

Functional Programming

Chapter 11



Functional Programming

- No side effects
 - output of a program is a mathematical function of the inputs
 - no internal state, no side effects
- Recursion and composition
 - effects achieved by applying functions: recursion, composition
- First-class functions:
 - can be passed as a parameter
 - can be returned from a subroutine
 - can be assigned in a variable
 - (more strictly) can be computed at run time



Functional Programming

- Polymorphism
 - Functions can be applied to general class of arguments
- Lists
 - Natural recursive definition
 - List = head + tail (list)
- Homogeneity
 - program is a list can be manipulated the same as data
- Garbage collection
 - heap allocation for dynamically allocated data
 - unlimited extent



Functional vs Imperative

- Advantages
- No side effects
 - predictable behavior
- Referential transparency
 - Expressions are independent of evaluation order
- Equational reasoning
 - Expressions equivalent at some point in time are equivalent at any point in time



Functional vs Imperative

- Disadvantages
- Trivial update problem
 - Every result is a new object instead of a modification of an existing one
- Data structures different from lists more difficult to handle
 - multidimensional arrays
 - dictionaries
 - in-place mutation
- The trivial update problem is not an inherent weakness of functional programming
 - The implementation could detect whether an old version of a structure will never be used again and update in place



Scheme

- Originally developed in 1975
- Initially very small
- Now is a complete general-purpose language
- Still derived from a smalls set of key concepts

- Lexically scoped
- Functions are first class values
- Implicit storage management



Scheme vs λ-calculus



- Scheme syntax very similar with λ -calculus
- Examples:
 - λ-calculus

$$\lambda x.x (\lambda x.x * x) 4 \implies_{\beta} 16$$

Scheme

(lambda (x) x)
((lambda (x) (* x x)) 4)
$$\Rightarrow$$
 10

Scheme: Interpreter



Interacting with the interpreter

```
"hello" ⇒ "hello"
42 \Rightarrow 42
22/7 \Rightarrow 3 1/7
3.1415 \Rightarrow 3.1415
+ ⇒ #cedure:+>
(+53) \Rightarrow 8
'(+53) \Rightarrow (+53)
'(a b c d) \Rightarrow '(a b c d)
'(2\ 3) \Rightarrow '(2\ 3)
(2\ 3) \Rightarrow \text{error}; 2 \text{ is not procedure}
```

Scheme: Elements



- Identifiers
 - cannot start with a character that may start a number:

- case is important
- Numbers: integers: -1234; ratios: 1/2; floating-point: 1.3,
 1e23; complex numbers: 1.3 2.7i
- List constants: '(a b c d)
- Empty list: '()
- Procedure applications: (+ (* 3 5) 12)
- Boolean values: #t (true), #f (false)
 - Any object different from #f is true



Scheme: Elements



Vectors

#(this is a vector of symbols)

Strings

"this is a string"

Characters

#\a,#\b,#\c

Comments:

- •; ... end_of_line
- # | ... | #



Scheme: Functions



Variable definitions

(define a 23) a
$$\Rightarrow$$
 23

Function applications

$$(+ 20 10) \Rightarrow 30$$

 $(+ 1/4 6/3) \Rightarrow 9/4$
 $(* (* 2/5 5/6) 3) \Rightarrow 1$



Scheme: Functions

Defining a function

```
(define (square x) (* x x))
(square 5) \Rightarrow 25
```

Anonymous functions

```
(lambda (x) (* x x))
((lambda (x) (* x x)) 5) \Rightarrow 25
```

Named functions

```
(define square (lambda (x) (* x x)))
(square 5) \Rightarrow 25
```



Scheme: Quoting

- (quote obj) or
- ' obj
 - tells Scheme *not* to evaluate

```
(quote (1 2 3 4 5)) \Rightarrow (1 2 3 4 5)
(quote (+ 3 4)) \Rightarrow (+ 3 4)
(quote +) \Rightarrow +
+ ⇒ ##+>
'(1\ 2\ 3\ 4\ 5) \Rightarrow (1\ 2\ 3\ 4\ 5)
'(+(*310)4) \Rightarrow (+(*310)4)
'2 \Rightarrow 2
                        ; unnecessary
2 \Rightarrow 2
                    ; unnecessary
'"hi" \Rightarrow "hi
"hi" ⇒ "hi"
```

Scheme: Lists

- (car list)
 - gives the first element
- (cdr list)
 - gives the list without the first element

```
(car '(a b c)) \Rightarrow a

(cdr '(a b c)) \Rightarrow (b c)

(car (cdr '(a b c))) \Rightarrow b
```

