

JHU THESIS TEMPLATE TITLE

by

Yunfan Fan

**A dissertation submitted to Johns Hopkins University
in conformity with the requirements for the degree of
Doctor of Philosophy**

Baltimore, Maryland

August, 2022

© 2022 by Yunfan Fan

All rights reserved

Abstract

While next generation sequencing (NGS) has enabled massively parallel DNA sequencing for lower and lower cost, the development of third generation nanopore sequencing offers several key advantages over older sequencing methods. Nanopore sequencers are pocket-sized, making them orders of magnitude cheaper than the next most affordable alternative and the ideal option for wide deployment. They are capable of providing data in real-time, saving valuable hours before data analysis can begin. Additionally, they are able to sequence reads several thousand basepairs long, as opposed to the hundreds of basepairs NGS platforms are capable of, and they embed base modification data without the need for specific treatment beforehand. Given these advantages, in this thesis I examine the application of nanopore sequencing to the study of human pathogens.

First, we use nanopore sequencing to characterize anti-microbial resistance (AMR) in forty clinical isolates. We analyzed real-time data to quickly identify AMR genes, assembled genomes to identify chromosomal mutations, and used short-read sequencing data to correct the errors in the assemblies. With sequencing data, we found that time to effective antibiotic therapy could be shortened by as much as 20 hours compared to standard antimicrobial

susceptibility testing (AST).

Second, we leverage the long reads of nanopore sequencing to assemble the genome of a pathogenic yeast, *Candida nivariensis*. Previous efforts to assemble this yeast genome relied solely on NGS data, resulting in a highly fragmented genome. Using nanopore data, we achieve a much higher contiguity, capture previously missing portions of the genome. Furthermore, we demonstrate that our more contiguous genome can be used to better study long and repetitive genes, such as those involved in pathogenicity to humans.

Third, we use the base modification information embedded in nanopore sequencing data to call methylation in metagenomic assemblies. These calls enable the binning of metagenomic contigs according to methylation signature without the need to collect additional data. We demonstrate the efficacy of this method on a synthetic community sample, a simple two-bacteria system, and a clinical sample with matched proximity ligation binning data.

These applications of nanopore sequencing demonstrate its potential and its utility for all fronts of pathogen genomics research.

Thesis Committee

Primary Readers

Dr. Winston Timp (Primary Advisor)

Associate Professor

Department of Biomedical Engineering

Department of Molecular Biology and Genetics

Johns Hopkins University School of Medicine

Dr. Patricia Simner

Associate Professor

Department of Pathology

Johns Hopkins University School of Medicine

Dr. Steven Salzberg

Bloomberg Distinguished Professor

Department of Computer Science

Johns Hopkins University Whiting School of Engineering

Department of Biomedical Engineering

Johns Hopkins University School of Medicine

Department of Biostatistics

Johns Hopkins Bloomberg School of Public Health

Alternate Readers

First Lastname
Professor
Department of ChangeMe
Johns Hopkins Bloomberg School of Public Health

First Lastname
Assistant Professor
Department of ChangeMe
Johns Hopkins Bloomberg School of Public Health

Acknowledgments

I have tremendous gratitude
to those people,
numerous and uncountable,
who have contributed,
directly or in subtler ways,
to this work.

Some of them are listed here.

To my advisor, Winston: I remember writing to you as a sophomore in college many years ago, asking to do research in your brand new lab, which at the time was but a few months old. Back then, I hardly knew what research was and had no relevant skills or credentials to offer, only my time and my interest to learn. Over these years I've learned so much from you, and will always be grateful to you for building the place where I was able to grow.

To my thesis committee, Trish and Steven: Thank you for your patient guidance, encouragement, advice, and for helping me to keep an eye on the bigger picture.

To the @yfan arc of the #core channel - @isac, @brochael, @shao, @gilfunk,

@narley, @broham, @gmoney, @Brittany, @sherbear, @Sam Sholes, @Paul Hook, @amymeltzer39, and @alice: Thank you for those times when you patiently watched over me as I learned new lab techniques, answered my dumb questions, and generally saved me from my own buffoonery. Thank you even more for commiserating with me as we struggled together through the singular challenges of research, and celebrating the equally singular triumphs.

To the crew that moved me into Boonique, and Charles, and Charlotte, and Sven, and Manolo: Thanks for being there.

To mom and dad, and family further away: It was your labor that first cultivated my growth. Accomplishments in my name are as much yours as they are mine. I flourish for you.

Table of Contents

| | |
|--|------|
| Abstract | ii |
| Table of Contents | viii |
| List of Tables | xii |
| List of Figures | xii |
| 1 Introduction | 1 |
| 2 Applying Rapid Whole-Genome Sequencing To Predict Phenotypic Antimicrobial Susceptibility Testing Results among Carbapenem-Resistant <i>Klebsiella pneumoniae</i> Clinical Isolates | 3 |
| 2.1 Abstract | 4 |
| 2.2 Introduction | 5 |
| 2.3 Results | 8 |
| 2.3.1 Sequencing runs and genome assemblies | 8 |
| 2.3.2 Percent agreement of WGS in predicting AST results . | 10 |
| 2.3.3 Time to resistance determination | 13 |

| | | |
|----------|---|-----------|
| 2.4 | Discussion | 19 |
| 2.5 | Materials and Methods | 25 |
| 2.5.1 | Study cohort | 25 |
| 2.5.2 | Species and antimicrobial susceptibility testing | 26 |
| 2.5.3 | Whole-genome sequencing and antimicrobial resistance gene detection | 26 |
| 2.5.4 | Predicted correlations between WGS and AST results . | 29 |
| 2.5.5 | Clinical data | 31 |
| 2.5.6 | Data Availability | 32 |
| 3 | Genome assembly of <i>Candida nivariensis</i> | 40 |
| 3.1 | Abstract | 40 |
| 3.2 | Introduction | 41 |
| 3.3 | Results | 44 |
| 3.3.1 | Genome statistics | 44 |
| 3.3.2 | Genome completeness | 47 |
| 3.3.3 | Repetitive genes | 49 |
| 3.4 | Discussion | 52 |
| 3.5 | Methods | 56 |
| 3.5.1 | Media and growth conditions | 56 |
| 3.5.2 | DNA isolation and sequencing | 56 |
| 3.5.3 | RNA isolation and sequencing | 56 |
| 3.5.4 | Genome assembly | 57 |

| | | |
|-------------------------|----------------------------------|-----------|
| 3.5.5 | Annotation | 58 |
| 3.5.6 | Data Availability | 61 |
| 4 | Discussion and Conclusion | 67 |
| Curriculum Vitae | | 68 |

List of Tables

| | | |
|-----|--|----|
| 2.1 | Nanopore sequencing data | 11 |
| 2.2 | Illumina sequencing data | 12 |
| 2.3 | Raw assembly statistics | 14 |
| 2.4 | Polished assembly statistics | 15 |
| 2.5 | Short-read correction assembly statistics | 16 |
| 2.6 | Rapid assembly statistics | 17 |
| 2.7 | WGS vs phenotypic AST | 19 |
| 3.1 | Assembly Statistics | 44 |
| 3.2 | Contig and telomere lengths | 46 |
| 3.3 | Gene and exon counts of JHU_Cniv_v1 and related yeasts . . | 55 |
| 3.4 | Contributions from each annotation software | 61 |

List of Figures

| | | |
|-----|--|----|
| 2.1 | Study overview | 7 |
| 2.2 | Resistance mechanisms | 8 |
| 2.3 | Sequencing analysis pipeline | 9 |
| 2.4 | Correction examples | 10 |
| 2.5 | Assembly graphs | 13 |
| 2.6 | Phylogenetic tree of <i>K. pneumoniae</i> genomes | 18 |
| 2.7 | Estimated timelines of resistance detection | 20 |
| 3.1 | Characteristics of the JHU_Cniv_v1 assembly | 45 |
| 3.2 | Telomere positions reference based scaffolds | 48 |
| 3.3 | Whole genome alignments between related yeasts | 49 |
| 3.4 | Whole genome alignment of JHU_Cniv_v1 and the <i>C. nivariensis</i> reference genome | 50 |
| 3.5 | Completeness of the JHU_Cniv_v1 assembly | 51 |
| 3.6 | GPI genes | 53 |
| 3.7 | Alignment of JHU_Cniv_v1 mitochondrial contig and the <i>C. nivariensis</i> mitochondrial genome | 59 |

| | |
|-----------------------------------|----|
| 3.8 Coverage histograms | 60 |
|-----------------------------------|----|

Chapter 1

Introduction

Introduce your thesis (Aardvark, 1900)

References

Aardvark, A. A. (1900). "Article title". In: *Journal One* 1.1, pp. 1–8.

Chapter 2

Applying Rapid Whole-Genome Sequencing To Predict Phenotypic Antimicrobial Susceptibility Testing Results among Carbapenem-Resistant *Klebsiella pneumoniae* Clinical Isolates

Portions of this chapter originally appeared in:

Tamma PD, Fan Y, Bergman Y, Pertea G, Kazmi AQ, Lewis S, et al. Applying Rapid Whole-Genome Sequencing To Predict Phenotypic Antimicrobial Susceptibility Testing Results among Carbapenem-Resistant *Klebsiella pneumoniae* Clinical Isolates 2019;63. <https://doi.org/10.1128/AAC.01923-18>

2.1 Abstract

Standard antimicrobial susceptibility testing (AST) approaches lead to delays in the selection of optimal antimicrobial therapy. Here, we sought to determine the accuracy of antimicrobial resistance (AMR) determinants identified by Nanopore whole-genome sequencing in predicting AST results. Using a cohort of 40 clinical isolates (21 carbapenemase-producing carbapenem-resistant *Klebsiella pneumoniae*, 10 non-carbapenemase-producing carbapenem-resistant *K. pneumoniae*, and 9 carbapenem-susceptible *K. pneumoniae* isolates), three separate sequencing and analysis pipelines were performed, as follows: (i) a real-time Nanopore analysis approach identifying acquired AMR genes, (ii) an assembly-based Nanopore approach identifying acquired AMR genes and chromosomal mutations, and (iii) an approach using short-read correction of Nanopore assemblies. The short-read correction of Nanopore assemblies served as the reference standard to determine the accuracy of Nanopore sequencing results. With the real-time analysis approach, full annotation of acquired AMR genes occurred within 8h from subcultured isolates. Assemblies sufficient for full resistance gene and single-nucleotide polymorphism annotation were available within 14h from subcultured isolates. The overall agreement of genotypic results and anticipated AST results for the 40 *K. pneumoniae* isolates was 77% (range, 30% to 100%) and 92% (range, 80% to 100%) for the real-time approach and the assembly approach, respectively. Evaluating the patients contributing the 40 isolates, the real-time approach and assembly approach could shorten the median time to effective antibiotic therapy by 20h and 26h, respectively, compared to standard AST. Nanopore

sequencing offers a rapid approach to both accurately identify resistance mechanisms and to predict AST results for *K. pneumoniae* isolates. Bioinformatics improvements enabling real-time alignment, coupled with rapid extraction and library preparation, will further enhance the accuracy and workflow of the Nanopore real-time approach.

2.2 Introduction

Whole-genome sequencing (WGS) has enabled notable advancements to the field of infectious diseases, such as an improved understanding of transmission dynamics and outbreak analysis (Didelot et al., 2012). An exciting possibility from this technology is the ability to predict antimicrobial susceptibility testing (AST) results based on the identification of acquired resistance genes and/or chromosomal mutations (Shelburne et al., 2017).

Currently, there are several shortcomings with standard approaches to AST, particularly as they relate to multidrug-resistant Gram-negative (MDRGN) organisms. First, AST results are reported approximately 48 to 72h after the time of culture collection, potentially leading to delays in appropriate empirical antibiotic therapy (Caliendo et al., 2013). Second, automated AST panels are limited in the number of antibiotic agents included. For agents that frequently need to be considered for highly drug-resistant pathogens (e.g., colistin, tigecycline, ceftazidime-avibactam, etc.) and newer agents in later phases of development that are unlikely to be routinely included in AST panels for the foreseeable future, there are additional delays in AST determination. As it is generally not evident at the time antibiotics are initiated that a patient will be

infected with an MDRGN organism, susceptibility testing for these last-resort agents occurs subsequent to, and not simultaneously with, automated AST testing. Third, standard AST reporting does not include identification of resistance mechanisms (e.g., carbapenemases, extended-spectrum β -lactamases [ESBLs], etc.), which can be important for guiding antibiotic treatment decisions, as *in vitro* activity does not always translate to *in vivo* activity (Tamma et al., 2017). WGS can potentially alleviate many of these concerns by offering the potential to predict AST results by identifying the presence or absence of resistance genes, as well as mutations in relevant genes, from which clinicians can infer the activity of antibiotic agents. Furthermore, once sequencing data has been collected, it can be used to place bacterial isolates in the context of previously acquired data, which can be useful for genomic epidemiological studies and surveillance.

Oxford Nanopore Technologies (Oxford, England) has created a Nanopore-based DNA sequencer that sequences DNA by monitoring the electrical current as DNA passes through a protein pore. Unlike second-generation sequencing methods, which require the entire run to be completed before data can be analyzed, Nanopore sequencing streams long-read data in real time (Cao et al., 2016), allowing for resistance gene identification within as few as 15min of beginning the sequencing run (Schmidt et al., 2017; Lemon et al., 2017; Judge et al., 2015). As the duration of time needed for DNA extraction and library preparation techniques continues to be reduced, the total time to identification of resistance determinants from organism growth could conceivably be accomplished within a single laboratory shift. To further advance

this science, we evaluated the correlation of resistance determinants identified through Nanopore sequencing with AST results in a cohort of 40 clinical *Klebsiella pneumoniae* complex isolates (Figure 2.1). This also enabled us to quantify the potential decrease in time to effective antibiotic therapy for the patients contributing isolates with the use of WGS using real-time analysis or rapid assembly approaches compared to that with traditional AST methods.

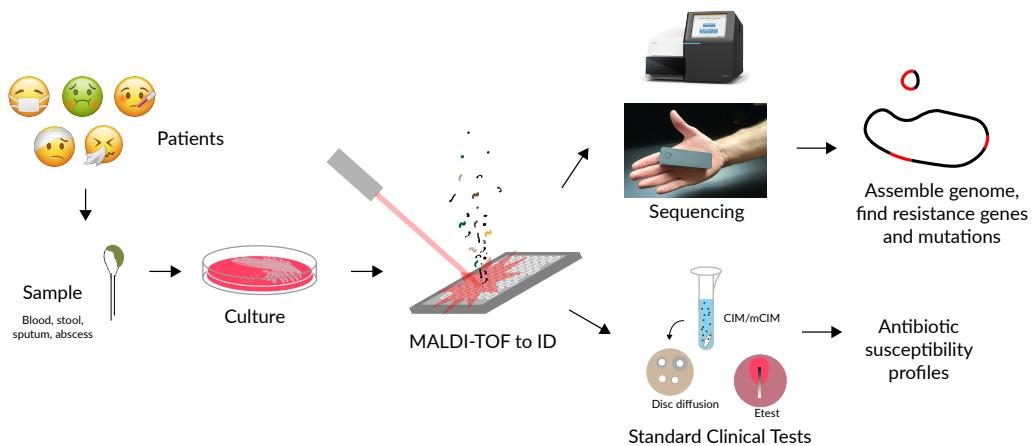


Figure 2.1: Study overview. Samples were collected from patients, and bacterial isolates were cultured and identified. Isolates were then sequenced in parallel with undergoing phenotypic AST.

As AMR can result from plasmid-mediated gene acquisition or point mutations to drug targets (Figure 2.2), detecting both would be vital to predicting resistance phenotypes from genotypic data. While real-time analysis of nanopore data can enable the detection of whole genes within minutes, individual reads remain too error prone to detect small point mutations. In order to detect these, we used consensus sequences such as those generated by genome assembly must be used (Figure 2.3). While this vastly improves

sequence accuracy, most systematic errors around homopolymers and methylation motifs have been found to persist (**Figure 2.4**). To address this, we further corrected the assemblies using nanopolish, which leverages the raw electrical signal produced by the sequencer to improve consensus accuracy.

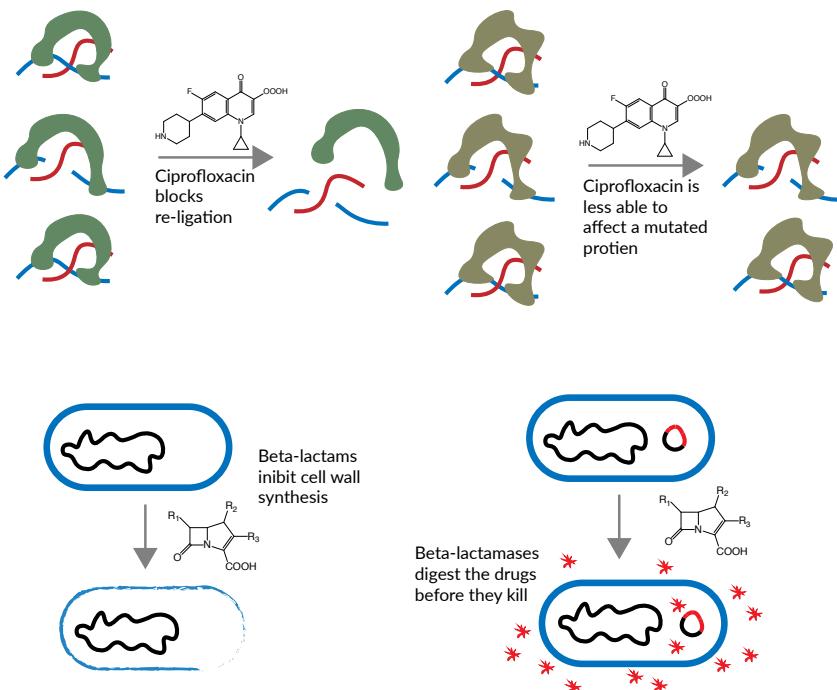


Figure 2.2: Resistance mechanisms. Drug resistances can arise through acquisition of a protective gene, such as a β -lactamase gene. Mutations to a drug target such as the *gyrA* topoisomerase gene can also reduce the effectiveness of antimicrobial agents.

