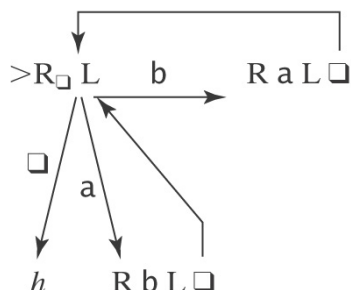


## Part IV: Turing Machines and Undecidability

### 17 Turing Machines

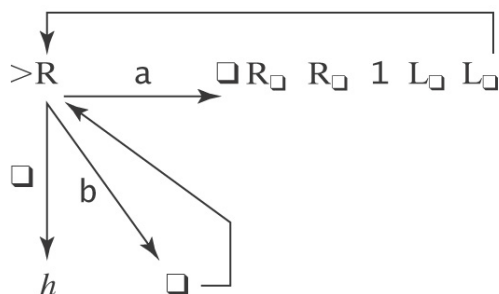
1) Give a short English description of what each of these Turing machines does:

a)  $\Sigma_M = \{a, b\}$ .  $M =$



Shift the input string one character to the right and replace each  $b$  with an  $a$  and each  $a$  with a  $b$ .

b)  $\Sigma_M = \{a, b\}$ .  $M =$



Erase the input string and replace it with a count, in unary, of the number of  $a$ 's in the original string.

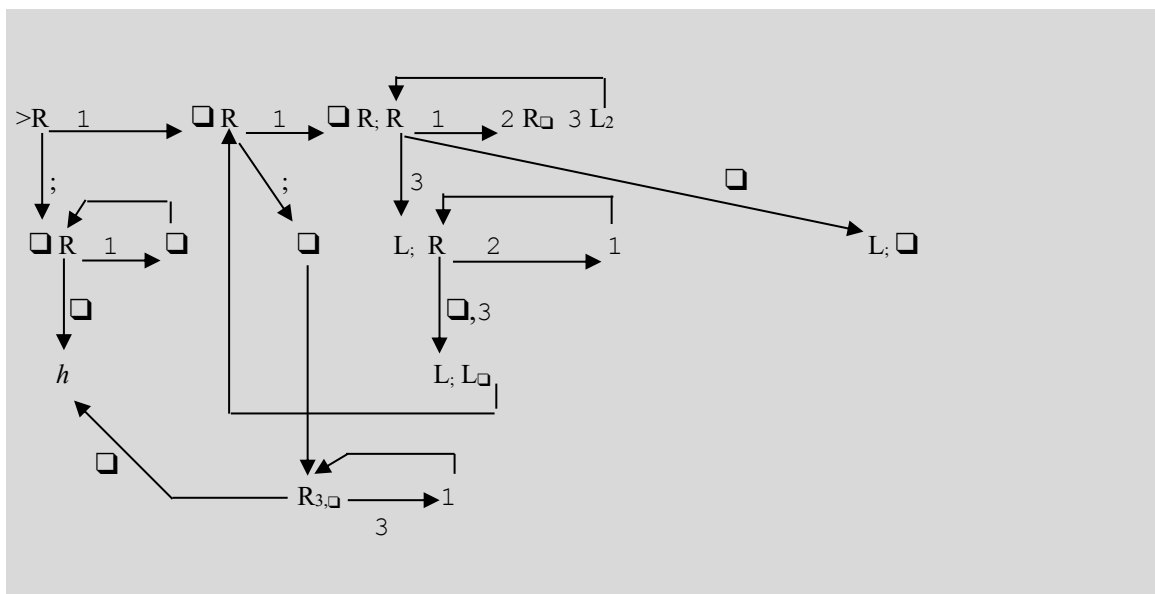
2) Construct a standard, one-tape Turing machine  $M$  to decide each of the following languages  $L$ . You may find it useful to define subroutines. Describe  $M$  in the macro language described in Section 17.1.5.

a)  $\{x * y = z : x, y, z \in 1^+ \text{ and, when } x, y, \text{ and } z \text{ are viewed as unary numbers, } xy = z\}$ . For example, the string  $1111*11=11111111 \in L$ .



a natural number  $y$ ,  $M$  should output  $\langle z \rangle$ , where  $z$  is the binary encoding of  $x + y$ . For example, on input  $101; 11$ ,  $M$  should output  $1000$ .

- c) Multiplication of two unary numbers. Specifically, given the input string  $\langle x \rangle; \langle y \rangle$ , where  $\langle x \rangle$  is the unary encoding of a natural number  $x$  and  $\langle y \rangle$  is the unary encoding of a natural number  $y$ ,  $M$  should output  $\langle z \rangle$ , where  $z$  is the unary encoding of  $xy$ . For example, on input  $111; 1111$ ,  $M$  should output  $111111111111$ .



This machine first erases the first 1 in  $x$ . Then it uses each of the others to create a new copy of  $y$ . Each time it uses a 1 in  $x$ , it writes over it with a blank. Once all the ones in  $x$  have created their copies of  $y$ , the ; is erased.

- d) The proper subtraction function *monus*, which is defined as follows:

$$\text{monus}(n, m) = \begin{cases} n - m & \text{if } n > m \\ 0 & \text{if } n \leq m \end{cases}$$

Specifically, compute *monus* of two natural numbers represented in binary. For example, on input  $101; 11$ ,  $M$  should output  $10$ . On input  $11; 101$ ,  $M$  should output  $0$ .

- 4) Define a Turing Machine  $M$  that computes the function  $f: \{a, b\}^* \rightarrow N$ , where:

$f(x) = \text{the unary encoding of } \max(\#_a(x), \#_b(x)).$

For example, on input  $aaaabb$ ,  $M$  should output  $1111$ .  $M$  may use more than one tape. It is not necessary to write the exact transition function for  $M$ . Describe it in clear English.

We can use 3 tapes:

Tape 1: input  
Tape 2: write 1 for every  $a$  in the input  
Tape 3: write 1 for every  $b$  in the input

- Step 1: Move left to right along tape 1. If the character under the read head is  $a$ , write 1 on tape 2 and move one square to the right on tapes 1 and 2. If the character under the read head is  $b$ , write 1 on tape 3 and move one square to the right on tapes 1 and 3. When tape 1 encounters a blank, go to step 2.
- Step 2: Move all three read heads all the way to the left. Scan the tapes left to right. At each step, if there is 1 on either tape 2 or 3 (or both), write 1 on tape 1. Move right on all tapes except that as soon as either tape 2 or tape 3 (but not both) gets to a blank, stop moving right on that tape and continue this

process with just the other one. As soon as the other of them (tape 2 or 3) also hits a blank, go to step 3. At this point, tape 1 contains the correct number of 1's, plus perhaps extra a's and b's that still need to be erased.

- Step 3: Scan left to right along tape 1 as long as there are a's or b's. Rewrite each as a blank. As soon as a blank is read, stop.

- 5) Construct a Turing machine  $M$  that converts binary numbers to their unary representations. So, specifically, on input  $\langle w \rangle$ , where  $w$  is the binary encoding of a natural number  $n$ ,  $M$  will output  $1^n$ . (Hint: use more than one tape.)

$M$  will use three tapes. It will begin by copying its input to tape 2, where it will stay, unchanged. Tape 1 will hold the answer by the end. Tape 3 will hold a working string defined below.  $M$  will initialize itself by copying its input to tape 2 and writing 1 on tape 3. Then it will begin scanning tape 2, starting at the rightmost symbol, which we'll call symbol 0. As  $M$  computes, tape 3 will contain  $1^i$  if  $M$  is currently processing the  $i^{\text{th}}$  symbol (from the right, starting numbering at 0). Assume that  $M$  has access to a subroutine *double* that will duplicate whatever string is on tape 3. So if that string is  $s$ , it will become  $ss$ . After initialization,  $M$  operates as follows:

For each symbol  $c$  on tape 2, do:

1. If  $c = 1$ , then append a copy of the nonblank region of tape 3 to the end of the nonblank region of tape 1. (If this is the first append operation, just write the copy on the tape where the read/write head is.)
2. Call *double*.
3. Move the read head on tape 2 one square to the left.
4. If the square under the read/write head on tape 2 is  $\square$ , halt. The answer will be on tape 1.

- 14) Encode the following Turing Machine as an input to the universal Turing machine:

$M = (K, \Sigma, \Gamma, \delta, q_0, \{h\})$ , where:

$K = \{q_0, q_1, h\}$ ,

$\Sigma = \{a, b\}$ ,

$\Gamma = \{a, b, c, \square\}$ , and

$\delta$  is given by the following table:

$q$	$\sigma$	$\delta(q, \sigma)$
$q_0$	a	$(q_1, b, \rightarrow)$
$q_0$	b	$(q_1, a, \rightarrow)$
$q_0$	$\square$	$(h, \square, \rightarrow)$
$q_0$	c	$(q_0, c, \rightarrow)$
$q_1$	a	$(q_0, c, \rightarrow)$
$q_1$	b	$(q_0, b, \leftarrow)$
$q_1$	$\square$	$(q_0, c, \rightarrow)$
$q_1$	c	$(q_1, c, \rightarrow)$

We can encode the states and the alphabet as:

$q_0$	q00
$q_1$	q01
$h$	q10
a	a00
b	a01
$\square$	a10
c	a11

We can then encode  $\delta$  as:

```
(q00, a00, q01, a01, →), (q00, a01, q01, a00, →), (q00, a10, q10, a10, →),  
(q00, a11, q00, a11, →), (q01, a00, q00, a11, →), (q01, a01, q00, a01, ←),  
(q01, a10, q00, a11, →), (q01, a11, q01, a11, →)
```

## 19 The Unsolvability of the Halting Problem

- 1) Consider the language  $L = \{ \langle M \rangle : M \text{ accepts at least two strings} \}$ .  
 a) Describe in clear English a Turing machine  $M$  that semidecides  $L$ .

