes associated to it. This section presents simulations performed in a simplified 3D geometry that is suited for reproducing concentrations and volumes encountered in PAPs. After validating the model against experimental data, we have investigated its behavior depending on spatial distributions of molecules and on cellular geometry.

### V.1.1 Experimental measurements of $\text{Ca}^{2+}$ signals

Experimental measurements of  $\text{Ca}^{2+}$  dynamics in microdomains in mice hippocampal organotypic culture have been performed by our collaborators (see Fig V.2A for data and Arizono et al [9] for methods). Organotypic slices were used as they provide better optical access and sample stability, which, combined with confocal microscopy, enabled to distinguish individual processes (resolution  $\approx 200$  nm VS  $\approx 500$  nm with two-photon microscopy *in vivo*). While this resolution was not enough to resolve the exact sizes of PAPs, it provided the most realistic  $\text{Ca}^{2+}$  dynamics experimentally available for  $\text{Ca}^{2+}$  transients occurring at fine astrocytic processes. Their data reveal the sponge-like structure of processes (Fig V.2A1), with localized submicron  $\text{Ca}^{2+}$  microdomains (regions of interest (ROI) in Fig V.2A2) of size that can be less than  $0.5\mu\text{m}^2$ . The corresponding  $\text{Ca}^{2+}$  traces display infrequent (a few hundredths of Hz) peaks with average amplitude  $\approx 2.5$  ( $\Delta F/F$ ) and typical duration of  $\approx 2.7$  seconds at FWHM (Fig V.2A3 and D). Notice that these experimental traces correspond to spontaneous signals to the extent that they were measured in the absence of any neuronal or astrocytic stimulation. In particular, TTX application in this preparation did not alter peak frequency [9].  $\text{Ca}^{2+}$  traces are available at [https://figshare.com/articles/Astrocytic\\_calcium\\_traces\\_from\\_-organotypic\\_hippocampal\\_slices/8951006](https://figshare.com/articles/Astrocytic_calcium_traces_from_-organotypic_hippocampal_slices/8951006).

### V.1.2 Modeling approach

In order to simulate  $\text{Ca}^{2+}$  dynamics within a 3D geometry with realistic volumes and concentrations, we have built a model in STEPS (<http://steps.sourceforge.net/>). STEPS is a software for voxel-based stochastic reaction-diffusion simulations within complex 3D geometries that simulates stochastic chemical reaction-diffusion with a spatialized version of Gillespie's SSA, usually referred to as the reaction-diffusion master equation (RDME) [475] (see section III.4). STEPS uses a derivative of the SSA in tetrahedral voxels that allows for a better resolution than the cubic voxels mostly used in voxel-based models [475].

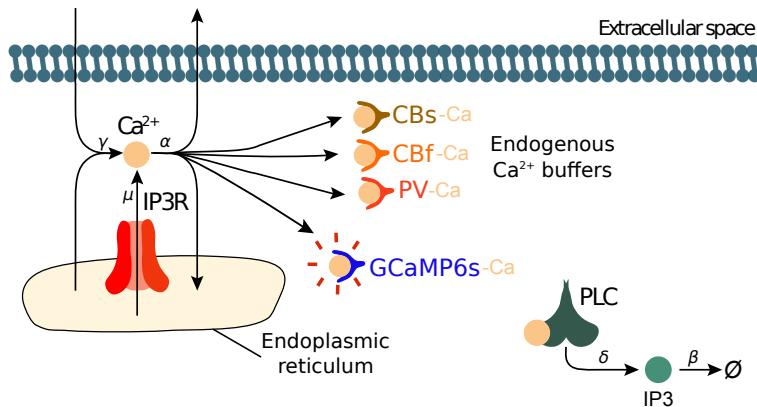
## Geometry

The main advantage of STEPS in the context of this study is its automatic handling of external and internal membranes [446]. Moreover, STEPS simulations can easily be parallelized [560], a crucial property given the computational burden of such compartmentalized 3D simulations. This allowed us to explicitly describe the presence of the ER membrane inside the 3D cell cytoplasm and the fact that  $\text{IP}_3\text{R}$  channels are located on the ER membrane. The geometry of the reaction volume consisted in a cylinder of length  $L_{\text{astro}}=1 \mu\text{m}$  and radius  $R_{\text{astro}}=0.1 \mu\text{m}$ . The ER was modeled as a second cylinder, internal, with length  $L_{\text{ER}}=0.75 \mu\text{m}$  and radius  $R_{\text{ER}}=0.03 \mu\text{m}$ . The resulting cytosolic volume ( $2.81 \times 10^{-17} \text{ L}$ ) was meshed with 11345 tetrahedra of individual volume  $2.48 \times 10^{-21} \text{ L}$ , thus ensuring the well-mixed subvolume condition [475]. The geometry is presented in Fig V.2B.

## Reactions

In this spatial configuration, we modeled the  $\text{IP}_3\text{R}$ -mediated  $\text{Ca}^{2+}$  signaling kinetic scheme of Fig IV.1.  $\text{Ca}^{2+}$  ions and  $\text{IP}_3$  molecules diffuse in the bulk 3D space located between the plasma membrane and that of the ER, while  $\text{IP}_3\text{R}$  molecules are distributed uniformly at random over ER membrane surface. Three model variants were implemented:

- A first variant, referred to as the “No-GCaMP” model, did not include fluorescent  $\text{Ca}^{2+}$  indicators. In this 3D model, parameter values were taken, whenever possible, from the literature (Table A.1).  $\gamma$  and  $\alpha$  values were adjusted to yield basal  $\text{Ca}^{2+}$  concentration  $83 \pm 29 \text{ nM}$  [551, 177]. Likewise,  $\beta$  and  $\mu$  were adjusted for a basal  $\text{IP}_3$  concentration of  $120\text{nM}$  [550]. Note that this value is based on recent, precise measurements of  $\text{IP}_3$  concentration and differs by an order of magnitude from  $\text{IP}_3$  concentration values routinely used in  $\text{IP}_3\text{R}$ -mediated  $\text{Ca}^{2+}$  models [264, 561, 342].  $\text{IP}_3\text{R}$  density on the ER surface has been measured by Wiltgen et al with TIRF-microscopy on cell cultures [481], reporting  $\text{IP}_3\text{R}$  cluster diameters of  $0.3 \mu\text{m}$  at most, with up to 10  $\text{IP}_3\text{R}$  per cluster. The ER surface area in our model is  $0.69 \mu\text{m}^2$ . Ignoring the potential unclustered  $\text{IP}_3\text{R}$  channels [558], this represents a maximum of 4 clusters, thus at most 40  $\text{IP}_3\text{R}$  channels. We thus set the number of  $\text{IP}_3\text{R}$  in our model to 50 channels on the ER surface. Finally,  $\text{Ca}^{2+}$  and  $\text{IP}_3$  binding and dissociation constants to  $\text{IP}_3\text{R}$  were adjusted to fit the experimental data from our collaborators of  $\text{Ca}^{2+}$  microdomains in organotypic cultures of hippocampal astrocytes.
- A second variant of the 3D model, referred to as the “GCaMP”(=“GCaMP6s”) model, was obtained by adding GCaMP6s  $\text{Ca}^{2+}$  indicators in the cytosol.



**Figure V.1: Reaction scheme of the 3D "GC+Buf" model.** This figure presents the biochemical reactions and regulatory interactions modeled in the endogenous buffers model, "GC+Buf", in 3D. Reactions are the same as the ones described in Fig IV.1, except that new particles have been added: slow (CBs) and fast (CBf) calbindin as well as parvalbumin (PV), that can bind  $\text{Ca}^{2+}$  ions and diffuse, whether bound or not. Parameter values associated with this model are presented in Table A.2.

GCaMP6s are ultrasensitive  $\text{Ca}^{2+}$  indicators that fluoresce when bound to  $\text{Ca}^{2+}$ . The fluorescence signal from experimental data indeed corresponds to the concentration of  $\text{Ca}^{2+}$ -bound GCaMP6s, which can be quite different from free cytosolic  $\text{Ca}^{2+}$  trace. The same GCaMP-independent parameter values as the "No-GCaMP" model have been used. The parameters related to GCaMP were taken from the available experimental literature and are shown in Table A.1. Some variations of this model with GCaMP6f indicator have been developed: "GCaMP6f" and "Lck-GCaMP6f", referring respectively to models with GCaMP6f that is cytosolic or anchored to the plasma membrane. GCaMP6f kinetics was taken from Chen et al [186].

- A third variant of the 3D model, referred to as the "GC+Buf" model, was obtained by adding endogenous buffers to the "GCaMP" model. The kinetic scheme is presented in Fig V.1. Briefly, 3 different endogenous buffers were modeled: slow and fast calbindin (CBs and CBf, respectively) and parvalbumin (PV). Parameter values for  $\text{Ca}^{2+}$ ,  $\text{IP}_3$ ,  $\text{IP}_3\text{R}$  and GCaMP dynamics were the same as the "GCaMP" model. Parameter values for endogenous buffers dynamics were taken from the literature [484] and are presented in Table A.2.

### Clustering

In order to investigate the effect of  $\text{IP}_3\text{R}$  clustering in the 3D implementation of the model, the same parameters as the "GCaMP" model were used, except  $\text{IP}_3\text{R}$ -

independent cytosolic  $\text{Ca}^{2+}$  influx,  $\gamma$ . Indeed, in order to facilitate the control of the localization of  $\text{Ca}^{2+}$  sources in STEPS,  $\text{IP}_3\text{R}$ -independent cytosolic  $\text{Ca}^{2+}$  influx has been implemented as channel-dependent  $\text{Ca}^{2+}$  fluxes. To do so,  $\text{Ca}^{2+}$  channels,  $\gamma_{\text{chPM}}$ , were added to the model, located at the plasma membrane. There were as many  $\gamma_{\text{chPM}}$  on the plasma membrane as  $\text{IP}_3\text{R}$  channels on the ER membrane: 50.  $\gamma$  in those simulations is  $15 \times 10^{-8} \text{s}^{-1}$ .

- *Clustering algorithm*

ER surface was divided in triangles. As ER is cylindrical and in order to facilitate the implementation of co-clustering, we have omitted the circles as potential surface for  $\text{IP}_3\text{R}$  clustering. Triangles that belong to the surface of interest were stored in a list. For each cluster, a triangle was chosen randomly within the list. If no cluster center existed yet, then cluster center was defined in this triangle. If some cluster centers already existed, then for each cluster center, inter-cluster center distance had to be greater than 20nm. Then each cluster center and its neighboring triangles formed the surface of an  $\text{IP}_3\text{R}$  cluster. Please note that this approach is simplistic and does not account for  $\text{IP}_3\text{R}$  size ( 25nm [274]) or for inter- $\text{IP}_3\text{R}$  and inter-clusters distances found in the literature [481]. Each cluster surface was then defined as a region of interest (ROI) as defined in STEPS. The given number of  $\text{IP}_3\text{R}$  per cluster was then added to this ROI.

- *Co-clustering algorithm*

If  $\text{cocl}=0$ ,  $\gamma_{\text{chPM}}$   $\text{Ca}^{2+}$  channels were randomly distributed on the plasma membrane. If  $\text{cocl}=1$ ,  $\gamma_{\text{chPM}}$  channels were co-clustered with  $\text{IP}_3\text{Rs}$ . Similarly to  $\text{IP}_3\text{R}$  clustering algorithm, pumps were distributed into cluster patches on the plasma membrane. For each  $\text{IP}_3\text{R}$  cluster center previously described, the closest triangle on plasma membrane was defined as the center for  $\gamma_{\text{chPM}}$  cluster. Each  $\gamma_{\text{chPM}}$  cluster patch was then defined as a ROI consisting in this center triangle and its neighboring triangles. For simplicity,  $\gamma_{\text{chPM}}$  cluster size was identical to  $\text{IP}_3\text{R}$  cluster size.

Note that in order to avoid spatial overlapping of both  $\text{IP}_3\text{R}$  and  $\gamma_{\text{chPM}}$  clusters, the list of potential membranous triangles for positioning a new cluster center was deprived of the triangles that belonged to previously created clusters. Because of this implementation, clusters did not always consist in the same number of triangles. Indeed, most clusters contained the central triangle and its 3 neighbors but few contained only 3 triangles as one of the neighbors already belonged to another cluster. This effect occurred in simulations with a high number of clusters (i.e small cluster size  $\eta$ ).

## Simulation code

The code of the "GCaMP" variant of the model is available on ModelDB [562] at <http://modeldb.yale.edu/247694>.

### **Peak detection and analysis**

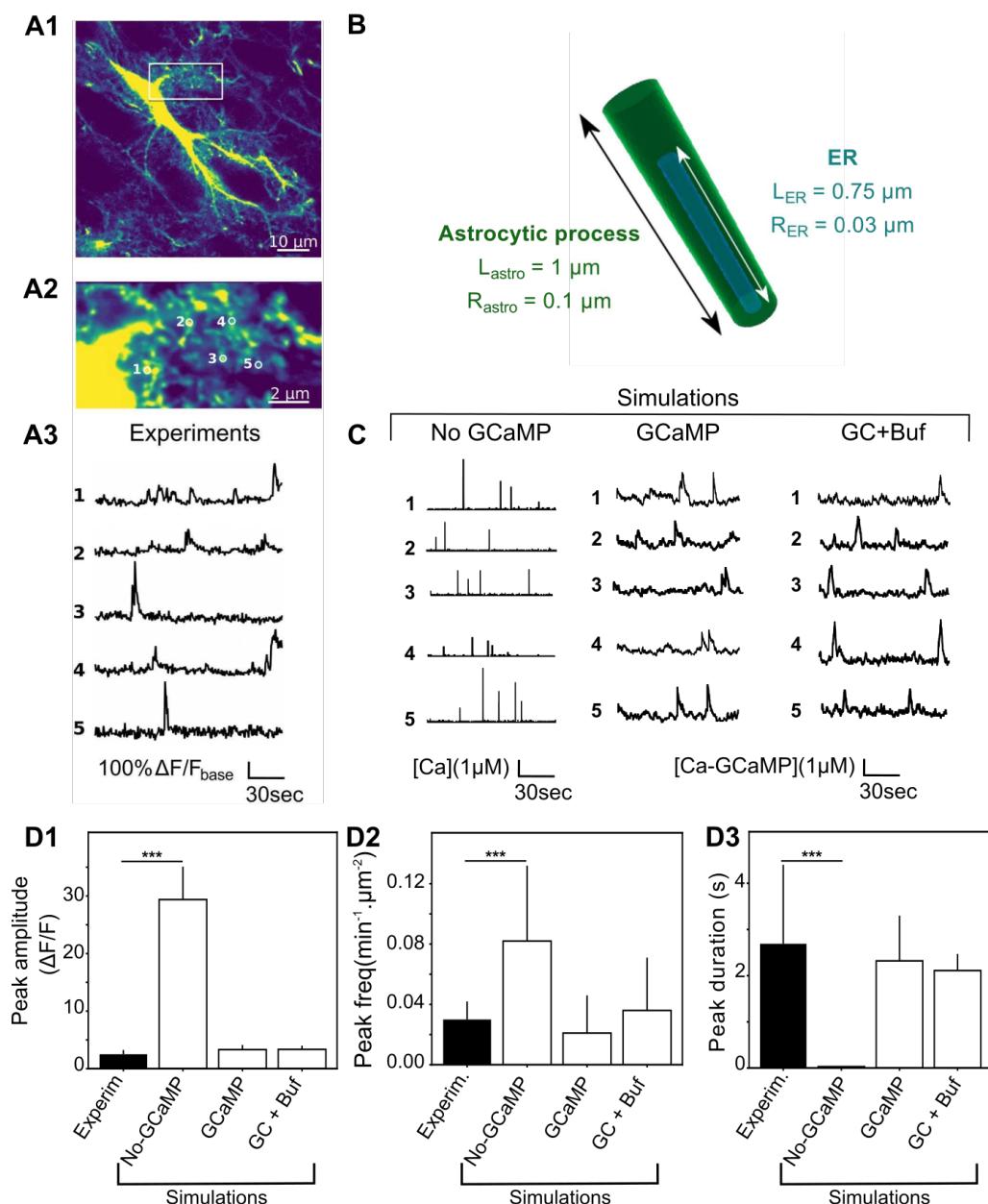
Peak detection was performed with the same strategy as presented in section IV.1. Peak amplitude A was however rescaled to facilitate comparison with experimental data, using  $\Delta F/F = (A - Ca_{\text{baseline}})/Ca_{\text{baseline}}$ , where  $Ca_{\text{baseline}}$  is the basal  $[\text{Ca}^{2+}]$  and peak duration was expressed as full width at half maximum (FWHM).

### **V.1.3 Results**

#### **Simulations in a compartmentalized 3D geometry reproduce spontaneous $\text{Ca}^{2+}$ microdomains signals**

Our first noticeable result is that the model is able to reproduce the emergence of spontaneous  $\text{Ca}^{2+}$  peaks of comparable frequency, duration and signal-to-noise ratio (Fig V.2C) to those measured experimentally. This result therefore indicates that spontaneous  $\text{Ca}^{2+}$  signals can emerge in fine processes even with a realistic basal  $\text{Ca}^{2+}$  concentration of  $83 \pm 29 \text{ nM}$ , which corresponds to only one to two  $\text{Ca}^{2+}$  ions in the whole cylinder. Quantification of free  $\text{Ca}^{2+}$  signal properties (Fig V.2D) shows that signals are quantitatively and qualitatively different from experimental signals (Fig V.2C, D, "No-GCaMP" simulations). Adding GCaMP6s to the model, and thus measuring GCaMP-Ca=Ca-GCaMP signals, improved drastically both qualitatively and quantitatively the match between simulations and experimental data (Fig V.2C, D, "GCaMP" and "GC+Buf" simulations), with no apparent difference between the "GCaMP" and the "GC+Buf" simulations. Note that our experimental statistics are tightly associated with the temporal sampling frequency used in the experiments (2 Hz) since very fast  $\text{Ca}^{2+}$  events may be accessible only to higher sampling frequencies [7]. In particular, the experimental peak frequency measured might have been higher with better temporal resolution. The spontaneous signals measured in organotypic hippocampal cultures by our collaborators are of the same order of magnitude than the ones measured *in vivo* [563, 7]. In any case, our results show that genetically encoded  $\text{Ca}^{2+}$  indicators (GECIs), such as GCaMP6s, may change local  $\text{Ca}^{2+}$  concentration, in particular close to open IP<sub>3</sub>R channels, leading to an increased peak duration. Those results are in accordance with previous studies that demonstrate that  $\text{Ca}^{2+}$  buffers, such as GECIs, modulate signal readout [366, 545].

Together those results demonstrate that our model, without any endogenous



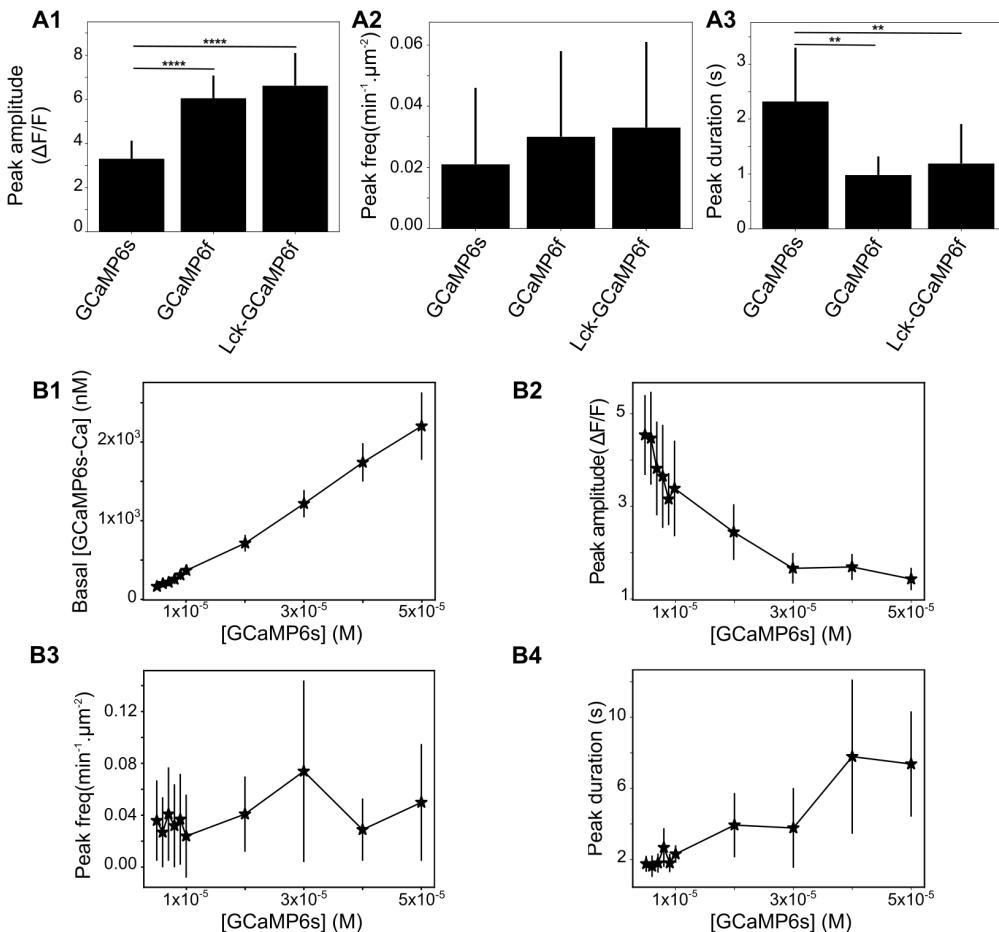
**Figure V.2: 3D model simulations in fine astrocyte processes successfully reproduce  $\text{Ca}^{2+}$  microdomains signals.** (A) Experimental monitoring of the spontaneous local  $\text{Ca}^{2+}$  signals in astrocytic sponge-like processes. Panel A1 shows a 'summed projection' of a confocal time lapse image stack of a GCaMP6s-expressing astrocyte. Panel A2 illustrates magnification of the boxed region of panel A1. Panel A3 displays spontaneous  $\text{Ca}^{2+}$  traces from the regions of interest shown in (A2). (B) The 3D geometry used for the 3D model is a cylinder of length  $L_{\text{astro}}=1 \mu\text{m}$  and radius  $R_{\text{astro}}=0.1 \mu\text{m}$ , with ER as a thinner cylinder inside. The interior volume is roughly 0.03 fL. (C) Representative simulations of  $\text{Ca}^{2+}$  dynamics within the above cylinder with the "No-GCaMP", "GCaMP" and "GC+Buf" simulations. The raw signal corresponds to cytosolic free  $\text{Ca}^{2+}$  concentration for the "No-GCaMP" model and to  $\text{Ca}^{2+}$ -bound GCaMP concentration for "GCaMP" and "GC+Buf" models. For all simulation types, parameter values were partly taken from the literature and partly adjusted for fitting  $\text{Ca}^{2+}$  traces shown in A (see Table A.1). (D) Quantitative comparisons of the spontaneous  $\text{Ca}^{2+}$  signals measured experimentally (black bars) or simulated with the "No-GCaMP", "GCaMP" or "GC+Buf" models (white bars). The compared quantities are peaks amplitude in terms of  $\Delta F/F$  ratio (D1), their frequency (measured in  $\text{min}^{-1}$  for each  $\mu\text{m}^2$  area, D2) and duration (expressed as full width at half maximum, FWHM, in s, D3). Significance is assigned by \* for

buffers, is enough to reproduce  $\text{Ca}^{2+}$  signals within fine astrocytic processes in a quantitative way, making it a powerful tool to investigate  $\text{Ca}^{2+}$  dynamics in the small volumes associated with PAPs.

### **Effect of GCaMP properties on $\text{Ca}^{2+}$ dynamics**

Because "GCaMP" simulations revealed that the use of GECIs may change local  $\text{Ca}^{2+}$  concentration and thus impact peak duration, we have next investigated the effect on  $\text{Ca}^{2+}$  dynamics of several parameters defining GCaMP molecules: their kinetics and their concentration. We tested to what extent using different GECIs in our simulations impacted  $\text{Ca}^{2+}$  dynamics. We compared the dynamics of [GCaMP6s-Ca] with those of cytosolic [GCaMP6f-Ca] and membrane-anchored, immobile, [Lck-GCaMP6f-Ca]. Although the total concentration of GECIs in those three models was identical, GCaMP6f-Ca signals displayed higher amplitude and smaller duration than GCaMP6s-Ca signals (Fig V.3A1,3). No significant difference between GCaMP6f-Ca and Lck-GCaMP6f-Ca was observed (Fig V.3A1-3). Those results are partially in agreement with experimental measurements [186] that have reported a similar decrease of peak duration when using GCaMP6f compared to GCaMP6s. However, experimental observations also included a decrease of the peak amplitude with GCaMP6f, that we do not observe. This discrepancy could be due to a higher fluorescence baseline of GCaMP6f-Ca in those experiments, leading to decreased  $\Delta F/F$  ratio.