2.3 Results

2.3.1 Sequencing runs and genome assemblies

The nanopore sequencing runs yielded a mean of 6.4Gbp of sequencing data (**Table 2.1**), with all but one yielding at least 1 Gbp. As the *Klebsiella pneumoniae*

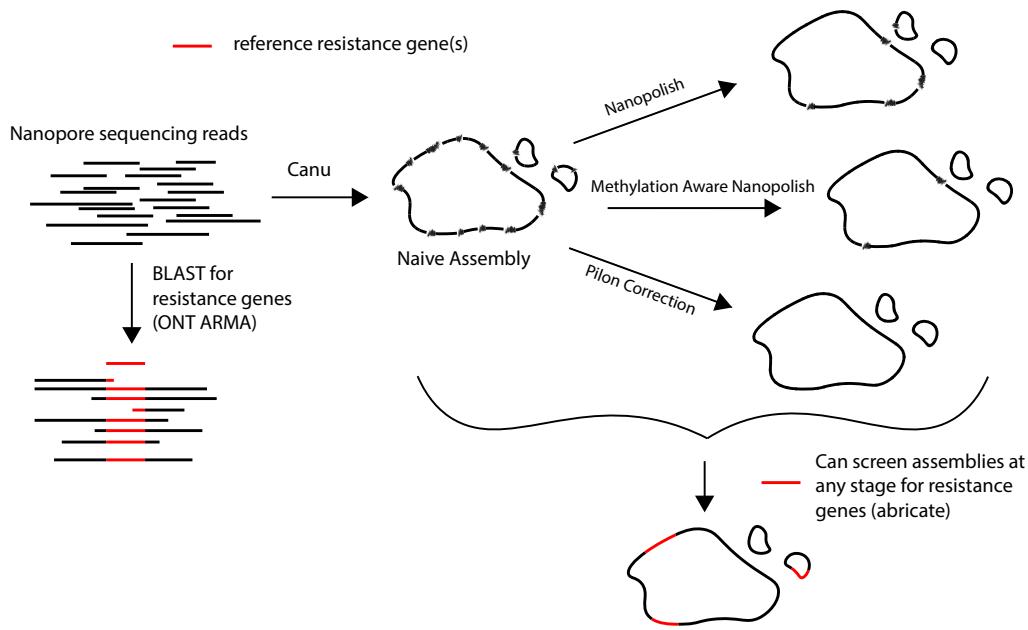


Figure 2.3: Sequencing analysis pipeline. Sequencing reads can be searched in real-time for resistance genes. To detect and assess point mutations, genomes are assembled, and then can be polished using native electrical data (Nanopolish), or highly accurate short-read data (Pilon).

genome is only 5Mbp, this mean yield accounts for a greater than 1000X sequence coverage per isolate. Illumina sequencing runs yielded an average of 201Mbp per run ([Table 2.2](#)), translating to an average of 40X coverage. Using the regular assembly pipeline, 38 of the 40 genome assemblies appear to contain a full length bacterial chromosome at least 5Mbp long ([Figure 2.5](#), [Table 2.3](#)). Further polishing steps both with and without Illumina data do not significantly affect the contig lengths in the assemblies ([Tables 2.4, 2.5](#)). With the rapid analysis pipeline using downsampled data, 35 of the 40 assemblies contain a chromosome length contig ([Table 2.6](#)). Using the illumina-corrected assemblies, we were able to place our isolates in the context of other previously published, chromosome-level assemblies available in GenBank ([Figure 2.6](#)).

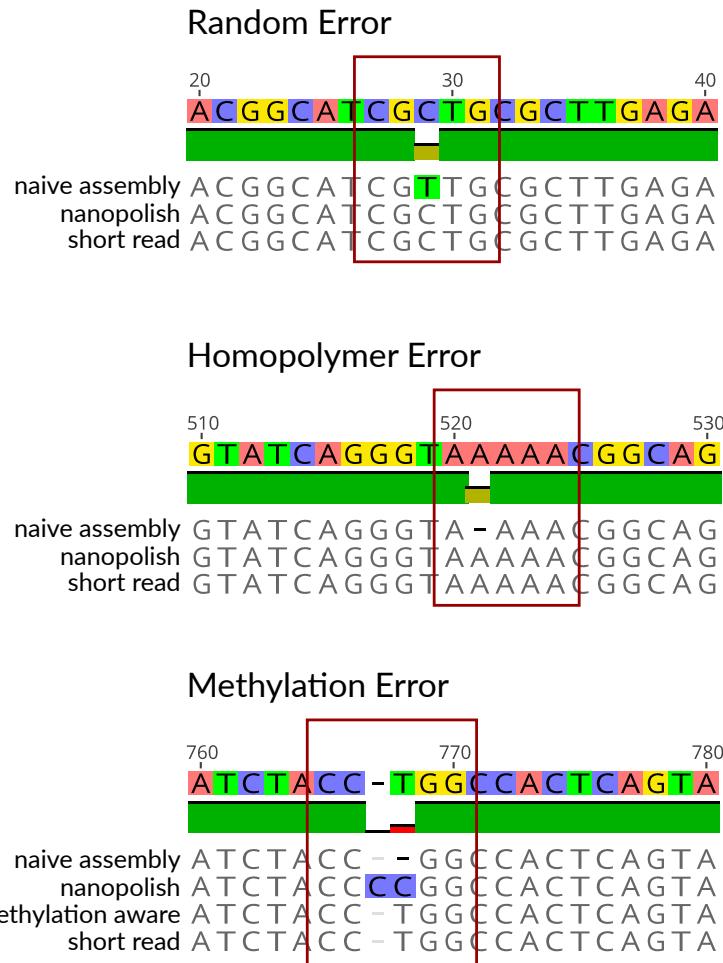


Figure 2.4: Correction examples. Nanopolish corrects most random errors which persist after genome assembly to agree with short-read correction. It also corrects homopolymer errors, the most prominent systematic error on the ONT platform. Methylation-associated errors, such as those in the context of the dcm methyltransferase (CCWGG), are more effectively corrected using the methylation-aware mode.

2.3.2 Percent agreement of WGS in predicting AST results

The overall agreement of genotypic results and anticipated AST results for the *K. pneumoniae* isolates was 77% (range, 30% to 100%), 92% (range, 80% to 100%), and 92% (range, 80% to 100%) for the Nanopore real-time approach,

| Isolate Number | yield (Gbp) | #of reads | mean length | median length | mean base quality |
|----------------|-------------|-----------|-------------|---------------|-------------------|
| 1 | 8.294 | 1257157 | 6597.1 | 7375 | 7.6 |
| 2 | 6.116 | 1048031 | 5835.72 | 7480 | 6.84 |
| 3 | 0.479 | 77390 | 6187.79 | 7426 | 7.84 |
| 4 | 8.239 | 1548663 | 5319.84 | 6086 | 7.35 |
| 5 | 8.508 | 1217218 | 6989.66 | 7504 | 7.6 |
| 6 | 3.259 | 505396 | 6448.3 | 7754 | 6.72 |
| 7 | 0.164 | 26932 | 6082.12 | 7138 | 7.77 |
| 8 | 3.975 | 509581 | 7799.9 | 8504 | 7.67 |
| 9 | 8.082 | 1035735 | 7803.25 | 7382 | 8.27 |
| 10 | 7.724 | 1009608 | 7650.62 | 8334 | 8.05 |
| 11 | 8.798 | 1347446 | 6529.11 | 8031 | 6.92 |
| 12 | 13.805 | 1674043 | 8246.34 | 9293 | 8.49 |
| 13 | 1.165 | 140662 | 8278.98 | 10344 | 7.12 |
| 14 | 5.92 | 830408 | 7128.99 | 7090 | 8.44 |
| 15 | 4.197 | 621459 | 6753.05 | 7769 | 8.41 |
| 16 | 9.192 | 1246740 | 7373.18 | 7874 | 7.84 |
| 17 | 10.267 | 1556852 | 6594.51 | 7950 | 7.04 |
| 18 | 9.076 | 1134570 | 7999.15 | 9041 | 7.64 |
| 19 | 6.208 | 1047150 | 5928.37 | 7812 | 6.87 |
| 20 | 7.096 | 920706 | 7706.61 | 8725 | 7.65 |
| 21 | 11.295 | 1713000 | 6593.69 | 7213 | 7.34 |
| 22 | 6.363 | 1037059 | 6135.85 | 7462 | 7.22 |
| 23 | 5.434 | 750022 | 7244.87 | 8915 | 6.94 |
| 24 | 3.927 | 1155910 | 3397.65 | 1363 | 8.35 |
| 25 | 7.628 | 1132613 | 6735.02 | 7662 | 7.49 |
| 26 | 8.85 | 968657 | 9136.41 | 10258 | 8.05 |
| 27 | 11.858 | 1672521 | 7089.6 | 8479 | 7.92 |
| 28 | 4.087 | 922094 | 4431.87 | 4102 | 7.27 |
| 29 | 5.349 | 723311 | 7395.12 | 7971 | 8.02 |
| 30 | 8.583 | 1128360 | 7606.85 | 8350 | 7.84 |
| 31 | 5.117 | 650864 | 7861.43 | 9641 | 7 |
| 32 | 4.478 | 632788 | 7077.14 | 7927 | 7.25 |
| 33 | 5.923 | 813933 | 7277.19 | 9064 | 6.88 |
| 34 | 7.87 | 1137405 | 6919.49 | 7210 | 7.58 |
| 35 | 3.907 | 556051 | 7026.83 | 8079 | 6.9 |
| 36 | 4.853 | 831661 | 5835.08 | 6864 | 6.39 |
| 37 | 3.678 | 509408 | 7219.5 | 8747 | 6.77 |
| 38 | 3.848 | 477177 | 8064.5 | 10565 | 6.66 |
| 39 | 5.628 | 944401 | 5959.33 | 6340 | 6.72 |
| 40 | 6.137 | 977279 | 6279.95 | 6890 | 7.41 |

Table 2.1: Nanopore sequencing data. Summary of nanopore sequencing data for each isolate

the Nanopore sequencing assembly approach, and the Pilon-corrected Illumina approach, respectively ([Table 2.7](#)). The Nanopore real-time approach, compared to the assembly-based approach, had an inability to identify allelic variants (i.e., *bla*_{KPC} was identified but *bla*_{KPC-3} could not be specifically identified). Because all *K. pneumoniae* isolates are known to have chromosomally integrated non-ESBL β-lactamase genes (e.g., *bla*_{SHV-1}, *bla*_{SHV-11}), when

| Isolate Number | Yield (bp) | # of reads | mean length | median length | mean base quality |
|----------------|------------|------------|-------------|---------------|-------------------|
| 1 | 73189038 | 704430 | 103.9 | 76 | 35.05 |
| 2 | 75035866 | 996368 | 75.31 | 76 | 36.52 |
| 3 | 97466000 | 876404 | 111.21 | 76 | 35 |
| 4 | 87314517 | 799918 | 109.15 | 76 | 35.38 |
| 5 | 191969186 | 1758748 | 109.15 | 76 | 34.73 |
| 6 | 167757889 | 1528680 | 109.74 | 76 | 33.5 |
| 7 | 142025604 | 1258692 | 112.84 | 85 | 34.51 |
| 8 | 68405758 | 709964 | 96.35 | 76 | 35.74 |
| 9 | 51272506 | 448484 | 114.32 | 143 | 34.55 |
| 10 | 147679034 | 1395172 | 105.85 | 76 | 35.56 |
| 11 | 271473852 | 2294022 | 118.34 | 151 | 33.39 |
| 12 | 121803348 | 1092120 | 111.53 | 76 | 34.52 |
| 13 | 91725973 | 785506 | 116.77 | 150 | 33.94 |
| 14 | 116921911 | 1038468 | 112.59 | 76 | 34.98 |
| 15 | 96724518 | 888242 | 108.89 | 76 | 34.42 |
| 16 | 66088407 | 668446 | 98.87 | 76 | 34.83 |
| 17 | 88485971 | 775996 | 114.03 | 142 | 35.33 |
| 18 | 82855225 | 857310 | 96.65 | 76 | 35.68 |
| 19 | 102426035 | 1091590 | 93.83 | 76 | 35.78 |
| 20 | 181283343 | 1399544 | 129.53 | 151 | 35.26 |
| 21 | 168435381 | 1525782 | 110.39 | 76 | 33.66 |
| 22 | 72585638 | 768092 | 94.5 | 76 | 35.09 |
| 23 | 139415763 | 1435732 | 97.1 | 76 | 35.48 |
| 24 | 167010694 | 1408158 | 118.6 | 151 | 35.44 |
| 25 | 145099819 | 1261896 | 114.99 | 149 | 33.96 |
| 26 | 1162905519 | 4733052 | 245.7 | 301 | 30.53 |
| 27 | 1147954539 | 4477498 | 256.38 | 301 | 30 |
| 28 | 1326820865 | 7121026 | 186.32 | 168 | 31.62 |
| 29 | 121145118 | 1270030 | 95.39 | 76 | 35.21 |
| 30 | 89553255 | 743270 | 120.49 | 151 | 35.04 |
| 31 | 138841750 | 1116386 | 124.37 | 151 | 34.02 |
| 32 | 235049943 | 2001742 | 117.42 | 150 | 35.37 |
| 33 | 119647068 | 1104940 | 108.28 | 76 | 35.55 |
| 34 | 75318316 | 603428 | 124.82 | 151 | 35.34 |
| 35 | 139314063 | 1350424 | 103.16 | 76 | 34.19 |
| 36 | 53435264 | 496334 | 107.66 | 76 | 34.95 |
| 37 | 94411304 | 923498 | 102.23 | 76 | 34.61 |
| 38 | 141578108 | 1156864 | 122.38 | 151 | 35.53 |
| 39 | 156093332 | 1627956 | 95.88 | 76 | 34.72 |
| 40 | 53897028 | 429144 | 125.59 | 151 | 33.98 |

Table 2.2: Illumina sequencing data. Summary of Illumina sequencing data for each isolate

bla_{SHV} was identified, the assumption was that it was a non-ESBL *bla_{SHV}*. This led to reductions in the accuracy of predictions for several β-lactams, including 5%, 2%, and 3% reductions in accurate predictions for piperacillin-tazobactam, ceftriaxone, and cefepime, respectively. Also, since the number of aminoglycoside-modifying enzymes were important to predict aminoglycoside resistance, and the alleles could not be distinguished, this led to

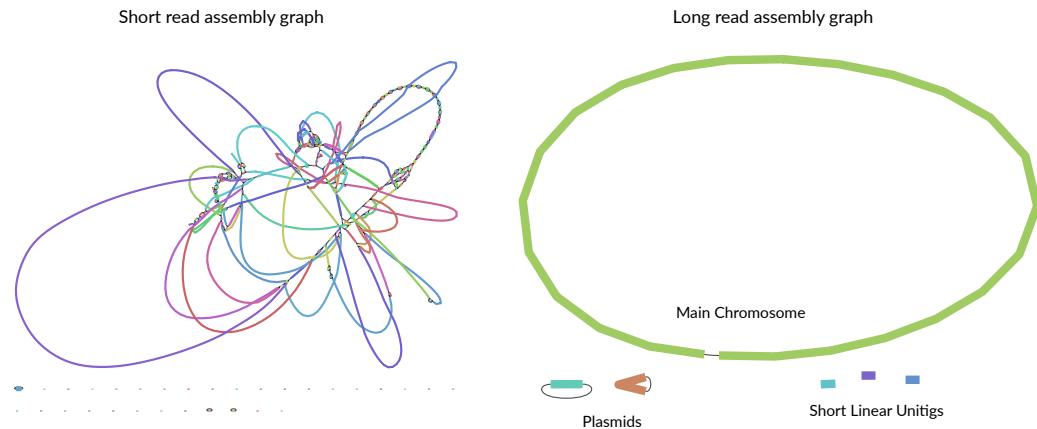


Figure 2.5: Assembly graphs. Representative assembly graphs when using only short reads and only long reads. Short reads are difficult to use for resolving repetitive regions, resulting in bubbles in the graph, whereas assemblies from long read data typically result in a full length bacterial chromosome.

decreases in accurate predictions for amikacin (reduced by 7%) and gentamicin (reduced by 48%). Additionally, the real-time approach was unable to identify chromosomal mutations, leading to decreases in accurate predictions for ciprofloxacin/levofloxacin (reduced by 68%) and colistin (reduced by 5%).

2.3.3 Time to resistance determination

With a Nanopore real-time analysis approach, acquired resistance genes were identified within 8h from subcultured isolates. Assemblies sufficient for full resistance gene and single-nucleotide polymorphism annotation using Nanopore sequences (Nanopore assembly approach) were available within 14h from subcultured isolates. **Figure 2.7** compares the Nanopore real-time analysis and assembly approaches with standard-of-care methods. **Table 2.7** summarizes the agreements between antibiotic resistance determinants identified using the real-time approach, assembly-based approach, or hybrid

| Isolate Number | # of contigs | n50 (bp) | longest contig (bp) | shortest contig (bp) |
|----------------|--------------|----------|---------------------|----------------------|
| 1 | 4 | 5345918 | 5345918 | 50811 |
| 2 | 6 | 5333434 | 5333434 | 34287 |
| 3 | 4 | 5273185 | 5273185 | 8815 |
| 4 | 3 | 3985336 | 3985336 | 38998 |
| 5 | 2 | 5305648 | 5305648 | 143607 |
| 6 | 4 | 5472794 | 5472794 | 56835 |
| 7 | 6 | 5445103 | 5445103 | 18478 |
| 8 | 4 | 5257134 | 5257134 | 29283 |
| 9 | 5 | 5518371 | 5518371 | 37966 |
| 10 | 2 | 5198744 | 5198744 | 220330 |
| 11 | 2 | 5201156 | 5201156 | 202178 |
| 12 | 10 | 3270806 | 3270806 | 22754 |
| 13 | 5 | 5319848 | 5319848 | 30299 |
| 14 | 6 | 5357061 | 5357061 | 46213 |
| 15 | 3 | 5360808 | 5360808 | 73121 |
| 16 | 4 | 5486236 | 5486236 | 55582 |
| 17 | 4 | 5506760 | 5506760 | 51642 |
| 18 | 5 | 5415662 | 5415662 | 33474 |
| 19 | 6 | 5413946 | 5413946 | 23113 |
| 20 | 8 | 5297228 | 5297228 | 31483 |
| 21 | 8 | 5283730 | 5283730 | 33034 |
| 22 | 6 | 5334448 | 5334448 | 23396 |
| 23 | 8 | 5378379 | 5378379 | 41166 |
| 24 | 5 | 5338906 | 5338906 | 20744 |
| 25 | 1 | 5189390 | 5189390 | 5189390 |
| 26 | 3 | 5368726 | 5368726 | 99287 |
| 27 | 4 | 5351289 | 5351289 | 68537 |
| 28 | 3 | 5450019 | 5450019 | 212463 |
| 29 | 6 | 5406830 | 5406830 | 30987 |
| 30 | 4 | 5448768 | 5448768 | 68173 |
| 31 | 5 | 5215120 | 5215120 | 33818 |
| 32 | 3 | 5194647 | 5194647 | 134082 |
| 33 | 6 | 5257573 | 5257573 | 37028 |
| 34 | 4 | 5224706 | 5224706 | 37931 |
| 35 | 4 | 5347128 | 5347128 | 54724 |
| 36 | 4 | 5416383 | 5416383 | 35362 |
| 37 | 5 | 5392598 | 5392598 | 36539 |
| 38 | 3 | 5087129 | 5087129 | 155866 |
| 39 | 2 | 5260840 | 5260840 | 75691 |
| 40 | 3 | 5207945 | 5207945 | 94313 |

Table 2.3: Raw assembly statistics. Summary statistics for genome assemblies of all isolates with no further correction

Nanopore-Illumina assemblies and AST predictions.

