



λ -calculus

Chapter 11.7

What can be done by a computer?

- Algorithm formalization – 1930s

- Church, Turing, Kleene, Post, etc.

- *Church's thesis:*

- All intuitive computing models are equally powerful.*

- Turing machine

- automaton with an unbounded tape

- *imperative programming*

- Church's λ -calculus

- computes by substituting parameters into expressions

- *functional programming*

- Logic: Horn clauses

- collection of axioms to solve a goal

- *logic programming*

λ -calculus

- *λ -calculus*
 - Church (1941) – to study computations with functions
 - *Everything is a function!*
- *λ -expressions* – defined recursively:
 - *name*: x, y, z, u, v, \dots
 - *abstraction*: $\lambda x.M$
 - function with parameter x and body M
 - *applications*: $M N$ – function M applied to N
- Examples
 - $(\lambda x.x*x)$ - a function that maps x to $x*x$
 - $(\lambda x.x*x) 4$ - the same function applied to 4

λ -calculus

- Syntactic rules

- application is left-associative

$x\ y\ z$ means $(x\ y)\ z$

- application has higher precedence than abstraction

$\lambda x.A\ B$ means $\lambda x.(A\ B)$ (not $(\lambda x.A)\ B$)

- consecutive abstractions:

$\lambda x_1 x_2 \dots x_n. e$ means $\lambda x_1. (\lambda x_2. (\dots (\lambda x_n. e) \dots))$

- Example:

$\lambda xyz. x\ z\ (y\ z) = (\lambda x. (\lambda y. (\lambda z. ((x\ z)\ (y\ z))))$

λ -calculus

- Context-free grammars (CFG)

- CFG for λ -expressions

$$expr \rightarrow name \mid (\lambda name . expr) \mid (expr expr)$$

- CFG for λ -expressions with minimum parentheses

$$expr \rightarrow name \mid \lambda name . expr \mid func\ arg$$
$$func \rightarrow name \mid (\lambda name . expr) \mid func\ arg$$
$$arg \rightarrow name \mid (\lambda name . expr) \mid (func\ arg)$$

λ -calculus

- Examples

`square = λx .times x x`

`identity = λx .x`

`const7 = λx .7`

`hypot = λx . λy .sqrt (plus (square x) (square y))`

Free and bound variables

- $\lambda x.M$ - is a *binding* of the variable (or name) x
 - lexical scope
 - x is said to be *bound* in $\lambda x.M$
 - all x in $\lambda x.M$ are bound within the scope of this binding
- x is *free* in M if it is not bound
- $free(M)$ - the set of free variables in M
 - $free(x) = \{x\}$
 - $free(M N) = free(M) \cup free(N)$
 - $free(\lambda x.M) = free(M) - \{x\}$
- $bound(M)$ - the set of variables which are not free
 - any occurrence of a variable is free or bound; not both

Free and bound variables

- Example
 - x is free
 - y, z are bound

$\lambda y. \lambda z. x \ z \ (y \ z)$

Computing with pure λ -terms

- Computing idea:
 - reduce the terms into as simple a form as possible
 - $(\lambda x.M) N =_{\beta} \{N/x\}M$ – *substitute N for x in M*
 - the right-hand side is expected to be simpler
- Example:

$$(\lambda xy.x) u v =_{\beta} (\lambda y.u) v =_{\beta} u$$

Substitution

- $\{N/x\}M$ – *substitution* of term N for variable x in M
- Substitution rules (informal):
 - (i) if $free(N) \cap bound(M) = \emptyset$
then just replace all free occurrences of x in M
 - (ii) otherwise, rename with fresh variables until (i) applies

Substitution rules

- In variables: the same or different variable
 - $\{N/x\}x = N$
 - $\{N/x\}y = y, y \neq x$
- In applications – the substitution distributes
 - $\{N/x\}(P \ Q) = \{N/x\}P \ \{N/x\}Q$
- In abstractions – several cases
 - no free x :
$$\{N/x\}(\lambda x.P) = \lambda x.P$$
 - no interaction – y is not free in N :
$$\{N/x\}(\lambda y.P) = \lambda y.\{N/x\}P, \quad y \neq x, y \notin \text{free}(N)$$
 - *renaming* – y is free in N ; y is renamed to z in P :
$$\{N/x\}(\lambda y.P) = \lambda z.\{N/x\}\{z/y\}P,$$
$$y \neq x, y \in \text{free}(N), z \notin \text{free}(N) \cup \text{free}(P)$$

