### Runtime Verification Of SQL Correctness Properties with YR\_DB\_RUNTIME\_VERIF

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#### Abstract

Software correctness properties are essential to maintain quality by continuous and regressive integration testing, as well as runtime monitoring the program after customer deployment. This paper presents an effective and lightweight C<sup>++</sup> program verification framework: YR\_DB\_RUNTIME\_VERIF, to check SQL (Structure Query Language) [1] software correctness properties specified as temporal safety properties [2]. A temporal safety property specifies what behavior shall not occur, in a software, as sequence of program events. YR\_DB\_RUNTIME\_VERIF allows specification of a SQL temporal safety property by means of a state diagram mealy machine [3]. In YR\_DB\_RUNTIME\_VERIF, a specification characterizes effects of program events (via SQL statements) on database table columns by means of set interface operations  $(\in, \notin)$ , and, enable to check these characteristics hold or not at runtime. Integration testing is achieved for instance by expressing a state diagram that encompasses both Graphical User Interface (GUI) states and MySQL [4] databases queries that glue them. For example, a simple specification would encompass states between 'Department administration' and 'Stock listing' GUI interfaces, and transitions between them by means of MySQL databases operations. YR\_DB\_RUNTIME\_VERIF doesn't generate false warnings; YR\_DB\_RUNTIME\_VERIF specifications are not desirable (forbidden) specifications (fail traces). This paper focuses its examples on MySQL database specifications, labeled as states diagrams events, for the newly developed and FOSS (Free and Open Source Software) Enterprise Resource Planing Software YEROTH-ERP-3.0 [5].

Keywords: model-based testing, reactive system analysis, computer software program analysis, computer software dynamic program analysis, software integration testing with SQL and GUI, runtime monitoring

SUT receives user GUI events corelation SUT emits SQL events that modify SQL database tables to yr-db-runtime-verif PROGRAMS OUTPUT STATE DIAGRAM DYNAMIC RUNTIME ANALYSIS **SPECIFICATIONS** (SUT + yr-db-runtime-verif) Ongoing report as yr\_sd\_runtime\_verif on erroneous SUT C++ code program state and lines of code SUT + yr-db-runtime-verif yr-db-runtime-verif run conccurrently monitors and analyzes as separate processes SUT SQL events

Fig. 1: YR\_DB\_RUNTIME\_VERIF WORKFLOW (diagram inspired from operation diagram in [6]).

#### 1 Introduction

**Table 1**: YEROTH-ERP-3.0 RELEVANT SOFTWARE SYSTEM METRICS

Software System Metric	Value
User Interface (windows, dialog) number	60
MariaDB SQL table number	38
MariaDB SQL table column number	320
Source lines of code (SLOC)	300,000

#### 1.1 Motivations

This paper describes an effective dynamic analysis framework, based on runtime monitors specified in C<sup>++</sup> programs (implemented in the software library yr\_sd\_runtime\_verif), to perform software temporal safety property checking of GUI (Graphical User Interface) based software.

GUI based software are very comfortable and handy to use. However, tools to perform temporal safety property verification of GUI software are allmost not available as FOSS. The testing of combinations between GUI windows and database queries that glue them to make sense to the user, is allmost unavailable as FOSS, or at all to the best of the knowledge of the author of this paper. The FOSS C<sup>++</sup> library libfsmtest [7] provides test suite generation support for source code behavior specifications as mealy automata. However, libfsmtest only allows for desirable correctness properties, and doesn't provide GUI (interaction) support or as plugin-based.

Unit or integration testing for GUI widgets is available by use of "NUnit" testing frameworks like e.g. Qt-Test [8], CppUnit [9], etc.. Software testing across GUI widgets (and MySQL queries) is however limited in support by these "NUnit" framework. To the best of the knowledge of the author of this paper, DejaVu [10] provides some support for Java'record and replay' testing while FROGLOGIC [11] provides support for C++ GUI software 'record and replay' testing technology. 'Record and replay' testing means a user performs a sequence of events that are recorded by testing infrastructure and automatically replay later

on to see if expected events thereof occur. However, none of this 'record and replay' technology tool enable temporal safety property specification as FOSS, with SQL as plugin.

As we will see in the related work, section 7, of this paper, most of software correctness property checking frameworks don't put an emphasis on checking temporal safety property of GUI software. Characterizing the effects of program statements (via SQL statements) on database table columns, and to check that these characteristics hold or not, is of predominant importance for large software systems with an impressive number of database tables. Table 1 illustrates for instance FOSS YEROTH–ERP–3.0 relevant software system metrics.

It means it can be very difficult for developers to keep application related logical requirements between the tables without appropriate software testing or analysis tools.

A large amount of former work on runtime monitoring assumes for a sequential program, or an abstraction of the program as one single source code, on which program analysis is performed [12–16].

The program analysis technique the author of this paper presents here abstract SQL events, GUI events, or sequences of them, as a state diagram, and enables developers to run them sequentially against a runtime monitor specified as a C<sup>++</sup> program. In particular, the example presented in Section 2 specifies results of GUI windows events as SQL database pre-conditions on state diagram transitions; SQL events are specified as state diagram transition events. Figure 1 shows a high level overview of YR\_DB\_RUNTIME\_VERIF workflow.

#### 1.2 Main Contributions

This paper presents 3 original main contributions:

industrial level • an quality frame-(YR\_DB\_RUNTIME\_VERIF: work https: //github.com/yerothd/yr-db-runtime-verif), that solves temporal property cation by dynamic program analysis. YR\_DB\_RUNTIME\_VERIF makes use of the C<sup>++</sup> Qt-Dbus library, to input a runtime monitor specification (yr\_sd\_runtime\_verif) as C<sup>++</sup> program code, that also enables software-library-plugin checks;

• a C<sup>++</sup> library: yr\_sd\_runtime\_verif (https://github.com/yerothd/yr\_sd\_runtime\_verif); modeling a state diagram runtime monitoring interface using only set algebra inclusion operations (∈, ∉) for state diagram program state specification as preand post-conditions.

yr\_sd\_runtime\_verif only enables the specification of states diagrams specifications as not desirable (forbidden) behavior specifications (fail traces). Thus, YR\_DB\_RUNTIME\_VERIF doesn't generate any false warning. A violation of a safety rule has been found whenever a final state could be reached. On the other hand, not reaching a final state doesn't mean that there is not a test case (or test input) that cannot reach this final state.

