

# Decidability of Languages That Do Not Ask Questions about Turing Machines

## Chapter 22

# Undecidable Languages That Do Not Ask Questions About TMs

- Diophantine Equations, Hilbert's 10th Problem
- Post Correspondence Problem
- Tiling problems
- Context-free languages

## Hilbert's 10th Problem

A **Diophantine system** is a system of **Diophantine equations** such as:

$$4x^3 + 7xy + 2z^2 - 23x^4z = 0$$

**Hilbert's 10<sup>th</sup> problem:** given a Diophantine system, does it have an integer solution?

Tenth = { $\langle w \rangle$  :  $w$  is a Diophantine system with integer solution}.

**Tenth is not in D?**

Proved in 1970 by Yuri Matiyasevich.

## Restricted Diophantine Problems

Suppose all exponents are 1:

A farmer buys 100 animals for \$100.00. The animals include at least one cow, one pig, and one chicken, but no other kind. If a cow costs \$10.00, a pig costs \$3.00, and a chicken costs \$0.50, how many of each did he buy?

- Diophantine problems of degree 1 and Diophantine problems of a single variable of the form  $ax^k = c$  are efficiently solvable.
- The quadratic Diophantine problem is NP-complete.
- The general Diophantine problem is undecidable, so not even an inefficient algorithm for it exists.

## Post Correspondence Problem

Emil Post (1940)

Consider the following blocks:

a	ab	bba
bba	aa	bb

Assuming an unlimited supply of each block, does there exist a sequence of such blocks such that the strings on top and bottom are the same?

**Solution:**

bba	ab	bba	a
bb	aa	bb	bba

## Post Correspondence Problem

Consider two equal length, finite lists,  $X$  and  $Y$ , of strings over some alphabet  $\Sigma$ :

$$X = x_1, x_2, x_3, \dots, x_n$$

$$Y = y_1, y_2, y_3, \dots, y_n$$

Does there exist a finite sequence of integers  $i_1, i_2, \dots, i_k$  such that

$$x_{i_1}x_{i_2}\dots x_{i_k} = y_{i_1}y_{i_2}\dots y_{i_k} \quad ?$$

## PCP Example

b	abb	aba	baaa
aab	b	a	baba

**Solution:**

## PCP Example

bb	ab	aab
abb	a	bba

## PCP Example

bbab	abba	b
b	bb	bba

## The Language PCP

$$\langle P \rangle = (x_1, x_2, x_3, \dots, x_n)(y_1, y_2, y_3, \dots, y_n)$$

The problem of determining whether a particular instance  $P$  of the Post Correspondence Problem has a solution can be recast as the problem of deciding the language:

$$\text{PCP} = \{ \langle P \rangle : P \text{ has a solution} \}$$

The language PCP is in  $\text{SD} \setminus \text{D}$ .

## PCP is in SD

**Theorem.** PCP is in SD.

**Proof:** Build a Turing Machine that tries all possible solutions of length 1, then all possible solutions of length 2, and so on.

## PCP is not in D

**Theorem.** PCP is not in D.

**Proof:** Two reductions:

- $L_a \leq \text{MPCP}$
- $\text{MPCP} \leq \text{PCP}$

$$L_a = \{ \langle G, w \rangle : G \text{ unrestricted grammar, } w \in L(G) \};$$

$L_a$  is not in D

**MPCP (modified PCP):** defined in the same way except that any solution must start with the first block:

$$x_1 x_{i_2} \dots x_{i_k} = y_1 y_{i_2} \dots y_{i_k}$$

## PCP is not in D

$L_a \leq MPCP$

Given an instance  $\langle G, w \rangle$  of  $L_a$ , we construct an instance  $\langle P \rangle$  of MPCP able to simulate derivations in  $G = (V, \Sigma, R, S)$ .

