# HW4 SVM Submission

March 1, 2022

## 1 Support Vector Machines (SVM)

## 2 HW 4

### 2.0.1 TEAM 3

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## 2.0.2 Set up

```
[1]: # Python 3.5 is required
import sys
assert sys.version_info >= (3, 5)

# Scikit-Learn 0.20 is required
import sklearn
assert sklearn.__version__ >= "0.20"

# Common imports
import numpy as np
import pandas as pd
import os

np.random.seed(42)

%matplotlib inline
import matplotlib as mpl
import matplotlib.pyplot as plt
```

## 2.0.3 utility functions

```
[2]: def plot_class_regions_for_classifier_subplot(clf, X, y, X_test, y_test, title, u subplot, target_names = None, plot_decision_regions = True):

numClasses = np.amax(y) + 1
color_list_light = ['#FFFFAA', '#EFEFEF', '#AAFFAA', '#AAAAFF']
```

```
color_list_bold = ['#EEEE00', '#000000', '#000CC00', '#0000CC']
   cmap_light = ListedColormap(color_list_light[0:numClasses])
   cmap_bold = ListedColormap(color_list_bold[0:numClasses])
   h = 0.03
   k = 0.5
   x_plot_adjust = 0.1
   y_plot_adjust = 0.1
   plot_symbol_size = 50
   x \min = X[:, 0].min()
   x_max = X[:, 0].max()
   y_min = X[:, 1].min()
   y_max = X[:, 1].max()
   x2, y2 = np.meshgrid(np.arange(x min-k, x max+k, h), np.arange(y min-k, u
\rightarrowy_max+k, h))
   P = clf.predict(np.c_[x2.ravel(), y2.ravel()])
   P = P.reshape(x2.shape)
   if plot decision regions:
       subplot.contourf(x2, y2, P, cmap=cmap_light, alpha = 0.8)
   subplot.scatter(X[:, 0], X[:, 1], c=y, cmap=cmap_bold, s=plot_symbol_size, u
subplot.set_xlim(x_min - x_plot_adjust, x_max + x_plot_adjust)
   subplot.set_ylim(y_min - y_plot_adjust, y_max + y_plot_adjust)
   if (X_test is not None):
       subplot.scatter(X_test[:, 0], X_test[:, 1], c=y_test, cmap=cmap_bold,__

¬s=plot_symbol_size, marker='^', edgecolor = 'black')
       train_score = clf.score(X, y)
       test_score = clf.score(X_test, y_test)
       title = title + "\nTrain score = {:.2f}, Test score = {:.2f}".
→format(train_score, test_score)
   subplot.set_title(title)
   if (target_names is not None):
       legend_handles = []
       for i in range(0, len(target_names)):
           patch = mpatches.Patch(color=color_list_bold[i],__
→label=target_names[i])
           legend_handles.append(patch)
       subplot.legend(loc=0, handles=legend_handles)
```