There were 28 patients in the cohort infected with carbapenem-resistant *K. pneumoniae* strains. Overall, 22 (79%) received empirical antibiotic therapy that was not active against their infecting isolates. Results from Nanopore

| Isolate Number | # of contigs | n50 (bp) | longest contig (bp) | shortest contig (bp) |
|----------------|--------------|----------|---------------------|----------------------|
| 1 | 4 | 5387670 | 5387670 | 51205 |
| 2 | 6 | 5379385 | 5379385 | 34533 |
| 3 | 4 | 5312070 | 5312070 | 8820 |
| 4 | 3 | 4016871 | 4016871 | 39226 |
| 5 | 2 | 5351610 | 5351610 | 144501 |
| 6 | 4 | 5517181 | 5517181 | 57263 |
| 7 | 6 | 5487393 | 5487393 | 18478 |
| 8 | 4 | 5301136 | 5301136 | 29284 |
| 9 | 5 | 5556690 | 5556690 | 37975 |
| 10 | 2 | 5241082 | 5241082 | 221896 |
| 11 | 2 | 5251331 | 5251331 | 203995 |
| 12 | 10 | 3292545 | 3292545 | 22770 |
| 13 | 5 | 5358195 | 5358195 | 30497 |
| 14 | 6 | 5398928 | 5398928 | 46689 |
| 15 | 3 | 5402692 | 5402692 | 73702 |
| 16 | 4 | 5528454 | 5528454 | 56080 |
| 17 | 4 | 5559021 | 5559021 | 52243 |
| 18 | 5 | 5459029 | 5459029 | 33474 |
| 19 | 6 | 5454606 | 5454606 | 23120 |
| 20 | 8 | 5341977 | 5341977 | 31568 |
| 21 | 8 | 5333679 | 5333679 | 33044 |
| 22 | 6 | 5378068 | 5378068 | 23401 |
| 23 | 8 | 5424819 | 5424819 | 42158 |
| 24 | 5 | 5378604 | 5378604 | 20751 |
| 25 | 1 | 5231305 | 5231305 | 5231305 |
| 26 | 3 | 5415631 | 5415631 | 99834 |
| 27 | 4 | 5398585 | 5398585 | 69775 |
| 28 | 3 | 5503468 | 5503468 | 214273 |
| 29 | 6 | 5449253 | 5449253 | 30988 |
| 30 | 4 | 5489680 | 5489680 | 68643 |
| 31 | 5 | 5258349 | 5258349 | 34026 |
| 32 | 3 | 5241383 | 5241383 | 135089 |
| 33 | 6 | 5304456 | 5304456 | 37164 |
| 34 | 4 | 5264889 | 5264889 | 38099 |
| 35 | 4 | 5387397 | 5387397 | 54988 |
| 36 | 4 | 5455018 | 5455018 | 35366 |
| 37 | 5 | 5437748 | 5437748 | 36552 |
| 38 | 3 | 5124109 | 5124109 | 156833 |
| 39 | 2 | 5299802 | 5299802 | 76185 |
| 40 | 3 | 5249209 | 5249209 | 95110 |

Table 2.4: Polished assembly statistics. Summary statistics for genome assemblies of all isolates after correction with nanopolish

sequencing with assembly approach had the potential to place 20 (91%) of these patients on effective therapy sooner than did standard AST methods.

Overall, the median time to effective antibiotic therapy for the 28 patients infected with carbapenem-resistant *K. pneumoniae* was 61 h (interquartile range [IQR], 43 to 82 h). Of the antibiotics evaluated, ceftazidime-avibactam,

| Isolate Number | # of contigs | n50 (bp) | longest contig (bp) | shortest contig (bp) |
|----------------|--------------|----------|---------------------|----------------------|
| 1 | 4 | 5381487 | 5381487 | 51167 |
| 2 | 6 | 5364382 | 5364382 | 34310 |
| 3 | 4 | 5311108 | 5311108 | 8844 |
| 4 | 3 | 4014762 | 4014762 | 39294 |
| 5 | 2 | 5356372 | 5356372 | 144603 |
| 6 | 4 | 5515766 | 5515766 | 57137 |
| 7 | 6 | 5503149 | 5503149 | 18504 |
| 8 | 4 | 5293162 | 5293162 | 29283 |
| 9 | 5 | 5535411 | 5535411 | 37975 |
| 10 | 2 | 5241999 | 5241999 | 221833 |
| 11 | 2 | 5252483 | 5252483 | 203962 |
| 12 | 10 | 3294406 | 3294406 | 22763 |
| 13 | 5 | 5359242 | 5359242 | 30542 |
| 14 | 6 | 5397042 | 5397042 | 46264 |
| 15 | 3 | 5402918 | 5402918 | 73707 |
| 16 | 4 | 5519685 | 5519685 | 55829 |
| 17 | 4 | 5553839 | 5553839 | 52295 |
| 18 | 5 | 5453909 | 5453909 | 33605 |
| 19 | 6 | 5451575 | 5451575 | 23120 |
| 20 | 8 | 5347923 | 5347923 | 31560 |
| 21 | 8 | 5327860 | 5327860 | 33040 |
| 22 | 6 | 5372314 | 5372314 | 23510 |
| 23 | 8 | 5427621 | 5427621 | 41250 |
| 24 | 5 | 5378838 | 5378838 | 20779 |
| 25 | 1 | 5232737 | 5232737 | 5232737 |
| 26 | 3 | 5422958 | 5422958 | 100080 |
| 27 | 4 | 5405798 | 5405798 | 69800 |
| 28 | 3 | 5507869 | 5507869 | 214529 |
| 29 | 6 | 5448433 | 5448433 | 31138 |
| 30 | 4 | 5485433 | 5485433 | 68562 |
| 31 | 5 | 5260434 | 5260434 | 34043 |
| 32 | 3 | 5246142 | 5246142 | 134808 |
| 33 | 6 | 5307245 | 5307245 | 37210 |
| 34 | 4 | 5268031 | 5268031 | 37940 |
| 35 | 4 | 5387449 | 5387449 | 54983 |
| 36 | 4 | 5437773 | 5437773 | 35369 |
| 37 | 5 | 5437459 | 5437459 | 36689 |
| 38 | 3 | 5124074 | 5124074 | 156818 |
| 39 | 2 | 5299231 | 5299231 | 76148 |
| 40 | 3 | 5240201 | 5240201 | 94835 |

Table 2.5: Short-read correction assembly statistics. Summary statistics for genome assemblies of all isolates after correction with short-read data

extended-infusion meropenem, aminoglycosides, fluoroquinolones, polymixins, and tigecycline are generally considered reasonable treatment options for infections caused by carbapenem-resistant *K. pneumoniae* isolates, if active in vitro. As results from the real-time analysis approach were generally available

| Isolate Number | # of contigs | n50 (bp) | longest contig (bp) | shortest contig (bp) |
|----------------|--------------|----------|---------------------|----------------------|
| 1 | 6 | 3279607 | 3279607 | 39430 |
| 2 | 10 | 5316522 | 5316522 | 14713 |
| 3 | 5 | 4527157 | 4527157 | 16841 |
| 4 | 3 | 5368538 | 5368538 | 17428 |
| 5 | 2 | 5293767 | 5293767 | 129043 |
| 6 | 5 | 5455776 | 5455776 | 14379 |
| 7 | 8 | 5431055 | 5431055 | 17297 |
| 8 | 4 | 5237837 | 5237837 | 27046 |
| 9 | 6 | 5484734 | 5484734 | 34263 |
| 10 | 2 | 5172417 | 5172417 | 209465 |
| 11 | 2 | 5192109 | 5192109 | 198529 |
| 12 | 6 | 5350919 | 5350919 | 26469 |
| 13 | 5 | 5297830 | 5297830 | 33964 |
| 14 | 8 | 5339196 | 5339196 | 21363 |
| 15 | 5 | 5331989 | 5331989 | 9743 |
| 16 | 4 | 5477999 | 5477999 | 36255 |
| 17 | 12 | 2025764 | 2123455 | 2453 |
| 18 | 4 | 5411221 | 5411221 | 51750 |
| 19 | 6 | 5394690 | 5394690 | 16798 |
| 20 | 7 | 5284029 | 5284029 | 23318 |
| 21 | 7 | 5500581 | 5500581 | 29080 |
| 22 | 4 | 5311389 | 5311389 | 24974 |
| 23 | 7 | 5369624 | 5369624 | 30029 |
| 25 | 1 | 5176691 | 5176691 | 5176691 |
| 26 | 3 | 5322531 | 5322531 | 41651 |
| 28 | 5 | 5442403 | 5442403 | 4430 |
| 29 | 8 | 4425498 | 4425498 | 29802 |
| 30 | 5 | 5440840 | 5440840 | 13982 |
| 31 | 5 | 5192492 | 5192492 | 33746 |
| 32 | 4 | 5162118 | 5162118 | 54490 |
| 33 | 4 | 5236343 | 5236343 | 49389 |
| 34 | 2 | 5210236 | 5210236 | 233404 |
| 35 | 3 | 5325108 | 5325108 | 144054 |
| 36 | 4 | 5380945 | 5380945 | 34240 |
| 37 | 5 | 5373953 | 5373953 | 23643 |
| 38 | 3 | 5068471 | 5068471 | 143600 |
| 39 | 2 | 5239676 | 5239676 | 97726 |
| 40 | 3 | 4418684 | 4418684 | 304407 |

Table 2.6: Rapid assembly statistics. Summary statistics for genome assemblies of all isolates using downsampled data

within 8 h from subcultured isolates, Nanopore real-time analysis could have led to an average time to effective therapy of 41 h (IQR, 33 to 44). Using the Nanopore assembly approach, time to effective therapy could have been reduced to 35 h (IQR, 32 to 42). The time to effective therapy was reduced with the assembly approach compared to that with the real-time approach

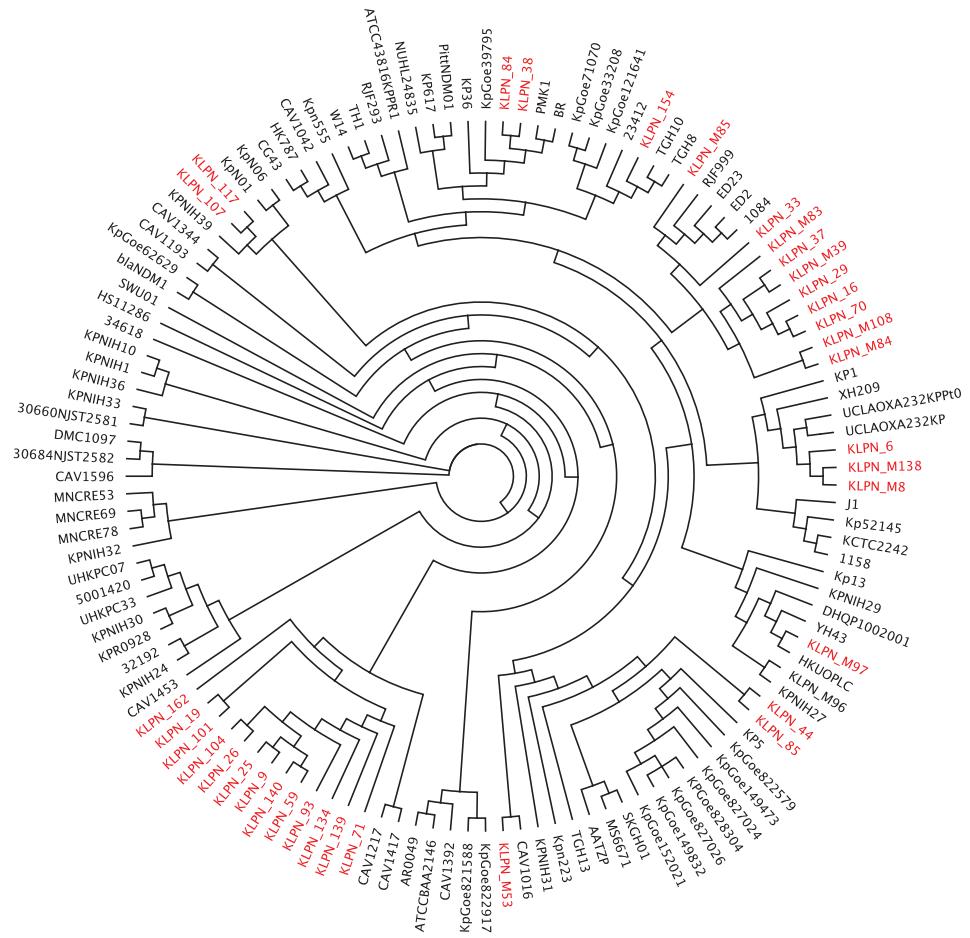


Figure 2.6: Phylogenetic tree of *K. pneumoniae* genomes. Isolates from this study are shown in red, and chromosome-level genomes obtained from GenBank are shown in black.

because the former provided more comprehensive data to infer AST activity (e.g., aminoglycoside resistance, colistin resistance, etc.) than the real-time approach, for which there were delays in antibiotic optimization while awaiting additional AST results. Both the real-time and assembly approaches were significantly faster than the standard approach.

| Antibiotic | Phenotypic antimicrobial susceptibility testing results (%) | | % agreement with antimicrobial susceptibility testing results | | |
|-------------------------------|---|-----------------|---|-------------------|-----------------|
| | Susceptible | Not susceptible | Real-time approach | Assembly approach | Hybrid assembly |
| Piperacillin-tazobactam | 25 | 75 | 80 | 85 | 85 |
| Ceftriaxone | 25 | 75 | 93 | 95 | 95 |
| Cefepime | 28 | 72 | 95 | 98 | 98 |
| Ceftazidime-avibactam | 93 | 7 | 100 | 100 | 100 |
| Ertapenem | 78 | 22 | 83 | 85 | 85 |
| Meropenem | 40 | 60 | 93 | 95 | 95 |
| Amikacin | 78 | 22 | 78 | 85 | 85 |
| Gentamicin | 60 | 40 | 45 | 93 | 95 |
| Ciprofloxacin | 33 | 67 | 30 | 98 | 98 |
| Colistin | 93 | 7 | 93 | 98 | 98 |
| Doxycycline | 50 | 50 | 63 | 80 | 80 |
| Trimethoprim-sulfamethoxazole | 35 | 65 | 68 | 93 | 93 |
| Overall agreement | | | 77 | 92 | 92 |

Table 2.7: WGS vs phenotypic AST. Percent agreement between three different sequencing and analysis approaches compared to phenotypic antimicrobial susceptibility testing results for 40 *Klebsiella pneumoniae* clinical isolates

2.4 Discussion

Our results demonstrate that Nanopore sequencing can be employed to both accurately and rapidly predict phenotypic AST profiles. Our study builds off previously published proof-of-concept or early insight studies applying Nanopore sequencing for the detection of antimicrobial resistance genes (Judge et al., 2015; Judge et al., 2016; Li et al., 2019; Helm et al., 2017; Xia et al., 2017; Neuert et al., 2018; Hasman et al., 2014; Stoesser et al., 2013). We found an overall agreement of 92% between genotypic results and comprehensive AST results using a Nanopore assembly-based approach. We further demonstrated that assembly-based approaches enhance the ability to identify chromosomal mutations and allelic variants compared to that of

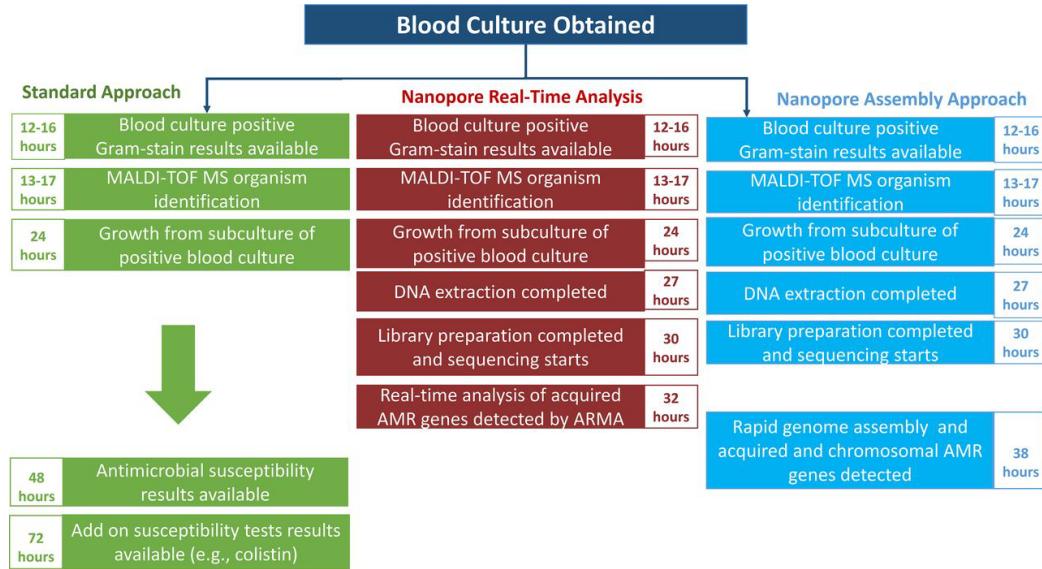


Figure 2.7: Estimated timelines of resistance detection. Schematic of Nanopore sequencing with a real-time analysis and assembly-based approach for identifying resistance genes compared to standard of care testing, using an example of a positive blood culture. MALDI-TOF MS, matrix-assisted laser desorption ionization<U+2013>time of flight mass spectrometry; AMR, antimicrobial resistance; AST, antimicrobial susceptibility testing.

the Nanopore real-time approach. A future method centered on real-time alignment and consensus of raw reads to a database of antimicrobial resistance (AMR) genes will enhance the capabilities of the real-time approach. Nevertheless, even with its current limitations, the Nanopore real-time analysis approach correctly predicted the presumed activity of a number of antibiotics commonly prescribed for Gram-negative infections, such as β -lactams, trimethoprim-sulfamethoxazole, tetracyclines, with reasonable accuracy, with full annotation of acquired AMR genes within 8 h from the time of cultured isolates. Within 14 h of cultured isolates, a Nanopolished assembly-based approach would allow for predictions of the activity of additional agents, such

as fluoroquinolones, aminoglycosides, and polymixins (beyond *mcr-1* and its variants), due to the ability to detect chromosomal mutations or allelic variants leading to resistance. We believe that with rapid extraction and library preparation techniques, the turn-around times could further be reduced to <3 h for a real-time approach and <9 h for a Nanopore assembly-based approach. Moreover, using a hypothetical trial design, we found that a real-time approach and assembly approach could shorten the average time to effective antibiotic therapy for carbapenem-resistant *K. pneumoniae* infections by 20 h and 26 h, respectively, compared to standard approaches.

Although there have been other investigations of the use of WGS to predict AST results for Gram-negative organisms based on resistance determinants (Shelburne et al., 2017; Cao et al., 2016; Schmidt et al., 2017; Lemon et al., 2017; Judge et al., 2015; Judge et al., 2016; Li et al., 2019; Helm et al., 2017; Xia et al., 2017; Stoesser et al., 2013), ours is the first to use a Nanopore assembly approach for evaluating a broad range of acquired resistance genes and chromosomal mutations in predicting susceptibility results for a comprehensive panel of antibiotics. Previous studies applying Nanopore sequencing for resistance gene detection have applied this methodology to small numbers of isolates and limited evaluations to acquired resistance genes. Furthermore, the potential impact of WGS in reducing time to appropriate antibiotic therapy for highly drug-resistant organisms has not been previously reported. As the science of WGS continues to evolve, the costs of sequencing are becoming more affordable, and the time requirements for DNA extraction, library preparation, assembly, and detection are anticipated to be further reduced. We believe

that this methodology can accurately expedite antibiotic decision making for critically ill patients infected with MDRGN organisms. WGS also provides the ability to identify emerging mechanisms of resistance, such as *mcr* variants or novel mechanisms of resistance against newly approved agents (Haidar et al., 2017b; Haidar et al., 2017a).

