Resolution Preliminaries

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Resolution Principle

The *Resolution Principle* is a rule of inference.

Using the Resolution Principle alone (without axiom schemata or other rules of inference), it is possible to build a theorem prover that is sound and complete for all of Relational Logic.

The search space using the Resolution Principle is much smaller than with standard axiom schemata.

Plan

First Lecture:
    Unification
    Relational Clausal Form

Second Lecture:
    Resolution Principle
    Resolution Theorem Proving

Third Lecture:
    True or False Questions
    Fill in the Blank Questions
    Residue

Fourth Lecture:
    Strategies to enhance efficiency

Clausal Form

Relational resolution works only on expressions in *clausal form*.

Fortunately, it is possible to convert any set of Relational Logic sentences into an equally satisfiable set of sentences in clausal form.

Clausal Form

A *literal* is either an atomic sentence or a negation of an atomic sentence.

A *clausal sentence* is either a literal or a disjunction of literals.

A *clause* is a set of literals (interpreted as disjunction).

$$\{p(a)\} \quad \text{[Pa in LogiCola]}$$
$$\{\neg p(a)\}$$
$$\{p(a), q(b)\}$$

The empty clause {} is unsatisfiable

Convert to clauses: leave only universal variables!

INSEADO

Implications Out:

$$\varphi_1 \Rightarrow \varphi_2 \quad \rightarrow \quad \neg\varphi_1 \vee \varphi_2$$

$$\varphi_1 \Leftarrow \varphi_2 \quad \rightarrow \quad \varphi_1 \vee \neg\varphi_2$$

$$\varphi_1 \Leftrightarrow \varphi_2 \quad \rightarrow \quad (\neg\varphi_1 \vee \varphi_2) \wedge (\varphi_1 \vee \neg\varphi_2)$$

Negations In:

$$\neg\neg\varphi \quad \rightarrow \quad \varphi$$

$$\neg(\varphi_1 \wedge \varphi_2) \quad \rightarrow \quad \neg\varphi_1 \vee \neg\varphi_2$$

$$\neg(\varphi_1 \vee \varphi_2) \quad \rightarrow \quad \neg\varphi_1 \wedge \neg\varphi_2$$

$$\neg\forall v.\varphi \quad \rightarrow \quad \exists v.\neg\varphi$$

$$\neg\exists v.\varphi \quad \rightarrow \quad \forall v.\neg\varphi$$

一、预备知识

两个合式公式等价（真值表相同）关系表
否否：~ (~P) ⇔ P
P → Q ⇔ ~P ∨ Q
狄摩根定律：~(A∨B) ⇔ ~A ∧ ~B   ~(A∧B) ⇔ ~A ∨ ~B
分配律：P ∧ (Q∨R) ⇔ (P∧Q) ∨ (P∧R)   P ∨ (Q∧R) ⇔ (P∨Q) ∧ (P∨R)
交换律：P ∧ Q ⇔ Q ∧ P   P ∨ Q ⇔ Q ∨ P
结合律：(P∧Q) ∧ R ⇔ P ∧ (Q∧R)   (P∨Q) ∨ R ⇔ P ∨ (Q∨R)
逆否律：P → Q ⇔ ~Q → ~P
~ (∃x) P(x) ⇔ (∀x) (~P(x))   ~ (∀x) P(x) ⇔ (∃x) (~P(x))
(∀x) (P(x) ∧ Q(x)) ⇔ (∀x) P(x) ∧ (∀x) Q(x)   (∃x) (P(x) ∨ Q(x)) ⇔ (∃x) P(x) ∨ (∃x) Q(x)
(∀x) P(x) ⇔ (∀y) P(y)   (∃x) P(x) ⇔ (∃y) P(y)

父辈字句	消解式
P和~P∨ Q（即P→Q）	Q
P ∨ Q 和~P ∨ Q	Q
P ∨ Q和~ P ∨ ~ Q	Q ∨ ~Q和P ∨ ~P
~P和P	NIL
~P ∨ Q（即P→Q）和 ~Q ∨ R（即Q→R）	~P ∨ R（即P→R）
B(x)和~B(x) ∨ C(x)	C(x)
P(x) ∨ Q(x)和~Q(f(y))	P(f(y))，σ={ f(y) / x }
P(x, f(y)) ∨ Q(x) ∨ R(f(a), y)和~P(f(f(a))，z) ∨ R(z,w)	Q(f(f(a))) ∨ R(f(a), y) ∨ R(f(y), w), σ={f(f(a)) /x,f(y)/z } [BG]F

二、利用消解法证明可以从下述的前提推到结论

前提：每个储蓄钱的人都获得利息

结论：如果没有利息，那么就没有人去储蓄钱

提示:可按照下述步骤进行实验

1.规定原子公式

```
1 | S(x, y)      表示 "x储蓄y"
2 | M(x)         表示 "x是钱"
3 | I(x)         表示 "x是利息"
4 | E(x, y)      表示 "x获得y"
```

