



How to Better Transfer the Pre-trained Language Model?

余阳 2022.03.23



Outline

1 |

□ Effectiveness

- Finetuning
- Domain-adaptive finetuning

□ Efficiency

- Prompt-based learning
- Parameter-efficient finetuning

□ Conclusion



Outline

2 |

- Effectiveness
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Finetuning

3

- Pre-train -> Finetune (**NLP Paradigm #3**)

- Some tricks

"The lower layer of the PLM may contain more general information."

- **Layer selection:** select the most effective layer(s) for the downstream task.
- **Layer-wise decreasing learning rate**

$$\theta_t^l = \theta_{t-1}^l - \eta^l \cdot \nabla_{\theta^l} J(\theta)$$

$$\eta^{k-1} = \boxed{\xi} \cdot \eta^k$$

Finetuning

4

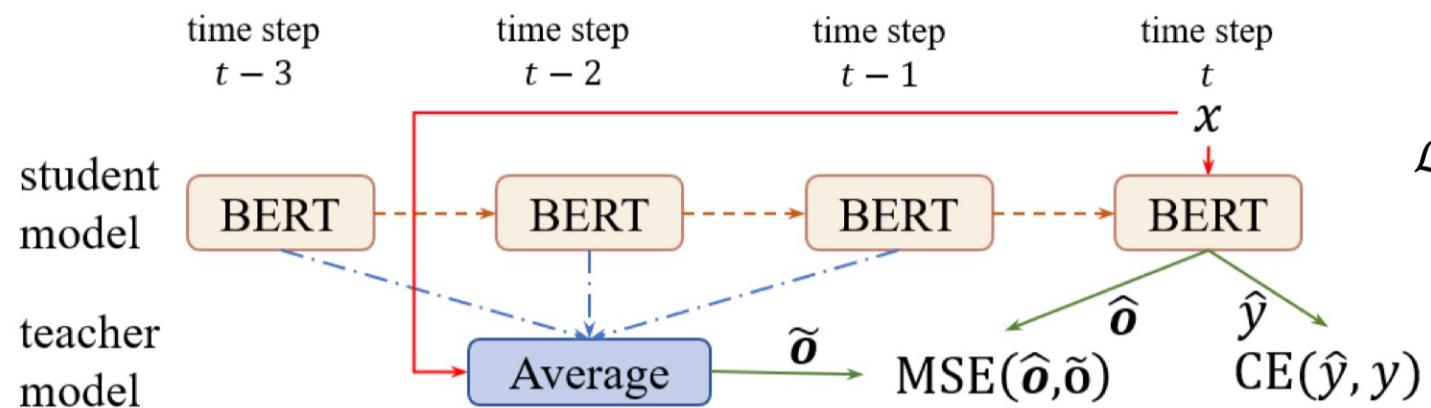
- Some tricks

- **Self-ensemble & Self-distillation:** improve the stability of finetuning.

- **Self-ensemble**

$$\text{BERT}_{\text{SE}}(x; \bar{\theta}) = \text{BERT}(x; \frac{1}{T} \sum_{\tau=1}^T \theta_t)$$

- **Self-distillation**



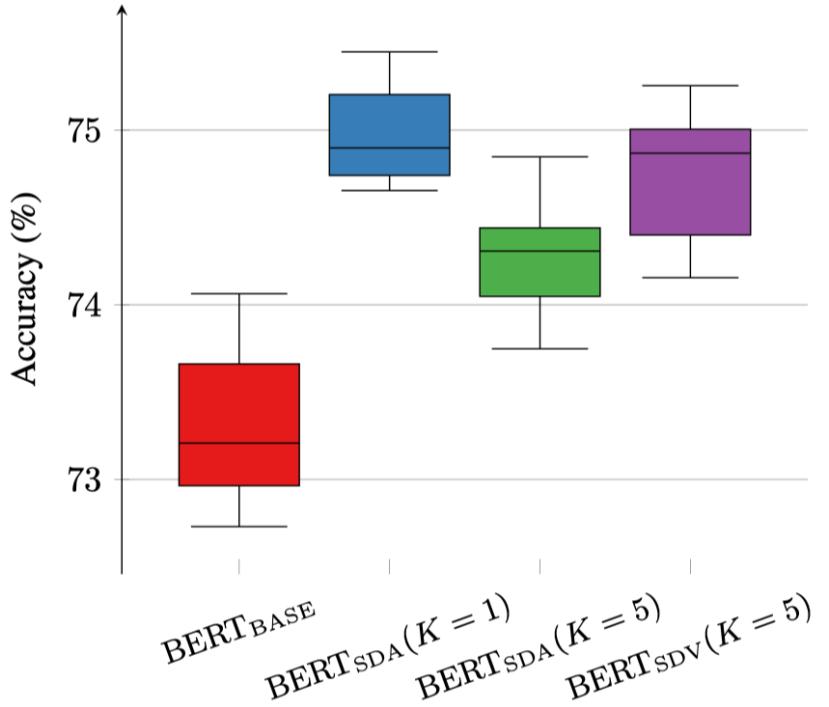
$$\begin{aligned}\mathcal{L}_\theta(x, y) = & \text{CE}\left(\text{BERT}(x, \theta), y\right) \\ & + \lambda \text{MSE}\left(\text{BERT}(x, \theta), \text{BERT}(x, \bar{\theta})\right)\end{aligned}$$

Finetuning

5

- Some tricks

- **Self-ensemble & Self-distillation:** improve the stability of finetuning.





Outline

6

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Domain-adaptive Finetuning

7

- The PLM is usually pre-trained on general corpora.
 - BookCorpus
 - Wikipedia
 - CCNews, OpenWebText, CommonCrawl, etc.
- Narrow the **data distribution gap** between the pre-training data and the downstream task data.

Domain	Pretraining Corpus	# Tokens	Size
BIO MED	2.68M full-text papers from S2ORC (Lo et al., 2020)	7.55B	47GB
CS	2.22M full-text papers from S2ORC (Lo et al., 2020)	8.10B	48GB
NEWS	11.90M articles from REALNEWS (Zellers et al., 2019)	6.66B	39GB
REVIEWS	24.75M AMAZON reviews (He and McAuley, 2016)	2.11B	11GB

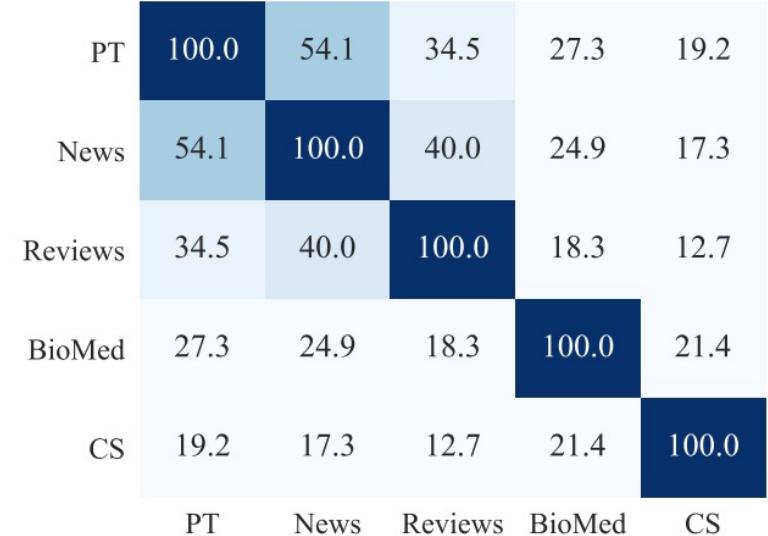


Figure 2: Vocabulary overlap (%) between domains. PT denotes a sample from sources similar to ROBERTA’s pretraining corpus. Vocabularies for each domain are created by considering the top 10K most frequent words (excluding stopwords) in documents sampled from each domain.