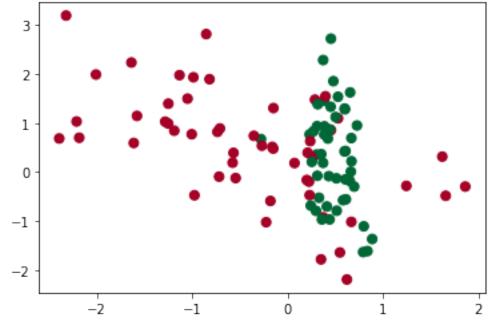
```
def plot_class_regions_for_classifier(clf, X, y, X_test=None, y_test=None, u
-title=None, target_names = None, plot_decision_regions = True):
   numClasses = np.amax(y) + 1
   color_list_light = ['#FFFFAA', '#EFEFEF', '#AAFFAA', '#AAAAFF']
   color list bold = ['#EEEE00', '#000000', '#000CC00', '#0000CC']
   cmap light = ListedColormap(color list light[0:numClasses])
   cmap_bold = ListedColormap(color_list_bold[0:numClasses])
   h = 0.03
   k = 0.5
   x_plot_adjust = 0.1
   y_plot_adjust = 0.1
   plot_symbol_size = 50
   x_min = X[:, 0].min()
   x max = X[:, 0].max()
   y_min = X[:, 1].min()
   y \max = X[:, 1].max()
   x2, y2 = np.meshgrid(np.arange(x_min-k, x_max+k, h), np.arange(y_min-k,_
 \rightarrowy max+k, h))
   P = clf.predict(np.c_[x2.ravel(), y2.ravel()])
   P = P.reshape(x2.shape)
   plt.figure()
   if plot_decision_regions:
       plt.contourf(x2, y2, P, cmap=cmap light, alpha = 0.8)
   plt.scatter(X[:, 0], X[:, 1], c=y, cmap=cmap_bold, s=plot_symbol_size,_
→edgecolor = 'black')
   plt.xlim(x_min - x_plot_adjust, x_max + x_plot_adjust)
   plt.ylim(y_min - y_plot_adjust, y_max + y_plot_adjust)
   if (X_test is not None):
       plt.scatter(X_test[:, 0], X_test[:, 1], c=y_test, cmap=cmap_bold,__
 train_score = clf.score(X, y)
       test_score = clf.score(X_test, y_test)
       title = title + "\nTrain score = {:.2f}, Test score = {:.2f}".
 →format(train_score, test_score)
   if (target_names is not None):
       legend_handles = []
       for i in range(0, len(target_names)):
           patch = mpatches.Patch(color=color_list_bold[i],__
 →label=target_names[i])
```

```
legend_handles.append(patch)
plt.legend(loc=0, handles=legend_handles)

if (title is not None):
    plt.title(title)
plt.show()
```

## 2.0.4 Synthetic dataset

# Sample binary classification problem with two informative features



## 2.0.5 Linear Support Vector Machine

```
[4]: from sklearn.svm import SVC
from sklearn.model_selection import train_test_split
from sklearn.metrics import classification_report

X_train, X_test, y_train, y_test = train_test_split(X, y, random_state = 0)

clf = SVC(kernel = 'linear', C=1.0).fit(X_train, y_train)

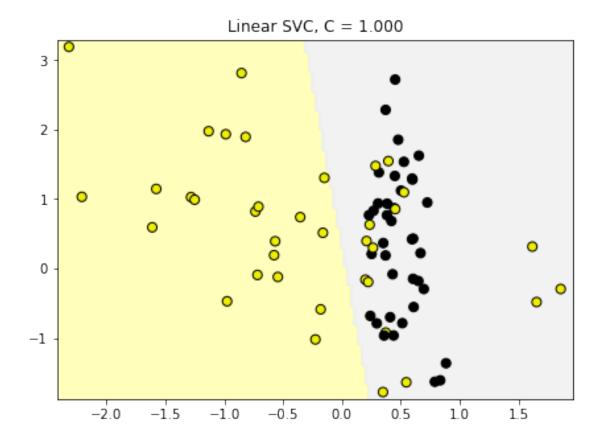
y_pred = clf.predict(X_test)

result_metrics = classification_report(y_test, y_pred)
print(result_metrics)

fig, subaxes = plt.subplots(1, 1, figsize=(7, 5))

title = 'Linear SVC, C = {:.3f}'.format(1.0)
plot_class_regions_for_classifier_subplot(clf, X_train, y_train, None, None, u_title, subaxes)
```

	precision	recall	f1-score	support
0	0.91	0.67	0.77	15
1	0.64	0.90	0.75	10
accuracy			0.76	25
macro avg	0.78	0.78	0.76	25
weighted avg	0.80	0.76	0.76	25