$M$  generates the strings in  $\Sigma_M^*$  in lexicographic order and uses dovetailing to interleave the computation of  $M$  on those strings. As soon as two computations accept,  $M$  halts and accepts.

- b) Suppose we changed the definition of  $L$  just a bit. We now consider:  
 $L' = \{ \langle M \rangle : M \text{ accepts exactly 2 strings} \}$ .  
 Can you tweak the Turing machine you described in part a to semidecide  $L'$ ?

No.  $M$  could discover that two strings are accepted. But it will never know that there aren't any more.

- 2) Consider the language  $L = \{ \langle M \rangle : M \text{ accepts the binary encodings of the first three prime numbers} \}$ .  
 a) Describe in clear English a Turing machine  $M$  that semidecides  $L$ .

On input  $\langle M \rangle$  do:

1. Run  $M$  on 10. If it rejects, loop.
2. If it accepts, run  $M$  on 11. If it rejects, loop.
3. If it accepts, run  $M$  on 101. If it accepts, accept. Else loop.

This procedure will halt and accept iff  $M$  accepts the binary encodings of the first three prime numbers. If, on any of those inputs,  $M$  either fails to halt or halts and rejects, this procedure will fail to halt.

- b) Suppose (contrary to fact, as established by Theorem 19.2) that there were a Turing machine *Oracle* that decided H. Using it, describe in clear English a Turing machine  $M$  that decides  $L$ .

On input  $\langle M \rangle$  do:

1. Invoke *Oracle*( $\langle M, 10 \rangle$ ).
2. If  $M$  would not accept, reject.
3. Invoke *Oracle*( $\langle M, 11 \rangle$ ).
4. If  $M$  would not accept, reject.
5. Invoke *Oracle*( $\langle M, 101 \rangle$ ).
6. If  $M$  would accept, accept. Else reject.

## 20 Decidable and Semidecidable Languages

1) Show that the set  $D$  (the decidable languages) is closed under:

- Union
- Concatenation
- Kleene star
- Reverse
- Intersection

All of these can be done by construction using deciding TMs. (Note that there's no way to do it with grammars, since the existence of an unrestricted grammar that generates some language  $L$  does not tell us anything about whether  $L$  is in  $D$  or not.)

a) Union is straightforward. Given a TM  $M_1$  that decides  $L_1$  and a TM  $M_2$  that decides  $L_2$ , we build a TM  $M_3$  to decide  $L_1 \cup L_2$  as follows: Initially, let  $M_3$  contain all the states and transitions of both  $M_1$  and  $M_2$ . Create a new start state  $S$  and add transitions from it to the start states of  $M_1$  and  $M_2$  so that each of them begins in its start state with its read/write head positioned just to the left of the input. The accepting states of  $M_3$  are all the accepting states of  $M_1$  plus all the accepting states of  $M_2$ .

b) is a bit tricky. Here it is: If  $L_1$  and  $L_2$  are both in  $D$ , then there exist TMs  $M_1$  and  $M_2$  that decide them. From  $M_1$  and  $M_2$ , we construct  $M_3$  that decides  $L_1L_2$ . Since there is a TM that decides  $L_3$ , it is in  $D$ .

The tricky part is doing the construction. When we did this for FSMs, we could simply glue the accepting states of  $M_1$  to the start state of  $M_2$  with  $\epsilon$  transitions. But that doesn't work now. Consider a machine that enters the state  $y$  when it scans off the edge of the input and finds a blank. If we're trying to build  $M_3$  to accept  $L_1L_2$ , then there won't be a blank at the end of the first part. But we can't simply assume that that's how  $M_1$  decides it's done. It could finish some other way.

So we need to build  $M_3$  so that it works as follows:  $M_3$  will use three tapes. Given some string  $w$  on tape 1,  $M_3$  first nondeterministically guesses the location of the boundary between the first segment (a string from  $L_1$ ) and the second segment (a string from  $L_2$ ). It copies the first segment onto tape 2 and the second segment onto tape 3. It then simulates  $M_1$  on tape 2. If  $M_1$  accepts, it simulates  $M_2$  on tape 3. If  $M_2$  accepts, it accepts. If either  $M_1$  or  $M_2$  rejects, that path rejects.

There is a finite number of ways to carve the input string  $w$  into two segments. So there is a finite number of branches. Each branch must halt since  $M_1$  and  $M_2$  are deciding machines. So eventually all branches will halt. If at least one accepts,  $M_3$  will accept. Otherwise it will reject.

2) Show that the set  $SD$  (the semidecidable languages) is closed under:

- Union
- Concatenation
- Kleene star
- Reverse
- Intersection

3) Let  $L_1, L_2, \dots, L_k$  be a collection of languages over some alphabet  $\Sigma$  such that:

- For all  $i \neq j$ ,  $L_i \cap L_j = \emptyset$ .
- $L_1 \cup L_2 \cup \dots \cup L_k = \Sigma^*$ .
- $\forall i$  ( $L_i$  is in  $SD$ ).

Prove that each of the languages  $L_1$  through  $L_k$  is in  $D$ .

$\forall i$  ( $\neg L_i = L_1 \cup L_2 \cup \dots \cup L_{i-1} \cup L_{i+1} \cup \dots \cup L_k$ ).

Each of these  $L_j$ 's is in  $SD$ , so the union of all of them is in  $SD$ . Since  $L_i$  is in  $SD$  and so is its complement, it is in  $D$ .

- 4) If  $L_1$  and  $L_3$  are in  $D$  and  $L_1 \subseteq L_2 \subseteq L_3$ , what can we say about whether  $L_2$  is in  $D$ ?

$L_2$  may or may not be in  $D$ . Let  $L_1$  be  $\emptyset$  and let  $L_3$  be  $\Sigma$ . Both of them are in  $D$ . Suppose  $L_2$  is  $H$ . Then it is not in  $D$ . But now suppose that  $L_2$  is  $\{a\}$ . Then it is in  $D$ .

- 5) Let  $L_1$  and  $L_2$  be any two decidable languages. State and prove your answer to each of the following questions:  
a) Is it necessarily true that  $L_1 - L_2$  is decidable?

Yes. The decidable languages are closed under complement and intersection, so they are closed under difference.

- b) Is it possible that  $L_1 \cup L_2$  is regular?

Yes. Every regular language is decidable. So Let  $L_1$  and  $L_2$  be  $\{a\}$ .  $L_1 \cup L_2 = \{a\}$ , and so is regular.

- 6) Let  $L_1$  and  $L_2$  be any two undecidable languages. State and prove your answer to each of the following questions:

- a) Is it possible that  $L_1 - L_2$  is regular?

Yes. Let  $L_1 = L_2$ . Then  $L_1 - L_2 = \emptyset$ , which is regular.

- b) Is it possible that  $L_1 \cup L_2$  is in  $D$ ?

Yes.  $H \cup \neg H = \{ \langle M, w \rangle \}$ .

- 7) Let  $M$  be a Turing machine that lexicographically enumerates the language  $L$ . Prove that there exists a Turing machine  $M'$  that decides  $L^R$ .

Since  $L$  is lexicographically enumerated by  $M$ , it is decidable. The decidable languages are closed under reverse. So  $L^R$  is decidable. Thus there is some Turing machine  $M'$  that decides it.

- 8) Construct a standard one-tape Turing machine  $M$  to enumerate the language:

$\{w : w \text{ is the binary encoding of a positive integer that is divisible by } 3\}$ .

Assume that  $M$  starts with its tape equal to  $\square$ . Also assume the existence of the printing subroutine  $P$ , defined in Section 20.5.1. As an example of how to use  $P$ , consider the following machine, which enumerates  $L'$ , where  $L' = \{w : w \text{ is the unary encoding of an even number}\}$ :

$$\begin{array}{c} \downarrow \\ \text{> } P \text{ } R \text{ } 1 \text{ } R \text{ } 1 \end{array}$$

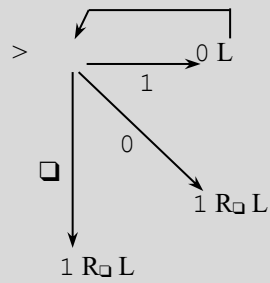
You may find it useful to define other subroutines as well.



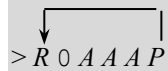
Define the subroutine  $A$  (Add1) as follows:

Input:  $\square w_1 w_2 w_3 \dots w_m \square$  (encoding some integer  $k$ )

Output:  $\square w_1 w_2 w_3 \dots w_m \square$  (encoding  $k+1$ )



The enumerating machine  $M$  is now:



- 9) Construct a standard one-tape Turing machine  $M$  to enumerate the language  $A^n B^n$ . Assume that  $M$  starts with its tape equal to  $\square$ . Also assume the existence of the printing subroutine  $P$ , defined in Section 20.5.1.