 An application of YR\_DB\_RUNTIME\_VERIF to check 1 temporal safety property error, found in the ERP FOSS YEROTH-ERP-3.0.

#### Previous version of this paper

This paper extends a previous version [17], currently in conference proceedings SPLASH—ICTSS 2023 submission, with state diagram with more than 2 states, guarded conditions specifications, 2 new keywords for state diagram transition trace specification ("in\_sql\_event\_log", "not\_in\_sql\_event\_log"), and YR\_DB\_RUNTIME\_VERIF binaries with more than 1 runtime monitor.

#### 1.3 Overview

This paper is organized as follows: Section 2 presents a motivating example that will be used throughout this paper to explain the presented concepts of this paper. Section 3 presents formal definitions of the principal concepts used in this paper. Section 4 presents the software architecture of YR\_DB\_RUNTIME\_VERIF, our GUI dynamic analysis framework. Section 5 introduces the C<sup>++</sup> software library yr\_sd\_runtime\_verif to model states diagrams, and reused by YR\_DB\_RUNTIME\_VERIF. We evaluate our dynamic runtime analysis in Section 6. Section 7 compares this paper with other papers that achieve similar work or endeavors. Section 8 concludes this paper.

Fig. 2: A motivating example, as current bug in YEROTH-ERP-3.0.

Q0 := NOT IN PRE(YR ASSET, department.department name).

 $\overline{Q1} := IN POST(YR ASSET, stocks.department name).$ 



Fig. 3: YEROTH-ERP-3.0 administration section displaying departments ( $\neg Q0$ ).

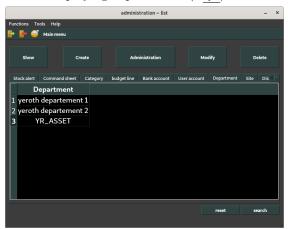


Fig. 4: YEROTH-ERP-3.0 stock asset window listing some assets  $(\overline{Q1})$ .



# 2 Motivating Example: missing department definition

#### 2.1 The Enterprise Resource Planing Software YEROTH-ERP-3.0

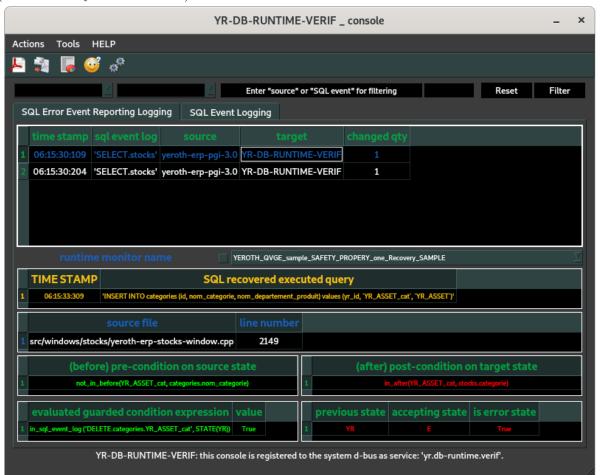
YEROTH-ERP-3.0 is a fast, yet very simple in terms of usage, installation, and configuration Enterprise Resource Planing Software developed by Noundou et al. [5] for very small, small, medium, and large enterprises. YEROTH-ERP-3.0 is developed using C<sup>++</sup> by means of the Qt development library. YEROTH-ERP-3.0 is a large software with around 300 000 (three hundred thousands) of physical source lines of code. YR\_DB\_RUNTIME\_VERIF could be used for integration

testing of YEROTH–ERP–3.0, among different software modules.

#### 2.2 Example Temporal Safety Property

The motivating example of this paper consists of the temporal safety property stipulating that "A DEPARTMENT SHALL NOT BE DELETED WHENEVER STOCKS ASSET STILL EXISTS UNDER THIS DEPARTMENT". This statement means that a user shall be denied the removal of department 'YR\_ASSET' in Figure 3 because there are still a stock asset listed within department 'YR ASSET', as illustrated in Figure 4. Figure 2

Fig. 5: YR\_DB\_RUNTIME\_VERIF command line shell output demonstrating that a final state has been reached (Section 6 analyzes these results).



illustrates the above temporal safety property as a simple state diagram.

#### 2.2.1 State Diagram Explanation

'D' is a *start* state as illustrated by an arrow ending on its state shape. 'E' is a *final* (error, or accepting) state as illustrated by a double circle as state shape.

The pre-condition  $\underline{Q0}$  (as a predicate) in state 'D':

 $"NOT\_IN\_PRE(YR\_ASSET, ment.department\_name)" means:$ 

• a department named 'YR\_ASSET' is not in column 'department\_name' of MariaDB SQL database table 'department'. This might happen whenever

button 'Delete' in Figure 3 is pressed when item 'YR ASSET' is selected.

Similarly, the post-condition  $\overline{Q1}$  (as a predicate) "IN\_POST(YR\_ASSET, stocks.department\_name)", in accepting state 'E', means:

• a department named 'YR\_ASSET' is in column "department\_name" of MariaDB SQL database table 'stocks'.

The state diagram event transition in Figure 2: 'SELECT.department' denotes that when in 'D', a SQL 'select' on database table "department" has occurred; 'E' is then reached as an *accepting state*.

#### **Guarded Condition Expression**

The guarded condition expression "[in\_set\_trace ('DELETE.department.YR\_ASSET', STATE(D))]"means a SQL 'DELETE' event removing a department named 'YR\_ASSET' from MariaDB SQL table 'department' must have occurred in the trace leading to state 'D'.

#### Yr\_sd\_runtime\_verif Specification Code

The source code specified in Listing 2 also illustrates a specification in C<sup>++</sup> using software library yr\_sd\_runtime\_verif of the state diagram specification above.

