

# Recursive and Recursively Enumerable Languages

COMP 3331



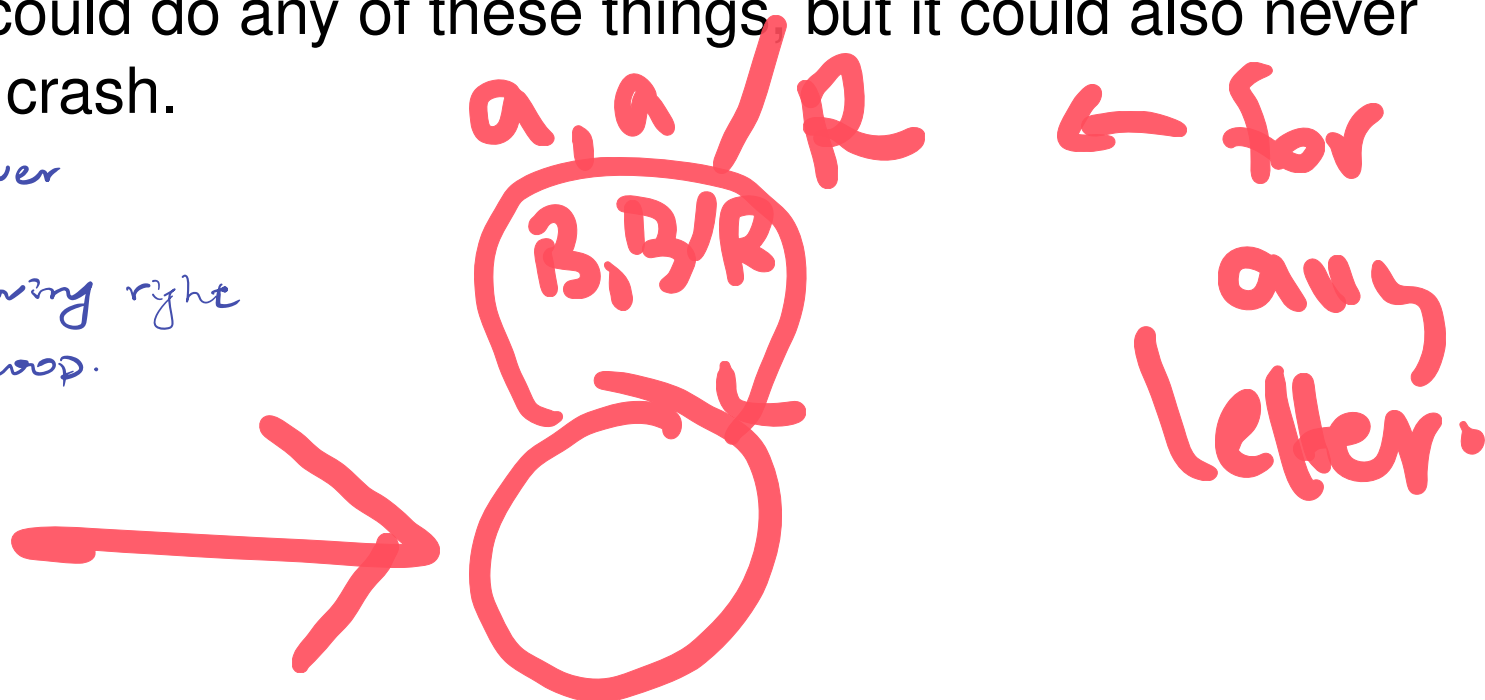
# Outline

- ▶ Acceptance and Recognition by TMs.
- ▶ Recursive and Recursively Enumerable Languages.
- ▶ Closure Properties.

# TMs that never halt

- ▶ With a DFA, NFA or PDA, one of three possibilities always occurred when reading an input word:
  - ▶ We arrive at a final state (empty stack) and accept.
  - ▶ We arrive at a non-final state (non-empty stack) and reject.
  - ▶ There is no further transition, and the devices “crashes”.
- ▶ A TM could do any of these things, but it could also never halt or crash.

this machine never  
crash or halt  
it just keep moving right  
like an infinite loop.