- (cons list)
 - constructs a list from an element and a list

```
(cons 'a '()) \Rightarrow (a)
(cons 'a (cons 'b (cons 'c '()))) \Rightarrow (a b c)
(cons 'a 'b) \Rightarrow (a b); improper list
```



Scheme: Lists



- (list obj₁ obj₂ ...)
 - constructs (proper) lists; arbitrarily many arguments

```
(list 'a 'b 'c) \Rightarrow (a b c)
(list) \Rightarrow ()
(list 'a '(b c)) \Rightarrow (a (b c))
```

- (null? *list*)
 - tests whether a list is empty

```
(null? ()) \Rightarrow #t
(null? '(a)) \Rightarrow #f
```



- (let ((var val)...) $exp_1 \ exp_2 \ ...)$
- each var is bound to the value of the corresponding val
- returns the value of the final expression
- the body of let is the sequence $exp_1 exp_2$...
- each *var* is visible only within the body of let
- no order is implied for the evaluation of the expressions *val*

```
;let x be 2 in ...
(let ((x 2))
  (+ \times 3)) \Rightarrow 5
(let ((x 2) (y 3))
 (+ x y)) \Rightarrow 5
(let ((a (* 4 4)))
  (+ a a)) \Rightarrow 32
(let ((f +) (x 2) (y 3))
 (f \times y)) \Rightarrow 5
(let ((+ *))
  (+25)) \Rightarrow 10
(+25) \Rightarrow 7; + unchanged outside previous let
```



```
(let ((x 1))

(let ((y (+ x 1))) ;nested lets

(+ y y))) \Rightarrow 4

(let ((x 1))

(let ((x (+ x 1))) ;new variable x

(+ x x))) \Rightarrow 4
```



```
(let ((x_1 1))
  (let ((x_2 (+ x_1 1))); indices show bindings
    (+ x_2 x_2)) \Rightarrow 4
(let ((x_1 1) (y_1 10))
 (let ((x_2 (+ y_1 (* x_1 1))))
  (+ x_2 (- (let ((x_3 (+ x_2 y_1)) (y_2 (* y_1 y_1))))
   (-y_2 x_3)) y_1)))) \Rightarrow 80
(let ((sum (lambda (ls)
             (if (null? ls)
                  (+ (car ls) (sum (cdr ls)))))))
  (sum '(1 2 3 4 5)))
```



- (let* ((var val)...) exp₁ exp₂ ...)
- similar with let
- each *val* is within the scope of variables to its left
- the expressions *val* are evaluated from left to right

```
(let* ((x 10) (y (-x 4)))
(* y y)) \Rightarrow 36
```



- (letrec ((var val)...) exp₁ exp₂ ...)
- each *val* is within the scope of all variables
- no order is implied for the evaluation of the expressions *val*

- let for independent variables
- let* linear dependency among variables
- letrec circular dependency among variables





Scheme: Functions

- (lambda formals $exp_1 exp_2$...)
 - returns a function
- formals can be:
- A proper list of variables (var₁ ... var_n)
 - then exactly *n* parameters must be supplied, and each variable is bound to the corresponding parameter

```
((lambda (x y) (* x (+ x y))) 7 13) \Rightarrow 140
```

• A *single* variable *x* (not in a list): then *x* is bound to a list containing all actual parameters

```
((lambda x x) 1 2 3) \Rightarrow (1 2 3)
((lambda x (sum x)) 1 2 3 4) \Rightarrow 10
```



Scheme: Functions

• An *improper list* terminated with a variable, $(var_1 ... var_n \cdot var)$, then at least n parameters must be supplied and $var_1 ... var_n$ will be bound to the first n parameters and var will be bound to a list containing the remaining parameters

```
((lambda (x y . z) (list x y z)) 1 2 3 4)

\Rightarrow (1 2 (3 4))
```



- (set! var exp)
 - assigns a new value to an existing variable
 - this is not a new name binding but new value binding to an existing name

```
(let ((x 3) (y 4))

(set! x 10)

(+ x y)) \Rightarrow 14
```



```
(define quadratic-formula
  (lambda (a b c)
    (let ((root1 0) (root2 0) (minusb 0)
                         (radical 0) (divisor 0))
      (set! minusb (- 0 b))
      (set! radical (sqrt (- (* b b) (* 4 (* a c)))))
      (set! divisor (* 2 a))
      (set! root1 (/ (+ minusb radical) divisor))
      (set! root2 (/ (- minusb radical) divisor))
      (list root1 root2))))
(quadratic-formula 1 -3 2) \Rightarrow (2 1)
```



Can be done without set!