As we continue to gain further insights into the complexities of resistance mechanisms present in Gram-negative organisms, it is becoming increasingly clear that sole reliance on the detection of β -lactamase genes to identify antibiotic resistance is insufficient. Such is the case with commercially available PCR-based methodologies (e.g., Cepheid Xpert Carba-R assay, BioFire FilmArray blood culture identification panel, Verigene Gram-negative blood culture test, etc.) that fail to identify the wide array of additional resistance determinants (e.g., porin deletions, multidrug efflux pumps, functioning of the two-component regulatory system, DNA gyrase mutations, off-panel targets, etc.). Using carbapenem-resistant *K. pneumoniae* as a case study, over half of isolates render carbapenem antibiotics ineffective due to non-carbapenemase-mediated mechanisms (Tamma et al., 2017). Similarly, carbapenem resistance among *Pseudomonas aeruginosa* strains in the United States is predominantly mediated by noncarbapenemase mechanisms, including the loss of OprD porin expression and/or upregulation of MexAB-OprM efflux pumps (Lister, Wolter, and Hanson, 2009). WGS offers a more comprehensive approach to identifying clinically relevant antibiotic resistance compared with standard PCR technologies.

Nanopore technology offers real-time DNA sequencing of long reads,

which facilitate the process of high-quality genome assembly (Lu, Giordano, and Ning, 2016). Additionally, they are better able to capture large regions of structural variation (e.g., insertions, deletions, duplications, translocations, inversions, etc.) and resolve repetitive regions accurately, compared to technologies which generate short-read data. However, there are some drawbacks to Nanopore sequencing (Lu, Giordano, and Ning, 2016). Chromosomal mutations as small as single-base polymorphisms in DNA can result in drastic changes to proteins due to frame shifts or early truncations. Indels and resulting false positives in chromosomal mutations can be difficult to distinguish with Nanopore sequencing alone (Jain et al., 2018). The assembly process generally removes random error, given sufficient sequencing coverage. However, systematic errors in the form of homopolymer indels and methylation errors still result in disagreement compared with Illumina-corrected assemblies. Nanopolish corrects most homopolymer indels, improving accuracy, but in its current form, it is not all-inclusive (Loman, Quick, and Simpson, 2015). Methylation motifs alter the electrical signal from Nanopore sequencing, resulting in errors in base calling. We anticipate further improvements to the quality of Nanopore sequencing and available bioinformatics, enabling this technology to rapidly and accurately resolve variants of acquired resistance genes and characterize chromosomal mutations in the absence of assembly.

We assessed the minimum sequencing time to be able to accurately predict AST using Nanopore sequencing. We determined that a minimum of 10 reads per gene were required and that a sequencing run of 1 h is sufficient to predict the AST profile, using either a real-time ARMA or an assembly approach.

In fact, we were able to detect 10 reads of all AMR genes within 14 min of starting the sequencing run and 40 reads of each gene within 1 h. This is an important quality control measure as we begin to consider these methods for clinical application.

There are several remaining limitations to this work. First, there were some antimicrobial susceptibility profiles for which we were unable to identify the associated resistance mechanisms, suggesting that our approach to resistance detection was not comprehensive. As an example, we were only able to accurately predict piperacillin-tazobactam activity 85% of the time. This is similar to what others have shown (Shelburne et al., 2017), and it is likely due to efflux pumps, where expression analysis is required. Second, there were some agents tested for which no resistance was observed (e.g., tigecycline), precluding us from including these agents in our analysis. Third, we only evaluated *K. pneumoniae* isolates from a single region of the United States. Validation needs to occur in larger data sets with a more diverse array of genera and species and resistance mechanisms, including some not observed in our isolates, such as the *mcr* genes. Additionally, as antibiotic susceptibility criteria include several considerations, such as wild-type MIC distributions, pharmacokinetic-pharmacodynamic modeling, clinical outcomes data, etc., it can be challenging to determine the most accurate MIC that signifies nonsusceptibility. The European Committee on Antimicrobial Susceptibility Testing suggests that epidemiologic cutoff values (i.e., wild-type versus non-wild-type distributions) are the preferred values for correlation with resistance genes (Eucast, n.d.). We elected to use antibiotic breakpoints, as these remain the

most relevant metric for quantifying antibiotic resistance for clinicians, but we realize that this might not be the most accurate proxy.

In conclusion, we were able to leverage the long reads, rapid turnaround time, and real-time analytic capabilities of Nanopore sequencing to accurately identify resistance loci. With the continued rise in highly drug-resistant infections, the need for rapid and accurate methods to detect antibiotic resistance is becoming increasingly important. Continued enhancements to WGS may permit real-time AMR gene detection from clinical isolates in the near future.

2.5 Materials and Methods

2.5.1 Study cohort

Forty clinical *Klebsiella pneumoniae* complex isolates collected between 2016 and 2017 and processed at the Johns Hopkins Hospital Medical Microbiology Laboratory were included in the present study. Isolates for inclusion were deliberately selected based on their diversity of AST results and mechanisms of resistance and included 30 carbapenem-resistant (21 carbapenemase producers and 10 non-carbapenemase producers) and 9 carbapenem-susceptible *K. pneumoniae* isolates. As this was a proof-of-concept study comparing different WGS approaches, we decided to focus on a single genus and species (i.e., *K. pneumoniae*) to increase the number of isolates with any single resistance mechanism identified, as some resistance mechanisms are genus and species specific, especially among chromosomal mutations leading to resistance. Isolates were subcultured from frozen stock to tryptic soy agar (TSA) with 5% blood agar. A second subculture was performed prior to DNA extraction.

K. pneumoniae isolates from deep tissue (n = 3), intraabdominal fluid (n = 1), blood (n = 10), respiratory (n = 10), and urine (n = 16) were included.

2.5.2 Species and antimicrobial susceptibility testing

Bacterial genus and species were identified using matrix-assisted laser desorption ionization–time of flight mass spectrometry (Bruker Daltonics Inc., Billerica, MA). AST results were determined using the BD Phoenix Automated System NMIC-303 panels (BD Diagnostics, Sparks, Maryland). MICs were confirmed by broth microdilution (BMD) with Sensititre GNX2F Gram-negative panels (Thermo Fisher Scientific, Indianapolis, IN) and the ceftazidime-avibactam Etest (bioMérieux, France) (Matuschek et al., 2018). BMD was repeated on isolates with 2-fold or greater MIC discrepancies between the Phoenix automated panel and initial BMD results. Interpretive criteria established by the Clinical and Laboratory Standards Institute (CLSI) were used to define antibiotic susceptibility. AST results in both the “intermediate” and “resistant” ranges were categorized as resistant.

2.5.3 Whole-genome sequencing and antimicrobial resistance gene detection

As Nanopore sequencing has a substantial raw sequencing error rate, three separate sequencing and analysis pipelines were performed, as follows: (i) a real-time Nanopore (Oxford, England) analysis approach that identified acquired

and chromosomal resistance genes by applying Metrichor’s Antimicrobial Resistance Mapping Application (ARMA) (<https://nanoporetech.com/resource-centre/real-time-detection-antibiotic-resistance-genes-using-oxford-nanopore-technologies>); (ii) an assembly-based Nanopore approach that uses Canu and Nanopolish (Loman, Quick, and Simpson, 2015; Koren et al., 2017) to compute high-identity sequences with identification of resistance genes, using Abriicate ResFinder results as well as chromosomal mutations (e.g., *ompK35* and *ompK36* mutations, *gyrA* and *parC* mutations, etc.) from genomic assemblies, using minimap2 (Li, 2018) and a tool evaluating the impact on amino acid translation based on resulting codon changes (<https://github.com/gpertea/pwasm>); and (iii) a Pilon-corrected hybrid approach using both Illumina (Illumina, San Diego, California) and Nanopore sequencing (Walker et al., 2014). The short-read correction of Nanopore assemblies served as the reference standard to determine the accuracy of Nanopore sequencing results.

Long-read genomic sequencing was performed using the third generation Oxford Nanopore MinION MkIb (Isolates 1-30) and GridION X5 (Isolates 31-40; Oxford, England) sequencing instruments. Genomic DNA was extracted from pure cultures using the DNeasy PowerBiofilm Kit (Qiagen, Hilden, Germany). Each Nanopore sequencing library was prepared using 5 µg of DNA with the 1D ligation kit (SQK-LSK108, Oxford Nanopore Technologies) using R9.4 flowcells (FLO-MIN106). A single isolate was run per flowcell. MinKNOW software was used to collect sequencing data.

For the real-time analysis approach, the Oxford Nanopore Technology “What’s In My Pot” (WIMP) (Juul et al., 2015) and Metrichor’s Antimicrobial

Resistance Mapping Application (ARMA) real-time analysis tools were run in parallel to both sequencing and base-calling. WIMP uses a customized pipeline applying the Centrifuge metagenomic classifier to the sequencing reads. ARMA aligns sequencing reads to the Comprehensive Antimicrobial Database (CARD) (Jia et al., 2017), identifying antimicrobial resistance (AMR) gene hits.

For the assembly-based approach, Albacore v2.1.3 was used to base-call. Raw data were corrected, trimmed, and assembled using Canu v1.6 (Koren et al., 2017) using default parameters. Genome size was assumed to be 5.3Mb. For isolates that did not assemble under default parameters, either read quality restrictions were relaxed or minimum overlap requirements were shortened as suggested by the software documentation. Assembled contigs were screened for resistance genes using Abricate (<https://github.com/tseemann/abricate>), a tool that uses alignment to search for resistance gene sequences from several database including ResFinder, CARD, ARG-ANNOT, and the NCMI AMR Reference Gene Database. ResFinder results were evaluated in this study (Zankari et al., 2012). In a separate experiment, we were able to build high quality genomes from nanopore electrical data in under 6 hours using a machine with 36 cores and 72GB RAM. Thirteen random blocks of 4000 reads were sampled and taken through basecalling, assembly and polishing. Conservatively, it takes at most an hour to reach 52,000 reads on a typical run.

WGS was also conducted using Illumina MiSeq short-read sequencing to increase assembly accuracy (Illumina, San Diego, California). A drawback with Illumina sequencing is the turn-around time as sequencing alone requires

between 19-24 hours for 300 cycles. As the ultimate goal would be to use Nanopore sequencing alone for both resistance gene and chromosomal mutation identification, in the current analysis, the Pilon-corrected assemblies were not meant to supplant or supplement Nanopore sequencing but rather to serve as a reference standard to determine the accuracy of Nanopore sequencing results. Approximately 100-500 ng of gDNA was used to prepare sequencing libraries using the Nextera Flex Kit. The each Illumina library was then sequenced using both v2 2x150 and v3 2x75 reagents on an Illumina Miseq. These reads were used to correct the more error prone Nanopore assembly with the Pilon v1.22 software package in conjunction with the short-read aligner Bowtie2 v2.2.6. Phylogenetic trees were generated using Parsnp v1.2 (Treangen et al., 2014).

2.5.4 Predicted correlations between WGS and AST results

Predictions of resistance were performed without reference to phenotypic data, unless otherwise noted in Results. The correlations of resistance genes and sequence variants with anticipated AST results for the evaluated antibiotics were determined based on reference gene databases and the published literature (Bush and Jacoby, 2010; Bush, Jacoby, and Medeiros, 1995; Jacoby, 2009; Naas, Poirel, and Nordmann, 2008; Evans and Amyes, 2014; Zhang et al., 2014; Feng-Jui et al., 2003; Vetting et al., 2011; Kim et al., 2009; Ramirez and Tolimsky, 2010; Galimand, Courvalin, and Lambert, 2012; Liakopoulos, Mevius, and Ceccarelli, 2016; Tärnberg, Nilsson, and Monstein, 2009; Bonnet, 2004; Roberts, 2005; Fevre et al., 2005; Fu et al., 2013; Poirel, Naas, and Nordmann,

2010). Antimicrobial resistance genes and mutation results were reviewed manually to predict AST results. For associations for which ambiguity existed, the following rules were established a priori:

- SHV 2be extended-spectrum β -lactamase enzymes were assumed to inactivate ceftriaxone and cefepime if they contained G238S or E240K mutations (Liakopoulos, Mevius, and Ceccarelli, 2016; Howard Christopher et al., 2002)
- The relative contributions of porins OmpK35 and/or OmpK36 truncations to β -lactam resistance have not been well established (Zhang et al., 2014; Shelburne et al., 2017; Tsai et al., 2011; Landman, Bratu, and Quale, 2009; Jiang et al., 2009; Doménech-Sánchez et al., 2003). There appears to be consensus that when premature stop codons are present for both, in conjunction with ESBLs or AmpC cephalosporinases, carbapenem resistance is likely.
- Aminoglycoside modifying enzymes (AMEs)- including aminoglycoside N82 acetyltransferases [AACs], aminoglycoside O-nucleotidyltransferases [ANTs], or aminoglycoside O-phosphotransferases [APHs] are anticipated to confer resistance to gentamicin and tobramycin (Ramirez and Tolmasky, 2010). Correlations between the number of different enzymes produced and the association with aminoglycoside resistance are not well defined. After exploratory analysis, we predicted that when four or more AMEs were present, gentamicin and tobramycin resistance was likely.

- The AME *aac(6')Ib-cr* was also evaluated for its contribution to ciprofloxacin resistance (Ramirez and Tolmasky, 2010).
- Ribosomal RNA methyltransferases (*armA*, *rmtA*, *rmtB*, *rmtC*, *rmtD*, or *rmtE*) are expected to confer resistance to amikacin, gentamicin, and tobramycin (Galimand, Courvalin, and Lambert, 2012).
- Plasmid-encoded quinolone resistance genes *qnrB*, *qnrS*, *aac(6')Ib-cr* and *oqxAB* (chromosomally encoded) were predicted to cause low-level fluoroquinolone resistance, but not frank resistance (Kim et al., 2009; Ruiz, Pons, and Gomes, 2012; Jacoby, 2005).

Single *gyrA* or *parC* mutations were not predicted to cause fluoroquinolone resistance but two-step *gyrA* mutations or the presence of both *gyrA* and *parC* mutations translating to amino acid changes were expected to result in fluoroquinolone resistance (Ruiz, Pons, and Gomes, 2012; Jacoby, 2005).

2.5.5 Clinical data

An infectious diseases physician (P.D.T.) confirmed all isolates to be representative of clinical infections by manual chart review. Detailed clinical and treatment data were collected to identify if the time to effective antibiotic therapy would have decreased had Nanopore sequencing data with a real-time analysis approach or an assembly-based approach been used in predicting AST results compared to that with standard AST identification methods. Dates and times antibiotic regimen changes occurred and the timing of the availability of clinical culture results were recorded. Furthermore, time points for

the steps involved in Nanopore sequencing and identification of resistance determinants were also recorded. The Johns Hopkins University School of Medicine Institutional Review Board approved this study, with a waiver of informed consent.

2.5.6 Data Availability

Sequencing data for this study were deposited in the Sequence Read Archive (BioProject number PRJNA496461).

References

- Didelot, Xavier, Rory Bowden, Daniel J Wilson, Tim E A Peto, and Derrick W Crook (2012). "Transforming clinical microbiology with bacterial genome sequencing". en. In: *Nat. Rev. Genet.* 13.9, pp. 601–612.
- Shelburne, Samuel A, Jiwoong Kim, Jose M Munita, Pranoti Sahasrabhojane, Ryan K Shields, Ellen G Press, Xiqi Li, Cesar A Arias, Brandi Cantarel, Ying Jiang, Min S Kim, Samuel L Aitken, and David E Greenberg (2017). "Whole-Genome Sequencing Accurately Identifies Resistance to Extended-Spectrum β -Lactams for Major Gram-Negative Bacterial Pathogens". en. In: *Clin. Infect. Dis.* 65.5, pp. 738–745.
- Caliendo, Angela M, David N Gilbert, Christine C Ginocchio, Kimberly E Hanson, Larissa May, Thomas C Quinn, Fred C Tenover, David Alland, Anne J Blaschke, Robert A Bonomo, Karen C Carroll, Mary Jane Ferraro, Lisa R Hirschhorn, W Patrick Joseph, Tobi Karchmer, Ann T MacIntyre, L Barth Reller, Audrey F Jackson, and Infectious Diseases Society of America (IDSA) (2013). "Better tests, better care: improved diagnostics for infectious diseases". en. In: *Clin. Infect. Dis.* 57 Suppl 3, S139–70.
- Tamma, Pranita D, Katherine E Goodman, Anthony D Harris, Tsigereda Tekle, Ava Roberts, Abimbola Taiwo, and Patricia J Simner (2017). "Comparing the Outcomes of Patients With Carbapenemase-Producing and Non-Carbapenemase-Producing Carbapenem-Resistant Enterobacteriaceae Bacteremia". en. In: *Clin. Infect. Dis.* 64.3, pp. 257–264.
- Cao, Minh Duc, Devika Ganesamoorthy, Alysha G Elliott, Huihui Zhang, Matthew A Cooper, and Lachlan J M Coin (2016). "Streaming algorithms for identification pathogens and antibiotic resistance potential from real-time MinION™ sequencing". en. In: *Gigascience* 5.1, s13742–016–0137–2.
- Schmidt, K, S Mwaigwisya, L C Crossman, M Doumith, D Munroe, C Pires, A M Khan, N Woodford, N J Saunders, J Wain, J O'Grady, and D M Livermore (2017). "Identification of bacterial pathogens and antimicrobial resistance

- directly from clinical urines by nanopore-based metagenomic sequencing". en. In: *J. Antimicrob. Chemother.* 72.1, pp. 104–114.
- Lemon, Jamie K, Pavel P Khil, Karen M Frank, and John P Dekker (2017). "Rapid Nanopore Sequencing of Plasmids and Resistance Gene Detection in Clinical Isolates". en. In: *J. Clin. Microbiol.* 55.12, pp. 3530–3543.
- Judge, Kim, Simon R Harris, Sandra Reuter, Julian Parkhill, and Sharon J Peacock (2015). "Early insights into the potential of the Oxford Nanopore MinION for the detection of antimicrobial resistance genes". en. In: *J. Antimicrob. Chemother.* 70.10, pp. 2775–2778.
- Judge, Kim, Martin Hunt, Sandra Reuter, Alan Tracey, Michael A Quail, Julian Parkhill, and Sharon J Peacock (2016). "Comparison of bacterial genome assembly software for MinION data and their applicability to medical microbiology". en. In: *Microb Genom* 2.9, e000085.
- Li, Ruichao, Miaomiao Xie, Ning Dong, Dachuan Lin, Xuemei Yang, Marcus Ho Yin Wong, Edward Wai-Chi Chan, and Sheng Chen (2019). "Erratum to: Efficient generation of complete sequences of MDR-encoding plasmids by rapid assembly of MinION barcoding sequencing data". en. In: *Gigascience* 8.3.
- Helm, Eric van der, Lejla Imamovic, Mostafa M Hashim Ellabaan, Willem van Schaik, Anna Koza, and Morten O A Sommer (2017). "Rapid resistome mapping using nanopore sequencing". en. In: *Nucleic Acids Res.* 45.8, e61.
- Xia, Yu, An-Dong Li, Yu Deng, Xiao-Tao Jiang, Li-Guan Li, and Tong Zhang (2017). "MinION Nanopore Sequencing Enables Correlation between Resistome Phenotype and Genotype of Coliform Bacteria in Municipal Sewage". en. In: *Front. Microbiol.* 8, p. 2105.
- Neuert, Saskia, Satheesh Nair, Martin R Day, Michel Doumith, Philip M Ashton, Kate C Mellor, Claire Jenkins, Katie L Hopkins, Neil Woodford, Elizabeth de Pinna, Gauri Godbole, and Timothy J Dallman (2018). "Prediction of Phenotypic Antimicrobial Resistance Profiles From Whole Genome Sequences of Non-typoidal *Salmonella enterica*". en. In: *Front. Microbiol.* 9, p. 592.
- Hasman, Henrik, Dhany Saputra, Thomas Sicheritz-Ponten, Ole Lund, Christina Aaby Svendsen, Niels Frimodt-Møller, and Frank M Aarestrup (2014). "Rapid whole-genome sequencing for detection and characterization of microorganisms directly from clinical samples". en. In: *J. Clin. Microbiol.* 52.1, pp. 139–146.
- Stoesser, N, E M Batty, D W Eyre, M Morgan, D H Wyllie, C Del Ojo Elias, J R Johnson, A S Walker, T E A Peto, and D W Crook (2013). "Predicting

