Knowledge Representation Chapter 4. Predicate Calculus

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- Predicate Calculus, also known as First Order Logic, allows us to talk about individuals and their relations.
- The term "First Order" means we can quantify over individuals using \forall (for all) and \exists (exists). Example: $\forall x (Man(x) \rightarrow Mortal(x))$.
- Second Order Logic would allow quantifying over relations. Example: $\forall P \forall x \forall y (P(x,y) \rightarrow P(y,x))$. This says: "any binary relation is symmetric."
- Higher Order Logics allow quantifying over relations on relations, functions on relations, etc.

- Syntax and Semantics
- Some properties
- Resolution
- 4 Undecidability and Expressiveness
- Some usual extensions
 - Equality
 - Arithmetics

- The signature is slightly more complicated $\Sigma = \langle \mathcal{C}, \mathcal{F}, \mathcal{R}, \delta \rangle$:
 - ▶ C set of constants C, D, E . . .
 - ▶ F set of functions f, g, h...
 - ▶ R set of relations or predicates P, Q, R, . . .
 - ▶ $\delta : \mathcal{F} \cup \mathcal{R} \to \mathbf{Z}^+$ degree or arity of each function or predicate.

• Example:

- ▶ *C* = {Mon, Tue, Wed, Thu, Fri, Sat, Sun}
- ► *F* = {*next*, *min*}
- ► $P = \{Lower, Workday\}$
- $\delta(\textit{next}) = 1$, $\delta(\textit{min}) = 2$, $\delta(\textit{Lower}) = 2$, $\delta(\textit{Workday}) = 1$.
- A constant C can also be seen as a function with $\delta(C) = 0$.

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- Formulae are formed combining the signature with (), $\neg \land \lor \rightarrow \equiv \exists \forall$ plus variables x, y, z, ...
- We define a term as:
 - a constant C
 - a variable x
 - ▶ an expression $f(t_1, ..., t_n)$ with $\delta(f) = n$ and being each t_i a term in its turn.
- An atom is an expression $P(t_1, ..., t_n)$ with $P \in \mathcal{R}$, $\delta(P) = n$ being t_i terms.

- A well formed formula (wff) is defined as:
 - Any atom $P(t_1, \ldots, t_n)$

 - $\blacktriangleright \forall x \alpha, \exists x \alpha$

were α, β are wff's

- A variable x is free (resp. bound) in a formula when:
 - ▶ in an atom: every variable is free
 - in $\neg \alpha, \alpha \land \beta, \alpha \lor \beta, \alpha \rightarrow \beta, \alpha \equiv \beta$: x is free (resp. bound) iff it is so in α or in β .
 - ▶ in $\exists x \alpha$, $\forall x \alpha$: x bound; y free (resp. bound) iff it is so in α .
- Formulae without free variables are called sentences or closed formule.

- Substitution $\alpha[x/t]$: replace each free occurrence of x in α by term t.
- If t contains free variables, these cannot become accidentally bound in $\alpha[x/t]$. We should previously replace the homonym bound variable in α by a fresh symbol.
- Example: let α be $P(x) \wedge \forall y \ Q(x,y)$:
 - $\qquad \qquad \alpha[x/f(c)] = P(f(c)) \land \forall y \ Q(f(c), y)$

 - $\qquad \qquad \alpha[x/f(x)] = P(f(x)) \land \forall y \ Q(f(x), y)$
 - ▶ $\alpha[x/f(y)] = \text{not directly: } P(f(y)) \land \forall y \ Q(f(y), y)$ First replace y in α by a new variable name: $P(x) \land \forall z \ Q(x, z)$ and then replace $P(f(y)) \land \forall z \ Q(f(y), z)$

