
1878
Western
UNIVERSITY · CANADA

Chapter 3C – Operations on Processes

Spring 2023

Operations on Processes

- Process Creation
- Process Termination
- Examples

Process Creation

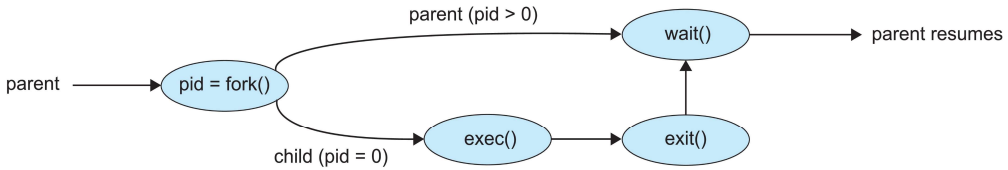
- Parent process create children processes, which, in turn create other processes, forming a tree of processes
- Generally, process identified and managed via a process identifier (pid)

Process Creation

- Resource sharing options
  - Parent and children share all resources
  - Children share subset of parent's resources
  - Parent and child share no resources
- Execution options
  - Parent and children execute concurrently
  - Parent waits until children terminate

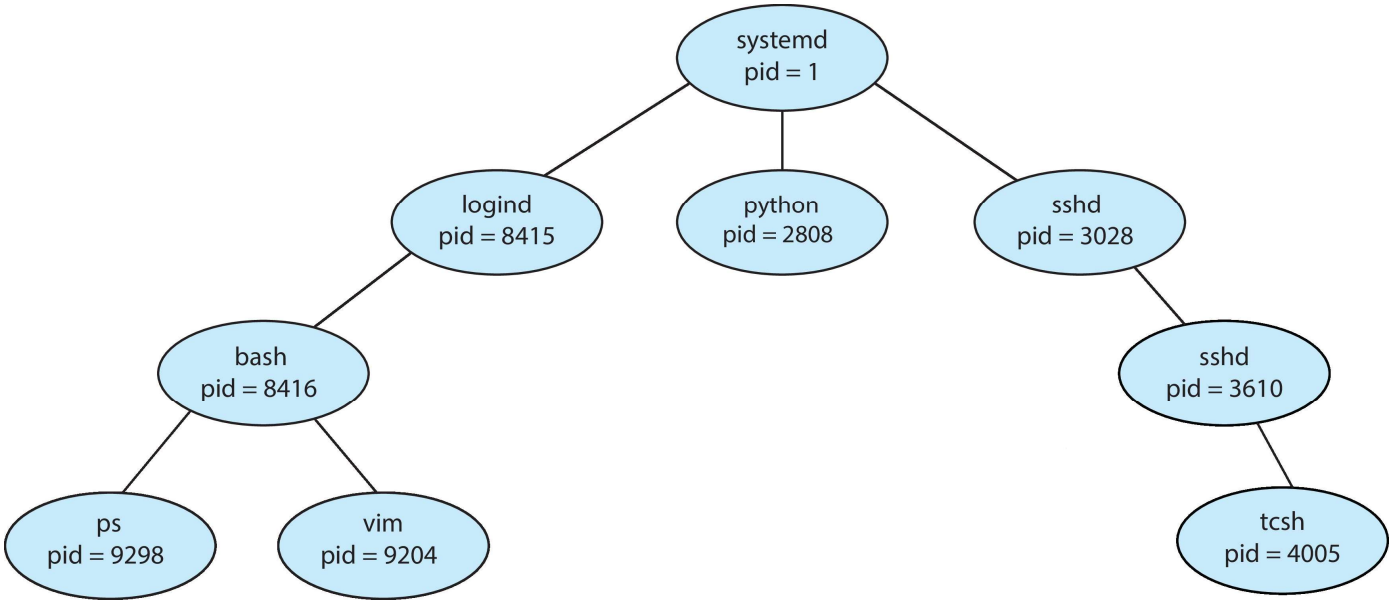
Process Creation

- Address space
  - Child duplicate of parent
  - Child has a program loaded into it
- UNIX examples
  - `fork()` system call creates new process
  - `exec()` system call used after a `fork()` to replace the process' memory space with a new program
  - Parent process calls `wait()` waiting for the child to terminate