#### 2.3 YR\_DB\_RUNTIME\_VERIF Analysis Report

The motivating example automaton in Figure 2 is analyzed by YR\_DB\_RUNTIME\_VERIF as follows:

- whenever department 'YR\_ASSET' is deleted in YEROTH-ERP-3.0, as done in Figure 3, the runtime monitor state 'D' with a state condition Q0 is entered
- when MySQL library (plugin) event 'SELECT.department' occurs, in Figure 3 because of YEROTH-ERP-3.0 displaying the remaining product departments, the guarded condition for edge event 'SELECT.department' is automatically evaluated to 'True' by C++ library yr\_sd\_runtime\_verif, because no other guarded condition was specified by the developer
- yr\_sd\_runtime\_verif enters the monitor  $^{\prime}\mathrm{E}^{\prime}$ runtime state to and condition  $\overline{Q1}$ method state via YR\_trigger\_an\_edge\_event(QString an\_edge\_event) because there are still assets (yeroth asset 3) left within product department 'YR ASSET', as illustrated in Figure 4. 'E' is then an accepting (or final or error) state.

Figure 5 illustrates an analysis result of the afore described process, which gets evaluated and described in Evaluation Section 6.

#### 3 Formal Definitions

yr\_sd\_runtime\_verif's formal description of the state diagram formalism follows Mealy machine [3] added with accepting states (final or erroneous state), and state diagram transition pre- and post-conditions. Another excellent, detailed with proofs and theory presentation of mealy automata [18] is available. In comparison to statechart [19], which is a visual formalism for states diagrams, yr\_sd\_runtime\_verif doesn't support for instance the following features: hierarchical states (composite state, submachine state), timing conditions.

#### Definition 1.

A state diagram is a 8–tuple  $(S, S_0, C, \Sigma, \Lambda, \delta, T, \Gamma)$  where:

- S: a finite set of states
- $S_0 \in S$ : a start state (or initial state)
- C: a set of predicate conditions; preconditions are underlined (e.g.: Q0), and post-conditions are overlined (e.g.: Q1). A pre-condition is comparable to a Harel-statechart guarded condition.
- $\Sigma$ : an input alphabet,  $\Sigma$ := {False, True}.

  'False' means no input from SUT into YR\_DB\_RUNTIME\_VERIF.

'True' means any input could come from SUT.

- $\Lambda$ : an output alphabet (of program events  $e_n(n \in \mathbb{N})$ ),  $\phi$  the no program event. A program event generally corresponds to a function or method call at a SUT source code statement (or program point).
- $\delta: S \times C$ : a 2-ary relation that maps a state s to a state-condition c as either a state diagram transition pre-condition  $(\underline{c})$ , or as a state diagram transition post-condition  $(\overline{c})$ .
- $\mathbf{T}: S \times \Sigma \to S \times \Lambda$ : a transition function that maps an input symbol to an output symbol and the next state.
- : a 2-ary relation that maps a state diagram transition to a guarded condition expression.
- $\Gamma$ : a set of accepting states;  $\Gamma \in S$ .

For instance, for the motivating example described in Figure 2 we have:

- $S = \{D, E\};$
- $S_0 = D;$
- $\mathbf{C} = \{Q0, \overline{Q1}\};$
- $\Sigma = \{False, True\};$
- $\Lambda = \{\phi, \text{'SELECT.department'}\};$
- $\delta = \{(D, Q0), (E, \overline{Q1})\};$
- $T = \{((D, False), (D, \phi)), ((D, True), (E, 'SELECT.department'))\};$
- $\Gamma = \{E\}$

#### Definition 2.

A pre-condition of a state diagram transition is a predicate that must be true before the transition can be triggered. A pre-condition  $\underline{Q0}$  could have 2 forms:

- $\underline{Q0} := \text{IN\_PRE}(X, Y)$  that means value "X" is in  $(\in)$  database column value set "Y".
- $\underline{Q0} := \text{NOT\_IN\_PRE}(X, Y)$  that means value "X" is not in  $(\not\in)$  database column value set "Y".

#### Definition 3.

A post-condition of a state diagram transition is a predicate that must be true after the transition was triggered. A post-condition  $\overline{Q1}$  could have 2 forms:

- $\overline{Q1} := \text{IN\_POST}(A, B)$  that means value "A" is in  $(\in)$  database column value set "B".
- $\overline{Q1} := \text{NOT\_IN\_POST}(A, B)$  that means value "A" is not in  $(\notin)$  database column value set "B".

For state diagram mealy machines with more than 2 states, only the first transition has a pre-condition specification (IN\_PRE, or NOT\_IN\_PRE). Each other transition only has a post-condition specification (IN\_POST, or NOT\_IN\_POST). Since each state only has 1 outgoing (edge) state transition, the post-condition of the previous (incoming) state transition acts as the pre-condition of the next transition.

#### Definition 4.

A trace  $T_n = \langle e^0, e^1, ..., e^n \rangle$  is a sequence of SUT events (or SUT program points)  $e^{i,i \in \{0,...,n\}}$  of length  $n.\ trace(D)$  is the trace of SUT events up to state D. For instance, for the motivating example described in Figure 2 we have:  $trace(E) = trace(D), \langle \text{'SELECT.department'} \rangle$ .

## Proposition 1: NO FALSE WARNINGS.

yr\_sd\_runtime\_verif only allows 1 outgoing edge or transition for a state in its specifications, and for not desirable (forbidden) behavior, as illustrated in Figure 2. There is no need to specify the red colored edge in Figure 2 because it represents runtime cases where no input events arrive from SUT into YR\_DB\_RUNTIME\_VERIF. These 2 properties, together with algorithm 'YR\_trigger\_an\_edge\_event(QString an\_edge\_event)' (Listing yr\_sd\_runtime\_verif, ensures that there are no false warnings during YR\_DB\_RUNTIME\_VERIF analyses. For example, the runtime monitoring or verification systems [12–16] may give false warnings.

### 3.1 Guarded Condition Expression Specification in yr\_sd\_runtime\_verif

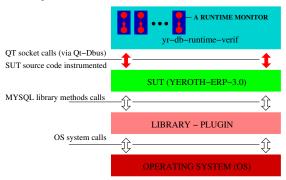
Guarded conditions expressions can be specified using one of the <code>yr\_create\_monitor\_edge</code> method and a boolean expression of type <code>YR\_CPP\_BOOLEAN\_expression</code>. An edge without an explicit guarded condition has an <code>implicit</code> '[True]' guarded condition on it. The implicit guarded condition '[True]' mustn't be identified as an implicit input event 'True', as specified in Definition 1.