- Interpretation I (for signature Σ) contains:
 - ▶ Domain or universe D: it's just a set $D \neq \emptyset$
 - ▶ for each $C \in C$ the interpretation defines a $C_l \in D$.
 - ▶ for each $f \in \mathcal{F}$ with $\delta(f) = n$ the interpretation defines a mapping $f_l : D^n \to D$.
 - ▶ for each $P \in \mathcal{R}$ with $\delta(P) = n$ the interpretation defines a relation $P_I : D^n \to \{F, T\}$.
- An assignment σ (for an interpretation I) is a function that assigns, to each variable x, an element from the universe $\sigma(x) \in D$.
- The expression $\sigma[x \leftarrow d]$ denotes an assignment where $\sigma[x \leftarrow d](x) = d$ and $\sigma[x \leftarrow d](y) = \sigma(y)$

- The valuation $v_{l,\sigma}(\alpha)$ of a formula or term α w.r.t interpretation l and assignment σ is defined as:
 - $\triangleright v_{l,\sigma}(C) = C_l$ for any constant C
 - $\bigvee_{l,\sigma}(x) = \sigma x$ for any variable x
 - $V_{l,\sigma}(f(t_1,\ldots,t_n)) = f_l(V_{l,\sigma}(t_1),\ldots,V_{l,\sigma}(t_n))$

 - ▶ $\neg \land \lor \rightarrow \equiv$ as in propositional case
 - \lor $V_{l,\sigma}(\forall x \ \alpha) = T$ iff for all $d \in D$, $V_{l,\sigma[x \leftarrow d]}(\alpha) = T$
 - ▶ $v_{l,\sigma}(\exists x \ \alpha) = T$ off for some $d \in D$, $v_{l,\sigma[x \leftarrow d]}(\alpha) = T$

- Example 1. $\forall x \ Lower(Mon, x)$. Let's take / where:
 - $D = \{1, 2, 3, 4, 5, 6, 7\}$
 - Mon₁ = 1, Tue₁ = 2, Wed₁ = 3, Thu₁ = 4, Fri₁ = 5, Sat₁ = 6, Sun₁ = 7
 - $ightharpoonup min_{l}(d,e)$ is the minimum of d,e
 - $next_l(d) = (d \mod 7) + 1$
 - ► Lower_I(d, e) = T iff d ≤ e
 - Workday₁ = $\{\langle 6 \rangle, \langle 7 \rangle\}$
 - ► Thus $v(\sigma_l, \forall x \ Lower(Mon, x))$ iff $\langle 1, 1 \rangle \in Lower_l$ and $\langle 1, 2 \rangle \in Lower_l$ and ... $y \langle 1, 7 \rangle \in Lower_l$

- Example 2. $\forall x \ Lower(Mon, x)$. Take now / such that:
 - ▶ D ASCII codes of capital letters Mon, Tue, Wed, tHu, Fri, Sat, sUn
 - Mon₁ = 77, Tue₁ = 84, Wed₁ = 87, Thu₁ = 72, Fri₁ = 70, Sat₁ = 83, Sun₁ = 85
 - $ightharpoonup min_{I}(d, e)$ is the minimum of d, e
 - $ightharpoonup next_l(d) = d$
 - ► Lower_I(d, e) = T iff $d \le e$
 - ► *Workday*₁ = {83,85}
- Now the formula is false: $Mon_l = 77$ is not lower than $Fri_l = 70$.
- Notice that: we could have interpretations where Mon_i = Tue_i for instance.
- Notice that: D can be infinite (e.g. integers).

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The next formulae are tautologies:

De Morgan:

$$\neg \forall x \ A(x) \equiv \exists x \ \neg A(x)$$
$$\neg \exists x \ A(x) \equiv \forall x \ \neg A(x)$$

- The universal quantifier is stronger $\forall x \ A(x) \rightarrow \exists x \ A(x)$
- Nesting quantifiers:

$$\forall x \forall y \ A(x,y) \equiv \forall y \forall x A(x,y)$$
$$\exists x \exists y \ A(x,y) \equiv \exists y \exists x A(x,y)$$
$$\exists x \forall y \ A(x,y) \rightarrow \forall y \exists x A(x,y)$$

Warning: in the general case $\forall x \exists y \ A(x,y) \not\equiv \exists \ y \forall x \ A(x,y)$

Disjunction

$$\exists x \ A(x) \lor \exists x \ B(x) \equiv \exists x (A(x) \lor B(x))$$

$$\forall x \ A(x) \lor \forall x \ B(x) \rightarrow \forall x (A(x) \lor B(x))$$

$$\exists x \ A(x) \lor C \equiv \exists x (A(x) \lor C)$$

$$\forall x \ A(x) \lor C \equiv \forall x (A(x) \lor C)$$

with x not free in C.

