

(BINARY) RELATIONS

OUTLINE:

- 1) Introduction to binary relations
- 2) Properties of relations
- 3) Combining relations
- 4) Representing relations
- 5) Equivalence relations
- 6) Partial orderings

1. INTRODUCTION TO BINARY RELATIONS

Binary relations

- A **binary relation** from a set A to a set B is a subset $R \subseteq A \times B$.
 - EX: $A = \{-2, -1, 0, 1, 2, 3\}$, $B = \{0, 1, 2, 3\}$, $R = \{(a, b) \in A \times B \mid a > b\} = \{(1, 0), (2, 0), (2, 1), (3, 0), (3, 1), (3, 2)\}$
 - EX: $A = \{-2, -1, 0, 1, 2, 3\}$, $B = \{0, 1, 2, 3\}$, $R = \{(a, b) \in A \times B \mid b = a^2\} = \{(-1, 1), (0, 0), (1, 1)\}$
 - EX: $A = \{-2, -1, 0, 1, 2, 3\}$, $B = \{0, 1, 2, 3\}$, $R = \{(a, b) \in A \times B \mid b < a^2 + 3\} = \{(-2, 0), (-2, 1), (-2, 2), (-2, 3), (-1, 0), (-1, 1), (-1, 2), (-1, 3), (0, 0), (0, 1), (0, 2), (1, 0), \dots\} = A \times B \setminus \{(0, 3)\}$
 - EX: $A = \{-2, -1, 0, 1, 2, 3\}$, $B = \{0, 1, 2, 3\}$, $R = \{(a, b) \in A \times B \mid b > a^2 + 3\} = \emptyset$
 - EX: $A = \{-2, -1, 0, 1, 2, 3\}$, $B = \{0, 1, 2, 3\}$, $R = \{(-1, 3), (-1, 0), (1, 2), (3, 0)\} \subseteq A \times B$ (kinda “random” relation, constructed specifying the couples one by one.)

Binary relations

- A **binary relation** on a set A is a subset $R \subseteq A \times A$.
 - EX: $A = \{0,1,2,3\}$, $R = \{(a,b) \in A \times A \mid a \text{ is a multiple of } b\} = \{(0,0), (0,1), (0,2), (0,3), (1,1), (2,1), (2,2), (3,1), (3,3)\}$
 - EX: $A = \mathbf{N}$, $R = \{(a,b) \in \mathbf{N} \times \mathbf{N} \mid a = b\} = \{(0,0), (1,1), (2,2), \dots\}$
(infinite set)
 - EX: $A = \mathbf{N}$, $R = \{(a,b) \in \mathbf{N} \times \mathbf{N} \mid a+b \text{ is even}\} = \{(0,0), (0,2), (0,4), \dots, (1,1), (1,3), \dots\}$ (infinite set)
 - EX: $A = \mathbf{N}$, $R = \{(a,b) \in \mathbf{N} \times \mathbf{N} \mid a \leq b\} = \{(0,0), (0,1), (0,2), \dots, (1,1), (1,2), \dots\}$ (infinite set)

Notation for binary relations

- If $R \subseteq A \times B$ is a binary relation, there are several ways to denote its elements:
 - $(a,b) \in R$ (set-theoretic notation);
 - $R(a,b)$ (logical notation, using the predicate corresponding to the set R); EX: $\text{Equal}(a,b)$;
 - aRb (logical infix notation); EX: $a=b$;

Binary relations on a finite set

- If A is a finite set with $|A| = n$, then how many distinct binary relations are there on $A \times A$?

Binary relations on a finite set

- If A is a finite set, then how many distinct binary relations are there on $A \times A$?
- Theorem 1: a set with m elements has 2^m subsets (prove this by induction on m)
- Theorem 2: for any finite sets S and T , $|S \times T| = |S| \cdot |T|$ (prove this using the definition of cartesian product)
- The binary relations on A are the subsets of $A \times A$. Since by Theorem 1 $|A \times A| = |A|^2$, by Theorem 2 the number of distinct binary relations on A is

$$2^{|A|^2}$$

2. PROPERTIES OF RELATIONS

Reflexivity

- A relation $R \subseteq A \times A$ is **reflexive** if $\forall a (a \in A \rightarrow (a,a) \in R)$ i.e.,
- $\forall a \in A, (a,a) \in R$
- EX: on the integers \mathbf{Z} , which of the following relations are reflexive?
 - $R = \{(x,y) \in \mathbf{Z} \times \mathbf{Z} \mid x = y\} = \{(x,x) \mid x \in \mathbf{Z}\} = \{..., (-2,-2), (-1,-1), (0,0), (1,1), (2,2), ...\}$
YES
 - $R = \{(x,y) \in \mathbf{Z} \times \mathbf{Z} \mid x \leq y\}$ YES: for any $a \in \mathbf{Z}$, $a \leq a$, so $(a,a) \in R$
 - $R = \{(x,y) \in \mathbf{Z} \times \mathbf{Z} \mid |x| = |y|\}$ YES
 - $R = \{(x,y) \in \mathbf{Z} \times \mathbf{Z} \mid x < y\}$ NO
 - $R = \mathbf{Z} \times \mathbf{Z}$ YES
 - $R = \emptyset$ NO
 - $R = \{(x,y) \in \mathbf{Z} \times \mathbf{Z} \mid x \text{ is a multiple of } y\}$ YES

Irreflexivity

- A relation $R \subseteq A \times A$ is **irreflexive** if $\forall a (a \in A \rightarrow (a, a) \notin R)$
- EX: on the integers \mathbf{Z} , which of the following relations are irreflexive?
 - $R = \{(x, y) \in \mathbf{Z} \times \mathbf{Z} \mid x = y\}$ NO
 - $R = \{(x, y) \in \mathbf{Z} \times \mathbf{Z} \mid x \leq y\}$ NO
 - $R = \{(x, y) \in \mathbf{Z} \times \mathbf{Z} \mid x \neq y\}$ YES
 - $R = \{(x, y) \in \mathbf{Z} \times \mathbf{Z} \mid x < y\}$ YES
 - $R = \mathbf{Z} \times \mathbf{Z}$ NO
 - $R = \emptyset$ YES
 - $R = \{(x, y) \in \mathbf{Z} \times \mathbf{Z} \mid x \text{ is a multiple of } y\}$ NO