2.基于原子公式表示前提和结论

前提：

$$(\forall x)\{(\exists y)[S(x,y)] \wedge M(y)\} \rightarrow \{(\exists y)[I(y) \wedge E(x,y)]\}$$

结论：

$$\sim (\exists x)I(x) \rightarrow (\forall x)(\forall y)[M(y) \rightarrow \sim S(x,y)]$$

Inseado (continued)

Standardize variables (also between different clauses)

$$\forall x.p(x) \lor \forall x.q(x) \quad \rightarrow \quad \forall x.p(x) \lor \forall y.q(y)$$

Existentials Out (Outside in): Introducing Skolem constants and functions.

$$\exists x.p(x) \quad \rightarrow \quad p(a)$$

$$\forall xy.(p(x) \land \exists z.q(x,y,z)) \quad \rightarrow \quad \forall xy.(p(x) \land q(x,y,f(x,y)))$$

(If there are universal quantifiers outside, use a function once for all. In LogiCola, we may drop initial universal first, then drop existential with a new constant (say e), but then re-use the universal with the new constant e – so these two approaches are essentially the same)

3.把前提化为字句型

①消去蕴含符号：

去除 →，←> （红色批注）

$$(\forall x)\{\sim(\exists y)[S(x,y)\wedge M(y)]\vee(\exists y)[I(y)\wedge E(x,y)]\}$$

②减少否定符号的辖域：

～内移 （红色批注）

$$(\forall x)\{(\forall y)[\sim S(x,y)\vee\sim M(y)]\vee(\exists y)[I(y)\wedge E(x,y)]\}$$

$$(\forall x)\{(\forall y)[\sim(S(x,y)\wedge M(y))]\vee(\exists y)[I(y)\wedge E(x,y)]\}$$

③对变量标准化：将变构之量改名，使每个量词有自己的惟一哑元。 （红色批注）

$$(\forall x)\{(\forall y)[\sim S(x,y)\vee\sim M(y)]\vee(\exists z)[I(z)\wedge E(x,z)]\}$$

前束范式法有全称量词 （红色批注） 变构关系1 （红色批注） 反构之至2 （红色批注）

④消去存在量词：令z=f(x)为Skolem函数，则可得字句形如下：

消除存在量词并生成等价满足 的之式 （红色批注）

$$(\forall x)\{(\forall y)[\sim S(x,y)\vee\sim M(y)]\vee[I(f(x))\wedge E(x,f(x))]\}$$

⑤化为前束形：

$$(\forall x)(\forall y)\{[\sim S(x,y)\vee\sim M(y)]\vee[I(f(x))\wedge E(x,f(x))]\}$$

⑥把母式化为合取范式：

$$(\forall x)(\forall y)\{[\sim S(x,y)\vee\sim M(y)\vee I(f(x))]\wedge[\sim S(x,y)\vee\sim M(y)\vee E(x,f(x))]\}$$

⑦消去全称量词：

$$[\sim S(x,y)\vee\sim M(y)\vee I(f(x))]\wedge[\sim S(x,y)\vee\sim M(y)\vee E(x,f(x))]$$

⑧消去连词符号：

$$\sim S(x,y)\vee\sim M(y)\vee I(f(x))$$
$$\sim S(x,y)\vee\sim M(y)\vee E(x,f(x))$$

⑨更换变量名称：

$$\sim S(x,y)\vee\sim M(y)\vee I(f(x))$$
$$\sim S(x,y)\vee\sim M(y)\vee E(x,f(x))$$

Inseado (continued)

Alls Out (**all variables must be universal**!)

$$\forall xy.(p(x) \wedge q(x,y,f(x,y))) \quad \rightarrow \quad p(x) \wedge q(x,y,f(x,y))$$

Distribution

$$\varphi_1 \vee (\varphi_2 \wedge \varphi_3) \quad \rightarrow \quad (\varphi_1 \vee \varphi_2) \wedge (\varphi_1 \vee \varphi_3)$$
$$(\varphi_1 \wedge \varphi_2) \vee \varphi_3 \quad \rightarrow \quad (\varphi_1 \vee \varphi_3) \wedge (\varphi_2 \vee \varphi_3)$$

Inseado (continued)

Operators Out

$$\varphi_1 \wedge \ldots \wedge \varphi_n \quad \rightarrow \quad \varphi_1$$

$$\ldots$$

$$\varphi_n$$

$$\varphi_1 \vee \ldots \vee \varphi_n \quad \rightarrow \quad \{\varphi_1, \ldots \varphi_n\}$$

4.将结论的否定化为子句型

结论的否定：

$$\sim\{\sim(\exists x)I(x) \rightarrow (\forall x)(\forall y)[M(y) \rightarrow \sim S(x,y)]\}$$

化为字句形：

①消去蕴含符号：

$$\sim\{\sim[\sim(\exists x)I(x)] \vee (\forall x)(\forall y)[\sim M(y) \vee S(x,y)]\}$$