## 21 Decidability and Undecidability Proofs

- 1) For each of the following languages  $L$ , state whether it is in D, in SD/D, or not in SD. Prove your answer. Assume that any input of the form  $\langle M \rangle$  is a description of a Turing machine.

a)  $\{a\}$ .

D.  $L$  is finite and thus regular and context-free. By Theorem 20.1, every context-free language is in D.

b)  $\langle M \rangle : a \in L(M)\}$ .

SD/D. Let  $R$  be a mapping reduction from  $H$  to  $L$  defined as follows:

$R(\langle M, w \rangle) =$

1. Construct the description  $\langle M\# \rangle$  of a new Turing machine  $M\#(x)$  that, on input  $x$ , operates as follows:
  - 1.1. Erase the tape.
  - 1.2. Write  $w$  on the tape.
  - 1.3. Run  $M$  on  $w$ .
  - 1.4. Accept.
2. Return  $\langle M\# \rangle$ .

If  $Oracle$  exists, then  $C = Oracle(R(\langle M, w \rangle))$  decides  $L$ :

- $R$  can be implemented as a Turing machine.
- $C$  is correct:  $M\#$  accepts everything or nothing, depending on whether  $M$  halts on  $w$ . So:
  - $\langle M, w \rangle \in H$ :  $M$  halts on  $w$ , so  $M\#$  accepts all inputs, including  $a$ .  $Oracle$  accepts.
  - $\langle M, w \rangle \notin H$ :  $M$  does not halt on  $w$ , so  $M\#$  accepts nothing. In particular, it does not accept  $a$ .  $Oracle$  rejects.

But no machine to decide  $H$  can exist, so neither does  $Oracle$ .

c)  $\{\langle M \rangle : L(M) = \{a\}\}$ .

$\neg$ SD: Let  $R$  be a reduction from  $\neg H = \{\langle M, w \rangle : \text{TM } M \text{ does not halt on } w\}$  to  $L$ , defined as follows:

$R(\langle M, w \rangle) =$

1. Construct the description of  $M\#(x)$  that, on input  $x$ , operates as follows:
  - 1.1. If  $x = a$ , accept.
  - 1.2. Erase the tape.
  - 1.3. Write  $w$ .
  - 1.4. Run  $M$  on  $w$ .
  - 1.5. Accept.
2. Return  $\langle M\# \rangle$ .

If  $Oracle$  exists and semidecides  $L$ , then  $C = R(\langle M, w \rangle)$  semidecides  $\neg H$ :

- $\langle M, w \rangle \in \neg H$ :  $M$  does not halt on  $w$ , so  $M\#$  accepts the string  $a$  and nothing else. So  $L(M\#) = \{a\}$ .  $Oracle$  accepts.
- $\langle M, w \rangle \notin \neg H$ :  $M$  halts on  $w$ .  $M\#$  accepts everything. So  $L(M\#) \neq \{a\}$ .  $Oracle$  does not accept.

But no machine to semidecide  $\neg H$  can exist, so neither does  $Oracle$ .

d)  $\{ \langle M_a, M_b \rangle : \varepsilon \in L(M_a) - L(M_b) \}.$

$\neg$ SD. Let  $R$  be a reduction from  $\neg H = \{ \langle M, w \rangle : \text{TM } M \text{ does not halt on } w \}$  to  $L$ , defined as follows:  
 $R(\langle M, w \rangle) =$

1. Construct the description of  $M\#(x)$  that operates as follows:
  - 1.1. Erase the tape.
  - 1.2. Write  $w$ .
  - 1.3. Run  $M$  on  $w$ .
  - 1.4. Accept.
2. Construct the description of  $M?(x)$  that, on input  $x$ , operates as follows:
  - 2.1. Accept.
3. Return  $\langle M?, M\# \rangle$ .

If  $Oracle$  exists and semidecides  $L$ , then  $C = Oracle(R(\langle M, w \rangle))$  semidecides  $\neg H$ :

- $R$  can be implemented as a Turing machine.
- $C$  is correct:  $M?$  accepts everything, including  $\varepsilon$ .  $M\#$  accepts everything or nothing, depending on whether  $M$  halts on  $w$ . So:
  - $\langle M, w \rangle \in \neg H$ :  $M$  does not halt on  $w$ .  $M\#$  gets stuck in step 1.3.  $L(M\#) = \emptyset$ .  $L(M?) - L(M\#) = L(M?)$ , which contains  $\varepsilon$ . So  $Oracle$  accepts.
  - $\langle M, w \rangle \notin \neg H$ :  $M$  halts on  $w$ . So  $L(M\#) = \Sigma^*$ .  $L(M?) - L(M\#) = \emptyset$ , which does not contain  $\varepsilon$ . So  $Oracle$  does not accept.

But no machine to semidecide  $\neg H$  can exist, so neither does  $Oracle$ .

e)  $\{ \langle M_a, M_b \rangle : L(M_a) = L(M_b) - \{ \varepsilon \} \}.$

$\neg$ SD.

f)  $\{ \langle M_a, M_b \rangle : L(M_a) \neq L(M_b) \}.$

$\neg$ SD. Let  $R$  be a reduction from  $\neg H = \{ \langle M, w \rangle : \text{TM } M \text{ does not halt on } w \}$  to  $L$ , defined as follows:  
 $R(\langle M, w \rangle) =$

1. Construct the description of  $M\#(x)$  that, on input  $x$ , operates as follows:
  - 1.1. Erase the tape.
  - 1.2. Write  $w$ .
  - 1.3. Run  $M$  on  $w$ .
  - 1.4. Accept.
2. Construct the description of  $M?(x)$  that, on input  $x$ , operates as follows:
  - 2.1. Accept.
3. Return  $\langle M?, M\# \rangle$ .

If  $Oracle$  exists and semidecides  $L$ , then  $C = Oracle(R(\langle M, w \rangle))$  semidecides  $\neg H$ :

- $R$  can be implemented as a Turing machine.
- $C$  is correct:  $L(M?) = \Sigma^*$ .  $M\#$  accepts everything or nothing, depending on whether  $M$  halts on  $w$ . So:
  - $\langle M, w \rangle \in \neg H$ :  $M$  does not halt on  $w$ .  $M\#$  gets stuck in step 1.3.  $L(M\#) = \emptyset$ .  $L(M?) \neq L(M\#)$ . So  $Oracle$  accepts.
  - $\langle M, w \rangle \notin \neg H$ :  $M$  halts on  $w$ . So  $L(M\#) = \Sigma^*$ .  $L(M?) = L(M\#)$ . So  $Oracle$  does not accept.

But no machine to semidecide  $\neg H$  can exist, so neither does  $Oracle$ .

g)  $\{ \langle M, w \rangle : M, \text{ when operating on input } w, \text{ never moves to the right on two consecutive moves} \}.$

D. Notice that  $M = (K, \Sigma, \Gamma, \delta, s, H)$  must move either to the right or the left on each move. If it cannot move right on two consecutive moves, then every time it moves right, it must next move back left. So it will never be able to read more than the first square of its input tape. It can, however, move left indefinitely. That part of the tape is already known to contain only blanks.  $M$  can write on the tape as it

moves left, but it cannot ever come back to read anything that it has written except the character it just wrote and the one immediately to its right. So the rest of the tape is no longer an effective part of  $M$ 's configuration. We need only consider the current square and one square on either side of it. Thus the number of effectively distinct configurations of  $M$  is  $max = |K| \cdot |\Gamma|^3$ . Once  $M$  has executed  $max$  steps, it must either halt or be in a loop. If the latter, it will just keep doing the same thing forever. So the following procedure decides  $L$ :

Run  $M$  on  $w$  for  $|K| \cdot |\Gamma|^3 + 1$  moves or until  $M$  halts or moves right on two consecutive moves:

- If  $M$  ever moves right on two consecutive moves, halt and reject.
- If  $M$  halts without doing that or if it has not done that after  $|K| \cdot |\Gamma|^3 + 1$  moves, halt and accept.

h)  $\{ \langle M \rangle : M \text{ is the only Turing machine that accepts } L(M) \}$ .

D.  $L = \emptyset$ , since any language that is accepted by some Turing machine is accepted by an infinite number of Turing machines.

i)  $\{ \langle M \rangle : L(M) \text{ contains at least two strings} \}$ .

SD/D: The following algorithm semidecides  $L$ :

Run  $M$  on the strings in  $\Sigma^*$  in lexicographic order, interleaving the computations. As soon as two such computations have accepted, halt.

Proof not in D:  $R$  is a reduction from  $H = \{ \langle M, w \rangle : \text{TM } M \text{ halts on } w \}$  to  $L$ , defined as follows:

$R(\langle M, w \rangle) =$

1. Construct the description of  $M\#(x)$  that, on input  $x$ , operates as follows:
  - 1.1. Erase the tape.
  - 1.2. Write  $w$  on the tape.
  - 1.3. Run  $M$ .
  - 1.4. Accept.
2. Return  $\langle M\# \rangle$ .

If  $Oracle$  exists and decides  $L$ , then  $C = Oracle(R(\langle M, w \rangle))$  decides  $H$ :

- $\langle M, w \rangle \in H$ :  $M$  halts on  $w$  so  $M\#$  accepts everything and thus accepts at least two strings, so  $Oracle$  accepts.
- $\langle M, w \rangle \notin H$ :  $M$  doesn't halt on  $w$  so  $M\#$  doesn't halt and thus accepts nothing and so does not accept at least two strings so  $Oracle$  rejects.