Domain-adaptive Finetuning

8

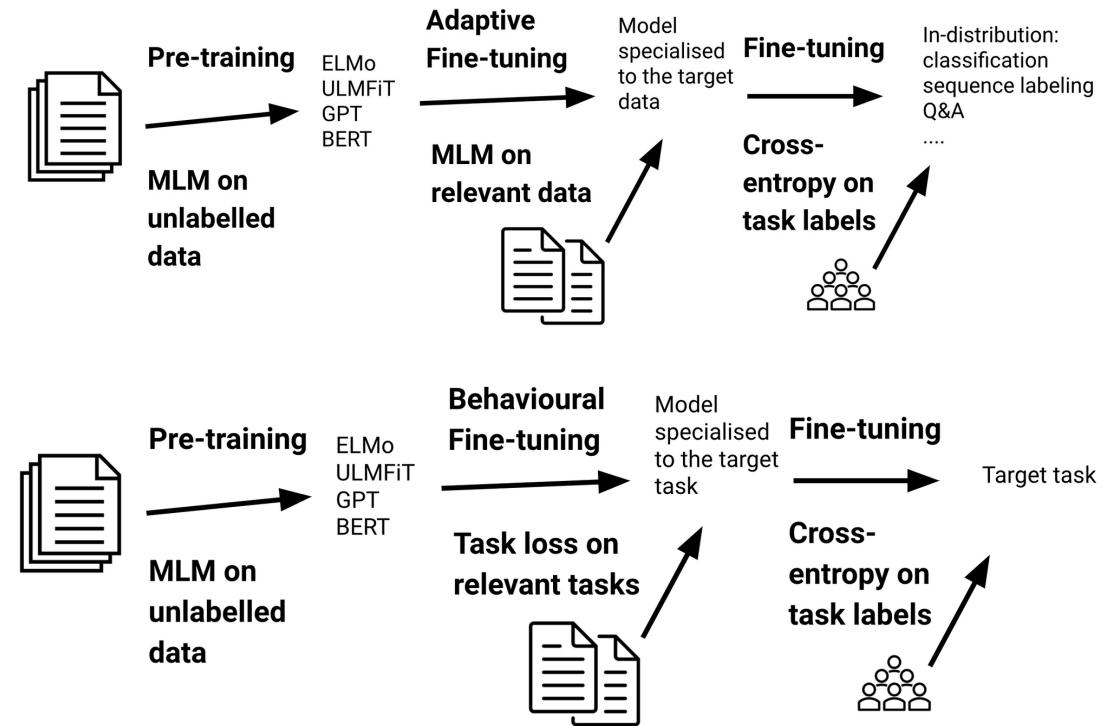
□ Self-supervised task on downstream domain corpora

- Domain-adaptive pre-training (DAPT) / Task-adaptive pre-training (TAPT)
- Contrastive Learning, etc.

□ Supervised relevant task

- Sequential
- Parallel (i.e., multi-task finetuning)

Domain	Task	ROBERTA	Additional Pretraining Phases		
			DAPT	TAPT	DAPT + TAPT
BIOMED	CHEMPROT	81.9 _{1.0}	84.2 _{0.2}	82.6 _{0.4}	84.4 _{0.4}
	†RCT	87.2 _{0.1}	87.6 _{0.1}	87.7 _{0.1}	87.8 _{0.1}
CS	ACL-ARC	63.0 _{5.8}	75.4 _{2.5}	67.4 _{1.8}	75.6 _{3.8}
	SciERC	77.3 _{1.9}	80.8 _{1.5}	79.3 _{1.5}	81.3 _{1.8}
NEWS	HYPERPARTISAN	86.6 _{0.9}	88.2 _{5.9}	90.4 _{5.2}	90.0 _{6.6}
	†AGNEWS	93.9 _{0.2}	93.9 _{0.2}	94.5 _{0.1}	94.6 _{0.1}
REVIEWS	†HELPFULNESS	65.1 _{3.4}	66.5 _{1.4}	68.5 _{1.9}	68.7 _{1.8}
	†IMDB	95.0 _{0.2}	95.4 _{0.1}	95.5 _{0.1}	95.6 _{0.1}





Outline

9

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 - **Prompt-based learning**
 - Parameter-efficient finetuning
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Prompt-based Learning

10

□ Motivation

- The PLM need to be finetuned for every new downstream task.
- Finetuning is costly for extremely large PLMs.
 - E.g., T5 (11B), GPT-3 (175B)
- Finetuning requires thousands to hundred of thousands task-specific examples.
 - Human can perform a new language task with a few examples or simple instructions.

□ Solution

- In-context learning (prompt + demonstration) -> prompt-based learning



Prompt-based Learning

11

The three settings we explore for in-context learning

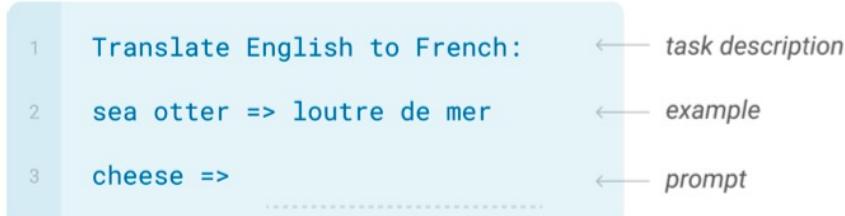
Zero-shot

The model predicts the answer given only a natural language description of the task. No gradient updates are performed.



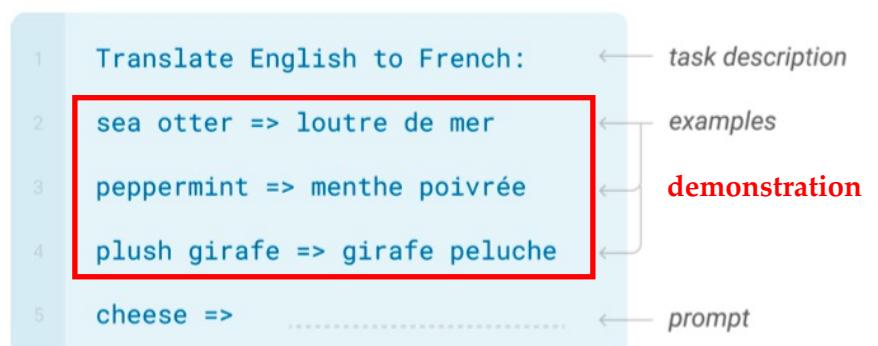
One-shot

In addition to the task description, the model sees a single example of the task. No gradient updates are performed.



Few-shot

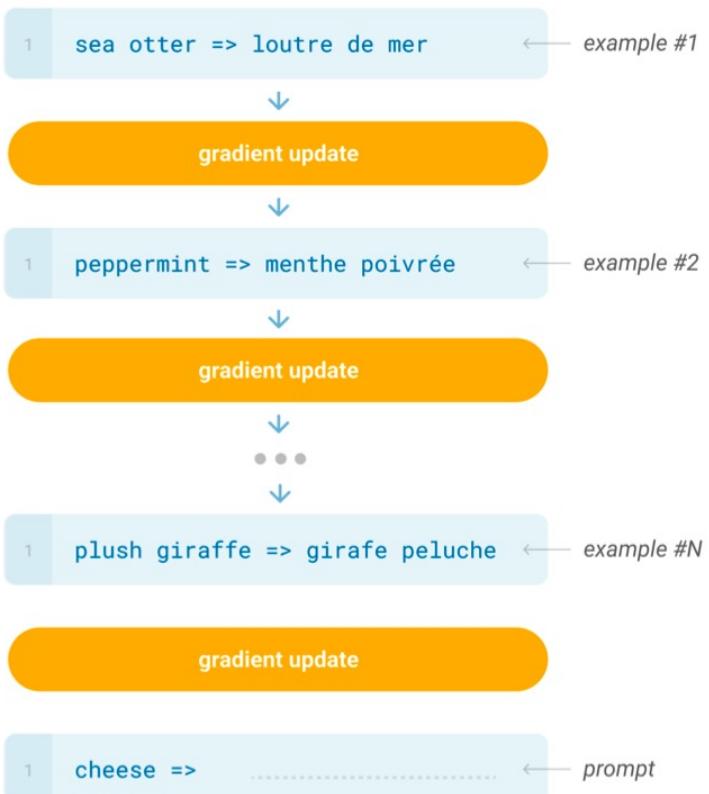
In addition to the task description, the model sees a few examples of the task. No gradient updates are performed.