Process Creation

- A tree of processes in Linux



Process Termination

- Process executes last statement and then asks the operating system to delete it using the `exit()` system call.
  - Returns status data from child to parent (via `wait()`)
  - Process' resources are deallocated by operating system

Process Termination

- Parent may terminate the execution of children processes using the `abort()` system call. Some reasons for doing so:
  - Child has exceeded allocated resources
  - Task assigned to child is no longer required
  - The parent is exiting, and the operating system does not allow a child to continue if its parent terminates

Process Termination

- Some operating systems do not allow child to exists if its parent has terminated. If a process terminates, then all its children must also be terminated.
  - **Cascading termination**: All children, grandchildren, etc., are terminated.
  - The termination is initiated by the operating system.
- The parent process may wait for termination of a child process by using the `wait()` system call. The call returns status information and the pid of the terminated process
  - `pid = wait(&status);`
- If no parent waiting (did not invoke `wait()`) process is a **zombie**
- If parent terminated without invoking `wait()`, process is an **orphan**

Process Termination

- `pid = wait(&status);`
  is shorthand for:
  `pid = waitpid(-1, &wstatus, 0);`
  - `-1` – Wait for any child process
  - `wstatus` – Load the exit status into this integer
    - This can be `NULL` if we don't need the exit status
  - `0` – wait but depending on how the child returned. We will always just use 0
- `waitpid(pid,NULL,0);` – wait until child `pid` returns
- `while (wait(NULL)>0);` – wait for all child processes

Examples

- For all system and library calls, consult the man page (you may need to specify section 2 or 3)

-
```
$ man man
…
1   Executable programs or shell commands
2   System calls (functions provided by the kernel)
3   Library calls (functions within program libraries)
…
```