Guarded conditions are meant to be trace set specification on program events. For instance in Figure 2 (motivating example): "[in\_set\_trace ('DELETE.department.YR\_ASSET', STATE(D))]"means that a SQL 'DELETE' event removing a department named 'YR\_ASSET' from MariaDB SQL table 'department' must have occurred in the trace leading to state 'D', before event 'SELECT.department' can be triggered. A guarded condition could have two practical forms:

- "[in\_set\_trace ('event', STATE(D))]" is equivalent to: 'event' ∈ trace(D).
- " $[not_in_set_trace]$  ('event', STATE(D))]" is equivalent to: 'event'  $\notin trace(D)$ .

where 'event' is an input event (event  $\in \Sigma$ ) and 'D' a state diagram state  $(D \in S)$ .

Fig. 6: YR\_DB\_RUNTIME\_VERIF: simplified software system architecture.



## 4 The Software Architecture of YR-DB-RUNTIME-VERIF

#### 4.1 Dynamic Analysis

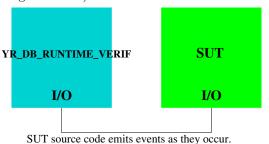
#### 4.1.1 SUT Source Code Instrumentation.

YR\_DB\_RUNTIME\_VERIF runs as a separate Debian Linux process from the application to dynamically analyze (YEROTH-ERP-3.0 in this case). Figure 6 illustrates a software system architecture layer of a software system that uses YR\_DB\_RUNTIME\_VERIF. Figure 6 and Figure 7 illustrate how YEROTH-ERP-3.0 is instrumented to send MySQL database events, as they occur on due to the GUI of YEROTH-ERP-3.0, to process YR\_DB\_RUNTIME\_VERIF, so it can perform runtime analysis of the monitor implemented within it.

#### 4.1.2 Debugging Information.

Each GUI manipulation of YEROTH-ERP-3.0 in its instrumented source code part could generate a state transition within the analyzed runtime monitor state diagram in YR\_DB\_RUNTIME\_VERIF. Visualize "line 35" of Figure 5 to observe that a specific analysis message is sent to the console of YR\_DB\_RUNTIME\_VERIF in cases where a final state has been reached; the message at "line 33" is for an accepting (final) state of the state diagram specification of the motivating example presented in Figure 2.

Fig. 7: YR\_DB\_RUNTIME\_VERIF and SUT socket communication (diagram inspired from Jan Peleska diagram—work).



#### 4.2 SQL Events

 ${\tt YR\_DB\_RUNTIME\_VERIF}$  currently only analyzes the 4 SQL events in Table 2.

## 4.3 A Runtime Monitor (An Analysis Client)

Listing 1: "XML file adaptor for YEROTH– ERP–3.0 test cases (reduced from 4 to only 1 SQL event for paper)."

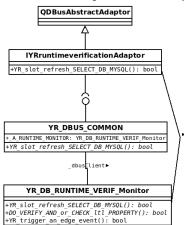
```
<!DOCTYPE node PUBLIC "-//freedesktop/</pre>
    DTD D-BUS Object Introspection 1.0//EN
       "http://www.freedesktop.org/standards/
            dbus/1.0/introspect.dtd"
<node name="/YRruntimeverification">
  <interface name="com.yeroth.rd.
      IYRruntimeverification">
    <method name="
        YR_slot_refresh_SELECT_DB MYSQL
      <annotation name="org.qtproject.QtDBus."
          QtTypeName.In0" value="QString"
     <annotation name="org.qtproject.QtDBus.
QtTypeName.In1" value="uint"/>
      <annotation name="org.qtproject.QtDBus.</pre>
          QtTypeName.In2" value="bool"/>
     <arg type="QString" direction="in"/>
     <arg type="uint" direction="in"/
     <arg type="bool" direction="out"/>
   </method>
 </interface>
</node>
```

An user (an analysis client) of YR\_DB\_RUNTIME\_VERIF needs to subclass class YR\_DB\_RUNTIME\_VERIF\_Monitor. The UML class diagram in Figure 8 displays the class structure

Table 2: SQL Event Dbus Method Interface

SQL Event	Dbus Method Interface	
DELETE	YR_slot_refresh_DELETE_DB_MYSQL(QString, uint)	
INSERT	YR_slot_refresh_INSERT_DB_MYSQL(QString, uint)	
UPDATE	YR_slot_refresh_UPDATE_DB_MYSQL(QString, uint)	
SELECT	YR_slot_refresh_SELECT_DB_MYSQL(QString, uint)	

Fig. 8: YR\_DB\_RUNTIME\_VERIF: simplified class diagram in UML [20].



of YR\_DB\_RUNTIME\_VERIF. Qt-Dbus communication adaptor IYRruntimeverificationAdaptor shall be generated by the user of this library (on YR\_DB\_RUNTIME\_VERIF side) using Qt-Dbus command qdbusxml2cpp and an XML file, similar to the one displayed in Listing 1:

An analysis client must first override method 'DO VERIFY AND or CHECK ltl PROPERTY' of class 'YR\_DB\_RUNTIME\_VERIF\_Monitor' so to implement a checking algorithm for each event received from SUT, as for instance the events illustrated in Figure 2 of the motivating example. The analysis client then calls 'YR\_trigger\_an\_edge\_event(QString an\_edge\_event) (Listing 3) of 'YR\_CPP\_RUNTIME\_MONITOR' of  $C^{++}$ library yr\_sd\_runtime\_verif for each corresponding state diagram transition event.

**Fig. 9**: Class diagram in UML [20] to model a State Transition Diagram.

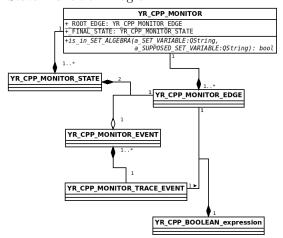
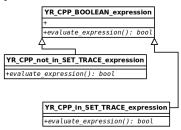


Fig. 10: Class diagram in UML [20] to model state diagram transition trace conditions in yr\_sd\_runtime\_verif code.