But no machine to decide  $H$  can exist, so neither does  $Oracle$ .

j)  $\{ \langle M \rangle : M \text{ rejects at least two even length strings} \}$ .

SD/D: The following algorithm semidecides  $L$ :

Run  $M$  on the even length strings in  $\Sigma^*$  in lexicographic order, interleaving the computations. As soon as two such computations have rejected, halt.

Proof not in D:  $R$  is a reduction from  $H = \{ \langle M, w \rangle : \text{TM } M \text{ halts on } w \}$  to  $L$ , defined as follows:

$R(\langle M, w \rangle) =$

1. Construct the description of  $M\#(x)$  that, on input  $x$ , operates as follows:
  - 1.1. Erase the tape.
  - 1.2. Write  $w$  on the tape.
  - 1.3. Run  $M$ .
  - 1.4. Reject.
2. Return  $\langle M\# \rangle$ .

If *Oracle* exists and decides  $L$ , then  $C = \text{Oracle}(R(\langle M, w \rangle))$  decides  $H$ :

- $\langle M, w \rangle \in H$ :  $M$  halts on  $w$  so  $M\#$  rejects everything and thus rejects at least two even length strings, so *Oracle* accepts.
- $\langle M, w \rangle \notin H$ :  $M$  doesn't halt on  $w$  so  $M\#$  doesn't halt and thus rejects nothing and so does not reject at least even length two strings. *Oracle* rejects.

But no machine to decide  $H$  can exist, so neither does *Oracle*.

k)  $\{\langle M \rangle : M \text{ halts on all palindromes}\}$ .

—SD: Assume that  $\Sigma \neq \emptyset$ .  $R$  is a reduction from  $\neg H = \{\langle M, w \rangle : \text{TM } M \text{ does not halt on } w\}$  to  $L$ , defined as follows:

$R(\langle M, w \rangle) =$

1. Construct the description of  $M\#(x)$  that, on input  $x$ , operates as follows:
  - 1.1. Save its input  $x$  on a second tape.
  - 1.2. Erase the tape.
  - 1.3. Write  $w$ .
  - 1.4. Run  $M$  on  $w$  for  $|x|$  steps or until it halts.
  - 1.5. If  $M$  would have halted, then loop.
  - 1.6. Else halt.
2. Return  $\langle M\# \rangle$ .

If *Oracle* exists and semidecides  $L$ , then  $C = R(\langle M, w \rangle)$  semidecides  $\neg H$ :

- $\langle M, w \rangle \in \neg H$ :  $M$  does not halt on  $w$ , so  $M\#$  always gets to step 1.6. So it halts on everything, including all palindromes, so *Oracle* accepts.
- $\langle M, w \rangle \notin \neg H$ :  $M$  halts on  $w$ . Suppose it does so in  $k$  steps. Then, for all strings of length  $k$  or more,  $M\#$  loops at step 1.5. For any  $k$ , there is a palindrome of length greater than  $k$ . So  $M\#$  fails to accept all palindromes. So *Oracle* does not accept.

But no machine to semidecide  $\neg H$  can exist, so neither does *Oracle*.

l)  $\{\langle M \rangle : L(M) \text{ is context-free}\}$ .

—SD.  $R$  is a reduction from  $\neg H = \{\langle M, w \rangle : \text{TM } M \text{ does not halt on } w\}$  to  $L$ , defined as follows:

$R(\langle M, w \rangle) =$

1. Construct the description of  $M\#(x)$  that, on input  $x$ , operates as follows:
  - 1.1. Save  $x$ .
  - 1.2. Erase the tape.
  - 1.3. Write  $w$ .
  - 1.4. Run  $M$  on  $w$ .
  - 1.5. If  $x \in A^n B^n C^n$ , accept. Else loop.
2. Return  $\langle M\# \rangle$ .

If *Oracle* exists and semidecides  $L$ , then  $C = \text{Oracle}(R(\langle M, w \rangle))$  semidecides  $\neg H$ :

- $\langle M, w \rangle \in \neg H$ :  $M$  does not halt on  $w$ .  $M\#$  gets stuck in step 1.4. So  $L(M\#) = \emptyset$ , which is context-free. So *Oracle* accepts.
- $\langle M, w \rangle \notin \neg H$ :  $M$  halts on  $w$ . So  $L(M\#) = A^n B^n C^n$ , which is not context-free. So *Oracle* does not accept.

But no machine to semidecide  $\neg H$  can exist, so neither does *Oracle*.

m)  $\{ \langle M \rangle : L(M) \text{ is not context-free} \}$ .

—SD.  $R$  is a reduction from  $\neg H = \{ \langle M, w \rangle : \text{TM } M \text{ does not halt on } w \}$  to  $L$ , defined as follows:

$R(\langle M, w \rangle) =$

1. Construct the description of  $M\#(x)$  that, on input  $x$ , operates as follows:
  - 1.1. If  $x \in A^n B^n C^n$ , accept.
  - 1.2. Erase the tape.
  - 1.3. Write  $w$ .
  - 1.4. Run  $M$  on  $w$ .
  - 1.5. Accept.
2. Return  $\langle M\# \rangle$ .

If  $Oracle$  exists and semidecides  $L$ , then  $C = Oracle(R(\langle M, w \rangle))$  semidecides  $\neg H$ :

- $\langle M, w \rangle \in \neg H$ :  $M$  does not halt on  $w$ .  $M\#$  gets stuck in step 1.4. So  $L(M\#) = A^n B^n C^n$ , which is not context-free. So  $Oracle$  accepts.
  - $\langle M, w \rangle \notin \neg H$ :  $M$  halts on  $w$ . So  $L(M\#) = \Sigma^*$ , which is context-free. So  $Oracle$  does not accept.
- But no machine to semidecide  $\neg H$  can exist, so neither does  $Oracle$ .

n)  $\{ \langle M \rangle : A\#(L(M)) > 0 \}$ , where  $A\#(L) = |L \cap a^*|$ .

SD/D: The following algorithm semidecides  $L$ : Lexicographically enumerate the strings in  $a^*$  and run them through  $M$  in dovetailed mode. If  $M$  ever accepts a string, accept.

We show not in D by reduction:  $R$  is a reduction from  $H$  to  $L$ , defined as follows:

$R(\langle M, w \rangle) =$

1. Construct the description of  $M\#(x)$  that, on input  $x$ , operates as follows:
  - 1.1 Erase the tape.
  - 1.2 Write  $w$ .
  - 1.3 Run  $M$  on  $w$ .
  - 1.4 Accept.
2. Return  $\langle M\# \rangle$ .

If  $Oracle$  exists and decides  $L$ , then  $C = Oracle(R(\langle M, w \rangle))$  decides  $H$ :

- $\langle M, w \rangle \in H$ :  $M$  halts on  $w$ .  $M\#$  accepts everything, including strings in  $a^*$ . So  $Oracle$  accepts.
  - $\langle M, w \rangle \notin H$ :  $M$  does not halt on  $w$ .  $M\#$  accepts nothing. So  $Oracle$  rejects.
- But no machine to decide  $H$  can exist, so neither does  $Oracle$ .

o)  $\{ \langle M \rangle : |L(M)| \text{ is a prime integer greater than } 0 \}$ .

—SD: Assume that  $\Sigma \neq \emptyset$ .  $R$  is a reduction from  $\neg H = \{ \langle M, w \rangle : \text{TM } M \text{ does not halt on } w \}$  to  $L$ , defined as follows:

$R(\langle M, w \rangle) =$

1. Construct the description of  $M\#(x)$  that, on input  $x$ , operates as follows:
  - 1.1. If  $x$  is one of the first two strings (lexicographically) in  $\Sigma^*$ , accept.
  - 1.2. Erase the tape.
  - 1.3. Write  $w$  on the tape.
  - 1.4. Run  $M$  on  $w$ .
  - 1.5. Accept.
2. Return  $\langle M\# \rangle$ .

If *Oracle* exists and semidecides  $L$ , then  $C = R(\langle M, w \rangle)$  semidecides  $\neg H$ :

- $\langle M, w \rangle \in \neg H$ :  $M$  does not halt on  $w$ , so the  $M\#$  accepts only the two strings that it accepts in step 1.1. So  $|L(M\#)| = 2$ , which is greater than 0 and prime, so *Oracle* accepts.
- $\langle M, w \rangle \notin \neg H$ :  $M$  halts on  $w$  so,  $M\#$  accepts everything else at step 1.5. There is an infinite number of strings over any nonempty alphabet, so  $L(M\#)$  is infinite. Its cardinality is not a prime integer. So *Oracle* does not accept.

But no machine to semidecide  $\neg H$  can exist, so neither does *Oracle*.

- p)  $\{\langle M \rangle : \text{there exists a string } w \text{ such that } |w| < |\langle M \rangle| \text{ and that } M \text{ accepts } w\}$ .

SD/D: The following algorithm semidecides  $L$ :

Run  $M$  on the strings in  $\Sigma^*$  of length less than  $|\langle M \rangle|$ , in lexicographic order, interleaving the computations. If any such computation halts, halt and accept.

Proof not in D:  $R$  is a reduction from  $H = \{\langle M, w \rangle : \text{TM } M \text{ halts on } w\}$  to  $L$ , defined as follows:

$R(\langle M, w \rangle) =$

1. Construct the description  $\langle M\# \rangle$  of a new Turing machine  $M\#(x)$  that, on input  $x$ , operates as follows:
  - 1.1. Erase the tape.
  - 1.2. Write  $w$  on the tape.
  - 1.3. Run  $M$  on  $w$ .
  - 1.4. Accept.
2. Return  $\langle M\# \rangle$ .