As the concentration of GECIs cannot be controlled experimentally and is often not reported in  $\text{Ca}^{2+}$  imaging studies, we have next investigated its effect on  $\text{Ca}^{2+}$  signals (Fig V.3B). Our simulations demonstrate that an increased GCaMP concentration in the cell results in a linear increase of basal GCaMP-Ca levels (Fig V.3B1), with an unchanged basal concentration of free  $\text{Ca}^{2+}$ . This result is consistent with simulations of our ODE model of  $\text{Ca}^{2+}$  buffering (see section A.2.1.1). Increased [GCaMP] is associated with a decrease of GCaMP-Ca peak amplitude expressed in terms of  $\Delta F/F$  ratio (Fig V.3B2) and an increase of peak duration (Fig V.3B4). Interestingly, varying [GCaMP] does not seem to have an impact on peak frequency (Fig V.3B3), which is contradictory to Skupin et al's results [530] that have demonstrated a non-linear increase of the average signal period with the concentration of exogenous buffers. However, Skupin et al studied whole-cell EGTA or BAPTA dynamics, which is fundamentally different from the local spontaneous GCaMP-Ca signals in the fine processes that we are modelling here. The fact that we measure no significant difference between membrane-anchored and cytosolic GCaMP concentration is against experimental observations [255] and, according to our sim-



**Figure V.3: The kinetics and concentration of GECIs strongly influence  $\text{Ca}^{2+}$  dynamics.** (A) Quantitative comparisons of the spontaneous  $\text{Ca}^{2+}$  signals measured with “GCaMP6s”, “GCaMP6f” or “Lck-GCaMP6f” as fluorescent reporters. The compared quantities are peaks amplitude in terms of  $\Delta F/F$  ratio (A1), their frequency (measured in  $\text{min}^{-1}$  for each  $\mu\text{m}^2$  area, A2) and duration (expressed as full width at half maximum, FWHM, in s, A3). Significance is assigned by \* for  $p \leq 0.05$ , \*\* for  $p \leq 0.01$ , \*\*\* for  $p \leq 0.001$ . (B) Impact of the concentration of GCaMP6s on basal concentration of GCaMP-Ca (B1, ANOVA, p-value<0.0001 \*\*\*), on the GCaMP-Ca peak amplitude (B2, ANOVA, p-value<0.0001 \*\*\*), frequency (B3, ANOVA, p-value=0.0013 \*\*) and duration (B4, ANOVA, p-value=0.049 \*). Data are presented as mean  $\pm$  standard deviation over 20 simulations. Lines are guide for the eyes.

ulations, might reflect different levels of expression of Lck-GCaMP compared to cytosolic GCaMP. Local variations of GCaMP concentration might thus yield variations of peak duration and amplitude, so that measuring GCaMP concentration and its variations along cellular compartments appears crucial to analyze  $\text{Ca}^{2+}$  signals more accurately.

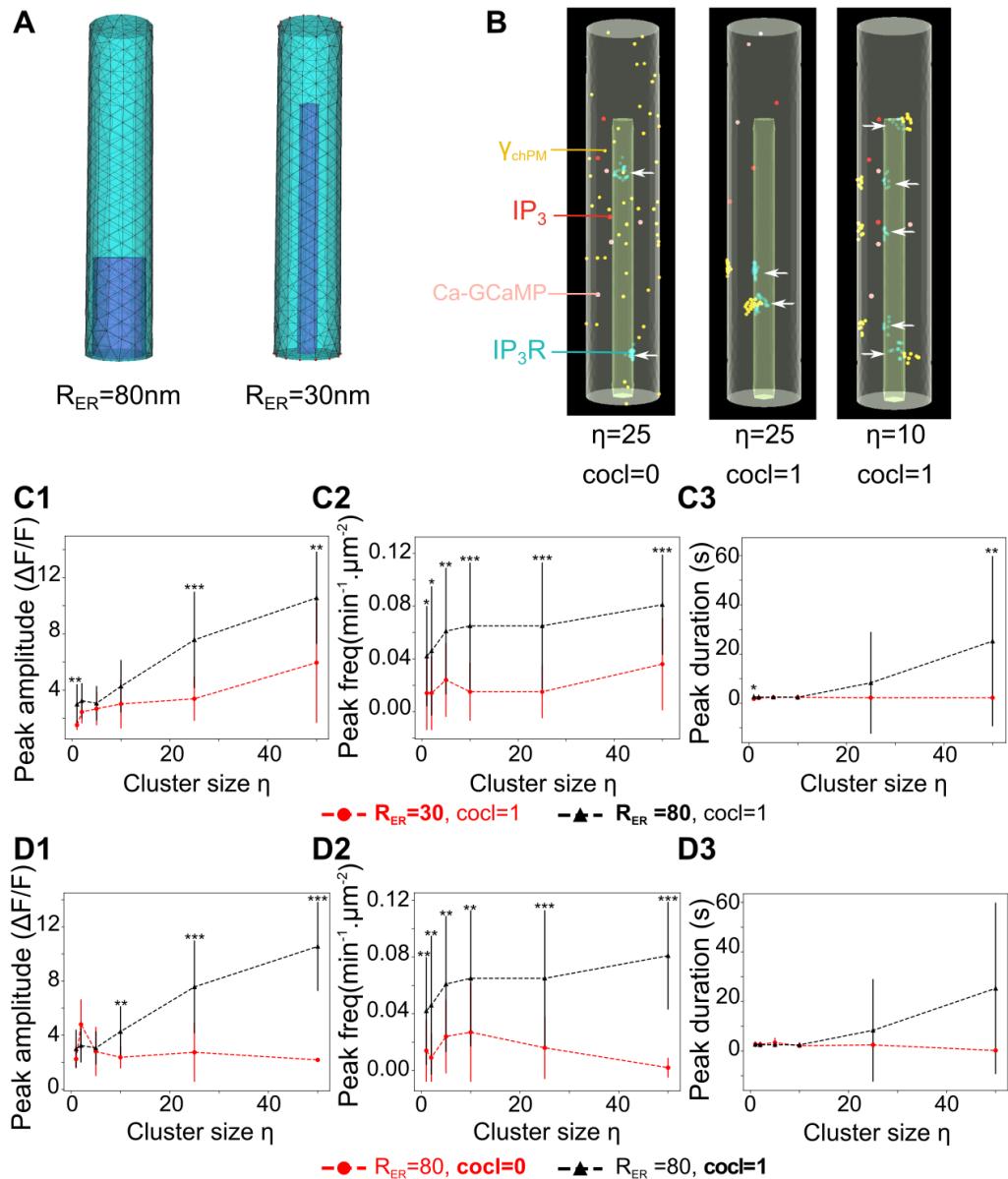
### Effect of $\text{IP}_3\text{R}$ clustering and of cellular geometry on spontaneous $\text{Ca}^{2+}$ signals

As simulations in 2D suggested a role of  $\text{IP}_3\text{R}$  clustering on  $\text{Ca}^{2+}$  dynamics (see Fig IV.5), we have investigated whether this effect could still be observed in the low copy number 3D voxel-based implementation of the model. Since a recent EM study has detected contact sites between ER and plasma membrane (PM) in endfeet [30], we have investigated the effect of the juxtaposition of the endoplasmic (ER) and plasma (PM) membranes on  $\text{Ca}^{2+}$  dynamics. To do so, we have performed simulations with 2 different ER cylinder radii,  $R_{\text{ER}}=30\text{nm}$  and  $80\text{nm}$  and constant ER surface (Fig V.4A), with different  $\text{IP}_3\text{R}$  cluster sizes ( $\eta=1, 2, 5, 10, 25, 50$ ) and constant total number of  $\text{IP}_3\text{R}=50$ , with or without co-clustering of  $\text{Ca}^{2+}$  sources ( $\text{cocl}=1$  or  $0$ , respectively) (Fig V.4B).

$\text{Ca}^{2+}$  signals in geometries with an ER-PM distance at cluster sites that was  $20\text{nm}$  ( $R_{\text{ER}}=80\text{nm}$ ) was associated with higher peak amplitude, frequency and duration compared to geometries with an ER-PM distance of  $70\text{ nm}$  ( $R_{\text{ER}}=30\text{nm}$ ) (Fig V.4C). Moreover, similarly to the 2D model,  $\text{IP}_3\text{R}$  cluster size  $\eta$  had a low impact on  $\text{Ca}^{2+}$  signals when  $\text{Ca}^{2+}$  sources were not co-localized ( $\text{cocl}=0$ , mild increase of peak frequency with  $\eta$ , no impact of  $\eta$  on peak duration and amplitude). As observed in the 2D version of the model (see Fig IV.5),  $\text{IP}_3\text{R}$  cluster size  $\eta$  influences peak amplitude and frequency in 3D but not its duration when  $\text{Ca}^{2+}$  sources are co-clustered ( $\text{cocl}=1$ ), for  $R_{\text{ER}} = 80$  (see Fig V.4D) and  $R_{\text{ER}} = 30$  (not shown).

Overall, our results confirm the results from the 2D implementation of the model (see Chapter IV) that increased  $\text{IP}_3\text{R}$  cluster size, when associated with the co-clustering of  $\text{IP}_3\text{R}$  channels with other  $\text{Ca}^{2+}$  sources, results in an increase of both peak frequency and amplitude.  $\text{Ca}^{2+}$  peak amplitude and frequency are furthermore increased at ER-PM contact sites. This suggests that ER-PM contact sites could constitute preferential sites of  $\text{Ca}^{2+}$  signaling in the cell, favoring the existence of local 'hotspots' of  $\text{Ca}^{2+}$  activity.

To conclude, we show that the spontaneous  $\text{Ca}^{2+}$  signals generated by the 3D implementation of the model with realistic process volume and astrocytic  $\text{Ca}^{2+}$  concentrations successfully reproduce the spontaneous  $\text{Ca}^{2+}$  transients measured in  $\text{Ca}^{2+}$



**Figure V.4:**  $\text{IP}_3\text{R}$  clustering and ER-PM distance influence  $\text{Ca}^{2+}$  dynamics in 3D. (A) In order to test the impact of ER-plasma membrane (PM) distance on the effect of the co-clustering of  $\text{Ca}^{2+}$  sources, geometries with different ER radii ( $R_{\text{ER}}$ ) have been designed.  $R_{\text{ER}} = 30 \text{ nm}$  corresponds to the geometry used in previous simulations (see Fig V.2).  $R_{\text{ER}} = 80 \text{ nm}$ , corresponds to an ER-PM distance of 20 nm, mimicking ER-PM contact sites. The height of ER cylinders has been adapted so that varying  $R_{\text{ER}}$  does not affect total ER surface and  $\text{IP}_3\text{R}$  density. Screenshots of simulations (B) reveal the distribution of molecules in reaction space with varying cluster sizes ( $\eta = 10$  or 25) and the presence or absence of co-clustering of  $\gamma_{\text{chPM}}$  with  $\text{IP}_3\text{R}$  (respectively  $\text{cocl} = 1$  or 0, see section V.1.2). White arrows:  $\text{IP}_3\text{R}$  clusters. The influence of  $\text{IP}_3\text{R}$  cluster size  $\eta$  on  $\text{Ca}^{2+}$  peak amplitude (C1, ANOVA,  $p\text{-value} < 0.0001$  \*\*\* for both  $R_{\text{ER}} = 30$  and 80), peak frequency (C2, ANOVA,  $p\text{-value} = 0.0084$  \*\* for  $R_{\text{ER}} = 30$  and  $p\text{-value} = 0.0092$  \*\* for  $R_{\text{ER}} = 80$ ) and on peak duration (C3, ANOVA,  $p\text{-value} = 0.81$  for  $R_{\text{ER}} = 30$  and  $p\text{-value} < 0.0001$  \*\*\* for  $R_{\text{ER}} = 80$ ) is shown for different values of cluster size: from  $\eta = 1$  ( $\text{IP}_3\text{R}$  channels are not clustered) to  $\eta = 50$  (strong clustering), with co-clustering,  $\text{cocl} = 1$  and with  $R_{\text{ER}} = 30 \text{ nm}$  (red) or 80 nm (black),  $D_{\text{Ca}} = 13 \mu\text{m}^2 \cdot \text{s}^{-1}$ .

**Figure V.4: IP<sub>3</sub>R clustering and ER-PM distance influence  $\text{Ca}^{2+}$  dynamics in 3D (continued).** (D) Quantification of the effect of cluster size on  $\text{Ca}^{2+}$  signals peak amplitude (D1, ANOVA, p-value=0.50 for cocl=0), frequency (D2, ANOVA, p-value=0.031 \* for cocl=0) and duration (D3, ANOVA, p-value=0.091 for cocl=0), for cocl=0 (black) and cocl=1 (red),  $D_{\text{Ca}}=13\mu\text{m}^2.\text{s}^{-1}$ . Data are presented as mean  $\pm$  standard deviation over 20 simulations. Lines are guide for the eyes. Significance of the difference between simulations with  $R_{\text{ER}} = 30$  and  $R_{\text{ER}} = 80$  (C) or cocl=0 and cocl=1 (D) is assigned by \* for  $p \leq 0.05$ , \*\* for  $p \leq 0.01$ , \*\*\* for  $p \leq 0.001$ .

micro-domains with confocal microscopy in organotypic culture of hippocampal astrocytes. Our simulations predict that local variations of the concentration of  $\text{Ca}^{2+}$  indicators such as GECIs might contribute to the diversity of  $\text{Ca}^{2+}$  signals observed in astrocytes so that precise monitoring of their concentration should be performed. Finally, simulations in the 3D geometry confirm the results from the 2D implementation of the model (see Fig IV.5) that increased IP<sub>3</sub>R cluster size, when associated with the co-clustering of IP<sub>3</sub>R channels with other  $\text{Ca}^{2+}$  sources, results in an increase of both peak frequency and amplitude. Furthermore, the 3D implementation of the model suggests that, in 3 spatial dimensions, those effects are particularly enhanced at ER-PM contact sites.

### V.1.4 Discussion

$\text{Ca}^{2+}$  buffering in astrocytes is currently not well described.  $\text{Ca}^{2+}$  buffering could differ depending on sub-cellular localization as some recent papers revealed the existence of local translation in radial glia [564], in processes compared to soma [565] and in endfeet [30]. This could potentially allow for local sets of proteins to be expressed in different concentrations than in the soma. Immobile or weakly mobile endogenous  $\text{Ca}^{2+}$  buffers are responsible for an effective intracellular  $\text{Ca}^{2+}$  diffusion that is an order of magnitude slower than free  $\text{Ca}^{2+}$  ions [554]. Our simulation results indicate that the value of the effective  $\text{Ca}^{2+}$  mobility participates in the determination of the characteristics of the spontaneous events, thus confirming previous experimental [566] and modeling studies [545, 567, 546, 490, 543]. Although our simulations with both GCaMP and endogenous buffers, "GC+Buf", overall displayed similar dynamics to the simulations without endogenous buffers ("GCaMP"), we note that, similarly to the effect of GCaMP concentration, increasing the concentration of endogenous buffers led to longer duration of the  $\text{Ca}^{2+}$  signals. Those results are consistent with previous studies that have demonstrated significant effects of buffers [544] or of intra-cluster channel communication and coupling [545] on  $\text{Ca}^{2+}$  dynam-

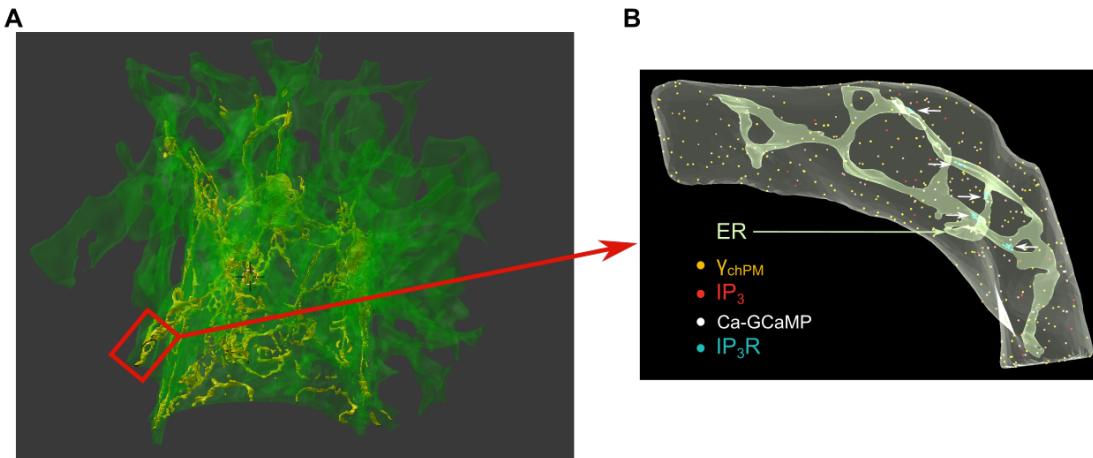
ics. Endogenous  $\text{Ca}^{2+}$  buffers display various kinetics and diffusion coefficients in astrocytes [555] and some of them are overexpressed in hippocampal and striatal astrocytes, possibly in a region-specific pattern [28], which could be involved in the regional variability of astrocytic  $\text{Ca}^{2+}$  signals [64]. Our study shows that precisely accounting for the effects of GECIs and endogenous  $\text{Ca}^{2+}$  buffers on  $\text{Ca}^{2+}$  dynamics is crucial for better interpreting  $\text{Ca}^{2+}$  signals in PAPs. Particular care should be taken when interpreting GCaMP-Ca signals as GCaMP concentration is rarely monitored although it could be partly responsible for the diversity of  $\text{Ca}^{2+}$  signals observed in PAPs.

## V.2 Simulating $\text{Ca}^{2+}$ signals in a 3D geometry extracted from EM

Computational studies of fine astrocytic processes typically consider that their geometry can be simplified as being a cylinder of length  $\approx 1\mu\text{m}$  and of radius  $\approx 50\text{-}100\text{ nm}$  [429, 430], similar to the mesh that we have used in section V.1. In order to investigate whether the cylinder geometry presented in Fig V.2 is a good approximation of a fine astrocytic process, we have performed similar simulations within a realistic PAP geometry. This geometry, referred to as 'Real' or 'Realistic', was extracted from electron microscopy (EM) by our collaborator, C. Calì, KAUST University, Saudi Arabia. We have first compared  $\text{Ca}^{2+}$  dynamics in the 'Real' geometry to the dynamics in the simplified PAP geometry presented in section V.1, referred to as 'Cyl' or 'Cylinder'. Next, we have investigated the impact of the geometrical parameters that were isolated by our previous studies (see section IV.2 and V.1) on  $\text{Ca}^{2+}$  dynamics in the realistic geometry.

### V.2.1 Modeling approach

The realistic 3D mesh used in this study corresponds to a  $220\text{ }\mu\text{m}^3$  hippocampal astrocyte extracted from electron microscopy by C. Calì, presented in Fig V.5A. From this mesh, we have extracted, in collaboration with Erik De Schutter's team, Okinawa Institute for Science and Technology (OIST), Japan, a process that contains ER. A screenshot of a simulation in the resulting realistic PAP geometry is presented in Fig V.5B. Cytosolic volume of the PAP mesh is  $0.357\mu\text{m}^3$  and ER sur-



**Figure V.5: Realistic astrocytic mesh extracted from EM.** This figure presents the 3D mesh that has been provided by C. Calì, which allowed us to perform simulations within a realistic PAP geometry. Panel A presents the mesh of the  $220 \mu\text{m}^3$  hippocampal astrocytic volume extracted and reconstructed from EM. Cytosol is in green and endoplasmic reticulum (ER) in yellow. One process from the reconstructed astrocyte that contains ER has been isolated (B). The simulation screenshot presented in panel B displays 250  $\text{IP}_3\text{R}$  channels that are organized in clusters of size  $\eta = 50$  (white arrows) and 250  $\gamma_{\text{chPM}}$  channels that are randomly distributed on the plasma membrane (yellow) ( $\text{cocl} = 0$ ). ER is represented in light green and plasma membrane is transparent.

face is  $0.891 \mu\text{m}^2$  so that 250  $\text{IP}_3\text{R}$  channels must be placed on the membrane of the ER in order to keep the same  $\text{IP}_3\text{R}$  density as in the cylinder mesh (see section V.1).

Simulations were performed for different cluster sizes  $\eta$ , with and without co-clustering ( $\text{cocl} = 1$  or 0, respectively) with the "GCaMP" model from section V.1 within the realistic PAP mesh presented in FigV.5B. Clustering and co-clustering algorithms were identical to the ones described in section V.1. In a subset of simulations, referred to as 'ER-PM cl' (see Fig V.8A2),  $\text{IP}_3\text{R}$  clusters were positioned at ER sites that were the closest to the plasma membrane in the mesh. To do so, ER triangles were sorted according to their distance to the closest plasma membrane triangle. Then, depending on the number of clusters in the simulation ( $250/\eta$ ), the ER triangle corresponding to the shortest ER-PM distance was selected as an  $\text{IP}_3\text{R}$  cluster site. Cluster area then consisted in this triangle and its 3 neighbors. Those 4 triangles were then removed from the list of available ER triangles for placing  $\text{IP}_3\text{R}$  channels. Thus, if a new cluster had to be placed, it would not be placed onto those triangles, avoiding cluster overlapping.

Parameter values were the same as in the simulations in the simplified geometry (see Table A.1), except  $\gamma$ . Indeed, as  $\text{IP}_3\text{R}$ -independent  $\text{Ca}^{2+}$  influx  $\gamma$  occurs at

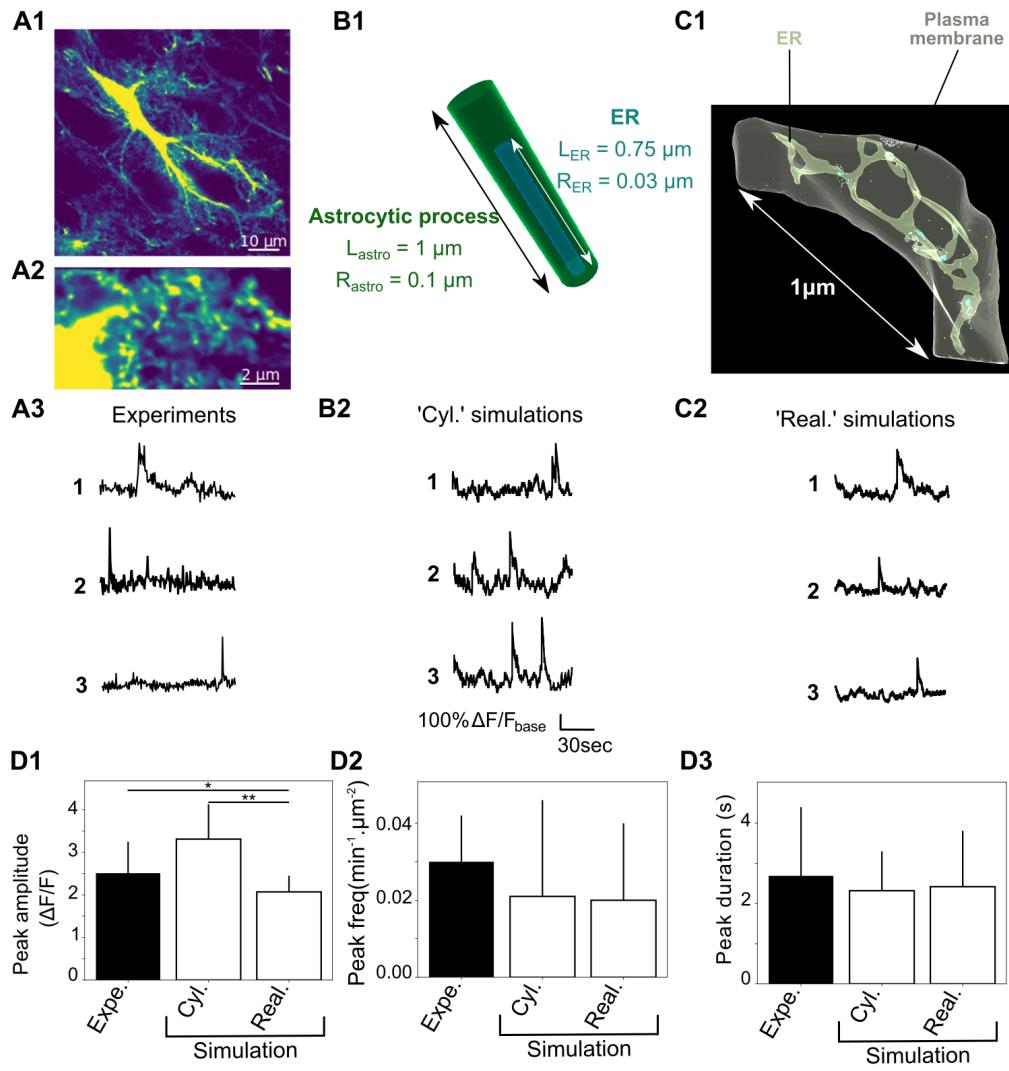
individual channels  $\gamma_{\text{ch}_{\text{PM}}}$ , as the number of pumps is that of  $\text{IP}_3\text{R}$  channels and as the ER surface and cytosolic volume in the realistic mesh are roughly 5 and 12 times larger than in the cylinder mesh, respectively, the value of  $\gamma$  had to be adjusted for this model and is  $3 \times 10^{-2} \text{s}^{-1}$ .