# Acceptance and Recognition

Recall that a language  $L$  is **accepted** by a TM  $M = (Q, \Sigma, \Gamma, \delta, q_0, B, F)$  if

$$L = L(M) = \{w \in \Sigma^* : \exists x_1, x_2 \in \Gamma^*, \overset{\text{final state}}{\underbrace{q_f}} \in F \overset{\text{start}}{\underbrace{q_0}} w \vdash_M^* x_1 q_f x_2\}.$$

We say that a language  $L$  is **recognized** by a TM  $M = (Q, \Sigma, \Gamma, \delta, q_0, B, F)$  if

- (a)  $L = L(M)$ .
- (b) For every word  $w \notin L$ ,  $M$  eventually halts and rejects  $w$ .  
 *$\Sigma^*$  would either accept or halt/crash.*

# Recursive and Recursively Enumerable

- ▶ A language  $L$  is **recursive** if there is a TM  $M$  such that  $L$  is **recognized** by  $M$ . *the one have to stop.*
- ▶ A language  $L$  is **recursively enumerable** (r.e.) if there is a TM  $M$  such that  $L$  is **accepted** by  $M$ . *a more general one.*

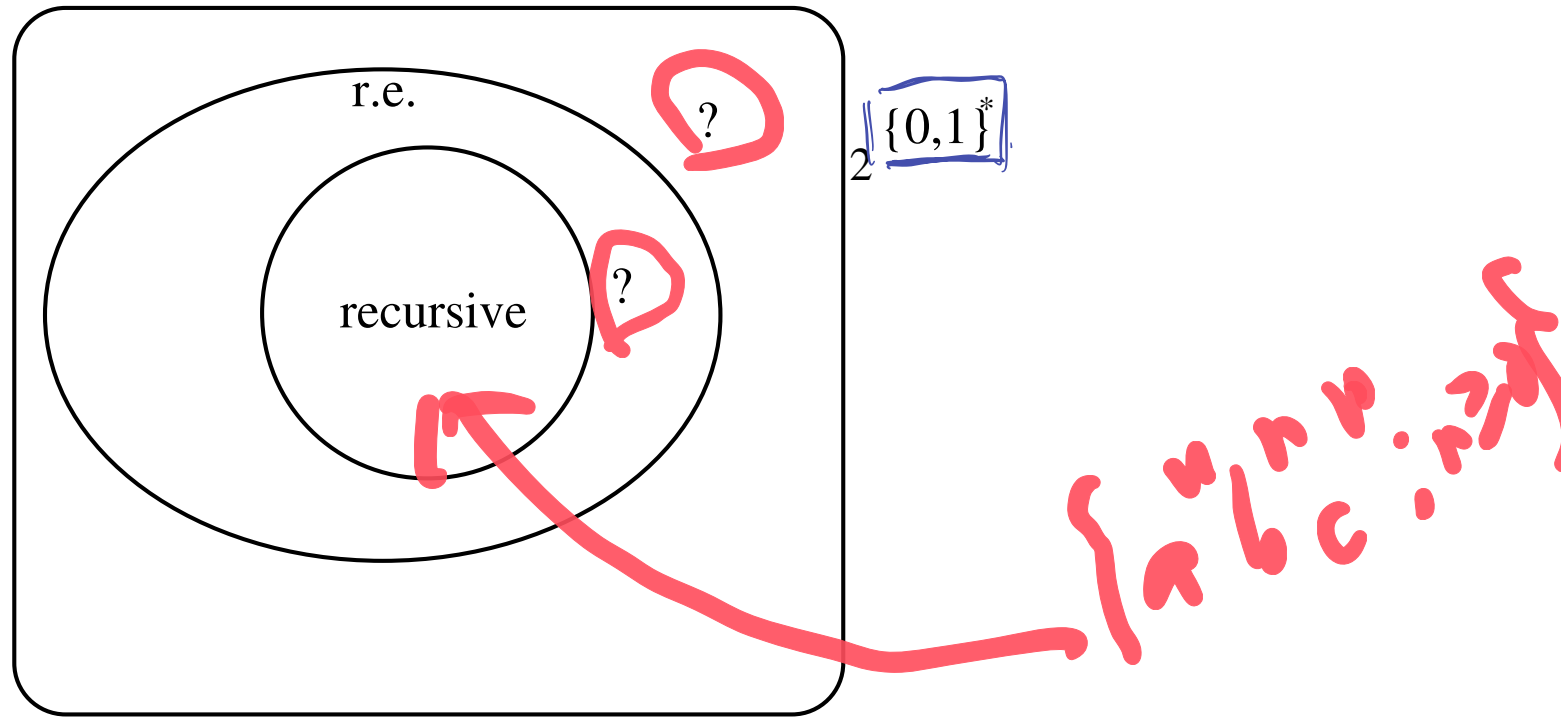
Every recursive language is a recursively enumerable language.