Question

- Is the following relation on the integers reflexive or irreflexive?

$$R = \{(x,y) \in \mathbf{Z} \mid x \text{ and } y \text{ are coprime (i.e., } \gcd(x,y)=1)\}$$

Question

- Is the following relation on the integers reflexive or irreflexive?
- $R = \{(x,y) \in \mathbf{Z} \times \mathbf{Z} \mid x \text{ and } y \text{ are coprime (i.e., } \gcd(x,y)=1)\}$
- Neither! In fact,
 - $\gcd(1,1) = 1$, so $(1,1) \in R$, therefore R cannot be irreflexive
 - $\gcd(2,2) = 2$, so $(2,2) \notin R$, therefore R cannot be reflexive

Symmetry

- A relation $R \subseteq A \times A$ is **symmetric** if $\forall a, b \in A ((a, b) \in R \rightarrow (b, a) \in R)$
- EX: on the integers \mathbf{Z} , which of the following relations are reflexive?
 - $R = \{(x, y) \in \mathbf{Z} \times \mathbf{Z} \mid x = y\}$ YES: if $x = y$, is it true that $y = x$? Yes
 - $R = \{(x, y) \in \mathbf{Z} \times \mathbf{Z} \mid x \leq y\}$ NO: counterexample: $0 \leq 1$ but $\neg(1 \leq 0)$
 - $R = \{(x, y) \in \mathbf{Z} \times \mathbf{Z} \mid |x| = |y|\}$ YES
 - $R = \{(x, y) \in \mathbf{Z} \times \mathbf{Z} \mid x < y\}$ NO: counterexample: $0 < 1$ but $\neg(1 < 0)$
 - $R = \mathbf{Z} \times \mathbf{Z}$ YES (any couple of integers is in R)
 - $R = \emptyset$ Is it true that if $(a, b) \in \emptyset$, then $(b, a) \in \emptyset$? Yes! There are no couples satisfying the premise, so symmetry is vacuously true
 - $R = \{(x, y) \in \mathbf{Z} \times \mathbf{Z} \mid x \text{ is a multiple of } y\}$ if a is a multiple of b , is b necessarily a multiple of a ? NO: Counterex: 6 is a multiple of 2, but 2 is not a multiple of 6
 - $R = \{(x, y) \in \mathbf{Z} \times \mathbf{Z} \mid x + y < 2\}$ YES: if $x + y < 2$, also $y + x < 2$

Antisymmetry

- A relation $R \subseteq A \times A$ is **antisymmetric** if $\forall a, b \in A ((a, b) \in R \wedge (b, a) \in R \rightarrow a = b)$ that is, the only way for both (a, b) and (b, a) to belong to R is if $a = b$
- EX: on the integers \mathbf{Z} , which of the following relations are antisymmetric?
 - $R = \{(x, y) \in \mathbf{Z} \times \mathbf{Z} \mid x = y\}$ YES
 - $R = \{(x, y) \in \mathbf{Z} \times \mathbf{Z} \mid x \leq y\}$ YES: if $a \leq b$ and $b \leq a$, then $a = b$
 - $R = \{(x, y) \in \mathbf{Z} \times \mathbf{Z} \mid |x| = |y|\}$ NO: counterex: $(1, -1) \in R \wedge (-1, 1) \in R$, but $1 \neq -1$
 - $R = \{(x, y) \in \mathbf{Z} \times \mathbf{Z} \mid x < y\}$ YES: there are no x, y such that both $x < y$ and $y < x$, i.e. the premise is never satisfied, so antisymmetry is vacuously true
 - $R = \mathbf{Z} \times \mathbf{Z}$ NO: counterex: $(1, -1) \in R \wedge (-1, 1) \in R$, but $1 \neq -1$
 - $R = \emptyset$ YES: vacuously
 - $R = \{(x, y) \in \mathbf{Z} \times \mathbf{Z} \mid x \text{ is a multiple of } y\}$ YES: if x is a multiple of y and y is a multiple of x , then $x = y$
 - $R = \{(x, y) \in \mathbf{Z} \times \mathbf{Z} \mid x + y < 2\}$ NO: counterex: $(1, -1) \in R \wedge (-1, 1) \in R$, but $1 \neq -1$

Asymmetry

- A relation $R \subseteq A \times A$ is **asymmetric** if $\forall a, b \in A ((a, b) \in R \rightarrow (b, a) \notin R)$
- EX: on the integers \mathbf{Z} , which of the following relations are asymmetric?
 - $R = \{(x, y) \in \mathbf{Z} \times \mathbf{Z} \mid x = y\}$ NO: it is symmetric
 - $R = \{(x, y) \in \mathbf{Z} \times \mathbf{Z} \mid x \leq y\}$ NO: reflexivity is incompatible with asymmetry
 - $R = \{(x, y) \in \mathbf{Z} \times \mathbf{Z} \mid |x| = |y|\}$ NO: it is symmetric
 - $R = \{(x, y) \in \mathbf{Z} \times \mathbf{Z} \mid x < y\}$ YES: if $x < y$, then surely $\neg(y < x)$
 - $R = \mathbf{Z} \times \mathbf{Z}$ NO: reflexivity (or symmetry) is incompatible with asymmetry
 - $R = \emptyset$ YES, vacuously
 - $R = \{(x, y) \in \mathbf{Z} \times \mathbf{Z} \mid x \text{ is a multiple of } y\}$ NO: reflexivity is incompatible with asymmetry
 - $R = \{(x, y) \in \mathbf{Z} \times \mathbf{Z} \mid x + y < 2\}$ NO: it is symmetric

Asymmetric vs antisymmetric

- A relation $R \subseteq A \times A$ is **asymmetric** iff it is both **antisymmetric** and **irreflexive** [homework: prove this]
- EX: on the integers \mathbf{Z} ,
 - $R = \{(x,y) \in \mathbf{Z} \mid x \leq y\}$ is antisymmetric but not irreflexive, hence not asymmetric
 - $R = \{(x,y) \in \mathbf{Z} \mid x < y\}$ is antisymmetric and also irreflexive, hence asymmetric