- Cannot be done without set!
 - the following version of cons, cons-new, counts the number of times it is called in the variable cons-count

```
(define cons-count 0)
(define cons-new
       (let ((old-cons cons))
         (lambda (x y))
           (set! cons-count (+ cons-count 1))
           (old-cons x y))))
(cons-new 'a '(b c))
cons-count \Rightarrow 1
(cons-new 'a (cons-new 'b (cons-new 'c '())))
cons-count \Rightarrow 4
```



Scheme: Sequencing

- (begin $exp_1 exp_2 ...$)
 - $exp_1 exp_2$... are evaluated from left to right
 - used for operations causing side effects
 - returns the result of the last expression



Scheme: Sequencing

```
(define quadratic-form
 (lambda (a b c)
  (begin
   (define root1 0) (define root2 0)
(define minusb 0) (define radical 0) (define
divisor 0) (set! minusb (- 0 b))
   (set! radical (sqrt (- (* b b) (* 4 (* a c)))))
   (set! divisor (* 2 a))
   (set! root1 (/ (+ minusb radical) divisor))
   (set! root2 (/ (- minusb radical) divisor))
   (list root1 root2))))
(quadratic-form 1 -3 2) \Rightarrow '(2 1)
```



- (if test consequent alternative)
 - returns the value of consequent or alternative depending on test

```
(define abs

(lambda (x)

(if (< x 0)

(- 0 x)

x)))

(abs 4) \Rightarrow 4

(abs -5) \Rightarrow 5
```



- (not *obj*)
 - returns #t if obj is false and #f otherwise

```
(not \#f) \Rightarrow \#f
(not 'a) \Rightarrow \#f
(not 0) \Rightarrow \#f
```

- (and *exp* ...)
 - evaluates its subexpressions from left to right and stops immediately if any expression evaluates to false
 - returns the value of the last expression evaluated

```
(and #f 4 6 'a) \Rightarrow #f

(and '(a b) 'a 2) \Rightarrow 2

(let ((x 5))

(and (> x 2) (< x 4))) \Rightarrow #f
```

- (or *exp* ...)
 - evaluates its subexpressions from left to right and stops immediately if any expression evaluates to true
 - returns the value of the last expression evaluated

```
(or #f 4 6 'a) \Rightarrow 4

(or '(a b) 'a 2) \Rightarrow (a b)

(let ((x 3))

(or (< x 2) (> x 4))) \Rightarrow #f
```



- (cond clause₁ clause₂ ...)
 - evaluates the test of each clause until one is found true or all are evaluated

```
(define memv
   (lambda (x ls)
(cond
   ((null? ls) #f)
   ((eqv? (car ls) x) ls)
   (else (memv x (cdr ls))))))
(memv 'a '(d a b c)) ⇒ '(a b c)
(memv 'a '(b b c)) ⇒ #f
```



Scheme: Recursion, iteration, mapping

- (let *name* ((var val)...) exp₁ exp₂...)
 - this is named let
 - it is equivalent with

```
((letrec ((name (lambda (var...) exp<sub>1</sub> exp<sub>2</sub>...)))
    name)
val...)
```

```
(define divisors
  (lambda (n)
    (let f ((i 2))
      (cond
         ((>= i n) '())
         ((integer? (/ n i))
          (cons i (f (+ i 1))))
         (else (f (+ i 1)))))))
(divisors 5) \Rightarrow '()
(divisors 12) \Rightarrow '(2 3 4 6)
```



- (do ((var val update)...) (test res...) exp...)
 - variables *var...* are are initially bound to *val...* and rebound on each iteration to *update...*
 - stops when *test* is true and returns the value of the last *res*
 - when *test* is false, it evaluates *exp*..., then *update*...; new bindings for *var*... are created and iteration continues



```
(define factorial

(lambda (n)

(do ((i n (- i 1)) (a 1 (* a i)))

((zero? i) a))))

(factorial 0) \Rightarrow 1

(factorial 1) \Rightarrow 1

(factorial 5) \Rightarrow 120
```

F. See

```
(define fibonacci
 (lambda (n)
  (if (= n 0) 1
   (do ((i n (- i 1))(a1 1 (+ a1 a2))(a2 0 a1))
((= i 0) a1))))
(fibonacci 0) \Rightarrow 1
(fibonacci 1) \Rightarrow 1
(fibonacci 2) \Rightarrow 2
(fibonacci 3) \Rightarrow 3
(fibonacci 4) \Rightarrow 5
```