## V.2.2 Results

### Simulations in realistic geometry reproduce spontaneous $\text{Ca}^{2+}$ signals measured experimentally

The simulations presented in section V.1 have the advantage of being performed in a simplified mesh, which results in a decreased computational cost of simulations. Moreover, the simplified mesh allows the study of the effect of geometrical parameters, which can be easily modified in the simple mesh, as e.g decreasing the distance between the ER and PM membranes (see e.g  $R_{\text{ER}}=30$  VS 80 in Fig V.4). However, it is not clear to what extent the symmetries inherent to the geometry of the cylinder by itself can influence  $\text{Ca}^{2+}$  dynamics, in particular in simulations with co-clustering of  $\text{Ca}^{2+}$  channels of the ER and of the plasma membranes. To address those questions, we have carried out simulations in the realistic PAP mesh presented in Fig V.5B.  $\text{Ca}^{2+}$  peak duration and frequency are both qualitatively (Fig V.6A3, B2, C2) and quantitatively (Fig V.6D) similar to experimental measurements of  $\text{Ca}^{2+}$  dynamics in mice hippocampal organotypic culture (Fig V.6A) and to simulations in the simplified cylinder ('Cyl.') geometry (Fig V.6B). Peak amplitude, expressed as  $\Delta F/F$ , is lower in the realistic mesh than in the "GCaMP" simulations in the cylinder mesh ('Cyl.', p-value=0.002 \*\*) and than experimental traces ('Expe.', black bar, p-value=0.012 \*). This difference might be due to the lower basal  $[\text{Ca-GCaMP}]$  in 'Real' compared to 'Cyl' simulations. This hypothesis could be tested by modifying the value of  $\gamma$  in 'Real' simulations in order to reach the same basal  $[\text{Ca-GCaMP}]$  in 'Real' than in 'Cyl' simulations.

Our first result is that, with no  $\text{IP}_3\text{R}$  clustering ( $\eta=1$ ) and with no co-clustering of  $\text{Ca}^{2+}$  sources ( $\text{cocl}=0$ ), simulations in the realistic PAP mesh are similar to simulations in the simplified cylinder mesh.



**Figure V.6: Simulations in a realistic 3D PAP geometry reproduce experimental astrocytic  $\text{Ca}^{2+}$  traces.** (A) Experimental monitoring of the spontaneous local  $\text{Ca}^{2+}$  signals in astrocytic sponge-like processes. Panel A1 shows a 'summed projection' of a confocal time lapse image stack of a GCaMP6s-expressing astrocyte. Panel A2 illustrates magnification of the boxed region of panel A1. Panel A3 displays spontaneous  $\text{Ca}^{2+}$  traces from the regions of interest shown in (A2). (B) The 3d simplified geometry used in section V.1 (B1) and representative spontaneous  $\text{Ca}^{2+}$  traces from simulations of the "GCaMP" model (B2). (C) Screenshot of the 3d mesh extracted from electron microscopy, of similar length than the simplified PAP geometry from Panel (B) and representative  $\text{Ca}^{2+}$  traces from simulations of the model (C2). (D) Quantitative comparisons of the spontaneous  $\text{Ca}^{2+}$  signals measured experimentally (black bars) with simulations in the cylinder ('Cyl.') or realistic ('Real.') PAP meshes (white bars). In those simulations,  $\eta=1$  and  $\text{cochl}=0$ , i.e.  $\text{IP}_3\text{R}$  and  $\gamma_{\text{chPM}}$  channels are randomly distributed on the ER and plasma membrane, respectively. Data are presented as mean  $\pm$  standard deviation over 20 simulations. Significance is assigned by \* for  $p \leq 0.05$ , \*\* for  $p \leq 0.01$ , \*\*\* for  $p \leq 0.001$ .

### Effect of $\text{IP}_3\text{R}$ (co-)clustering on spontaneous $\text{Ca}^{2+}$ signals in a realistic PAP mesh

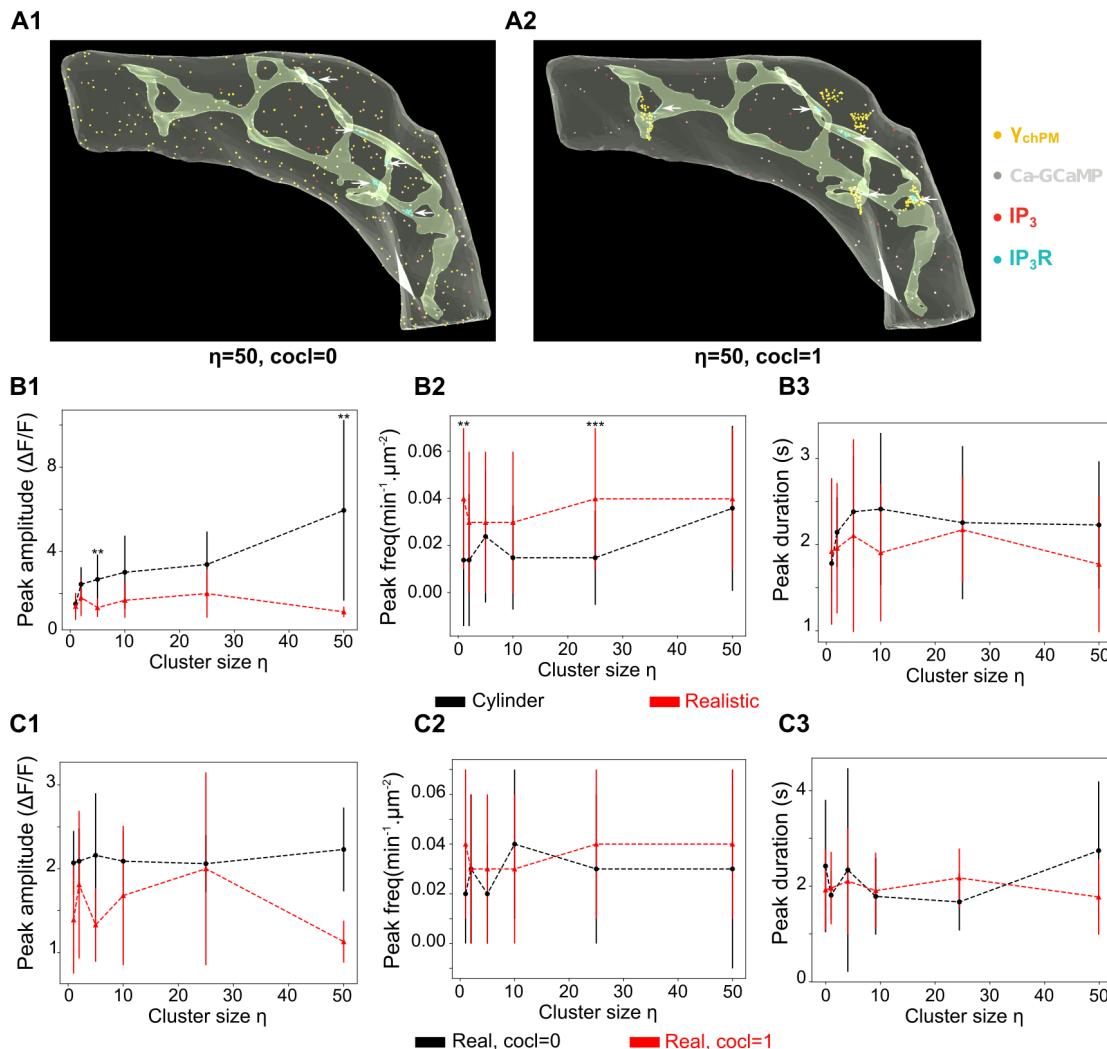
As 'Cyl' simulations revealed that increased  $\text{IP}_3\text{R}$  cluster size, when associated with the co-clustering of  $\text{IP}_3\text{R}$  channels with other  $\text{Ca}^{2+}$  sources, results in an increase of both peak frequency and amplitude (Fig V.7D), we have investigated whether this effect could still be observed in 'Real' simulations. The first noticeable result is that the effect of cluster size  $\eta$  when  $\text{cocl}=1$  observed in 'Cyl' simulations is not observed in 'Real' simulations (see Fig V.7B). Note that, similarly to 'Cyl' simulations where  $R_{\text{ER}} = 30$ , no effect of  $\text{IP}_3\text{R}$  cluster size was observed in 'Real' simulations when  $\text{cocl}=0$  (not shown). Furthermore, even when  $\text{Ca}^{2+}$  sources were co-clustered ( $\text{cocl}=1$ ), contrary to the results in the cylinder mesh (see Fig V.4)  $\eta$  had no significant impact on peak amplitude (Fig V.7C1), frequency (Fig V.7C2) or duration (Fig V.7C3).

Overall, our simulations reveal that the effects of molecular distributions and co-clustering that were observed in the cylinder mesh no longer hold in a more realistic geometry. This could be due to the more complex geometry of the ER in the realistic mesh, which results in smaller areas of ER that are close to the plasma membrane compared to the cylinder mesh (see Fig V.4). Those results shed light to the importance of the mesh that is chosen to perform simulations to investigate  $\text{Ca}^{2+}$  dynamics in PAPs.

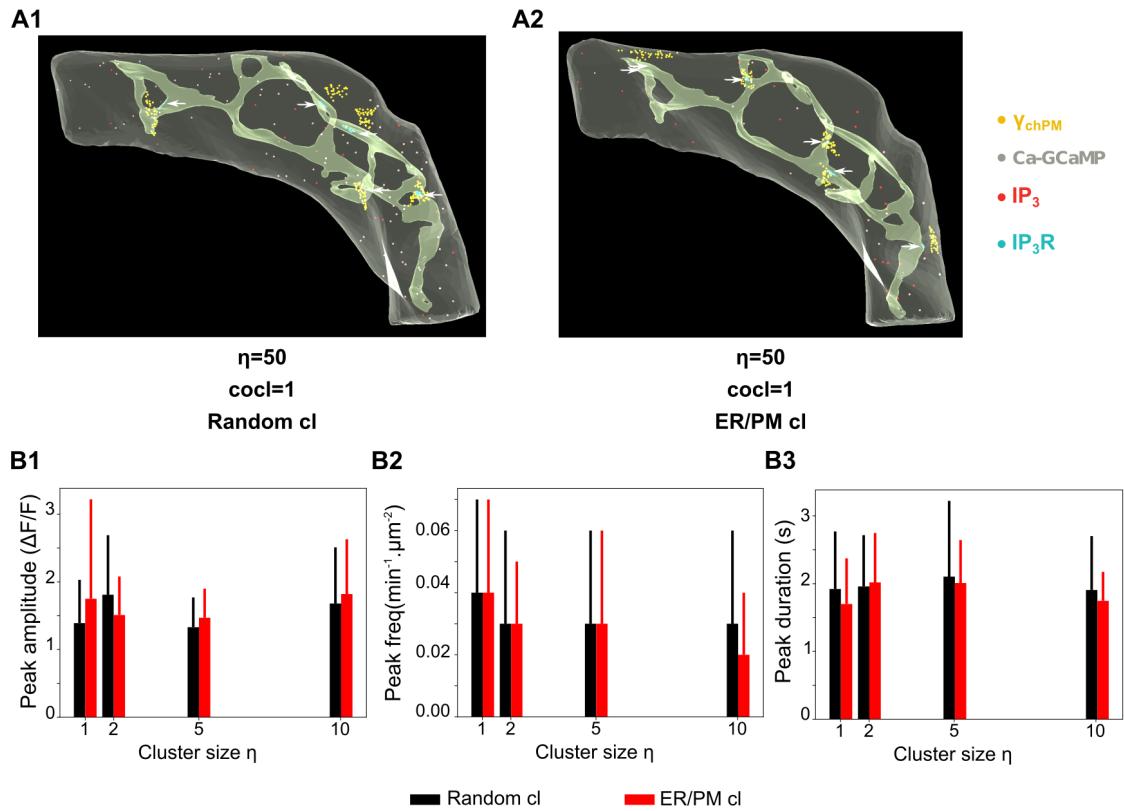
As 'Cyl' simulations revealed that a decreased ER-PM distance at cluster sites ( $R_{\text{ER}}=80$  VS 30) was associated with higher peak amplitude and frequency (see Fig V.4C), we have investigated whether those effects could be observed in 'Real' simulations. To do so, the closest ER sites to plasma membrane in the realistic mesh were selected (see section V.2.1).  $\text{IP}_3\text{R}$  clusters were positioned at those sites, thus decreasing ER-PM distance at cluster (and co-cluster) sites (see Fig V.8A, 'ER-PM cl' VS 'random cl'), approximating the  $R_{\text{ER}} = 80$  geometry in the cylinder mesh (see section V.1). No significant difference was observed between 'ER-PM cl' and 'random cl' conditions, suggesting that, in the realistic mesh, the ER-PM contact sites are not large enough to impact  $\text{Ca}^{2+}$  peak amplitude and frequency.

Here again, our simulations demonstrate that the geometrical effects, here of ER-PM distance at cluster site, observed in 'Cyl' simulations are no longer observed in 'Real' simulations. Particular care should be taken when choosing a simplified geometry in order to limit or at least be aware of the potential effects of its inherent geometry on the dynamics of the system.

Overall, simulations in a realistic mesh extracted from electron microscopy displayed similar  $\text{Ca}^{2+}$  dynamics to experimental data as well as to simulations in the cylinder mesh from section V.1 (Fig V.6). However, simulations did not reproduce



**Figure V.7: Effect of  $\text{IP}_3\text{R}$  clustering on spontaneous  $\text{Ca}^{2+}$  dynamics in a realistic PAP geometry.** (A) Screenshots displaying simulations with 5 clusters of size 50 ( $\eta = 50$ ), with (A2) and without (A1) co-clustering of  $\text{Ca}^{2+}$  sources ( $\text{cocl}=1$  or 0, respectively). When  $\text{cocl}=1$ , each cluster of  $\text{IP}_3\text{R}$ -independent  $\text{Ca}^{2+}$  sources,  $\gamma_{\text{chPM}}$ , is placed on the plasma membrane area that is the closest to the  $\text{IP}_3\text{R}$  cluster (white arrow) to which it is co-localized. Note that the size of molecular species has been increased in those screenshots for a better visualization of their localization. (B) Quantification of the effect of  $\text{IP}_3\text{R}$  cluster size  $\eta$  on  $\text{Ca}^{2+}$  peak amplitude (B1), frequency (B2) and duration (B3) in the cylinder (black,  $R_{\text{ER}}=30$ , see section V.1) and realistic (red, see Fig V.5) meshes,  $\text{cocl}=1$ ,  $D_{\text{Ca}}=13 \mu\text{m}^2 \cdot \text{s}^{-1}$ . (C) Comparison of  $\text{Ca}^{2+}$  peak amplitude (C1), frequency (C2) and duration (C3) with or without co-clustering of  $\text{Ca}^{2+}$  sources ( $\text{cocl}=1$  or 0, respectively). Data are presented as mean  $\pm$  standard deviation over 20 simulations. Significance is assigned by \* for  $p \leq 0.05$ , \*\* for  $p \leq 0.01$ , \*\*\* for  $p \leq 0.001$ .



**Figure V.8: Clustering at ER-PM contact sites does not impact spontaneous  $\text{Ca}^{2+}$  signals.** (A) Screenshots displaying simulations with 5 clusters of size 50 ( $\eta = 50$ , white arrows), with co-clustering of  $\text{Ca}^{2+}$  sources (cocl=1), i.e each cluster of  $\text{IP}_3\text{R}$ -independent  $\text{Ca}^{2+}$  sources,  $\gamma_{\text{chPM}}$ , is placed on the plasma membrane area that is the closest to the  $\text{IP}_3\text{R}$  cluster to which it is co-localized. 'Random cl' (A1) corresponds to random distribution of  $\text{IP}_3\text{R}$  clusters onto the ER membrane. 'ER/PM cl' (A2) corresponds to the positioning of  $\text{IP}_3\text{R}$  clusters at the closest ER-PM contact sites. Note that the size of molecular species has been increased in those screenshots for a better visualization of their localization. (B) Quantification of  $\text{Ca}^{2+}$  signal amplitude (B1), frequency (B2) and duration (B3) for 'Random cl' (black) and 'ER/PM cl' (red) conditions. Data are presented as mean  $\pm$  standard deviation over 20 simulations. Significance is assigned by \* for  $p \leq 0.05$ , \*\* for  $p \leq 0.01$ , \*\*\* for  $p \leq 0.001$ .

the effect of spatial parameters that were observed in the cylinder mesh. More specifically, no effect of  $\text{IP}_3\text{R}$  clustering was observed, even when co-clustered with other  $\text{Ca}^{2+}$  sources and when  $\text{IP}_3\text{R}$  channels were clustered at the closest ER-PM contact sites in the realistic mesh. Those results underline the importance of the geometry chosen to investigate the phenomenon of interest.

### V.2.3 Discussion

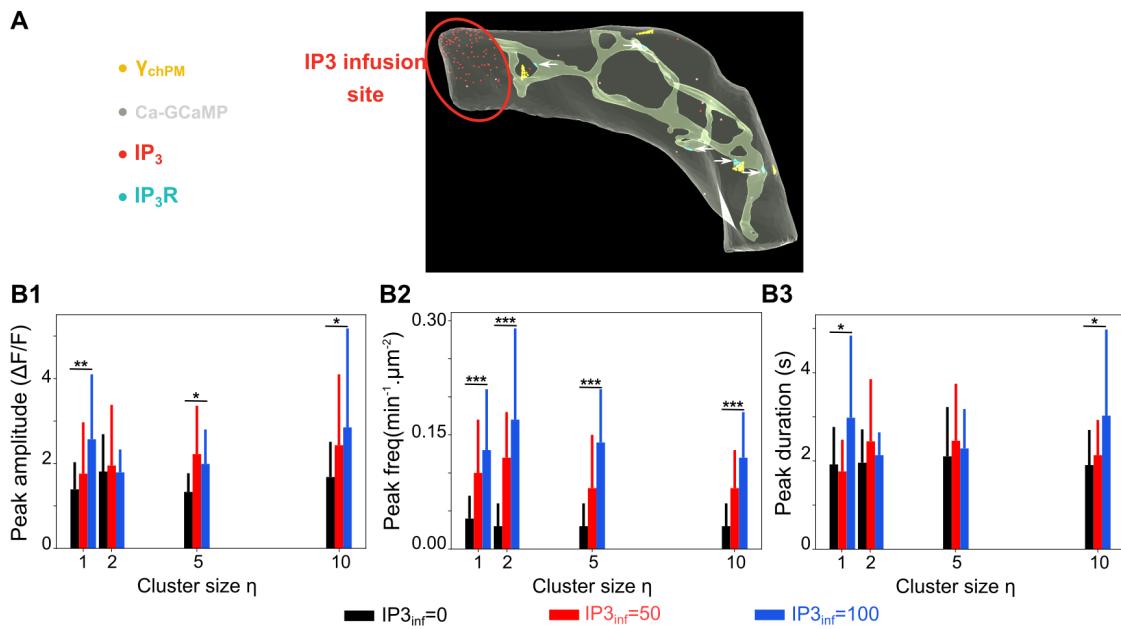
The differences observed between the cylinder VS realistic meshes regarding the effect of ER-PM contact sites on  $\text{Ca}^{2+}$  signals may be due to the different ER geometries in those two meshes. Indeed, the average distance of ER triangles to the closest plasma membrane triangle is  $168 \pm 88$  nm in the realistic mesh while it is 70nm and 20 nm in cylinder meshes with  $R_{\text{ER}}=30$  and 80, respectively (when only considering the surface on which  $\text{IP}_3\text{R}$  clusters were positioned). Thus, the distance that separates co-clusters of  $\text{IP}_3\text{R}$  and  $\gamma_{\text{chPM}}$  channels in the realistic mesh is 2 times larger than in the cylinder mesh, which increases the probability of  $\text{Ca}^{2+}$  to diffuse away from the co-cluster site. Moreover, when  $\text{IP}_3\text{R}$  clusters are positioned at the closest ER-PM contact sites in the realistic mesh, the average distance of ER triangles to the closest plasma membrane goes from 19 nm ( $\eta=1$ ) to  $35.8 \pm 7.6$  nm ( $\eta=50$ ). Those distances are closer to those observed in the cylinder mesh. However, the cylinder geometry potentially allowed to create more efficient molecular traps than the ER geometry of the realistic mesh, because of the larger area of ER being in close contact with the PM. Overall, the results presented in this section demonstrate that a small ER-PM distance (e.g 19nm here) by itself is not enough to generate  $\text{Ca}^{2+}$  hotspots and that further parameters might be at stake to enhance local  $\text{Ca}^{2+}$  activity. Those parameters could involve an increased surface of ER close to plasma membrane at the contact site, increased local  $\text{Ca}^{2+}$  buffering or any other parameter that could result in a decreased effective  $D_{\text{Ca}}$  at cluster site. Preliminary simulations were thus performed with an effective  $\text{Ca}^{2+}$  coefficient of diffusion  $D_{\text{Ca}}$  decreased by an order of magnitude ( $1.3 \mu\text{m}^2.\text{s}^{-1}$  instead of  $13 \mu\text{m}^2.\text{s}^{-1}$ ), which mimics the effect of  $\text{Ca}^{2+}$  buffering (see section IV.2.3 for further discussion).  $\text{Ca}^{2+}$  peaks in those simulations were characterized by increased peak amplitude (ANOVA, p-value=0.024 \*) and frequency (ANOVA, 0.0099 \*\*) with cluster size  $\eta$  with both cocl=0 and cocl=1 (ANOVA, p-value=0.0008 \*\*\* and p-value<0.0001 \*\*\* for peak amplitude and frequency, respectively). Those results suggest that  $\text{Ca}^{2+}$  buffering, in particular when associated with the co-clustering of  $\text{Ca}^{2+}$  sources, influences  $\text{Ca}^{2+}$  dynamics, thus confirming the results from the 2D simulations (see Fig IV.4).

In order to get a better approximation of molecular localization and interactions

in the 'Real' simulations, quantified data on the size of  $\text{IP}_3\text{R}$  channels as well as the spacing within and between  $\text{IP}_3\text{R}$  clusters are necessary. For example, as  $\text{IP}_3\text{R}$  channels are  $\approx 25$  nm long, the minimal distance between the barycenters of two adjacent  $\text{IP}_3\text{R}$  channels would be at the very least 25nm, most probably higher. Furthermore,  $\text{IP}_3\text{R}$  inter-cluster distance is thought to be  $\approx 200$ nm on average [480] or even  $> 300$ -400nm [481]. Wiltgen et al [481] further reported that intra-cluster distance between adjacent  $\text{IP}_3\text{R}$  was  $> 100$ nm. The total area of ER in our 3D mesh (Fig V.5) does not allow to both comply with those geometrical rules and with the supposed  $\text{IP}_3\text{R}$  density proposed by Wiltgen et al. For example, the total ER area should be larger than  $2.1 \times 10^5 \text{ nm}^2$  for having only 4 clusters of size 2, while the current area of the ER in the mesh is  $1.76 \times 10^5 \text{ nm}^2$  in our the cylinder geometry, on which 50  $\text{IP}_3\text{R}$  channels must be positioned. This is also incompatible with having 250  $\text{IP}_3\text{R}$  channels on the ER membrane of  $8.91 \times 10^5 \text{ nm}^2$  of the realistic mesh. The predictions from Wiltgen et al might be wrong and over-estimate inter- and intra-cluster distances as they were based on 2D images. This nevertheless points out that further experimental investigation is needed to get a better approximation of both the density and intracellular distribution of  $\text{IP}_3\text{R}$  channels in fine processes.