Listing 2: yr\_sd\_runtime\_verif C<sup>++</sup> code modeling a current bug in YEROTH-ERP-3.0 (Figure 2).

```
YR CPP MONITOR EDGE *a last edge 0 = create yr monitor edge("D",
3
                                  "select.departements produits");
4
5
6
   a last edge 0->get SOURCE STATE()->set START STATE(true);
7
   a last edge 0->get TARGET STATE()->set FINAL STATE(true);
9
   a last edge 0->set PRE CONDITION notIN("YR ASSET",
10
                                    "departements_produits.nom_departement produit");
11
   a last edge 0->set POST CONDITION IN("YR ASSET",
12
13
                                  "stocks.nom departement produit");
14
   YR register set final state CALLBACK FUNCTION(&YR CALL BACK final state);
15
```

### 5 yr\_sd\_runtime\_verif: A C<sup>++</sup> Library to Model States Diagrams

## 5.1 Structure Of yr\_sd\_runtime\_verif

<code>yr\_sd\_runtime\_verif</code> is a state diagram  $C^{++}$  library the author of this paper created to work with the dynamic analysis program <code>YR\_DB\_RUNTIME\_VERIF</code>. Figure 9 and Figure 10 represent the class structure, in UML, of <code>yr\_sd\_runtime\_verif</code>. Listing 2 shows the  $C^{++}$  code that models the motivating example in

Figure 2, and that uses runtime monitoring C<sup>++</sup> state diagram library yr\_sd\_runtime\_verif.

There is no need to write  $C^{++}$  code for the red specified edge of Figure 2; this represents runtime cases where no input event arrives from SUT into  $YR_DB_RUNTIME_VERIF$ .

Table 3 specifies which class is in yr\_sd\_runtime\_verif code for each runtime monitor/state diagram element.

## 5.2 Methods for Pre- and Post-Condition Specifications

Table 4 illustrates methods for specifying preand post-conditions of a runtime monitor

Table 3: Runtime Monitor Specification Classes

State Diagram Feature	Class
State	YR_CPP_MONITOR_STATE
Transition	YR_CPP_MONITOR_EDGE
Event	YR_CPP_MONITOR_EVENT
Trace at state level	YR_CPP_MONITOR_TRACE_EVENT
Guard Condition	YR_CPP_BOOLEAN_expression
Set Trace Inclusion at edges	YR_CPP_in_SET_TRACE_expression
Set Trace non Inclusion at edges	YR_CPP_not_in_SET_TRACE_expression
Runtime Monitor	YR_CPP_MONITOR

Table 4: yr\_sd\_runtime\_verif Methods for Pre-/Post-Condition Specification

Class YR_CPP_MONITOR_EDGE Methods	Utility
$\begin{tabular}{ c c c c c c c c c c c c c c c c c c c$	sets a NOT IN DATABASE pre–condition
set_PRE_CONDITION_IN(QString, QString)	sets an IN DATABASE pre-condition
set_POST_CONDITION_notIN(QString, QString)	sets a NOT IN DATABASE post–condition
set_POST_CONDITION_IN(QString, QString)	sets an IN DATABASE pre–condition

state diagram transition. Each method takes in 2 arguments of string ('QString') type: 'DB\_VARIABLE', 'db\_TABLE\_\_db\_COLUMN'.

The first method argument: 'DB\_VARIABLE', specifies which variable is to be expected as value for the specification of the second variable argument 'db\_TABLE\_\_db\_COLUMN'. The second variable gives in a string to be specified in format "DB\_table\_name.DB\_table\_column"; and its supposed value is the returned value of the first variable argument 'DB\_VARIABLE'.

These 4 pre- and post-conditions methods make assumptions that a **program** variable value 'DB\_VARIABLE' is in set "DB\_table\_name.DB\_table\_column" or not; if the value of 'DB\_VARIABLE' is in the database table column, it means it is in the set ( $\in$ ) of values "DB\_table\_name.DB\_table\_column"; and not being in the table column means it is not in the set ( $\notin$ ).

## Example from the motivating example in Section 2

Listing 2 of the runtime monitoring specification stipulates for instance in its "line 12", as post-condition:

a\_last\_edge\_0->

set\_POST\_CONDITION\_IN("YR\_ASSET",

"stocks.nom\_departement\_produit"); that 'YR\_ASSET' shall be a value in the value set  $(\in)$  of SQL table 'stocks' column 'nom\_departement\_produit'.

## 5.3 SUT Event Processing Method YR\_trigger\_an\_edge\_event

Listing 3 illustrates the pseudo-code of yr\_sd\_runtime\_verif SUT event processing method YR\_trigger\_an\_edge\_event(QString an\_edge\_event). 'YR\_trigger\_an\_edge\_event(QString an\_edge\_event)' is responsible for interpreting a monitor at runtime, based on its current state, and on the current event received from SUT. Each state in yr\_sd\_runtime\_verif states diagrams

Listing 3: C<sup>++</sup> Pseudo-code for YR\_trigger\_an\_edge\_event(QString an\_edge\_event): yr\_sd\_runtime\_verif method for triggering state diagram events (edges or transitions).

```
bool MONITOR::YR trigger an edge event(QString an edge event)
 \begin{array}{c} 2 \\ 3 \\ 4 \end{array}
        MONITOR EDGE cur OUTGOING EDGE = cur STATE.outgoing edge();
 5
        if (cur OUTGOING EDGE.evaluate GUARDED CONDITION expression() &&
 6
            (an edge event == cur OUTGOING EDGE.edge event token()))
 7
 8
           bool precondition IS TRUE = cur OUTGOING EDGE
 9
                           .CHECK SOURCE STATE PRE CONDITION( cur STATE);
10
           if (precondition IS TRUE)
11
12
               set current triggered EDGE(cur OUTGOING EDGE);
13
14
               \frac{MONITOR\_STATE\ a\_potential\_accepting\_state = \\ cur\_OUTGOING\_EDGE.get\_TARGET\_STATE();
15
16
17
               if (CHECK whether STATE is Final(a potential accepting state))
18
19
20
                   CALL BACK final state FUNCTION(a potential accepting state);
21
22
               return true;
23
24
25
        return false;
26
```

shall have only 1 outgoing edge (transition), by specification and construction, as explained in Proposition 3 in Section 3.