If *Oracle* exists and decides  $L$ , then  $C = \text{Oracle}(R(\langle M, w \rangle))$  decides  $H$ :

- $\langle M, w \rangle \in H$ :  $M$  halts on  $w$  so  $M\#$  accepts everything. So, in particular, it accepts  $\epsilon$ , which is a string of length less than  $|\langle M \rangle|$ , so  $\text{Oracle}(\langle M\# \rangle)$  accepts.
- $\langle M, w \rangle \notin H$ :  $M$  doesn't halt on  $w$  so  $M\#$  doesn't halt and thus accepts nothing. So, in particular there is no string of length less than  $|\langle M \rangle|$  that  $M\#$  accepts, so  $\text{Oracle}(\langle M\# \rangle)$  rejects.

But no machine to decide  $H$  can exist, so neither does *Oracle*.

- q)  $\{\langle M \rangle : M \text{ does not accept any string that ends with } 0\}$ .

$\neg$ SD:  $R$  is a reduction from  $\neg H = \{\langle M, w \rangle : \text{TM } M \text{ does not halt on } w\}$  to  $L$ , defined as follows:

$R(\langle M, w \rangle) =$

1. Construct the description of  $M\#(x)$  that, on input  $x$ , operates as follows:
  - 1.1. Erase the tape.
  - 1.2. Write  $w$  on the tape.
  - 1.3. Run  $M$  on  $w$ .
  - 1.4. Accept.
2. Return  $\langle M\# \rangle$ .

If *Oracle* exists and semidecides  $L$ , then  $C = R(\langle M, w \rangle)$  semidecides  $\neg H$ :

- $\langle M, w \rangle \in \neg H$ :  $M$  does not halt on  $w$  so  $M\#$  accepts nothing and so, in particular, accepts no string that ends in 0. So *Oracle* accepts.
- $\langle M, w \rangle \notin \neg H$ :  $M$  halts on  $w$  so  $M\#$  accepts everything, including all strings that end in 0. Since  $M\#$  does accept strings that end in 0,  $M\#$  is not in  $L$  and *Oracle* does not accept.

But no machine to semidecide  $\neg H$  can exist, so neither does *Oracle*.

- r)  $\{ \langle M \rangle : \text{there are at least two strings } w \text{ and } x \text{ such that } M \text{ halts on both } w \text{ and } x \text{ within some number of steps } s, \text{ and } s < 1000 \text{ and } s \text{ is prime} \}.$

D. Note that in any fixed number  $s$  steps,  $M$  can examine no more than  $s$  squares of its tape. So if it is going to accept any string  $w$  that is longer than  $s$ , it must also accept a string  $w'$  that is no longer than  $s$  and that is an initial substring of  $w$ . So the following algorithm decides  $L$ :

Run  $M$  on all strings in  $\Sigma^*$  of length between 0 and 1000. Try each for 1000 steps or until the computation halts:

- If at least two such computations halted in some prime number of steps  $s$ , accept.
- Else reject.

- s)  $\{ \langle M \rangle : \text{there exists an input on which TM } M \text{ halts in fewer than } |\langle M \rangle| \text{ steps} \}.$

D. In  $|\langle M \rangle|$  steps,  $M$  can examine no more than  $|\langle M \rangle|$  squares of its tape. So the following algorithm decides  $L$ :

Run  $M$  on all strings in  $\Sigma^*$  of length between 0 and  $|\langle M \rangle|$ . Try each for  $|\langle M \rangle|$  steps or until the computation halts:

- If at least one such computation halted, accept.
- Else reject.

It isn't necessary to try any longer strings because, if  $M$  accepts some longer string, it does so by looking at no more than  $|\langle M \rangle|$  initial characters. So it would also accept the string that contains just those initial characters. And we'd have discovered that.

- t)  $\{ \langle M \rangle : L(M) \text{ is infinite} \}.$

—SD. Assume that  $\Sigma \neq \emptyset$ .  $R$  is a reduction from  $\neg H = \{ \langle M, w \rangle : \text{TM } M \text{ does not halt on } w \}$  to  $L$ , defined as follows:

$R(\langle M, w \rangle) =$

1. Construct the description of  $M\#(x)$  that, on input  $x$ , operates as follows:
  - 1.1. Save its input  $x$  on a second tape.
  - 1.2. Erase the tape.
  - 1.3. Write  $w$ .
  - 1.4. Run  $M$  on  $w$  for  $|x|$  steps or until it halts.
  - 1.5. If  $M$  would have halted, then loop.
  - 1.6. Else accept.
2. Return  $Oracle(\langle M\# \rangle)$

If  $Oracle$  exists and semidecides  $L$ , then  $R$  semidecides  $\neg H$ :

- $\langle M, w \rangle \in \neg H$ :  $M$  does not halt on  $w$ . So  $M\#$  always makes it to step 1.6. It accepts everything, which is an infinite set. So  $Oracle$  accepts.
- $\langle M, w \rangle \notin \neg H$ :  $M$  halts on  $w$ . Suppose it does so in  $k$  steps. Then  $M\#$  loops on all strings of length  $k$  or greater. It accepts strings of length less than  $k$ . But that set is finite. So  $Oracle$  does not accept.

But no machine to semidecide  $\neg H$  can exist, so neither does  $Oracle$ .

- u)  $\{ \langle M \rangle : L(M) \text{ is uncountably infinite} \}.$

D.  $L = \emptyset$ , since every Turing machine  $M = (K, \Sigma, \Gamma, \delta, s, H)$  accepts some subset of  $\Sigma^*$  and  $|\Sigma^*|$  is countably infinite. So  $L$  is not only in D, it is regular.



- 7) Show that each of the following questions is undecidable by recasting it as a language recognition problem and showing that the corresponding language is not in D:
- a) Given a program  $P$ , input  $x$ , and a variable  $n$ , does  $P$ , when running on  $x$ , ever assign a value to  $n$ ?

$L = \{ \langle P, x, n \rangle : P, \text{ when running on } x, \text{ ever assigns a value to } n \}$ . We show that  $L$  is not in D by reduction from H. Define:

$R(\langle M, w \rangle) =$

1. Construct the description  $\langle P \rangle$  of a program  $P$  that ignores its input and operates as follows:
  - 1.1. Erase a simulated tape.
  - 1.2. Write  $w$  on the tape.
  - 1.3. Simulate running  $M$  on  $w$ .
  - 1.4. Set  $n$  to 0.
2. Return  $\langle P, \varepsilon, n \rangle$ .

If *Oracle* exists and decides  $L$ , then  $C = \text{Oracle}(R(\langle M, w \rangle))$  decides H.  $R$  can be implemented as a Turing machine. And  $C$  is correct:

- $\langle M, w \rangle \in H$ :  $M$  halts on  $w$ , so  $P$ , regardless of its input, assigns a value to  $n$ .  $\text{Oracle}(\langle P, \varepsilon, n \rangle)$  accepts.
- $\langle M, w \rangle \notin H$ :  $M$  does not halt on  $w$ , so  $P$ , regardless of its input, fails to assign a value to  $n$ .  $\text{Oracle}(\langle P, \varepsilon, n \rangle)$  rejects.

But no machine to decide H can exist, so neither does *Oracle*.

- b) Given a program  $P$  and code segment  $S$  in  $P$ , does  $P$  reach  $S$  on every input (in other words, can we guarantee that  $S$  happens)?
- c) Given a program  $P$  and a variable  $x$ , is  $x$  always initialized before it is used?
- d) Given a program  $P$  and a file  $f$ , does  $P$  always close  $f$  before it exits?
- e) Given a program  $P$  with an array reference of the form  $a[i]$ , will  $i$ , at the time of the reference, always be within the bounds declared for the array?
- f) Given a program  $P$  and a database of objects  $d$ , does  $P$  perform the function  $f$  on all elements of  $d$ ?

$L = \{ \langle P, d, f \rangle : P \text{ performs } f \text{ on every element of } d \}$ . We show that  $L$  is not in D by reduction from H. Define:

$R(\langle M, w \rangle) =$

1. Create a database  $D$  with one record  $r$ .
2. Create the function  $f$  that writes the value of the first field of the database object it is given.
3. Construct the description  $\langle P \rangle$  of a program  $P$  that ignores its input and operates as follows:
  - 3.1. Erase a simulated tape.
  - 3.2. Write  $w$  on the tape.
  - 3.3. Simulate running  $M$  on  $w$ .
  - 3.4. Run  $f$  on  $r$ .
4. Return  $\langle P, D, f \rangle$ .

If *Oracle* exists and decides  $L$ , then  $C = \text{Oracle}(R(\langle M, w \rangle))$  decides  $H$ .  $R$  can be implemented as a Turing machine. And  $C$  is correct:

- $\langle M, w \rangle \in H$ :  $M$  halts on  $w$ , so  $P$ , regardless of its input, runs  $f$  on  $r$ .  $\text{Oracle}(\langle P, D, f \rangle)$  accepts.
- $\langle M, w \rangle \notin H$ :  $M$  does not halt on  $w$ , so  $P$ , regardless of its input, fails to run  $f$  on  $r$ .  $\text{Oracle}(\langle P, D, f \rangle)$  rejects.