Conjunction

$$\forall x \ A(x) \land \forall x \ B(x) \equiv \forall x (A(x) \land B(x))$$

$$\exists x (A(x) \land B(x)) \rightarrow \exists x \ A(x) \land \exists x \ B(x)$$

$$\exists x \ A(x) \land C \equiv \exists x (A(x) \land C)$$

$$\forall x \ A(x) \land C \equiv \forall x (A(x) \land C)$$

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with x not free in C.

Implication

$$\forall x \ (C \to A(x)) \equiv C \to \forall x \ A(x)$$

$$\forall x \ (A(x) \to C) \equiv \exists x \ A(x) \to C$$

$$\exists x \ (A(x) \to B(x)) \equiv \forall x A(x) \to \exists x \ B(x)$$

$$\forall x \ (A(x) \to B(x)) \to (\forall x \ A(x) \to \forall x \ B(x))$$

$$\forall x \ (A(x) \to B(x)) \to (\forall x \ A(x) \to \exists x \ B(x))$$

$$\forall x \ (A(x) \to B(x)) \to (\exists x \ A(x) \to \exists x \ B(x))$$

$$(\exists x \ B(x) \to \forall x \ A(x)) \to \forall x \ (A(x) \to B(x))$$

with x not free in C.

Equivalence

$$\forall x \ (A(x) \equiv B(x)) \rightarrow (\forall x \ A(x) \equiv \forall x \ B(x))$$

$$\forall x \ (A(x) \equiv B(x)) \rightarrow (\exists x \ A(x) \equiv \exists x \ B(x))$$

Substitutions:

$$A(c) \rightarrow \exists x \ A(x)$$

 $\forall x \ A(x) \rightarrow A(c)$

where A(c) denotes A(x)[x/c]

Generalisation rule

$$\frac{A}{\forall x \ A}$$

From natural to formal language . . .

- Universal quantifier: warning! it usually involves an implicit implication. Example: "Every wild horse eats grass" ∀x (WildHorse(x)→EatsGrass(x))
- However, its existential version is an implicit conjunction: "Some wild horse eats grass" ∃x (WildHorse(x)∧EatsGrass(x))
- Alternative ways for saying ∀:
 - "Any/Every/Each even number is a multiple of two"
 - "Even numbers are multiple of two"
 - "An even number is a multiple of two"

From natural to formal language . . .

- Other ways of saying ∃:
 - "Some even number is a multiple of three"
 - "There is a/some even number multiple of three"
 - "At least one even number is a multiple of three"
- Be careful when combining negations:
 - "All integers are not even"
 - "All integeres are non-even"
 - "Not all integers are even"

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- Prenex Normal Form: no quantifier in the scope of Boolean connectives. That is, all quantifiers to the left.
- In classical First-Order Logic, we can always reduce to Prenex (in intuitionistic logic, no).
- To get Prenex NF: first reduce to negative normal form as in propositional case plus:

$$\neg \exists x \ \alpha \quad \equiv \quad \forall x \ \neg \alpha$$
$$\neg \forall x \ \alpha \quad \equiv \quad \exists x \ \neg \alpha$$

Prenex Normal Form

then, rename variables to have a different one in each quantifier.
 Finally, apply:

$$\forall x \ \alpha(x) \land \beta \equiv \forall x(\alpha(x) \land \beta)$$

$$\forall x \ \alpha(x) \lor \beta \equiv \forall x(\alpha(x) \lor \beta)$$

$$\exists x \ \alpha(x) \land \beta \equiv \exists x(\alpha(x) \land \beta)$$

$$\exists x \ \alpha(x) \lor \beta \equiv \exists x(\alpha(x) \lor \beta)$$

with x not free in β .