- (map procedure $list_1 \ list_2 ...$)
 - applies *procedure* to corresponding elements of the lists $list_1$ $list_2$... and returns the list of the resulting values
 - procedure must accept as many arguments as there are lists
 - the order is not specified

```
(map abs '(1 -2 3 -4 5 -6)) \Rightarrow (1 2 3 4 5 6)

(map (lambda (x y) (* x y))

'(1 2 3 4) '(5 6 7 8)) \Rightarrow (5 12 21 32)
```

- (for-each procedure list₁ list₂...)
 - similar to map
 - does not create and return a list
 - applications are from left to right



Scheme: Pairs

- cons builds a pair (called also dotted pair)
- both proper and improper lists can be written in dotted notation
- a list is a chain of pairs ending in the empty list ()
- proper list: cdr of the last pair is the empty list
 - x is a proper list if there is n such that $cdr^n(x) = '()$
- improper list: cdr of the last pair is anything other than ()

```
(cons 'a '(b)) ⇒ '(a b) ; proper
(cons 'a 'b) ⇒ '(a . b) ; improper
(cdr (cdr (cdr '(a b c)))) ⇒ '()
(cdr (cdr '(a b . c))) ⇒ 'c
```



Scheme: Predicates

- (boolean? *obj*)
 - #t if obj is either #t or #f; #f otherwise
- (pair? *obj*)
 - #t if obj is a pair; #f otherwise

```
(pair? '(a b)) ⇒ #t
(pair? '( a . b)) ⇒ #t
(pair? 2) ⇒ #f
(pair? 'a) ⇒ #f
(pair? '(a)) ⇒ #t
(pair? '()) ⇒ #f
```



Scheme: Predicates

- (char? obj) #t if obj is a character, else #f
- (string? obj) #t if obj is a string, else #f
- (number? obj) #t if obj is a number, else #f
- (complex? obj) #t if obj is complex, else #f
- (real? obj) #t if obj is a real number, else #f
- (integer? obj) #t if obj is integer, else #f
- (list? obj) #t if obj is a list, else #f
- (vector? obj) #t if obj is a vector, else #f
- (symbol? obj) #t if obj is a symbol, else #f
- (procedure? obj) #t if obj is a function, else #f



Scheme: Input / Output

- (read)
 - returns the next object from input
- (display obj)
 - prints *obj*

```
(display "compute the square root of:")
    ⇒ compute the square root of: 2
(sqrt (read))
    ⇒ 1.4142135623730951
```



Scheme: Deep binding

```
(define A
 (lambda (i P)
  (let ((B (lambda () (display i) (newline))))
    (cond ((= i 4) (P))
          ((= i 3) (A (+ i 1) P))
          ((= i 2) (A (+ i 1) P))
          ((= i 1) (A (+ i 1) P))
          ((= i 0) (A (+ i 1) B)))))
(define C (lambda () 10))
(A \ 0 \ C) \Rightarrow 0
```



Scheme: Deep binding

```
(define A
 (lambda (i P)
  (let ((B (lambda () (display i) (newline))))
    (cond ((= i 4) (P))
          ((= i 3) (A (+ i 1) P))
          ((= i 2) (A (+ i 1) B))
          ((= i 1) (A (+ i 1) P))
          ((= i 0) (A (+ i 1) B)))))
(define C (lambda () 10))
(A \ 0 \ C) \Rightarrow 2
```

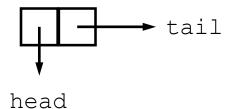


Scheme: Storage allocation for lists

- Lists are constructed with list and cons
 - list is a shorthand version of nested cons functions

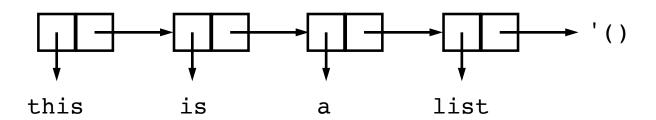


- Memory allocation with cons
 - cell with pointers to head (car) and tail (cdr):



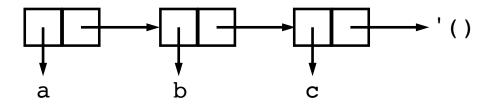
Example

```
(cons 'this (cons 'is (cons 'a (cons 'list '()))))
```