②减少否定符号的辖域：

$$\sim\{(\exists x)I(x) \vee (\forall x)(\forall y)[\sim M(y) \vee S(x,y)]\}$$

$$\sim(\exists x)I(x) \wedge \sim[(\forall x)(\forall y)][\sim M(y) \vee S(x,y)]$$

$$(\forall x)[\sim I(x)] \wedge (\forall x)(\forall y)\{\sim[\sim M(y) \vee S(x,y)]\}$$

$$(\forall x)[\sim I(x)] \wedge (\forall x)(\forall y)[M(y) \wedge \sim S(x,y)]$$

③对变量标准化：

$$(\forall x)[\sim I(x)] \wedge (\forall z)(\forall y)[M(y) \wedge \sim S(z,y)]$$

④消去存在量词：

$$(\forall x)[\sim I(x)] \wedge (\forall z)(\forall y)[M(y) \wedge \sim S(z,y)]$$

⑤化为前束形：

$$(\forall x)(\forall z)(\forall y)\{[\sim I(x)] \wedge [M(y) \wedge \sim S(z,y)]\}$$

⑥把母式化为合取范式：

$$(\forall x)(\forall z)(\forall y)[\sim I(x) \wedge M(y) \wedge \sim S(z,y)]$$

⑦消去全称量词：

$$\sim I(x) \wedge M(y) \wedge \sim S(z,y)$$

⑧消去连词符号：

$$\sim I(x)$$
$$M(y)$$
$$\sim S(z,y)$$

⑨更换变量名称：

$$\sim I(z)$$
$$M(b)$$
$$\sim S(a,b)$$

Example

$$\exists y.(g(y) \wedge \forall z.(r(z) \Rightarrow f(y,z)))$$

I $\exists y.(g(y) \wedge \forall z.(\neg r(z) \vee f(y,z)))$

N $\exists y.(g(y) \wedge \forall z.(\neg r(z) \vee f(y,z)))$

S $\exists y.(g(y) \wedge \forall z.(\neg r(z) \vee f(y,z)))$

E $g(greg) \wedge \forall z.(\neg r(z) \vee f(greg,z))$

A $g(greg) \wedge (\neg r(z) \vee f(greg,z))$

D $g(greg) \wedge (\neg r(z) \vee f(greg,z))$

O $\{g(greg)\}$

     $\{\neg r(z), f(greg,z)\}$

Example (negated… for conclusion)

$$\neg \exists y.(g(y) \wedge \forall z.(r(z) \Rightarrow f(y,z)))$$

I $\quad \neg \exists y.(g(y) \wedge \forall z.(\neg r(z) \vee f(y,z)))$

N $\quad \neg \exists y.(g(y) \wedge \forall z.(\neg r(z) \vee f(y,z)))$

$$\forall y.\neg(g(y) \wedge \forall z.(\neg r(z) \vee f(y,z)))$$

$$\forall y.(\neg g(y) \vee \neg \forall z.(\neg r(z) \vee f(y,z)))$$

$$\forall y.(\neg g(y) \vee \exists z.\neg(\neg r(z) \vee f(y,z)))$$

$$\forall y.(\neg g(y) \vee \exists z.(\neg \neg r(z) \wedge \neg f(y,z)))$$

$$\forall y.(\neg g(y) \vee \exists z.(r(z) \wedge \neg f(y,z)))$$

S $\quad \forall y.(\neg g(y) \vee \exists z.(r(z) \wedge \neg f(y,z)))$

Example (continued)

$$\forall y.(\neg g(y) \vee \exists z.(r(z) \wedge \neg f(y,z)))$$

E $\quad \forall y.(\neg g(y) \vee (r(h(y)) \wedge \neg f(y,h(y))))$

A $\quad \neg g(y) \vee (r(h(y)) \wedge \neg f(y,h(y)))$

D $\quad (\neg g(y) \vee r(h(y))) \wedge (\neg g(y) \vee \neg f(y,h(y)))$

O $\quad \{\neg g(y) \vee r(h(y))\}$

$\quad\quad \{\neg g(y) \vee \neg f(y,h(y))\}$

6. Romeo loves all females.
   No females love Romeo.
   Juliet is female.
   ∴ Romeo loves someone who doesn't love him.   [Use Lxy, r, Fx, and j.]

Converting to clauses and use Resolution:

1	(x)(Fx ⊃ Lrx)
* 2	~(∃x)(Fx · Lxr)
3	Fj
	[∴ (∃x)(Lrx · ~Lxr)
* 4	┌ asm: ~(∃x)(Lrx · ~Lxr)
5	│ ∴ (x)~(Fx · Lxr) {from 2}
6	│ ∴ (x)~(Lrx · ~Lxr) {from 4}
* 7	│ ∴ (Fj ⊃ Lrj) {from 1}
8	│ ∴ Lrj {from 3 and 7}
* 9	│ ∴ ~(Fj · Ljr) {from 5}
10	│ ∴ ~Ljr {from 3 and 9}
* 11	│ ∴ ~(Lrj · ~Ljr) {from 6}
12	└ ∴ Ljr {from 8 and 11}
13	∴ (∃x)(Lrx · ~Lxr) {from 4; 10 contradicts 12}

1~F(x) v L(r, x):   clause

2~F(x) v ~L(x, r): clause

3F(j): clause

4Neg goal: ~L(r, x) v L(x, r): clause

5L(r, j)   : 1 and 3, x matches j

6L(j, r)   : 5 and 4, x matches j

7~F(j)   :   2 and 6, x matches j

8{}   : 3 and 7

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7. In all cases, if a first thing caused a second then the first exists before the second. Nothing exists before it exists.