Computing with pure λ -terms

- Rewriting rules
- α -conversion – renaming the formal parameters
$$\lambda x.M \Rightarrow_{\alpha} \lambda y.\{y/x\}M, y \notin \text{free}(M)$$
- β -reduction – applying an abstraction to an argument
$$(\lambda x.M) N \Rightarrow_{\beta} \{N/x\} M$$

Equality of pure λ -terms

- Example

$$\begin{aligned} & (\lambda x y z. x \ z \ (y \ z)) \ (\underline{\lambda x. x}) \ (\lambda x. x) \\ \Rightarrow_{\alpha} & (\lambda x y z. x \ z \ (y \ z)) \ (\lambda u. u) \ (\underline{\lambda x. x}) \\ \Rightarrow_{\alpha} & (\underline{\lambda x} y z. x \ z \ (y \ z)) \ (\underline{\lambda u. u}) \ (\lambda v. v) \\ \Rightarrow_{\beta} & (\lambda y z. (\underline{\lambda u. u}) \ z \ (y \ z)) \ (\lambda v. v) \\ \Rightarrow_{\beta} & (\lambda \underline{y} z. z \ (y \ z)) \ (\underline{\lambda v. v}) \\ \Rightarrow_{\beta} & \lambda z. z \ ((\underline{\lambda v. v}) \ z) \\ \Rightarrow_{\beta} & \lambda z. z \ z \end{aligned}$$