- `$ man wait` ← The wait command built into bash

- `$ man 2 wait` ← The wait system call

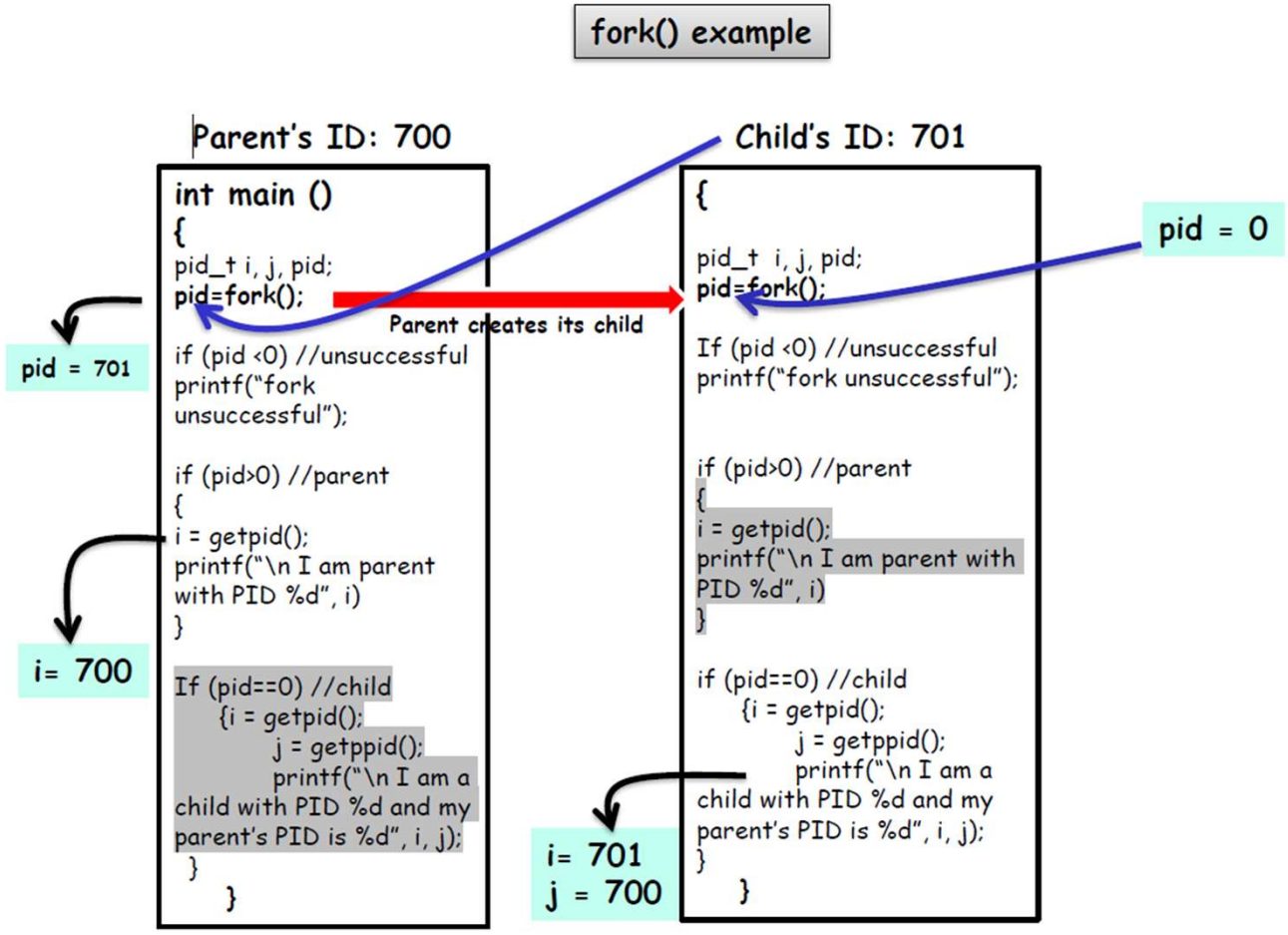
Examples

- The Unix system call for process creation is `fork()`
- The fork system call creates a child process that is a duplicate of the parent.
  - Child inherits state from parent process
    - Same program instructions, variables have the same values, same position in the code.
  - Parent and child have separate copies of that state
    - They are stored in separate locations in memory
    - Important: updating the value of a variable inside the child will NOT update that variable in the parent, and vice-versa.

Examples

- If `fork()` succeeds it returns the child PID to the parent and returns 0 to the child
- If `fork()` fails, it returns -1 to the parent (no child is created)
- `pid_t` data type represents process identifiers
- Other calls:
  - `pid_t getpid()` – returns the PID of calling process. Call is always successful.
  - `pid_t getppid()` – returns the PID of parent process. Call is always successful.

Examples


fork() example
Parent's ID: 700
Child's ID: 701
int main ()
{
pid_t i, j, pid;
pid=fork();
if (pid <0) //unsuccessful
printf("fork
unsuccessful");
if (pid>0) //parent
{
i = getpid();
printf("\n I am parent
with PID %d", i)
}
If (pid==0) //child
{i = getpid();
j = getppid();
printf("\n I am a
child with PID %d and my
parent's PID is %d", i, j);
}
}
{
pid_t i, j, pid;
pid=fork();
If (pid <0) //unsuccessful
printf("fork unsuccessful");
if (pid>0) //parent
{
i = getpid();
printf("\n I am parent with
PID %d", i)
}
if (pid==0) //child
{i = getpid();
j = getppid();
printf("\n I am a
child with PID %d and my
parent's PID is %d", i, j);
}
}
Parent creates its child
pid = 701
i= 700
pid = 0
i= 701
j = 700

Examples

```
#include <stdio.h>
#include <sys/types.h>
#include <unistd.h>
int main(){
  pid_t pid;
  int i;
  pid=fork();
  if( pid> 0 ) { /* parent */
      for( i=0; i< 10; i++ )
          printf("\t\t\tPARENT%d\n", i);
  }
  else { /* child */
      for( i=0; i< 10; i++ )
          printf( "CHILD %d\n", i);
  }
  return 0;
}
```