Transitivity

- A relation $R \subseteq A \times A$ is **transitive** if $\forall a, b, c \in A ((a, b) \in R \wedge (b, c) \in R \rightarrow (a, c) \in R)$
- EX: on the integers \mathbf{Z} , which of the following relations are transitive?
 - $R = \{(x, y) \in \mathbf{Z} \times \mathbf{Z} \mid x = y\}$ YES: if $x=y$ and $y=z$, then $x=z$
 - $R = \{(x, y) \in \mathbf{Z} \times \mathbf{Z} \mid x \leq y\}$ YES: if $x \leq y$ and $y \leq z$, then $x \leq z$
 - $R = \{(x, y) \in \mathbf{Z} \times \mathbf{Z} \mid |x| = |y|\}$ YES: if $|x| = |y|$ and $|y| = |z|$, then also $|x| = |z|$
 - $R = \{(x, y) \in \mathbf{Z} \times \mathbf{Z} \mid x < y\}$ YES: if $x < y$ and $y < z$, then $x < z$
 - $R = \mathbf{Z} \times \mathbf{Z}$ YES: R contains any possible pair of integers
 - $R = \emptyset$ YES, vacuously
 - $R = \{(x, y) \in \mathbf{Z} \times \mathbf{Z} \mid x \text{ is a multiple of } y\}$ YES: if x is a multiple of y and y is a multiple of z , then x is a multiple of z
 - $R = \{(x, y) \in \mathbf{Z} \times \mathbf{Z} \mid x + y < 2\}$ NO: counterex: $(2, -1) \in R \wedge (-1, 0) \in R$, but $(2, 0)$ does not belong to R

3. COMBINING RELATIONS

Set-theoretic operations

- Relations are sets, therefore they can be combined using the set operations $\cap, \cup, ^c, \setminus$
- EX: on $S = \{0,1,2,3\}$, let $R_1 = \{(0,0), (1,1), (2,1), (2,2), (3,1), (3,3)\}$ and $R_2 = \{(1,1), (1,2), (1,3)\}$. Then
 - $R_1 \cup R_2 = \{(0,0), (1,1), (1,2), (1,3), (2,1), (2,2), (3,1), (3,3)\}$
 - $R_1 \cap R_2 = \{(1,1)\}$
 - $R_1^c = S \times S \setminus R_1 = \{(0,1), (0,2), (0,3), (1,0), (1,2), (1,3), (2,0), (2,3), (3,0), (3,2)\}$
note that the universe is the cartesian product of the set on which the relation is defined
 - $R_2 \setminus R_1 = \{(1,2), (1,3)\}$

Inverse relation

- The inverse of a relation $R \subseteq A \times B$ is the relation $R^{-1} = \{(b,a) \in B \times A \mid (a,b) \in R\} \subseteq B \times A$
 - EX: Let $A = \{a,b,c\}$ and $B = \{0,1\}$ and let $R = \{(a,0), (b,1), (c,1)\} \subseteq A \times B$ be a binary relation from A to B . Then $R^{-1} = \{(0,a), (1,b), (1,c)\} \subseteq B \times A$
 - EX: Let $R = \{(0,1), (1,1), (1,2), (1,3)\}$ be a binary relation on $S = \{0,1,2,3\}$. Then $R^{-1} = \{(1,0), (1,1), (2,1), (3,1)\} \subseteq S \times S$

Composition of relations

- The composition of a relation $R_2 \subseteq B \times C$ with a relation $R_1 \subseteq A \times B$ is the relation $R_2 \circ R_1 \subseteq A \times C$ defined as

$$R_2 \circ R_1 = \{(a, c) \in A \times C \mid \exists b \in B ((a, b) \in R_1 \wedge (b, c) \in R_2)\}$$

- EX: Let $A = \{0, 1, 2\}$, $B = \{m, n, o, p\}$, $C = \{w, x, y\}$.
Let $R_1 = \{(1, p), (2, m)\} \subseteq A \times B$, $R_2 = \{(m, x), (m, y), (o, w)\} \subseteq B \times C$.
Then $R_2 \circ R_1 = \{(2, x), (2, y)\}$

Powers of relations

- A binary relation $R \subseteq S \times S$ can be composed with itself
- EX: If S is the set of humans and $R = \{(x,y) \in S \times S \mid x \text{ is a child of } y\}$, then
$$R^2 = R \circ R = \{(x,y) \in S \times S \mid x \text{ is a grandchild of } y\},$$
$$R^3 = R \circ R \circ R = \{(x,y) \in S \times S \mid x \text{ is a great-grandchild of } y\},$$
and also $R^{-1} = \{(x,y) \in S \times S \mid y \text{ is a child of } x\} = \{(x,y) \in S \times S \mid x \text{ is a parent of } y\}$
$$R^{-2} = (R^{-1})^2 = R^{-1} \circ R^{-1} = (R^2)^{-1} = \{(x,y) \in S \times S \mid x \text{ is a grandparent of } y\}$$

4. REPRESENTING RELATIONS

Representation via matrices

- A relation between finite sets can be represented using a matrix of 0s and 1s:
- If $R \subseteq A \times B$ with $A = \{a_1, \dots, a_n\}$ and $B = \{b_1, \dots, b_k\}$, then the matrix of R is the $n \times k$ matrix $M_R = [m_{ij}]$ with
$$m_{ij} = 1 \text{ if } (a_i, b_j) \in R$$
$$m_{ij} = 0 \text{ if } (a_i, b_j) \notin R$$
- Note that the matrix depends on the choice of an ordering of the elements of A and an ordering of the elements of B . Any ordering is acceptable, but **when $A = B$ we use the same ordering.**

Example

- Let $A = \{a,b,c\}$ and $B = \{\text{'vowel'}, \text{'consonant'}\}$
- Let $R = \{(a,\text{'vowel'}), (b,\text{'consonant'}), (c,\text{'consonant'})\}$