The algorithm in Listing 3 demonstrates that, given correct trace and event information from SUT, <code>yr\_sd\_runtime\_verif</code> always exactly matches the user specification. Thus never giving false warnings.

Table 5: SUT (YEROTH-ERP-3.0) Trace Output (Figure 5).

CONSOLE OUTPUT LINE	SQL EVENT	SUT PROGRAM POINT (TRACE)
21	"DELETE.department.YR_ASSET"	"src/admin/lister/yeroth-erp-admin-lister-window.cpp:1603"
22	"DELETE.merchandise.YR_ASSET"	"src/admin/lister/yeroth-erp-admin-lister-window.cpp:1626"
23	"SELECT.department"	"src/yeroth-erp-windows.cpp:967"

#### 6 Evaluation

The main experimental results in this paper demonstrate the efficacy of our tool to find errors in the SUT (YEROTH-ERP-3.0), presented in Subsection 2.2.

#### Qualitative Results.

#### SUT (YEROTH-ERP-3.0) TRACING.

Table 5 illustrates SUT source code trace information as presented in YR\_DB\_RUNTIME\_VERIF console output in Figure 5. We have translated from French to English the MariaDB SQL table names.

#### SQL EVENT CALL SEQUENCE.

A careful observation of the output in Figure 5 illustrates the following sequence:

• line 23: at state D, execution of the state diagram event "'SELECT.department' " (SUT button 'Delete' has been pressed at line 21)

. select \* from departements\_produits WHERE nom\_departement\_produit = 'YR\_ASSET';

- line 28, line 29: evaluation of the precondition <u>Q0</u> of state *D* stating that product department 'YR\_ASSET' is not existent evaluates to 'TRUE' (triggering of event "'DELETE.department.YR\_ASSET' " by pressing of SUT button 'Delete' at line 21 has removed any asset department name 'YR ASSET').
  - \*\*[YR\_CPP\_MONITOR::CHECK\_PRE\_CONDITION\_notIN:] precondition\_IS\_TRUE: True \*\*
- line 31, line 32: checking post-condition  $\overline{Q1}$  in state E (there are still stocks in stock department 'YR\_ASSET') evaluates to 'TRUE', thus state E is reached as an accepting state, because department name 'YR\_ASSET' still exists in SUT SQL table "stocks", as illustrated in Figure 4 of the motivating example:

"execQuery: select \* from stocks WHERE nom\_departement\_produit = 'YR\_ASSET';"
\*[YR\_CPP\_MONITOR::CHECK\_post\_condition\_IN:] postcondition\_IS\_TRUE: True \*\*

#### Runtime Performance.

YR\_DB\_RUNTIME\_VERIF and yr\_sd\_runtime\_verif don't incur a runtime supplemental overhead to the SUT, apart from emitting SQL events from SUT to YR\_DB\_RUNTIME\_VERIF as they occur, since no hand—shaking mechanism is used between YR\_DB\_RUNTIME\_VERIF and the SUT. The emission of an SQL event from SUT to YR\_DB\_RUNTIME\_VERIF doesn't cost more than 2 statements execution time (getting a pointer to the DBUS server, and calling a method 'YR\_slot\_refresh\_SELECT\_DB\_MYSQL' or other similar 3 methods (for INSERT, UPDATE, and, DELETE) on it).

#### 7 Related Work

• SUT source code instrumentation with runtime monitor specification. "Clara" [12] enables to express software correctness properties using AspectJ and dependency state machines, both as instances of the typestate formalism, a formalism that is merely used for checking correctness of programs by a static compilation (analysis) technique called typestate checking. The Clara framework weaves (instruments), and annotates a program with runtime monitors using AspectJ, then tries to optimize the weaved program by static analysis. The "residual program", meaning the weaved statically optimized program is then executed and runtime monitored by developers to detect runtime errors. Runtime monitoring tools [13–16] work as similar as the Clara framework does.

YR\_DB\_RUNTIME\_VERIF doesn't instrument the System Under Test (SUT) with any specification. It runs the runtime monitor concurrently from the analyzed SUT, but not with hand-shaking mechanism, thus not increasing runtime execution of the SUT. YR\_DB\_RUNTIME\_VERIF specifies the runtime monitor as a state diagram mealy machine, a subset of typestate, specified as a C<sup>++</sup> program, and extended with accepting states and state transition pre- and post-condition.

• SUT binary code instrumentation with a runtime monitor. With tracerory [6, 21]", Jon Eyolfson and Patrick Lam use runtime program binary code instrumentation technique in INTEL-pin [22] to instrument running programs for purposes of detecting unread memory. I.e., tracerory doesn't generate itself a runtime monitor, it uses INTEL-pin [22] to generate a runtime monitor for its verification purposes. "Purify" [23] doesn't allow for SUT user correctness property specification. It has built-in memory access safety properties to check offline on program execution, after instrumentation of the SUT, its third-party, and vendor object-code libraries.

In contrast, with **YR\_DB\_RUNTIME\_VERIF**, the user instruments the source code

of the analyzed C<sup>++</sup> program at compile time with SQL events emitting code. YR\_DB\_RUNTIME\_VERIF monitors program trace events at database level, and not at program counter level as tracerory does. YR\_DB\_RUNTIME\_VERIF inputs a SUT correctness property specification as a state diagram (as a subset of LTL [2]).

• Specification as set interface operations. "Hob" [24, 25] is a program verification framework that enables to: characterize effects of program statement on data structures by means of all (∀, ∃, etc.) algebra abstract set interface operations; and to check that these characteristics hold or not, using static analyses.

**YR\_DB\_RUNTIME\_VERIF** is a program verification framework that enables to: characterize effects of program statements (via SQL [4] (Structure Query Language) on database table columns by means of set interface operations  $(\in, \notin)$ ; and to check that these characteristics hold or not, using dynamic runtime analysis.

#### • Concurrent Event Stream Analysis.

"DejaVu" [26] enables to check safety temporal property expressed in first-order past linear-time temporal logic (FO-PLTL) for events that carry data. DejaVu inputs a trace log (offline) and a FO-PLTL formula, and outputs a boolean value for each position in the inputted trace. "LogScope" [27] checks, offline, software systems correctness properties expressed using a rule-based specification language over state machines. It is not very precise what type of state machine is created and processed. "LogScope" translates specifications into C<sup>++</sup> monitors (that could carry data). "EventRaceCommander" [28] repairs in web applications (online), event race errors, a kind of safety error.