A straightforward extension of this work would be to investigate  $\text{Ca}^{2+}$  dynamics upon neuronal stimulation, depending on neuron-astrocyte geometrical interaction. We have started to investigate the encoding of  $\text{IP}_3$  infusion at the tip of the process, emulating neuronal stimulation (Fig V.9A). For both  $\text{cocl}=0$  and 1, increasing neuronal stimulation results in mild increase of  $\text{Ca}^{2+}$  peak amplitude (Fig V.9B1) and strong increase of peak frequency (Fig V.9B3). Overall, our simulations predict that neuronal stimulation of astrocytes is mostly encoded in frequency modulation (FM) of  $\text{Ca}^{2+}$  signals, although further investigation is necessary to confirm this preliminary result.

To go further, C. Cali [32] has provided us with a mesh that includes markers of individual PSDs at the vicinity of processes (see Fig V.10A). The mesh reveals that peri-synaptic processes are extremely diverse regarding their intracellular content: some contain ER only, mitochondria only, both or are devoid of intracellular stores (Fig V.10B). This diversity could be responsible for part of the diversity of  $\text{Ca}^{2+}$  signals in PAPs. Simulations within those different types of processes upon neuronal stimulation will help investigate this hypothesis.

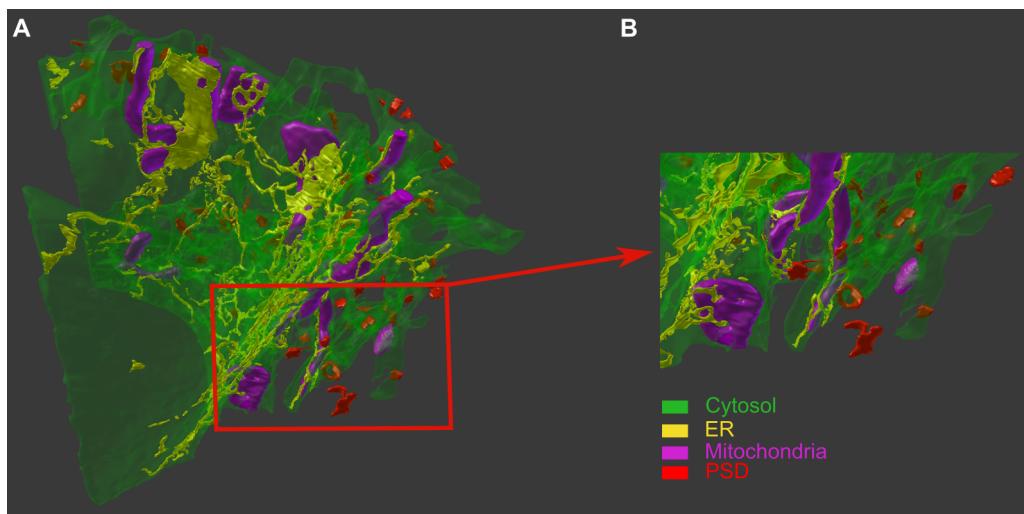


**Figure V.9: Neuronal stimulation is encoded in amplitude and frequency of  $\text{Ca}^{2+}$  signals in PAPs.** (A) Schematic representation of simulations of neuronal-induced  $\text{Ca}^{2+}$  signals in the realistic mesh. At time  $t=t_0=1\text{s}$ ,  $\text{IP}_3\text{inf}$  molecules of  $\text{IP}_3$  (the number varies upon simulation) are injected at the tip of the astrocytic process, which models the effect of neuronal stimulation.  $\text{IP}_3\text{R}$  clusters are indicated with white arrows. (B) Quantification of peak amplitude ( $\Delta F/F$ , B1), frequency ( $\mu\text{m}^2 \cdot \text{s}^{-1}$ , B2) and duration (s, B3), for different cluster sizes  $\eta$ , with co-clustering (cocl=1), with  $\text{IP}_3\text{inf}=0$  (black), 50 (red) or 100 (blue)  $\text{IP}_3$  molecules infused at  $t=1\text{s}$ . One-way ANOVA was performed to investigate the effect of the number of  $\text{IP}_3$  molecules infused (i.e the intensity of neuronal stimulation) on peak amplitude, duration and frequency. Significance is assigned by \* for  $p \leq 0.05$ , \*\* for  $p \leq 0.01$ , \*\*\* for  $p \leq 0.001$ .

### V.3 Discussion

#### Controversies regarding the presence of ER in PAPs

Both meshes used in this study (Fig V.2B and Fig V.5B) correspond to geometries of an astrocytic process that contains ER. However, during the past few years, fine astrocytic processes have been regarded as devoid of ER [33, 430]. Thus, the mesh extracted from electron microscopy used in section V.2 on its own (Fig V.5B) contradicts this strong belief in the field. Moreover, a recent EM study has also observed that ER dynamically ramifies in astrocyte perivascular processes *in vivo*



**Figure V.10: Mesh extracted from electron microscopy revealing PSDs at the vicinity of PAPs.** (A) Screenshot revealing the same 3D mesh extracted from electron microscopy that was presented in Fig V.5 but with further information on mitochondria localization (purple) and on the presence and size of neighboring neuronal PSDs (red). (B) Zoom on some peri-synaptic processes, illustrating their diversity in terms of intracellular stores.

and detected contact sites between ER processes and plasma membrane [30]. Such contiguous membranous juxtapositions would definitely validate the presence of ER in PAPs.

Although dynamical ER remodeling has been reported in dissociated astrocyte culture [568], technical limitations have prevented direct investigation of ER localization within PAPs *in vivo* or in slices. Super-resolution microscopy of cellular ER and mitochondrial dynamics and structure (resolution  $\approx 100\text{nm}$ ) has recently been developed and could help answer those questions [569, 570]. Correlative super-resolution fluorescence imaging and electron microscopy approaches can yield a resolution of less than 50 nm (down to 10nm) [571], which is very promising avenue to PAPs ultrastructure investigation. ER-bound GECIs, OER-GCaMP6f, have been recently developed and, combined with the use of ER luminal  $\text{Ca}^{2+}$  indicators such as G-CEPIA1<sup>er</sup> [175], could help investigate the involvement of  $\text{Ca}^{2+}$  channels on the ER membrane in  $\text{Ca}^{2+}$  dynamics depending on subcellular localization in astrocytes [168]. Further investigation regarding ER sub-cellular localization, its sub-compartmentalization and dynamics *in vivo* are crucial for better understanding astrocyte information processing.

### Controversies regarding the existence of spontaneous $\text{Ca}^{2+}$ signals in astrocytes

The simulations presented in Chapters IV and V aim at better understanding spontaneous  $\text{Ca}^{2+}$  activity in small volumes such as PAPs. The existence of spontaneous  $\text{Ca}^{2+}$  signals in astrocytes is actually by itself a matter of debate. Even in the absence of presynaptic neural activity, presynaptic axon terminals do probabilistically release neurotransmitter vesicles, generating so-called miniature EPSCs. Bafilomycin application has been used in several experimental studies to investigate the dependence of astrocytic  $\text{Ca}^{2+}$  signals on EPSCs, because this inhibitor of V-ATPases inhibits miniature EPSCs by blocking the refill of presynaptic vesicles. However, the impact of bafilomycin bath application on the frequency of spontaneous  $\text{Ca}^{2+}$  signals in astrocytes has proven variable (compare e.g. Sun et al [572], Arizono et al [9] and Agarwal et al [573]). As bafilomycin has a wide range of effects on  $\text{Ca}^{2+}$  signaling that is independent of its effect on the refill of presynaptic neurotransmitter vesicles [574, 575], we cannot conclude whether those signals are triggered by EPSCs. Further investigation is needed to decipher whether the "spontaneous"  $\text{Ca}^{2+}$  signals reported in astrocyte processes are due to spontaneous release of presynaptic vesicles or rely on a synapse-independent mechanism inherent to the CICR system.

### ER-PM contact sites, privileged sites of $\text{Ca}^{2+}$ signaling

A decade ago, Marchaland et al [576] proposed that localized  $\text{Ca}^{2+}$  signals in astrocytes could be mediated by contact sites between ER and plasma membranes (ER-PM contact sites). A more recent EM study from Boulay et al [30] has revealed the presence of ER-PM contact sites in perivascular astrocytic processes. Actually, ER-PM contact sites can be observed in all cell types and represent 2-5% of the PM surface [577] (for reviews, see e.g [578, 579]).  $\approx 1000$  ER-PM contact sites of various geometries can exist per cell. Moreover, a recent study on HeLa cells has reported that most  $\text{Ca}^{2+}$  signals occurred at ER-PM contact sites [480], which were populated with immobile  $\text{IP}_3\text{R}$  clusters of size 2-9. Whether such an organization exists in astrocytes is still to be uncovered. Finally, TIRF microscopy experiments have revealed that ER-PM contact sites often form at the same location in a given cell, suggesting that they constitute stable structures [580]. Those observations, added to the diversity of  $\text{Ca}^{2+}$  dynamics observed in our model depending on the geometry of their ER-PM contact sites, suggest that ER-PM contact sites are privileged sites of  $\text{Ca}^{2+}$  dynamics so that it is crucial to better estimate their localization in astrocytes.

The presence of ER-PM contact sites in the gliapil near synapses could enhance local  $\text{Ca}^{2+}$  activity and thus influence neuron-astrocyte communication.

### Conclusion

In this chapter, a 3D voxel-based implementation of the 2D particle-based model presented in Chapter IV has been presented. Simulations of the model, both in a simplified and in a realistic mesh of a fine astrocytic process, were able to reproduce spontaneous astrocytic  $\text{Ca}^{2+}$  transients measured in  $\text{Ca}^{2+}$  micro-domains. Furthermore, our simulations predict that local variations of the concentration of  $\text{Ca}^{2+}$  buffers might contribute to the diversity of  $\text{Ca}^{2+}$  signals observed in astrocytes. Simulations in the simplified 3D geometry confirmed the results from the 2D implementation of the model (see Fig IV.5) that increased  $\text{IP}_3\text{R}$  cluster size, when associated with their co-clustering with other  $\text{Ca}^{2+}$  sources, results in an increase of both  $\text{Ca}^{2+}$  peak frequency and amplitude. Furthermore, the model suggests that, in 3 spatial dimensions, those effects are particularly enhanced at ER-PM contact sites. However, neither the effect of  $\text{IP}_3\text{R}$  clustering nor that of ER-PM contact sites were observed in the realistic mesh. As most models of astrocytic processes use cylinder geometries, our results suggest that the characteristics of their  $\text{Ca}^{2+}$  dynamics might strongly rely on the geometry of their mesh.



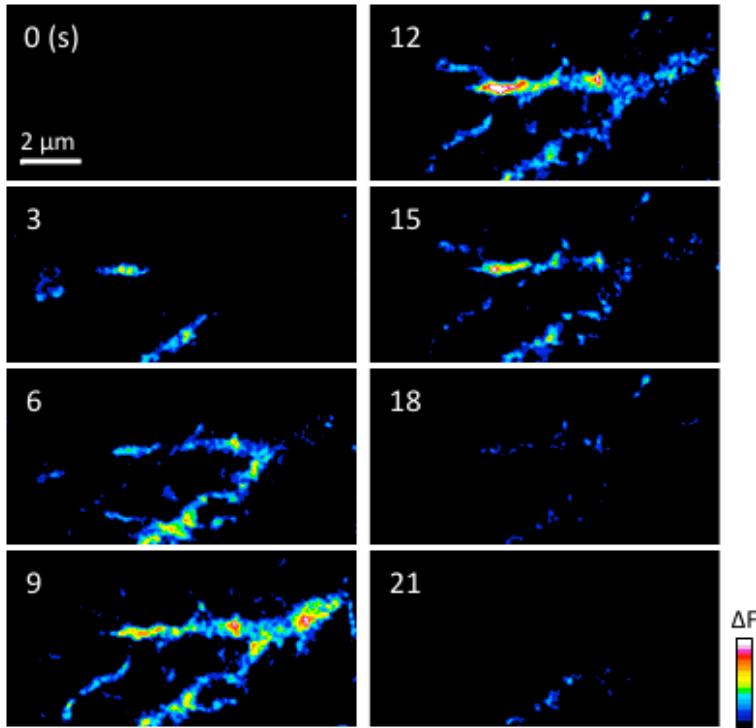
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## Chapter VI

# Modeling the onset and propagation of neuronal activity-induced $\text{Ca}^{2+}$ signals in processes

Our collaborators [9] (Fig VI.1) as well as other studies [7, 581] have observed  $\text{Ca}^{2+}$  waves that propagate along astrocytic processes. Those waves can be triggered by increased neuronal firing and are associated with mouse movements *in vivo* [7]. The concomitant existence of both focal and spreading  $\text{Ca}^{2+}$  signals in astrocytes in response to neuronal activity rises questions on the mechanisms that trigger wave propagation. In small volumes such as PAPs, cellular geometry could be a factor influencing the isolation and/or the propagation of  $\text{Ca}^{2+}$  events.

In this chapter, we investigate the effect of cellular and ER geometry on the onset and propagation of neuronal-induced  $\text{Ca}^{2+}$  signals, contrary to Chapters IV and V, that focused on spontaneous  $\text{Ca}^{2+}$  activity. This work has been performed in collaboration with U. V. Nägerl's team from the Interdisciplinary Institute for Neuroscience, Université de Bordeaux, France. They have provided us with *in vitro* structural data and  $\text{Ca}^{2+}$  imaging. Furthermore, C. Calì, KAUST University, Saudi Arabia, has provided us with quantified structural data from electron microscopy, notably on the intracellular localization of  $\text{Ca}^{2+}$  stores in a hippocampal astrocyte. They have conducted all the experimental work presented in this chapter.

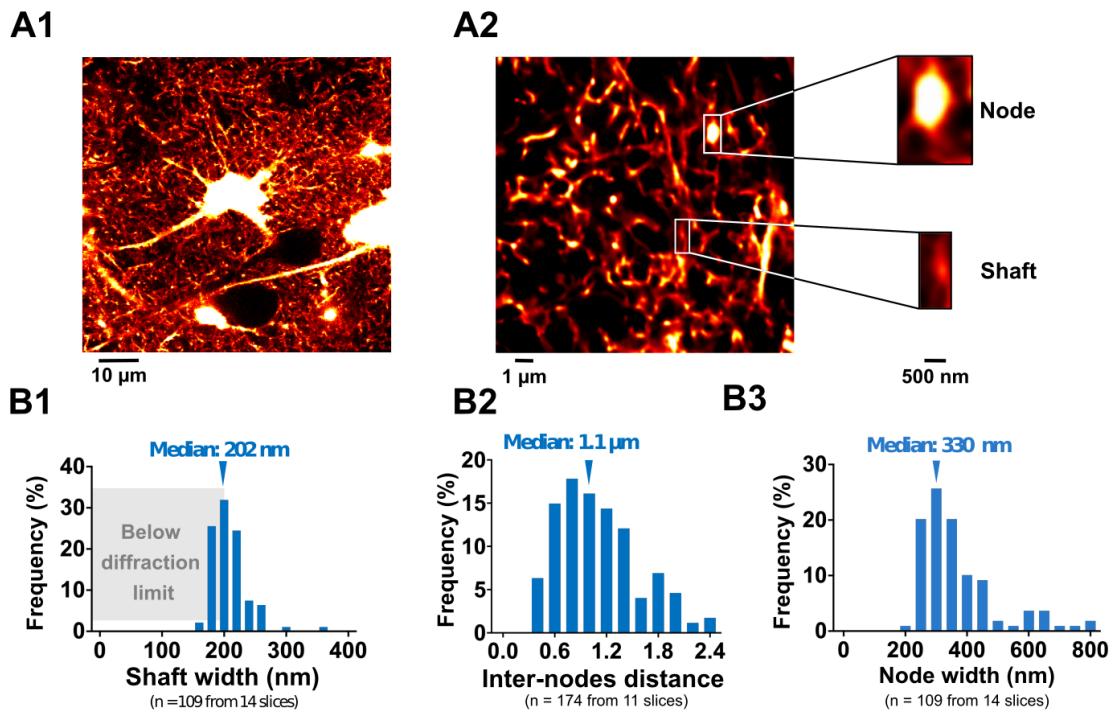


**Figure VI.1: Spontaneous Ca<sup>2+</sup> waves propagate along astrocytic processes in the gliapil.** This figure, taken from Arizono et al [9], presents time-lapse images of a Ca<sup>2+</sup> wave propagating in an astrocytic process located in the gliapil. Ca<sup>2+</sup> signals are expressed as  $\Delta F/F_0$ , as shown by the color scale bar.

## VI.1 Simulating the propagation of Ca<sup>2+</sup> signals in processes displaying node/shaft geometries

### VI.1.1 Experimental background

A recent experimental study from our collaborators [9] has revealed new aspects of the morphological architecture of the astrocytic spongiform domain. The gliapil appears as a succession of bulbous structures, referred to as nodes, that are connected with thinner structures, referred to as shafts (Fig VI.2A). Quantification of the widths of shafts and nodes as well as inter-node distance based on STED microscopy are presented in Fig VI.2B.  $\approx 70\%$  of Ca<sup>2+</sup> signals stayed localized in one node and did not propagate.

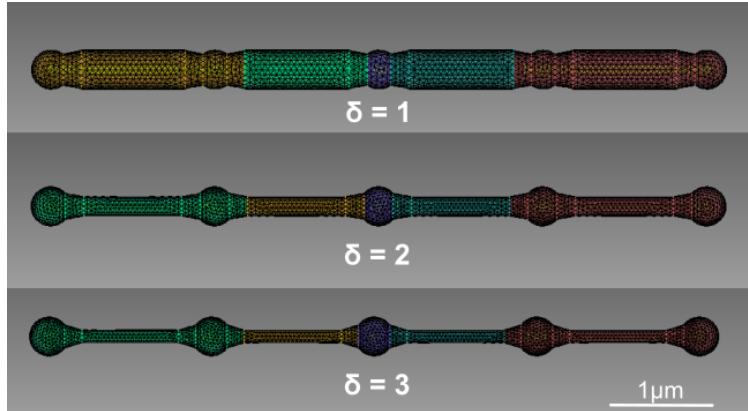


**Figure VI.2: Super-resolution microscopy reveals node/shaft structures in the gliapil.** Confocal (A1) and STED (A2) microscopy images revealing the geometry of the spongiform domain of astrocytes consisting in successions of nodes and shafts. Quantification of the distribution of shaft width (B1), inter-node distance (B2) and node width (B3). Note that shaft width is often below the diffraction limit of conventional light microscopy ( $\approx 250 \text{ nm}$ , gray rectangle) so that super-resolution microscopy is mandatory for resolving those ultrastructures. This figure was adapted from Arizono et al [9].

In this section, we investigate the influence of such a node/shaft geometry on spatiotemporal characteristics of  $\text{Ca}^{2+}$  signals. Notably, we look for parameters that could prevent the propagation of  $\text{Ca}^{2+}$  signals.

### VI.1.2 Modeling approach and geometry

In order to investigate the effect of node/shaft geometries on signal propagation, we have built geometries with different node/shaft width ratios  $\delta$  using Trellis software (<https://www.csimsoft.com/trellis>), with constant node width (see Fig VI.3). The geometry of a node was approximated as being a sphere of diameter 380 nm. Shaft geometry consisted in a  $1\mu\text{m}$  long cylinder. Shaft diameter was defined rela-



**Figure VI.3: Geometries designed for investigating node/shaft structures of the gliapil.** Geometries constructed with Trelis software (<https://www.csimsoft.com/trelis>) to simulate node/shaft geometries of the gliapil for different width ratios  $\delta$  between nodes and shafts (from  $\delta = 1$  to 3). Nodes are represented as spheres and shafts as cylinders. Conic geometries were fused to connect spheres and cylinders in order to get smoother and a bit more realistic geometries. The geometries presented here contain 5 identical nodes and 4 identical shafts.

tive to node width. Indeed, if node/shaft width ratio,  $\delta$ , was 1, then shaft diameter was the same as node diameter, i.e 380 nm. Similarly, shaft diameter was 190 nm for  $\delta=2$  and 127 nm for  $\delta=3$ . Cones were added between spheres and cylinders to create a smoother transition between nodes and shafts. Note that the values used here for node diameter and shaft length are not the exact median values presented in Fig VI.2 as they correspond to the first values that were provided by our collaborators. They are however of the same order of magnitude so that the geometries presented in Fig VI.3 constitute a reasonable approximation of the observed gliapil ultrastructure presented in Fig VI.5. The ER geometry was considered to be identical to the geometry of the process: node/shaft successions. ER nodes were aligned with cytosolic nodes and ER volume was 10% of total process volume (see Fig VI.4C). While creating the geometry, different sub-regions were defined so that they could later be monitored independently using STEPS.

### VI.1.3 Simulations reproduce bleaching experiments

#### Experimental results

In order to investigate whether nodes, depending on node/shaft width ratio  $\delta$ , could function as diffusively isolated compartments such as demonstrated in

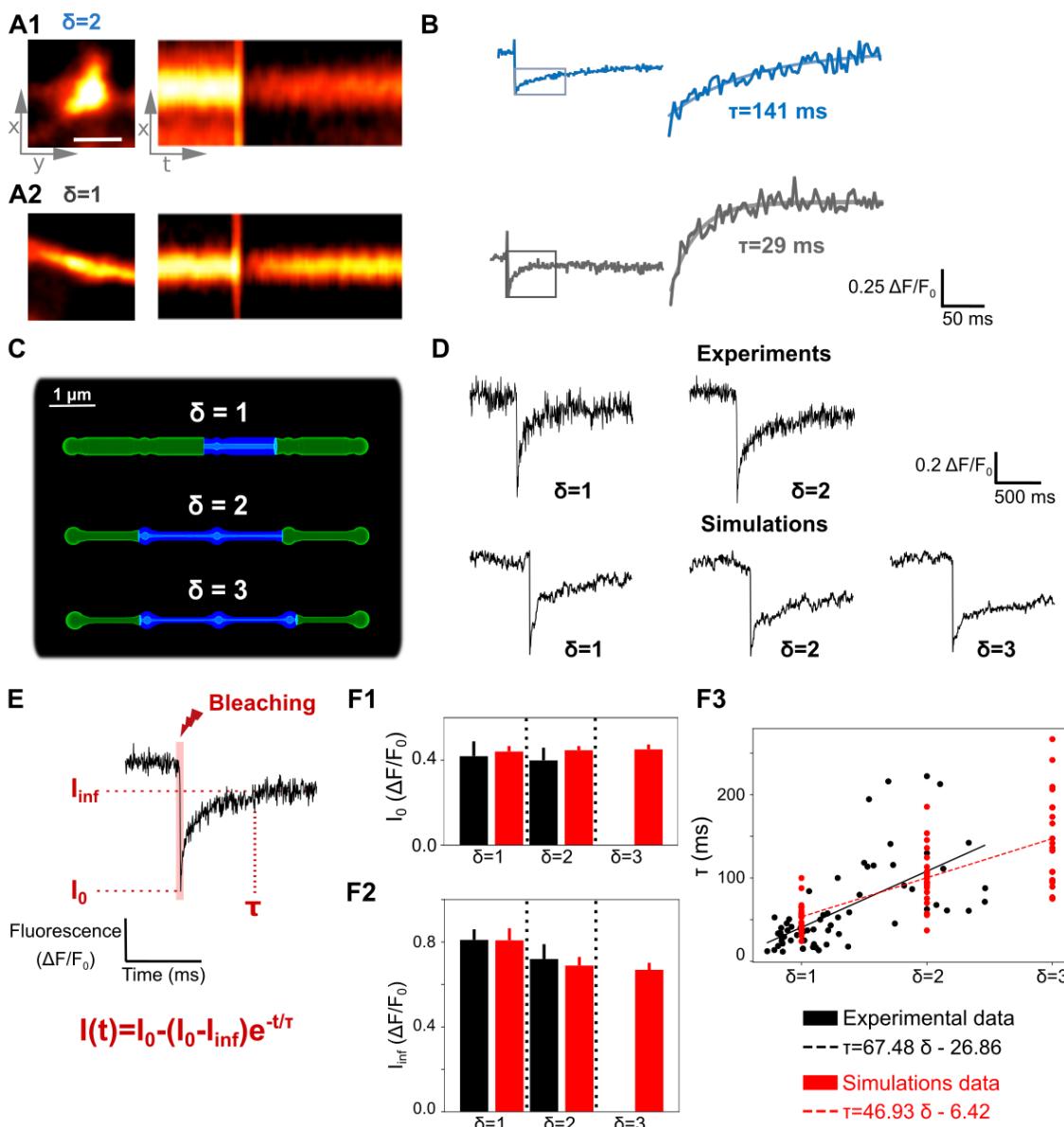
mushroom-like spines [582, 488], our collaborators have performed photobleaching experiments (FRAP). Briefly, they applied 2-photon laser pulses on a region of interest (node or shaft) and recorded the fluorescence recovery with confocal imaging. After bleaching, the fluorescence level in the region of interest decreases to  $I_0$ . Then, fluorescence increases, because of the diffusion of fluorescent molecules that were not bleached into the region of interest, until it reaches a new steady state,  $I_{\text{inf}}$ . We characterize node isolation by measuring the time taken by molecules to diffuse into the node,  $\tau$ , which depends on the diffusion of fluorescent molecules. In other words, a high node isolation will be associated with a high value of  $\tau$ . Thus, 3 main parameters characterize bleaching traces:  $I_0$ ,  $\tau$  and  $I_{\text{inf}}$  (see also Fig VI.4E). The results from Arizono et al [9] are presented in Fig VI.4A,B and indicate that a high node/shaft width ratio  $\delta$  can result in an increased recovery time  $\tau$  and thus in an increased isolation of nodes.