Using % and & new symbols, not in  $V$ , a derivation

$$S \Rightarrow x_1 \Rightarrow x_2 \Rightarrow \dots \Rightarrow w$$

is simulated as

$$\%S \Rightarrow x_1 \Rightarrow x_2 \Rightarrow \dots \Rightarrow w\&$$

## PCP is not in D

$\langle P \rangle$ :

start:  $\begin{array}{|c|} \hline \%S\Rightarrow \\ \hline \% \\ \hline \end{array}$

copy the rest:  $\begin{array}{|c|} \hline c \\ \hline c \\ \hline \end{array}$ , for  $c \in V$

end:  $\begin{array}{|c|} \hline \& \\ \hline \Rightarrow w\& \\ \hline \end{array}$

$\begin{array}{|c|} \hline \Rightarrow \\ \hline \Rightarrow \\ \hline \end{array}$

derivate:  $\begin{array}{|c|} \hline \beta \\ \hline \alpha \\ \hline \end{array}$ , for each rule  $\alpha \rightarrow \beta$  in  $R$

It can be formally proved by induction on the length of the derivation that **G can derive w** iff **P has a solution**.

The idea is that any solution of  $P$  must start with the first block (it is an MPCP) and then the only way to continue is by the bottom string to catch up to the top by using blocks corresponding to rules of  $G$ .

## PCP is not in D

Example:

$G = (\{S, A, B, a, b, c\}, \{a, b, c\}, R, S)$ ,  $w = ac$

$R$ :

- $S \rightarrow Abc$
- $S \rightarrow ABS c$
- $AB \rightarrow BA$
- $Bc \rightarrow bc$
- $BA \rightarrow a$
- $A \rightarrow a$

$P$ :

$\%S\Rightarrow$	S	A	B	a	b	c	$\Rightarrow$
%	S	A	B	a	b	c	$\Rightarrow$
&	ABc	ABSc	BA	bc	a	a	
$\Rightarrow ac\&$	S	S	AB	Bc	BA	A	

## PCP is not in D

For the derivation of  $w$ :

$$S \Rightarrow ABc \Rightarrow BAc \Rightarrow ac$$

$P$  simulates:

$$\%S \Rightarrow ABc \Rightarrow BAc \Rightarrow ac\&$$

as follows:

$\%S\Rightarrow$	ABc	$\Rightarrow$	BA	c	$\Rightarrow$	a	c	&
%	S	$\Rightarrow$	AB	c	$\Rightarrow$	BA	c	$\Rightarrow ac\&$

## PCP is not in D

### $MPCP \leq PCP$

Given an instance  $\langle X, Y \rangle$  of MPCP, construct an instance  $\langle A, B \rangle$  of PCP.

Assume  $X = x_1, x_2, \dots, x_n$   
 $Y = y_1, y_2, \dots, y_n$

Construct  $A = a_0, a_1, a_2, \dots, a_n, a_{n+1}$   
 $B = b_0, b_1, b_2, \dots, b_n, b_{n+1}$

$a_0 = \#a_1$        $b_0 = b_1$   
 $a_{n+1} = \$$        $b_{n+1} = \#\$$

$a_i = x_i$  with # after each symbol, for  $1 \leq i \leq n$   
 $b_i = y_i$  with # before each symbol, for  $1 \leq i \leq n$

## PCP is not in D

Example:

$\langle X, Y \rangle$ :  

a	baa
ab	aa

$\langle A, B \rangle$ :  

#a#	a#	b#a#a#	\$
#a#b	#a#b	#a#a	#\$

## PCP is not in D

$\langle X, Y \rangle$  has a solution iff  $\langle A, B \rangle$  has a solution

If  $\langle X, Y \rangle$  has a solution, then it is of the form  $1, i_2, i_3, \dots, i_k$ .  
 Then  $\langle A, B \rangle$  has the solution  $0, i_2, i_3, \dots, i_k, n+1$ .

If  $\langle A, B \rangle$  has a solution, then it is of the form  $0, i_2, i_3, \dots, i_k, n+1$ .  
 Then  $\langle X, Y \rangle$  has the solution  $1, i_2, i_3, \dots, i_k$ .