## 2.0.6 Linear Support Vector Machine: C parameter

• C is regularization parameter. The strength of the regularization is inversely proportional to C. Must be strictly positive. The penalty is a squared 12 penalty.

```
[5]: from sklearn.svm import LinearSVC

X_train, X_test, y_train, y_test = train_test_split(X, y, random_state = 0)
this_C = 1.0

fig, subaxes = plt.subplots(1, 2, figsize=(8, 4))

for this_C, subplot in zip([0.00001, 100], subaxes):
    clf = LinearSVC(C=this_C, max_iter=1000).fit(X_train, y_train)

    y_pred = clf.predict(X_test)
    result_metrics = classification_report(y_test, y_pred)
    print(result_metrics)

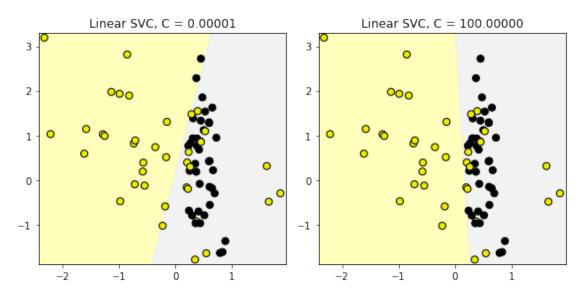
title = 'Linear SVC, C = {:.5f}'.format(this_C)
    plot_class_regions_for_classifier_subplot(clf, X_train, y_train,
```

	None,	None,	title,	subplot)
plt.tight_layout()				

	precision	recall	f1-score	support
0	0.91	0.67 0.90	0.77 0.75	15 10
_	0.01		01.0	
accuracy			0.76	25
macro avg	0.78	0.78	0.76	25
weighted avg	0.80	0.76	0.76	25
	precision	recall	f1-score	support
0	0.92	0.73	0.81	15
1	0.69	0.90	0.78	10
accuracy			0.80	25
macro avg	0.80	0.82	0.80	25
weighted avg	0.83	0.80	0.80	25

C:\ProgramData\Anaconda3\lib\site-packages\sklearn\svm\\_base.py:985: ConvergenceWarning: Liblinear failed to converge, increase the number of iterations.

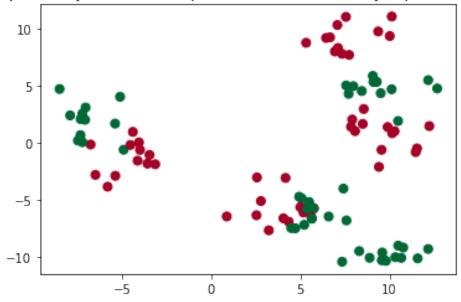
warnings.warn("Liblinear failed to converge, increase "



## 2.0.7 Kernelized Support Vector Machines

ullet More complex synthetic dataset

## Sample binary classification problem with non-linearly separable classes



### 2.0.8 Classification using kernels

RBF kernel (Gaussian kernel)