### Modeling approach

To test whether node/shaft geometry by itself can reproduce the results from bleaching experiments presented in Fig VI.4A,B, we have simulated bleaching experiments within the geometries presented in Fig VI.3. As experiments measured fluorescence mediated by ZSGreen molecules, ZSGreen molecules were added to simulation space. After 2 seconds of simulation, providing basal level of fluorescence, 60% of ZSGreen molecules were bleached. As our collaborators have bleached a node during 10 ms, the volume that was impacted by the bleaching, because of molecular diffusion, was larger than the bleached region. Thus, in order to fit  $I_0$  and  $I_{\text{inf}}$  that were measured experimentally, bleached volume in simulations was adjusted depending on the geometry (see Fig VI.4C). Bleaching was simulated as the transition from ZSGreen to ZSGreen-bleached, the latter being accounted as non-fluorescing molecules. The number of ZSGreen molecules was recorded over simulation time and a fit was performed following equation VI.1 to determine the values of  $I_0$ ,  $I_{\text{inf}}$  and  $\tau$  (see Fig VI.4E).

$$I(t) = I_0 - (I_0 - I_{\text{inf}})e^{-t/\tau} \quad (\text{VI.1})$$

Parameters that were adjusted to fit experimental data were the coefficient of diffusion of ZSGreen and its concentration. In the simulations presented here, the coefficient of diffusion of ZSGreen,  $D_{\text{ZSGreen}}$ , is  $90 \mu\text{m}^2.\text{s}^{-1}$  and  $[\text{ZSGreen}] = 10 \mu\text{M}$ .



**Figure VI.4: Simulations confirm that node/shaft width ratio is a good predictor of the diffusional isolation of nodes.** (A) Left: Representative astrocytic processes with  $\delta=2$  (A1, node) and  $\delta=1$  (A2, shaft). (B) Fluorescence recovery after photobleaching (FRAP) traces obtained from A1 and A2, respectively, illustrating fluorescence recovery normalized to basal fluorescence before bleaching. (C) Geometries of different node/shaft width ratios ( $\delta = 1, 2$  or 3) used in our simulations. Blue color represents the bleached volume (adapted to fit experimental values of  $I_0$  and  $I_{\text{inf}}$ ) and green the remaining parts of the geometry. Note that blue volume is transparent, revealing the geometry of the ER. (D) Representative experimental and simulation traces for different node/shaft width ratios  $\delta$ . (E) Example trace presenting the evolution of the number of fluorescing ZSGreen molecules over time. Vertical red line presents bleaching time. The parameters that characterize a bleaching experiment and the equation that fits bleaching recovery are presented in red. (F) Quantification of  $I_0$  (F1),  $I_{\text{inf}}$  (F2) and  $\tau$  (F3) values in simulations compared to experiments. Note that we were not provided with experimental data for  $\delta = 3$ . In F1 and F2,  $n=5\times 2$  and  $20\times 3$  for experiments and simulations respectively. Data are presented as mean  $\pm$  STD. In F3,  $n=66$  and  $n=20\times 3$  for experiments and simulations, respectively.  $\tau$  is positively correlated to  $\delta$  in experiments ( $n=66$  from 7 slices; Spearman  $r=0.7179$ ,  $p<0.0001$ ) and simulations ( $n=60$ ; Spearman  $r=0.7520$ ,  $p<0.0001$ ). Black and red lines represent linear regressions of  $\tau$  as a function of  $\delta$  for experiments and simulations, respectively.

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## Results

In order to test the influence of the diverse node/shaft width ratios  $\delta$  observed experimentally on the isolation of nodes and in order to test whether the geometries presented in Fig VI.3 are a good approximation of the spongiform ultrastructure observed by our collaborators (Fig VI.2), we have simulated a protocol of photo-bleaching (see section VI.1.3 for details). The major result of our simulations is that bleaching traces in simulations are both qualitatively (Fig VI.4D) and quantitatively (Fig VI.4F) similar to experimental bleaching traces, for node/shaft width ratios  $\delta=1$  and 2. Indeed, no significant difference of  $I_0$  (Fig VI.4F1),  $I_{\text{inf}}$  (Fig VI.4F2) and  $\tau$  (Fig VI.4F3) was observed between simulations and experimental traces for  $\delta=1$  and 2. Simulations were also performed with  $\delta=3$  in order to investigate the correlation between bleaching recovery time  $\tau$  and node/shaft width ratio  $\delta$  (Fig VI.4F3). Note that in order to verify that the geometries presented in Fig VI.4C are a reasonable approximation of node/shafts geometries observed experimentally (Fig VI.4A,B), we have also verified that the model reproduced the basal noise amplitude of [ZSGreen] and its auto-correlation (not shown). Overall, simulation results suggest that  $\tau$ , and thus node isolation, increases linearly with node/shaft width ratio, which confirms experimental results.

To conclude, our model successfully reproduces FRAP experiments and predicts that geometries of high node/shaft width ratio  $\delta$  by themselves can result in an increased node isolation. As those geometries successfully reproduce experimental results, they can reasonably be considered as a good approximation of the ultra-structure of the gliapil observed with STED microscopy by our collaborators (see Fig VI.2).

### VI.1.4 Investigating signal propagation in node/shaft geometries

#### Experimental results

Arizono et al [9] have further demonstrated that 90% of dendritic spines were in contact with nodes and shafts (see Fig VI.5).  $\approx 70\%$  of  $\text{Ca}^{2+}$  signals occurred and stayed localized in one node and did not propagate to the neighboring one (see Fig VI.5A, B), suggesting that node/shaft geometries insulate  $\text{Ca}^{2+}$  hotspots within nodes that are in the vicinity of synapses. Furthermore, they have reported a huge

variety of spine coverage by astrocytic processes (see Fig VI.5C). Contacts were stable with time and 88% of contact sites were specific (only one node contacted a given spine). Overall, their results suggest that nodes are preferential sites of neuron-astrocyte communication at synapses.

## Modeling approach

In order to investigate the propagation of Ca<sup>2+</sup> signals from nodes that contact neuronal spines, we have developed 2 different protocols:

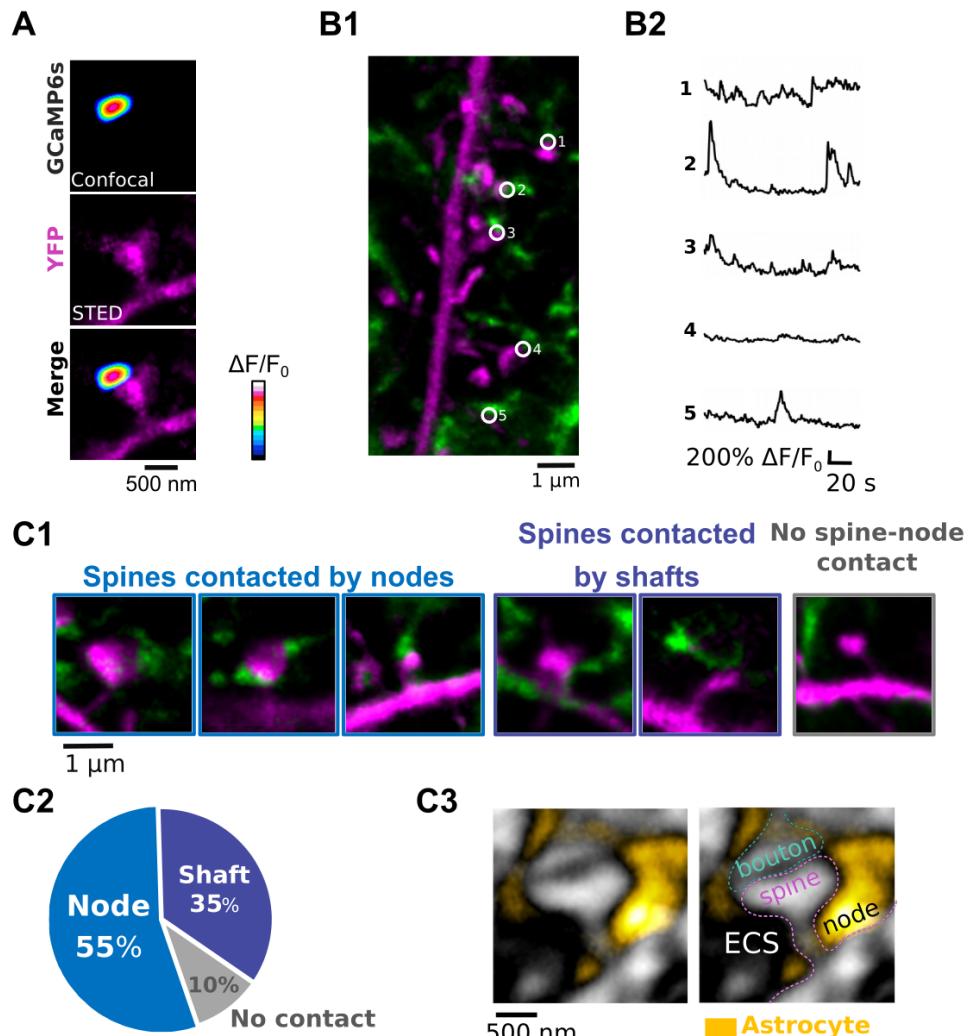
- In the first protocol, 100 IP<sub>3</sub> molecules were infused in Node 1 at the end of the node/shaft geometry, at  $t=t_0$ , while the activity in the neighboring node (Node 2) was recorded (see Fig VI.6A). Simulations were performed in geometries with varying node/shaft width ratio  $\delta$  (see Fig VI.3).
- In the second protocol, we have investigated signal propagation in the node/shaft geometry depending on  $\delta$  when several nodes were successively stimulated (see Fig VI.7A). At  $t=t_0=5s$ , 50 molecules of IP<sub>3</sub> were infused in Node 1, mimicking neuronal activation of the astrocytic node. After a time period  $\tau_{IP_3}$ , the same amount of IP<sub>3</sub> was then infused in Node 2, at  $t_0 + 2\tau_{IP_3}$  in Node 3 and  $t_0 + 3\tau_{IP_3}$  in Node 4. During the whole simulation time, Ca<sup>2+</sup> activity was recorded in Node 5.

In both protocols, the kinetic scheme of the model and the corresponding parameter values were the same as the 3D "GCaMP" model, presented in section V.1. The geometries correspond to those presented in Fig VI.3. Nodes and shafts were divided into separate regions of interest so that they could be stimulated and analyzed separately.

## Results

### Investigating node to node signal propagation

As the experimental results presented in Fig VI.5 suggest that nodes are preferential sites of neuron-astrocyte communication, we have next investigated the influence of node/shaft width ratio  $\delta$  on wave propagation in the context of neuronal-induced astrocytic Ca<sup>2+</sup> activity. To do so, we have first investigated the propagation of a



**Figure VI.5: Nodes of the gliapil often interact with dendritic spines.** Figure adapted from Arizono et al [9]. (A) Confocal astrocytic  $\text{Ca}^{2+}$  signal mapped onto a dendritic spine morphology, visualized with STED. (B1) Representative STED image of the morphological interactions between a GCaMP6s-expressing astrocyte (green) and a neighboring YFP-expressing neuron (magenta). (B2) Representative spontaneous  $\text{Ca}^{2+}$  traces from the 5 ROIs shown in panel B1 (white circles). (C1) Representative images of spine/node (left), spine/shaft contacts (middle) and spines that do not contact any astrocytic structure (right). (C2) Distribution of spines that contact nodes, shafts or no astrocytic structure ( $n = 188$  from 21 slices). (C3) Representative image of extracellular space (black) surrounding a synapse (grey) and a neighboring astrocyte (yellow).

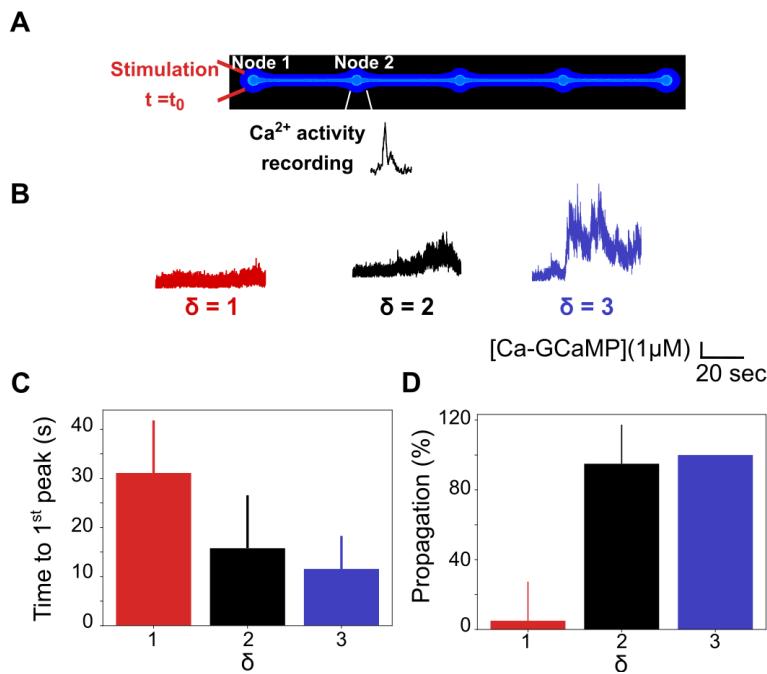
signal from one node (Node 1) to the neighboring node (Node 2) (see the protocol described in Fig VI.6A). Representative Ca<sup>2+</sup> traces in Node 2 are displayed in Fig VI.6B, which illustrate the variability of Ca<sup>2+</sup> signals depending on node/shaft width ratio  $\delta=1, 2$  and  $3$ . Our first noticeable result is that the delay between the stimulation of Node 1 and peak onset in Node 2 decreases with  $\delta$  (Fig VI.6C), which suggests that signals propagate faster in geometries of increased node/shaft width ratio. Moreover, the probability of signal propagation to Node 2 increases with  $\delta$ , which suggests that signal propagation is facilitated in geometries with high node/shaft width ratio  $\delta$ .

As IP<sub>3</sub>R molecules in this model were uniformly distributed onto the ER membrane and as the area of the ER varied between the geometries  $\delta=1, 2$  and  $3$ , the total number of IP<sub>3</sub>R molecules varied depending on  $\delta$ . The total number of IP<sub>3</sub>R molecules thus equaled 620, 363 and 283, for  $\delta=1, 2$  and  $3$ , respectively, although the IP<sub>3</sub>R density remained the same in the geometry. To verify whether our results are a consequence of the total number of IP<sub>3</sub>R molecules rather than of the geometries, we have performed additional simulations in which IP<sub>3</sub>R molecules were located on the ER surface within nodes only. As nodes display exactly the same area in all geometries  $\delta=1, 2$  and  $3$ , the total number of IP<sub>3</sub>R molecules was identical regardless of the geometry. Results were not significantly different from results presented in Fig VI.6, confirming that the node/shaft width ratio  $\delta$  influences the speed and probability of signal propagation.

Overall, our results suggest that an increased node/shaft width ratio, although it diffusively isolates nodes, favors higher probability and speed of Ca<sup>2+</sup> signal propagation.

### Investigating signal propagation resulting from successive node stimulations

Our collaborators have observed that 55% of spines were connected to nodes (Fig VI.5) and that when Ca<sup>2+</sup> signals propagated in several nodes, those nodes were often associated with spines that belonged to the same dendrite. The frequency of node stimulation is believed to vary drastically depending on whether nodes are contacted by spines from the same dendrite or by spines that belong to different dendrites or even different neurons. In order to test the impact of such a variability of the frequency of the stimulation of neighboring nodes, we have performed simulations in which neighboring nodes were successively stimulated after a time period  $\tau_{IP3}$  that varied from 50 ms to 5s (see protocol in Fig VI.7A). Representative Ca<sup>2+</sup> traces in Node 5 are presented in Fig VI.7B and illustrate their variability depending on node/shaft width ratio  $\delta$ . Our first result in that, similarly to results presented in Fig VI.6, the time to 1<sup>st</sup> peak in Node 5 significantly decreases when  $\delta$  increases, whatever the value of  $\tau_{IP3}$  (Fig VI.7C). More specifically,



**Figure VI.6:** Study of the effect of node/shaft width ratio on signal propagation from one node to a neighboring node. (A) Schematic representing the approach that has been developed for investigating the propagation of a neuronal-induced  $\text{Ca}^{2+}$  signal from node 1 to node 2. Node 1 was stimulated ( $\text{IP}_3$  molecules infusion in node 1) at  $t = t_0$  while  $\text{Ca}^{2+}$  activity was recorded in Node 2. (B) Representative  $\text{Ca}^{2+}$  traces in Node 2 for  $\delta = 1$  (red), 2 (black) and 3 (blue). (C) Time to 1<sup>st</sup> peak in Node 2 after stimulation time of Node 1,  $t_0$ , significantly decreases with  $\delta$  (ANOVA, p-value=0.005 \*\*). (D) The percentage of signals that propagate to Node 2 significantly increases with  $\delta$  (ANOVA, p-value < 0.0001 \*\*\*).

time to 1<sup>st</sup> peak is significantly higher for  $\delta = 1$  compared to both  $\delta = 2$  and  $\delta = 3$ , while differences between  $\delta = 2$  and 3 are not as striking (see Fig VI.7C). Note that the difference between  $\delta = 1$  and  $\delta = 2$  or 3 increases with the delay between successive node stimulation,  $\tau_{\text{IP}_3}$ . This suggests that geometries with  $\delta = 1$  better discriminate slow from fast frequency of node stimulation compared to geometries with  $\delta = 2$  or 3. Note that  $\text{Ca}^{2+}$  signals were often saturated (Fig VI.7B), potentially displaying a non-physiological behavior. Indeed,  $\text{Ca}^{2+}$  signals lasted more than 15-20s and peaks were not terminating at the end of simulation time. This effect could be due to our boundary conditions (reflective), which do not replicate the potential diffusion of molecules away from the process.

As simulations from Fig VI.6 suggested that the probability of signal propagation from one node to the neighboring one is lower in geometries with  $\delta = 1$  compared to  $\delta = 2$  or 3, we have investigated whether this effect is still visible when neighboring nodes are successively stimulated. Similarly to the results from Fig VI.6, geometries

with  $\delta=1$  are characterized by a lower probability of signal propagation. Moreover, the probability of signal propagation decreases as  $\tau_{IP3}$  increases for  $\delta=1$  (Fig VI.7D). This suggests that geometries with  $\delta=1$  can prevent the propagation of signals in case of successive node stimulation when the frequency of stimulation is low ( $\tau_{IP3} > 2s$ ).

In accordance with results presented in Fig VI.6, those results suggest that increased node/shaft width ratio  $\delta$  is associated with both an increased probability of signal propagation as well as an increased propagation speed.

Together, our results suggest that geometries with  $\delta=1$  can prevent or at least decrease the probability of signal propagation in case of single node stimulation or if the frequency of stimulation of successive nodes is low (time period  $> 2s$ ). As low  $\tau_{IP3}$  might reflect neuronal stimulation from the same dendritic tree while high  $\tau_{IP3}$  would characterize signals from different neuronal populations, our results suggest that geometries with low node/shaft width ratio ( $\delta=1$ ) might decrease both the probability and speed of signal propagation when neighboring nodes are stimulated by different neuronal populations.

### VI.1.5 Investigating the influence of node branching on the propagation of Ca<sup>2+</sup> signals

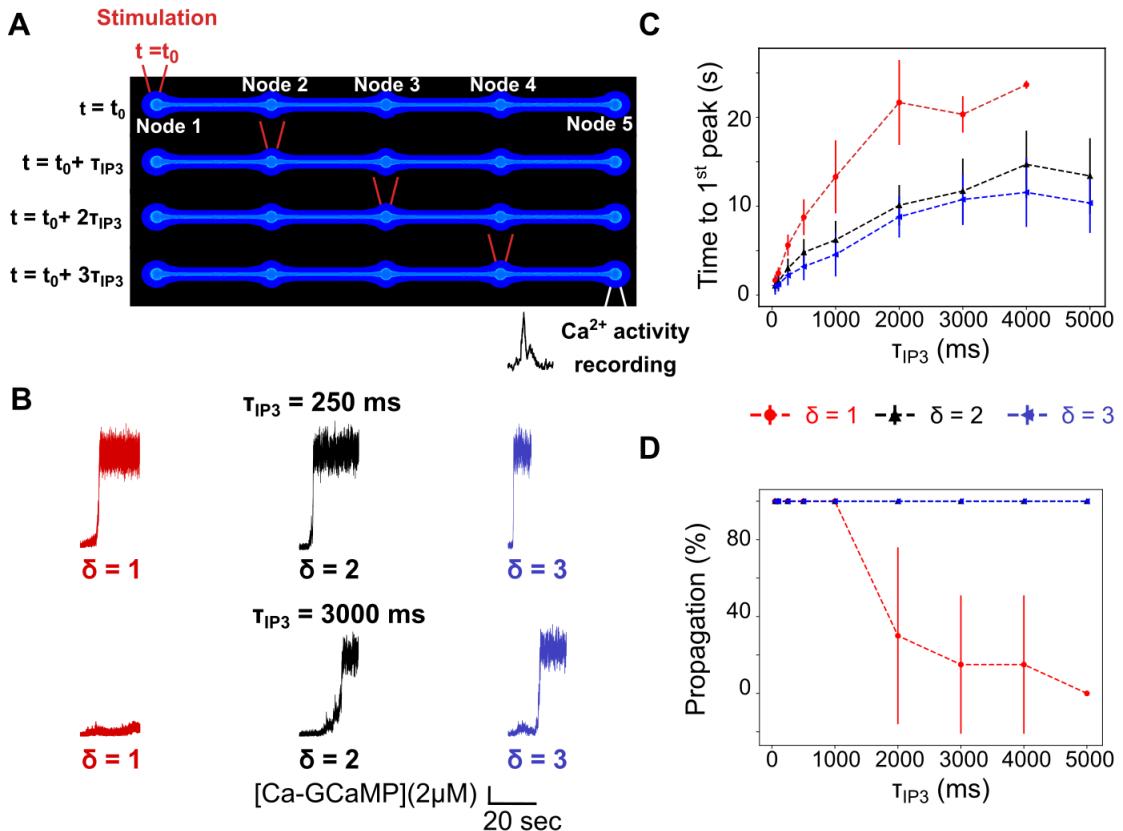
#### Experimental background

Rather than presenting an aligned geometry in which one node connects 2 neighboring ones like the geometries that were used so far in this study (see Fig VI.3), nodes in the gliapil often consisted in branching points or even formed rings (see Fig VI.8 A, B, respectively). Arizono et al [9] indeed revealed that 75% of nodes consist in branching points (Fig VI.8A3).