∴ Nothing caused itself.   [Use Cxy and Bxy (for "x exists before y exists").]

1	(x)(y)(Cxy ⊃ Bxy)	
* 2	~(∃x)Bxx	
	[∴ ~(∃x)Cxx	
* 3	asm: (∃x)Cxx	
4	∴ (x)~Bxx	{from 2}
5	∴ Caa	{from 3}
6	∴ (y)(Cay ⊃ Bay)	{from 1}
7	∴ ~Baa	{from 4}
* 8	∴ (Caa ⊃ Baa)	{from 6}
9	∴ Baa	{from 5 and 8}
10	∴ ~(∃x)Cxx	{from 3; 7 contradicts 9}

Use Resolution:

1 ~C(x, y) v B(x, y): clause

2 ~B(x, x)

3 neg goal: C(a, a)

4 B(a, a)   :1 and 3, x=a, y=a

5 {}   : 2 and 4, x=a

Example

Everybody loves somebody. Everybody loves a lover.
Show that everybody loves everybody.

$\forall x. \exists y. loves(x, y)$

$\forall u. \forall v. \forall w. (loves(v, w) \Rightarrow loves(u, v))$

$\neg \forall x. \forall y. loves(x, y)$

$\{loves(x, f(x))\}$

$\{\neg loves(v, w), loves(u, v)\}$

$\{\neg loves(jack, jill)\}$

Try S/I rules:

4~loves(a, b)   : from 3
5 Exist y loves(a, y) from 3
6 loves(a, c)   from 5
7 loves(b, d)   similar to 5,6
8 loves(b, d) > loves(a, b): from 2
9 loves(a, b)   : from 6, 7, I rule
10 RAA   : 4 and 8
    (Note: can have many forms of 7)

Try resolution:
4~loves(jill, w): 2 and 3
5 {}, 4 and 1, x=jill, w=f(jill)
(So "matching" can be more complicated)

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Clausal Form

Bad News: The result of converting a set of sentences is not necessarily logically equivalent to the original set of sentences. Why? Introduction of Skolem constants and functions.

Good News: The result of converting a set of sentences is satisfiable if and only if the original set of sentences is satisfiable. Important because we use satisfiability to determine logical entailment.

Difficulty with Universal Instantiation

Simple cases are easy!

$$\forall x.p(x,b)$$

$$\forall y.\neg p(a,y)$$

$$p(a,b)$$

$$\neg p(a,b)$$

Substitutions

A *substitution* is a finite set of pairs of variables and terms, called *replacements*.

$$\{\texttt{X}{\leftarrow}\texttt{a}, \ \texttt{Y}{\leftarrow}\texttt{f(b)}, \ \texttt{V}{\leftarrow}\texttt{W}\}$$

The result of applying a substitution σ to an expression ϕ is the expression $\phi\sigma$ obtained from ϕ by replacing every occurrence of every variable in the substitution by its replacement.

```
p(X,X,Y,Z){X←a,Y←f(b),V←W}=p(a,a,f(b),Z)
```

Cascaded Substitutions

$$r\{x,y,z\}\{x \leftarrow a, y \leftarrow f(u), z \leftarrow v\} = r\{a, f(u), v\}$$

$$r\{a, f(u), v\}\{u \leftarrow d, v \leftarrow e\} = r(a, f(d), e)$$

$$r\{x,y,z\}\{x \leftarrow a, y \leftarrow f(d), z \leftarrow e\} = r(a, f(d), e)$$

Composition of Substitutions

The *composition* of substitution σ and τ is the substitution (written *compose(σ,τ)* or, more simply, στ) obtained by
(1) applying τ to the replacements in σ
(2) adding to σ pairs from τ with different variables
(3) deleting any assignments of a variable to itself.

$$\{x\leftarrow a,\ y\leftarrow f(u),\ z\leftarrow v\}\{u\leftarrow d, v\leftarrow e, z\leftarrow g\}$$

$$=\{x\leftarrow a, y\leftarrow f(d), z\leftarrow e\}\{u\leftarrow d, v\leftarrow e, z\leftarrow g\}$$

$$=\{x\leftarrow a, y\leftarrow f(d), z\leftarrow e, u\leftarrow d, v\leftarrow e\}$$

Unification

A substitution σ is a *unifier* for an expression ϕ and an expression ψ if and only if $\phi\sigma=\psi\sigma$.

```
p(X,Y){X←a,Y←b,V←b}=p(a,b)
p(a,V){X←a,Y←b,V←b}=p(a,b)
```

If two expressions have a unifier, they are said to be *unifiable*. Otherwise, they are *nonunifiable*.

```
p(X,X)
p(a,b)
```

Non-Uniqueness of Unification

Unifier 1:

```
p(X,Y){X←a,Y←b,V←b}=p(a,b)
p(a,V){X←a,Y←b,V←b}=p(a,b)
```

Unifier 2:

```
p(X,Y){X←a,Y←f(W),V←f(W)}=p(a,f(W))
p(a,V){X←a,Y←f(W),V←f(W)}=p(a,f(W))
```

Unifier 3:

```
p(X,Y){X←a,Y←V}=p(a,V)
p(a,V){X←a,Y←V}=p(a,V)
```

Most General Unifier

A substitution σ is a *most general unifier* (*mgu*) of two expressions if and only if it is as general as or more general than any other unifier.