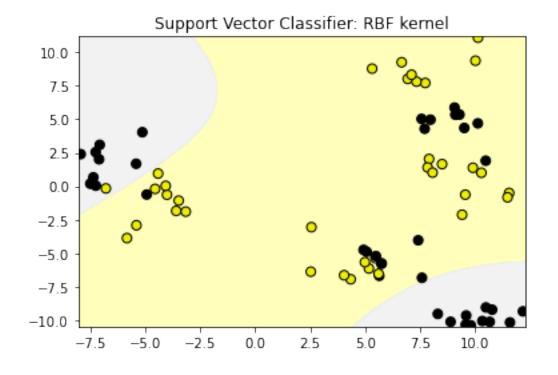
### Polynomial kernel

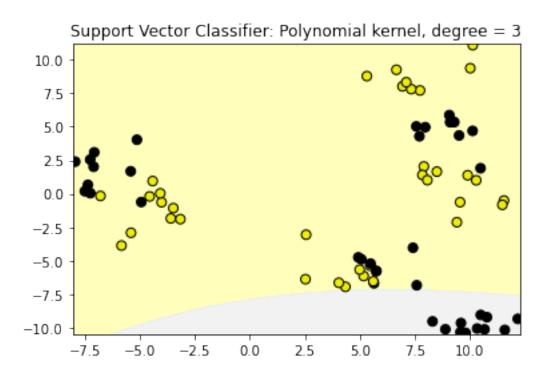
```
result_metrics = classification_report(y_test, y_pred)
print('RBF kernel (Gaussian) results\n', result_metrics)
clf2 = SVC(kernel='poly', max_iter=10000).fit(X_train, y_train)
y_pred = clf2.predict(X_test)
result_metrics = classification_report(y_test, y_pred)
print('Polynomial kernel results\n', result_metrics)
# The default SVC kernel is radial basis function (RBF)
plot_class_regions_for_classifier(SVC().fit(X_train, y_train),
                                 X_train, y_train, None, None,
                                 'Support Vector Classifier: RBF kernel')
# Compare decision boundries with polynomial kernel, degree = 3
plot_class regions for_classifier(SVC(kernel = 'poly', degree = 3)
                                 .fit(X_train, y_train), X_train,
                                 y_train, None, None,
                                 'Support Vector Classifier: Polynomial kernel, u

degree = 3')
RBF kernel (Gaussian) results
               precision
                           recall f1-score
                                              support
                  0.62
                           1.00
                                      0.76
                                                  13
                  1.00
                            0.33
                                      0.50
                                                  12
                                      0.68
                                                  25
   accuracy
                  0.81 0.67
                                      0.63
                                                  25
   macro avg
                  0.80
                            0.68
                                      0.64
                                                  25
weighted avg
```

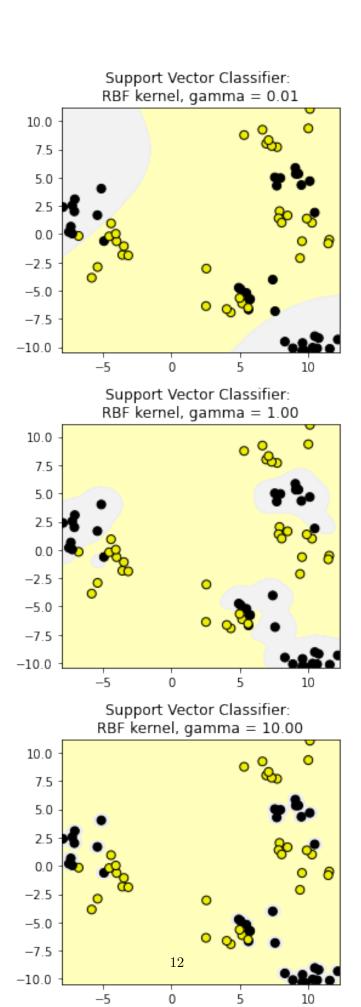
Polynomial kernel results

•	prec	ision	recall	f1-score	support
(	)	0.63	0.92	0.75	13
-	L	0.83	0.42	0.56	12
accuracy	I			0.68	25
macro ave	5	0.73	0.67	0.65	25
weighted ave	5	0.73	0.68	0.66	25

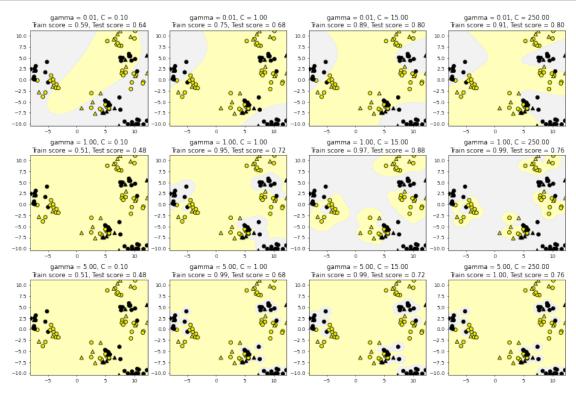




## 2.0.9 Support Vector Machine with RBF kernel: gamma parameter



# 2.0.10 Support Vector Machine with RBF kernel: using both C and gamma parameter



[]:

## 

Part 1:

#### 3.0.1 TEAM 3

- Anjali Sebastian
- Yesha Sharma
- Rupansh Phutela

## 4 Breast cancer dataset for classification

Apply SVM linear kernel (basically no kernel) and print the performance metrics.

Apply SVM RBF kernel (Gaussian kernel) and print the performance metrics with non-normalized dataset.

Apply SVM RBF kernel with normalized dataset.

Apply SVM RBF kernel using varying C and gamma parameter values. Use C = 0.1, 1, 15, 250. Use gamma = 0.01, 1, 5. Hence, 12 subplots, similar to the above example, should be drawn.

Part 2:

Write a short comparisons of SVM linear kernel and RBF kernel.

Write a short summary of how C and gamma parameters play in SVM RBF kernel.

### 4.0.1 1. Download Breast Cancer Dataset

```
'worst perimeter', 'worst area', 'worst smoothness',
            'worst compactness', 'worst concavity', 'worst concave points',
            'worst symmetry', 'worst fractal dimension'], dtype='<U23')
[14]: y_cancer
0, 0, 1, 0, 1, 1, 1, 1, 1, 0, 0, 1, 0, 0, 1, 1, 1, 1, 0, 1, 0, 0,
            1, 1, 1, 1, 0, 1, 0, 0, 1, 0, 1, 0, 0, 1, 1, 1, 0, 0, 1, 0, 0, 0,
            1, 1, 1, 0, 1, 1, 0, 0, 1, 1, 1, 0, 0, 1, 1, 1, 1, 0, 1, 1, 0, 1,
            1, 1, 1, 1, 1, 1, 1, 0, 0, 0, 1, 0, 0, 1, 1, 1, 0, 0, 1, 0, 1, 0,
            0, 1, 0, 0, 1, 1, 0, 1, 1, 0, 1, 1, 1, 1, 0, 1, 1, 1, 1, 1, 1, 1, 1,
            1, 1, 0, 1, 1, 1, 1, 0, 0, 1, 0, 1, 1, 0, 0, 1, 1, 0, 0, 1, 1, 1,
            1, 0, 1, 1, 0, 0, 0, 1, 0, 1, 0, 1, 1, 1, 0, 1, 1, 0, 0, 1, 0, 0,
            0, 0, 1, 0, 0, 0, 1, 0, 1, 0, 1, 1, 0, 1, 0, 0, 0, 0, 1, 1, 0, 0,
            1, 1, 1, 0, 1, 1, 1, 1, 1, 0, 0, 1, 1, 0, 1, 1, 0, 0, 1, 0, 1, 1,
            1, 1, 0, 1, 1, 1, 1, 1, 0, 1, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0,
            0, 0, 1, 1, 1, 1, 1, 0, 1, 0, 1, 1, 0, 1, 1, 0, 1, 0, 0, 1, 1,
            1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 0, 1, 1, 0, 1, 0, 1, 1, 1, 1, 1, 1,
            1, 1, 1, 1, 1, 1, 1, 1, 1, 0, 1, 1, 0, 1, 0, 1, 1, 1, 1, 1, 0, 0,
            0, 1, 1, 1, 1, 0, 1, 0, 1, 0, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 0,
            0, 0, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 0, 0, 1, 0, 0, 1, 0, 0,
            1, 1, 1, 1, 1, 0, 1, 1, 1, 1, 1, 0, 1, 1, 0, 1, 1, 0, 0, 1, 1,
            1, 1, 1, 1, 0, 1, 1, 1, 1, 1, 1, 0, 1, 1, 1, 1, 1, 0, 1, 1, 0,
            1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 0, 1, 0, 0, 1, 0, 1, 1, 1, 1,
            1, 0, 1, 1, 0, 1, 0, 1, 1, 0, 1, 0, 1, 1, 1, 1, 1, 1, 1, 1, 0, 0,
            1, 1, 1, 1, 1, 1, 0, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 0, 1, 1, 1, 1,
            1, 1, 1, 0, 1, 0, 1, 1, 0, 1, 1, 1, 1, 1, 0, 0, 1, 0, 1, 0, 1, 1,
            1, 1, 1, 0, 1, 1, 0, 1, 0, 1, 0, 0, 1, 1, 1, 0, 1, 1, 1, 1, 1, 1,
            1, 1, 1, 1, 1, 0, 1, 0, 0, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1,
            1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 0, 0, 0, 0, 0, 0, 1])
[15]: cancer.target_names
[15]: array(['malignant', 'benign'], dtype='<U9')</pre>
[16]: y_cancer[y_cancer==0].shape
[16]: (212,)
     Note:
              The
                    value
                              indicated
                                       malignant
                                                  tumor(212)
                                                             counts)
                                                                     while
                                                                            1
```