- The matrix of R is

$$M_R = \begin{bmatrix} 1 & 0 \\ 0 & 1 \\ 0 & 1 \end{bmatrix}$$

- The ordering $A = \{b,a,c\}$, $B = \{\text{'vowel'}, \text{'consonant'}\}$ would produce a different matrix:

$$M_R = \begin{bmatrix} 0 & 1 \\ 1 & 0 \\ 0 & 1 \end{bmatrix}$$

Example

- Let $A = \{a,b,c\}$ and $B = \{0,1,2,3\}$
- Let R be the relation on $A \times B$ represented by the matrix

$$M_R = \begin{bmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

Describe R with the roster method

Example

- Let $A = \{a,b,c\}$ and $B = \{0,1,2,3\}$
- Let R be the relation on $A \times B$ represented by the matrix

$$M_R = \begin{bmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

Describe R with the roster method

$$R = \{(a,0), (a,2), (b,1), (b,2)\}$$

Matrices and relation properties

- Remember that for binary relations $R \subseteq S \times S$ over a set S we use the same ordering on the 2 copies of S
- A relation $R \subseteq S \times S$ is reflexive iff all the elements on the main diagonal of M_R are 1
- A relation $R \subseteq S \times S$ is irreflexive iff all the elements on the main diagonal of M_R are 0
- A relation $R \subseteq S \times S$ is symmetric iff M_R is a symmetric matrix (i.e., $m_{ij} = m_{ji}$ for all indices i and j)
- A relation is antisymmetric iff, for any indices $i \neq j$, $(m_{ij} = 0 \vee m_{ji} = 0)$

Example

- Let $S = \{0,1,2,3\}$ and $R \subseteq S \times S$ be defined by the matrix

$$M_R = \begin{bmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 \end{bmatrix}$$

- What properties of R can we deduce from M_R ?

Example

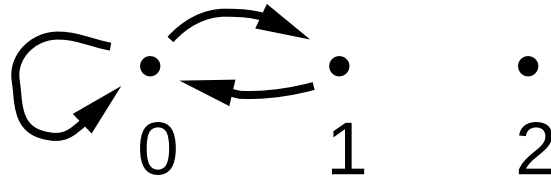
- Let $S = \{0,1,2,3\}$ and $R \subseteq S \times S$ be defined by the matrix

$$M_R = \begin{bmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 \end{bmatrix}$$

- $m_{33} = 0$, so R is not reflexive
- $m_{11} = 1$, so R is not irreflexive
- $m_{13} = 1$ and $m_{31} = 0$, so R is not symmetric
- $(m_{13} = 1 \text{ and } m_{31} = 0)$, $(m_{23} = 1 \text{ and } m_{32} = 0)$, $(m_{42} = 1 \text{ and } m_{24} = 0)$, so R is antisymmetric (whenever we have a 1 off the main diagonal, in the symmetric position we have a 0)

Representation via graphs

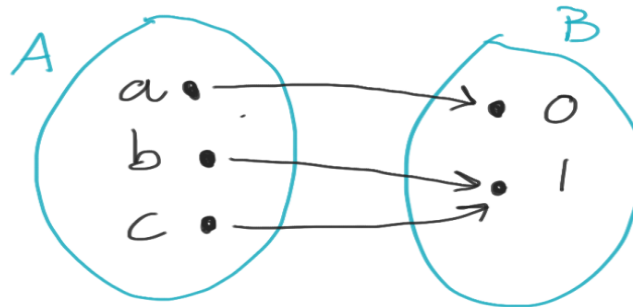
- A **directed graph** consists of a set V of **vertices** (aka **nodes** or **points**) and a set $E \subseteq V \times V$ of **edges**. If $(a,b) \in E$, then a is the **initial vertex** and b is the **terminal vertex** of the edge (a,b) . An edge of the form (a,a) is a **loop**. Edges are drawn as arrows from their initial to their terminal vertex.
- EX: the graph $G=(V,E)$ with $V = \{0,1,2\}$ and $E = \{(0,0),(0,1), (1,0)\}$ is



- Graphs will be studied in detail in next episodes

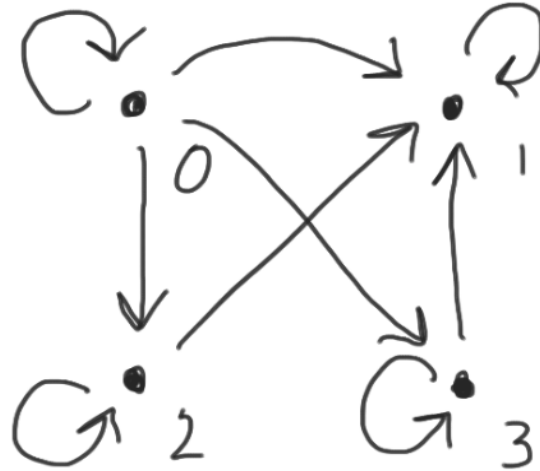
Representation via graphs

- A relation $R \subseteq A \times B$ can be represented as a graph with vertex set $V = A \cup B$ and edge set R . If $A \neq B$, then the elements of A are kept “separate” from the elements of B (usually, Venn diagrams for A and B are also included).
- EX: if $A = \{a, b, c\}$ and $B = \{0, 1\}$, the relation $R = \{(a, 0), (b, 1), (c, 1)\} \subseteq A \times B$ can be represented by the graph



Representation via graphs

- EX: if $A = \{0,1,2,3\}$, the relation $R = \{(a,b) \in A \times A \mid a \text{ is a multiple of } b\}$ can be represented by the graph

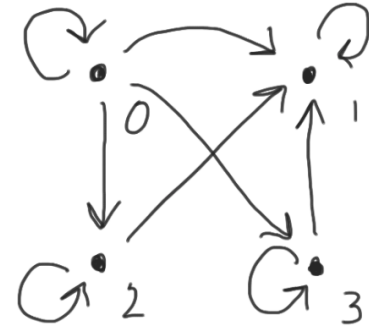


Graphs and relation properties

- A relation is reflexive iff all vertices have a loop
- A relation is irreflexive iff no vertex has a loop
- A relation is symmetric iff whenever (x,y) is an edge, then so is (y,x)
- A relation is antisymmetric iff whenever (x,y) is an edge with $x \neq y$, then (y,x) is not an edge
- A relation is transitive iff whenever (x,y) and (y,z) are edges, then so is (x,z)