Theorem: If two expressions are unifiable, then they have an mgu that is unique up to variable permutation.

```
p(X,Y){X←a,Y←V}=p(a,V)
p(a,V){X←a,Y←V}=p(a,V)


p(X,Y){X←a,V←Y}=p(a,Y)
p(a,V){X←a,V←Y}=p(a,Y)
```

[MGU: "commit the least principle" ☺
May omit the next few slides on how to find mgu.
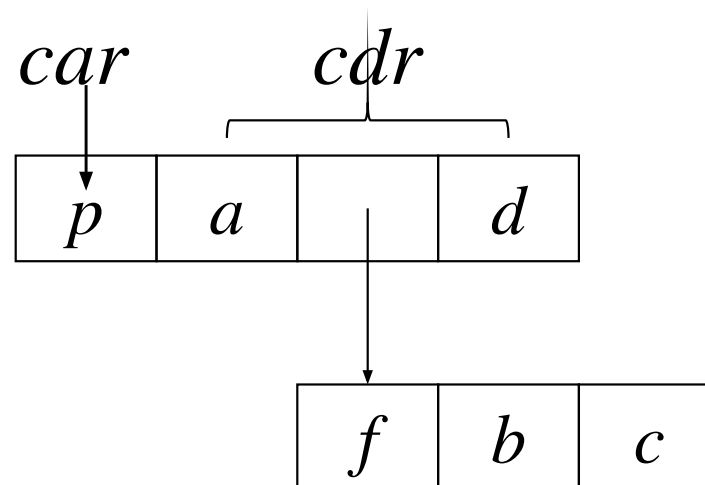**Usually easy to in simple proofs]**

Expression Structure

Each expression is treated as a sequence of its immediate subexpressions.

Linear Version:

$$p(a, f(b, c), d)$$

Structured Version:

Most General Unification

function *mgu (x, y, s)*
  {**if** *x = y* **then** *s*
    else if *varp(x)* **then** *mguvar(x, y, s)*
    else if *atom(x)* **then** {**if** *varp(y)* **then** *mguvar(y, x, s)*
                              else if *atom(y)* **then if** *x = y* **then** *s*}
    else if *varp(y)* **then** *mguvar(y, x, s)*
    else if *atom(y)* **then** *false*
    else if *s←mgu(car(x),car(y), s)* **then** *mgu(cdr(x), cdr(y), s)*}

function *mguvar (x, y, s)*
  {**var** *dum*;
    if *dum ← assoc(x, s)* **then** *mgu(right(dum), y, s)*
    else *compose(s, {x ← plug(y,s)})*}

Example

Call: *mgu(*`p(X,b),p(a,Y)`*, {})*

    Call: *mgu(*`p`, `p`*, {})*
    Exit: {}

    Call: *mgu(*`X`, `a`*, {})*
    Exit: {`X←a`}

    Call: *mgu(*`b`, `Y`*, {`X←a`})*
    Exit: {`X←a,Y←b`}

Exit: {`X←a,Y←b`}

Example

Call: *mgu(*`p(X,X)`, `p(a,b)`, $\{\}$*)*

    Call: *mgu(*`p`, `p`, $\{\}$*)*
    Exit: $\{\}$

    Call: *mgu(*`X`, `a`, $\{\}$*)*
    Exit: $\{X \leftarrow a\}$

    Call: *mgu(*`X`, `b`, $\{X \leftarrow a\}$*)*
      Call: *mgu(*`a`, `b`, $\{X \leftarrow a\}$*)*
      Exit: `false`
    Exit: `false`

Exit: `false`

Example

Call: *mgu(*`p(f(X),f(X))`,`p(Y,f(a))`, {})

  Call: *mgu(*`p`, `p`, {})

  Exit: {}

  Call: *mgu(*`f(X)`, `Y`, {})

  Exit: {`Y←f(X)`}

  Call: *mgu(*`f(X)`, `f(a)`, {`Y←f(X)`})

   Call: *mgu(*`f`, `f`, {`Y←f(X)`})

   Exit: {`Y←f(X)`}

   Call: *mgu(*`X`, `a`, {`Y←f(X)`})