- What is the possible output?

```
            PARENT 0
            PARENT 1
            PARENT 2
            PARENT 3
            PARENT 4
            PARENT 5
            PARENT 6
            PARENT 7
            PARENT 8
            PARENT 9
CHILD 0
CHILD 1
CHILD 2
CHILD 3
CHILD 4
CHILD 5
CHILD 6
CHILD 7
CHILD 8
CHILD 9
```

Examples

```
#include <stdio.h>
#include <sys/types.h>
#include <unistd.h>
int main(){
  pid_t pid;
  int i;
  pid=fork();
  if( pid> 0 ) { /* parent */
      for( i=0; i< 10; i++ )
          printf("\t\t\tPARENT%d\n", i);
  }
  else { /* child */
      for( i=0; i< 10; i++ )
          printf( "CHILD %d\n", i);
  }
  return 0;
}
```

- What is the possible output?

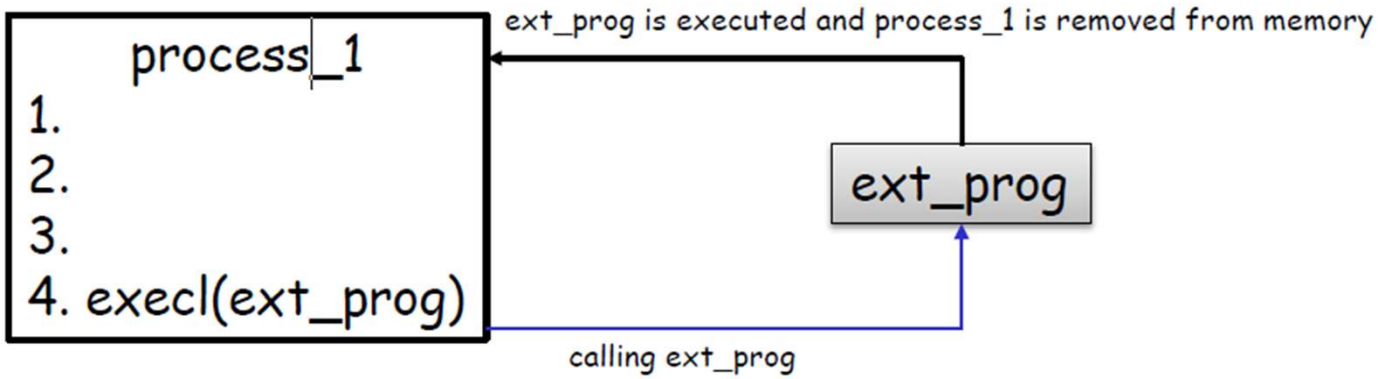
```
        PARENT 0
        PARENT 1
        PARENT 2
        PARENT 3
        PARENT 4
        PARENT 5
        PARENT 6
CHILD 0
CHILD 1
CHILD 2
        PARENT 7
        PARENT 8
        PARENT 9
CHILD 3
CHILD 4
CHILD 5
CHILD 6
CHILD 7
CHILD 8
CHILD 9
```

Examples

- Output is **nondeterministic** - Cannot determine output by looking at code
- Processes get a share of the CPU to give another process a turn
  - The switching between the parent and child depends on many factors: machine load, process scheduling

Examples

- The system call `execl()` replace a process (the caller process) with a new loaded program
- `execl()` loads a binary file into memory (destroying the memory image of the program calling it)
- On success, `execl()` **never** returns; on failure, `execl()` returns -1



Examples

- Program A
  - ```
    int i= 5;
    printf("%d\n",i);
    execl("B", "", NULL);
    printf("%d\n",i);
    ```
- Program B
  - ```
    main(){
      printf("hello\n");
    }
    ```

- What is the possible output?
  - ```
    5
    hello
    ```
- Why not?
  - ```
    5
    hello
    5
    ```

Examples

- `fork()` and `execl()`

```
#include <sys/types.h>
#include <stdio.h>
#include <unistd.h>
int main(){
  pid_tpid;
  pid= fork();
  if (pid> 0){
      wait(NULL);
      printf("Child Complete");
  }
  else{
      if (pid== 0) {
          execl("B", "", NULL);
      printf("\n You'll never see this line..");
  }
}
```

this is a child process.