'mean concave points', 'mean symmetry', 'mean fractal dimension', 'radius error', 'texture error', 'perimeter error', 'area error',

'smoothness error', 'compactness error', 'concavity error',

'fractal dimension error', 'worst radius', 'worst texture',

'concave points error', 'symmetry error',

infered

from

Data

https://scikit-

counts).

dicates

benign

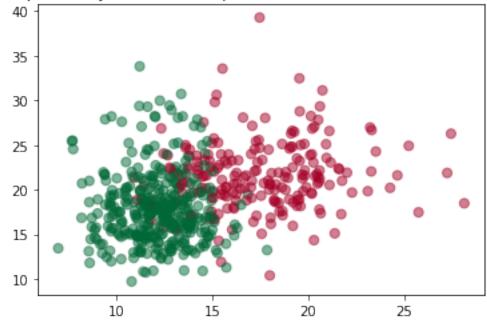
tumor

(357)

learn.org/stable/modules/generated/sklearn.datasets.load\_breast\_cancer.html

```
[17]: # choosing any two attributes
attribute1 = 0
attribute2 = 1
X_cancer = X_cancer[:,[attribute1,attribute2]]
```

## Sample binary classification problem with two informative features



## 4.0.2 2. Linear Support Vector Machine

• Apply SVM linear kernel (basically no kernel) and print the performance metrics.

```
clf1 = SVC(kernel = 'linear', C=1.0).fit(X_train, y_train)

y_pred = clf1.predict(X_test)

result_metrics = classification_report(y_test, y_pred)
print(result_metrics)

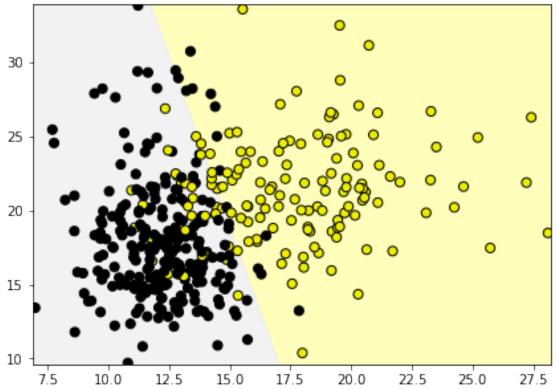
fig, subaxes = plt.subplots(1, 1, figsize=(7, 5))

title = 'Linear SVC, C = {:.3f}'.format(1.0)
plot_class_regions_for_classifier_subplot(clf1, X_train, y_train, None, None, u

title, subaxes)
```

	precision	recall	f1-score	support
0	0.89	0.86	0.87	63
1	0.92	0.94	0.93	108
accuracy			0.91	171
macro avg	0.90	0.90	0.90	171
weighted avg	0.91	0.91	0.91	171