Examples of recursive languages:

- ▶  $L = \{a^n b^n c^n : n \geq 0\}$ . *not CFG, but it is recursive.*
- ▶  $L = \{a^{n!} : n \geq 0\}$ .

Examples of recursively-enumerable-but-not-recursive languages?

# The Situation



- ▶ Our goals: do there exist languages which are r.e. but not recursive?
- ▶ What about languages which are not r.e. ?

# Recursive and r.e. Languages

**Thm.** The recursive (r.e.) languages are closed under union and intersection.

**Proof.** We show that the r.e. languages are closed under union. Let  $M_i$  be TMs for  $L_i$ ,  $i = 1, 2$ . Then we design a 2-tape TM  $M$  such that  $M$  accepts  $L_1 \cup L_2$ :

- ▶ To begin,  $M$  copies the input from tape 1 to tape 2.
- ▶  $M$  then simulates  $M_1$  on the first tape and  $M_2$  on the second tape **in parallel**.
- ▶ When does  $M$  accept?



# Recursive and r.e. Languages

- ▶  $M$  accepts according to the following rules:
  - (a) If  $M_1$  or  $M_2$  crashes, then  $M$  stops simulating that tape and continues.
  - (b) If the second machine crashes, then  $M$  stops and rejects.
  - (c) If  $M_1$  or  $M_2$  accepts,  $M$  stops and accepts.
  - (d) If  $M_1$  and  $M_2$  both do not halt, then  $M$  does not halt.
- ▶ In each of the cases (a)—(d),  $M$  does the right thing.





# Closure under Complement

**Thm.** The recursive languages are closed under complement.

**Proof.** Let  $M$  be a TM. We add a new state  $q_f$  to  $M$ , and perform the following changes:

- ▶ Every accepting state in  $M$  is changed to a non-accepting state.
- ▶ If  $(q, a) \in Q \times \Gamma$  is such that  $\delta(q, a)$  is not defined, we set  $\delta(q, a) = (q_f, a, S)$ .
- ▶  $q_f$  is the only final state of  $M$ .

$w \in L(M)$   
 $q_0 w \vdash_M z_1 z_2 z_3$   
 $q \in F$

$w \notin L(M)$   
 $q_0 w \vdash_n^* z_1 z_2 z_3$   
 $q \notin F$

# Closure under Complement

- ▶ The r.e. languages are not closed under complement (will show later).

**Thm.** If  $L$  is r.e. and  $\bar{L}$  is r.e., then  $L$  is recursive.

- ▶  $L, \bar{L}$  are r.e.: let  $M_1, M_2$  be TMs accepting  $L$  and  $\bar{L}$ .
- ▶ Let  $M$  be a 2-tape TM which simulates  $M_1$  on tape 1 and  $M_2$  on tape 2 **in parallel**.
- ▶ We claim that  $M$  can be made to recognize  $L$ . Why?



# Closure Properties

The recursive and recursively enumerable languages are closed under:

- ▶ union, intersection.
- ▶ complement (recursive only).
- ▶ concatenation and Kleene closure.