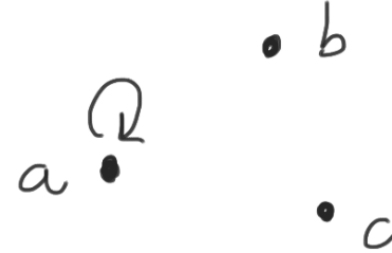
Previous example, revisited

- EX: if $A = \{0,1,2,3\}$, the relation $R = \{(a,b) \in A \times A \mid a \text{ is a multiple of } b\}$ can be represented by the graph
- Each vertex has a loop, so R is reflexive
- $(0,1)$ is an edge, but $(1,0)$ is not, so R is not symmetric
- Whenever (a,b) is an edge with $a \neq b$, then (b,a) is not an edge (check $(0,1)$ vs $(1,0)$, $(0,2)$ vs $(2,0)$, $(0,3)$ vs $(3,0)$, $(2,1)$ vs $(1,2)$, $(3,1)$ vs $(1,3)$), so R is antisymmetric
- Whenever (a,b) and (b,c) are edges, so is (a,c) (e.g., $(0,2)$, $(2,1)$ and $(0,1)$), so R is transitive



Another example (mind trivial cases!)

- EX: if $A = \{a, b, c\}$ and R is the relation represented by the graph



- R is neither reflexive nor irreflexive (only a has a loop)
- R is **vacuously** symmetric (there are no edges (x, y) with $x \neq y$)
- R is **vacuously** antisymmetric (same reason)
- R is **vacuously** transitive (same reason)

Remember vacuous conditionals? (Conditionals with false premise are true)

5. EQUIVALENCE RELATIONS

Equivalence relations

- A relation $R \subseteq A \times A$ (same set) is called an **equivalence relation** if it is reflexive, symmetric, and transitive.
- If R is an equivalence relation, two elements a and b such that aRb are called **equivalent**. In this case, the notation $a \sim b$ is often used.
- EX: For any set A , the **identity relation** $I_A = \{(a,b) \in A \times A \mid a=b\} = \{(a,a) \mid a \in A\}$ is an equivalence relation. In fact, it is
 - Reflexive, because any $a \in A$ is equal to itself ($a=a$)
 - Symmetric, because if $a=b$ then $b=a$
 - Transitive, because if $a=b$ and $b=c$, then $a=c$
- In fact, the identity is the archetypical equivalence relation: the definition of equivalence relation is modelled on the properties of the identity relation

Equivalence classes

- If $R \subseteq A \times A$ is an equivalence relation, for any fixed $x \in A$, the subset $\{a \in A \mid a \sim x\} = \{a \in A \mid aRx\} = \{a \in A \mid (a, x) \in R\} \subseteq A$ of the elements in relation with x is called the **equivalence class** of x , and denoted $[x]_R$, or just $[x]$ if R is clear from the context.
- CAUTION! $[x] = [y]$ for any y such that $y \sim x$, thus in general $[x] = [y]$ does not imply $x = y$.
- When we write an equivalence class as $[x]$, we say that x is a **representative** of that class. Any element of a class can be used as representative.

EX: Congruence modulo m

- Let $m > 1$ be an integer. Remember that, for two integers a and b , $a \equiv b \pmod{m}$ means that a and b have the same remainder in the integer division by m
- The relation $\{(a,b) \in \mathbf{Z} \times \mathbf{Z} \mid a \equiv b \pmod{m}\}$ is an equivalence relation on the integers

EX: Congruence modulo m

- Reflexivity: clearly for any $a \in \mathbb{Z}$ ($a \equiv a \pmod{m}$)
- Symmetry: if $a \equiv b \pmod{m}$ (that is, a and b have the same remainder when divided by m), then $b \equiv a \pmod{m}$ (that is, b and a have the same remainder when divided by m)
- Transitivity: if $a \equiv b \pmod{m}$ (that is, a and b have the same remainder, say r , when divided by m), and $b \equiv c \pmod{m}$ (that is, b and c have the same remainder, which must be r again, when divided by m), then $a \equiv c \pmod{m}$ (that is, a and c have the same remainder, still r , when divided by m)

EX: Congruence modulo m

- The equivalence class of an integer a modulo m is $[a]_m = \{ \dots, a-3m, a-2m, a-m, a, a+m, a+2m, a+3m, \dots \}$
- The difference between consecutive elements in $[a]_m$ is m
- There are exactly m distinct equivalence classes modulo m : $[0]_m, [1]_m, \dots, [m-1]_m$
- Of course, other choices of representatives are possible

Concrete ex: Congruence modulo 3

- There are 3 equivalence classes modulo 3:
 - $[0]_3 = \{..., -9, -6, -3, 0, 3, 6, 9, ...\} = [3] = [6] = ...$
 - $[1]_3 = \{..., -8, -5, -2, 1, 4, 7, 10, ...\} = [-8] = [7] = ...$
 - $[2]_3 = \{..., -7, -4, -1, 2, 5, 8, 11, ...\} = [5] = [-7] = ...$
- Notice that the equivalence classes are mutually disjoint, nonempty, and their union is the whole \mathbb{Z} . This is a general property of equivalence relations.

Equivalence relations and partitions

- A **partition** of a set S is a collection $\{A_j \mid j \in J\}$ (where J is a set of indices) of subsets of S which are
 - **mutually disjoint** (for all $j, k \in J$ with $j \neq k$, $A_j \cap A_k = \emptyset$),
 - **nonempty** (for all $k \in J$, $A_k \neq \emptyset$),
 - and **whose union is S** ($\bigcup_{j \in J} A_j = S$)
- If on a set S there is an equivalence relation, the equivalence classes form a partition of S .
- Viceversa, if a set S has a partition $\{A_j \mid j \in J\}$, then the relation $R = \{(x, y) \in S \times S \mid x \text{ and } y \text{ belong to the same } A_k (k \in J)\}$ is an equivalence relation with the $A_j (j \in J)$ as the equivalence classes.