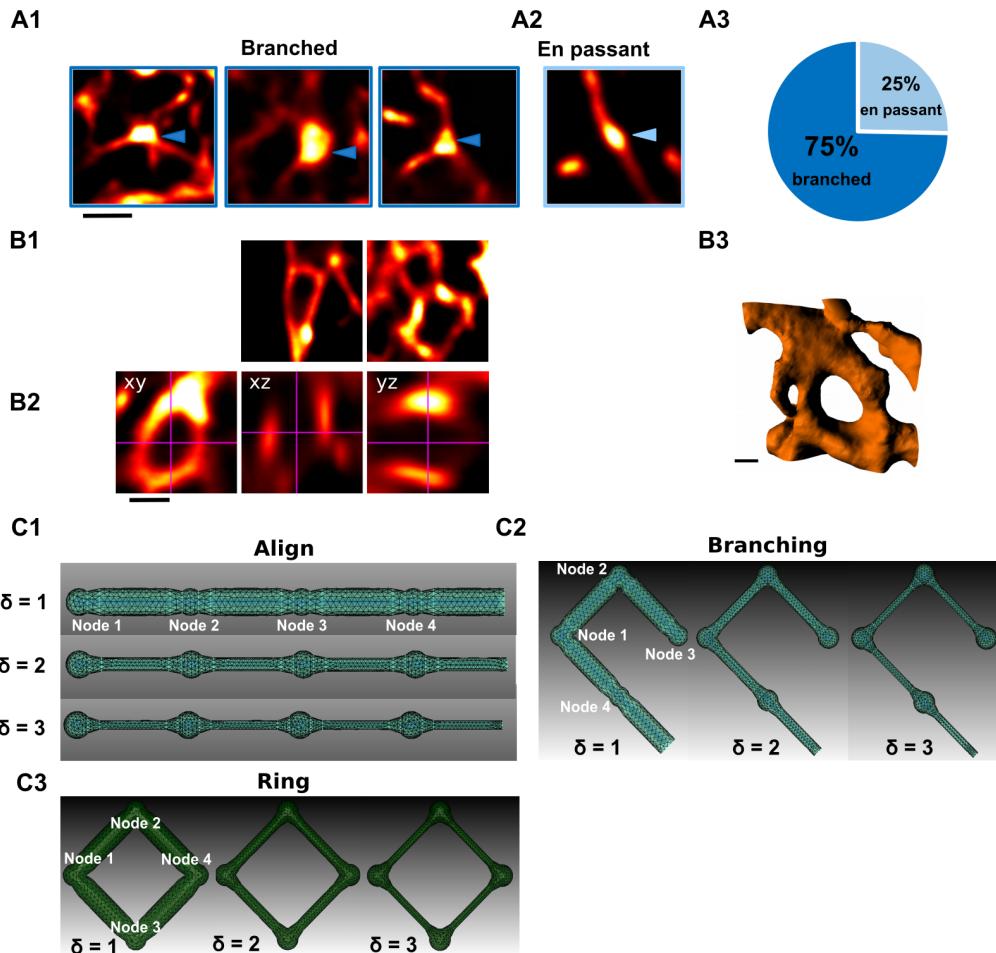
In the study presented in this section, we have investigated the effect of such branching points or ring geometries on Ca<sup>2+</sup> signal propagation.

#### Modeling approach

In order to investigate the effect of branching at nodes, geometries with the same number of shafts and nodes (4 each) but with different branching properties were designed. Some geometries consisted in linear nodes, without any branching points (Fig VI.8C1), referred to as 'Align', others possessed one branching point at node



**Figure VI.7: Study of the effect of node/shaft width ratio on signal propagation upon successive neuronal stimuli.** (A) At  $t=t_0$ , Node 1 was stimulated. Then,  $\tau_{\text{IP3}}$  defines the frequency at which a new node stimulation occurs so that node 2 is stimulated at time  $t_0 + \tau_{\text{IP3}}$ , node 3 at  $t_0 + 2\tau_{\text{IP3}}$  and node 4 at  $t_0 + 3\tau_{\text{IP3}}$ . Ca<sup>2+</sup> activity is recorded in node 5. (B) Representative Ca<sup>2+</sup> traces for  $\delta=1$  (red), 2 (black) and 3 (blue), with  $\tau_{\text{IP3}}=250 \text{ ms}$  and  $3000 \text{ ms}$ . (C) Time to 1<sup>st</sup> peak significantly increases with  $\tau_{\text{IP3}}$  for  $\delta=1$  (ANOVA, p-value=0.00016 \*\*\*),  $\delta=2$  (ANOVA, p-value < 0.0001 \*\*\*), and  $\delta=3$  (ANOVA, p-value < 0.0001 \*\*\*). T-tests revealed that for any value of  $\tau_{\text{IP3}}$ , time to 1<sup>st</sup> peak is significantly higher for  $\delta=1$  compared to both  $\delta=2$  and  $\delta=3$ . Time to 1<sup>st</sup> peak is not significantly different for  $\delta=2$  compared to  $\delta=3$ , except for  $\tau_{\text{IP3}}=250$  ( $p=0.032$  \*),  $500$  ( $p=0.0025$  \*\*),  $1000$  ( $p=0.034$  \*),  $4000$  ( $p=0.016$  \*) and  $5000$  ( $p=0.019$  \*) ms. (D) The probability of signal propagation, expressed as the percentage of signals that propagated to Node 5, is significantly lower for  $\delta = 1$  compared to  $\delta=2$  and  $3$ . The probability of signal propagation decreases as  $\tau_{\text{IP3}}$  increases for  $\delta = 1$  (ANOVA, p-value < 0.0001 \*\*\*).



**Figure VI.8: Experimental analysis of node branching and designed geometries.** (A, B) Experimental data. (A) Several shafts often branch from a single node. (A1) Representative STED images revealing branching at nodes ('Branched'). (A2) Representative node without branching points ('En passant'). (A3) Quantification of the proportion of 'Branched' VS 'En passant' nodes ( $n = 261$  from 14 slices). (B) STED images revealing ring-like geometries in the gliapil. (B1) Representative ring structures observed in the gliapil. (B2) Orthogonal views of a representative ring structure, demonstrating a ring shape rather than a cup shape. Scale bar: 500 nm. (B3) 3D reconstruction of the ring presented in panel B2. (C) Geometries with 4 nodes and 4 shafts of the same size were built with linear nodes (C1, 'Align'), branched (C2, 'Branching') or forming a ring (C3, 'Ring'). Each geometry was built for node/shaft widths ratios  $\delta=1, 2$  and 3.

1 (Fig VI.8C2), referred to as 'Branching' and some formed rings (Fig VI.8C3), referred to as 'Ring'. All geometries were built for node/shaft width ratios  $\delta=1, 2$  or  $3$ .

At  $t=t_0=5\text{s}$ , 25  $\text{IP}_3$  molecules were infused in Node 1, mimicking neuronal stimulation. Similarly to the previous study (section VI.1.4), after a time period  $\tau_{\text{IP}_3}=100\text{ms}$ , the same amount of  $\text{IP}_3$  was then infused in Node 2 and then at  $t_0+2\tau_{\text{IP}_3}$  in Node 3. During the whole simulation time,  $\text{Ca}^{2+}$  activity was recorded in Node 4. The kinetic scheme of the model and the corresponding parameter values were the same as the 3D "GCaMP" model, presented in section V.1.

## Results

As  $\approx 75\%$  of nodes of the gliapil consist in branching points (Fig VI.8), we have investigated the propagation and characteristics of  $\text{Ca}^{2+}$  signals in structures with different levels of branching: 'Align', 'Branching' and 'Ring' (see section VI.1.5 for more details). Fig VI.9A presents representative  $\text{Ca}^{2+}$  traces in Node 4 for  $\delta=1, 2$  and  $3$  in 'Align' (Fig VI.9A1, black), 'Branching' (Fig VI.9A2, red) and 'Ring' (Fig VI.9A3, blue) geometries, which suggest that 'Align', 'Branching' and 'Ring' geometries are associated with different  $\text{Ca}^{2+}$  dynamics. Here again, simulations reveal that the time to 1<sup>st</sup>  $\text{Ca}^{2+}$  peak in Node 4 after the stimulation of Node 1, at  $t_0$ , decreases with node/shaft width ratio  $\delta$  (Fig VI.9B1). Interestingly, time to 1<sup>st</sup> peak is increased in 'Ring' simulations compared to 'Align' and 'Branching', i.e the speed of signal propagation is significantly decreased in 'Ring' geometries, especially for  $\delta=2$  and  $3$  (Fig VI.9B1).

As the probability of signal propagation in Fig VI.6 increased with  $\delta$  in aligned geometries, we have investigated whether this phenomenon could still be observed in branched and ring-like geometries and if branching was somehow impacting signal propagation. Similarly to the results presented in Fig VI.6 and Fig VI.7, an increased  $\delta$  is also associated with a higher probability of signal propagation to Node 4, for 'Align', 'Branching' as well as 'Ring' geometries (Fig VI.9B2). Note that in addition to a decreased propagation speed, 'Ring' geometries are also associated with a lower probability of signal propagation to Node 4 compared to 'Align' and 'Branching' geometries (Fig VI.9B2).

As  $\text{Ca}^{2+}$  traces presented in Fig VI.9A displayed diverse  $\text{Ca}^{2+}$  peak characteristics depending on the geometry, we have next investigated the effect of node branching and of ring-like structures on peak amplitude and duration. Our results suggest that both peak amplitude (Fig VI.9B3) and duration (Fig VI.9B4) increase with  $\delta$ , for 'Ring', 'Align' and 'Branching' geometries. Interestingly, peak amplitude and duration are significantly lower in 'Ring' compared to 'Align' or 'Branching'

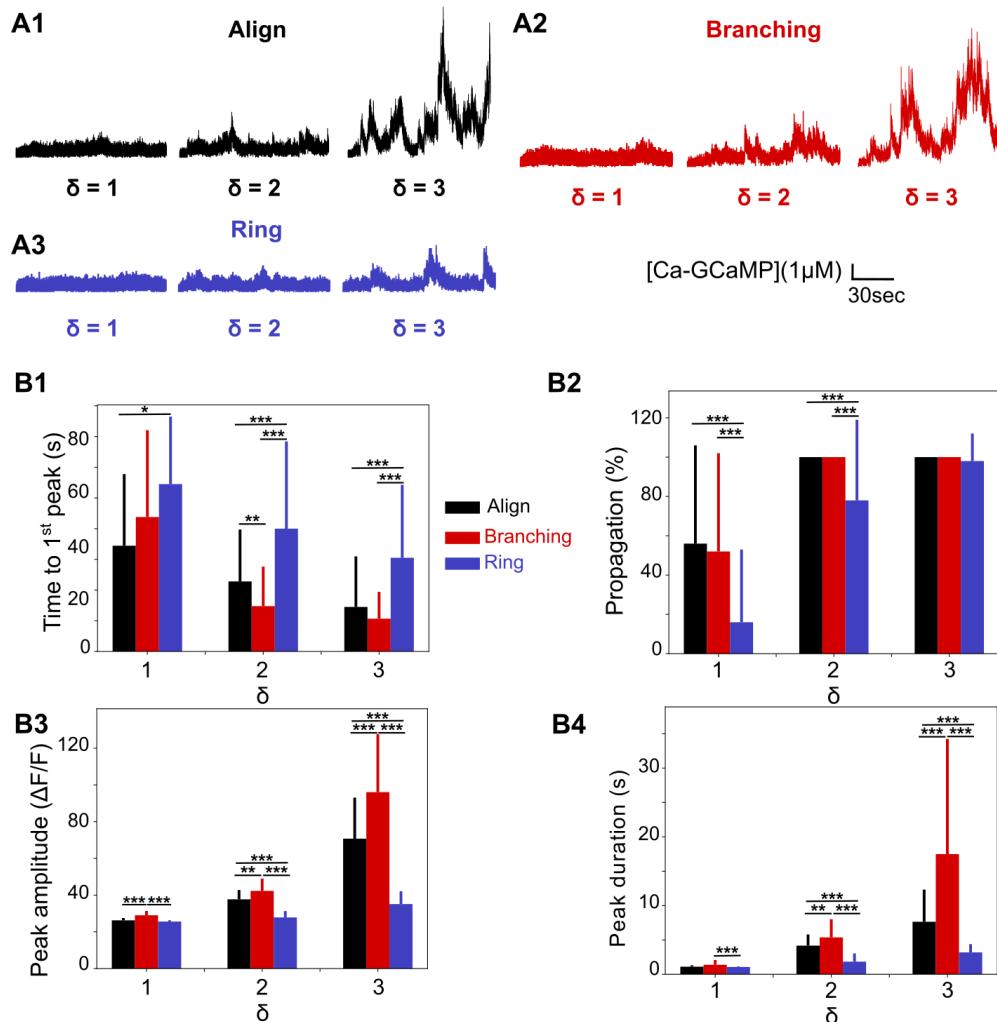
geometries, whatever the value of  $\delta$ . Moreover, peak amplitude and duration is significantly higher in 'Branching' geometries compared to 'Align', whatever the value of  $\delta$ .

Overall, node branching seems to increase local Ca<sup>2+</sup> peak duration and amplitude while its 'fusion' into ring-like structures is associated with decreased peak amplitude and duration. Moreover, ring-like structures are characterized by both lower probability and speed of signal propagation. Ring-like structures might thus be associated with highly localized puncta of Ca<sup>2+</sup> activity, potentially favoring neuron-astrocyte communication at the single-synapse level.

## Conclusion

The geometries developed in this study, presented in Fig VI.4C and VI.8, emerge as interesting tools to investigate the influence of cellular geometry on Ca<sup>2+</sup> dynamics in the gliapil. Our results suggest that high node/shaft ratios are associated with an increased diffusional isolation of nodes while favoring the propagation of signals, which is the opposite of the suggestions from Arizono et al[9]. Indeed, our collaborators suggested that the higher diffusional isolation of nodes for high  $\delta$  could 'trap' Ca<sup>2+</sup> signals in nodes and thus be responsible for the  $\approx 70\%$  of signals that stayed localized in nodes. Our simulations however predict that the greater  $\delta$ , the higher the probability and speed of signal propagation. This suggests that geometries with  $\delta=1$  might favor the existence of local communication at the node-spine level, i.e at the single-synapse level. Finally, our results suggest that the formation of ring-like structures might decrease both the probability and speed of signal propagation and that signals in ring-like structures are characterized by decreased peak amplitude and duration compared to the equivalent 'align' or 'branching' geometries. Ring-like structures might thus favor local signaling rather than Ca<sup>2+</sup> waves, which might have strong implications on neuron-astrocyte communication at the sub-cellular level.

Together, our results suggest that the  $\approx 70\%$  of signals that stayed localized in one node [9] might result from a high density of ring-like structures and/or from the presence of processes with low node/shaft ratios. The remaining 30% of signals that propagated might result from aligned/branched geometries, potentially with higher node/shaft width ratio. In any case, our results reveal potential geometrical properties that can at least partially explain the variety of signal propagation cues observed experimentally.



**Figure VI.9: Effect of node branching and of ring-like structures on signal propagation.** (A) Representative [Ca-GCaMP] traces for  $\delta=1, 2$  and  $3$  and for 'Align' (A1, black), 'Branching' (A2, red) and 'Ring' (A3, blue) geometries. Panel B presents the quantification of the delay between stimulation time of Node 1 and peak onset in Node 4 (B1, ANOVA, p-values < 0.0001 \*\*\* for 'Align' and 'Branching' and p-value = 0.0066 \*\* for 'Ring'), the percentage of simulations in which signal propagated to Node 4 (B2, ANOVA, p-values < 0.0001 \*\*\*), peak amplitude (B3, ANOVA, p-values < 0.0001 \*\*\*)) and peak duration (B4, ANOVA, p-values < 0.0001 \*\*\*)) in Node 4, for  $\delta=1, 2$  and  $3$  and for 'Align', 'Branching' and 'Ring' geometries, respectively. Data are represented as mean  $\pm$  STD, n=50 for each condition. Significance of the difference between 'Align', 'Branching' and 'Ring' geometries is assigned by \* for  $p \leq 0.05$ , \*\* for  $p \leq 0.01$ , \*\*\* for  $p \leq 0.001$ .

### VI.1.6 Discussion

For  $\tau_{IP_3}=4s$  and 5s and for  $\delta=2$  or 3, signals were detected in Node 5  $\approx 10s$  after the stimulation of Node 1 (at  $t_0$ ), which means that they occurred before the stimulation of Node 4 ( $t=t_0 + 12s$  and  $t=t_0 + 15s$  for  $\tau_{IP_3}=4s$  and 5s, respectively). This phenomenon was not observed in geometries with  $\delta=1$ , for which time to 1<sup>st</sup> peak when  $\tau_{IP_3}=4s$  was  $23.67 \pm 0.47$  s, which is larger than stimulation time of Node 4 ( $t=t_0 + 12s$ ). This suggests that for  $\delta=2$  and 3, contrary to  $\delta=1$ , one node stimulation can be omitted without having any consequence on signal propagation. Large node/shaft width ratio would thus result in a more robust signal propagation. In order to test this hypothesis, we plan to perform simulations of the protocol presented in Fig VI.7 in which, for each node stimulation, IP<sub>3</sub> infusion occurs with a given probability of failure. The percentage of signals that propagate to Node 5, depending on the value of the probability of failure, reflects the robustness of signal propagation and can be tested depending on  $\delta$ .

As described above, our collaborators [9] have observed different fractions of spines covered by astrocytes (Fig VI.5). The physiological implications of such a variability is however not understood yet. Simulations with varying astrocytic plasma membrane areas contacted by the spine could help investigate this question. More particularly, those simulations would allow to study the influence of the surface of astrocytic processes that is contacted by a spine on the onset and probability of Ca<sup>2+</sup> wave propagation.

The presence of "Rings" in the gliapil by itself is striking and requires further investigation. Indeed, even if reported previously [541], those structures have not been observed using electron microscopy. This discrepancy could be due to possible tissue distortions associated to the chemical fixation of samples in electron microscopy [583] or to the poor resolution of STED in z (200nm), which might result in wrong reconstructions of the 3D structure. Combining those fluorescent techniques with expansion microscopy [584, 585, 586, 587, 588] might increase the resolution of the images and help better characterize those apparent "Rings". Indeed, for the moment we cannot rule out whether those structures result from the fusion of membranes of neighboring astrocytic processes or from the formation of inter-processes gap junctions. Better understanding the exact geometry of this structure and its formation as well as its potential dynamical restructuration is crucial for better understanding its impact on Ca<sup>2+</sup> signaling in the gliapil. How do those structures form? When does the formation of ring-like structures occur? Is this phenomenon plastic and related to neuronal activity? Many questions arise from this study, which could help better understand neuron-astrocyte communication and information processing in astrocytes. In any case, the formation of ring-like structures might, according to our simulations, result in a decrease of the local speed and probability of signal

propagation, probably favoring local signaling between astrocytes and neurons.

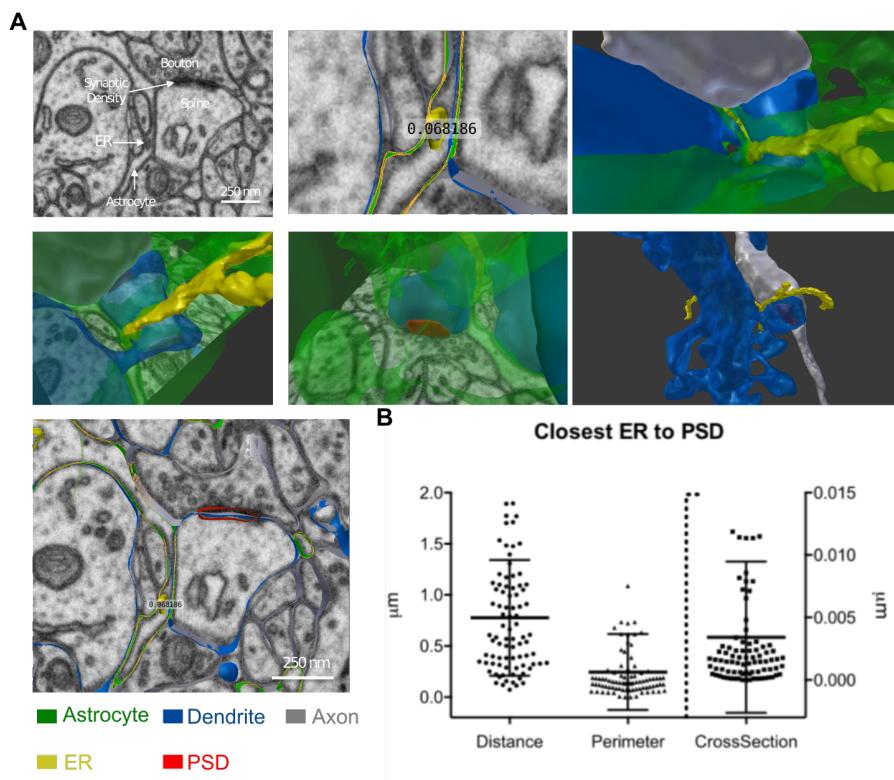
## VI.2 Simulating the effect of ER-PSD distance on neuronal activity-induced Ca<sup>2+</sup> signals in astrocytic processes

### VI.2.1 Experimental background

During the past few years, fine astrocytic processes have been regarded as devoid of ER [33, 430]. As presented in section V.2, our collaborator C. Calì, KAUST, Saudi Arabia, has detected ER in some PAPs using electron microscopy (see Fig V.5). Fig VI.10A presents different images from electron microscopy of a representative tripartite synapse, displaying a PAP that contains ER, with an ER-PSD (post-synaptic density) distance of  $\approx 68\text{nm}$ . Fig VI.10B presents quantification of the distance between the closest ER to PSD. According to those results, the closest astrocytic ER is at an average distance of  $776 \text{ nm} \pm 567 \text{ nm}$  from PSD and can be  $< 100 \text{ nm}$  (see Fig VI.10B). Those results are very important as they contradict the strong belief in the field that no ER is present in perisynaptic processes [33, 430]. Minimal ER-synapse distance turns out to be extremely variable. In this study, we have thus investigated the influence of such diverse ER-PSD distance on neuronal-induced Ca<sup>2+</sup> signals (see Fig VI.11A).

### VI.2.2 Modeling approach and geometry

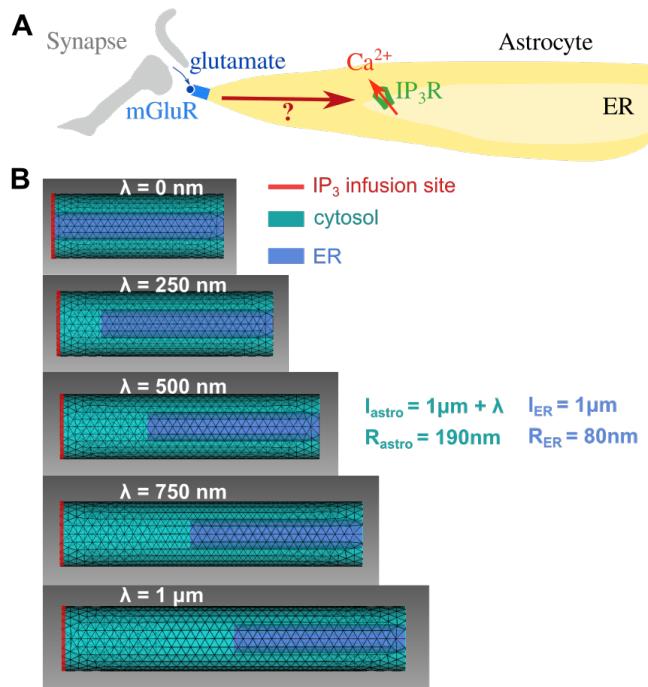
Fig VI.11 presents the modeling approach developed in this study (Fig VI.11A) as well as the 3D geometries that were created to investigate the impact of the distance between the ER and the tip of the process on Ca<sup>2+</sup> signaling (Fig VI.11B). Similarly to simulations from section VI.1, neuronal stimulation was modeled as an infusion of 25 IP<sub>3</sub> molecules (i.e 460 nM for  $\lambda=0 \text{ nm}$  and  $\approx 200 \text{ nM}$  for  $\lambda=1000 \text{ nm}$ ) at the tip of the process, at time  $t=2\text{s}$ . In order to get the same density of IP<sub>3</sub>R channels among simulations, the length and diameter of the ER was conserved ( $1 \mu\text{m}$  long,  $160\text{nm}$  in diameter), whatever the distance from the tip of the process  $\lambda$ , so that the total number of IP<sub>3</sub>R molecules was constant: 160. Therefore, changes of  $\lambda$  were obtained by varying the total process length, which was associated with modifications of total cytosolic volume (see Fig VI.11B).



**Figure VI.10: Electron microscopy reveals the presence of ER in PAPS and its vicinity to synapses.** (A) Electron microscopy images revealing a representative example of an astrocytic (green) process containing ER (yellow) that is in the vicinity of synaptic PSD(post-synaptic density, red). Axons are represented in grey. In this example, the ER is at a distance of 68nm from the PSD. (B) Quantitative analysis of the distance of ER to PSD, of the perimeter and cross-section of the ER (in  $\mu\text{m}$ ). The closest astrocytic ER is at an average distance of  $776 \text{ nm} \pm 567 \text{ nm}$  from PSD, with some PSDs that are at  $< 100\text{nm}$  away from a PSD.

### VI.2.3 Results: Effect of ER-PSD distance on the onset and characteristics of astrocytic $\text{Ca}^{2+}$ signals

As our collaborator has reported diverse distances between synapses and the closest astrocytic ER (ER-PSD distance,  $\lambda$ ), we have investigated the effect of the variability of this distance on  $\text{Ca}^{2+}$  signals in fine astrocytic processes. To do so, we have performed simulations within simplified cylinder geometries in which the ER was positioned at various distances from  $\text{IP}_3$  infusion site, which reflects PSD location: from  $\lambda=0$  to  $1 \mu\text{m}$ . In order to visualize the propagation of  $\text{Ca}^{2+}$  signals within the process, space was discretized into 100 nm-long bins, so that the geometry with  $\lambda=0\text{nm}$  ( $l_{\text{astro}}=1\mu\text{m}$ ) was discretized into 10 bins while the geometry with



**Figure VI.11: Modeling approach for investigating the effect of ER-PSD distance on Ca<sup>2+</sup> signaling.** (A) Schematic representation of the biological question. This study aims at investigating the effect of the distance between a synapse (PSD) and the closest astrocytic endoplasmic reticulum (ER) to PSD,  $\lambda$ , on the onset and characteristics of Ca<sup>2+</sup> signals. (B) 3D geometries that were designed for investigating the effect of  $\lambda$  on Ca<sup>2+</sup> dynamics. ER surface was constant across those geometries to ensure constant IP<sub>3</sub>R density.