- antimicrobial susceptibilities for Escherichia coli and Klebsiella pneumoniae isolates using whole genomic sequence data". en. In: *J. Antimicrob. Chemother.* 68.10, pp. 2234–2244.
- Haidar, Ghady, Nathan J Philips, Ryan K Shields, Daniel Snyder, Shaoji Cheng, Brian A Potoski, Yohei Doi, Binghua Hao, Ellen G Press, Vaughn S Cooper, Cornelius J Clancy, and M Hong Nguyen (2017b). "Ceftolozane-Tazobactam for the Treatment of Multidrug-Resistant *Pseudomonas aeruginosa* Infections: Clinical Effectiveness and Evolution of Resistance". en. In: *Clin. Infect. Dis.* 65.1, pp. 110–120.
- Haidar, Ghady, Cornelius J Clancy, Ryan K Shields, Binghua Hao, Shaoji Cheng, and M Hong Nguyen (2017a). "Mutations in blaKPC-3 That Confer Ceftazidime-Avibactam Resistance Encode Novel KPC-3 Variants That Function as Extended-Spectrum β -Lactamases". en. In: *Antimicrob. Agents Chemother.* 61.5.
- Lister, Philip D, Daniel J Wolter, and Nancy D Hanson (2009). "Antibacterial-resistant *Pseudomonas aeruginosa*: clinical impact and complex regulation of chromosomally encoded resistance mechanisms". en. In: *Clin. Microbiol. Rev.* 22.4, pp. 582–610.
- Lu, Hengyun, Francesca Giordano, and Zemin Ning (2016). "Oxford Nanopore MinION Sequencing and Genome Assembly". en. In: *Genomics Proteomics Bioinformatics* 14.5, pp. 265–279.
- Jain, Miten, Sergey Koren, Karen H Miga, Josh Quick, Arthur C Rand, Thomas A Sasani, John R Tyson, Andrew D Beggs, Alexander T Dilthey, Ian T Fiddes, Sunir Malla, Hannah Marriott, Tom Nieto, Justin O'Grady, Hugh E Olsen, Brent S Pedersen, Arang Rhie, Hollian Richardson, Aaron R Quinlan, Terrance P Snutch, Louise Tee, Benedict Paten, Adam M Phillippy, Jared T Simpson, Nicholas J Loman, and Matthew Loose (2018). "Nanopore sequencing and assembly of a human genome with ultra-long reads". en. In: *Nat. Biotechnol.* 36.4, pp. 338–345.
- Loman, Nicholas J, Joshua Quick, and Jared T Simpson (2015). "A complete bacterial genome assembled de novo using only nanopore sequencing data". en. In: *Nat. Methods* 12.8, pp. 733–735.
- Eucast, Wgs (n.d.). *Subcommittee Consultation on Report from the EUCAST Subcommittee on the Role of Whole Genome Sequencing (WGS) in Antimicrobial Susceptibility Testing of Bacteria*.
- Matuschek, Åhman, Webster, and others (2018). "Antimicrobial susceptibility testing of colistin—evaluation of seven commercial MIC products against

- standard broth microdilution for Escherichia coli, Klebsiella ...” In: *Clin. Microbiol. Case Rep.*
- Koren, Sergey, Brian P Walenz, Konstantin Berlin, Jason R Miller, Nicholas H Bergman, and Adam M Phillippy (2017). “Canu: scalable and accurate long-read assembly via adaptive k-mer weighting and repeat separation”. en. In: *Genome Res.* 27.5, pp. 722–736.
- Li, Heng (2018). “Minimap2: pairwise alignment for nucleotide sequences”. en. In: *Bioinformatics* 34.18, pp. 3094–3100.
- Walker, Bruce J, Thomas Abeel, Terrance Shea, Margaret Priest, Amr Abouel- liel, Sharadha Sakthikumar, Christina A Cuomo, Qiandong Zeng, Jennifer Wortman, Sarah K Young, and Ashlee M Earl (2014). “Pilon: an integrated tool for comprehensive microbial variant detection and genome assembly improvement”. en. In: *PLoS One* 9.11, e112963.
- Juul, Sissel, Fernando Izquierdo, Adam Hurst, Xiaoguang Dai, Amber Wright, Eugene Kulesha, Roger Pettett, and Daniel J Turner (2015). “What’s in my pot? Real-time species identification on the MinION™”. en.
- Jia, Baofeng, Amogelang R Raphenya, Brian Alcock, Nicholas Waglechner, Peiyao Guo, Kara K Tsang, Briony A Lago, Biren M Dave, Sheldon Pereira, Arjun N Sharma, Sachin Doshi, Mélanie Courtot, Raymond Lo, Laura E Williams, Jonathan G Frye, Tariq Elsayegh, Daim Sardar, Erin L West- man, Andrew C Pawlowski, Timothy A Johnson, Fiona S L Brinkman, Gerard D Wright, and Andrew G McArthur (2017). “CARD 2017: expansion and model-centric curation of the comprehensive antibiotic resistance database”. en. In: *Nucleic Acids Res.* 45.D1, pp. D566–D573.
- Zankari, Ea, Henrik Hasman, Salvatore Cosentino, Martin Vestergaard, Simon Rasmussen, Ole Lund, Frank M Aarestrup, and Mette Voldby Larsen (2012). “Identification of acquired antimicrobial resistance genes”. en. In: *J. Antimicrob. Chemother.* 67.11, pp. 2640–2644.
- Treangen, Todd J, Brian D Ondov, Sergey Koren, and Adam M Phillippy (2014). “The Harvest suite for rapid core-genome alignment and visualization of thousands of intraspecific microbial genomes”. In: *Genome Biol.* 15.11, p. 524.
- Bush, Karen and George A Jacoby (2010). “Updated functional classification of beta-lactamases”. en. In: *Antimicrob. Agents Chemother.* 54.3, pp. 969–976.
- Bush, K, G A Jacoby, and A A Medeiros (1995). “A functional classification scheme for beta-lactamases and its correlation with molecular structure”. en. In: *Antimicrob. Agents Chemother.* 39.6, pp. 1211–1233.

- Jacoby, George A (2009). "AmpC beta-lactamases". en. In: *Clin. Microbiol. Rev.* 22.1, 161–82, Table of Contents.
- Naas, T, L Poirel, and P Nordmann (2008). "Minor extended-spectrum beta-lactamases". en. In: *Clin. Microbiol. Infect.* 14 Suppl 1, pp. 42–52.
- Evans, Benjamin A and Sebastian G B Amyes (2014). "OXA β -lactamases". en. In: *Clin. Microbiol. Rev.* 27.2, pp. 241–263.
- Zhang, Ying, Xiaofei Jiang, Yanyan Wang, Gang Li, Yueru Tian, Hong Liu, Fuqi Ai, Yiming Ma, Bei Wang, Feiyi Ruan, and Kumar Rajakumar (2014). "Contribution of β -lactamases and porin proteins OmpK35 and OmpK36 to carbapenem resistance in clinical isolates of KPC-2-producing Klebsiella pneumoniae". en. In: *Antimicrob. Agents Chemother.* 58.2, pp. 1214–1217.
- Feng-Jui, Chen, Tsai-Ling Lauderdale, Monto Ho, and Hsiu-Jung Lo (2003). "The Roles of Mutations in gyrA, parC, and ompK35 in Fluoroquinolone Resistance in Klebsiella pneumoniae". en. In: *Microbial Drug Resistance; New Rochelle* 9.3, pp. 265–271.
- Vetting, Matthew W, Subray S Hegde, Minghua Wang, George A Jacoby, David C Hooper, and John S Blanchard (2011). "Structure of QnrB1, a plasmid-mediated fluoroquinolone resistance factor". en. In: *J. Biol. Chem.* 286.28, pp. 25265–25273.
- Kim, Hong Bin, Minghua Wang, Chi Hye Park, Eui-Chong Kim, George A Jacoby, and David C Hooper (2009). "oqxAB encoding a multidrug efflux pump in human clinical isolates of Enterobacteriaceae". en. In: *Antimicrob. Agents Chemother.* 53.8, pp. 3582–3584.
- Ramirez, Maria S and Marcelo E Tolmasky (2010). "Aminoglycoside modifying enzymes". en. In: *Drug Resist. Updat.* 13.6, pp. 151–171.
- Galimand, Marc, Patrice Courvalin, and Thierry Lambert (2012). "RmtF, a new member of the aminoglycoside resistance 16S rRNA N7 G1405 methyltransferase family". en. In: *Antimicrob. Agents Chemother.* 56.7, pp. 3960–3962.
- Liakopoulos, Apostolos, Dik Mevius, and Daniela Ceccarelli (2016). "A Review of SHV Extended-Spectrum β -Lactamases: Neglected Yet Ubiquitous". en. In: *Front. Microbiol.* 7, p. 1374.
- Tärnberg, Nilsson, and Monstein (2009). "Molecular identification of blaSHV, blaLEN and blaOKP β -lactamase genes in Klebsiella pneumoniae by bi-directional sequencing of universal SP6-and T7...". In: *Mol. Cell. Probes.*
- Bonnet, R (2004). "Growing group of extended-spectrum beta-lactamases: the CTX-M enzymes". en. In: *Antimicrob. Agents Chemother.* 48.1, pp. 1–14.

- Roberts, Marilyn C (2005). "Update on acquired tetracycline resistance genes". en. In: *FEMS Microbiol. Lett.* 245.2, pp. 195–203.
- Fevre, Cindy, Virginie Passet, François-Xavier Weill, Patrick A D Grimont, and Sylvain Brisson (2005). "Variants of the *Klebsiella pneumoniae* OKP chromosomal beta-lactamase are divided into two main groups, OKP-A and OKP-B". en. In: *Antimicrob. Agents Chemother.* 49.12, pp. 5149–5152.
- Fu, Yingmei, Wenli Zhang, Hong Wang, Song Zhao, Yang Chen, Fanfei Meng, Ying Zhang, Hui Xu, Xiaobei Chen, and Fengmin Zhang (2013). "Specific patterns of gyr A mutations determine the resistance difference to ciprofloxacin and levofloxacin in *Klebsiella pneumoniae* and *Escherichia coli*". en. In: *BMC Infect. Dis.* 13.1, pp. 1–6.
- Poirel, Laurent, Thierry Naas, and Patrice Nordmann (2010). "Diversity, epidemiology, and genetics of class D beta-lactamases". en. In: *Antimicrob. Agents Chemother.* 54.1, pp. 24–38.
- Howard Christopher, van Daal Angela, Kelly Gregory, Schooneveldt Jacqueline, Nimmo Graeme, and Giffard Philip M. (2002). "Identification and Minisequencing-Based Discrimination of SHV β -Lactamases in Nosocomial Infection-Associated *Klebsiella pneumoniae* in Brisbane, Australia". In: *Antimicrob. Agents Chemother.* 46.3, pp. 659–664.
- Tsai, Yu-Kuo, Chang-Phone Fung, Jung-Chung Lin, Jiun-Han Chen, Feng-Yee Chang, Te-Li Chen, and L Kristopher Siu (2011). "Klebsiella pneumoniae outer membrane porins OmpK35 and OmpK36 play roles in both antimicrobial resistance and virulence". en. In: *Antimicrob. Agents Chemother.* 55.4, pp. 1485–1493.
- Landman, David, Simona Bratu, and John Quale (2009). "Contribution of OmpK36 to carbapenem susceptibility in KPC-producing *Klebsiella pneumoniae*". en. In: *J. Med. Microbiol.* 58.Pt 10, pp. 1303–1308.
- Jiang, Xiuhong, Bjorn A Espedido, Sally R Partridge, Lee C Thomas, Feng Wang, and Jonathan R Iredell (2009). "Paradoxical effect of *Klebsiella pneumoniae* OmpK36 porin deficiency". en. In: *Pathology* 41.4, pp. 388–392.
- Doménech-Sánchez, Antonio, Luis Martínez-Martínez, Santiago Hernández-Allés, María del Carmen Conejo, Alvaro Pascual, Juan M Tomás, Sebastián Albertí, and Vicente Javier Benedí (2003). "Role of *Klebsiella pneumoniae* OmpK35 porin in antimicrobial resistance". en. In: *Antimicrob. Agents Chemother.* 47.10, pp. 3332–3335.

- Ruiz, Joaquim, Maria J Pons, and Cláudia Gomes (2012). "Transferable mechanisms of quinolone resistance". en. In: *Int. J. Antimicrob. Agents* 40.3, pp. 196–203.
- Jacoby, George A (2005). "Mechanisms of resistance to quinolones". en. In: *Clin. Infect. Dis.* 41 Suppl 2, S120–6.

Chapter 3

Genome assembly of *Candida nivariensis*

Portions of this chapter originally appeared in:

Fan Y, Gale AN, Bailey A, Barnes K, Colotti K, Mass M, et al. Genome and transcriptome of a pathogenic yeast, *Candida nivariensis*. G3 Genes | Genomes | Genetics. 2021;11. doi:10.1093/g3journal/jkab137

3.1 Abstract

We present a highly contiguous genome and transcriptome of the pathogenic yeast, *Candida nivariensis*. We sequenced both the DNA and RNA of this species using both the Oxford Nanopore Technologies and Illumina platforms. We assembled the genome into an 11.8Mb draft composed of 16 contigs with an N50 of 886 Kb, including a circular mitochondrial sequence of 28 Kb. Using direct RNA nanopore sequencing and Illumina cDNA sequencing, we constructed an annotation of our new assembly, supplemented by lifting over genes from *Saccharomyces cerevisiae* and *Candida glabrata*.

3.2 Introduction

For immunocompromised hosts, opportunistic infections caused by drug-resistant fungi of the *Candida* genus are a major source of morbidity and mortality (Borman et al., 2008). In particular, *Candida nivariensis*, a close relative to *Candida glabrata*, has emerged in recent years as especially resistant to antifungal therapies (Borman et al., 2008). However, due to its phenotypic similarities to *C. glabrata*, *C. nivariensis* is generally underidentified and easily misdiagnosed, and currently, only molecular approaches can distinguish the two (Aznar-Marin et al., 2016), spurring whole-genome sequencing studies on the clade (Gabaldón et al., 2013).

Accurate assembly of repetitive genomic regions is crucial for understanding genetic diversity and virulence in pathogenic species. Fungal pathogens have long been known to exhibit a high degree of genome plasticity to enhance fitness in various environments (Croll, Zala, and McDonald, 2013; Ford et al., 2015; López-Fuentes et al., 2018; Carreté et al., 2019; Todd et al., 2019). Repetitive subtelomeric regions in particular play a crucial role in virulence for many pathogenic organisms (Barry et al., 2003; De Las Peñas et al., 2003). Many yeasts' subtelomeric regions contain and regulate the expression of genes crucial for biofilm formation, carbohydrate utilization, and cellular adhesion (Naumov, Naumova, and Louis, 1995; De Las Peñas et al., 2003; Iraqui et al., 2005). These gene families often undergo rapid evolution through changes in copy number and sequence through either SNPs or indels (Carreto et al., 2008; Brown, Murray, and Verstrepen, 2010; Anderson et al., 2015). However, these subtelomeric regions remain one of the most difficult sections of the genome to

accurately assemble due to their repetitive nature and high sequence similarity between genes, making genetic analysis cumbersome (Brown, Murray, and Verstrepen, 2010).

One of the gene families of great interest to the pathogenic yeast field are the GPI-anchored cell wall proteins. This protein family includes many genes that encode for adhesion proteins that are found in various members of the *Candida* genus, and play a key role in pathogenicity, being involved in regulation of biofilm formation, cell-to-cell contact, and host-pathogen interactions (Timmermans et al., 2018; McCall et al., 2019). With the many roles these genes play in infection, the accurate identification and understanding of the genetic variation of these genes is vital to combating fungal pathogens.

Unfortunately, like many eukaryotic pathogens, the current reference genome for *C. nivariensis* (GenBank: GCA_001046915.1) is highly fragmented. Constructed from sequencing of strain CBS9983, the reference genome consists of 123 contigs with an N50 of 248Kb (Gabaldón et al., 2013), meaning that at least half of the total genome length is contained in contigs 248Kb or longer. This is typical of genomes assembled from limited short-read sequencing data; though short reads are highly accurate, assembling them into contiguous genomes is challenging depending on the size and complexity of the genome. Such short read assemblies have limited utility since large scale variants, repetitive regions, and genome structure remain difficult to elucidate, though they are often involved in the genome plasticity of pathogenic yeasts (Carreté et al., 2018). In contrast, long-read sequencing data has been shown to produce much more contiguous assemblies, and have been crucial

in sequencing through large repetitive regions, as well as assessing structural variants. However, read accuracy on the ONT platform in particular ranges from 86% for early basecaller versions (Wick, Judd, and Holt, 2019) to 97% as currently reported by ONT. This is lower than the read accuracy of short-read Illumina sequencing, which achieves 99.9% accuracy (Fox et al., 2014). In consensus sequences, most random errors can be corrected by other reads covering the same genomic loci, resulting in >99% consensus accuracy (Wick, Judd, and Holt, 2019). However, systematic errors occurring in most or all of the reads cannot be corrected this way. For ONT data, indels at homopolymers dominate systematic errors (Wick, Judd, and Holt, 2019). These persistent errors can be problematic for gene prediction and annotation in downstream analysis (Watson and Warr, 2019) and are typically corrected with more accurate short-read data in mappable regions (Garrison and Marth, 2012; Walker et al., 2014; Vaser et al., 2017).

Having a genome alone is not enough; we need to annotate it with genes and other functional elements for the genome to be of greatest use. Knowledge of gene loci is critical to constructing phylogenetic relationships between organisms, and to studying the functional implications of variants, both common uses of reference genomes. While model-based, purely computational gene predictors can be highly accurate in bacteria, gene sparsity and intronic regions make this task more difficult in eukaryotes (Salzberg, 2019). For improved annotations, some RNA-seq information is required (Salzberg, 2019).

Here, as part of our newly developed Methods in Nucleic Acid Sequencing university course, we used a hybrid approach, applying long-read nanopore

sequencing to assemble a highly contiguous genome of *C. nivariensis*, followed by short-read sequencing to polish or correct errors in our assembly. We followed this by a combination of nanopore direct RNA sequencing as well as short-read RNA-seq to annotate our assembly. By combining this data with liftover of annotations from evolutionary “cousins” of *nivariensis*, we have generated a new and annotated reference genome for the community.

3.3 Results

3.3.1 Genome statistics

Using our nanopore and Illumina sequencing data, we generated a new assembly of *Candida nivariensis*, JHU_Cniv_v1 (Methods). Our assembly consists of 11.8 Mb of sequence in 16 contigs with an N50 of 886 Kb (Figure 3.1, Table 3.1). Compared to the reference genome, we have 275kb of additional sequence, 218kb of which is accounted for by gaps in the reference which are newly spanned by JHU_Cniv_v1. Of the 69 newly spanned gap sequences, 54 were identified as repeat regions. Another 13 gap regions were identified to contain a higher than average proportion of multi-mapping short reads (>10% in gap regions vs 7% average across the genome).

| | Contigs | N50 | Longest Contig | Shortest Contig | Total Length |
|-------------|---------|--------|----------------|-----------------|--------------|
| Reference | 123 | 248 Kb | 807 Kb | 666 bp | 11.56 Mb |
| JHU_Cniv_v1 | 16 | 886 Kb | 1.42 Mb | 28.5 Kb | 11.83 Mb |

Table 3.1: Assembly Statistics. Assembly statistics of JHU_Cniv_v1 and the reference genome for *C. nivariensis*.