6. PARTIAL ORDERINGS

Partial orderings

- A relation $R \subseteq A \times A$ (same set) is called a **partial ordering**, or **partial order**, if it is reflexive, antisymmetric, and transitive.
- A set S together with a partial ordering R is called a **partially ordered set**, or **poset**, and is denoted by (S, R) .
- EX: On \mathbf{Z} , the relation \leq (“less than or equal to”), i.e. $\{(a, b) \in \mathbf{Z} \times \mathbf{Z} \mid a \leq b\}$ is a partial order. In fact, it is
 - Reflexive, because any $a \in \mathbf{Z}$ is less than or equal to itself ($a \leq a$)
 - Antisymmetric, because if $a \leq b$ and $b \leq a$, then $a = b$
 - Transitive, because if $a \leq b$ and $b \leq c$, then $a \leq c$
- Therefore, (\mathbf{Z}, \leq) is a poset. The same reasoning works for the relation \geq
- In fact, \leq (or \geq) is the archetypical partial order: the definition of partial order is modelled on the properties of \leq (or \geq)

Strict orderings

- A relation $R \subseteq A \times A$ (same set) is called a **strict (partial) ordering** (or **order**) if it is asymmetric (or equivalently irreflexive and antisymmetric), and transitive.
- A set S together with a partial ordering R is called a **strict partially ordered set**, or **strict poset**, and is denoted by (S, R) .
- EX: On \mathbf{Z} , the relation $<$ (“less than”), i.e. $\{(a, b) \in \mathbf{Z} \times \mathbf{Z} \mid a < b\}$ is a strict order. In fact, it is
 - Asymmetric, because if $a < b$, then $\neg(b < a)$
 - Transitive, because if $a < b$ and $b < c$, then $a < c$
- Therefore, $(\mathbf{Z}, <)$ is a strict poset. The same reasoning works for the relation $>$
- In fact, $<$ (or $>$) is the archetypical strict order: the definition of strict order is modelled on the properties of $<$ (or $>$)

Strict vs non-strict orderings

- Let A be a set. Recall the identity relation on A : $I_A = \{(a,b) \in A \times A \mid a=b\}$
- (1) Given a (non-strict) partial order $P \subseteq A \times A$, there is an induced strict partial order $Q \subseteq A \times A$, defined by $Q = P \setminus I_A = \{(a,b) \in P \mid \neg(a=b)\} = \{(a,b) \in P \mid a \neq b\}$.
- (2) Viceversa, given a strict order $R \subseteq A \times A$, there is an induced partial order $T \subseteq A \times A$, defined by $T = R \cup I_A = \{(a,b) \in A \times A \mid (a,b) \in R \vee a=b\}$
- Homework: prove the above points. That is, for (1), show that Q is irreflexive and transitive; for (2), show that T is reflexive, antisymmetric and transitive.

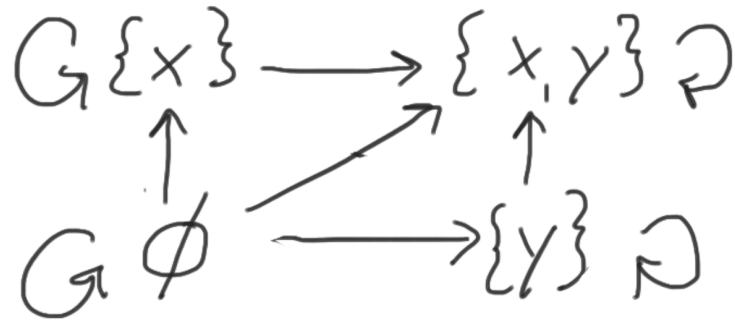
EX: The power set poset

- Let A be a set. The power set $P(A)$ together with the inclusion relation \subseteq is a poset. In fact, **by the definition of set inclusion**,
 - Reflexivity: every subset S of A is included in itself ($S \subseteq S$)
 - Antisymmetry: if 2 subsets S and T of A satisfy $S \subseteq T$ and $T \subseteq S$, then $S = T$ (this is our favourite technique to show a set equality)
 - Transitivity: if 3 subsets $B, C, D \in P(A)$ satisfy $B \subseteq C$ and $C \subseteq D$, then also $B \subseteq D$
- EX: show that $P(A)$ with the proper inclusion relation \subset is a strict poset

Concrete ex: The power set of $\{x,y\}$

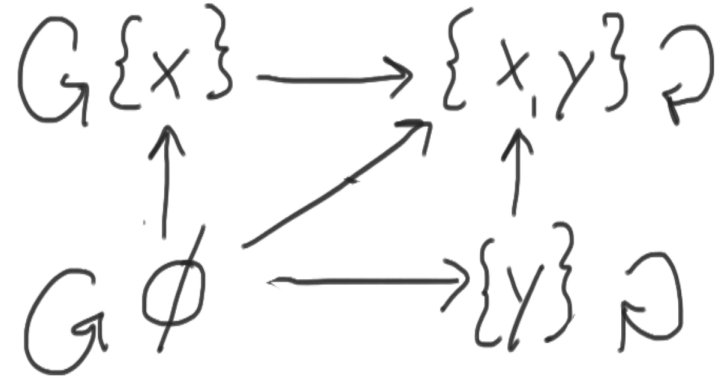
- Let $A = \{x,y\}$
- Then $P(A) = \{\emptyset, \{x\}, \{y\}, \{x,y\}\}$
- The inclusion relation is $\{(\emptyset, \emptyset), (\emptyset, \{x\}), (\emptyset, \{y\}), (\emptyset, \{x,y\}), (\{x\}, \{x\}), (\{x\}, \{x,y\}), (\{y\}, \{y\}), (\{y\}, \{x,y\}), (\{x,y\}, \{x,y\})\}$...correct but not the clearest. In matrix and graph representation:

$$\begin{bmatrix} 1 & 1 & 1 & 1 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$