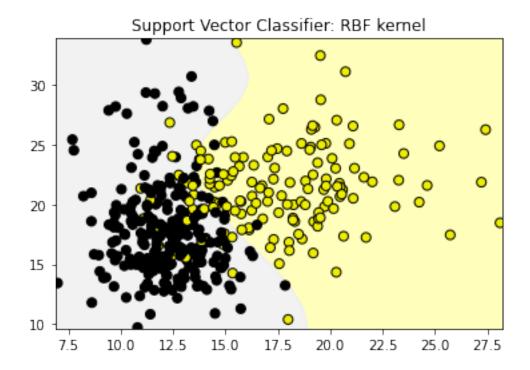


### 4.0.3 3. SVM with RBF Kernal without Normalization

• Apply SVM RBF kernel (Gaussian kernel) and print the performance metrics with non-normalized dataset.

RBF kernel (Gaussian) results

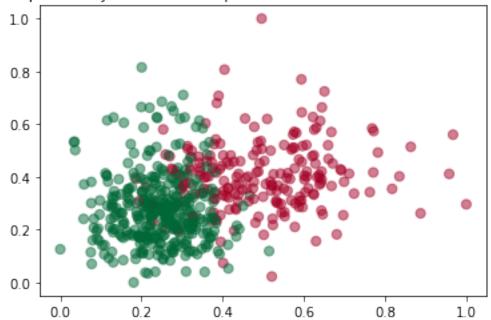
	precision	recall	f1-score	support
0	0.90	0.83	0.86	63
1	0.90	0.94	0.92	108
accuracy			0.90	171
macro avg	0.90	0.88	0.89	171
weighted avg	0.90	0.90	0.90	171



## 4.0.4 4. SVM with RBF Kernal with Normalization

- Apply SVM RBF kernel with the normalized dataset.
- Using MinMaxScaler to normalize

## Sample binary classification problem with two informative features



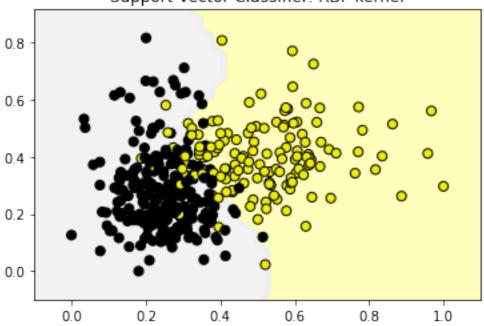
RBF kernel (Gaussian) results

precision recall f1-score support

0 0.90 0.83 0.86 63

1	0.90	0.94	0.92	108
accuracy			0.90	171
macro avg	0.90	0.88	0.89	171
weighted avg	0.90	0.90	0.90	171





## 4.0.5 5. SVM RBF kernel using varying C and gamma parameter

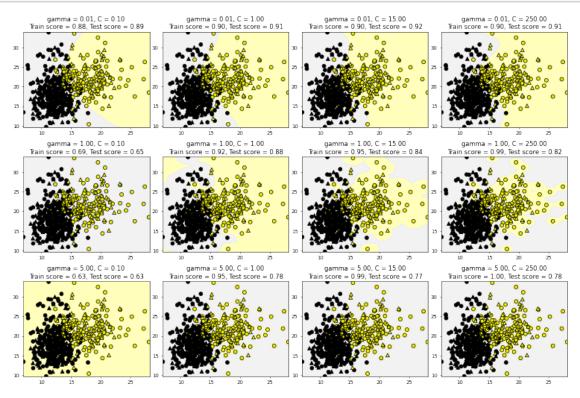
- Apply SVM RBF kernel using varying C and gamma parameter values.
- Use C= 0.1, 1, 15, 250. Use gamma= 0.01, 1, 5.
- Hence, 12 subplots, similar to the above example, should be drawn.

```
[24]: from sklearn.svm import SVC
from sklearn.model_selection import train_test_split

X_train, X_test, y_train, y_test = train_test_split(X_cancer, y_cancer, u_stest_size=0.3, random_state = 0)
fig, subaxes = plt.subplots(3, 4, figsize=(15, 10), dpi=50)

for this_gamma, this_axis in zip([0.01, 1, 5], subaxes):

for this_C, subplot in zip([0.1, 1, 15, 250], this_axis):
    title = 'gamma = {:.2f}, C = {:.2f}'.format(this_gamma, this_C)
    clf = SVC(kernel = 'rbf', gamma = this_gamma,
```



