



Turing Machines

Sections 17.6 – 17.7



The Universal Turing Machine

Universal Turing Machine:

A programmable TM that accepts as **input**:

program input string

It executes the program, and produces the **output**:

output string



The Universal Turing Machine

To formally define the Universal Turing Machine U we need to:

1. Define an **encoding** operation for TMs.
2. Describe the **operation** of U given input $\langle M, w \rangle$, the encoding of:
 - a TM M , and
 - an input string w .



Encoding a Turing Machine M

We need to describe $M = (K, \Sigma, \Gamma, \delta, s, H)$ as a string:

- The states
- The tape alphabet
- The transitions

Encoding the States

- Let i be $\lceil \log_2(|K|) \rceil$.
- Number the **states** from **0** to $|K|-1$ in binary:
 - Number s , the start state, 0.
 - Number the others in any order.
- If t' is the binary number assigned to state t , then:
 - If t is the halting state **y**, assign it the string **yt'**.
 - If t is the halting state **n**, assign it the string **nt'**.
 - If t is any other state, assign it the string **qt'**.



Example of Encoding the States

Suppose M has 9 states.

$i = 4$

$s = q0000,$

Remaining states (where y is 3 and n is 4):

$q0001, q0010, y0011, n0100,$
 $q0101, q0110, q0111, q1000$

Encoding a Turing Machine M (cont'd)

The **tape alphabet**:

ax : $x \in \{0, 1\}^+$,

$|x| = j$, and

j is the smallest integer such that $2^j \geq |\Gamma|$.

Example: $\Sigma = \{\square, a, b, c\}$. $j = 2$.

$\square = a00$

$a = a01$

$b = a10$

$c = a11$



Encoding a Turing Machine M (cont'd)

The transitions: (state, input, state, output, move)

Example: (q000,a000,q110,a000,→)

An Encoding Example

Consider $M = (\{s, q, h\}, \{a, b, c\}, \{\square, a, b, c\}, \delta, s, \{h\})$:

state	symbol	δ
s	\square	$(q, \square, \rightarrow)$
s	a	(s, b, \rightarrow)
s	b	(q, a, \leftarrow)
s	c	(q, b, \leftarrow)
q	\square	(s, a, \rightarrow)
q	a	(q, b, \rightarrow)
q	b	(q, b, \leftarrow)
q	c	(h, a, \leftarrow)

state/symbol	representation
s	q00
q	q01
h	h10
\square	a00
a	a01
b	a10
c	a11

$\langle M \rangle = (q00, a00, q01, a00, \rightarrow), (q00, a01, q00, a10, \rightarrow),$
 $(q00, a10, q01, a01, \leftarrow), (q00, a11, q01, a10, \leftarrow),$
 $(q01, a00, q00, a01, \rightarrow), (q01, a01, q01, a10, \rightarrow),$
 $(q01, a10, q01, a11, \leftarrow), (q01, a11, h11, a01, \leftarrow)$



Enumerating Turing Machines

Theorem: There exists an infinite lexicographic enumeration of:

- (a) All syntactically valid TMs.
- (b) All syntactically valid TMs with specific input alphabet Σ .
- (c) All syntactically valid TMs with specific input alphabet Σ and specific tape alphabet Γ .



Enumerating Turing Machines

Proof: Fix $\Sigma = \{ (,), a, q, y, n, \emptyset, 1, \text{comma}, \rightarrow, \leftarrow \}$, ordered as listed. Then:

1. Lexicographically enumerate the strings in Σ^* .
2. As each string s is generated, check to see whether it is a syntactically valid Turing machine description. If it is, output it.

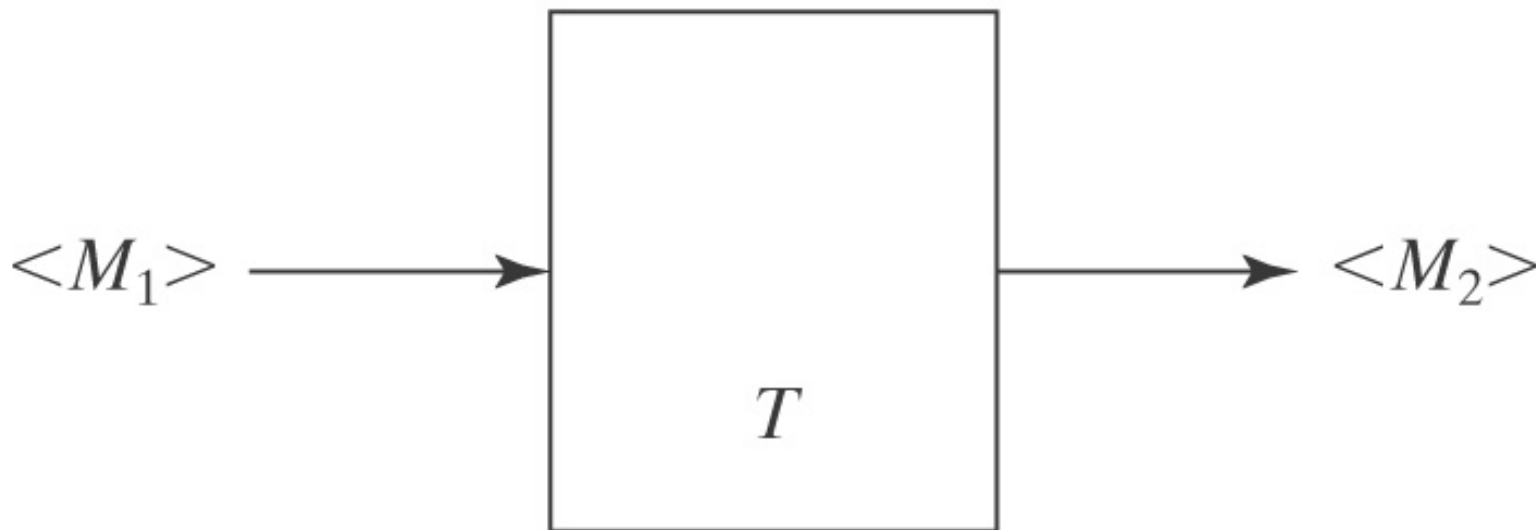
To restrict the enumeration to symbols in sets Σ and Γ , check, in step 2, that only alphabets of the appropriate sizes are allowed.