Example:

$\langle X, Y \rangle$  solution:  

a	baa
ab	aa

$\langle A, B \rangle$  solution:  

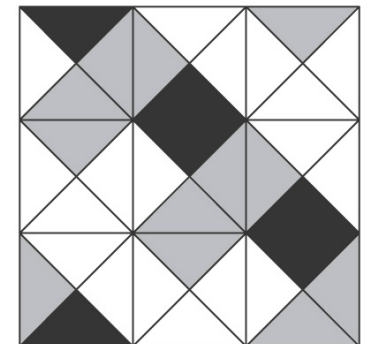
#a#	b#a#a#	\$
#a#b	#a#a	#\$

## A Tiling Problem

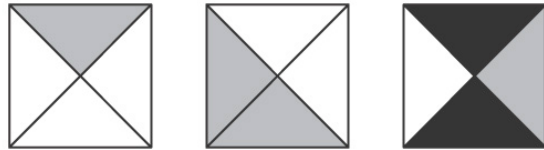
Given a finite set  $T$  of tiles of the form:



Is it possible to tile an arbitrary surface in the plane?



## A Set of Tiles That Cannot Tile the Plane



## Is the Tiling Language in D?

We can represent any set of tiles as a string. For example, we could represent



as  $\langle T \rangle = (G \ W \ W \ W) (W \ W \ B \ G) (B \ G \ G \ W)$ .

Let **TILES** =  $\{\langle T \rangle : \text{every finite surface on the plane can be tiled, according to the rules, with the tile set } T\}$

## Is the Tiling Language in D?

**Theorem:**  $\neg \text{TILES}$  is in SD.

**Proof:** Lexicographically enumerate partial solutions.

## The Undecidability of the Tiling Language

**Theorem:** TILES is not in SD.

**Proof:** If TILES were in SD, then, it would be in D.

But we show that it is not by reduction from  $\neg H_\epsilon$ . We map an arbitrary Turing machine  $M$  into a set of tiles  $T$ :

- Each row of tiles corresponds to a configuration of  $M$ .
- The first row corresponds to  $M$ 's initial configuration when started on a blank tape.
- The next row corresponds to  $M$ 's next configuration, and so forth.
- There is always a next configuration of  $M$  and thus a next row in the tiling iff  $M$  does not halt.
- $T$  is in TILES iff there is always a next row.
- So if it were possible to semidecide whether  $T$  is in TILES it would be possible to semidecide whether  $M$  fails to halt on  $\epsilon$ . But  $\neg H_\epsilon$  is not in SD. So neither is TILES.

## Context-Free Languages

1. Given a CFL  $L$  and a string  $s$ , is  $s \in L$ ?
2. Given a CFL  $L$ , is  $L = \emptyset$ ?
3. Given a CFL  $L$ , is  $L = \Sigma^*$ ?
4. Given CFLs  $L_1$  and  $L_2$ , is  $L_1 = L_2$ ?
5. Given CFLs  $L_1$  and  $L_2$ , is  $L_1 \subseteq L_2$ ?
6. Given a CFL  $L$ , is  $\neg L$  context-free?
7. Given a CFL  $L$ , is  $L$  regular?
8. Given two CFLs  $L_1$  and  $L_2$ , is  $L_1 \cap L_2 = \emptyset$ ?
9. Given a CFL  $L$ , is  $L$  inherently ambiguous?
10. Given PDAs  $M_1$  and  $M_2$ , is  $M_2$  a minimization of  $M_1$ ?
11. Given a CFG  $G$ , is  $G$  ambiguous?

## Computation Histories

A **computation history** encodes a computation:

$$(s, \varepsilon, \sqcup, x)(q_1, \varepsilon, a, z)(\dots)(\dots)(q_n, r, s, t),$$

where  $q_n \in H_M$ .

Example:

$$\begin{aligned} &(s, \varepsilon, \sqcup, x) \\ &\dots \\ &(q_1, aaabbbbaa, a, bbbbccc) \\ &(q_2, aaabbbbaaa, b, bbbccc) \\ &\dots \end{aligned}$$

## Reduction via Computation History

A **configuration of a TM  $M$**  is a 4 tuple:

- (  $M$ 's current state,  
the nonblank portion of the tape before the read head,  
the character under the read head,  
the nonblank portion of the tape after the read head).