Equality of pure λ -terms

- Example

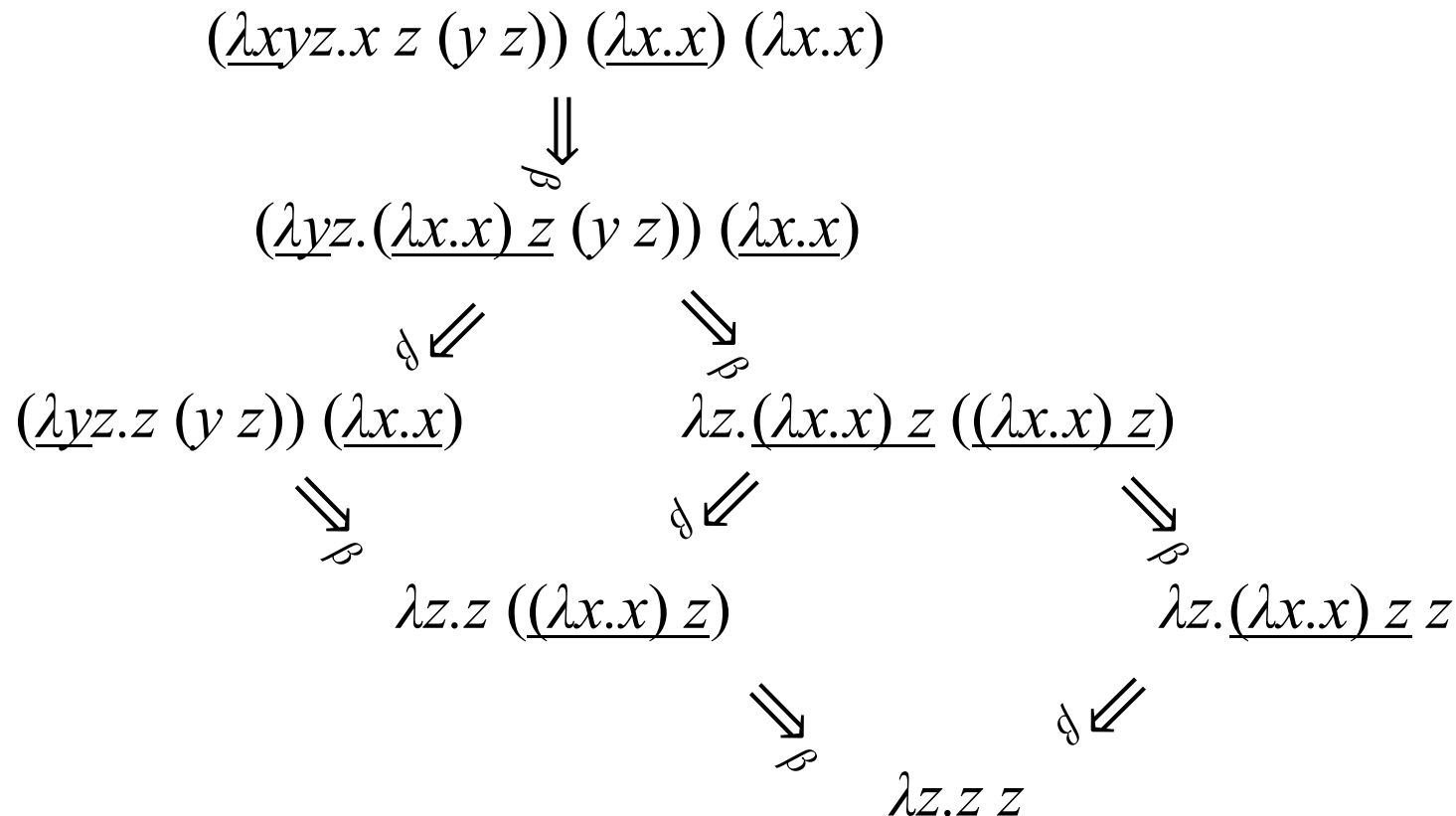
$$\begin{aligned} & (\lambda f g h. f g (h h)) (\lambda x y. x) h (\lambda x. x x) \\ \Rightarrow_{\beta} & (\lambda g h. (\lambda x y. x) g (\underline{h h})) h (\lambda x. x x) \\ \Rightarrow_{\alpha} & (\lambda g k. (\lambda x y. x) g (k k)) \underline{h} (\lambda x. x x) \\ \Rightarrow_{\beta} & (\lambda k. (\lambda x y. x) h (k k)) (\lambda x. x x) \\ \Rightarrow_{\beta} & (\lambda x y. x) \underline{h} ((\lambda x. x x) (\lambda x. x x)) \\ \Rightarrow_{\beta} & (\lambda y. h) ((\lambda x. x x) (\lambda x. x x)) \\ \Rightarrow_{\beta} & h \end{aligned}$$

Computing with pure λ -terms

- Rewriting rules
- *Reduction*: any sequence of $\Rightarrow_\alpha, \Rightarrow_\beta$
- *Normal form*: term that cannot be β -reduced
 - β -normal form
 - Example of normal form
 $\lambda x.x\ x$ – cannot be reduced

Computing with pure λ -terms

- There may be several ways to reduce to a normal form
- Example: any path below is such a reduction



Computing with pure λ -terms

- *Nonterminating reductions*

- Never reach a normal form
- Example

$$(\lambda x.x\ x)\ (\lambda x.x\ x) \Rightarrow_{\beta} (\lambda x.x\ x)\ (\lambda x.x\ x)$$

Computing with pure λ -terms

- *Theorem (Church-Rosser, 1936)*

For all pure λ -terms M, P, Q , if

$$M \Rightarrow_{\beta}^* P \text{ and } M \Rightarrow_{\beta}^* Q,$$

then there exists a term R such that

$$P \Rightarrow_{\beta}^* R \text{ and } Q \Rightarrow_{\beta}^* R.$$

- In particular, the normal form, when exists, is unique.