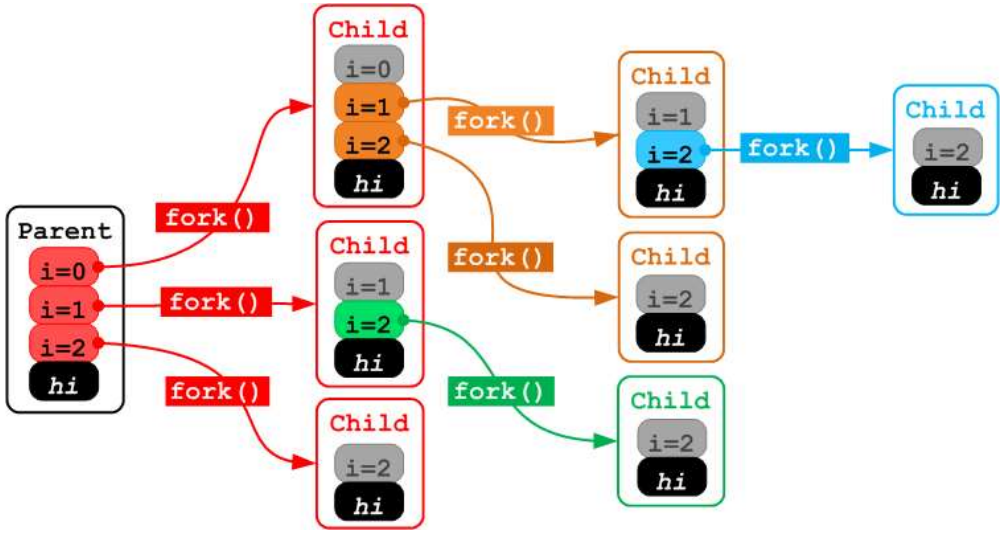
Examples

- How many processes are created by this program?

```
#include <stdio.h>
#include <unistd.h>
void main() {
  int i;
  for (i=0;i<3;i++){
      fork();
  }
  printf("hi\n");
}
```

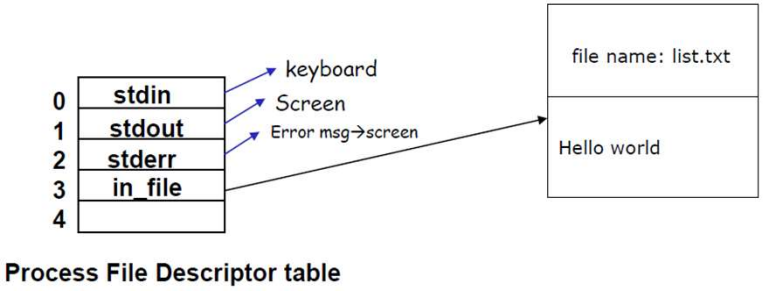
Examples

- https://stackoverflow.com/questions/26793402/visually-what-happens-to-fork-in-a-for-loop
- 2^n processes
- 2^n -1 children



Examples

- Forks and files
  - Every process has a **process file descriptor table**
  - Each entry in process file descriptor table represents **stdin**, **stdout**, **stderr**, and **file pointer**.
  - Assume that there was something like this in a program
    - ```
      int in_file;
      in_file= open("list.txt", O_RDONLY);
      ```



Process File Descriptor table
      ```

Examples

- Open a file before a fork
  - The child process gets a copy of its parent's process file descriptor table.
  - The child and parent share a file pointer because the open came before the fork.
- Open a file after a fork
  - Assume that parent and child each open a file after the fork
  - They get their own entries in the file descriptor table
    - This implies that the file position information is different
- Suppose that hello.txt consists of Hello, world!. What is the output of…
  - open() before fork()
  - open() after fork()