Prenex Normal Form

Example:

$$(P(y) \lor \exists x \ Q(x)) \to \forall y (R(y) \land \exists x \ A(x,y))$$

$$\equiv \neg P(y) \land \forall x \neg Q(x) \lor \forall y (R(y) \land \exists x \ A(x,y))$$

$$\equiv \neg P(y) \land \forall x \neg Q(x) \lor \forall z (R(z) \land \exists w \ A(w,z))$$

$$\equiv \forall x \forall z \exists w \underbrace{(\neg P(y) \land \neg Q(x) \lor (R(z) \land A(w,z)))}_{\text{matrix}}$$

- Remove existential quantifiers from Prenex NF in favour of Skolem functions.
- Each $\exists x$ is removed by replacing x in the matrix by $f(y_1, \dots, y_n)$ where:
 - f is a new function that didn't occur in the formula
 - ▶ $y_1, ..., y_n$ are all the universally quantified variables to the left of $\exists x$
 - ▶ If n = 0 the Skolem function is 0-ary, that is, we use a new constant c.
- In the previous example:

$$\forall x \forall z \exists w (\neg P(y) \land \neg Q(x) \lor (R(z) \land A(w, z))$$
 becomes
$$\forall x \forall z (\neg P(y) \land \neg Q(x) \lor (R(z) \land A(f(x, z), z))$$

- Warning: α and its skolemization are not equivalent. However: α is satisfiable iff its skolemization is satisfiable.
- A more intuitive example: every person has a mother.

$$\forall x (P(x) \to \exists y \ M(y,x))$$

$$\equiv \forall x \exists y (P(x) \to M(y,x))$$
becomes
$$\forall x (P(x) \to M(mother(x),x))$$

- We take formula α , reduce it to Prenex NF, skolemize and the reduce the matrix to CNF.
- But then, how many models can we consider? Since we are just interested in satisfiability, we can just restrict to Herbrand models.
- Keypoint: in a Herbrand model, each constant C is just interpreted as C_I = C.

And the same holds for any ground term

$$V_{l,\sigma}(f(t_1,\ldots,t_n))=f(t_1,\ldots,t_n)$$

- The Herbrand Base is defined as the set of all ground (no vars.)
 we can form. It can be understood as a propositional signature.
- A formula α is satisfiable if and only if the (possibly infinite) set of clauses we can form from its Skolemization is satisfiable (in the propositional sense).
- However: this set is usually infinite (it suffices with having one function symbol). Trying to generate it for applying resolution does not make sense. Example:

$$[P(f(x)) \vee \neg Q(x)] \wedge Q(y) \wedge \neg P(z)$$

Most General Unifier (Robinson)

- Given a set of expressions E, we obtain a disagreement pair
 (E₁, E₂) searching from left to right the first different symbol and
 taking its corresponding subexpression.
- For instance, given P(f(x), y) y P(f(g(a, z), f(z))) a disagreement pair would be $\langle x, g(a, z) \rangle$.
- If 2 literals can be unified, there exists a most general unifier that can be computed:

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\begin{split} \sigma &:= [\ ]; \\ \text{while } |E| > 1 \ \{ \\ D &:= \text{disagreement pair } E; \\ \text{if } D &:= \text{contains some } x \text{ and a term } t \text{ not containing } x \ \{ \\ E &:= E[x/t]; \\ \sigma &:= \sigma \cdot [x/t]; \\ \text{else return 'non-unifiable';} \end{split}
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Soundness, Completeness and Undecidability

- Predicate calculus is sound and complete (Gödel): $\Gamma \models \alpha$ iff $\Gamma \vdash \alpha$.
- However, validity in predicate calculus is undecidable (Church). That is, given φ there is no program that decides (i.e., answers 'yes' or 'no') whether $\models \varphi$ in a finite number of steps.
- Consequence 1: satisfiability is also undecidable, since φ satisfiable iff not $\models \neg \varphi$.
- Consequence 2: provability $\vdash \varphi$ is also undecidable, since $\vdash \varphi$ iff $\models \varphi$.
- Still, some fragments of Predicate Calculus are known to be decidable.