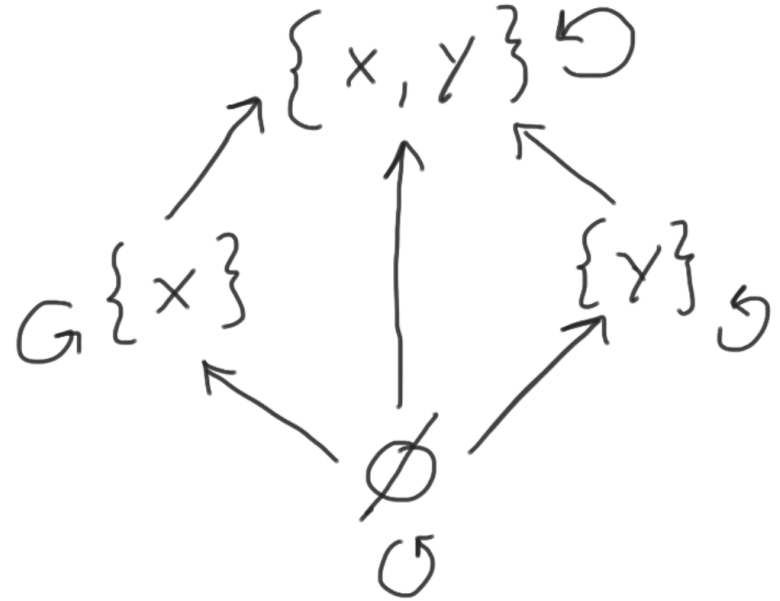
Hasse diagrams

- Hasse diagrams are another type of graph representation **specific for partial orders**. Suppose you have a partial order R on a set S (we will use \subseteq on $P(\{x,y\}) = \{\emptyset, \{x\}, \{y\}, \{x,y\}\}$ as an example).
- Start with a “normal” graph representation of R



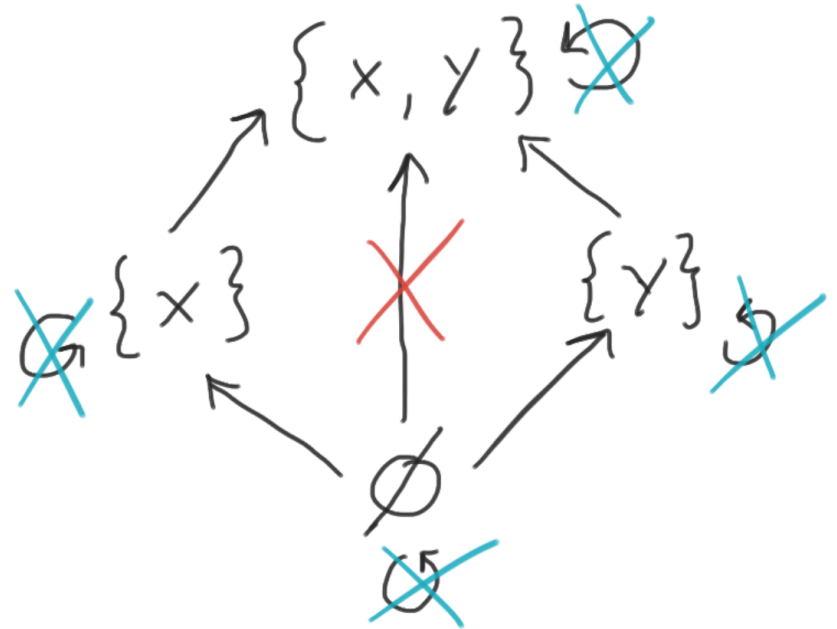
Hasse diagrams

- Rearrange the vertices in such a way that, if vertices a and b satisfy aRb , then b is higher up on the page than a (if a and b are not related, their relative height can be whatever). In our case, $\{x,y\}$ has to be at the top, $\{x\}$ and $\{y\}$ below it (and their relative height does not matter) and \emptyset must be at the bottom



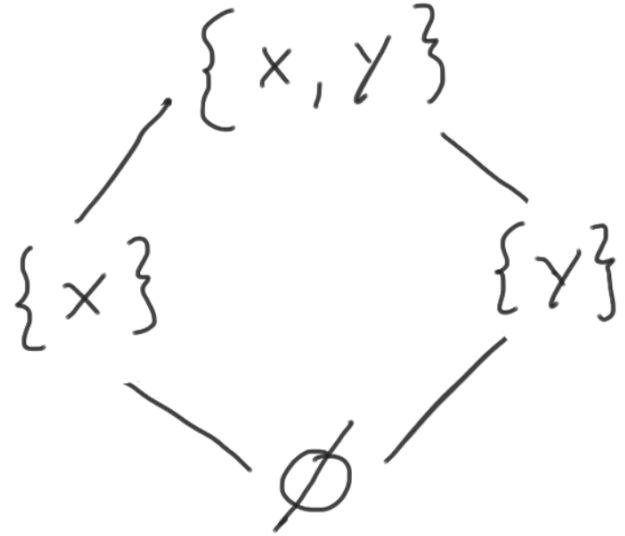
Hasse diagrams

- Remove the **edges due to reflexivity** (i.e., the loops) and those **implied by transitivity**. In our case, the edge $(\emptyset, \{x, y\})$ is implied by transitivity from the edges $(\emptyset, \{x\})$ and $(\{x\}, \{x, y\})$, so we remove it



Hasse diagrams

- Remove the arrow tips (the direction of edges is implied by the relative height of the vertices)
- That's your Hasse diagram

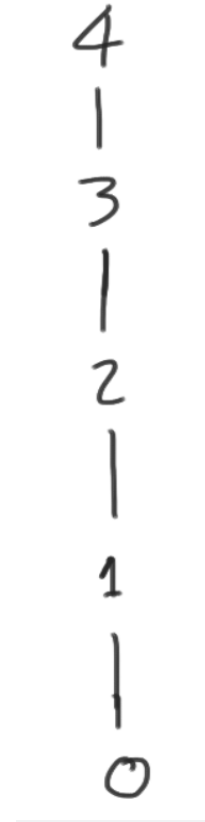


Total orders

- Let (A, R) be a poset. Two elements a, b of A are said to be **comparable** if aRb or bRa . The elements are called **incomparable** if neither aRb nor bRa .
- A poset (A, R) in which all elements are comparable is said to be a **totally ordered set** (other names: **linearly ordered set**, **chain**) and R is called a **total** (or **linear**) **order**.
- A totally ordered set such that every nonempty subset has a minimum is called a **well-ordered set**.
 - EX: $(P(\{x, y\}), \subseteq)$ is not a totally ordered set because $\{x\}$ and $\{y\}$ are incomparable.
 - EX: (\mathbb{Z}, \leq) is a totally ordered set, but not a well-ordered set (\mathbb{Z} itself has no minimum).
 - EX: (\mathbb{N}, \leq) is a well-ordered set.