Examples

```
#include <stdio.h>
#include <unistd.h> //fork()
#include <fcntl.h>  //open() (unbuffered open)
#include <sys/wait.h> //wait()
int main(){
  int fd; char c; pid_t pid;
  fd=open("hello.txt", O_RDONLY);
  pid=fork(); //Open a file before a fork
  if (pid> 0){
       read(fd, &c, 1);
       printf("fd: %d, parent: c = %c\n", fd, c);
       wait(NULL);
  }
  else if (pid== 0){
       read(fd, &c, 1);
       printf("fd: %d, child: c = %c\n", fd, c);
       return 0;
  }
  fclose(fd);
}
```

- Output
  - fd: 3, parent: c = H
    fd: 3, child: c = e
    or
    fd: 3, child: c = H
    fd: 3, parent: c = e

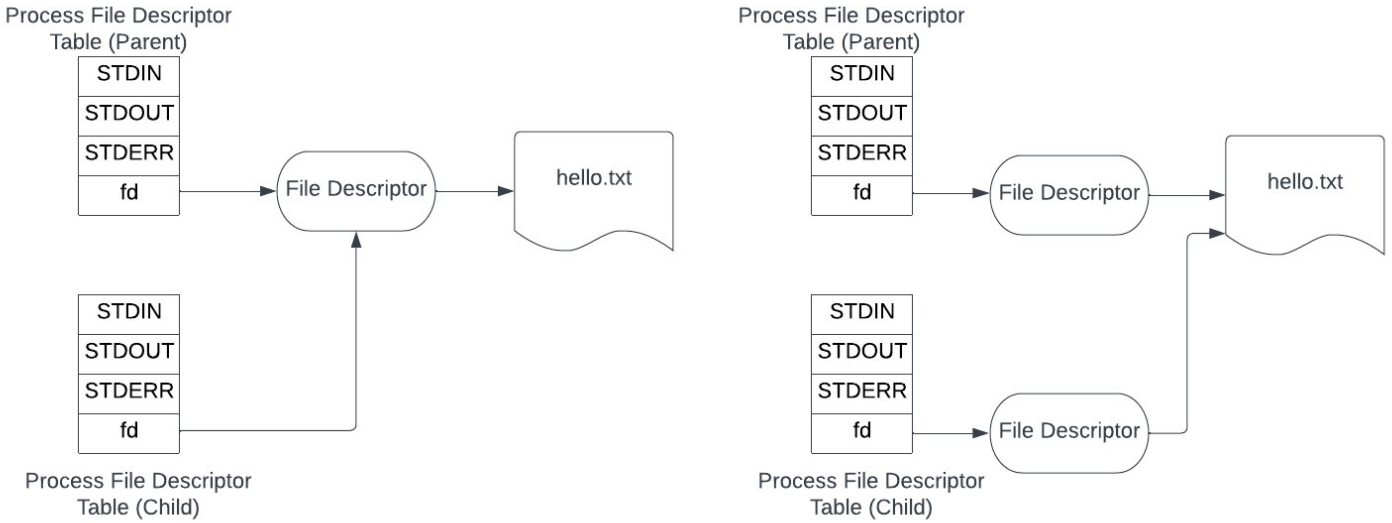
Examples

```
#include <stdio.h>
#include <unistd.h> //fork()
#include <fcntl.h>  //open() (unbuffered open)
#include <sys/wait.h> //wait()
int main(){
  int fd; char c; pid_t pid;
  pid=fork(); //Open a file after a fork
  fd=open("hello.txt", O_RDONLY);
  if (pid> 0){
       read(fd, &c, 1);
       printf("fd: %d, parent: c = %c\n", fd, c);
       wait(NULL);
  }
  else if (pid== 0){
       read(fd, &c, 1);
       printf("fd: %d, child: c = %c\n", fd, c);
       return 0;
  }
  fclose(fd);
}
```

- Output
  - fd: 3, parent: c = H
    fd: 3, child: c = H
    or
    fd: 3, child: c = H
    fd: 3, parent: c = H

Examples

- It is probably better to open files **after** a fork so the parent and child do not confuse each other

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