To determine whether JHU_Cniv_v1 contigs represent full chromosomes,

we looked for telomere repeats in our assembly and attempted to use related yeast reference genomes to scaffold. In our assembly, 11 contigs terminate at both ends in repeats of CTGGGTGCTGTGGGT, the telomere sequence of *Candida glabrata* (McEachern and Blackburn, 1994). The other

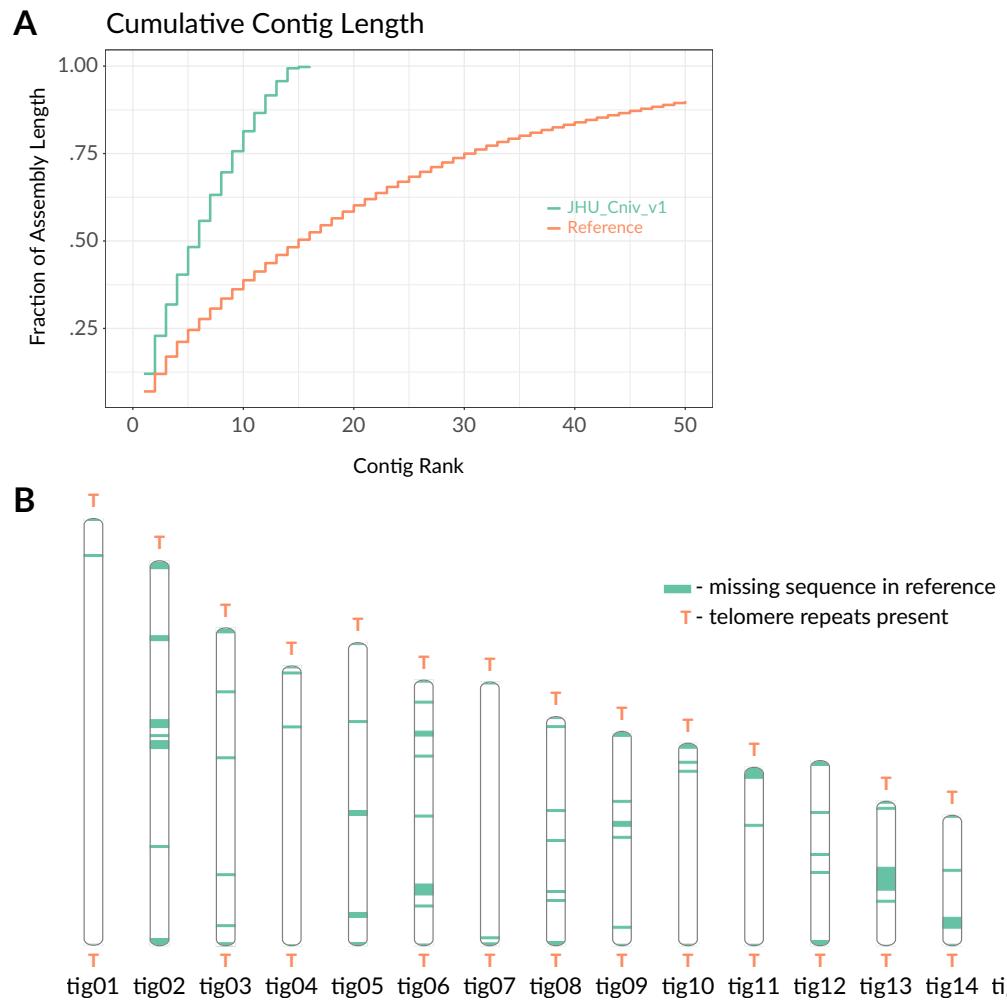


Figure 3.1: Characteristics of the JHU_Cniv_v1 assembly. (A) Cumulative lengths of the 50 longest sequences in our assembly and previous reference genome. **(B)** Ideogram of assembly. Sequence that is missing in the reference genome is shown along each non-mitochondrial contig, and the positions of telomere repeats are marked.

4 non-mitochondrial sequences terminate only at one end in this telomeric repeat (**Figure 3.1**, **Table 3.2**), suggesting they may scaffold to form two additional chromosomes. This suggests that, like *C. glabrata*, the *C. nivariensis* genome also contains 13 chromosomes.

| Contig | Length (bp) | Forward Telomeres | Reverse Telomeres |
|------------------|-------------|-------------------|-------------------|
| tig01 | 1423475 | 35 | 38 |
| tig02 | 1283968 | 0 | 39 |
| tig03 | 1060011 | 35 | 39 |
| tig04 | 933062 | 36 | 26 |
| tig05 | 1010854 | 0 | 36 |
| tig06 | 885783 | 35 | 38 |
| tig07 | 879540 | 39 | 35 |
| tig08 | 763992 | 34 | 33 |
| tig09 | 714796 | 35 | 47 |
| tig10 | 675194 | 36 | 36 |
| tig11 | 594828 | 32 | 26 |
| tig12 | 617546 | 36 | 0 |
| tig13 | 481613 | 38 | 41 |
| tig14 | 434809 | 33 | 33 |
| tig24 | 44616 | 0 | 39 |
| JHU_Cniv_v1_mito | 28512 | 0 | 0 |

Table 3.2: Contig and telomere lengths. Contig lengths and the number of times the forward and reverse telomere sequence appears in each

We tried to further scaffold our assembly using the more contiguous and highly related *glabrata* genome as a reference, but we found that reference

based scaffolders such as Medusa v1.6 (Bosi et al., 2015) and RagTag v1.0.2 (Alonge et al., 2019) either placed telomeric sequences in the middle of scaffolds or made no improvement (Figure 3.2). Upon aligning the *C. glabrata* genome to JHU_Cniv_v1 using Mummer, we found only sporadic shared segments of negligible length (Figure 3.3), as opposed to a nearly perfect 1:1 alignment between JHU_Cniv_v1 and the current *C. nivariensis* reference genome (Figure 3.4). This indicated that the *C. glabrata* genome is not sufficiently similar to *C. nivariensis* to use as a reference for contig scaffolding. Using the *C. nivariensis* reference genome for scaffolding similarly results in erroneous placement of telomere repeats in the middle of scaffolds, or no change to our assembly. This is unsurprising, as the *C. nivariensis* reference genome is so highly fragmented.

3.3.2 Genome completeness

To assess assembly completeness, fungal single-copy orthologs were checked using BUSCO v5.0.0 (Simão et al., 2015) and its available saccharomycetes_odb10 database. Out of 2137 BUSCOs searched, JHU_Cniv_v1 has only 14 missing, 13 of which are also missing in the current reference (Figure 3.5). This additional missing gene, RNA polymerase archaeal subunit P/eukaryotic subunit RPABC4 (buscoID 41996at4891), though present in the reference, has the second lowest combined match length and match score among all genes searched. From the reference, we extracted the nucleotide sequence of this match using the coordinates reported by BUSCO, and searched for it in JHU_Cniv_v1 using BLAST. We found a full-length match with 99.9% identity, suggesting that this

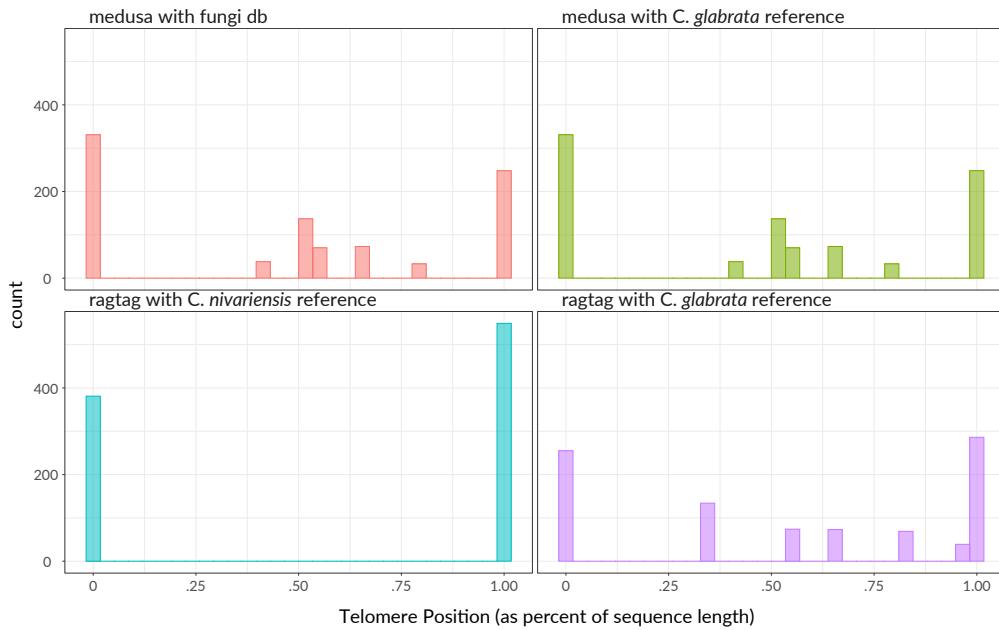


Figure 3.2: Telomere positions reference based scaffolds. Histogram of telomere repeat positions in our assembly, and in scaffolds produced by RagTag and MeDuSa. When MeDuSa is used with a database including the reference genomes of *exititC. nivariensis*, *exititC. glabrata*, *C. bracarensis*, and *N. delphensis*, telomeres are placed in the middle of contigs. The same result is produced when only the *exititC. glabrata* genome is used for scaffolding with MeDuSa, and MeDuSa fails to run when only the *exititC. nivariensis* reference is used. When the *exititC. nivariensis* reference genome is used for scaffolding with RagTag, no changes are made. When the more contiguous *exititC. glabrata* genome is used with RagTag, telomere sequences are again placed in the middle of sequences, suggesting a scaffolding error.

BUSCO is not actually absent in JHU_Cniv_v1. Upon further examination of this alignment, we found that all seven nonmatching nucleotides consist of small deletions associated with poly-A or poly-T homopolymers, known error-prone regions for nanopore sequencing data (Watson and Warr, 2019).

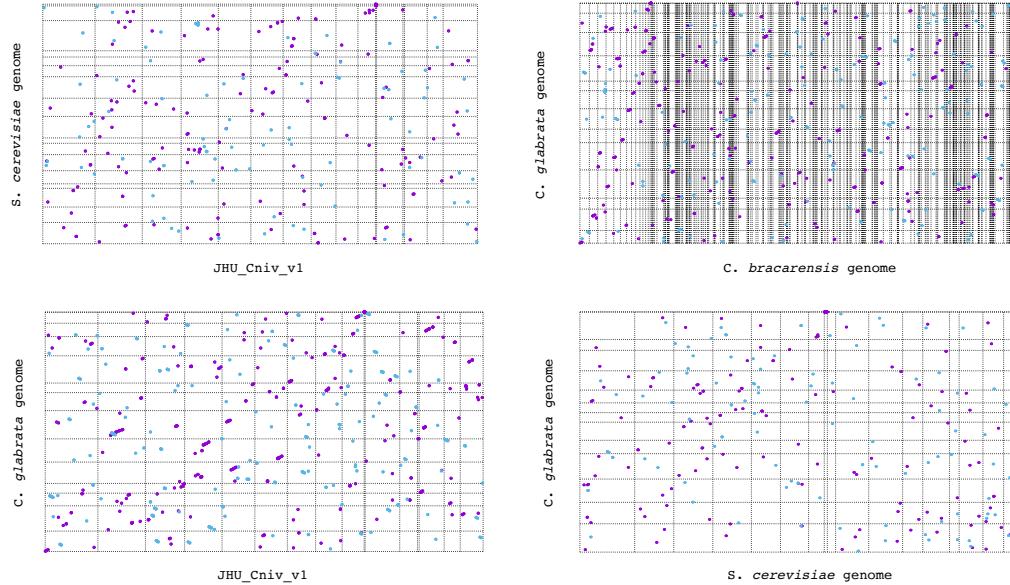


Figure 3.3: Whole genome alignments between related yeasts. Whole genome alignment of our new assembly against the *S. cerevisiae* (top left), and *C. glabrata* (bottom left) reference genomes. For both, there are no long alignments, suggesting that there is little similarity in genome structure between these species and *C. nivariensis*. *C. bracarensis*, a close relative to both *C. glabrata* and *C. nivariensis*, also shares little genome similarity to *C. glabrata* (top right), suggesting that yeast genomes within the *glabrata* clade are not generally similar enough to support inter-species reference based scaffolding. We also compared *C. glabrata* to the highly contiguous and complete *S. cerevisiae* genome (bottom right) to check that genome contiguity alone did not bias the genome similarity detected.

3.3.3 Repetitive genes

As *C. glabrata* subtelomeric regions have been proven to be difficult to correctly assemble using short-read data (Xu et al., 2020), we compare the copy number of *C. glabrata* subtelomere gene homologs between the *C. nivariensis* reference genome and *JHU_Cniv_v1*. Using the assembly and re-annotation of *C. glabrata* from Xu et al. (2020), we extracted the sequences of the *C. glabrata* subtelomere genes and used BLAST (v2.6.0+) to find any matches in the *C.*

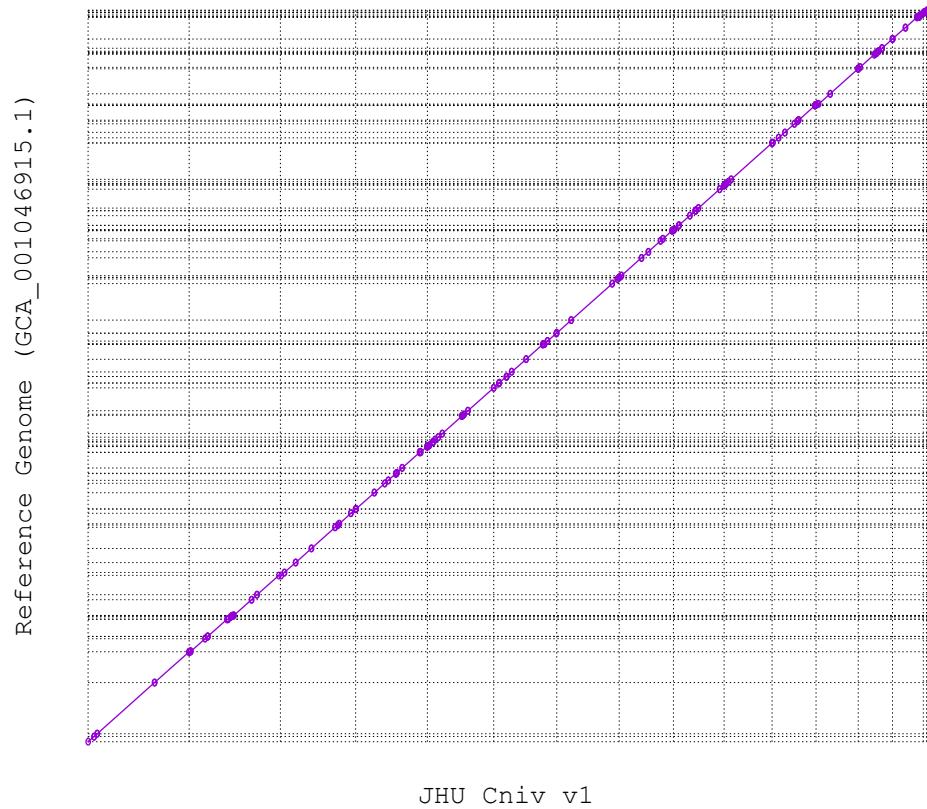


Figure 3.4: Whole genome alignment of JHU_Cniv_v1 and the *C. nivariensis* reference genome. Whole genome alignment of the current reference genome (y axis) compared to our new assembly (x axis). Alignments match with no notable structural variants, and very little missing or duplicated sequence.

nivariensis reference and JHU_Cniv_v1. We observed an identical set of 48 *C. glabrata* subtelomere genes in both *C. nivariensis* genomes but found that the copy number for several genes was greater in JHU_Cniv_v1 (Figure 3.6). To account for genes truncated by short contigs in the reference genome, we calculate copy number by summing the alignment lengths of all the hits of a particular gene and dividing by gene length. Of the 48 *C. glabrata* genes with

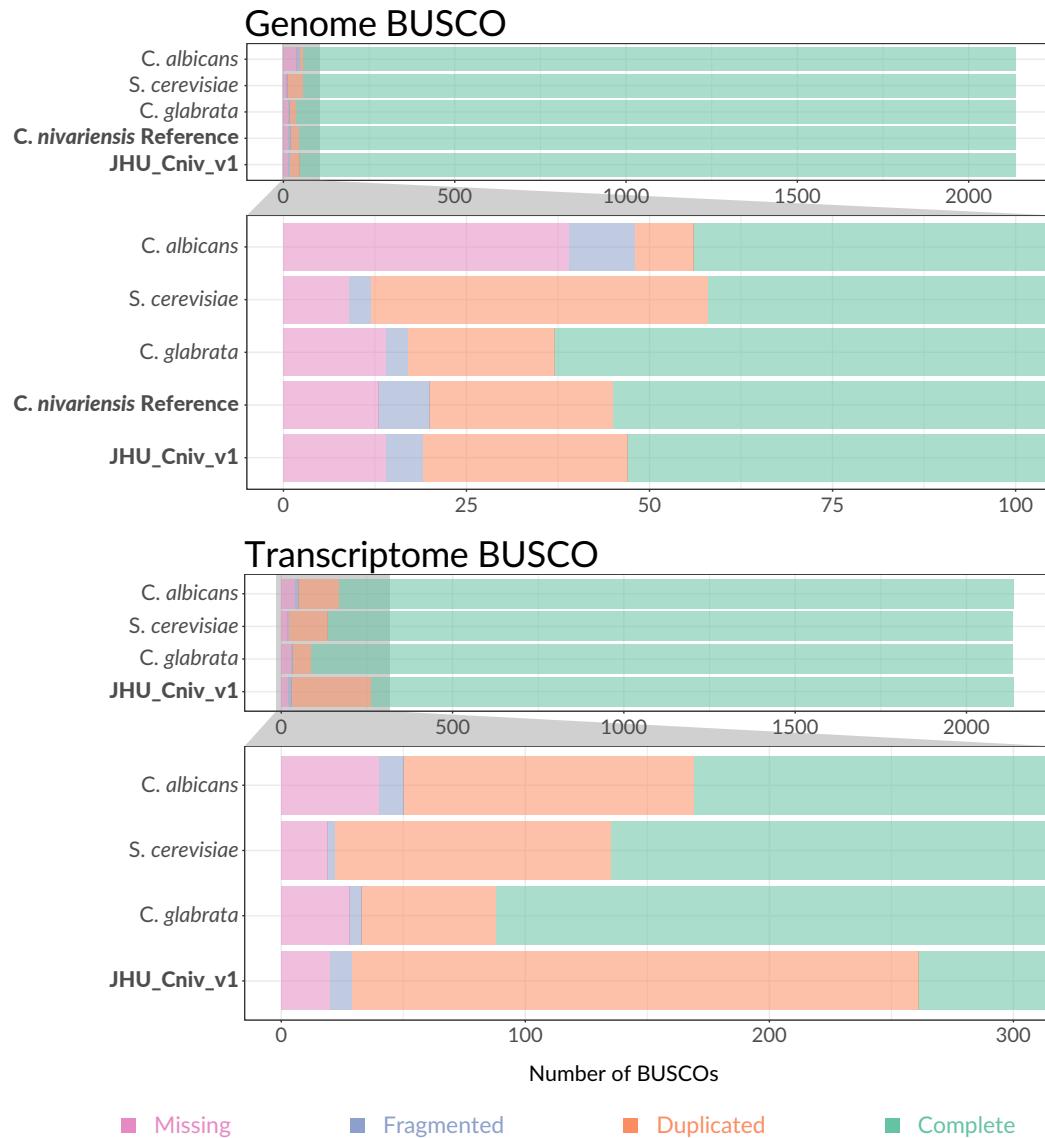


Figure 3.5: Completeness of the JHU_Cniv_v1 assembly. Genome and transcriptome completeness Bar charts comparing BUSCOs detected in JHU_Cniv_v1 and accompanying transcriptome to those of the current *C. albicans*, *S. cerevisiae*, *C. glabrata*, and *C. nivariensis* reference genomes. No reference transcriptome is currently available for *C. nivariensis*.

homology in *C. nivariensis*, 35 are ribosomal. With the exception of just three ribosomal genes, which occur a similar number of times in both *C. nivariensis*

genomes, all homologous ribosomal genes appear once in the reference, and either four or six times in JHU_Cniv_v1 (**Figure 3.6**).