Hasse diagrams of total orders

- EX: consider the poset $A = \{0,1,2,3,4\}$ with the total order \leq .
- Its Hasse diagram is a “line”.
- This is true for any totally ordered set.

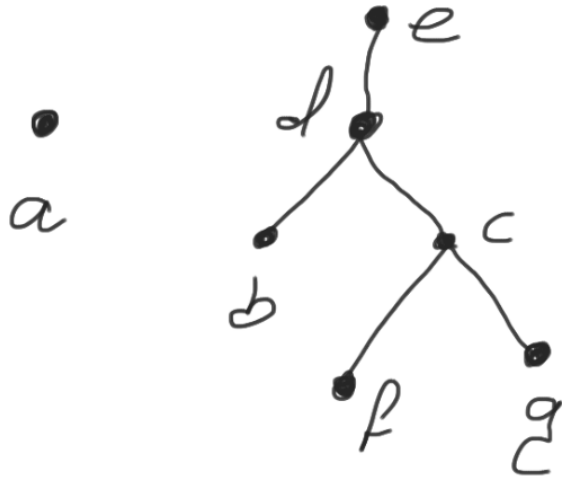


Maximal and minimal elements

- Remember that partial orders are modelled on the \leq relation. In other words, if R is a partial order, we think of aRb as saying that a precedes (or is smaller than) b .
- An element of a poset (S,R) is called **maximal** if it is not smaller than any other element of S . In symbols, $m \in S$ is maximal iff $\forall x \in S (mRx \rightarrow m=x)$.
- An element of a poset is called minimal if it is not greater than any other element of the poset. In symbols, $m \in S$ is minimal iff $\forall x \in S (xRm \rightarrow m=x)$.
- Maximal and minimal elements need not be unique.
- Maximal and minimal elements are easy to spot using a Hasse diagram. They are the “top” and “bottom” elements in the diagram.

Example

In the following poset (represented via a Hasse diagram)



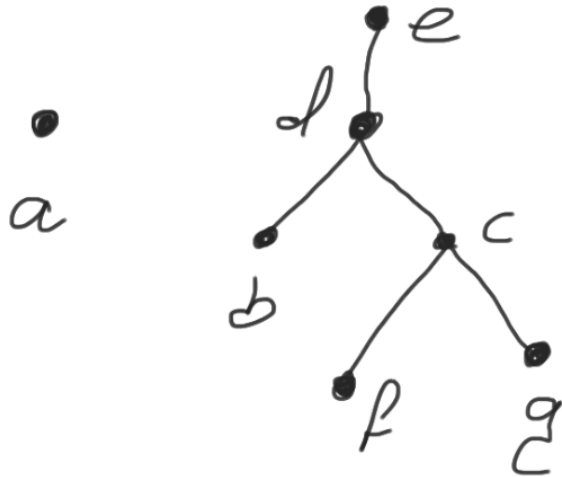
- The maximal elements are a and e
- The minimal elements are a,b,f,g
- (note that an isolated element, like a, is both maximal and minimal)

Maxima and minima

- Remember that partial orders are modelled on the \leq relation. In other words, if R is a partial order, we think of aRb as saying that a precedes (or is smaller than) b .
- The **maximum** of a poset (S,R) is the element (if it exists) which is greater than any other element. In symbols, $m \in S$ is the maximum of S iff $\forall x \in S (xRm)$.
- The **minimum** of a poset (S,R) is the element (if it exists) which is smaller than any other element. In symbols, $m \in S$ is the minimum of S iff $\forall x \in S (mRx)$.
- Maximum and minimum need not exist, but if they do, they are unique.

Example

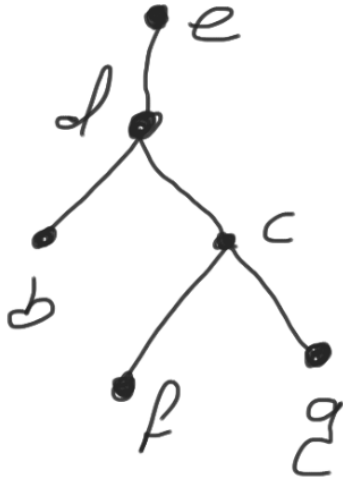
In the following poset (represented via a Hasse diagram)



- There is no maximum
- There is no minimum

Example

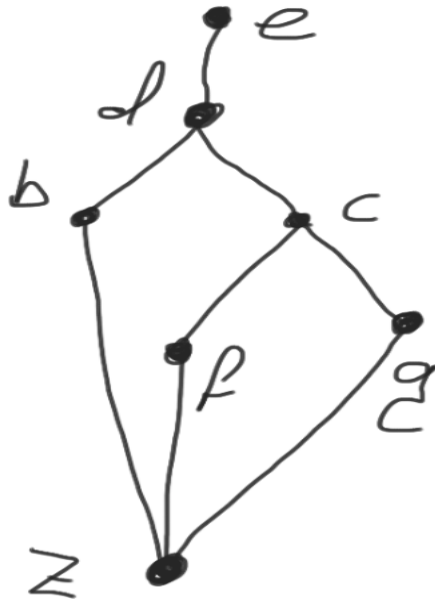
In the following poset (represented via a Hasse diagram)



- The maximum is e
- There is no minimum

Example

In the following poset (represented via a Hasse diagram)



- The maximum is e
- The minimum is z