Traditional fine-tuning (not used for GPT-3)

Fine-tuning

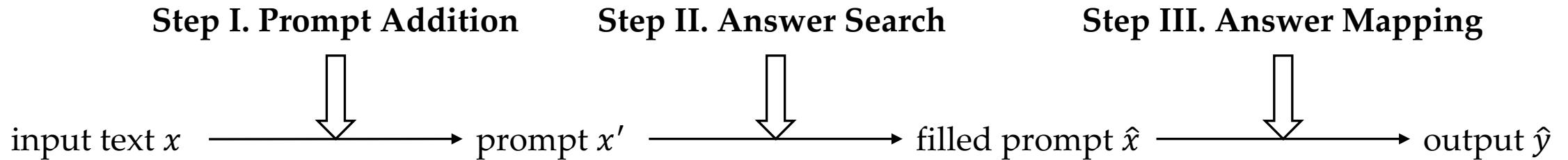
The model is trained via repeated gradient updates using a large corpus of example tasks.



Prompt-based Learning

12

- **Definition:** Prompt is the technique of making better use of the knowledge from the PLM by adding additional texts to the input.
- **Basic framework**





Prompt-based Learning

13

❑ Prompt addition

- ❑ Design a **template** with two slots: input slot [X] and answer slot [Z].
- ❑ Fill slot [X] with the input text x .

❑ E.g., sentiment analysis (**prefix prompt**)

template = "[X] The movie is [Z]."

input text x = "I love this movie."

prompt x' = "I love this movie. The movie is [Z]."

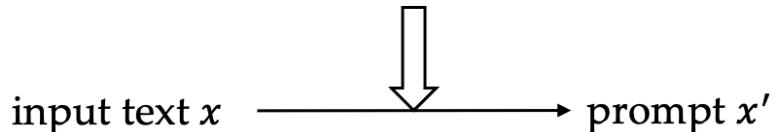
❑ E.g., named entity recognition (**cloze prompt**)

template = "[X1] [X2] is a [Z] entity."

input text [X1] = "Mike went to Paris." [X2] = "Paris"

prompt x' = "Mike went to Paris. Paris is a [Z] entity."

Step I. Prompt Addition





Prompt-based Learning

14

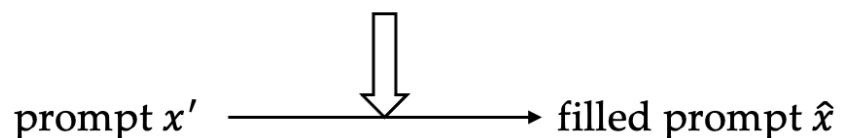
□ Answer search

- Search for text $\hat{z} \in \mathcal{Z}$ that maximize the score of a PLM $P(\cdot; \theta)$.

$$\hat{z} = \underset{z \in \mathcal{Z}}{\text{search}} P(f_{\text{fill}}(\mathbf{x}', z); \theta)$$

- \mathcal{Z} can be the entire vocabulary set, or a small subset specific to the target task.
- The search function can be implemented as *argmax* or *sampling*.
- E.g., $\mathcal{Z} = \{"\text{excellent}", "\text{good}", "\text{OK}", "\text{bad}", "\text{horrible}"\}$ for sentiment analysis.

Step II. Answer Search



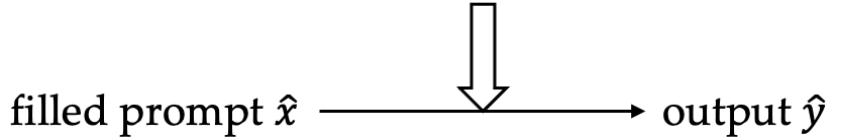
Prompt-based Learning

15

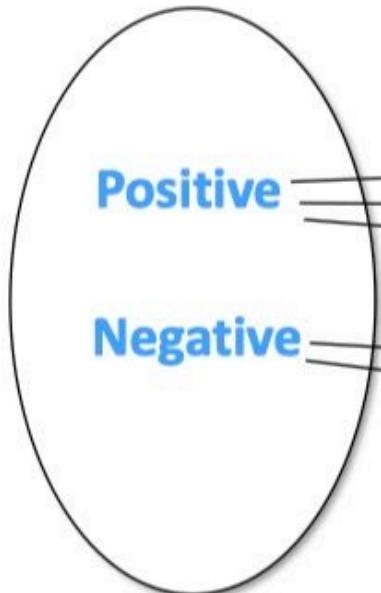
□ Answer mapping

- Map the answer \hat{z} to the output \hat{y} .
- Multiple answers can result in the same output.

Step III. Answer Mapping



Label Space (Y)



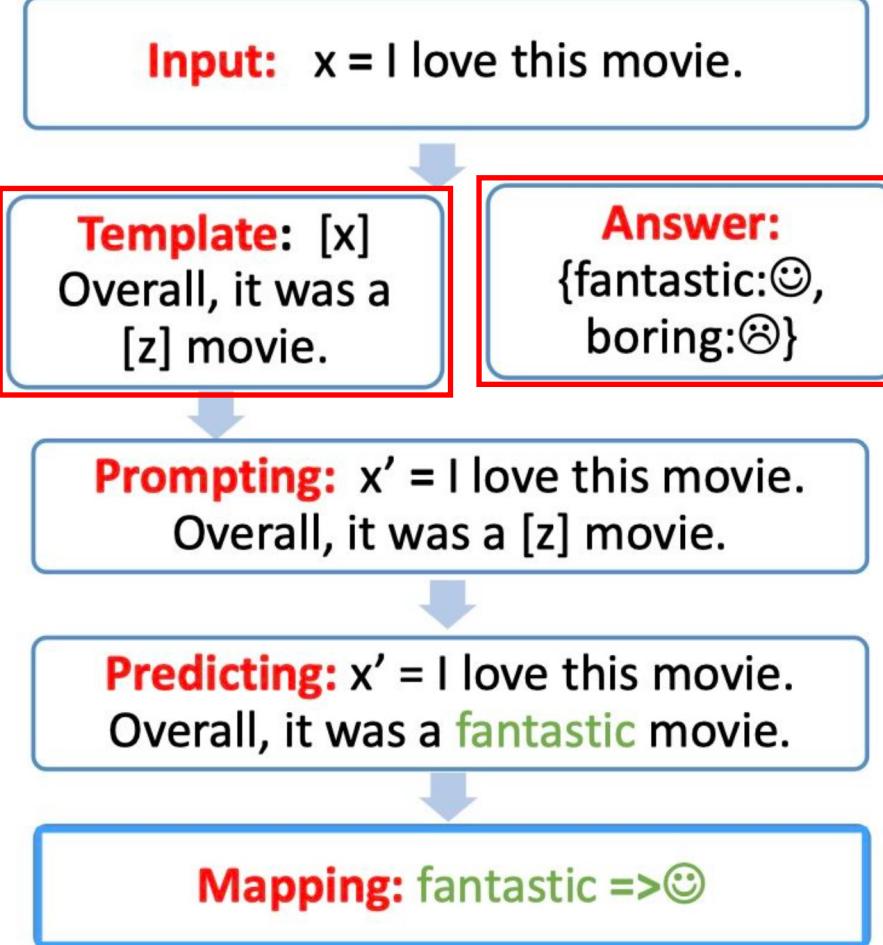
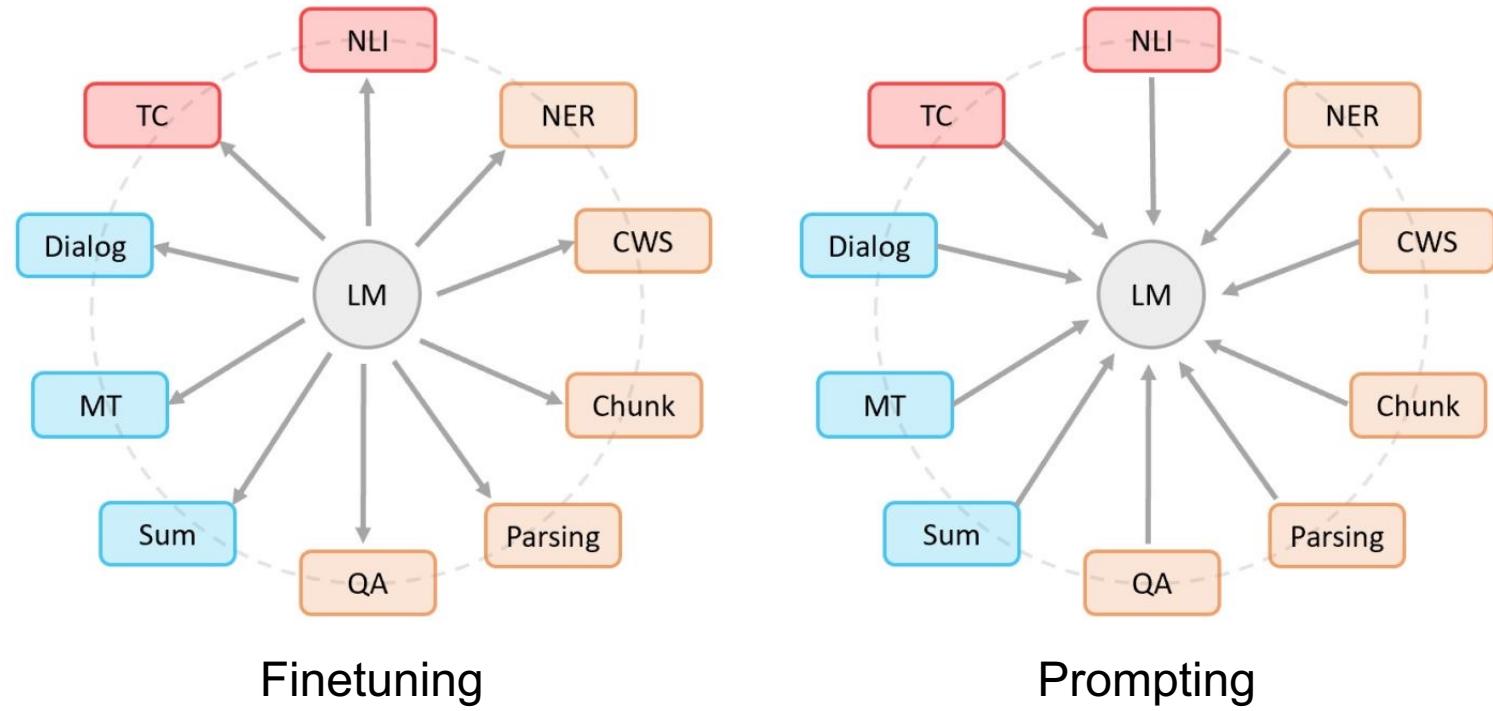
Answer Space (Z)