Using JHU_Cniv_v1, we identified GPI-anchored membrane proteins among annotated genes >1000-nt long. Using GffRead (Pertea and Pertea, 2020), we constructed the amino acid sequences for these genes and excluded any with internal stop codons. We then used PredGPI (Pierleoni, Martelli, and Casadio, 2008) to predict which of these encoded GPI proteins, using an FDR cutoff of <0.0005 (Xu et al., 2020) to find 86 total genes. As GPI-anchored fungal adhesins typically contain tandem repeats (Lipke, 2018; Xu et al., 2020), we further filtered for genes overlapping with tandem repeats as classified by Tandem Repeat Finder and identified 53 of the GPI genes as putative adhesins. As with *C. glabrata*, the putative adhesins typically spanned multiple kilobases (**Figure 3.6**), though we do not find very long (>13 kb) genes in contrast to several glabrata GPI-CWPs. To find the corresponding adhesin genes in the *C. nivariensis* reference genome, we again used BLAST, and compared the longest hit of each adhesin gene to the true length of the gene as predicted in JHU_Cniv_v1 (**Figure 3.6**). Notably, no hit in the reference genome exceeded 3.5kb, and 27 of these adhesin genes are not found continuously, suggesting the previous reference either truncated or did not continuously assemble these important pathogenicity genes.

3.4 Discussion

JHU_Cniv_v1 is a high quality, extremely contiguous assembly of *Candida nivariensis* constructed by long reads and polished by short reads. It spans

large, repetitive gaps in the *nivariensis* genome that have fragmented short-read assemblies thus far, and includes a full mitochondrial chromosome, as well as telomere repeats. These telomere repeats are identical to those in *C. glabrata* and have been found to be shared within the entire “*glabrata* group” (Gabaldón et al., 2013). The orientation of the telomeres suggests that *C. nivariensis* has 13 chromosomes, which is in agreement with previous PFGE data (Gabaldón et al., 2013). Furthermore, of the contigs missing telomere

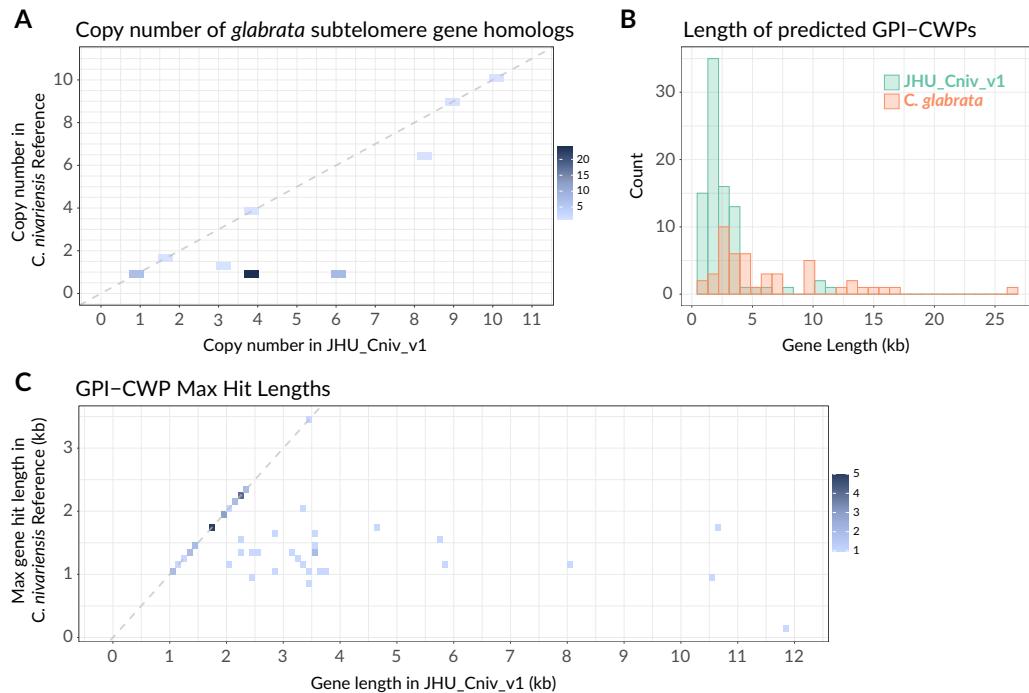


Figure 3.6: GPI genes. (A) Scatterplot showing the number of times each *glabrata* subtelomere gene homolog appears in the *C. nivariensis* reference genome and in JHU_Cniv_v1. Overlapping points are shown on the color scale, and the $y=x$ line is shown in dashed gray. (B) Histogram of adhesion protein lengths in *glabrata* as annotated by Xu et al., and the lengths of predicted adhesion proteins found in JHU_Cniv_v1. (C) Scatterplot showing the maximum BLAST alignment lengths for each predicted *nivariensis* GPI gene in JHU_Cniv_v1 and the *C. nivariensis* reference genome. Overlapping points are shown on the color scale, and the $y=x$ line is shown in dashed gray.

repeats on one end, we note that scaffolding tig05 with tig12 and tig02 with tig24 would result in 13 chromosomes that would all match PFGE length estimates to 8% error or less, which is within the expected range of PFGE error for very large DNA fragments (Cutting et al., 1988).

As assessed by BUSCO, genome completeness of the current *C. nivariensis* reference and JHU_Cniv_v1 are comparable to other related yeasts, with our genome slightly improved over the previous reference. However, while JHU_Cniv_v1 is a much more contiguous assembly than any *C. nivariensis* genome preceding it, the few remaining sequence errors still can pose a problem to downstream analyses, as evidenced by the seemingly absent BUSCO we manually identified.

Our accompanying RNA-seq data enabled us to annotate this genome, achieving a similar level of BUSCO completeness to some of the most highly studied model organisms. Our annotation has comparable or lower levels of missing and fragmented BUSCOs compared to the reference annotations, though more duplicated ones. While our annotation is largely comparable to those of similar yeasts (Table 3.3), it has not been manually curated, and should thus be treated as preliminary. Of course, as these organisms were grown under only one condition before RNA extraction, it remains unlikely that this annotation is fully complete.

To demonstrate the utility of genome and annotation contiguity, we examine genes from a difficult to assemble region in *C. glabrata*. For each subtelomeric *C. glabrata* gene with homology in *C. nivariensis*, more copies were found in JHU_Cniv_v1, as its contiguity allows it to more easily capture repeated

| | Total Exons | Total Genes |
|----------------------|-------------|-------------|
| JHU_Cniv_v1 | 7,298 | 5,859 |
| <i>C. glabrata</i> | 5,629 | 5,448 |
| <i>S. cerevisiae</i> | 6,760 | 6,420 |
| <i>C. albicans</i> | 6,732 | 6,263 |

Table 3.3: Gene and exon counts of JHU_Cniv_v1 and related yeasts. Gene and exon counts of our annotation and currently available reference annotations

genome elements. We note that of subtelomeric *glabrata* genes found, the majority are ribosomal, and of these, only three do not show a four or six times increased copy number in JHU_Cniv_v1. Due to the repetitive nature of rDNA arrays, it can be difficult for short-read genome assemblies to capture them in their full complexity. Conversely, our long-read assembly more easily spans these regions, potentially providing a clearer look at the biology in which they are involved.

In addition to genes arranged in complex and repetitive patterns, our more contiguous assembly enables analysis of large genes with internal repeats, such as GPI adhesins. Since these genes are so large, it can be difficult or impossible to predict them from fragmented assemblies which are unable to capture them in their full length. As adhesins are critical to understanding elements of pathogenicity in these yeasts, fragmented genome assemblies and missing gene annotations can be crippling to this dimension of research in these organisms.

3.5 Methods

3.5.1 Media and growth conditions

For genomic extractions, a single colony of *C. nivariensis* CBS9983, originally isolated from a blood culture of a Spanish woman (Alcoba-Flórez et al., 2005), was inoculated into synthetic complete (SC) medium supplemented with 2% glucose and shaken overnight at 30°C in a glass culture tube. For RNA extractions, *C. nivariensis* CBS9983 was grown to log phase in SC medium supplemented with 2% glucose at 30°C in a glass culture tube.

3.5.2 DNA isolation and sequencing

DNA was extracted from liquid culture using the Zymo Fungal/Bacterial DNA MiniPrep Kit according to manufacturer specifications. Two ONT sequencing libraries were prepared from the extracted DNA using the ONT rapid barcoding sequencing kit (SQK-RBK004), and each was sequenced on a separate MinION flowcell (R9.4). Two Illumina libraries were prepared with the Nextera Flex Library Prep Kit, each using 400ng of extracted DNA. Both Illumina libraries were then sequenced on a single iSeq 100 run.

3.5.3 RNA isolation and sequencing

RNA was extracted from liquid culture using the Zymo Fungal/Bacterial RNA MiniPrep Kit. Using the NEBNext Poly(A) mRNA Magnetic Isolation Module, polyA tailed mRNA was isolated from the total RNA. Two ONT direct RNA sequencing libraries were prepared and sequenced on separate MinION

flowcells, each using 200ng of polyA selected RNA and the SQK-RNA002 sequencing kit. With the NEBNext Ultra II RNA First-Strand Synthesis Module and the NEBNext Ultra II Non-Directional RNA Second Strand Synthesis Module, cDNA was prepared from the isolated mRNA. Two individual Illumina libraries were then prepared with the Nextera Flex Library Prep Kit, each using 400ng of cDNA. Both library replicates were then sequenced on a single iSeq 100 run, generating 2×150 paired-end reads.

3.5.4 Genome assembly

Nanopore data were basecalled using Guppy v3.2.4 on default settings. Reads greater than 3kb long with an average basecalling quality score greater than 7 were assembled into 21 contigs using Canu v2.1 (Koren et al., 2017) on default settings with the genome size set to 11m. Illumina DNA reads were trimmed for adapters and quality using Trimmomatic v0.39 (Bolger, Lohse, and Usadel, 2014) using settings LEADING:3 TRAILING:3 SLIDINGWINDOW:4:30 MINLEN:36. The trimmed reads were then used to iteratively correct draft assembly using Freebayes v1.3.4-pre1 (Garrison and Marth, 2012) with alignments made by bwa mem v0.7.17-r1198-dirty (Li, 2013) using default settings. Changes were made at positions where both the alternative allele frequency was greater than 0.5 and the total number of alternate allele observations was greater than 5. We aligned and corrected the assembly iteratively for three rounds, after which further rounds of corrections made no changes.

Of our 21 corrected contigs, 5 were flagged as repeats by Canu and originally constructed from fewer than 180 nanopore reads. The remaining 16

contigs were constructed from over 1800 nanopore reads each. Because the five repetitive contigs were constructed from so few reads and were found to occur elsewhere in the assembly through Mummer v4.0.0rc1 (Marçais et al., 2018) and nanopore read alignment Minimap2 v2.17 (Li, 2018), we excluded them from the final assembly. One 32-Kb contig was suggested to be circular by Canu, and therefore likely to be a mitochondrial sequence. To confirm, we aligned this contig to the complete mitochondrial genome of *C. nivariensis* (NCBI: NC_036379.1) using Mummer, and observed a 3662-bp sequence in the reference mitochondrial genome which appears at both ends of our 32-kb circular contig. Using the Mummer alignments (Figure 3.7), we removed the extraneous 3662bp from the end of our contig, resulting in a 28-kb mitochondrial genome, which we named “JHU_Cniv_v1_mito.” Lastly, we remapped the ONT and Illumina reads back to the assembly, and found no bases with zero coverage, indicating that none of our contigs need to be further broken (Figure 3.8). Henceforth, we refer to this assembly as “JHU_Cniv_v1.”

Repeat regions were identified by Tandem Repeats Finder v4.09 (Benson, 1999) with settings (Xu et al., 2020): `match = 2, mismatch = 7, delta = 7, pm = 80, pi = 10, minscore = 50, maxperiod = 600.` Multimapping short reads were identified using bwa mem (Li, 2013) on default settings.

3.5.5 Annotation

Illumina RNA-seq reads were trimmed using Trimmomatic v0.39 (Bolger, Lohse, and Usadel, 2014) in order to check for any remaining adapter sequences and to filter out reads with low base quality. HISAT2 v2.1.0 was

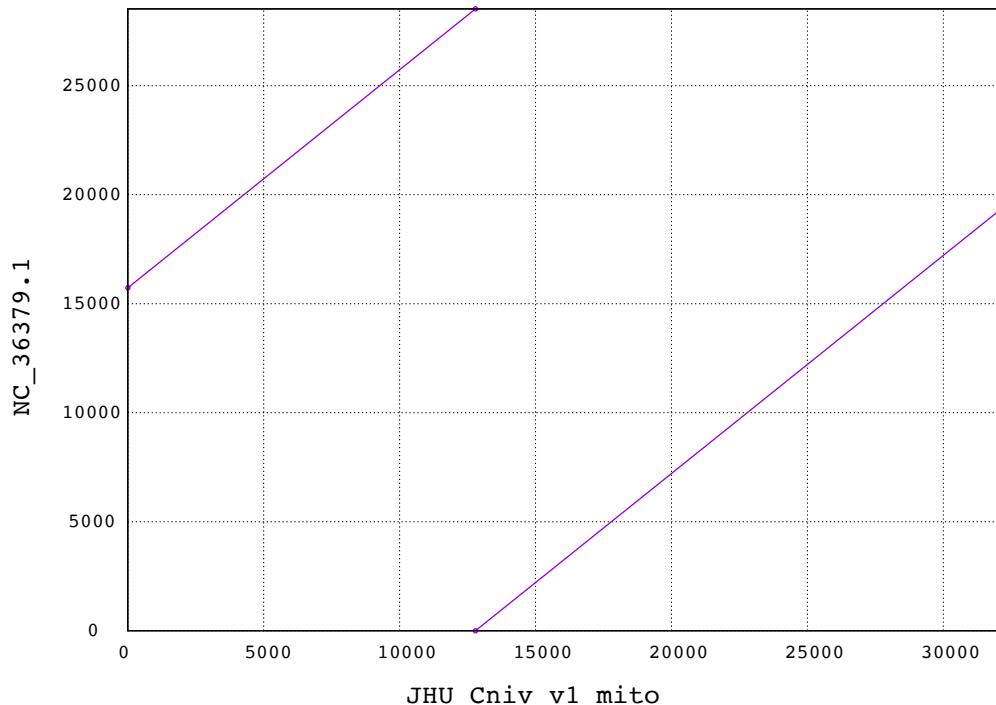


Figure 3.7: Alignment of JHU_Cniv_v1 mitochondrial contig and the *C. nivariensis* mitochondrial genome. Alignment of our 32Kb circular contig (x axis) with the completed mitochondrial genome of the *C. nivariensis* reference genome (y axis). The final 3662bp of this contig appears twice in the reference genome.

used on default settings to align the trimmed cDNA reads to the assembly. The BRAKER v2.1.5 pipeline (Hoff et al., 2019) was then used to make gene predictions using these alignments. Currently, ONT dRNA compatibility with BRAKER is in development, and that data was thus not used for prediction. Instead, ONT dRNA reads were aligned to the genome assembly using Minimap2 on recommended settings for nanopore direct RNA reads (`-ax splice -uf -k14`). Transcripts were then assembled from the dRNA alignments using StringTie2 v2.1.5 (Kovaka et al., 2019) with the long read option (`-L`). Using Liftoff v1.5.0 (Shumate and Salzberg, 2020), we lifted over the annotations

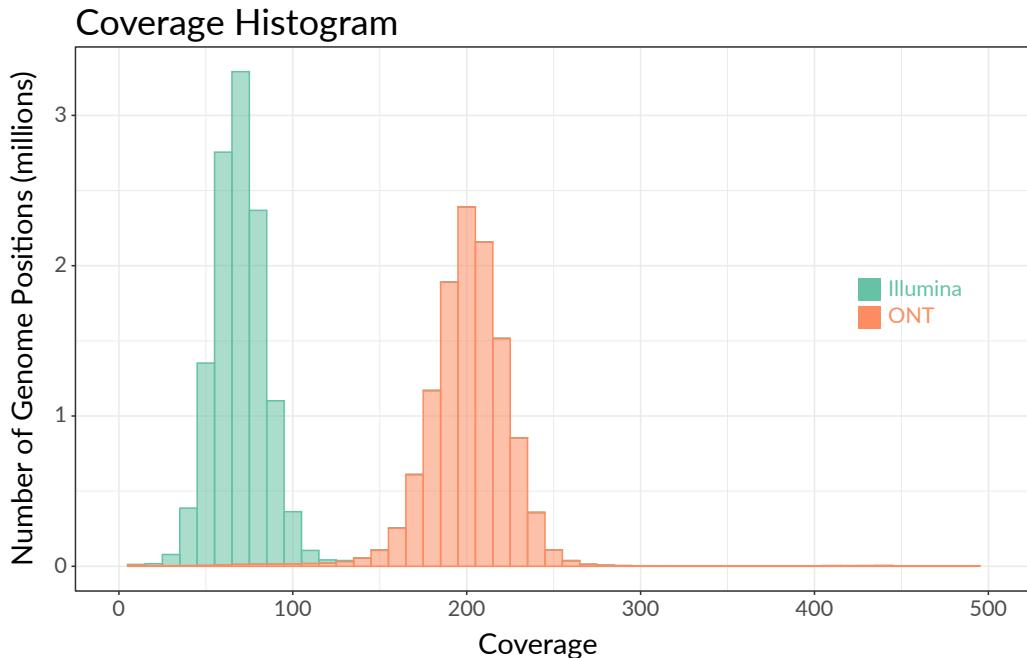


Figure 3.8: Coverage histograms. Histogram of coverage per base in our assembly by filtered (>3kb) ONT reads and trimmed Illumina reads.

from *C. glabrata* (NCBI: GCF_000002545.3), *Saccharomyces cerevisiae* (NCBI: GCF_000146045.2), *Candida albicans* (NCBI: GCF_000182965.3).