Computing with pure λ -terms

- Reduction strategies
- *Call-by-value reduction (applicative order)*
 - parameters are evaluated first, then passed
 - might not reach a normal form even if there is one
 - leftmost innermost lambda that can be applied
- Example

$$\begin{aligned} & (\lambda y.h) \underbrace{((\lambda x.x\ x) (\lambda x.x\ x))}_{\text{call-by-value}} \\ & \Rightarrow_{\beta} (\lambda y.h) \underbrace{((\lambda x.x\ x) (\lambda x.x\ x))}_{\text{call-by-value}} \\ & \Rightarrow_{\beta} (\lambda y.h) \underbrace{((\lambda x.x\ x) (\lambda x.x\ x))}_{\text{call-by-value}} \\ & \Rightarrow_{\beta} \dots \end{aligned}$$

Computing with pure λ -terms

- Reduction strategies
- *Call-by-name reduction (normal order)*
 - parameters are passed unevaluated
 - leftmost outermost lambda that can be applied

- Example

$$(\lambda y.h) ((\lambda x.x x) (\lambda x.x x)) \Rightarrow_{\beta} h$$

- *Theorem (Church-Rosser, 1936)*

Normal order reduction reaches a normal form if there is one.

- Functional languages use also call-by-value because it can be implemented efficiently and it might reach the normal form faster than call-by-name.

λ -calculus can model everything

- Boolean values
- True: $T \equiv \lambda x.\lambda y.x$
 - interpretation: of a pair of values, choose the first
- False: $F \equiv \lambda x.\lambda y.y$
 - interpretation: of a pair of values, choose the second
- Properties:

$$\begin{aligned} ((T \ P) \ Q) &\Rightarrow_{\beta} (((\lambda x.\lambda y.x) \ P) \ Q) \Rightarrow_{\beta} ((\lambda y.P) \ Q) \Rightarrow_{\beta} P \\ ((F \ P) \ Q) &\Rightarrow_{\beta} (((\lambda x.\lambda y.y) \ P) \ Q) \Rightarrow_{\beta} ((\lambda y.y) \ Q) \Rightarrow_{\beta} Q \end{aligned}$$

λ -calculus can model everything

- Boolean functions
- $\text{not} \equiv \lambda x.((x \text{ F}) \text{ T})$
- $\text{and} \equiv \lambda x.\lambda y.((x \ y) \text{ F})$
- $\text{or} \equiv \lambda x.\lambda y.((x \text{ T}) \ y)$
- Interpretation is consistent with predicate logic:
$$\text{not } \text{T} \Rightarrow_{\beta} (\lambda x.((x \text{ F}) \text{ T})) \text{ T} \Rightarrow_{\beta} ((\text{T} \text{ F}) \text{ T}) \Rightarrow_{\beta} \text{F}$$
$$\text{not } \text{F} \Rightarrow_{\beta} (\lambda x.((x \text{ F}) \text{ T})) \text{ F} \Rightarrow_{\beta} ((\text{F} \text{ F}) \text{ T}) \Rightarrow_{\beta} \text{T}$$

λ -calculus can model everything

- Integers

$$0 \equiv \lambda f. \lambda c. c$$

$$1 \equiv \lambda f. \lambda c. (f c)$$

$$2 \equiv \lambda f. \lambda c. (f (f c))$$

$$3 \equiv \lambda f. \lambda c. (f (f (f c)))$$

...

$$N \equiv \lambda f. \lambda c. \underbrace{(f (f \dots (f c)) \dots)}_N$$

- Interpretation:

- c is the zero element
- f is the successor function

λ -calculus can model everything

- Integers (cont'd)
- Example calculations:

$$(\mathbf{N} \ a) = (\lambda f. \lambda c. (\underbrace{f \dots (f \ c)}_{\mathbf{N}}) \dots)) \ a \Rightarrow_{\beta} \lambda c. (\underbrace{a \dots (a \ c)}_{\mathbf{N}}) \dots)$$

$$((\mathbf{N} \ a) \ b) = (\underbrace{a \ (a \dots (a \ b))}_{\mathbf{N}}) \dots)$$

λ -calculus can model everything

- Integer operations

- Addition:* $+ \equiv \lambda M. \lambda N. \lambda a. \lambda b. ((M a) ((N a) b))$

$$[M + N] = \lambda a. \lambda b. ((M a) ((N a) b)) \Rightarrow_{\beta}^* \lambda a. \lambda b. \underbrace{(a (a \dots (a b)) \dots)}_{M+N}$$

- Multiplication:* $\times \equiv \lambda M. \lambda N. \lambda a. (M (N a))$

$$[M \times N] = \lambda a. (M (N a)) \Rightarrow_{\beta}^* \lambda a. \lambda b. \underbrace{(a (a \dots (a b)) \dots)}_{M \times N}$$

- Exponentiation:* $\wedge \equiv \lambda M. \lambda N. (N M)$

$$[M^N] = (N \ M) \Rightarrow_{\beta}^* \lambda a. \lambda b. \underbrace{(a (a \dots (a b)) \dots)}_{M^N}$$

- This way we can develop all computable math. functions.