Prompt-based Learning

16

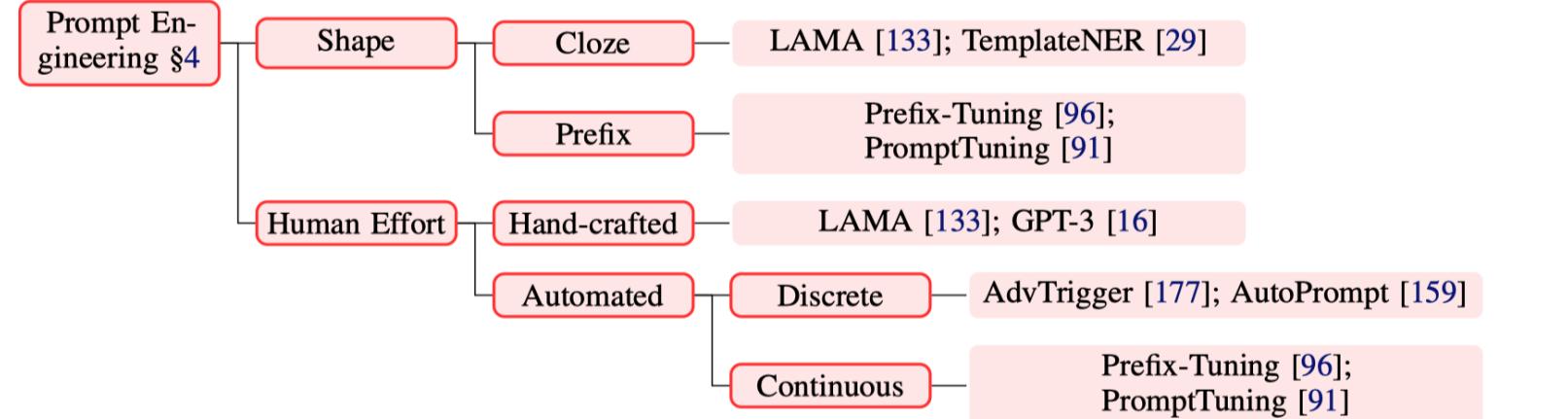
- Pre-train -> Prompt -> Predict (**NLP Paradigm #4**)
- Narrow the gap between the pre-training task and the downstream task.



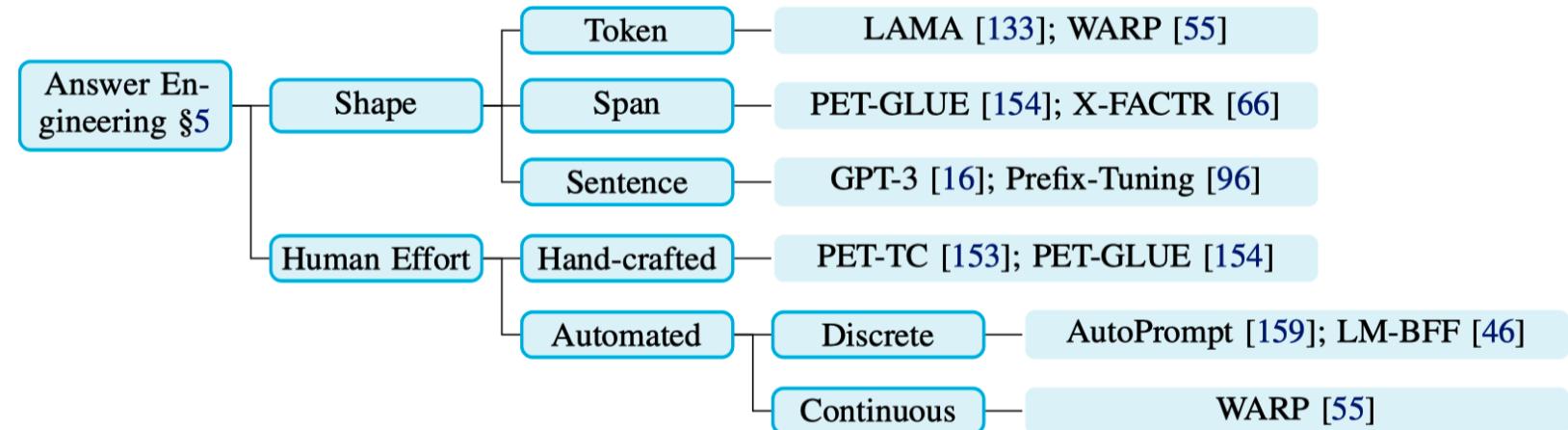
Prompt-based Learning

17

- Human efforts
 - Prompt engineering



- Answer engineering



Prompt-based Learning

18

- Human efforts
 - Prompt-based training strategies

Strategy	LM Params	Prompt Params		Example
		Additional	Tuned	
Promptless Fine-tuning	Tuned	-	-	ELMo [130], BERT [32], BART [94]
Tuning-free Prompting	Frozen	✗	✗	GPT-3 [16], AutoPrompt [159], LAMA [133]
Fixed-LM Prompt Tuning	Frozen	✓	Tuned	Prefix-Tuning [96], Prompt-Tuning [91]
Fixed-prompt LM Tuning	Tuned	✗	✗	PET-TC [153], PET-Gen [152], LM-BFF [46]
Prompt+LM Fine-tuning	Tuned	✓	Tuned	PADA [8], P-Tuning [103], PTR [56]

zero-shot

few-shot

Prompt-based Learning

19

- Making Pre-trained Language Models Better Few-shot Learners. (ACL 2021)
 - "Finding the right prompts, however, is an art – requiring both domain expertise and an understanding of the language model's inner workings."