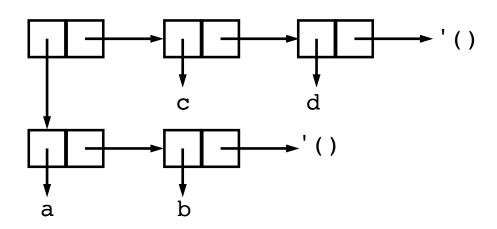


Scheme: Storage allocation for lists

 $(cons 'a '(b c)) \Rightarrow '(a b c)$



 $(cons '(a b) '(c d)) \Rightarrow '((a b) c d)$





Scheme: Equality

- (eq? obj₁ obj₂)
 - returns #t if obj_1 and obj_2 are identical, else #f
 - implementation as fast as possible
- (eqv? $obj_1 \ obj_2$)
 - returns #t if obj_1 and obj_2 are equivalent, else #f
 - similar to eq? but is guaranteed to return #t for two exact numbers, two inexact numbers, or two characters with the same value
- (equal? $obj_1 \ obj_2$)
 - returns #t if obj_1 and obj_2 have the same structure and contents, else #f



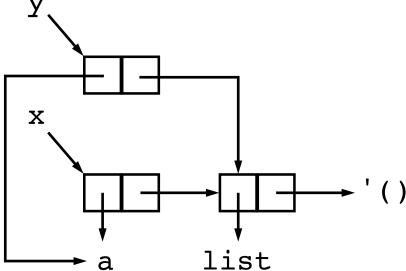
Scheme: Equality

```
(eq? 'a 3) \Rightarrow #f
(eqv? 'a 3) \Rightarrow #f
(equal? 'a 3) \Rightarrow #f
(eq? 'a 'a) \Rightarrow #t
(eqv? 'a 'a) \Rightarrow #t
(equal? 'a 'a) \Rightarrow #t
(eq? #t (null? '())) \Rightarrow #t
(eqv? #t (null? '())) \Rightarrow #t
(equal? #t (null? '())) \Rightarrow #t
(eq? 3.4 (+ 3.0 .4)) \Rightarrow #f
(eqv? 3.4 (+ 3.0 .4)) \Rightarrow #t
(equal? 3.4 (+ 3.0 .4)) \Rightarrow #t
```



Scheme: Equality

```
(eq? '(a) '(a)) \Rightarrow #f
(eqv? '(a) '(a)) \Rightarrow #f
(equal? '(a) '(a)) \Rightarrow #t
(define x '(a list))
(define y (cons (car x) (cdr x)))
(eq? x y) \Rightarrow #f
(eqv? x y) \Rightarrow #f
(equal? x y) \Rightarrow #t
                                   X
```





Scheme: List searching

- (memq obj list)
 (memv obj list)
 (member obj list)
 - return the first tail of list whose car is equivalent to *obj* (in the sense of eq?, eqv?, or equal? resp.) or #f

```
(memq 'b '(a b c)) \Rightarrow '(b c)
```

Scheme: List searching

- (assq obj list)(assv obj list)(assoc obj list)
 - an association list (alist) is a proper list whose elements are key-value pairs (key value)
 - return the first element of *alist* whose car is equivalent to *obj* (in the sense of eq?, eqv?, or equal? resp.) or #f

```
(assq 'b '((a . 1) (b . 2))) \Rightarrow '(b . 2)
(assq 'c '((a . 1) (b . 2))) \Rightarrow #f
(assq 2/3 '((1/3 . a) (2/3 . b))) \Rightarrow '(2/3 . b)
(assq 2/3 '((1/3 a) (2/3 b))) \Rightarrow '(2/3 b)
```



Scheme: Evaluation order

der

- λ-calculus:
 - applicative order (parameters evaluated before passed)
 - normal order (parameters passed unevaluated)
- Scheme uses applicative order
 - applicative may be faster
 - in general, either one can be faster



Scheme: Evaluation order



• Example: applicative order is faster

```
(double (* 3 4))
⇒ (double 12)
⇒ (+ 12 12)
⇒ 24

(double (* 3 4))
⇒ (+ (* 3 4) (* 3 4)) ⇒ (+ 12 (* 3 4))
⇒ (+ 12 12)
⇒ 24
```



Scheme: Evaluation order



• Example: normal order is faster

```
(define switch (lambda (x a b c)
  (cond ((< x 0) a)
          ((= x 0) b)
          ((> x 0) C)))
(switch -1 (+ 1 2) (+ 2 3) (+ 3 4))
\Rightarrow (switch -1 3 (+ 2 3) (+ 3 4))
\Rightarrow (switch -1 3 5 (+ 3 4))
\Rightarrow (switch -1 3 5 7)
\Rightarrow (cond ((< -1 0) 3)
          ((=-1\ 0)\ 5)
          ((> -1 \ 0) \ 7)
```