λ -calculus can model everything

- Control flow

- $\text{if} \equiv \lambda c.\lambda t.\lambda e.c\ t\ e$

- Interpretation: c = condition, t = then, e = else

- $\text{if}\ T\ 3\ 4 = (\lambda c.\lambda t.\lambda e.c\ t\ e)(\lambda x.\lambda y.x)\ 3\ 4$

$$\Rightarrow_{\beta}^* (\lambda t.\lambda e.t)\ 3\ 4$$

$$\Rightarrow_{\beta}^* 3$$

- $\text{if}\ F\ 3\ 4 = (\lambda c.\lambda t.\lambda e.c\ t\ e)(\lambda x.\lambda y.y)\ 3\ 4$

$$\Rightarrow_{\beta}^* (\lambda t.\lambda e.e)\ 3\ 4$$

$$\Rightarrow_{\beta}^* 4$$

λ -calculus can model everything

- Recursion

- $\text{gcd} = \lambda a. \lambda b. (\text{if } (\text{equal } a \ b) \ a \ (\text{if } (\text{greater } a \ b) \ (\text{gcd } (\text{minus } a \ b) \ b) \ (\text{gcd } (\text{minus } b \ a) \ a)))$

- This is not a definition because gcd appears in both sides

- If we substitute this, the definition only gets bigger

- To obtain a real definition, we rewrite using β -abstraction:

$$\text{gcd} = (\lambda g. \lambda a. \lambda b. (\text{if } (\text{equal } a \ b) \ a \ (\text{if } (\text{greater } a \ b) \ (g \ (\text{minus } a \ b) \ b) \ (g \ (\text{minus } b \ a) \ a)))) \text{gcd}$$

- we obtain the equation

$$\text{gcd} = f \text{gcd}, \text{ where}$$
$$f = \lambda g. \lambda a. \lambda b. (\text{if } (\text{equal } a \ b) \ a \ (\text{if } (\text{greater } a \ b) \ (g \ (\text{minus } a \ b) \ b) \ (g \ (\text{minus } b \ a) \ a)))$$

- gcd is a fixed point of f

λ -calculus can model everything

- Define the *fixed point combinator*:

$$Y \equiv \lambda h.(\lambda x.h (x x)) (\lambda x.h (x x))$$

- $Y f$ is a fixed point of f
 - if the normal order evaluation of $Y f$ terminates then $f(Y f)$ and $Y f$ will reduce to the same normal form
- We get then a good definition for gcd:

$$\text{gcd} \equiv Y f = (\lambda h.(\lambda x.h (x x)) (\lambda x.h (x x))) (\lambda g.\lambda a.\lambda b.(\text{if (equal } a \text{ } b) a (\text{if (greater } a \text{ } b) (g (\text{minus } a \text{ } b) b) (g (\text{minus } b \text{ } a) a))))$$

λ -calculus can model everything

■ Example

$\text{gcd } 2 \ 4$

$\equiv \Upsilon f \ 2 \ 4$

$\equiv ((\lambda h.(\lambda x.h \ (x \ x)) \ (\lambda x.h \ (x \ x)))) f) \ 2 \ 4$

$\Rightarrow_{\beta} ((\lambda x.f \ (x \ x)) \ (\lambda x.f \ (x \ x))) \ 2 \ 4$