We can now talk about the i^{th} Turing machine.

Another Win of Encoding

One big win of defining a way to encode any Turing machine M :

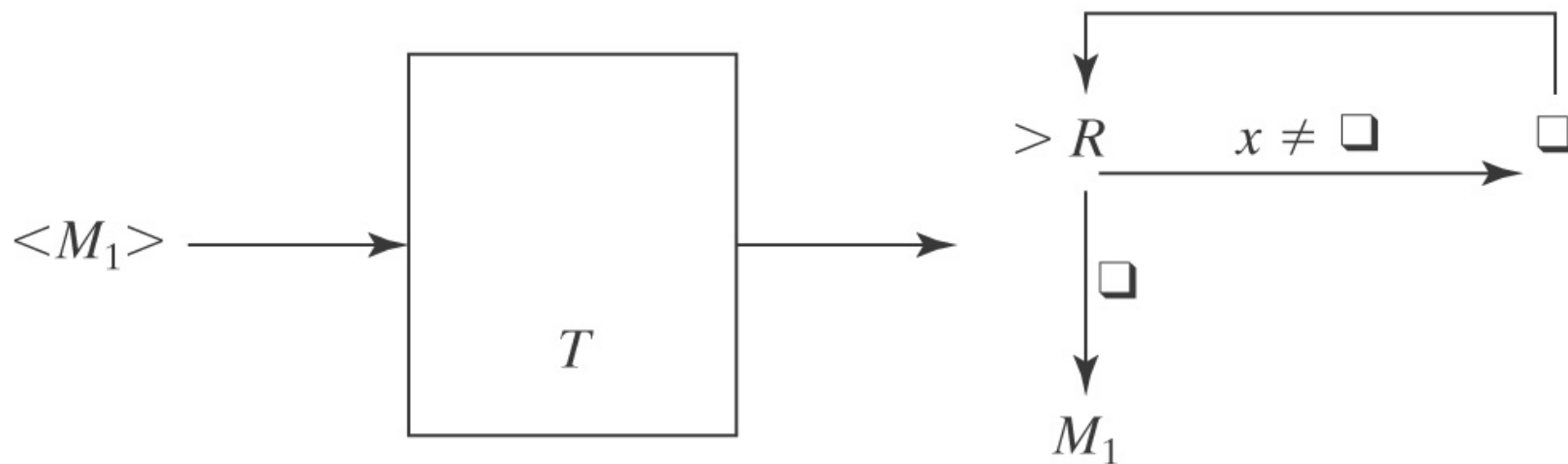
- We can talk about operations on programs (TMs).



Example of a Transforming TM T :

Input: a TM M_1 that reads its input tape and performs some operation P on it.

Output: a TM M_2 that performs P on an empty input tape.



Encoding Multiple Inputs

Let:

$$\langle X_1, X_2, \dots, X_n \rangle$$

mean a single string that encodes the sequence of individual values:

$$X_1, X_2, \dots, X_n.$$



The Specification of the **Universal TM**

On input $\langle M, w \rangle$, U must:

- Halt iff M halts on w .
- If M is a deciding or semideciding machine, then:
 - If M accepts, accept.
 - If M rejects, reject.
- If M computes a function, then $U(\langle M, w \rangle)$ must equal $M(w)$.

How U Works

U will use 3 tapes:

- Tape 1: M 's tape.
- Tape 2: $\langle M \rangle$, the “program” that U is running.
- Tape 3: M 's state.



The Universal TM

□	$\langle M \text{-----} M, \quad w \text{-----} w \rangle$							□	□
	1	0	0	0	0	0	0		
	□	□	□	□	□	□	□		
	1	0	0	0	0	0	0		
	□	□	□	□	□	□	□		
	1	0	0	0	0	0	0		

Initialization of U :

1. Copy $\langle M \rangle$ onto tape 2.
2. Look at $\langle M \rangle$, figure out what i is, and write the encoding of state s on tape 3.

After initialization:

□	□	□	□	□	$\langle w \text{-----} w \rangle$			□	□
	0	0	0	0	1	0	0		
	$\langle M \text{-----} M \rangle$				□	□	□		
	1	0	0	0	0	0	0		
	q	0	0	0	□	□	□		
	1	□	□	□	□	□	□		

The Operation of U

□	□	□	□	□	$\langle w \text{-----} w \rangle$			□	□
	0	0	0	0	1	0	0		
	$\langle M \text{-----} M \rangle$				□	□	□		
	1	0	0	0	0	0	0		
	q	0	0	0	□	□	□		
	1	□	□	□	□	□	□		

Simulate the steps of M :

1. Until M would halt do:

1.1 Scan tape 2 for a quintuple that matches the (current state, input) pair.

1.2 Perform the associated action, by changing tapes 1 and 3. If necessary, extend the tape.

1.3 If no matching quintuple found, halt. Else loop.

2. Report the same result M would report.