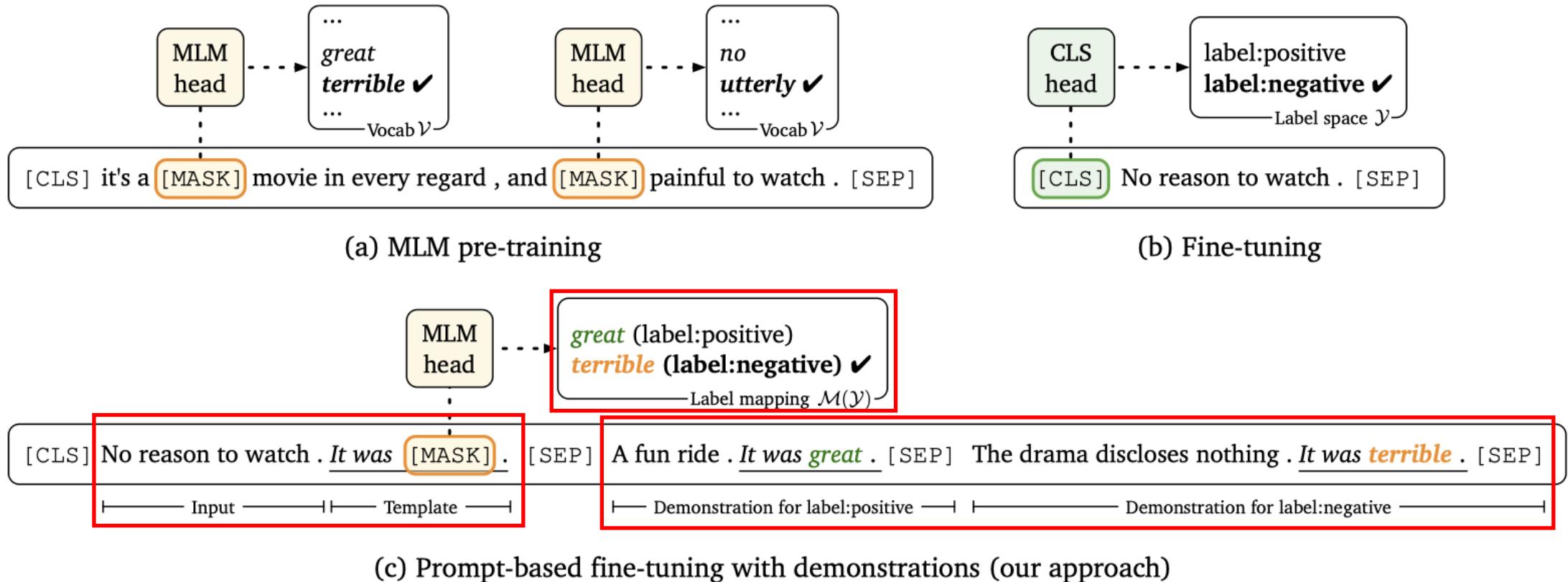
Template	Label words	Accuracy
SST-2 (positive/negative)		mean (std)
< S_1 > It was [MASK] .	great/terrible	92.7 (0.9)
< S_1 > It was [MASK] .	good/bad	92.5 (1.0)
< S_1 > It was [MASK] .	cat/dog	91.5 (1.4)
< S_1 > It was [MASK] .	dog/cat	86.2 (5.4)
< S_1 > It was [MASK] .	terrible/great	83.2 (6.9)
Fine-tuning	-	81.4 (3.8)
SNLI (entailment/neutral/contradiction)		mean (std)
< S_1 > ? [MASK] , < S_2 >	Yes/Maybe/No	77.2 (3.7)
< S_1 > . [MASK] , < S_2 >	Yes/Maybe/No	76.2 (3.3)
< S_1 > ? [MASK] < S_2 >	Yes/Maybe/No	74.9 (3.0)
< S_1 > < S_2 > [MASK]	Yes/Maybe/No	65.8 (2.4)
< S_2 > ? [MASK] , < S_1 >	Yes/Maybe/No	62.9 (4.1)
< S_1 > ? [MASK] , < S_2 >	Maybe/No/Yes	60.6 (4.8)
Fine-tuning	-	48.4 (4.8)



Prompt-based Learning

20

□ Making Pre-trained Language Models Better Few-shot Learners. (ACL 2021)





Prompt-based Learning

21

- Making Pre-trained Language Models Better Few-shot Learners. (ACL 2021)
 - Automatic selection of label words (given a fixed template \mathcal{T})
 - For each class c , select top k words that maximize the total probability of D_{train}^c using the initial PLM.

$$\text{Top-}k \left\{ \sum_{x_{in} \in \mathcal{D}_{train}^c} \log P_{\mathcal{L}}([\text{MASK}] = v \mid \mathcal{T}(x_{in})) \right\}$$

- Further find the top n words that maximize zero-shot accuracy on D_{train} .
- Finetune all top n assignments and select the best one on D_{dev} .

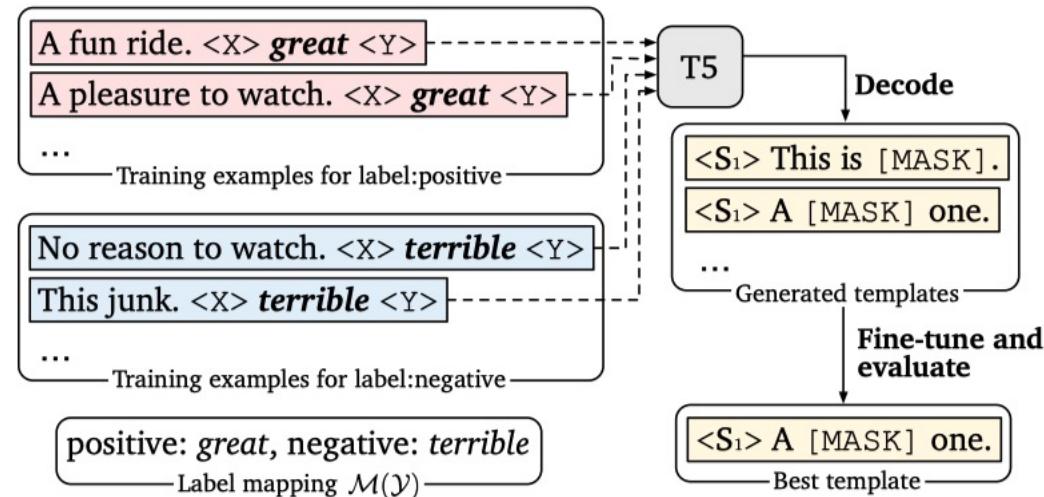
Prompt-based Learning

22

- Making Pre-trained Language Models Better Few-shot Learners. (ACL 2021)
 - Automatic generation of templates (given a fixed set of label words $\mathcal{M}(\mathcal{Y})$)
 - Conduct simple conversions to each training sample $(x_{in}, y) \in D_{train}$.

$\langle S_1 \rangle \rightarrow \langle X \rangle \mathcal{M}(y) \langle Y \rangle \langle S_1 \rangle,$
 $\langle S_1 \rangle \rightarrow \langle S_1 \rangle \langle X \rangle \mathcal{M}(y) \langle Y \rangle,$
 $\langle S_1 \rangle, \langle S_2 \rangle \rightarrow \langle S_1 \rangle \langle X \rangle \mathcal{M}(y) \langle Y \rangle \langle S_2 \rangle.$

- Use T5 to fill in missing spans.
- Use beam search to decode multiple templates.
- Finetune each generated templated on D_{train} and select the best one on D_{dev} .