$\lambda=1\mu\text{m}$  ( $l_{\text{astro}}=2\mu\text{m}$ ) was discretized into 20 bins (see Fig VI.12A1). The resulting plots of Ca<sup>2+</sup> dynamics in each bin from  $t=0$  to  $t=50\text{s}$  reveal striking differences of Ca<sup>2+</sup> dynamics depending on  $\lambda$  (Fig VI.12A2). Interestingly, [Ca-GCaMP] peaks plotted as a function of time and space consist in horizontal lines (Fig VI.12A2). This suggests that signals propagate very fast so that [Ca-GCaMP] in a PAP is soon homogenized, preventing the observation and quantification of wave propagation at this spatial scale. Ca<sup>2+</sup> activity in the whole process qualitatively varied depending on ER-PSD distance  $\lambda$  for a given neuronal stimulus (here 25 IP<sub>3</sub> molecules) (Fig VI.12A3). Quantitative analysis of Ca<sup>2+</sup> signals depending on  $\lambda$  are presented in Fig VI.12B. Peak amplitude (Fig VI.12B1) and frequency (Fig VI.12B3) significantly decreased when  $\lambda$  increased while time to 1<sup>st</sup> peak increased with  $\lambda$  (Fig VI.12B4). However,  $\lambda$  did not seem to impact peak duration (Fig VI.12B2). Note that we have checked that spontaneous Ca<sup>2+</sup> signals (number of IP<sub>3</sub> infused=0) did not vary in amplitude, frequency and duration depending on  $\lambda$  (not shown, ANOVA, p-value=0.31, 0.18 and 0.63, respectively). Our results thus reveal a strong effect of ER-PSD

distance on peak amplitude and frequency.

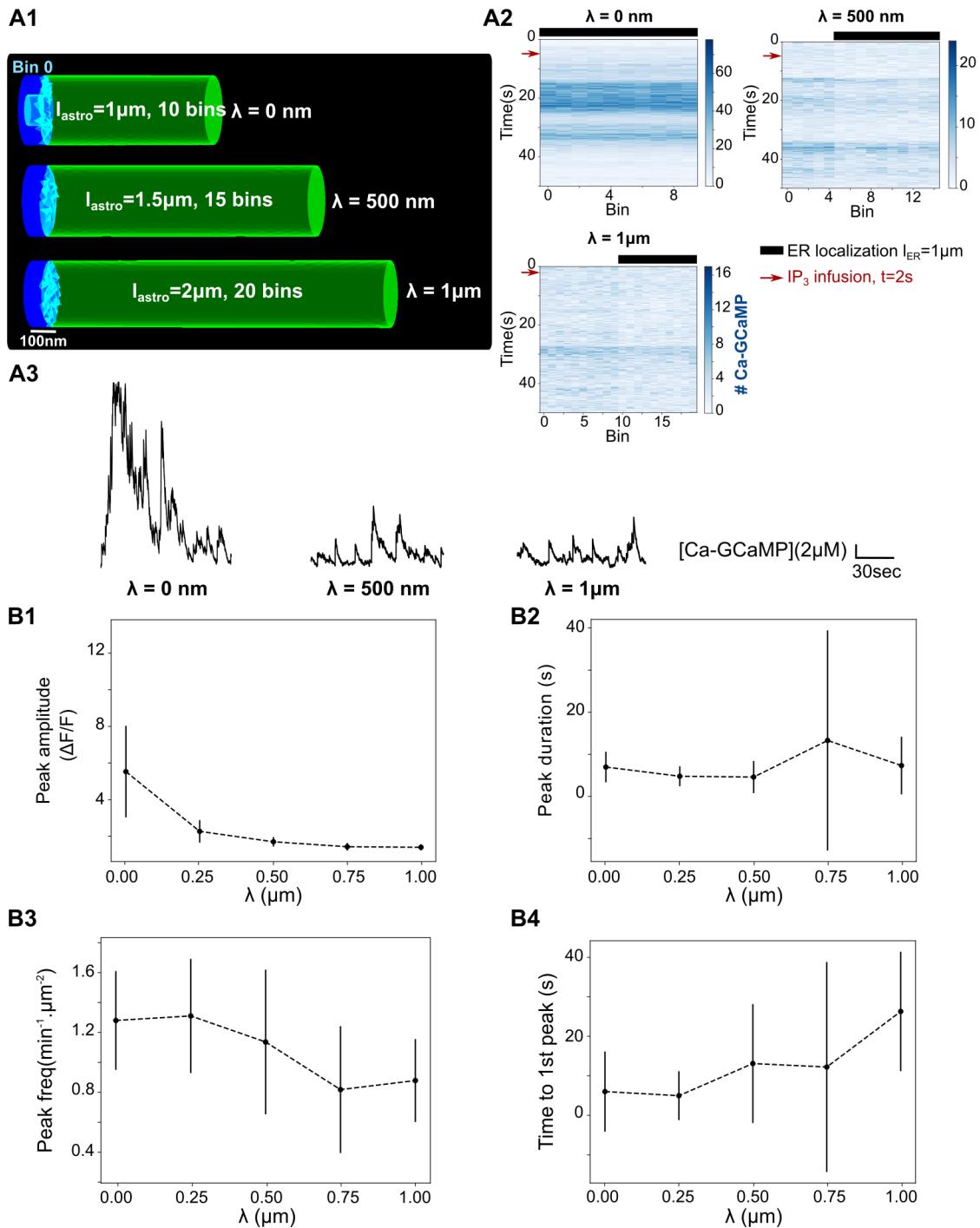
Overall, our results suggest that the variety of PSD-ER distances that are observed experimentally might partly be responsible for the diversity of both amplitude and frequency of  $\text{Ca}^{2+}$  signals observed in fine processes. Please note that the geometry used in this study is characterized by a slightly larger volume than that is believed to represent PAPs. In order to confirm whether our predictions are still valid for thinner processes of the gliapil, we plan to perform those simulations again within meshes of thinner processes ( $R_{\text{astro}}=100\text{nm}$  rather than  $190\text{nm}$ ).

#### VI.2.4 Discussion

The study presented in this section is similar to a previous dimensionless mass diffusion model from Montes de Oca Balderas [430], although their model was devoid of a degradation term for  $\text{IP}_3$ , thus compromising interpretation of their simulation results. Our predictions are in accordance with their finding: even if the ER is located  $1\ \mu\text{m}$  away from the PSD,  $\text{IP}_3\text{R}$ -dependent  $\text{Ca}^{2+}$  transients can be triggered in the astrocyte. Our study however goes further in studying the effect of ER-PSD distance on astrocytic  $\text{Ca}^{2+}$  signals. We show that peak amplitude and frequency strongly decrease with ER-PSD distance, so that for a similar neuronal input and cellular location, varying ER-PSD distances can result in diverse  $\text{Ca}^{2+}$  signals.

Our results suggest that signals propagate very fast so that the concentration of  $\text{IP}_3$  is soon homogenized within a fine process, resulting in the absence of a detectable  $\text{Ca}^{2+}$  wave at this spatial scale. In fact, the coefficient of diffusion of  $\text{IP}_3$  molecules in the model is  $D_{\text{IP}_3}=280\ \mu\text{m}^2.\text{s}^{-1}$ , and that of GCaMP molecules is  $D_{\text{GCaMP}}=50\ \mu\text{m}^2.\text{s}^{-1}$  so that  $\text{IP}_3$  and Ca-GCaMP molecules can diffuse along the entire geometry within few ms. Overall, our results suggest that the increase of  $\text{IP}_3$  concentration rather than its diffusion is critical for the onset of  $\text{Ca}^{2+}$  peaks in a PAP with the parameter values used in this model. Note that the coefficient of diffusion of  $\text{IP}_3$  available in the literature was measured in *Xenopus laevis* cytosolic extracts in the 1990s [554], which might not reflect its dynamics in astrocytes. Further experimental investigation on the coefficient of diffusion of molecules of the  $\text{Ca}^{2+}$  pathway in astrocytes is crucial in order to better understand signal propagation in the small volumes that characterize processes of the gliapil.

As simulations in section V.2 have revealed that  $\text{Ca}^{2+}$  dynamics can vary drastically depending on the mesh in which simulations are performed, it would be interesting to test the predictions of the simulations presented in this section in a more realistic PAP geometry. To do so, we plan to perform simulations with varying



**Figure VI.12: ER-PSD distance influences Ca<sup>2+</sup> peak duration and amplitude.** (A1) Screenshots revealing the spatial discretization of processes into 100nm-long bins, for ER-PSD distance  $\lambda=0, 500$  and  $1000 \text{ nm}$ . One bin is highlighted in blue while the rest of the volume is in green. (A2) Representative plots illustrating the number of Ca<sup>2+</sup> ions in each bin as a function of time for geometries with  $\lambda=0, 500$  and  $1000\text{nm}$ , from  $t=0$  to  $t=50\text{s}$ . (A3) Representative simulations with ER-PSD distances  $\lambda=0\text{nm}, 500\text{nm}$  and  $1 \mu\text{m}$  (see geometries in Fig VI.11B). (B) Quantification of peak amplitude (B1, ANOVA, p-value  $\leq 0.0001$  \*\*\*), frequency (B2, ANOVA, p-value  $\leq 0.0001$  \*\*\*), duration (B3, ANOVA, p-value= 0.16) and time to 1<sup>st</sup> peak (B4, ANOVA, p-value= 0.05 \*) as a function of  $\lambda$ . Data are expressed as mean  $\pm$  standard deviation, n=20. Dashed lines are guides for the eye.

ER-PSD distances in the realistic mesh presented in Fig V.5B. In order to investigate the effect of ER-PSD distance in this mesh, we will perform simulations in which IP<sub>3</sub> infusion will occur at different locations in the cell, associated with varying distances to the closest ER. Simulations in larger volumes of the mesh presented in Fig V.5A could also enable the investigation of signal propagation within a realistic network of astrocytic processes. Such a larger volume would probably be more suited to the study of signal propagation depending on ER-PSD distance and would help better understand signal integration in the gliapil, which might be important for neuron-astrocyte communication.

## VI.3 Conclusion

In this chapter, we have used the 3D voxel-based implementation of our model presented in Chapter V in order to investigate the onset and propagation of neuronal-induced Ca<sup>2+</sup> signals in astrocytes. More particularly, we have investigated the effect of cellular and of ER geometry on the characteristics of induced Ca<sup>2+</sup> signals. The geometries tested in this chapter were based on experimental data from our collaborators. Overall, our simulations suggest that the geometry of fine processes by itself can be responsible for various properties of signal propagation. Furthermore, dynamical remodeling of the geometry of processes, as e.g the formation of ring-like structures observed by Arizono et al [9], can result in a striking modification of the probability and speed of signal propagation in the structure. Finally, simulations in a simplified cylinder mesh have enabled us to study the effect of the varying ER-PSD distances that were observed by our collaborator on local peak amplitude and frequency in a fine astrocytic process. Cellular and ER geometries are thus important parameters that can influence focal VS spread Ca<sup>2+</sup> activity in the gliapil. A thorough characterization of the ultrastructure of the gliapil should thus provide a better understanding of information processing in astrocytes.



# Chapter VII

## Conclusion and perspectives

### Conclusion

As all studies from Chapters IV, V and VI were presented together with discussions on their results, limitations and perspectives, broader conclusion and perspectives are presented here.

The small size of astrocytic processes that interact with neurons (< 200nm in diameter), associated to the low concentrations of molecular species such as  $\text{Ca}^{2+}$  ions ( $\approx 100 \text{ nM}$ ), implies that reactions that result in  $\text{Ca}^{2+}$  signals occur stochastically. Mathematical models of CICR-based signaling date back to the beginning of the 1990s (for recent reviews see e.g. [540, 287, 288]) and most assumed perfect mixing of the molecules and deterministic kinetics (ODEs, see section III.1) [8, 300, 302]. Stochastic models of  $\text{IP}_3\text{R}$ -mediated  $\text{Ca}^{2+}$  signaling have also been proposed, that take into account the stochasticity associated with molecular interactions [377, 531, 545, 530]. Yet, none of those studies accounts both for the diffusion of molecular species and the stochasticity of the reactions taking place inside astrocytes. Recently, individual-based modeling has been introduced to evaluate the impact of diffusive noise on  $\text{IP}_3\text{R}$  opening dynamics [491], but this simplified model disregarded  $\text{IP}_3$  dynamics and restricted stochasticity to the vicinity of the  $\text{IP}_3\text{R}$  channels. To our knowledge, our model is the first fully stochastic individual-based model that is suited to reproduce spontaneous  $\text{Ca}^{2+}$  signals in the finest astrocyte processes, where low copy number and spatial localization effects are expected to be more prominent than in larger volumes.

Simulations in the 2D version of the model allowed for a better understanding of its dynamics and of the basic spatial parameters that could influence the regime and temporal characteristics of  $\text{Ca}^{2+}$  signals (Chapter IV). Simulations in 3D geometries representing PAP volumes and realistic molecular concentrations allowed us to

further investigate the impact of cellular geometry on spontaneous  $\text{Ca}^{2+}$  dynamics, notably the interplay between molecular clustering and the distance between plasma membrane and intracellular  $\text{Ca}^{2+}$  stores (Chapter V). The last part of this thesis consisted in investigating the impact of astrocytic geometry at the nanoscale on the onset and propagation of neuronal-induced  $\text{Ca}^{2+}$  signals (Chapter VI). Overall, simulations of the model suggest that (1) molecular diffusion, strongly influenced by the concentration and kinetics of endogenous and exogenous buffers, (2) intracellular spatial organization of molecules, notably  $\text{Ca}^{2+}$  channels co-clustering, (3) ER geometry and localization within the cell, (4) cellular geometry strongly influence  $\text{Ca}^{2+}$  dynamics and can be responsible for the striking diversity of astrocytic  $\text{Ca}^{2+}$  signals.

All of the studies presented in this manuscript rely on  $\text{IP}_3\text{R}$ -dependent  $\text{Ca}^{2+}$  signals. As the ER is most probably absent from some processes (see e.g Fig V.10), the model developed in this thesis cannot fully represent the diversity of  $\text{Ca}^{2+}$  signals encountered in astrocytes. For more details on the controversies and perspectives on the presence of ER in processes and on the dependence of local  $\text{Ca}^{2+}$  signals on the ER, see dedicated discussion in section V.3. Similarly, experimental reports suggest that the complete dependence of cytosolic  $\text{Ca}^{2+}$  transients on  $\text{IP}_3\text{R}2$  channels is only observed in the astrocytic soma whereas  $\text{Ca}^{2+}$  signals measured within astrocytic processes are a mix of  $\text{IP}_3\text{R}2$ -dependent and  $\text{IP}_3\text{R}2$ -independent  $\text{Ca}^{2+}$  signals [6, 254]. The identity, subtype and localization of the channels responsible for  $\text{IP}_3\text{R}2$ -independent  $\text{Ca}^{2+}$  signals in astrocytes are still to be uncovered. Our study sheds light on the importance of the localization of these various  $\text{Ca}^{2+}$  sources, whatever their specific subtypes.

Overall, simulations of the model demonstrate that low copy number of molecules can display dynamics that cannot be predicted by deterministic approaches and that spatial modeling is crucial to better understand the effect of molecular distributions and of cellular geometry on  $\text{Ca}^{2+}$  dynamics in astrocytes. Recent studies have proposed models for the simulation of astrocytic  $\text{Na}^+$  [429] and  $\text{Ca}^{2+}$  signals [589, 430, 405] in 3D with deterministic differential equation models that correspond to cellular volumes large enough to validate a law of large numbers. Since fine processes are thought to be the place of neuron-astrocyte interactions, we believe that this model, combined with models of signal propagation between astrocytic compartments such as Savtchenko et al [405], might be useful to investigate the spatiotemporal integration of  $\text{Ca}^{2+}$  signals in the spongiform network of astrocyte processes.

## Towards replicable and reproducible models

Several meta studies have put forward the different behaviors that models of the same biological system can display [590, 540]. Actually, reproducing the results from computational models, even though they do not suffer from experimental measurement errors, is not straightforward, which has been pointed out in several fields, including in models of astrocytes [591, 540]. One of the big challenges in the modeling community is to develop reproducible models. Models should be replicable, cross-replicable and reproducible (see [592] for details). Basically, the simulation results should not depend on the code and tools used for implementing the model.

In order to improve the reproducibility of computational models, it is recommended to systematically share the code (with e.g ModelDB [593], see Crook et al [592] for other available model databases). Guidelines for sharing models have been suggested in the form of Minimum Information Required in the Annotation of Models (MIRIAM, <http://co.mbine.org/standards/miriam>) [594]. Those studies suggest that all modeling studies should include model description, hypothesis, implementation (providing the code is best), analysis, validation against experimental data and justification. Using common languages for describing molecular interactions independently of the simulator can also promote cross-replicability of models. The most popular of those languages is the Systems Biology Markup Language (SBML) [595] (but see Crook et al [592] for an overview of other markup languages). Finally, some platforms have started to build tools that allow for inter-operability of different simulators, as e.g the interface MUSIC [520].

Such initiatives have also emerged in the field of computational neuroscience. For example, PyNN and NeuroML provide simulation tools for standardized formats of neuroscience models. PyNN [596] is a programming interface using Python programming language, in which a growing toolbox is available for simulation and data analysis. NeuroML is an XML-based programming interface, which enables the description of model components at various scales of the nervous system [597].

Overall, producing models that are better documented, easily reproducible and replicable should help improve knowledge and collaborative work in the field of computational neuroscience.

## Perspectives

Since contact sites have been detected in perivascular astrocytic processes between ER and plasma membrane (PM) [30] and as simulations of the model in Chapter V suggested an effect of ER-PM distance on  $\text{Ca}^{2+}$  signals (see section V.3

for further discussion), we would like to investigate the impact of ER-PM contact sites on  $\text{Ca}^{2+}$  signals at the sub-cellular scale. To do so, we have started to develop a mesh in which the main geometrical parameters of ER-PM contact sites can be modified. Simulations in this mesh are characterized by the existence of a  $\text{Ca}^{2+}$  gradient from the contact site. To our knowledge, this is the first fully stochastic spatially-explicit model of  $\text{Ca}^{2+}$  nanodomains. Meanwhile, our collaborator is investigating the number and distribution of ER-PM contact sites in astrocytes. This study might be helpful to better understand the influence of ER-PM geometry on  $\text{Ca}^{2+}$  signaling.

$\text{IP}_3\text{R}$ -dependent  $\text{Ca}^{2+}$  signals can involve a substantial transient decrease of  $[\text{Ca}^{2+}]$  within the ER. For simplicity, those variations have not been taken into account in the models that have been presented in this manuscript. Local  $\text{Ca}^{2+}$  depletion in the ER could however strongly influence cytosolic  $\text{Ca}^{2+}$  signals, especially in small volumes such as encountered within microdomains. In order to test this hypothesis, we have adapted the "GCaMP" model presented in section V.1 in order to account for  $\text{Ca}^{2+}$  dynamics within the ER. This model is interesting for investigating local depletion of  $\text{Ca}^{2+}$  in the ER following  $\text{Ca}^{2+}$  activity, which has not been investigated so far to our knowledge in systems with low copy number of molecules.

One astrocyte can be viewed as the summation of units of signal integration, its processes. However, whether processes consist in independent, heterogeneous units still remains to be uncovered. More particularly, it is not known under which conditions signals emerging from independent processes can interact. A perspective of the work presented in this manuscript would be to simulate signals emerging from different processes and to investigate the integration of  $\text{Ca}^{2+}$  signals in this intracellular network. As astrocytes in the rat hippocampus can contact simultaneously  $\approx 100\ 000$  synapses [27] and human astrocytes could contact up to  $2\ 000\ 000$  synapses [37], this question is fundamental to understand the potential role that single astrocytes could play in connecting different neuronal networks. To model signal integration and propagation within networks of astrocytic processes, we could create geometries in which several processes are connected, each of them being stimulated by different neuronal sources, at different timing. We could also simulate signal integration directly in our mesh of a realistic 3D astrocyte, with neuronal PSDs, extracted from electron microscopy (see Fig V.5A), although simulations in this geometry with STEPS would probably take years to compute. Using hybrid approaches for modeling the integration of stochastic local signals and the subsequent global activity thus emerges as the best compromise to simulate signal integration within whole astrocytes. Those multiscale modeling studies will be of high importance for understanding the influence of information processing at the (sub-)cellular level on

higher-level brain functions.



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# Appendix A

## Supplemental methods and model validation

*“There is always time for another last minute”*  
Terry Pratchett

### A.1 Parameter values

Table A.1 presents the parameter values that have been used in the "GCaMP", "No-GCaMP", "GCaMP6f" and "Lck-GCaMP6f" 3D models (see section V.1). Table A.2 presents the additional parameter values that were added in the "GC+Buf" model, which accounts for endogenous  $\text{Ca}^{2+}$  buffers, their diffusion and interactions with  $\text{Ca}^{2+}$  ions (see section V.1 and Fig V.1).

### A.2 Validation of modeling strategies

#### A.2.1 Study of the effect of endogenous buffers on the effective $D_{\text{Ca}}$

##### A.2.1.1 Mean field model of $\text{Ca}^{2+}$ buffering

In the 2D implementation of the model, the effect of  $\text{Ca}^{2+}$  buffers has been accounted by decreasing the effective  $\text{Ca}^{2+}$  coefficient of diffusion,  $D_{\text{Ca}}$  (see Chap-

**Table A.1: Parameter values and initial conditions of the 3D model.** The parameter values of the 3D model listed here correspond to the "GCaMP" model. The parameter values for the "No-GCaMP" model, devoid of GCaMP, are the same except that  $[GCaMP6s] = 0 \text{ nM}$ . In "GCaMP6f" and "Lck-GCaMP6f" models,  $Gcamp_f = 1.05 \times 10^7 M^{-1}.s^{-1}$  and  $Gcamp_b = 3.93 s^{-1}$ . Parameter values have been adjusted to optimize the match with experimental data (see Fig V.2). Note that the values for  $\text{Ca}^{2+}$  and  $\text{IP}_3$  binding or unbinding to  $\text{IP}_3\text{R}$ , i.e. the  $a_i$ 's and  $b_j$ 's parameters below, are smaller in our model than in the literature, probably because our model is not cooperative. For GCaMP6s and cytosolic GCaMP6f, we used the diffusion coefficient of calmodulin. The initial number of  $\text{Ca}^{2+}$  ions was adjusted so that the measured basal GCaMP6s-Ca concentration was around 300nM [177, 551].