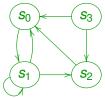
Some decidable fragments of FOL

- Monadic predicate calculus (only 1-ary predicates)
- The class with prefix ∃*∀*
- The class with prefix $\exists^* \forall \exists^*$
- The class with prefix $\exists^* \forall \forall \exists^*$ (no equality axioms)
- The class with two variables at most (Description Logics)
- Guarded Predicate Calculus:

$$\exists \overline{y} (\alpha(\overline{x}, \overline{y}) \land \varphi(\overline{x}, \overline{y}) \forall \overline{y} (\alpha(\overline{x}, \overline{y}) \rightarrow \varphi(\overline{x}, \overline{y})$$

where α atomic and including all the free variables of φ .

• Example: let G be a graph with vertices S and edges E. For instance S could represent states $\{s_0, s_1, s_2, s_3\}$ and E transitions among them like in:



- Decision problem REACH (Graph reachability): given two vertices $u, v \in V$, can we find a finite path from u to v in G?
- Since REACH is a decision problem, perhaps we can try to represent it as FOL-satisfiability of some formula $\varphi_{REACH}(u, v)$.

- We use predicate R(x, y) to represent edges and free variables u, v to represent the nodes to check.
- Given any graph G, we have its corresponding model I(G). We look for a formula $\varphi_{REACH}(u, v)$ such that G has a finite path from u to v iff $I(G) \models \varphi_{REACH}(u, v)$.
- Trying to encode reachability as a formula . . .

$$\varphi_{REACH}(u,v) \stackrel{def}{=} u = v \quad \lor \quad \exists x (R(u,x) \land R(x,v))$$

$$\lor \quad \exists x_1 \exists x_2 (R(u,x_1) \land R(x_1,x_2) \land R(x_2,v))$$

$$\lor \quad \dots$$

But this is not a well-formed formula! (infinite disjunction) Can we find an equivalent well-founded formula? NO

• First, two important properties:

Theorem (Compactness Theorem)

Let Γ be a set of sentences. If all finite subsets of Γ are satisfiable, then Γ is satisfiable.

Theorem (Löwenheim-Skolem Theorem)

If Γ has a model then it has a model with a countable domain.

Countable domain means: |D| = |S| for some subset S of natural numbers (including the whole set too).

Theorem

There is no FOL-formula $\varphi_{REACH}(u, v)$ depending on R, u, v such that there is a finite path from u to v in G iff $I(G) \models \varphi_{REACH}(u, v)$.

Proof.

Assume $\varphi_{REACH}(u, v)$ exists. Take 2 constants c, d and define ψ_i ="G has a path of length i from c to d", that is:

$$\begin{array}{lll} \psi_0 & \stackrel{def}{=} & (c=d) \\ \psi_1 & \stackrel{def}{=} & R(c,d) \\ \psi_n & \stackrel{def}{=} & \exists x_1 \ldots \exists x_n (R(c,x_1) \land R(x_1,x_2) \cdots \land R(x_{n-1},d) & \text{for any } n > 1 \end{array}$$

The (infinite) theory $\Gamma(c, d) = \{ \neg \psi_i \mid i \ge 0 \}$ would mean there is no path of any length between c and d.

Proof (continued).

Then $\Gamma' \stackrel{def}{=} \Gamma(c,d) \cup \{\varphi_{REACH}(c,d)\}$ is unsatisfiable - no graph G can satisfy both. Consider now any finite subset $\Gamma'' \subset \Gamma'$:

- If $\varphi_{REACH}(c,d) \notin \Gamma''$, Γ'' says that there are not paths of some given lengths. This is satisfiable (for instance, take G with no path at all).
- ② If $\varphi_{REACH}(c,d) \in \Gamma''$, Γ'' says that there are not paths of some given lengths, but there is some finite path. This is also satisfiable (for instance, pick any n such that $\varphi_n \notin \Gamma''$).

But then, by Compactness Theorem, Γ' is satisfiable, and we reach a contradiction.