A **computation of  $M$**  is a sequence of configurations:

$C_0, C_1, \dots, C_n$  for some  $n \geq 0$  such that:

- $C_0$  is the initial configuration of  $M$ ,
- $C_n$  is a halting configuration of  $M$ , and:
- $C_0 \vdash_M C_1 \vdash_M C_2 \vdash_M \dots \vdash_M C_n$ .

**CFG<sub>ALL</sub>** = { $\langle G \rangle : G \text{ cfg}, L(G) = \Sigma^*$ } is not in D

We show that **CFG<sub>ALL</sub>** is not in D.

The proof is by **reduction from H**:

$R$  will build  $G$  to generate the language  **$L\#$**  composed of:

- all strings in  $\Sigma^*$ ,
- **except** any that represent a computation history of  $M$  on  $w$ .

Then:

- If there exists a computation history of  $M$  on  $w$ , there will be a string that  $G$  will not generate;  $\neg$  Oracle will accept.
- If  $M$  does not halt on  $w$ , there are no computation histories of  $M$  on  $w$  so  $G$  generates  $\Sigma^*$  and Oracle will reject.



## Computation Histories as Strings

It is easier for  $R$  to build a PDA than a grammar. So  $R$  will first build a **PDA  $P$** , then convert  $P$  to a grammar.

For a string  $s$  to be a *computation history* of  $M$  on  $w$ :

1. It must be a *syntactically valid* computation history.
2.  $C_0$  must be a *starting configuration*.
3. The last configuration must be a *halting configuration*.
4. Each configuration after  $C_0$  must be *derivable* from the previous one according to the rules in  $\delta_M$ .

## Computation Histories as Strings

- (1) valid syntax can be checked with a regular expression
- (2) hardwire into  $P$  the initial configuration
- (3) hardwire into  $P$  the final configuration
- (4)  **$P$  will nondeterministically check that a flaw exists.**

Precisely,  $P$  will guess that a configuration is not derivable from the previous one and check that.

$(q_1, aaaa, b, aaaa)(q_2, aaa, a, baaaa)$ .      Okay.  
 $(q_1, aaaa, b, aaaa)(q_2, bbbb, a, bbbb)$ .      Not okay.

$P$  has to use its stack to record the first configuration and then compare it to the second. But ...

## Modified Computation Histories

The stack cannot be used to check that two strings are equal but it can be used to check that two strings are the reverse of each other ( $WW^R$  is context-free,  $WW$  is not).

Consider **modified** computation histories:

For  $C_1C_2\dots$  computation history, the modified one is:

$$C_1C_2^RC_3C_2^4\dots$$

Consider the language  $B\#$  of all strings **except** modified computation histories.

**$P$  will accept  $B\#$ .**

## The Conclusion

$R(<M, w>) =$

1. Construct  $<P>$ , where  $P$  accepts all strings in  $B\#$ .
2. From  $P$ , construct a grammar  $G$  that generates  $L(P)$ .
3. Return  $<G>$ .

If *Oracle* exists, then  $C = \neg \text{Oracle}(R(<M, w>))$  decides  $H$ :

- $<M, w> \in H$ :  $M$  halts on  $w$ . There exists a computation history of  $M$  on  $w$ . So there is a string that  $G$  does not generate. *Oracle* rejects.  $C$  accepts.
- $<M, w> \notin H$ :  $M$  does not halt on  $w$ , so there exists no computation history of  $M$  on  $w$ .  $G$  generates  $\Sigma^*$ . *Oracle* accepts.  $C$  rejects.

But no machine to decide  $H$  can exist, so neither does *Oracle*.



**$GG_ = \{ \langle G_1, G_2 \rangle : G_1 \text{ and } G_2 \text{ are cfs, } L(G_1) = L(G_2) \}$**

Proof by reduction from:  $CFG_{ALL} = \{ \langle G \rangle : L(G) = \Sigma^* \}$ :

$R$  is a reduction from  $CFG_{ALL}$  to  $GG_$  defined as follows:

$R(\langle M \rangle) =$

1. Construct the description  $\langle G\# \rangle$  of a new grammar  $G\#$  that generates  $\Sigma^*$ .
2. Return  $\langle G\#, G \rangle$ .