$\equiv (f \ ((\lambda x.f \ (x \ x)) \ (\lambda x.f \ (x \ x)))) \ 2 \ 4$

denote $k \equiv \lambda x.f \ (x \ x)$

$\Rightarrow_{\beta} (f \ (k \ k)) \ 2 \ 4$

$\equiv ((\lambda g.\lambda a.\lambda b.(\text{if } (= a \ b) \ a \ (\text{if } (> a \ b) \ (g \ (- a \ b) \ b) \ (g \ (- b \ a) \ a))))(k \ k)) \ 2 \ 4$

$\Rightarrow_{\beta} (\lambda a.\lambda b.(\text{if } (= a \ b) \ a \ (\text{if } (> a \ b) \ ((k \ k) \ (- a \ b) \ b) \ ((k \ k) \ (- b \ a) \ a)))) \ 2 \ 4$

$\Rightarrow_{\beta}^* \text{if } (= 2 \ 4) \ 2 \ (\text{if } (> 2 \ 4) \ ((k \ k) \ (- 2 \ 4) \ 4) \ ((k \ k) \ (- 4 \ 2) \ 2))$

$\equiv (\lambda c.\lambda t.\lambda e.c \ t \ e) \ (= 2 \ 4) \ 2 \ (\text{if } (> 2 \ 4) \ ((k \ k) \ (- 2 \ 4) \ 4) \ ((k \ k) \ (- 4 \ 2) \ 2))$

λ -calculus can model everything

$$\equiv (\lambda c. \lambda t. \lambda e. c \ t \ e) \ (= \ 2 \ 4) \ 2 \ (\text{if } (> \ 2 \ 4) \ ((k \ k) \ (- \ 2 \ 4) \ 4) \ ((k \ k) \ (- \ 4 \ 2) \ 2))$$

$$\Rightarrow_{\beta}^* \ (= \ 2 \ 4) \ 2 \ (\text{if } (> \ 2 \ 4) \ ((k \ k) \ (- \ 2 \ 4) \ 4) \ ((k \ k) \ (- \ 4 \ 2) \ 2))$$

$$\Rightarrow_{\delta} \ \mathbb{F} \ 2 \ (\text{if } (> \ 2 \ 4) \ ((k \ k) \ (- \ 2 \ 4) \ 4) \ ((k \ k) \ (- \ 4 \ 2) \ 2))$$

$$\equiv (\lambda x. \lambda y. y) \ 2 \ (\text{if } (> \ 2 \ 4) \ ((k \ k) \ (- \ 2 \ 4) \ 4) \ ((k \ k) \ (- \ 4 \ 2) \ 2))$$

$$\Rightarrow_{\beta}^* \ \text{if } (> \ 2 \ 4) \ ((k \ k) \ (- \ 2 \ 4) \ 4) \ ((k \ k) \ (- \ 4 \ 2) \ 2)$$

$$\Rightarrow_{\beta} \ \dots$$

$$\Rightarrow_{\beta} \ (k \ k) \ (- \ 4 \ 2) \ 2$$

$$\equiv ((\lambda x. f(x \ x)) \ k) \ (- \ 4 \ 2) \ 2$$

$$\Rightarrow_{\beta} \ (f(k \ k))(- \ 4 \ 2) \ 2$$

$$\equiv ((\lambda g. \lambda a. \lambda b. (\text{if } (= \ a \ b) \ a \ (\text{if } (> \ a \ b) \ (g \ (- \ a \ b) \ b) \ (g \ (- \ b \ a) \ a)))) \ (k \ k))(- \ 4 \ 2) \ 2$$

$$\Rightarrow_{\beta} \ (\lambda a. \lambda b. (\text{if } (= \ a \ b) \ a \ (\text{if } (> \ a \ b) \ ((k \ k) \ (- \ a \ b) \ b) \ ((k \ k) \ (- \ b \ a) \ a))))(- \ 4 \ 2) \ 2$$