But no machine to decide  $H$  can exist, so neither does *Oracle*.

- 10) Do the other half of the proof of Rice's Theorem, i.e., show that the theorem holds if  $P(\emptyset) = \text{True}$ .

The easiest way to do this is to use a reduction that is not a mapping reduction. We simply invert the reduction that we did to prove the first half. So we proceed as follows. Assume that  $P(\emptyset) = \text{True}$ . Since  $P$  is nontrivial, there is some SD language  $L_F$  such that  $P(L_F)$  is *False*. Since  $L_F$  is in SD, there exists some Turing machine  $K$  that semidecides it.

Define:

$R(\langle M, w \rangle) =$

1. Construct the description  $\langle M\# \rangle$  of a new Turing machine  $M\#(x)$  that, on input  $x$ , operates as follows:
  - 1.1. Copy its input  $x$  to a second tape.
  - 1.2. Erase the tape.
  - 1.3. Write  $w$  on the tape.
  - 1.4. Run  $M$  on  $w$ .
  - 1.5. Put  $x$  back on the first tape and run  $K$  on  $x$ .
2. Return  $\langle M\# \rangle$ .

$\{R, \neg\}$  is a reduction from  $H$  to  $L_2$ . If *Oracle* exists and decides  $L$ , then  $C = \neg \text{Oracle}(R(\langle M, w \rangle))$  decides  $H$ .  $R$  can be implemented as a Turing machine. And  $C$  is correct:

- If  $\langle M, w \rangle \in H$ :  $M$  halts on  $w$ , so  $M\#$  makes it to step 1.5. So  $M\#$  does whatever  $K$  would do. So  $L(M\#) = L(K)$  and  $P(L(M\#)) = P(L(K))$ . We chose  $K$  precisely to assure that  $P(L(K))$  is *False*, so  $P(L(M\#))$  must also be *False*. *Oracle* decides  $P$ . *Oracle*( $\langle M\# \rangle$ ) rejects so  $C$  accepts.
- If  $\langle M, w \rangle \notin H$ :  $M$  does not halt on  $w$ .  $M\#$  gets stuck in step 1.4 and so accepts nothing.  $L(M\#) = \emptyset$ . By assumption,  $P(\emptyset) = \text{True}$ . *Oracle* decides  $P$ . *Oracle*( $\langle M\# \rangle$ ) accepts so  $C$  rejects.

But no machine to decide  $H$  can exist, so neither does *Oracle*.

- 11) For each of the following languages  $L$ , do two things:
- i) State whether or not Rice's Theorem has anything to tell us about the decidability of  $L$ .
  - ii) State whether  $L$  is in D, SD/D, or not in SD.
- a)  $\{\langle M \rangle : M \text{ accepts all strings that start with } a\}$ .

Rice's Theorem applies and tells us that  $L$  is not in D. It is also true that  $L$  is not in SD.

- b)  $\{\langle M \rangle : M \text{ halts on } \epsilon \text{ in no more than 1000 steps}\}$ .

Rice's Theorem does not apply.  $L$  is in D. It can be decided by simply running  $M$  on  $\epsilon$  for 1000 steps or until it halts.

- c)  $\neg L_1$ , where  $L_1 = \{\langle M \rangle : M \text{ halts on all strings in no more than 1000 steps}\}$ .

Rice's Theorem does not apply.  $L_1$  is in D. The key to defining a decision procedure for it is the observation that if  $M$  is allowed to run for only 1000 steps, it must make its decision about whether to accept an input string  $w$  after looking at no more than the first 1000 characters of  $w$ . So we can decide  $L_1$

by doing the following: Lexicographically enumerate the strings of length up to 1000 drawn from the alphabet of  $M$ . For each, run  $M$  for 1000 steps or until it halts. If  $M$  halted on all of them, then it must also halt on all longer strings. So accept. Otherwise, reject. Since the decidable languages are closed under complement,  $\neg L_1$  must be in D if  $L_1$ .

- d)  $\{ \langle M, w \rangle : M \text{ rejects } w \}$ .

Rice's Theorem does not apply. Note that the definition of this language does not ask about the language that  $M$  accepts. Failure to reject could mean either that  $M$  accepts or that it loops.  $L$  is in SD.

- 12) Use Rice's Theorem to prove that each of the following languages is not in D:

- a)  $\{ \langle M \rangle : \text{Turing machine } M \text{ accepts at least two odd length strings} \}$ .

We define  $P$  as follows:

- Let  $P$  be defined on the set of languages accepted by some Turing machine  $M$ . Let it be *True* if  $L(M)$  contains at least two odd length strings and *False* otherwise.
- The domain of  $P$  is the SD languages since it is those languages that are accepted by some Turing machine  $M$ .
- $P$  is nontrivial since  $P(\{a, aaa\})$  is *True* and  $P(\emptyset)$  is *False*.

Thus  $\{ \langle M \rangle : \text{Turing machine } M \text{ accepts at least two odd length strings} \}$  is not in D.

- b)  $\{ \langle M \rangle : M \text{ is a Turing machine and } |L(M)| = 12 \}$ .

We define  $P$  as follows:

- Let  $P$  be defined on the set of languages accepted by some Turing machine  $M$ . Let it be *True* if  $|L(M)|$  is 12 and *False* otherwise.
- The domain of  $P$  is the SD languages since it is those languages that are accepted by some Turing machine  $M$ .
- $P$  is nontrivial since  $P(\{a, aa, aaa, aaaa, aaaaa, aaaaaa, b, bb, bbb, bbbb, bbbbbb, bbbbbb\})$  is *True* and  $P(\emptyset)$  is *False*.

Thus  $\{ \langle M \rangle : M \text{ is a Turing machine and } |L(M)| = 12 \}$  is not in D.

- 20) If  $L_1$  and  $L_2$  are decidable languages and  $L_1 \subseteq L \subseteq L_2$ , must  $L$  be decidable? Prove your answer.

No. Let  $L_1 = \emptyset$ . Let  $L_2 = \{ \langle M \rangle \}$ . Let  $L = \{ \langle M \rangle : M \text{ accepts } \varepsilon \}$ , which is not decidable.

## 22 Undecidable Languages That Do Not Ask Questions about Turing Machines

1) Consider the following instance of the Post Correspondence problem. Does it have a solution? If so, show one.

	X	Y
1	a	bab
2	bbb	bb
3	aab	ab
4	b	a

2, 1, 2 is a solution.

2) Prove that, if we consider only PCP instances with a single character alphabet, PCP is decidable.

If there is any index  $i$  such that  $X_i = Y_i$ , then the single element sequence  $i$  is a solution.

If there is some index  $i$  with the property that  $|X_i| > |Y_i|$  and another index  $j$  with the property that  $|X_j| < |Y_j|$  then there is a solution. In this case, there must be values of  $n$ ,  $m$ ,  $k$ , and  $p$  such that (giving the name  $a$  to the single element of  $\Sigma$ ):

$$\begin{array}{ll} i: & \dots a^{n+k} \dots \\ j: & a^m \end{array} \qquad \begin{array}{l} Y \\ a^n \\ a^{m+p} \end{array}$$

The sequence  $i^p j^k$  must be a solution since:

- the number of  $a$ 's in the  $X$  string will then be  $p(n+k)+km = pn + pk + km$ , and
- the number of  $a$ 's in the  $Y$  string will then be  $pn + k(m+p) = pn + kp + km$ .

For example, suppose that we have:

$$\begin{array}{ll} X & Y \\ 1: & \dots aaaaaaa \quad aaa \\ 2: & aa \quad aaaaaaa \end{array}$$

We can restate that as:

$$\begin{array}{ll} X & Y \\ 1: & \dots a^{3+4} \quad a^3 \\ 2: & a^2 \quad a^{2+5} \end{array}$$

So:  $n = 3, k = 4$        $m = 2, p = 5$

So 1, 1, 1, 1, 1, 2, 2, 2, 2 is a solution:

$$\begin{array}{ll} X: & \dots a^7 a^7 a^7 a^7 a^2 a^2 a^2 a^2 = a^{43} \\ Y: & a^3 a^3 a^3 a^3 a^3 a^7 a^7 a^7 = a^{43} \end{array}$$

If, on the other hand, neither of these conditions is satisfied, there is no solution. Now either all the  $X$  strings are longer than their corresponding  $Y$  strings or vice versa. In either case, as we add indices to any proposed solutions, the lengths of the resulting  $X$  and  $Y$  strings get farther and farther apart.

- 3) Prove that, if an instance of the Post Correspondence problem has a solution, it has an infinite number of solutions.

If  $S$  is a solution, then  $S^2, S^3, \dots$  are all solutions.

- 4) State whether or not each of the following languages is in D and prove your answer.

- a)  $\{ \langle G \rangle : G \text{ is a context-free grammar and } \varepsilon \in L(G) \}$ .

$L$  is in D.  $L$  can be decided by the procedure:

If  $decideCFL(L, \varepsilon)$  returns *True*, accept. Else reject.

- b)  $\{ \langle G \rangle : G \text{ is a context-free grammar and } \{ \varepsilon \} = L(G) \}$ .