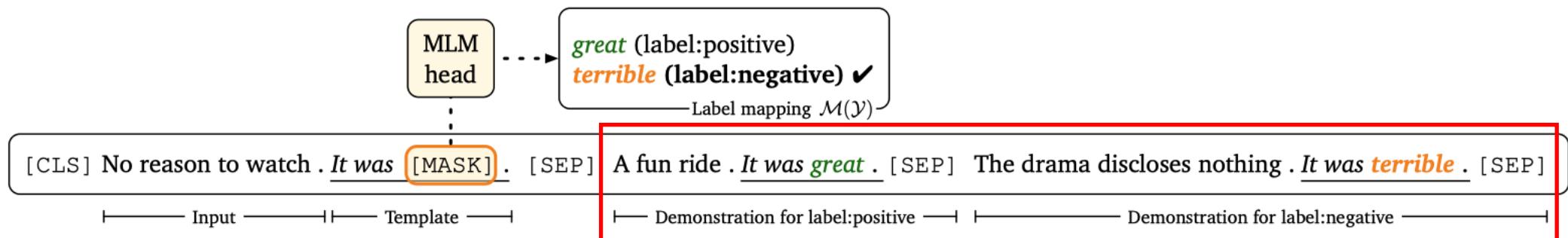
Prompt-based Learning

23

□ Making Pre-trained Language Models Better Few-shot Learners. (ACL 2021)

□ Finetune with demonstrations

- Use the pre-trained SBERT to generate the embeddings of each training sample $x_{in}^{(c)}$.
- Randomly sample one example $(x_{in}^{(c)}, y^{(c)})$ from the top 50% samples that most similar to x_{in} .
- Convert to filled prompt $\tilde{T}(x_{in}^{(c)}, y^{(c)})$ and concatenate with x_{in} .



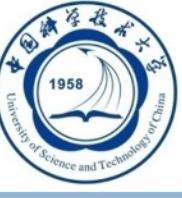


Prompt-based Learning

24

□ Making Pre-trained Language Models Better Few-shot Learners. (ACL 2021)

Task	Auto template	Auto label words
SST-2	(positive/negative) < S_1 > A [MASK] one . < S_1 > A [MASK] piece . < S_1 > All in all [MASK] .	irresistible/pathetic wonderful/bad delicious/bad
SST-5	(very positive/positive/neutral/negative/very negative) < S_1 > The movie is [MASK] . < S_1 > The music is [MASK] . < S_1 > But it is [MASK] .	wonderful/remarkable/hilarious/better/awful wonderful/perfect/hilarious/better/awful unforgettable/extraordinary/good/better/terrible
MR	(positive/negative) It was [MASK] ! < S_1 > < S_1 > It's [MASK] . < S_1 > A [MASK] piece of work .	epic/terrible epic/awful exquisite/horrible
CR	(positive/negative) < S_1 > It's [MASK] ! < S_1 > The quality is [MASK] . < S_1 > That is [MASK] .	fantastic/horrible neat/pointless magnificent/unacceptable



Prompt-based Learning

25

□ Making Pre-trained Language Models Better Few-shot Learners. (ACL 2021)

	SST-2 (acc)	SST-5 (acc)	MR (acc)	CR (acc)	MPQA (acc)	Subj (acc)	TREC (acc)	CoLA (Matt.)
Majority [†]	50.9	23.1	50.0	50.0	50.0	50.0	18.8	0.0
Prompt-based zero-shot [‡]	83.6	35.0	80.8	79.5	67.6	51.4	32.0	2.0
“GPT-3” in-context learning	84.8 (1.3)	30.6 (0.9)	80.5 (1.7)	87.4 (0.8)	63.8 (2.1)	53.6 (1.0)	26.2 (2.4)	-1.5 (2.4)
Fine-tuning	81.4 (3.8)	43.9 (2.0)	76.9 (5.9)	75.8 (3.2)	72.0 (3.8)	90.8 (1.8)	88.8 (2.1)	33.9 (14.3)
Prompt-based FT (man) + demonstrations	92.7 (0.9)	47.4 (2.5)	87.0 (1.2)	90.3 (1.0)	84.7 (2.2)	91.2 (1.1)	84.8 (5.1)	9.3 (7.3)
Prompt-based FT (auto) + demonstrations	92.3 (1.0)	49.2 (1.6)	85.5 (2.8)	89.0 (1.4)	85.8 (1.9)	91.2 (1.1)	88.2 (2.0)	14.0 (14.1)
Fine-tuning (full) [†]	95.0	58.7	90.8	89.4	87.8	97.0	97.4	62.6
	MNLI (acc)	MNLI-mm (acc)	SNLI (acc)	QNLI (acc)	RTE (acc)	MRPC (F1)	QQP (F1)	STS-B (Pear.)
Majority [†]	32.7	33.0	33.8	49.5	52.7	81.2	0.0	-
Prompt-based zero-shot [‡]	50.8	51.7	49.5	50.8	51.3	61.9	49.7	-3.2
“GPT-3” in-context learning	52.0 (0.7)	53.4 (0.6)	47.1 (0.6)	53.8 (0.4)	60.4 (1.4)	45.7 (6.0)	36.1 (5.2)	14.3 (2.8)
Fine-tuning	45.8 (6.4)	47.8 (6.8)	48.4 (4.8)	60.2 (6.5)	54.4 (3.9)	76.6 (2.5)	60.7 (4.3)	53.5 (8.5)
Prompt-based FT (man) + demonstrations	68.3 (2.3)	70.5 (1.9)	77.2 (3.7)	64.5 (4.2)	69.1 (3.6)	74.5 (5.3)	65.5 (5.3)	71.0 (7.0)
Prompt-based FT (auto) + demonstrations	70.7 (1.3)	72.0 (1.2)	79.7 (1.5)	69.2 (1.9)	68.7 (2.3)	77.8 (2.0)	69.8 (1.8)	73.5 (5.1)
Fine-tuning (full) [†]	89.8	89.5	92.6	93.3	80.9	91.4	81.7	91.9



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26

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Parameter-efficient Finetuning

27

- **Motivation:** Finetuning the entire PLM is parameter inefficient.
- **Solution:** Fix the PLM and only finetune a few additional parameters.
 - Adapter
 - Prefix-tuning & Prompt-tuning
 - Low-rank adaptation

Parameter-efficient Finetuning

28

□ Parameter-Efficient Transfer Learning for NLP (ICML 2019)

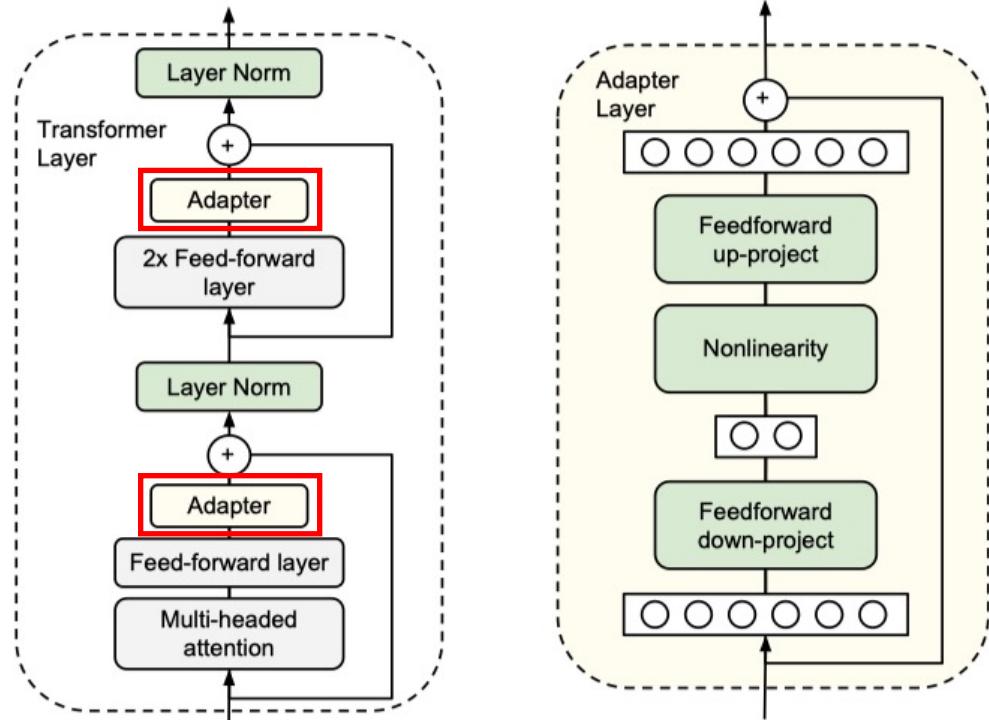
- Add some small adapter modules between layers of the PLM.
- A new set of adapters are added and finetuned for every new task.
- Adapter modules