## 4.0.6 6. Write a short comparisons of SVM linear kernel and RBF kernel.

We took the first two columns from the breast cancer dataset,i.e., "mean radius" & "mean texture" for our analysis. Below is our analysis summary:- - When the kernel is linear, the scores are as below:- precision recall f1-score support

0	0.89	0.86	0.87	63
1	0.92	0.94	0.93	108
accuracy			0.91	Data points :171

• When the kernel is rbf, the scores are as follows:- precision recall f1-score support

0	0.90	0.83	0.86	63
1	0.90	0.94	0.92	108

accuracy 0.90 Data points:171

The decision boundary for linear kernel is a straight line while it is non-linear for RBF kernel. Linear SVM is producing almost similar results as the RBF kernel. It appears that we have a

linearly separable set of data points where both kernels perform similarly. In this case, linear SVM tries to finds a straight line which maximizes the margin to the closest point to it while the RBF kernel finds a on-linear boundary to do the same. The linear kernel is very fast to operate while the RBF kernel is much slower. There seems to be very small change in model results with normalization

When we make the use of varying C value (regularization parameter) and the Gamma function, RBF kernel outperforms the linear kernel by far in accuracy, precision and recall scores.

In conclusion, the RBF kernel is generally more flexible than the linear kernel as we can model a whole lot more functions with its function space. Also, a non-linear boundary seems to be more flexible

However, if you know (i.e. it is reasonable to assume) that the true function can be well approximated by a linear or polynomial function, then a linear or polynomial kernel will be much better and it will fit the data using a lot less computation then the RBF kernel.

# 4.0.7 7. Write a short summary of how C and gamma parameters play in SVM RBF kernel.

- Here when gamma is kept as 0.01 and C is increased from 0.1 to 250 progressively, the train score remains consistent at 0.9 while the test score is best at 0.92 for C=15 and then decreases to 0.9 at 250.
- When gamma is 1 and same exercise is repeated, the train score increases from 0.73 to 0.99
  while the test score first increases to 0.87 at C=1 and later decreases. This is typical case of
  overfitting.
- Further increasing gamma to 5 and changing C also shows an overfitting scenario with train score of 1 while test score reduces after C=1
- Lower C value seems to be generalizing better.

By definition, gamma value is a hyper parameter that controls the influence of features on the decision boundary. The gamma parameter is used for the Gaussian kernel function.

The higher the gamma is increased, the more influence of the features it seems to have on the decision boundary. The exercise shows that kernel functions are an efficient way to transform features into another space where the hyperplane in the new feature space does not have to be linear like the original feature space(eg: circular).

Here C is a regularization parameter used to set the tolerance of the model to allow the misclassification of data points. The C value controls the penalty of misclassification. A large value of C is results in a higher penalty for misclassification and a smaller value of C results in a smaller penalty of misclassification. We observe that for large values of C, the optimization is choosing a smaller-margin hyperplane. Conversely, a very small value of C causes the optimizer to look for a larger-margin separating hyperplane, although the hyperplane misclassifies more points. A smaller value of C causes high bias and underfitting, while a larger value of C tends to cause overfitting.

### 5 - END-