   Exit: {`Y←f(a)`,`X←a`}

  Exit: {`Y←f(a)`,`X←a`}

Exit: {`Y←f(a)`,`X←a`}

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Example

Call: *mgu(*`p(X,X)`, `p(Y,f(Y))`, {}*)*
 Call: *mgu(*`p`, `p`, {}*)*
 Exit: {}

 Call: *mgu(*`X`, `Y`, {}*)*
 Exit: {`X←Y`}

 Call: *mgu(*`X`, `f(Y)`, {`X←Y`}*)*
  Call: *mgu(*`Y`, `f(Y)`, {`X←Y`}*)*
  Exit: {`X←f(Y)`,`Y←f(Y)`}
 Exit: {`X←f(Y)`,`Y←f(Y)`}
Exit: {`X←f(Y)`,`Y←f(Y)`}

Problem

Circularity Problem:

$$\{X \leftarrow f(Y), Y \leftarrow f(Y)\}$$

Unification Problem:

```
p(X,X){X←f(Y),Y←f(Y)}=p(f(Y),f(Y))

p(Y,f(Y)){X←f(Y),Y←f(Y)}=p(f(Y),f(f(Y)))
```

Semantic Problem:

```
~hates(X,X)
  hates(Y,f(Y))
```

Solution

Before assigning a variable to an expression, first check that the variable does not occur within that expression.

This is called, oddly enough, the *occur check* test.

Prolog does not do the occur check (and is proud of it).

Most General Unification (revised)

function *mguvar (x, y, s)*
  {**var** *dum*;
    if *dum ← assoc(x, s)* **then** *mgu(right(dum), y, s)*
    else if *mguchkp(x, y, s)* **then** *false*
    else *compose(s,{x ← plug(y,s)})*}

function *mguchkp (p, q, s)*
  {**if** *p=q* **then** *true*
   else if *varp(p)* **then** *mguchkp(p, right(assoc(q, s)), s)*
   else if *atom(q)* **then** *false*
   else *some(lambda(x).mguchkp(p,x,s),q)*}

Example

Call: *mgu(*`p(X,X)`*,* `p(Y,f(Y))`*,* {}*)*

  Call: *mgu(*`p`*,* `p`*,* {}*)*
  Exit: {}

  Call: *mgu(*`X`*,* `Y`*,* {}*)*
  Exit: {X←Y}

  Call: *mgu(*`X`*,* `f(Y)`*,* {X←Y}*)*
    Call: *mgu(*`Y`*,* `f(Y)`*,* {X←Y}*)*
    Exit: `false`
  Exit: `false`

Exit: `false`

Resolution Theorem Proving

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Plan

First Lecture:
    Unification
    Relational Clausal Form

Second Lecture:
    Resolution Principle
    Resolution Theorem Proving

Third Lecture:
    True or False Questions
    Fill in the Blank Questions
    Residue

Fourth Lecture:
    Strategies to enhance efficiency

Propositional Resolution

$$\frac{\{\varphi_1, \ldots, \varphi, \ldots, \varphi_m\}}{\{\varphi_1, \ldots, \neg\varphi, \ldots, \psi_n\}}$$
$$\{\varphi_1, \ldots, \varphi_m, \psi_1, \ldots, \psi_n\}$$

Relational Resolution I

We have seen many such examples
But here is more formal description

$$\{\varphi_1, \ldots, \varphi, \ldots \varphi_m\}$$

$$\frac{\{\psi_1, \ldots, \neg\psi, \ldots, \psi_n\}}{\{\varphi_1, \ldots \varphi_m, \psi_1, \ldots, \psi_n\}\sigma}$$

$$\text{where } \sigma = mgu(\varphi, \psi)$$

Example

$$\{ \ p(a,y), r(y) \}$$
$$\{ \neg p(x,b) \}$$

$$\{ r(y) \} \ \{ x \leftarrow a, y \leftarrow b \}$$
$$\{ r(b) \}$$

Example

$$\{ \ p(a,y), \quad r(y) \}$$
$$\{ \neg p(x, f(x)), q(g(x)) \}$$

$$\{ r(y), q(g(x)) \} \ \{ x \leftarrow a, y \leftarrow f(a) \}$$
$$\{ r(f(a)), q(g(a)) \}$$

Example

Everybody loves somebody. Everybody loves a lover. Show that everybody loves everybody.

$$\forall x. \exists y. loves(x, y)$$

$$\forall u. \forall v. \forall w. (loves(v, w) \Rightarrow loves(u, v))$$

$$\neg \forall x. \forall y. loves(x, y)$$

Example (continued)

$\forall x. \exists y. loves(x, y)$

$\forall u. \forall v. \forall w. (loves(v, w) \Rightarrow loves(u, v))$

$\neg \forall x. \forall y. loves(x, y)$

$\{ loves(x, f(x)) \}$

$\{ \neg loves(v, w), loves(u, v) \}$

$\{ \neg loves(jack, jill) \}$

Example (concluded)

1. $\{loves(x, f(x))\}$ Premis
2. $\{\neg loves(v, w), loves(u, v)\}$ Premis
3. $\{\neg loves(jack, jill)\}$ Goal
4. $\{loves(u, x)\}$ 1,2
5. $\{\}$ 4,3

(Early on, we proved it in a different way)

Harry and Ralph

Every horse can outrun every dog. Some greyhound can outrun every rabbit. Show that every horse can outrun every rabbit.

$$\forall x.\forall y.(h(x)\wedge d(y)\Rightarrow f(x,y))$$

$$\exists y.(g(y)\wedge \forall z.(r(z)\Rightarrow f(y,z)))$$

$$\forall y.(g(y)\Rightarrow d(y))$$

$$\forall x.\forall y.\forall z.(f(x,y)\wedge f(y,z)\Rightarrow f(x,z))$$

$$\neg\forall x.\forall y.(h(x)\wedge r(y)\Rightarrow f(x,y))$$

(3 and 4 are extra "background" common-sense knowledge!)
Try to prove this with S/I rules by yourself!