States diagrams specifications are implemented as  $C^{++}$  program monitors using  $C^{++}$  library yr\_sd\_runtime\_verif. YR\_DB\_RUNTIME\_VERIF outputs a developer given (by means of a callback function, as

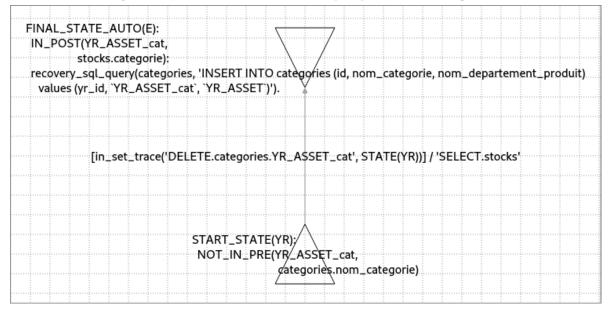
seen in 'line 15' in Listing 2) string message <sup>1</sup> in case an accepting state was entered, and a trace event of YEROTH-ERP-3.0 leading to it. YR\_DB\_RUNTIME\_VERIF's monitors need not store data, as DejaVu monitors must. YR\_DB\_RUNTIME\_VERIF events also carry data (database table and column name, records quantity modified by current SUT event). Runtime monitors could be checked against programs written in any programming language or framework, as long as they emit necessary SQL events to YR\_DB\_RUNTIME\_VERIF.

 $<sup>^{1},</sup> YR\_DB\_RUNTIME\_VERIF\_Monitor\_notify\_SUCCESS\_VERIFICATION' in this paper motivating example in Figure 5.$ 

Fig. 11: A Mealy Machine State Diagram Specified Using yr\_sd\_runtime\_verif Specification Language.

```
1. yr_sd_mealy_automaton_spec yr_missing_department
2. {
3. START_STATE(d):NOT_IN_PRE(YR_ASSET,department.department_name)
4. ->[in_sql_event_log('DELETE.departement.YR_ASSET',STATE(d))]/'SELECT.department'->
5. ERROR_STATE(e):IN_POST(YR_ASSET,stocks.department_name).
6. }
```

Fig. 12: 'YR\_QVGE' model for the example specification in Figure 11.



### 8 Conclusion And Future Work

This paper has presented a lightweight C<sup>++</sup>Qt-Dbus [29] tool to check a program against a runtime monitor using set interface operations ( $\in$ ,  $\notin$ ) on program statement: YR\_DB\_RUNTIME\_VERIF. YR\_DB\_RUNTIME\_VERIF doesn't generate false warnings; YR\_DB\_RUNTIME\_VERIF specifications are not desirable (forbidden) specifications (fail traces). Since the concurrent communication between YR\_DB\_RUNTIME\_VERIF and a program occurs over the RPC (Remote Procedure Call) instance Dbus, a runtime monitor could be checked against programs written in any programming language or framework, as long as they emit the necessary SQL events to YR\_DB\_RUNTIME\_VERIF.

Future work would be a tool-chain to validate yr\_sd\_runtime\_verif models as represented in this paper.

Also, the author of this paper has developed a graphical drawing tool (YR\_QVGE) for in Section 3 defined state diagrams. A model of YR\_QVGE is shown in Figure 12. It is an extension of the FOSS (Free and Open Source Software) Qt Graphviz [30] drawing tool QVGE [31]. YR\_QVGE generates, from a model, an input file for the compiler yr\_sd\_runtime\_verif\_lang\_comp.

#### 9 Acknowledgments

The author of this paper thanks Jan Peleska, and Thomas Ndie Djotio for helping him in continuing this research.

Listing 4: 'DO\_VERIFY\_AND\_or\_CHECK\_ltl\_PROPERTY': YR\_DB\_RUNTIME\_VERIF's overridden method for processing SUT event stream C<sup>++</sup> pseudo-code.

```
bool DO_VERIFY_AND_or_CHECK_ltl_PROPERTY(
    QString_sql_table_NAME,
    SQL_CONSTANT_IDENTIFIER_cur_SQL_command)
 \frac{1}{2}
 4
          switch (cur_SQL_command)
 5
 6
          case SELECT:
 7
 8
 9
               if ("department" == sql table NAME))
10
                   return YR trigger an edge event("'select.department'");
11
12
               break;
13
14
15
          default:
16
                   break;
17
18
19
          return false;
```

### A Processing of SUT Event Stream By An Analysis Client

### B YR\_SD\_RUNTIME\_VERIF SPECIFICATION LANGUAGE

```
Listing
                illustrates
                             the
                                    pseudo-
             of
code
                         YR_DB_RUNTIME_VERIF
SUT
                     processing
                                    method
'DO VERIFY AND or CHECK ltl PROPERTY'.
An analysis client must first override method
'DO VERIFY AND or CHECK ltl PROPERTY'
of class 'YR_DB_RUNTIME_VERIF_Monitor' so to
implement a checking algorithm for each event
received from SUT, as for instance the events
illustrated in Figure 2 of the motivating example.
   The analysis client then calls
'YR_trigger_an_edge_event(QString
an_edge_event)
                          of
                                       class
                               C^{++}
'YR_CPP_RUNTIME_MONITOR' of
                                     library
yr_sd_runtime_verif for each corresponding
state diagram transition event.
```