$L$  is in D. By the context-free pumping theorem, we know that, given a context-free grammar  $G$ , if there is a string of length greater than  $b^n$  in  $L(G)$ , then  $vy$  can be pumped out to create a shorter string also in  $L(G)$  (the string must be shorter since  $|vy| > 0$ ). We can, of course, repeat this process until we reduce the original string to one of length less than  $b^n$ . This means that if there are any strings in  $L(G)$ , there are some strings of length less than  $b^n$ . So, to see whether  $L(G) = \{ \varepsilon \}$ , we do the following: First see whether  $\varepsilon \in L(G)$  by seeing whether  $decideCFL(L, \varepsilon)$  returns *True*. If not, say no. If  $\varepsilon$  is in  $L(G)$ , then we need to determine whether any other strings are also in  $L(G)$ . To do that, we test all strings in  $\Sigma^*$  of length up to  $b^{n+1}$ . If we find one, we say no,  $L(G) \neq \{ \varepsilon \}$ . If we don't find any, we can assert that  $L(G) = \{ \varepsilon \}$ . Why? If there is a longer string in  $L(G)$  and we haven't found it yet, then we know, by the pumping theorem, that we could pump out  $vy$  from it until we got a string of length  $b^n$  or less. If  $\varepsilon$  were not in  $L(G)$ , we could just test up to length  $b^n$  and if we didn't find any elements of  $L(G)$  at all, we could stop, since if there were bigger ones we could pump out and get shorter ones but there aren't any. However, because  $\varepsilon$  is in  $L(G)$ , what about the case where we pump out and get  $\varepsilon$ ? That's why we go up to  $b^{n+1}$ . If there are any long strings that pump out to  $\varepsilon$ , then there is a shortest such string, which can't be longer than  $b^{n+1}$  since that's the longest string we can pump out.

- c)  $\{ \langle G_1, G_2 \rangle : G_1 \text{ and } G_2 \text{ are context-free grammars and } L(G_1) \subseteq L(G_2) \}$ .

$L$  is not in D. If it were, then we could reduce  $GG_= \{ \langle G_1, G_2 \rangle : G_1 \text{ and } G_2 \text{ are CFGs and } L(G_1) = L(G_2) \}$  to it and we have shown that  $GG_=$  is not in D. Notice that  $L(G_1) = L(G_2)$  iff  $L(G_1) \subseteq L(G_2)$  and  $L(G_2) \subseteq L(G_1)$ . So, if we could solve the subset problem, then to find out whether  $L(G_1) = L(G_2)$ , all we do is ask whether the first language is a subset of the second and vice versa. If both answers are yes, we say yes. Otherwise, we say no. Formally, we define  $R$  as follows:

$R(\langle G_1, G_2 \rangle) =$

1. If  $M_2(\langle G_1, G_2 \rangle)$  accepts and  $M_2(\langle G_2, G_1 \rangle)$  accepts then accept, else reject.

If *Oracle* exists and decides  $L$ , then  $C = R(\langle G_1, G_2 \rangle)$  decides  $GG_=$ :

- $\langle G_1, G_2 \rangle \in GG_=$ :  $L(G_1) = L(G_2)$ , so  $L(G_1) \subseteq L(G_2)$  and  $L(G_2) \subseteq L(G_1)$ . So  $M_2$  accepts.
- $\langle G_1, G_2 \rangle \notin GG_=$ :  $L(G_1) \neq L(G_2)$ , so  $\neg(L(G_1) \subseteq L(G_2) \text{ and } L(G_2) \subseteq L(G_1))$ . So  $M_2$  rejects.

But no machine to decide  $GG_=$  can exist, so neither does *Oracle*.



## 23 Unrestricted Grammars

1) Write an unrestricted grammar for each of the following languages  $L$ :

a)  $\{a^{2^n} b^{2^n}, n \geq 0\}$ .

```

 $S \rightarrow \# ab \%$ 
 $\# \rightarrow \# D$  /* Each  $D$  is a doubler. Spawn  $(n-1)$  of them. Each of them will get pushed
               to the right and will turn each  $a$  into  $aa$  and each  $b$  into  $bb$  as it passes over.
 $Da \rightarrow aaD$  /* Move right and double.
 $Db \rightarrow bbD$  "
 $D\% \rightarrow \%$  /*  $D$ 's work is done. Wipe it out.
 $\# \rightarrow \epsilon$  /* Get rid of the walls.
 $\% \rightarrow \epsilon$  "

```

$G$  generates all strings in  $L$ : If no  $D$ 's are generated,  $G$  generates  $ab$  ( $n = 0$ ). For any other value of  $n$ , the correct number of  $D$ 's can be generated.  $G$  generates only strings in  $L$ : Once a  $D$  is generated, it cannot be eliminated until it has made it all the way to the right and is next to  $\%$ . To do that, it must double each  $a$  and each  $b$  it passes over.

b)  $\{a^n b^m c^{n+m} : n, m > 0\}$ .

```

 $S \rightarrow a S c$  /*  $L$  is actually context free.
 $S \rightarrow a T c$ 
 $T \rightarrow b T c$ 
 $T \rightarrow b c$ 

```

c)  $\{a^n b^m c^{nm} : n, m > 0\}$ .

```

 $S \rightarrow S_1 \#$  /* First generate  $A^n b^m \#$ 
 $S_1 \rightarrow A S_1$ 
 $S_1 \rightarrow A S_2$ 
 $S_2 \rightarrow S_2 b$ 
 $S_2 \rightarrow b$ 
 $A \rightarrow a 1$  /* For each  $A$ , in order to convert it to  $a$ , we will generate a 1.
               /* Then we'll push the 1 rightwards. As it passes over the  $b$ 's,
               it will generate a  $C$  for each  $b$ . Start with the rightmost  $A$  or the
               second 1 will get stuck.

 $1 a \rightarrow a 1$ 
 $1 b \rightarrow b C 1$ 
 $C b \rightarrow b C$  /* Move all the  $C$ 's to the right of the  $b$ 's.
 $C 1 \# \rightarrow 1 \# c$  /* Jump each  $C$  across  $\#$  and convert it to  $c$ .
 $1 \# \rightarrow \#$  /* Get rid of 1 once all the  $C$ 's have jumped. If it goes too soon,
               then some  $C$ 's will be stuck to the left of  $\#$ .
 $b \# c \rightarrow b c$  /* Get rid of  $\#$  at the end.

```

d)  $\{a^n b^{2^n} c^{3^n} : n \geq 1\}$ .

This one is very similar to  $a^n b^n c^n$ . The only difference is that we will churn out  $b$ 's in pairs and  $c$ 's in triples each time we expand  $S$ . So we get:

```

 $S \rightarrow a B S c c c$ 
 $S \rightarrow a B c c c$ 

```

$Ba \rightarrow aB$   
 $Bc \rightarrow bbc$   
 $Bb \rightarrow bbb$

- e)  $\{ww^Rw : w \in \{a, b\}^*\}$ .

$S \rightarrow S_1 \#$   
 $S_1 \rightarrow a S_1 a$                    /\* First generate  $wTw^R\#$   
 $S_1 \rightarrow b S_1 b$   
 $S_1 \rightarrow T$   
  
                                      /\* Take each character of  $w^R$ , starting at the left. Make a copy  
                                      of it and slide it to the right of the  $\#$  to create the  
                                      second  $w$ . Use 1 for a and 2 for b.  
  
 $Ta \rightarrow T1A$                    /\* We'll move  $A$  for a,  $B$  for b. And we'll transform each character  
 $Tb \rightarrow T2B$                    of  $w^R$  as it is considered: a will be 1, b will be 2. These  
                                      two rules will handle the first such character.  
  
 $1a \rightarrow 11A$                    /\* These next four will do this for characters 2 through n of  $w^R$ .  
 $1b \rightarrow 12B$   
 $2a \rightarrow 21A$   
 $2b \rightarrow 22B$   
  
 $Aa \rightarrow aA$                    /\* Push  $A$ 's and  $B$ 's to the right until they hit  $\#$ .  
 $Ab \rightarrow bA$   
 $Bb \rightarrow bB$   
 $Ba \rightarrow aB$   
  
 $A\# \rightarrow \#a$                    /\* Jump across  $\#$   
 $B\# \rightarrow \#b$   
  
 $1\# \rightarrow \#a$                    /\* Once all of  $w^R$  has been converted to 1's and 2's (i.e., it's all  
 $2\# \rightarrow \#b$                    been copied), push  $\#$  leftward converting 1's back to a's  
                                      and 2's to b's.  
  
 $T\# \rightarrow \epsilon$                    /\* Done.

- f)  $\{a^n b^n a^n b^n : n \geq 0\}$ .

$S \rightarrow \% T$   
 $T \rightarrow ABTA B$                    /\* Generate  $n A$ 's and  $n B$ 's on each side of the middle marker  $\#$ .  
 $T \rightarrow \#$                    /\* At this point, strings will look like:  $\%ABABAB\#ABABAB$ .  
 $BA \rightarrow AB$                    /\* Move  $B$ 's to the right of  $A$ 's.  
 $\%A \rightarrow a$                    /\* Convert  $A$ 's to a's and  $B$ 's to b's, moving left to right.  
 $aA \rightarrow aa$                    "  
 $aB \rightarrow ab$   
 $bB \rightarrow bb$   
 $\#A \rightarrow a$   
 $\%\# \rightarrow \epsilon$                    /\* The special case where no  $A$ 's and  $B$ 's are generated.

- g)  $\{xy\#x^R : x, y \in \{a, b\}^* \text{ and } |x| = |y|\}$ .