□ small number of parameters

- origin dimension d , projection dimension m
- total number of parameters = $2md + d + m$

□ near-identity initialization

- skip-connection
- near-zero initialization for projection layers



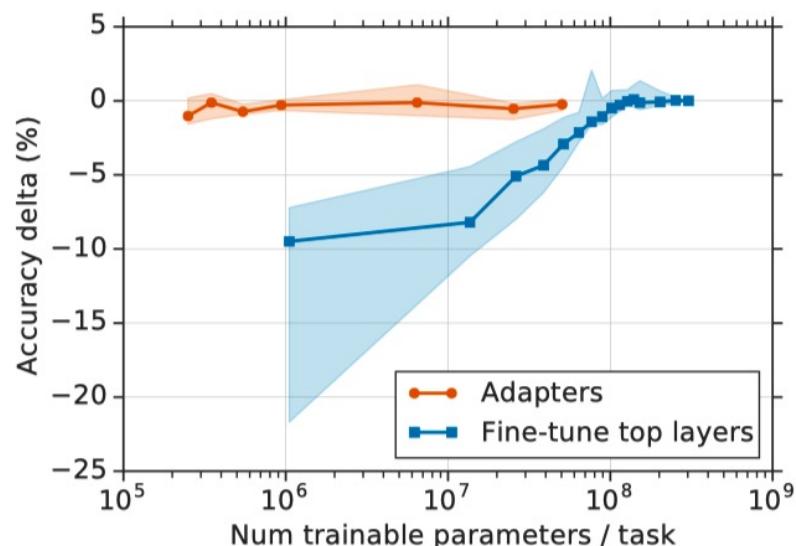
Parameter-efficient Finetuning

29

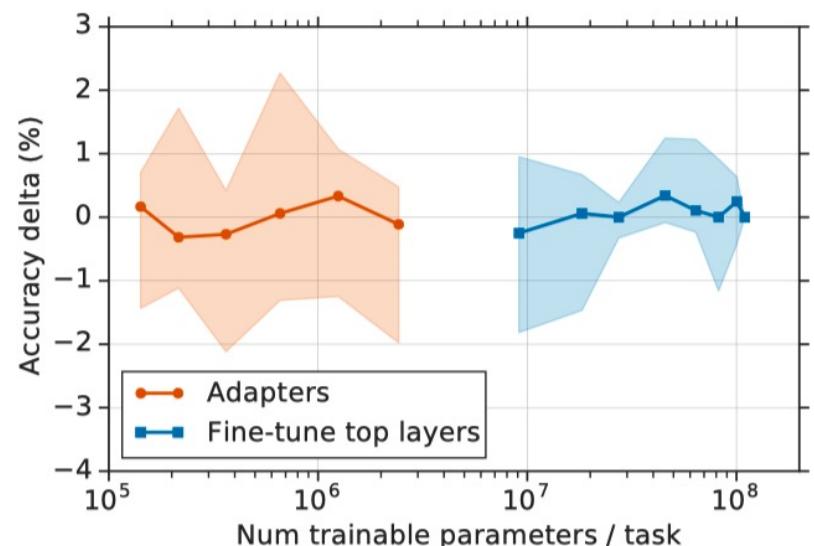
□ Parameter-Efficient Transfer Learning for NLP (ICML 2019)

	Total num params	Trained params / task	CoLA	SST	MRPC	STS-B	QQP	MNLI _m	MNLI _{mm}	QNLI	RTE	Total
BERT _{LARGE}	9.0×	100%	60.5	94.9	89.3	87.6	72.1	86.7	85.9	91.1	70.1	80.4
Adapters (8-256)	1.3×	3.6%	59.5	94.0	89.5	86.9	71.8	84.9	85.1	90.7	71.5	80.0
Adapters (64)	1.2×	2.1%	56.9	94.2	89.6	87.3	71.8	85.3	84.6	91.4	68.8	79.6

GLUE (BERT_{LARGE})



Additional Tasks (BERT_{BASE})



Parameter-efficient Finetuning

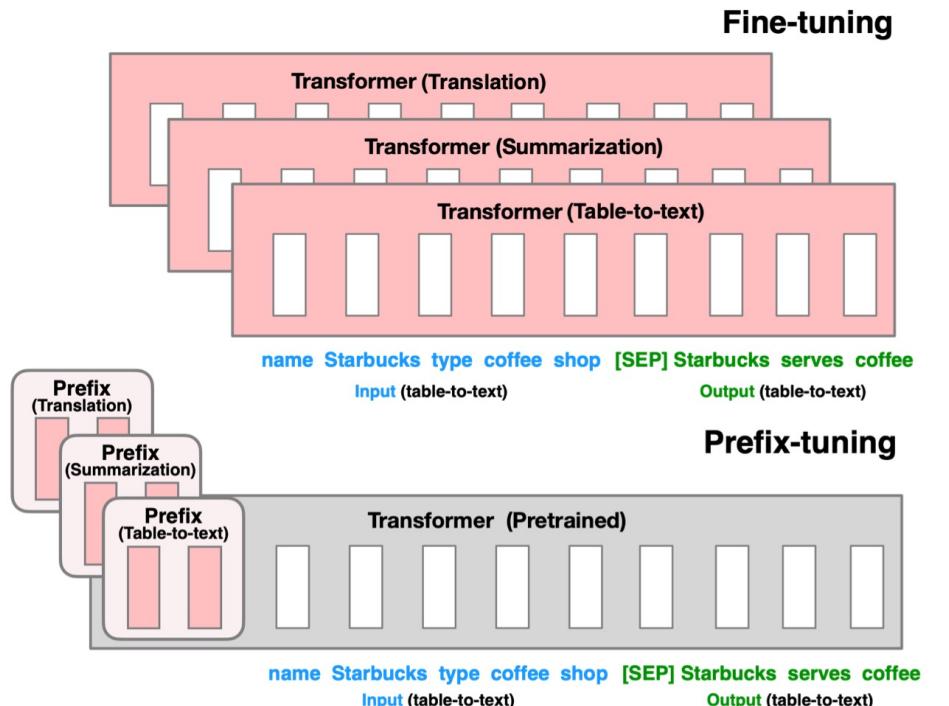
30

❑ Prefix-Tuning

- ❑ Inspired by prompting: having a proper context can steer the LM without changing its parameters.
- ❑ Optimize a small **continuous** task-specific vector (called the **prefix**).