λ -calculus can model everything

$$\begin{aligned} &\Rightarrow_{\beta} (\lambda a. \lambda b. (\text{if } (= a b) a (\text{if } (> a b) ((k k) (- a b) b) ((k k) (- b a) a)))) (- 4 2) 2 \\ &\Rightarrow_{\beta}^* \text{if } (= (- 4 2) 2) (- 4 2) (\text{if } (> (- 4 2) 2) ((k k) (- (- 4 2) 2) 2) ((k k) (- 2 (- 4 2)) (- 4 2))) \\ &\equiv (\lambda c. \lambda t. \lambda e. c t e) (= (- 4 2) 2) (- 4 2) (\text{if } (> (- 4 2) 2) ((k k) (- (- 4 2) 2) 2) ((k k) (- 2 (- 4 2)) (- 4 2))) \\ &\Rightarrow_{\beta}^* (= (- 4 2) 2) (- 4 2) (\text{if } (> (- 4 2) 2) ((k k) (- (- 4 2) 2) 2) ((k k) (- 2 (- 4 2)) (- 4 2))) \\ &\Rightarrow_{\delta} (= 2 2) (- 4 2) (\text{if } (> (- 4 2) 2) ((k k) (- (- 4 2) 2) 2) ((k k) (- 2 (- 4 2)) (- 4 2))) \\ &\Rightarrow_{\delta} \text{T } (- 4 2) (\text{if } (> (- 4 2) 2) ((k k) (- (- 4 2) 2) 2) ((k k) (- 2 (- 4 2)) (- 4 2))) \\ &\equiv (\lambda x. \lambda y. x) (- 4 2) (\text{if } (> (- 4 2) 2) ((k k) (- (- 4 2) 2) 2) ((k k) (- 2 (- 4 2)) (- 4 2))) \\ &\Rightarrow_{\beta}^* (- 4 2) \\ &\Rightarrow_{\delta} 2 \end{aligned}$$

λ -calculus can model everything

- Structures
- $\text{select_first} \equiv \lambda x.\lambda y.x$
- $\text{select_second} \equiv \lambda x.\lambda y.y$
- $\text{cons} \equiv \lambda a.\lambda d.\lambda x.x\ a\ d$
- $\text{car} \equiv \lambda l.l\ \text{select_first}$
- $\text{cdr} \equiv \lambda l.l\ \text{select_second}$
- $\text{null?} \equiv \lambda l.l\ (\lambda x.\lambda y.F)$

λ -calculus can model everything

$\text{car} (\text{cons } A B)$
 $\equiv (\lambda l.l \text{ select_first}) (\text{cons } A B)$
 $\Rightarrow_{\beta} (\text{cons } A B) \text{ select_first}$
 $\equiv ((\lambda a.\lambda d.\lambda x.x a d) A B) \text{ select_first}$
 $\Rightarrow_{\beta}^* (\lambda x.x A B) \text{ select_first}$
 $\Rightarrow_{\beta} \text{select_first } A B$
 $\equiv (\lambda x.\lambda y.x) A B$
 $\Rightarrow_{\beta}^* A$

λ -calculus can model everything

$\text{cdr} (\text{cons } A B)$
 $\equiv (\lambda l.l \text{ select_second}) (\text{cons } A B)$
 $\Rightarrow_{\beta} (\text{cons } A B) \text{ select_second}$
 $\equiv ((\lambda a.\lambda d.\lambda x.x a d) A B) \text{ select_second}$
 $\Rightarrow_{\beta}^* (\lambda x.x A B) \text{ select_second}$
 $\Rightarrow_{\beta} \text{select_second } A B$
 $\equiv (\lambda x.\lambda y.x) A B$
 $\Rightarrow_{\beta}^* B$

λ -calculus can model everything

$\text{null?} (\text{cons } A \ B)$
 $\equiv (\lambda l.l (\lambda x.\lambda y.\text{select_second})) (\text{cons } A \ B)$
 $\Rightarrow_{\beta} (\text{cons } A \ B) (\lambda x.\lambda y.\text{select_second})$
 $\equiv ((\lambda a.\lambda d.\lambda x.x \ a \ d) \ A \ B) (\lambda x.\lambda y.\text{select_second})$
 $\Rightarrow_{\beta}^* (\lambda x.x \ A \ B) (\lambda x.\lambda y.\text{select_second})$
 $\Rightarrow_{\beta} (\lambda x.\lambda y.\text{select_second}) \ A \ B$
 $\Rightarrow_{\beta}^* \text{select_second}$
 $\equiv \text{F}$