$S \rightarrow a S X a$                    /\* Generate  $x\#x^R$ , with  $X$ 's mixed in. The  $X$ 's will become  $y$ .  
 $S \rightarrow b S X b$   
 $S \rightarrow \#$                    /\* At this point, we have something like  $aab\#XbXaXa$ .  
 $bX \rightarrow Xb$                    /\* Push all the  $X$ 's to the left, up next to  $\#$ .  
 $aX \rightarrow Xa$                    /\* At this point, we have something like  $aab\#XXXbaa$ .



```
# X → a #          /* Hop each X leftward over # and convert it to a or b.
# X → b #
```

- 2) Show that, if  $G$ ,  $G_1$ , and  $G_2$  are unrestricted grammars, then each of the following languages, defined in Section 23.4, is not in D:

a)  $L_b = \{ \langle G \rangle : \varepsilon \in L(G) \}.$

We show that  $A_\varepsilon \leq_M L_b$  and so  $L_b$  is not decidable. Let  $R$  be a mapping reduction from  $A_\varepsilon = \{ \langle M \rangle : \text{Turing machine } M \text{ accepts } \varepsilon \}$  to  $L_b$ , defined as follows:

```
R(<M>) =
1. From M, construct the description <G#> of a grammar G# such that L(G#) = L(M).
2. Return <G#>.
```

If *Oracle* exists and decides  $L_b$ , then  $C = \text{Oracle}(R(\langle M \rangle))$  decides  $A_\varepsilon$ .  $R$  can be implemented as a Turing machine using the algorithm presented in Section 23.2. And  $C$  is correct:

- If  $\langle M \rangle \in A_\varepsilon$ :  $M(\varepsilon)$  halts and accepts.  $\varepsilon \in L(M)$ . So  $\varepsilon \in L(G\#)$ . *Oracle*( $\langle G\# \rangle$ ) accepts.
- If  $\langle M \rangle \notin A_\varepsilon$ :  $M(\varepsilon)$  does not halt.  $\varepsilon \notin L(M)$ . So  $\varepsilon \notin L(G\#)$ . *Oracle*( $\langle G\# \rangle$ ) rejects.

But no machine to decide  $A_\varepsilon$  can exist, so neither does *Oracle*.

b)  $L_c = \{ \langle G_1, G_2 \rangle : L(G_1) = L(G_2) \}.$

We show that  $\text{EqTMs} \leq_M L_c$  and so  $L_c$  is not decidable. Let  $R$  be a mapping reduction from  $\text{EqTMs} = \{ \langle M_a, M_b \rangle : L(M_a) = L(M_b) \}$  to  $L_c$ , defined as follows:

```
R(<M_a, M_b>) =
1. From M_a, construct the description <G_a#> of a grammar G_a# such that L(G_a#) = L(M_a).
2. From M_b, construct the description <G_b#> of a grammar G_b# such that L(G_b#) = L(M_b).
3. Return <G_a#, G_b#>.
```

If *Oracle* exists and decides  $L_c$ , then  $C = \text{Oracle}(R(\langle M_a, M_b \rangle))$  decides  $\text{EqTMs}$ .  $R$  can be implemented as a Turing machine using the algorithm presented in Section 23.2. And  $C$  is correct:

- If  $\langle M_a, M_b \rangle \in \text{EqTMs}$ :  $L(M_a) = L(M_b)$ .  $L(G_a\#) = L(G_b\#)$ . *Oracle*( $\langle G_a\#, G_b\# \rangle$ ) accepts.
- If  $\langle M_a, M_b \rangle \notin \text{EqTMs}$ :  $L(M_a) \neq L(M_b)$ .  $L(G_a\#) \neq L(G_b\#)$ . *Oracle*( $\langle G_a\#, G_b\# \rangle$ ) rejects.

But no machine to decide  $\text{EqTMs}$  can exist, so neither does *Oracle*.

c)  $L_d = \{ \langle G \rangle : L(G) = \emptyset \}.$

The proof is by reduction from  $A_{\text{ANY}} = \{ \langle M \rangle : \text{there exists at least one string that Turing machine } M \text{ accepts} \}$ . Define:

```
R(<M>) =
1. From M, construct the description <G#> of a grammar G# such that L(G#) = L(M).
2. Return <G#>.
```

$\{R, \neg\}$  is a reduction from  $A_{\text{ANY}}$  to  $L_d$ . If *Oracle* exists and decides  $L_d$ , then  $C = \neg \text{Oracle}(R(\langle M \rangle))$  decides  $A_{\text{ANY}}$ .  $R$  can be implemented as a Turing machine using the algorithm presented in Section 23.2. And  $C$  is correct:

- If  $\langle M \rangle \in A_{ANY}$  :  $M$  accepts at least one string.  $L(M) \neq \emptyset$ . So  $L(G\#) \neq \emptyset$ .  $Oracle(\langle G\# \rangle)$  rejects.  $C$  accepts.
- If  $\langle M \rangle \notin A_{ANY}$  :  $M$  does not accept at least one string.  $L(M) = \emptyset$ . So  $L(G\#) = \emptyset$ .  $Oracle(\langle G\# \rangle)$  accepts.  $C$  rejects.

But no machine to decide  $A_{ANY\epsilon}$  can exist, so neither does  $Oracle$ .

3) Show that, if  $G$  is an unrestricted grammar, then each of the following languages is not in D:

a)  $\{\langle G \rangle : G \text{ is an unrestricted grammar and } a^* \subseteq L(G)\}$ .

Let  $R$  be a mapping reduction from  $H$  to  $L$  defined as follows:

$R(\langle M, w \rangle) =$

1. Construct the description  $\langle M\# \rangle$  of a new Turing machine  $M\#(x)$ , which operates as follows:
  - 1.1. Erase the tape.
  - 1.2. Write  $w$  on the tape.
  - 1.3. Run  $M$  on  $w$ .
  - 1.4. Accept.
2. Build the description  $\langle G\# \rangle$  of a grammar  $G\#$  such that  $L(G\#) = L(M\#)$ .
3. Return  $\langle G\# \rangle$ .

If  $Oracle$  exists, then  $C = Oracle(R(\langle M, w \rangle))$  decides  $L$ .  $R$  can be implemented as a Turing machine. And  $C$  is correct.  $G\#$  generates  $\Sigma^*$  or  $\emptyset$ , depending on whether  $M$  halts on  $w$ . So:

- a.  $\langle M, w \rangle \in H$ :  $M$  halts on  $w$ , so  $M\#$  accepts all inputs.  $G\#$  generates  $\Sigma^*$ .  $a^* \subseteq \Sigma^*$ .  $Oracle$  accepts.
  - $\langle M, w \rangle \notin H$ :  $M$  does not halt on  $w$ , so  $M\#$  halts on nothing.  $G\#$  generates  $\emptyset$ . It is not true that  $a^* \subseteq \emptyset$ .  $Oracle$  rejects.

But no machine to decide  $H$  can exist, so neither does  $Oracle$ .

b)  $\{\langle G \rangle : G \text{ is an unrestricted grammar and } G \text{ is ambiguous}\}$ . Hint: Prove this by reduction from PCP.

4) Let  $G$  be the unrestricted grammar for the language  $A^n B^n C^n = \{a^n b^n c^n : n \geq 0\}$ , shown in Example 23.1. Consider the proof, given in Section 36.4, of the undecidability of the Post Correspondence Problem. The proof is by reduction from the membership problem for unrestricted grammars.

a) Define the MPCP instance  $MP$  that will be produced, given the input  $\langle G, abc \rangle$ , by the reduction that is defined in the proof of Theorem 36.1.

	X	Y
1	$\%S \Rightarrow$	$\%$
2	$\&$	$\Rightarrow abc\%$
3	$S$	$S$
4	$B$	$B$
5	$a$	$a$
6	$b$	$b$
7	$c$	$c$
8	$aBS\bar{c}$	$S$
9	$\epsilon$	$S$
10	$aB$	$Ba$
11	$b\bar{c}$	$B\bar{c}$
12	$b\bar{b}$	$B\bar{b}$
13	$\Rightarrow$	$\Rightarrow$

b) Find a solution for  $MP$ .

1, 8, 13, 5, 4, 9, 7, 13, 5, 11, 2.

- c) Define the PCP instance  $P$  that will be built from  $MP$  by the reduction that is defined in the proof of Theorem 36.2.

	A	B
0	$\epsilon \% \phi S \phi \Rightarrow \phi$	$\phi \%$
1	$\% \phi S \phi \Rightarrow \phi$	$\phi \%$
2	$\& \phi$	$\phi \Rightarrow \phi a \phi b \phi c \phi \%$
3	$S \phi$	$\phi S$
4	$B \phi$	$\phi B$
5	$a \phi$	$\phi a$
6	$b \phi$	$\phi b$
7	$c \phi$	$\phi c$
8	$a \phi B \phi S \phi c \phi$	$\phi S$
9	$\epsilon$	$\phi S$
10	$a \phi B \phi$	$\phi B \phi a$
11	$b \phi c \phi$	$\phi B \phi c$
12	$b \phi b \phi$	$\phi B \phi b$
13	$\Rightarrow \phi$	$\phi \Rightarrow$
14	$\$$	$\phi \$$

- d) Find a solution for  $P$ .

0, 8, 13, 5, 4, 9, 7, 13, 5, 11, 2, 14.