Harry and Ralph (continued)

$$\forall x. \forall y. (h(x) \wedge d(y) \Rightarrow f(x,y))$$

$$\exists y. (g(y) \wedge \forall z. (r(z) \Rightarrow f(y,z)))$$

$$\forall y. (g(y) \Rightarrow d(y))$$

$$\forall x. \forall y. \forall z. (f(x,y) \wedge f(y,z) \Rightarrow f(x,z))$$

$$\{\neg h(x), \neg d(y), f(x,y)\}$$

$$\{g(greg)\}$$

$$\{\neg r(z), f(greg,z)\}$$

$$\{\neg g(y), d(y)\}$$

$$\{\neg f(x,y), \neg f(y,z), f(x,z)\}$$

Harry and Ralph (continued)

$$\neg \forall x.\forall y.(h(x) \wedge r(y) \Rightarrow f(x,y))$$

$\{h(harry)\}$

$\{r(ralph)\}$

$\{\neg f(harry,ralph)\}$

Harry and Ralph (concluded)

1. $\{\neg h(x), \neg d(y), f(x,y)\}$
2. $\{g(greg)\}$
3. $\{\neg r(z), f(greg,z)\}$
4. $\{\neg g(y), d(y)\}$
5. $\{\neg f(x,y), \neg f(y,z), f(x,z)\}$
6. $\{h(harry)\}$
7. $\{r(ralph)\}$
8. $\{\neg f(harry,ralph)\}$
9. $\{d(greg)\}$
10. $\{\neg d(y), f(harry,y)\}$
11. $\{f(harry,greg)\}$
12. $\{f(greg,ralph)\}$
13. $\{\neg f(greg,z), f(harry,z)\}$
14. $\{f(harry,ralph)\}$
15. $\{\}$

You need to write justifications in exams!

Example

Given:

$$\exists x. \, \forall y.(p(x,y) \Leftrightarrow q(x,y))$$
$$\forall x. \, \exists y.(p(x,y) \, \vee \, q(x,y))$$

Prove:

$$\exists x. \, \exists y.(p(x,y) \, \wedge \, q(x,y))$$

Example (continued)

$\exists x.\forall y.(p(x,y) \Leftrightarrow q(x,y))$

$\quad \exists x.\forall y.((\neg p(x,y) \vee q(x,y)) \wedge (p(x,y) \vee \neg q(x,y)))$

$\quad (\neg p(a,y) \vee q(a,y)) \wedge (p(a,y) \vee \neg q(a,y))$

$\quad \{\neg p(a,y), q(a,y)\}$

$\quad \{p(a,y), \neg q(a,y)\}$

$\forall x.\exists y.(p(x,y) \vee q(x,y))$

$\quad p(x, f(x)) \vee q(x, f(x))$

$\quad \{p(a,f(x)), q(a,f(x))\}$

Example (continued)

Negate the goal:
$$\exists x.\exists y.(p(x,y) \wedge q(x,y)) \quad \rightarrow \quad \neg \exists x.\exists y.(p(x,y) \wedge q(x,y))$$

Convert to Clausal Form:

$\neg \exists x.\exists y.(p(x,y) \wedge q(x,y))$

$\forall x.\forall y.\neg(p(x,y) \wedge q(x,y))$

$\forall x.\forall y.(\neg p(x,y) \vee \neg q(x,y))$

$\neg p(x,y) \vee \neg q(x,y)$

$\{\neg p(x,y), \neg q(x,y)\}$

Example (concluded)

1. $\{\neg p(a,y),\ q(a,y)\}$ Premise
2. $\{p(a,y),\ \neg q(a,y)\}$ Premise
3. $\{p(x, f(x)),\ q(x, f(x))\}$ Premise
4. $\{\neg p(x,y),\ \neg q(x,y)\}$ Negated Goal

5. $\{q(a, f(a))\}$ 1, 3
6. $\{p(a, f(a))\}$ 2, 3
7. $\{\neg p(a,\ f(a))\}$ 4, 5
8. $\{\}$ 6, 7

Try to prove this with S/I rules by yourself!