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FOL with equality

 FOL=: We have an (infix) binary predicate '=' whose meaning is fixed by the axiom schemata:

$$x = x$$

$$x = y \rightarrow f(\overline{z}, x, \overline{z'}) = f(\overline{z}, y, \overline{z'})$$

$$x = y \land \varphi(x) \rightarrow \varphi(y)$$

for any variables x, y, tuples of variables \overline{z} , $\overline{z'}$, function symbol f and any formula φ .

• Symmetry and transitivity can be proved from the axioms above:

$$x = y \rightarrow y = x$$

 $x = y \land y = z \rightarrow x = z$

Dedekind/Peano axioms

- We use FOL= and we have one constant 0, a unary function s (successor) and two (infix) binary functions + and ·.
- Each natural number n is represented by n nested applications of s to 0. Example: 5 is written s(s(s(s(s(0))))) or just $s^5(0)$.
- Peano Arithmetics (PA) axioms: universal closure of

$$\neg(0 = s(x))$$

$$s(x) = s(y) \rightarrow x = y$$

$$x + 0 = x$$

$$x + s(y) = s(x + y)$$

$$x \cdot 0 = 0$$

$$x \cdot s(y) = x \cdot y + x$$

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plus the induction schema ...

Induction schema: contains a countably infinite set of axioms:

$$orall \overline{y} \left(\begin{array}{cc} \varphi(0, \overline{y}) \land \\ \forall x \left(\varphi(x, \overline{y}) \rightarrow \varphi(s(x), \overline{y}) \right) \\ \rightarrow \forall x \ \varphi(x, \overline{y}) \end{array} \right)$$

for any formula $\varphi(x, \overline{y})$ with free variables x and (tuple) \overline{y} .

Induction has a simpler encoding in second order logic:

$$\forall P (P(0) \land \forall x (P(x) \rightarrow P(s(x))) \rightarrow \forall x P(x))$$

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Gödel's first incompleteness theorem

- First incompleteness theorem: there is no *recursive* set of axioms for arithmetics that is both consistent and complete.
- By recursive we mean that it can be infinite, but effectively generated (for instance, by a computer program). Otherwise, we could take the trivial axiomatisation = all the valid formulas!
- It follows that there are valid formulas that are unprovable (in fact, there are infinitely many of them).
- The theorem can also be stated as: for a recursive, consistent set of axioms for arithmetics there are sentences such that neither φ nor $\neg \varphi$ has a proof.

Gödel's first incompleteness theorem

- The proof is ingenious and simple! It relies on the idea of Gödel's numbering.
- Assign an arbitrary but unique positive integer to each syntactic symbol ' σ ' denoted $\#(\sigma)$. Example

$$\#(0) = 1$$
, $\#(s) = 1$, $\#(\neg) = 2$, $\#(\land) = 3$, $\#(\forall) = 4$, $\#(x) = 5$, ...

• A formula φ is just a sequence of symbols $\sigma_1 \dots \sigma_n$. We assign a number $\lceil \varphi \rceil$ defined as:

$$[\varphi] \stackrel{\text{def}}{=} 2^{\#(\sigma_1)} \cdot 3^{\#(\sigma_2)} \cdot 5^{\#(\sigma_3)} \cdot \ldots \cdot p_n^{\#(\sigma_n)}$$

where p_n is the *n*-th prime number.

Gödel's first incompleteness theorem

- Fixing a separator symbol, we can also assign a Gödel's number to sequences of formulas, that is, proofs.
- The trick is that numbers referring to formulas and proofs can be used as arguments of other formulas in their turn.
- Gödel constructed a formula $Prov(\lceil \varphi \rceil)$ such that it is true if formula φ is provable in PA for any formula φ . He showed that, for some φ :

$$PA \vdash \varphi \leftrightarrow \neg Prov(\lceil \varphi \rceil)$$

- But then:
 - If $PA \vdash \varphi$ we conclude $PA \vdash \neg Prov(\lceil \varphi \rceil)$ so φ is not provable in PA (inconsistency).
 - 2 If $PA \vdash \neg \varphi$ we conclude $PA \vdash Prov(\lceil \varphi \rceil)$ so φ is provable in PA but the system would be inconsistent.

So, we can't prove nor refute φ .