Example: normal order is faster (cont'd)

```
(switch -1 (+ 1 2) (+ 2 3) (+ 3 4))

\Rightarrow (cond ((< -1 0) (+ 1 2))

((= -1 0) (+ 2 3))

((> -1 0) (+ 3 4))

\Rightarrow (cond (#t (+ 1 2))

((= -1 0) (+ 2 3))

((> -1 0) (+ 3 4))

\Rightarrow (+ 1 2)

\Rightarrow 3
```



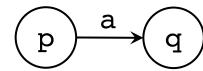
Scheme: Higher-order functions

```
(define mcompose
 (lambda (flist)
  (lambda (x)
   (if (null? (cdr flist))
    ((car flist) x)
    ((car flist) ((mcompose (cdr flist)) x)))))
(define cadr
  (mcompose (list car cdr)))
(cadr '(a b c)) \Rightarrow 'b
(define cadaddr
  (mcompose (list car cdr car cdr cdr)))
(cadaddr '(a b (c d))) \Rightarrow 'd
```



Scheme: DFA simulation

- DFA description:
 - start state
 - transitions: list of pairs



Start

final states

start state

; transition fn

final states

```
(define zero-one-even-dfa
  '(q0
    (((q0\ 0)\ q2)\ ((q0\ 1)\ q1)
      ((q1 0) q3) ((q1 1) q0)
      ((q2\ 0)\ q0)\ ((q2\ 1)\ q3)
      ((q3 0) q1) ((q3 1) q2))
    (q0)))
```



Scheme: DFA simulation

• DFA simulation:

```
(simulate
zero-one-even-dfa ; machine description
 '(0 1 1 0 1)) ; input string
\Rightarrow '(q0 q2 q3 q2 q0 q1 reject)
(simulate
 zero-one-even-dfa ; machine description
 '(0 1 0 0 1 0)) ; input string
\Rightarrow '(q0 q2 q3 q1 q3 q2 q0 accept)
```



Scheme: Differentiation



Symbolic differentiation

$$\frac{d}{dx}(c) = \frac{d}{dx}(y) = 0,$$
 c a constant, $y \neq x$

$$\frac{d}{dx}(x) = 1$$

$$\frac{d}{dx}(u+v) = \frac{d}{dx}(u) + \frac{d}{dx}(v), \qquad u, v \text{ functions of } x$$

$$\frac{d}{dx}(u-v) = \frac{d}{dx}(u) - \frac{d}{dx}(v)$$

$$\frac{d}{dx}(uv) = u\frac{d}{dx}(v) + v\frac{d}{dx}(u)$$

$$\frac{d}{dx}\left(\frac{u}{v}\right) = \frac{v\frac{d}{dx}(u) - u\frac{d}{dx}(v)}{v^2}$$



```
(define diff
 (lambda (x expr)
   (if (not (pair? expr))
        (if (equal? x expr) 1 0)
        (let ((u (cadr expr))(v (caddr expr)))
          (case (car expr)
            ((+) (list '+ (diff x u) (diff x v)))
            ((-) (list '- (diff x u) (diff x v)))
            ((*) (list '+
                       (list '* u (diff x v))
                       (list '* v (diff x u))))
            ((/) (list '/ (list
                                 (list '* v (diff x u))
                                 (list '* u (diff x v)))
                       (list '* v v)))
            )))))
```

Scheme: Differentiation

```
(diff 'x '3) => 0
 (diff 'x 'x) => 1
 (diff 'x 'y) => 0
 (diff 'x '(+ x 2)) => '(+ 1 0)
 (diff 'x '(+ x y)) => '(+ 1 0)
 (diff 'x '(* 2 x)) => '(+ (* 2 1) (* x 0))
(diff 'x '(/ 1 x)) => '(/ (- (* x 0) (* 1 1)) (* x x))
• (diff 'x '(+ (* 2 x) 1)) => '(+ (+ (* 2 1) (* x 0)) 0)
(diff 'x '(/ x (- (* 2 x) (* 1 x))))
(-(*(-(*2x)(*1x))1)(*x(-(+(*21)(*x0))))
       (* (- (* 2 x) (* 1 x)) (- (* 2 x) (* 1 x)))
```