Problem

Prove $\exists x(p(a, x) \Rightarrow p(x, b))$
(Thanks to Jonathan Borenstein)

$\{\ p(a,x)\}$

$\{\neg p(x,b)\}$

Failure

Neg goal, convert to clauses:
All_x (P(a,x) * ~p(x,b))
P(a,x) * ~p(x,b))
p(a,x)
~p(x,b)
Invalid

Refutation box:
a, b, ~a=b
p(a, b), ~p(b, b),
This make (the empty premises
true and) the conclusion false

Relational Resolution II

$$\{\varphi_1, \dots, \ \varphi, \dots, \varphi_m\}$$

$$\{\psi_1, \dots, \neg \psi, \dots, \psi_n\}$$

$$\{\varphi_1 \tau, \dots, \varphi_m \tau, \psi_1, \dots, \psi_n\} \sigma$$

where $\sigma = mgu(\varphi \tau, \psi)$

where τ is a variable renaming

Example

$$\{\ p(a,x)\}$$

$$\{\neg p(x,b)\}$$

Failure

$$\{\ p(a,y)\}$$

$$\{\neg p(x,b)\}$$

$$\{\} \ \{x \leftarrow a, y \leftarrow b\}$$

Problem Without Renaming

1. $\{r(a,b,u1)\}$ Premise
2. $\{r(b,c,u2)\}$ Premise
3. $\{r(c,d,u3)\}$ Premise
4. $\{r(x,z,f(v)), \neg r(x,y,f(f(v))), \neg r(y,z,f(f(v)))\}$ Premise
5. $\{\neg r(a,d,w)\}$ Goal
6. $\{r(a,z,f(v)), \neg r(b,z,f(f(v)))\}$ 1,4
7. $\{\neg r(b,d,f(f(v)))\}$ 5,6

8. $\{\neg r(a,y,f(f(v))), \neg r(y,d,f(f(v)))\}$ 4,5
9. $\{\neg r(b,d,f(f(v)))\}$ 1,8

Solution With Renaming

1. $\{r(a,b,u1)\}$ Premise
2. $\{r(b,c,u2)\}$ Premise
3. $\{r(c,d,u3)\}$ Premise
4. $\{r(x,z,f(v)), \neg r(x,y,f(f(v))), \neg r(y,z,f(f(v)))\}$ Premise
5. $\{\neg r(a,d,w)\}$ Goal
6. $\{\neg r(a,y6,f(f(v6))), \neg r(y6,d,f(f(v6)))\}$ 4,5
7. $\{\neg r(b,d,f(f(v7)))\}$ 1,6
8. $\{\neg r(b,y8,f(f(f(v8)))), \neg r(y8,d,f(f(f(v8))))\}$ 4,7
9. $\{\neg r(c,d,f(f(f(v9))))\}$ 2,8
10. $\{\}$ 3,9

Problem

$$\{p(x), p(y)\}$$
$$\underline{\{\neg p(u), \neg p(v)\}}$$
$$\{p(y), \neg p(v)\}$$
$$\{p(x), \neg p(v)\}$$
$$\{p(y), \neg p(u)\}$$
$$\{p(x), \neg p(u)\}$$

Factors

If a subset of the literals in a clause Φ has a most general unifier γ, then the clause Φ' obtained by applying γ to Φ is called a *factor* of Φ.

Clause

$$\{p(x),p(f(y)),r(x,y)\}$$

Factors

$$\{p(f(y)),r(f(y),y)\}$$

$$\{p(x),p(f(y)),r(x,y)\}$$

Relational Resolution III (Final Version)

$$\Phi$$

$$\Psi$$

$$\overline{((\Phi'-\{\phi\})\tau \cup (\Psi'-\{\neg\psi\}))\sigma}$$

where $\phi \in \Phi'$, a factor of Φ

where $\neg\psi \in \Psi'$, a factor of Ψ

where $\sigma = mgu(\phi\tau, \psi)$

where τ is a variable renaming

Example

$$\frac{\{p(x),p(y)\}}{\{p(y),\neg p(v)\}}$$

$\{p(x),\neg p(v)\}$

$\{p(y),\neg p(u)\}$

$\{p(x),\neg p(u)\}$

$$\frac{\{p(x)\}}{\{\}}$$

Need for Original Clauses

1. $\{p(a,y), p(x,b)\}$ Premise
2. $\{\neg p(a,d)\}$ Premise
3. $\{\neg p(c,b)\}$ Premise
4. $\{p(x,b)\}$ 1,2
5. $\{\}$ 3,4

1. $\{p(a,y), p(x,b)\}$ Premise
2. $\{\neg p(a,d)\}$ Premise
3. $\{\neg p(c,b)\}$ Premise
4. $\{p(a,b)\}$ Factor of 1

Provability

A *resolution derivation* of a clause ϕ from a set Δ of clauses is a sequence of clauses terminating in ϕ in which each item is
(1) a member of Δ or
(2) the result of applying the resolution to earlier items.

A sentence ϕ is *provable* from a set of sentences Δ by resolution if and only if there is a derivation of the empty clause from the clausal form of $\Delta \cup \{\neg\phi\}$.

A resolution *proof* is a derivation of the empty clause from the clausal form of the premises and the negation of the desired conclusion.

Soundness and Completeness

Metatheorem: Provability using the Relational Resolution Principle is sound and complete for Relational Logic (without equality).