Starting with the BRAKER predictions, GffCompare v0.12.1 (Pertea and Pertea, 2020) was used to add nonoverlapping annotations lifted from *C. glabrata*, *S. cerevisiae*, and *C. albicans* in that order. Specifically, we add any annotation with class code “u” in the GffCompare .tmap outputs when comparing our list of genes with a list of potential genes to add, since these refer to intergenic regions devoid of any overlap or proximity to previous annotations. Finally, we compared and added nonredundant transcripts assembled by StringTie2 to the annotation using GffCompare.

| | Total | Gene | Exon |
|-----------------------|--------|-------|-------|
| Augustus (BRAKER) | 23,497 | 5,028 | 6,109 |
| Genemark.hmm (BRAKER) | 36 | 6 | 12 |
| Liftoff glabrata | 263 | 130 | 2 |
| Liftoff cerevisiae | 42 | 21 | 0 |
| Liftoff albicans | 0 | 0 | 0 |
| StringTie | 2,141 | 824 | 1,175 |

Table 3.4: Contributions from each annotation software. Number of genes and exons added by each software

3.5.6 Data Availability

All sequence data are available in the Sequence Read Archive, under BioProject PRJNA686979. This Whole Genome Shotgun project has been deposited at DDBJ/ENA/GenBank under the accession JAEVGP000000000. The version described in this here is version JAEVGP010000000. Code used for analysis is available at <https://github.com/timplab/nivar>.

References

- Borman, Andrew M, Rebecca Petch, Christopher J Linton, Michael D Palmer, Paul D Bridge, and Elizabeth M Johnson (2008). "Candida nivariensis, an emerging pathogenic fungus with multidrug resistance to antifungal agents". en. In: *J. Clin. Microbiol.* 46.3, pp. 933–938.
- Aznar-Marin, Pilar, Fátima Galan-Sánchez, Pilar Marin-Casanova, Pedro García-Martos, and Manuel Rodríguez-Iglesias (2016). "Candida nivariensis as a New Emergent Agent of Vulvovaginal Candidiasis: Description of Cases and Review of Published Studies". en. In: *Mycopathologia* 181.5-6, pp. 445–449.
- Gabaldón, Toni, Tiphaine Martin, Marina Marçet-Houben, Pascal Durrens, Monique Bolotin-Fukuhara, Olivier Lespinet, Sylvie Arnaise, Stéphanie Boisnard, Gabriela Aguileta, Ralitsa Atanasova, Christiane Bouchier, Arnaud Couloux, Sophie Creno, Jose Almeida Cruz, Hugo Devillers, Adela Enache-Angoulvant, Juliette Guitard, Laure Jaouen, Laurence Ma, Christian Marck, Cécile Neuvéglise, Eric Pelletier, Amélie Pinard, Julie Poulaïn, Julien Recoquillay, Eric Westhof, Patrick Wincker, Bernard Dujon, Christophe Hennequin, and Cécile Fairhead (2013). "Comparative genomics of emerging pathogens in the *Candida glabrata* clade". en. In: *BMC Genomics* 14, p. 623.
- Croll, Daniel, Marcello Zala, and Bruce A McDonald (2013). "Breakage-fusion-bridge cycles and large insertions contribute to the rapid evolution of accessory chromosomes in a fungal pathogen". en. In: *PLoS Genet.* 9.6, e1003567.
- Ford, Christopher B, Jason M Funt, Darren Abbey, Luca Issi, Candace Guiducci, Diego A Martinez, Toni Delorey, Bi Yu Li, Theodore C White, Christina Cuomo, Reeta P Rao, Judith Berman, Dawn A Thompson, and Aviv Regev (2015). "The evolution of drug resistance in clinical isolates of *Candida albicans*". en. In: *Elife* 4, e00662.

- López-Fuentes, Eunice, Guadalupe Gutiérrez-Escobedo, Bea Timmermans, Patrick Van Dijck, Alejandro De Las Peñas, and Irene Castaño (2018). "Candida glabrata's Genome Plasticity Confers a Unique Pattern of Expressed Cell Wall Proteins". en. In: *J Fungi (Basel)* 4.2.
- Carreté, Laia, Ewa Ksieziopolska, Emilia Gómez-Molero, Adela Angoulvant, Oliver Bader, Cécile Fairhead, and Toni Gabaldón (2019). "Genome Comparisons of Candida glabrata Serial Clinical Isolates Reveal Patterns of Genetic Variation in Infecting Clonal Populations". en. In: *Front. Microbiol.* 10, p. 112.
- Todd, Robert T, Tyler D Wikoff, Anja Forche, and Anna Selmecki (2019). "Genome plasticity in Candida albicans is driven by long repeat sequences". en. In: *Elife* 8.
- Barry, J D, M L Ginger, P Burton, and R McCulloch (2003). "Why are parasite contingency genes often associated with telomeres?" en. In: *Int. J. Parasitol.* 33.1, pp. 29–45.
- De Las Peñas, Alejandro, Shih-Jung Pan, Irene Castaño, Jonathan Alder, Robert Cregg, and Brendan P Cormack (2003). "Virulence-related surface glycoproteins in the yeast pathogen Candida glabrata are encoded in subtelomeric clusters and subject to RAP1- and SIR-dependent transcriptional silencing". en. In: *Genes Dev.* 17.18, pp. 2245–2258.
- Naumov, G I, E S Naumova, and E J Louis (1995). "Genetic mapping of the alpha-galactosidase MEL gene family on right and left telomeres of *Saccharomyces cerevisiae*". en. In: *Yeast* 11.5, pp. 481–483.
- Iraqui, Ismail, Susana Garcia-Sánchez, Sylvie Aubert, Françoise Dromer, Jean-Marc Ghigo, Christophe d'Enfert, and Guilhem Janbon (2005). "The Yak1p kinase controls expression of adhesins and biofilm formation in Candida glabrata in a Sir4p-dependent pathway". en. In: *Mol. Microbiol.* 55.4, pp. 1259–1271.
- Carreto, Laura, Maria F Eiriz, Ana C Gomes, Patrícia M Pereira, Dorit Schuller, and Manuel A S Santos (2008). "Comparative genomics of wild type yeast strains unveils important genome diversity". en. In: *BMC Genomics* 9, p. 524.
- Brown, Chris A, Andrew W Murray, and Kevin J Verstrepen (2010). "Rapid expansion and functional divergence of subtelomeric gene families in yeasts". en. In: *Curr. Biol.* 20.10, pp. 895–903.
- Anderson, Matthew Z, Lauren J Wigen, Laura S Burrack, and Judith Berman (2015). "Real-Time Evolution of a Subtelomeric Gene Family in Candida albicans". en. In: *Genetics* 200.3, pp. 907–919.

- Timmermans, Bea, Alejandro De Las Peñas, Irene Castaño, and Patrick Van Dijck (2018). "Adhesins in *Candida glabrata*". en. In: *J Fungi (Basel)* 4.2.
- McCall, Andrew D, Ruvini U Pathirana, Aditi Prabhakar, Paul J Cullen, and Mira Edgerton (2019). "Candida albicans biofilm development is governed by cooperative attachment and adhesion maintenance proteins". en. In: *NPJ Biofilms Microbiomes* 5.1, p. 21.
- Carreté, Laia, Ewa Ksiezińska, Cinta Pegueroles, Emilia Gómez-Molero, Ester Saus, Susana Iraola-Guzmán, Damian Loska, Oliver Bader, Cecile Fairhead, and Toni Gabaldón (2018). "Patterns of Genomic Variation in the Opportunistic Pathogen *Candida glabrata* Suggest the Existence of Mating and a Secondary Association with Humans". en. In: *Curr. Biol.* 28.1, 15–27.e7.
- Wick, Ryan R, Louise M Judd, and Kathryn E Holt (2019). "Performance of neural network basecalling tools for Oxford Nanopore sequencing". en. In: *Genome Biol.* 20.1, p. 129.
- Fox, Edward J, Kate S Reid-Bayliss, Mary J Emond, and Lawrence A Loeb (2014). "Accuracy of Next Generation Sequencing Platforms". en. In: *Next Gener Seq Appl* 1.
- Watson, Mick and Amanda Warr (2019). "Errors in long-read assemblies can critically affect protein prediction". en. In: *Nat. Biotechnol.* 37.2, pp. 124–126.
- Garrison, Erik and Gabor Marth (2012). "Haplotype-based variant detection from short-read sequencing". In: arXiv: [1207.3907 \[q-bio.GN\]](https://arxiv.org/abs/1207.3907).
- Walker, Bruce J, Thomas Abeel, Terrance Shea, Margaret Priest, Amr Abouel-fet, Sharadha Sakthikumar, Christina A Cuomo, Qiandong Zeng, Jennifer Wortman, Sarah K Young, and Ashlee M Earl (2014). "Pilon: an integrated tool for comprehensive microbial variant detection and genome assembly improvement". en. In: *PLoS One* 9.11, e112963.
- Vaser, Robert, Ivan Sović, Niranjan Nagarajan, and Mile Šikić (2017). "Fast and accurate de novo genome assembly from long uncorrected reads". en. In: *Genome Res.* 27.5, pp. 737–746.
- Salzberg, Steven L (2019). "Next-generation genome annotation: we still struggle to get it right". en. In: *Genome Biol.* 20.1, p. 92.
- McEachern, M J and E H Blackburn (1994). "A conserved sequence motif within the exceptionally diverse telomeric sequences of budding yeasts". en. In: *Proc. Natl. Acad. Sci. U. S. A.* 91.8, pp. 3453–3457.
- Bosi, Emanuele, Beatrice Donati, Marco Galardini, Sara Brunetti, Marie-France Sagot, Pietro Lió, Pierluigi Crescenzi, Renato Fani, and Marco Fondi (2015).

- “MeDuSa: a multi-draft based scaffolder”. en. In: *Bioinformatics* 31.15, pp. 2443–2451.
- Alonge, Michael, Sebastian Soyk, Srividya Ramakrishnan, Xingang Wang, Sara Goodwin, Fritz J Sedlazeck, Zachary B Lippman, and Michael C Schatz (2019). “RaGOO: fast and accurate reference-guided scaffolding of draft genomes”. en. In: *Genome Biol.* 20.1, p. 224.
- Simão, Felipe A, Robert M Waterhouse, Panagiotis Ioannidis, Evgenia V Kriventseva, and Evgeny M Zdobnov (2015). “BUSCO: assessing genome assembly and annotation completeness with single-copy orthologs”. en. In: *Bioinformatics* 31.19, pp. 3210–3212.
- Xu, Zhuwei, Brian Green, Nicole Benoit, Michael Schatz, Sarah Wheelan, and Brendan Cormack (2020). “De novo genome assembly of *Candida glabrata* reveals cell wall protein complement and structure of dispersed tandem repeat arrays”. en. In: *Mol. Microbiol.* 113.6, pp. 1209–1224.
- Pertea, Geo and Mihaela Pertea (2020). “GFF Utilities: GffRead and GffCompare”. en. In: *F1000Res.* 9.
- Pierleoni, Andrea, Pier Luigi Martelli, and Rita Casadio (2008). “PredGPI: a GPI-anchor predictor”. en. In: *BMC Bioinformatics* 9, p. 392.
- Lipke, Peter N (2018). “What We Do Not Know about Fungal Cell Adhesion Molecules”. en. In: *J Fungi (Basel)* 4.2.
- Cutting, G R, S E Antonarakis, H Youssoufian, and H H Kazazian Jr (1988). “Accuracy and limitations of pulsed field gel electrophoresis in sizing partial deletions of the factor VIII gene”. en. In: *Mol. Biol. Med.* 5.3, pp. 173–184.
- Alcoba-Flórez, Julia, Sebastián Méndez-Alvarez, Josep Cano, Josep Guarro, Eduardo Pérez-Roth, and María del Pilar Arévalo (2005). “Phenotypic and molecular characterization of *Candida nivariensis* sp. nov., a possible new opportunistic fungus”. en. In: *J. Clin. Microbiol.* 43.8, pp. 4107–4111.
- Koren, Sergey, Brian P Walenz, Konstantin Berlin, Jason R Miller, Nicholas H Bergman, and Adam M Phillippy (2017). “Canu: scalable and accurate long-read assembly via adaptive k-mer weighting and repeat separation”. en. In: *Genome Res.* 27.5, pp. 722–736.
- Bolger, Anthony M, Marc Lohse, and Bjoern Usadel (2014). “Trimmomatic: a flexible trimmer for Illumina sequence data”. en. In: *Bioinformatics* 30.15, pp. 2114–2120.
- Li, Heng (2013). “Aligning sequence reads, clone sequences and assembly contigs with BWA-MEM”. In: arXiv: 1303.3997 [q-bio.GN].

- Marçais, Guillaume, Arthur L Delcher, Adam M Phillippy, Rachel Coston, Steven L Salzberg, and Aleksey Zimin (2018). “MUMmer4: A fast and versatile genome alignment system”. en. In: *PLoS Comput. Biol.* 14.1, e1005944.
- Li, Heng (2018). “Minimap2: pairwise alignment for nucleotide sequences”. en. In: *Bioinformatics* 34.18, pp. 3094–3100.
- Benson, G (1999). “Tandem repeats finder: a program to analyze DNA sequences”. en. In: *Nucleic Acids Res.* 27.2, pp. 573–580.
- Hoff, Katharina J, Alexandre Lomsadze, Mark Borodovsky, and Mario Stanke (2019). “Whole-Genome Annotation with BRAKER”. en. In: *Methods Mol. Biol.* 1962, pp. 65–95.
- Kovaka, Sam, Aleksey V Zimin, Geo M Pertea, Roham Razaghi, Steven L Salzberg, and Mihaela Pertea (2019). “Transcriptome assembly from long-read RNA-seq alignments with StringTie2”. en. In: *Genome Biol.* 20.1, p. 278.
- Shumate, Alaina and Steven L Salzberg (2020). “Liftoff: an accurate gene annotation mapping tool”. en.

Chapter 4

Discussion and Conclusion

Discuss and conclude your thesis (Abramson, Barbie, and Rider, 1900)

References

Abramson, A. A., B. B. Barbie, and C. C. Rider (1900). "Article title". In: *Journal Three* 1.1, pp. 192–244.



John Doe

Resumé title

Some quote

Education

year–year **Degree**, *Institution, City, Grade.*
Description

year–year **Degree**, *Institution, City, Grade.*
Description

Master thesis

title *Title*
supervisors Supervisors
description Short thesis abstract

Experience

Vocational

year–year **Job title**, *Employer, City.*
General description no longer than 1–2 lines.
Detailed achievements:

- Achievement 1;
- Achievement 2, with sub-achievements:
 - Sub-achievement (a);
 - Sub-achievement (b), with sub-sub-achievements (don't do this!);
 - Sub-sub-achievement i;
 - Sub-sub-achievement ii;
 - Sub-sub-achievement iii;
 - Sub-achievement (c);
- Achievement 3.

year–year **Job title**, *Employer, City.*
Description line 1
Description line 2

Miscellaneous

street and number – postcode city – country

✉ +1 (234) 567 890 • ☎ +2 (345) 678 901 • 📲 +3 (456) 789 012

✉ john@doe.org • 🌐 www.johndoe.com • 💬 john.doe • 🐦 jdoe

👤 jdoe • additional information

year–year **Job title**, *Employer*, City.
Description

Languages

| | | |
|------------|-------------|----------------|
| Language 1 | Skill level | <i>Comment</i> |
| Language 2 | Skill level | <i>Comment</i> |
| Language 3 | Skill level | <i>Comment</i> |

Computer skills

| | | | |
|------------|---------------|------------|---------------|
| category 1 | XXX, YYY, ZZZ | category 4 | XXX, YYY, ZZZ |
| category 2 | XXX, YYY, ZZZ | category 5 | XXX, YYY, ZZZ |
| category 3 | XXX, YYY, ZZZ | category 6 | XXX, YYY, ZZZ |

Interests

| | |
|---------|-------------|
| hobby 1 | Description |
| hobby 2 | Description |
| hobby 3 | Description |

Extra 1

- Item 1
- Item 2
- Item 3. This item is particularly long and therefore normally spans over several lines. Did you notice the indentation when the line wraps?

Extra 2

- Item 1
- Item 2
- Item 3
- Item 4
- Item 5[3]
- Item 6. Like item 3 in the single column list before, this item is particularly long to wrap over several lines.

References

| Category 1 | Category 2 | All the rest & some more |
|------------|---|--|
| ○ Person 1 | Amongst others: ○ Person 1, and ○ Person 2 (more upon request) | That person, and those also (all available upon request). |

Publications

- [1] John Doe. Title, year.

street and number – postcode city – country

✉ +1 (234) 567 890 • ☎ +2 (345) 678 901 • 📲 +3 (456) 789 012

✉ john@doe.org • 🌐 www.johndoe.com • 💬 john.doe • 🐦 jdoe

70
✉ jdoe • additional information

- [2] John Doe. Title, year.
- [3] John Doe and Author 1. *Title*. Publisher, edition edition, year.
- [4] John Doe and Author 2. *Title*. Publisher, edition edition, year.
- [5] John Doe and Author 3. Title, year.

street and number – postcode city – country

✉ +1 (234) 567 890 • ☎ +2 (345) 678 901 • 📲 +3 (456) 789 012
✉ john@doe.org • 🌐 www.johndoe.com • 💬 john.doe • 🐦 jdoe
👤 jdoe • additional information

Company Recruitment team
Company, Inc.
123 somestreet
some city

January 01, 1984

Dear Sir or Madam,

Lorem ipsum dolor sit amet, consectetur adipiscing elit. Duis ullamcorper neque sit amet lectus facilisis sed luctus nisl iaculis. Vivamus at neque arcu, sed tempor quam. Curabitur pharetra tincidunt tincidunt. Morbi volutpat feugiat mauris, quis tempor neque vehicula volutpat. Duis tristique justo vel massa fermentum accumsan. Mauris ante elit, feugiat vestibulum tempor eget, eleifend ac ipsum. Donec scelerisque lobortis ipsum eu vestibulum. Pellentesque vel massa at felis accumsan rhoncus.

Suspendisse commodo, massa eu congue tincidunt, elit mauris pellentesque orci, cursus tempor odio nisl euismod augue. Aliquam adipiscing nibh ut odio sodales et pulvinar tortor laoreet. Mauris a accumsan ligula. Class aptent taciti sociosqu ad litora torquent per conubia nostra, per inceptos himenaeos. Suspendisse vulputate sem vehicula ipsum varius nec tempus dui dapibus. Phasellus et est urna, ut auctor erat. Sed tincidunt odio id odio aliquam mattis. Donec sapien nulla, feugiat eget adipiscing sit amet, lacinia ut dolor. Phasellus tincidunt, leo a fringilla consectetur, felis diam aliquam urna, vitae aliquet lectus orci nec velit. Vivamus dapibus varius blandit.

Duis sit amet magna ante, at sodales diam. Aenean consectetur porta risus et sagittis. Ut interdum, enim varius pellentesque tincidunt, magna libero sodales tortor, ut fermentum nunc metus a ante. Vivamus odio leo, tincidunt eu luctus ut, sollicitudin sit amet metus. Nunc sed orci lectus. Ut sodales magna sed velit volutpat sit amet pulvinar diam venenatis.

Albert Einstein discovered that $e = mc^2$ in 1905.

$$e = \lim_{n \rightarrow \infty} \left(1 + \frac{1}{n}\right)^n$$

Yours faithfully,

John Doe

Attached: *curriculum vitæ*

John Doe

street and number – postcode city – country

✉ +1 (234) 567 890 • ☎ +2 (345) 678 901 • 📞 +3 (456) 789 012

✉ john@doe.org • 🌐 www.johndoe.com • 💬 john.doe • 🐦 jdoe

✉ jdoe • additional information