Fig. 13: Grammar in Backus-Naur Form (BNF) of yr\_sd\_runtime\_verif Mealy Machine State Diagram Specification Language.

```
\langle specification \rangle ::= \mathbf{yr} \quad \mathbf{sd} \quad \mathbf{mealy} \quad \mathbf{automaton} \quad \mathbf{spec} \ '\{' \ \langle mealy-automaton-spec \rangle \ '.' \ '\}'
\langle mealy-automaton-spec \rangle ::= \langle sut\text{-}state\text{-}spec \rangle
                                                    \langle sut\text{-}state\text{-}spec \rangle '\rightarrow' \langle sut\text{-}edge\text{-}state\text{-}spec \rangle
\langle sut\text{-}edge\text{-}state\text{-}spec \rangle ::= \langle sut\text{-}edge\text{-}mealy\text{-}automaton\text{-}spec \rangle \xrightarrow{} \langle mealy\text{-}automaton\text{-}spec \rangle
\langle sut\text{-}edge\text{-}mealy\text{-}automaton\text{-}spec \rangle ::= \langle edge\text{-}mealy\text{-}automaton\text{-}guard\text{-}cond \rangle \langle event\text{-}call \rangle
\langle edge\text{-}mealy\text{-}automaton\text{-}guard\text{-}cond \rangle ::= /* \text{ empty }*/ '/' | '[' \langle trace\text{-}specification \rangle ']' '/'
\langle trace	ext{-specification} \rangle ::= \langle in	ext{-sql-event-log} \rangle \mid \langle not	ext{-in-sql-event-log} \rangle \mid \langle in	ext{-set-trace} \rangle \mid \langle not	ext{-in-set-trace} \rangle
\langle sut\text{-}state\text{-}spec \rangle ::= \langle start\text{-}state\text{-}property\text{-}spec \rangle
                                   \langle start\text{-}state\text{-}property\text{-}spec \rangle ':' \langle algebra\text{-}set\text{-}specification \rangle
                                   \langle state\text{-}property\text{-}spec \rangle ':' \langle algebra\text{-}set\text{-}specification \rangle
                                   ⟨final-state-property-spec⟩ ':' ⟨algebra-set-specification⟩
                                                                                                                                                                                                ٠.,
                                              \langle final\text{-}state\text{-}auto\text{-}property\text{-}spec \rangle
                                                                                                                                    \langle algebra-set-specification \rangle
                        \langle recovery\text{-}sql\text{-}query\text{-}spec \rangle
\langle \mathit{algebra\text{-}set\text{-}spec} \mathit{in\text{-}algebra\text{-}set\text{-}spec} \rangle \mid \langle \mathit{not\text{-}in\text{-}algebra\text{-}set\text{-}spec} \rangle
\langle in\text{-}algebra\text{-}set\text{-}spec \rangle ::= \langle in\text{-}spec \rangle '(' \langle prog\text{-}variable \rangle ', ' \langle db\text{-}table \rangle ', ' \langle db\text{-}column \rangle ')'
\langle not\text{-}in\text{-}algebra\text{-}set\text{-}spec \rangle ::= \langle not\text{-}in\text{-}spec \rangle '(' \langle prog\text{-}variable \rangle ', ' \langle db\text{-}table \rangle '. ' \langle db\text{-}column \rangle ')'
\langle in\text{-}sql\text{-}event\text{-}loq \rangle ::= in \quad sql \quad event \quad log'(' \langle event\text{-}call \rangle ',' \langle state\text{-}property\text{-}specification \rangle ')'
\langle not\text{-}in\text{-}sql\text{-}event\text{-}log \rangle ::= \mathbf{not} \quad \mathbf{in} \quad \mathbf{sql} \quad \mathbf{event} \quad \mathbf{log'}(\ \langle event\text{-}call \rangle \ \ ',\ \langle state\text{-}property\text{-}specification} \rangle \ \ ')'
\langle in\text{-}set\text{-}trace \rangle ::= in \text{ set } trace'(`\langle event\text{-}call \rangle ', `\langle state\text{-}property\text{-}specification \rangle ')'
\(\langle not-in-set-trace \rangle ::= not in set trace'('\langle event-call \rangle ',' \langle state-property-specification \rangle ')'
\langle in\text{-}spec \rangle ::= IN \ \mathbf{BEFORE} \mid \mathbf{IN} \ \mathbf{AFTER}
                           | IN_PRE | IN_POST
\langle not\text{-}in\text{-}spec \rangle ::= NOT \ IN \ BEFORE \mid NOT \ IN \ AFTER
                            NOT IN PRE NOT IN POST
\langle start\text{-}state\text{-}property\text{-}spec \rangle ::= \mathbf{START} \mathbf{STATE}'(' AlphaNum ')'
\langle state\text{-}property\text{-}spec \rangle ::= \mathbf{STATE'}(' AlphaNum ')'
\langle final\text{-state-property-spec} \rangle ::= END STATE'('AlphaNum')'
                                                        FINAL STATE'(' AlphaNum ')'
                                                        ERROR STATE'(' AlphaNum ')'
(final-state-auto-property-spec) ::= END STATE AUTO'(' AlphaNum ')'
                                                             FINAL STATE AUTO'(' AlphaNum ')'
                                                                ERROR STATE AUTO'(' AlphaNum')'
\langle recovery - sql - query - spec \rangle ::= \mathbf{recovery} \quad \mathbf{sql} \quad \mathbf{query}'(' \langle db - table \rangle ', ' \langle sql - recovery - query \rangle ')'
\langle sql\text{-}recovery\text{-}query \rangle ::= String
\langle event\text{-}call \rangle ::= String
\langle prog\text{-}variable \rangle ::= AlphaNum
\langle db\text{-}table \rangle ::= AlphaNum
                                                                                               19
\langle db\text{-}column \rangle ::= \text{AlphaNum}
```

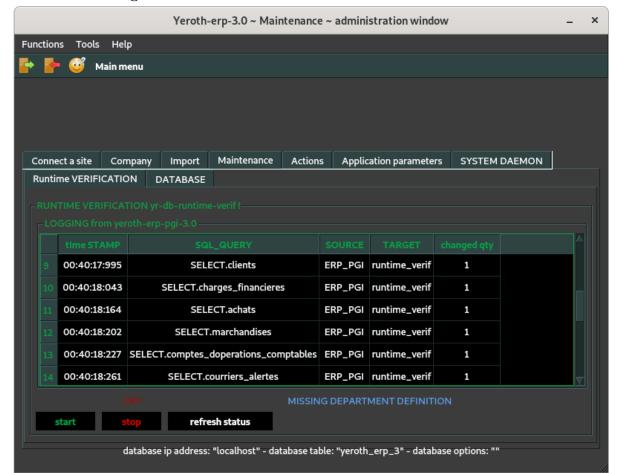


Fig. 14: YEROTH-ERP-3.0 Maintenance Verification Interface.

C YEROTH-ERP-3.0 MAINTENANCE VERIFICATION INTERFACE

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