$$h_i = \begin{cases} P_\theta[i, :], & \text{if } i \in \mathcal{P}_{\text{idx}}, \\ \text{LM}_\phi(z_i, h_{<i}), & \text{otherwise.} \end{cases}$$

$$P_\theta[i, :] = \text{MLP}_\theta(P'_\theta[i, :])$$

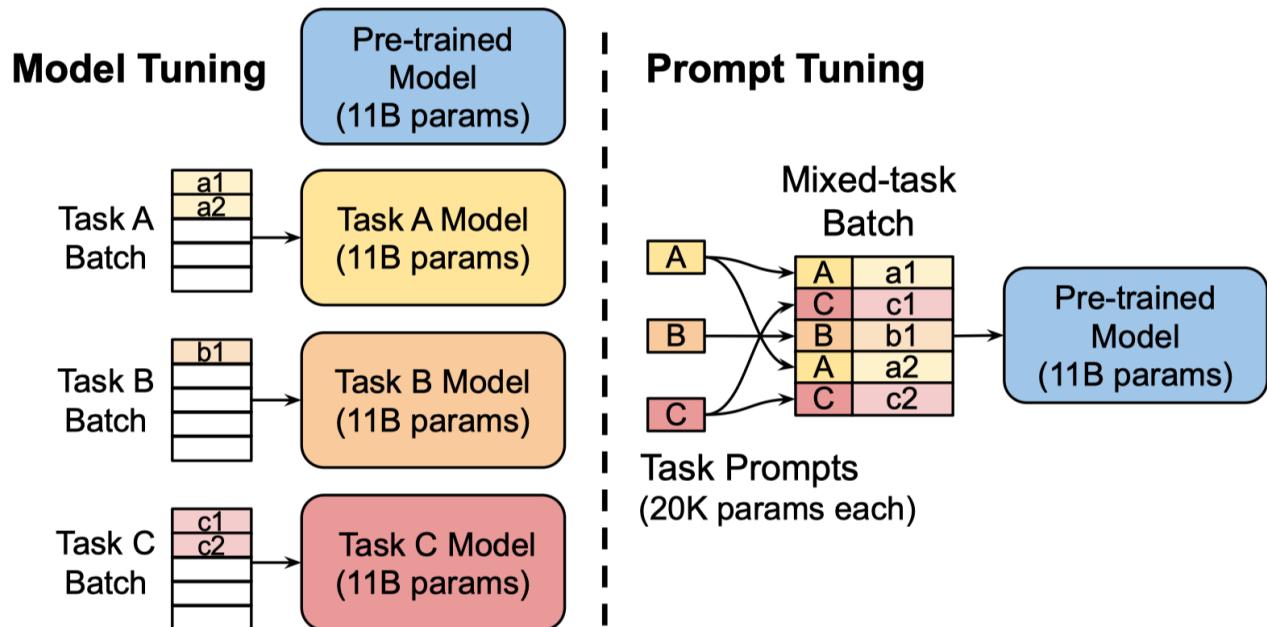


Parameter-efficient Finetuning

31

□ Prompt-Tuning

- A simplification of prefix-tuning.
- Only allow an additional k tunable tokens per downstream task to be prepended to the input text.



Parameter-efficient Finetuning

32

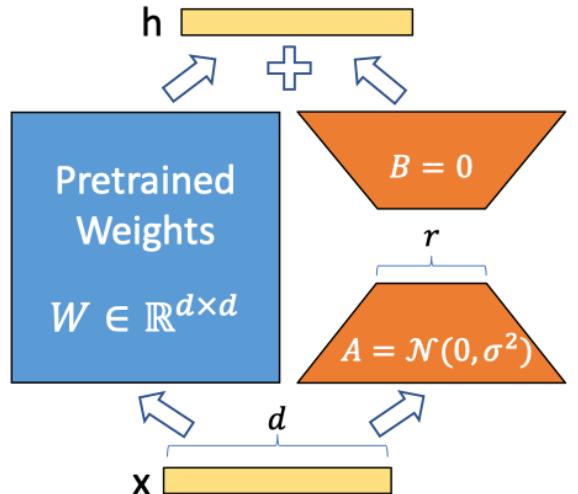
□ LoRA: Low-Rank Adaptation of Language Models (2021)

- Hypothesize: The change in weights during model adaptation has a low "intrinsic rank".
- Inject trainable rank decomposition matrices into each layer of the PLM.

$$W_0 + \Delta W = W_0 + BA$$

$$W_0 \in \mathbb{R}^{d \times k} \quad A \in \mathbb{R}^{r \times k} \quad B \in \mathbb{R}^{d \times r}$$

- Only adapt the attention weights (i.e., W_q, W_k, W_v, W_o).
- No additional inference latency.
- Cannot put samples of different tasks into the same batch.





Parameter-efficient Finetuning

33

□ Low-Rank Adaptation of Language Models (2021)

Model & Method	# Trainable Parameters	MNLI	SST-2	MRPC	CoLA	QNLI	QQP	RTE	STS-B	Avg.
RoB _{base} (FT)*	125.0M	87.6	94.8	90.2	63.6	92.8	91.9	78.7	91.2	86.4
RoB _{base} (BitFit)*	0.1M	84.7	93.7	92.7	62.0	91.8	84.0	81.5	90.8	85.2
RoB _{base} (Adpt ^D)*	0.3M	87.1 _{±.0}	94.2 _{±.1}	88.5 _{±1.1}	60.8 _{±.4}	93.1 _{±.1}	90.2 _{±.0}	71.5 _{±2.7}	89.7 _{±.3}	84.4
RoB _{base} (Adpt ^D)*	0.9M	87.3 _{±.1}	94.7 _{±.3}	88.4 _{±.1}	62.6 _{±.9}	93.0 _{±.2}	90.6 _{±.0}	75.9 _{±2.2}	90.3 _{±.1}	85.4
RoB _{base} (LoRA)	0.3M	87.5 _{±.3}	95.1 _{±.2}	89.7 _{±.7}	63.4 _{±1.2}	93.3 _{±.3}	90.8 _{±.1}	86.6 _{±.7}	91.5 _{±.2}	87.2
RoB _{large} (FT)*	355.0M	90.2	96.4	90.9	68.0	94.7	92.2	86.6	92.4	88.9
RoB _{large} (LoRA)	0.8M	90.6 _{±.2}	96.2 _{±.5}	90.9 _{±1.2}	68.2 _{±1.9}	94.9 _{±.3}	91.6 _{±.1}	87.4 _{±2.5}	92.6 _{±.2}	89.0
RoB _{large} (Adpt ^P)†	3.0M	90.2 _{±.3}	96.1 _{±.3}	90.2 _{±.7}	68.3 _{±1.0}	94.8 _{±.2}	91.9 _{±.1}	83.8 _{±2.9}	92.1 _{±.7}	88.4
RoB _{large} (Adpt ^P)†	0.8M	90.5 _{±.3}	96.6 _{±.2}	89.7 _{±1.2}	67.8 _{±2.5}	94.8 _{±.3}	91.7 _{±.2}	80.1 _{±2.9}	91.9 _{±.4}	87.9
RoB _{large} (Adpt ^H)†	6.0M	89.9 _{±.5}	96.2 _{±.3}	88.7 _{±2.9}	66.5 _{±4.4}	94.7 _{±.2}	92.1 _{±.1}	83.4 _{±1.1}	91.0 _{±1.7}	87.8
RoB _{large} (Adpt ^H)†	0.8M	90.3 _{±.3}	96.3 _{±.5}	87.7 _{±1.7}	66.3 _{±2.0}	94.7 _{±.2}	91.5 _{±.1}	72.9 _{±2.9}	91.5 _{±.5}	86.4
RoB _{large} (LoRA)†	0.8M	90.6 _{±.2}	96.2 _{±.5}	90.2 _{±1.0}	68.2 _{±1.9}	94.8 _{±.3}	91.6 _{±.2}	85.2 _{±1.1}	92.3 _{±.5}	88.6
DeB _{XXL} (FT)*	1500.0M	91.8	97.2	92.0	72.0	96.0	92.7	93.9	92.9	91.1
DeB _{XXL} (LoRA)	4.7M	91.9 _{±.2}	96.9 _{±.2}	92.6 _{±.6}	72.4 _{±1.1}	96.0 _{±.1}	92.9 _{±.1}	94.9 _{±.4}	93.0 _{±.2}	91.3



Outline

34

- Effectiveness
 - Finetuning
 - Domain-adaptive finetuning
- Efficiency
 - Prompt-based learning
 - Parameter-efficient finetuning
- Conclusion



Conclusion

35

- **Finetuning** requires carefully selected learning rate and output layers.
- **Domain-adaptive finetuning** is usually helpful when applying the PLM to a new downstream domain.
- **Prompt-based learning** is a hot new paradigm with little theoretical analysis. How to design better templates and answers is still an open question.
- **Parameter-efficient finetuning** is also a hot research topic due to its high efficiency and applicability in few-shot settings.



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36

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Thanks

Q&A