Parameter	Description	Value in 3D GCaMP model	Reference
V	Cell volume	$2.81 \times 10^{-17} \text{ L}$	[32]
IP <sub>3</sub> dynamics			
$IP_0$	Initial IP <sub>3</sub> number/conc	3 molec. i.e 177 nM	[550]
$D_{IP_3}$	IP <sub>3</sub> diffusion	$280 \mu\text{m}^2.\text{s}^{-1}$	[554]
$N_{plc}$	PLC $\delta$ number/conc.	1696 molec. i.e 100 $\mu\text{M}$	[598]
$\delta$	PLC $\delta$ max rate	$1 \text{ s}^{-1}$	-
$\beta$	IP <sub>3</sub> decay	$1.2 \times 10^{-4} \text{ s}^{-1}$	-
$\text{Ca}^{2+}$ dynamics			
$Ca_0$	Initial $\text{Ca}^{2+}$ number/conc.	5 molec. i.e 295 nM	[551]
$D_{Ca}$	$\text{Ca}^{2+}$ diffusion	$13 \mu\text{m}^2.\text{s}^{-1}$	[554]
$\mu$	$\text{Ca}^{2+}$ flux through open $\text{IP}_3\text{R}$	$6 \times 10^3 \text{ s}^{-1}$	-
$\gamma$	Cytosolic $\text{Ca}^{2+}$ influx	$1.5 \times 10^{-7} \text{ s}^{-1}$	-
$\alpha$	$\text{Ca}^{2+}$ decay rate	$30 \text{ s}^{-1}$	-
GCaMP6s			
$C_{GCa}$	GCaMP6s conc.	169 molec. i.e 10 $\mu\text{M}$	[599, 163]
$D_{GCaMP}$	GCaMP6s diffusion	$50 \mu\text{m}^2.\text{s}^{-1}$	[600]
$Gcamp_f$	GCaMP6s Ca binding rate	$7.78 \times 10^6 M^{-1}.\text{s}^{-1}$	[185]
$Gcamp_b$	GCaMP6s-Ca dissociation rate	$1.12 \text{ s}^{-1}$	[185]
$\text{IP}_3\text{R}$			
$N_{IP_3R}$	IP <sub>3</sub> R number	50 molec.	[481]
$d_{IP_3R}$	IP <sub>3</sub> R interact. distance	1 mesh triangle	-
$\text{IP}_3\text{R}$ binding			
$a_1$	First Ca	$1.2 \times 10^6 M^{-1}.\text{s}^{-1}$	-
$a_2$	IP <sub>3</sub>	$4.1 \times 10^7 M^{-1}.\text{s}^{-1}$	-
$a_3$	Second Ca	$1.6 \times 10^4 M^{-1}.\text{s}^{-1}$	-
$\text{IP}_3\text{R}$ dissociation			
$b_1$	First Ca	$50 \text{ s}^{-1}$	-
$b_2$	IP <sub>3</sub>	$400 \text{ s}^{-1}$	-
$b_3$	Second Ca	$100 \text{ s}^{-1}$	-

**Table A.2: Parameter values and initial conditions associated to endogenous buffers in the 3D "GC+Buf" model.** Parameter values for the "GC+Buf" 3D model (Fig V.1) are the same as in "GCaMP" model (Table A.1). Parameter values associated with the kinetics and diffusion of endogenous buffers were taken from a study that modeled  $\text{Ca}^{2+}$  dynamics in dendrites [484]. Note that total endogenous buffer concentration in our model is 2 orders of magnitude lower than in this study.

Parameter	Description	Value in 3D	Reference
$C_{\text{PV}}$	Initial PV conc.	260 nM	[484]
$C_{\text{PV}\text{Ca}}$	Initial PV-Ca conc.	400 nM	[484]
$D_{\text{PV}}$	PV diffusion	$43 \mu\text{m}^2.\text{s}^{-1}$	[484]
$PV_f$	PV Ca binding rate	$10.7 \times 10^7 \text{ M}^{-1}.\text{s}^{-1}$	[484]
$PV_b$	PV-Ca dissociation rate	$0.95 \text{ s}^{-1}$	[484]
$C_{\text{CBs}}$	Initial CBs conc.	110 nM	[484]
$C_{\text{CBs}\text{Ca}}$	Initial CBsCa conc.	200 nM	[484]
$C_{\text{CBs}\text{Ca}2}$	Initial CBsCa2 conc.	200 nM	[484]
$D_{\text{CBs}}$	CBs diffusion	$28 \mu\text{m}^2.\text{s}^{-1}$	[484]
$CBs_f$	CBs Ca binding rate	$5.5 \times 10^6 \text{ M}^{-1}.\text{s}^{-1}$	[484]
$CBs_b$	CBs-Ca dissociation rate	$2.6 \text{ s}^{-1}$	[484]
$CBsCa_f$	CBs-Ca Ca binding rate	$5.5 \times 10^6 \text{ M}^{-1}.\text{s}^{-1}$	[484]
$CBsCa_b$	CBs-Ca2 dissociation rate	$2.6 \text{ s}^{-1}$	[484]
$C_{\text{CBf}}$	Initial CBf conc.	110 nM	[484]
$C_{\text{CBf}\text{Ca}}$	Initial CBfCa conc.	200 nM	[484]
$C_{\text{CBf}\text{Ca}2}$	Initial CBfCa2 conc.	200 nM	[484]
$D_{\text{CBf}}$	CBf diffusion	$28 \mu\text{m}^2.\text{s}^{-1}$	[484]
$CBf_f$	CBf Ca binding rate	$4.35 \times 10^7 \text{ M}^{-1}.\text{s}^{-1}$	[484]
$CBf_b$	CBf-Ca dissociation rate	$35.8 \text{ s}^{-1}$	[484]
$CBfCa_f$	CBf-Ca Ca binding rate	$4.35 \times 10^7 \text{ M}^{-1}.\text{s}^{-1}$	[484]
$CBfCa_b$	CBf-Ca2 dissociation rate	$35.8 \text{ s}^{-1}$	[484]

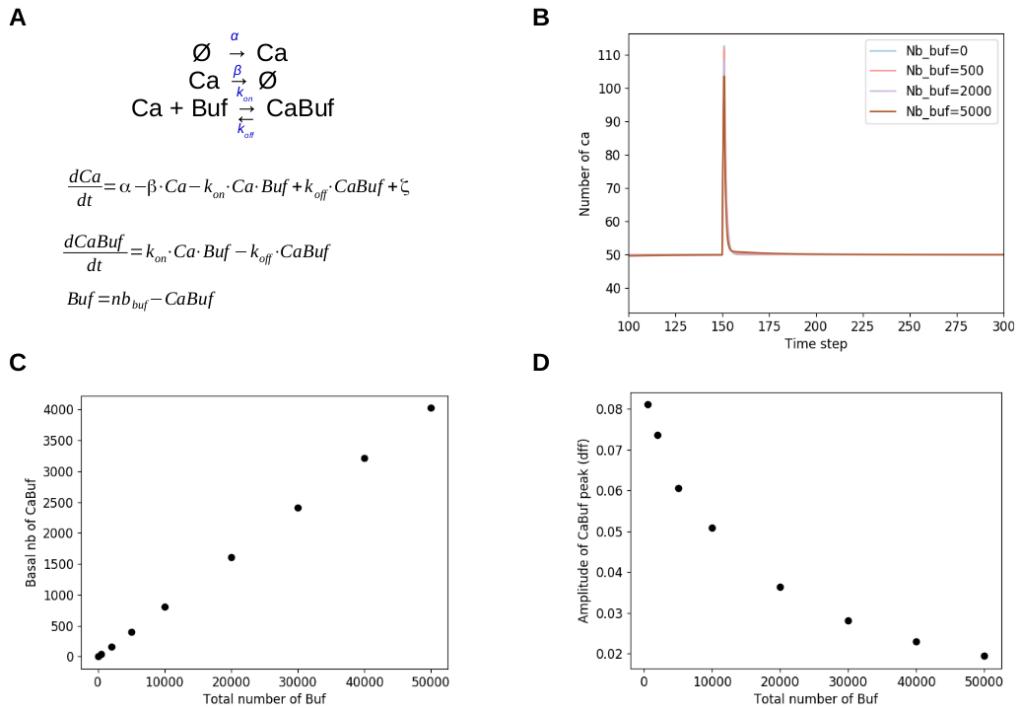
**Table A.3: Parameter values and initial conditions of the ODE model of  $\text{Ca}^{2+}$  buffering.** Table presenting parameter values of the ODE model of  $\text{Ca}^{2+}$  buffering, presented in Fig A.1A. a.u : arbitrary unit.

Parameter	Description	Value in ODE model
$\text{Ca}^{2+}$ dynamics		
$Ca_0$	Initial $\text{Ca}^{2+}$ number	50 molec.
$\alpha$	cytosolic $\text{Ca}^{2+}$ influx	50 a.u
$\beta$	$\text{Ca}^{2+}$ decay rate	1.0 a.u
Buffers dynamics		
$nb_{\text{buf}}$	Total number of buffers	varied
$CaBuf_0$	Initial number of $\text{Ca}^{2+}$ -bound buffers	0 molec.
$D_{\text{buf}}$	Coefficient of diffusion of buffers	0.1 a.u
$k_{\text{on}}$	$\text{Ca}^{2+}$ binding rate	3.5 a.u
$k_{\text{off}}$	$\text{CaBuf}$ dissociation rate	0.05 a.u

ter IV), which thus lumps together  $\text{Ca}^{2+}$  buffering by mobile endogenous buffers as well as the diffusion of these buffers. In order to better understand the dynamics of our model when  $\text{Ca}^{2+}$  buffers are explicitly added in the model, we have developed a simple mean field (ODE) model of  $\text{Ca}^{2+}$  buffering. The reactions of the model and the corresponding ODEs are presented in Fig A.1A. Fig A.1B presents the  $\text{Ca}^{2+}$  response to an injection of 100  $\text{Ca}^{2+}$  ions in the system at  $t=150 \text{ dt}$ . Results suggest that an increase in the number of buffers leads to a slight decrease of  $\text{Ca}^{2+}$  peak amplitude. Interestingly, we show that the basal concentration of  $\text{Ca}^{2+}$  remains unchanged (Fig A.1B) while the concentration of Ca-bound buffers ( $\text{CaBuf}$ ) increases linearly with the number of buffers (Fig A.1C). Finally, peak amplitude of  $\text{CaBuf}$  (which is what is measured with fluorescent  $\text{Ca}^{2+}$  indicators) decreases exponentially with the number of Buf molecules (Fig A.1D). This result is due to the fact that peak amplitude is measured as  $\Delta F/F$  ratio, which decreases exponentially with the number of buffer molecules. Those preliminary results have helped us better understand the dynamics of  $\text{Ca}^{2+}$  buffering.

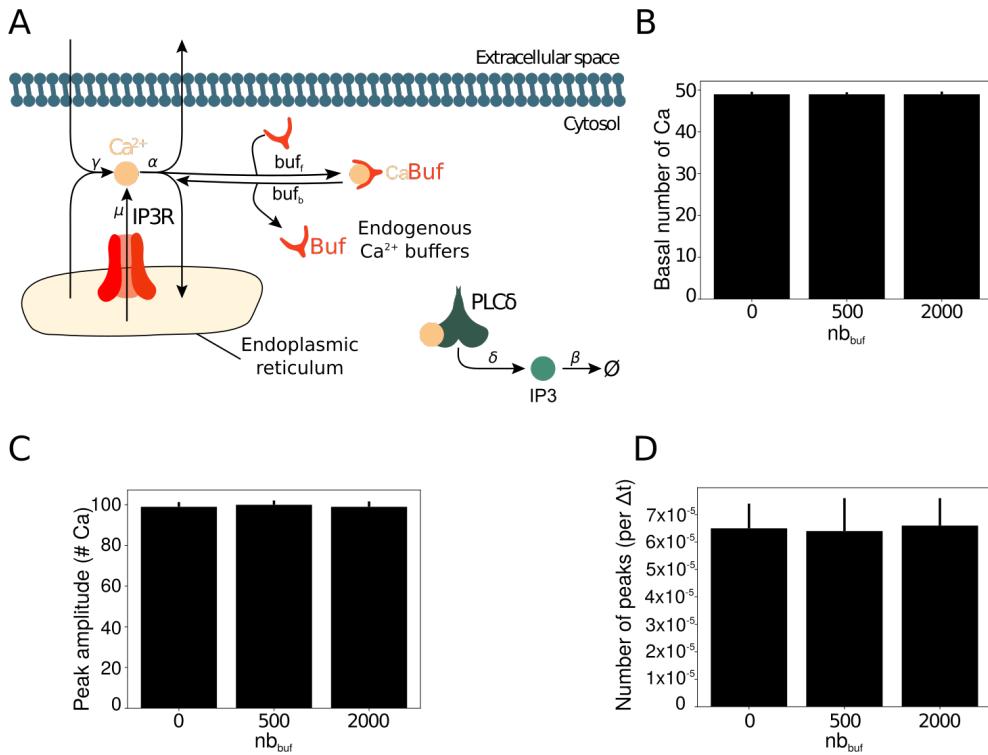
### A.2.1.2 2D model of $\text{Ca}^{2+}$ buffering

To test whether adding explicitly buffers in the model yields effects similar to a decrease of  $\text{Ca}^{2+}$  diffusion coefficient  $D_{\text{Ca}}$  (see Chapter IV), we have added buffers to the 2D implementation of the model, which kinetic scheme is presented in Fig IV.1. The kinetic scheme of the 2D model with explicit  $\text{Ca}^{2+}$  buffering is presented in Fig A.2A and the associated parameter values are presented in Table A.4. We com-



**Figure A.1: Mean field study of the effect of  $\text{Ca}^{2+}$  buffering.** (A) Reactions of the model and the corresponding ODEs for the 3 variables: the concentration of  $\text{Ca}^{2+}$ , Ca, the concentration of  $\text{Ca}^{2+}$ -bound buffers, CaBuf and the concentration of unbound buffers, Buf.  $\text{Ca}^{2+}$  enters the cytosol with rate  $\alpha$ . Likewise, cytosolic  $\text{Ca}^{2+}$  exits the cytosol at rate  $\beta$ . Ca $^{2+}$  and Buf molecules bind at rate  $k_{\text{on}}$  to form CaBuf molecules. CaBuf molecules dissociate into Ca $^{2+}$  and Buf molecules at rate  $k_{\text{off}}$ .  $\zeta$  term is the  $\text{Ca}^{2+}$  injection term, corresponding to an addition of 100  $\text{Ca}^{2+}$  ions in the system at time  $t=150$  dt.  $nb_{\text{buf}}$  corresponds to the total number of buffers, including both bound and unbound molecules. Parameter values are presented in Table A.3

pared  $\text{Ca}^{2+}$  dynamics in the reference model presented in Chapter IV with this 2D  $\text{Ca}^{2+}$  buffering model. The buffering model is characterized by a faster  $\text{Ca}^{2+}$  ions diffusion but a lower CaBuf diffusion coefficient (see Fig A.2 and Table A.4). No significant effect of the number of buffers was observed on basal  $\text{Ca}^{2+}$  concentration (Fig A.2B), peak amplitude (Fig A.2C) and frequency (Fig A.2D) compared to simulations in which the effect of buffers was accounted for by a decreased effective  $D_{\text{Ca}}$ . Those results suggest that simulating  $\text{Ca}^{2+}$  diffusion in our 2D implementation of the model with a decreased effective coefficient of diffusion is equivalent to explicitly adding endogenous buffers in the system.



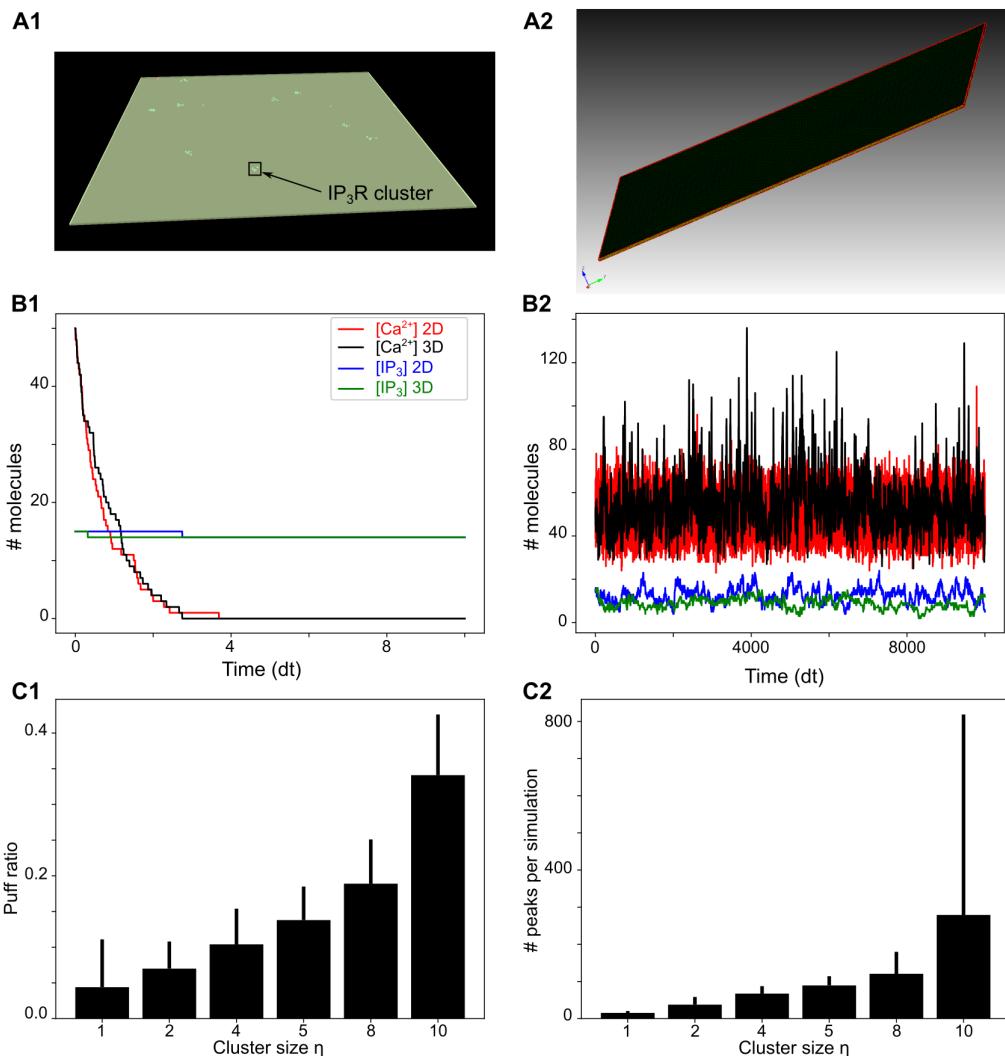
**Figure A.2: Effect of adding buffers to the 2D particle-based model.** (A) Biochemical reactions and regulatory interactions modeled in the 2D Ca<sup>2+</sup> buffering model. Reactions are the same as the ones described in Fig IV.1, except that Buf particles were added. Parameter values are presented in Table A.4. The binding rate and dissociation constant associated with the binding of Ca<sup>2+</sup> to Buf correspond respectively to  $buf_f$  and  $buf_b$ . Different amounts of buffers nb<sub>buf</sub> were added to the model (500 or 2000), with the following diffusion coefficients:  $D_{buf} = 0.1$  a.u and  $D_{Ca} = 0.8$  a.u. Those simulations were compared to our reference model (Chapter IV), which contains 0 Buf particles but in which  $D_{Ca} = 0.1$  a.u, corresponding to an effective lower  $D_{Ca}$ . No significant difference between simulations with a number of Buf of 0, 500 or 2000 is observed regarding basal Ca<sup>2+</sup> concentration (B), peak amplitude (C) or peak frequency (D). Note that we refer here to free Ca<sup>2+</sup> peaks and not to CaBuf peaks.

**Table A.4: Parameter values and initial conditions of the 2D model of  $\text{Ca}^{2+}$  buffering.** Table presenting parameter values of the 2D model of  $\text{Ca}^{2+}$  buffering, presented in Fig A.2A. Parameter values were the same as the model presented in Chapter IV (Table IV.1). The additional parameter values associated with buffers and their interactions with  $\text{Ca}^{2+}$  ions are presented below. a.u : arbitrary unit.

Parameter	Description	Value in 2D model
$V$	Cell volume	$200 \times 200$ a.u.
	$\text{Ca}^{2+}$ dynamics	
$Ca_0$	Initial $\text{Ca}^{2+}$ number	50 molec.
$\alpha$	cytosolic $\text{Ca}^{2+}$ influx	50 a.u
$\beta$	$\text{Ca}^{2+}$ decay rate	1.0 a.u
	Buffer dynamics	
$nb_{buf}$	Total number of buffers	varied
$CaBuf_0$	Initial number of $\text{Ca}^{2+}$ -bound buffers	0 molec.
$buf_f$	$\text{Ca}^{2+}$ binding rate	3.5 a.u
$buf_b$	$\text{CaBuf}$ dissociation rate	0.05 a.u

### A.2.2 Validation of the 3D model implemented in STEPS

As explained in section V.1, the parameters used in our 3D model were based on values extracted from experimental data whenever available and the other parameters were adjusted to fit experimental recordings of  $\text{Ca}^{2+}$  dynamics. In order to validate the 3D voxel-based model presented in section V.1, we have compared its  $\text{Ca}^{2+}$  dynamics to that of the 2D particle-based implementation of the model, presented in Chapter IV. To do so, we have built a sheet-like 3D geometry (Fig A.3A) in which simulations with similar parameter values to that of the 2D model (see Table IV.1) were performed. The evolution of  $[\text{IP}_3]$  and  $[\text{Ca}^{2+}]$  displayed  $\text{Ca}^{2+}$  dynamics that was similar to that of the 2D particle-based implementation of the model (Fig A.3B) and reproduced the main results from section IV.2 (Fig A.3C). Those results validate the implementation of our 3D voxel-based model.



**Figure A.3: Validation of the 3D model.** (A) Geometry that was designed for comparing the behavior of the 2D (Chapter IV) and of the 3D (Chapter V) implementations of the model. The geometry corresponds to a sheet of size  $200 \times 200 \times 1 \text{ nm}^3$ . Panel A1 presents a screenshot of a representative simulation, displaying IP<sub>3</sub>R clusters of size  $\eta=4$  on the membrane. Panel A2 presents a screenshot that reveals the sheet-like geometry of the mesh used in this study. (B1) Representative simulation traces displaying the evolution of the number of IP<sub>3</sub> and of Ca<sup>2+</sup> particles over time in a model of IP<sub>3</sub> and Ca<sup>2+</sup> degradation. Simulations in the 2D particle-based (red and blue for Ca<sup>2+</sup> and IP<sub>3</sub>, respectively) and in the 3D voxel-based implementation of the model (black and green for Ca<sup>2+</sup> and IP<sub>3</sub>, respectively) were compared. (B2) Representative simulation traces of the complete reference model presented in Chapter IV, with either a 2D particle-based (red and blue for Ca<sup>2+</sup> and IP<sub>3</sub> respectively) or a 3D voxel-based implementation of the model (black and green for Ca<sup>2+</sup> and IP<sub>3</sub> respectively). (C) Similarly to the 2D model (Fig IV.5), increasing IP<sub>3</sub>R cluster size  $\eta$  resulted in an increase of puff ratio (C1) and of the number of peaks during simulation time (C2). Data are presented as mean  $\pm$  standard deviation over 20 simulations.



## FOLIO ADMINISTRATIF

### THESE DE L'UNIVERSITE DE LYON OPEREE AU SEIN DE L'INSA LYON

NOM : DENIZOT DATE de SOUTENANCE : 08/11/2019

Prénoms : Audrey

TITRE : Simulation de la signalisation calcique dans les prolongements fins astrocytaires

NATURE : Doctorat

Numéro d'ordre : 2019LYSEI093

Ecole doctorale : École Doctorale Informatique et Mathématiques (ED512)

Spécialité : Informatique

RESUME :

Les astrocytes ont récemment été identifiés comme partenaires essentiels des neurones pour le traitement de l'information dans le cerveau. Les astrocytes répondent à une stimulation neuronale par des variations de concentration en calcium, ce qui entraîne une modulation de l'efficacité de la communication neuronale. Ces interactions sont essentielles aux fonctions cérébrales et sont altérées dans les maladies du cerveau, comme le cancer, l'épilepsie et les maladies neurodégénératives. La modélisation est cruciale pour étudier ces signaux, car la plupart d'entre eux se produisent dans des ramifications cellulaires qui sont trop fines pour être résolues par les techniques de microscopie optique classiques. Des études en microscopie électronique ont révélé une grande diversité des géométries de ces ramifications. Les rôles fonctionnels de cette diversité géométrique n'ont cependant pas été étudiés car les modèles d'astrocytes actuellement disponibles ne permettent pas une modélisation spatiale au sein de petits volumes. Cette thèse vise à fournir des modèles stochastiques réalisistes d'un point de vue biologique afin de simuler le traitement de l'information par les astrocytes et d'étudier l'influence de la géométrie cellulaire sur les signaux calciques. Dans l'ensemble, cette recherche contribuera à une meilleure compréhension de l'intégration spatio-temporelle des signaux calciques astrocytaires, une condition préalable à la compréhension de la communication neurones-astrocytes et de son influence sur les fonctions cérébrales.

MOTS-CLÉS : Astrocytes, signalisation calcique, neurosciences computationnelles, réaction-diffusion, géométrie,

Laboratoire (s) de recherche :

Laboratoire d'Informatique en Image et Systèmes d'information  
LIRIS CNRS UMR5205

Directeur de thèse: Hugues Berry, INRIA

Président de jury : Laurent Venance, INSERM

Composition du jury :

**Geneviève Dupont** (Directeur de Recherche ULB, rapportrice)

**Leonid Savchenko** (Senior Research Scientist , rapporteur)

**Laurent Venance** (Directeur de Recherche INSERM, examinateur)

**Aude Panatier** (Chargée de Recherche CNRS, examinatrice)

**Christian Henneberger** (Assistant Professor Uni. Bonn, examinateur)

**Guillaume Beslon** (Professeur INSA, examinateur)

**Hédi Soula** (Professeur UPMC, co-directeur de thèse, invité)

**Hugues Berry** (Directeur de recherche INRIA, directeur de thèse)