If  $Oracle$  exists, then  $C = Oracle(R(\langle M \rangle))$  decides  $CFG_{ALL}$ :

- $R$  is correct:
  - $\langle G \rangle \in CFG_{ALL}$ :  $G$  is equivalent to  $G\#$ , which generates everything.  $Oracle$  accepts.
  - $\langle G \rangle \notin CFG_{ALL}$ :  $G$  is not equivalent to  $G\#$ , which generates everything.  $Oracle$  rejects.

But no machine to decide  $CFG_{ALL}$  can exist, so neither does  $Oracle$ .

**$PDA_{MIN} = \{ \langle M_1, M_2 \rangle : M_2 \text{ is a minimization of } M_1 \}$  is undecidable.**

Recall that  $M_2$  is a minimization of  $M_1$  iff:  
 $(L(M_1) = L(M_2)) \wedge M_2$  is minimal.

$R(\langle G \rangle)$  is a reduction from  $CFG_{ALL}$  to  $PDA_{MIN}$ :

1. Invoke  $CFGtoPDA_{topdown}(G)$  to construct the description  $\langle P \rangle$  of a PDA that accepts the language that  $G$  generates.
2. Write  $\langle P\# \rangle$ :  $P\#$  is a PDA with a single state  $s$  that is both the start state and an accepting state. Make a transition from  $s$  back to itself on each input symbol. Never push anything onto the stack.  $L(P\#) = \Sigma^*$  and  $P\#$  is minimal.
3. Return  $\langle P, P\# \rangle$ .

If  $Oracle$  exists, then  $C = Oracle(\langle \langle G \rangle \rangle)$  decides  $CFG_{ALL}$ :

- $\langle G \rangle \in CFG_{ALL}$ :  $L(G) = \Sigma^*$ . So  $L(P) = \Sigma^*$ . Since  $L(P\#) = \Sigma^*$ ,  $L(P) = L(P\#)$ . And  $P\#$  is minimal. Thus  $P\#$  is a minimization of  $P$ .  $Oracle$  accepts.
- $\langle G \rangle \notin CFG_{ALL}$ :  $L(G) \neq \Sigma^*$ . So  $L(P) \neq \Sigma^*$ . But  $L(P\#) = \Sigma^*$ . So  $L(P) \neq L(P\#)$ . So  $Oracle$  rejects.

No machine to decide  $CFG_{ALL}$  can exist, so neither does  $Oracle$ .

## Reductions from PCP

$\langle P \rangle = (x_1, x_2, x_3, \dots, x_n)(y_1, y_2, y_3, \dots, y_n)$ ,  
 where  $\forall j (x_j \in \Sigma^+ \text{ and } y_j \in \Sigma^+)$

Example:

i	X	Y
1	b	bab
2	abb	b
3	aba	a
4	baaaa	baaaa

$(b, abb, aba, baaaa)(bab, b, a, baaaa)$ .

## From PCP to Grammar

$G_x$ :  $S_x \rightarrow bS_x1$        $S_x \rightarrow b1$   
 $S_x \rightarrow abbS_x2$        $S_x \rightarrow abb2$   
 $S_x \rightarrow abaS_x3$        $S_x \rightarrow aba3$   
 $S_x \rightarrow bbaaaS_x4$        $S_x \rightarrow bbaaa4$

$G_y$ :  $S_y \rightarrow babS_y1$        $S_y \rightarrow bab1$   
 $S_y \rightarrow bS_y2$        $S_y \rightarrow b2$   
 $S_y \rightarrow aS_y3$        $S_y \rightarrow a3$   
 $S_y \rightarrow babaaaS_y4$        $S_y \rightarrow babaaa4$

$G_x$  could generate:

$b \text{ babbb } ba \text{ babbb } 2 \ 3 \ 2 \ 1$

i	X	Y
1	b	bab
2	abb	b
3	aba	a
4	baaaa	baaaa

$$\text{IntEmpty} = \{ \langle G_1, G_2 \rangle : L(G_1) \cap L(G_2) = \emptyset \}$$

$$\text{PCP} = \{ \langle P \rangle : P \text{ has a solution} \}$$

$\downarrow R$

$$(\text{?Oracle}) \quad L_2 = \{ \langle G_1, G_2 \rangle : L(G_1) \cap L(G_2) = \emptyset \}$$

$$R(\langle P \rangle) =$$

1. From  $P$  construct  $G_x$  and  $G_y$ .
2. Return  $\langle G_x, G_y \rangle$ .

If *Oracle* exists, then  $C = \neg \text{Oracle}(R(\langle P \rangle))$  decides PCP:

- $\langle P \rangle \in \text{PCP}$ :  $P$  has at least one solution. So both  $G_x$  and  $G_y$  will generate some string:  
 $w(i_1, i_2, \dots, i_k)R$ , where  $w = x_{i_1}x_{i_2}\dots x_{i_k} = y_{i_1}y_{i_2}\dots y_{i_k}$ .  
 So  $L(G_1) \cap L(G_2) \neq \emptyset$ . *Oracle* rejects, so  $C$  accepts.
- $\langle P \rangle \notin \text{PCP}$ :  $P$  has no solution. So there is no string that can be generated by both  $G_x$  and  $G_y$ . So  $L(G_1) \cap L(G_2) = \emptyset$ . *Oracle* accepts, so  $C$  rejects.

But no machine to decide PCP can exist, so neither does *Oracle*.

$$\text{CFG}_{\text{UNAMBIG}} = \{ \langle G \rangle : G \text{ is a CFG and } G \text{ is ambiguous} \}$$

$$\text{PCP} = \{ \langle P \rangle : P \text{ has a solution} \}$$

$\downarrow R$

$$(\text{?Oracle}) \quad \text{CFG}_{\text{UNAMBIG}} = \{ \langle G \rangle : G \text{ is ambiguous} \}$$

$$R(\langle P \rangle) =$$

1. From  $P$  construct  $G_x$  and  $G_y$ .
2. Construct  $G$  as follows:
  - 2.1. Add to  $G$  all the rules of both  $G_x$  and  $G_y$ .
  - 2.2. Add  $S$  and the two rules  $S \rightarrow S_x$  and  $S \rightarrow S_y$ .
3. Return  $\langle G \rangle$ .

$G$  generates  $L(G_1) \cup L(G_2)$  by generating all the derivations that  $G_1$  can produce plus all the ones that  $G_2$  can produce, except that each has a prepended:

$$S \Rightarrow S_x \text{ or } S \Rightarrow S_y.$$

$$\text{CFG}_{\text{UNAMBIG}} = \{ \langle G \rangle : G \text{ is a CFG and } G \text{ is ambiguous} \}$$

$$R(\langle P \rangle) =$$

1. From  $P$  construct  $G_x$  and  $G_y$ .
2. Construct  $G$  as follows:
  - 2.1. Add to  $G$  all the rules of both  $G_x$  and  $G_y$ .
  - 2.2. Add  $S$  and the two rules  $S \rightarrow S_x$  and  $S \rightarrow S_y$ .
3. Return  $\langle G \rangle$ .

If *Oracle* exists, then  $C = \text{Oracle}(R(\langle P \rangle))$  decides PCP:

- $\langle P \rangle \in \text{PCP}$ :  $P$  has a solution. Both  $G_x$  and  $G_y$  generate some string:  
 $w(i_1, i_2, \dots, i_k)R$ , where  $w = x_{i_1}x_{i_2}\dots x_{i_k} = y_{i_1}y_{i_2}\dots y_{i_k}$ .  
 So  $G$  can generate that string in two different ways.  $G$  is ambiguous. *Oracle* accepts.
- $\langle P \rangle \notin \text{PCP}$ :  $P$  has no solution. No string can be generated by both  $G_x$  and  $G_y$ . Since both  $G_x$  and  $G_y$  are unambiguous, so is  $G$ . *Oracle* rejects.

But no machine to decide PCP can exist, so neither does *Oracle*.