Name: Student number:

# COMP9417 Machine Learning and Data Mining Mid-session Examination: 18s1 SAMPLE QUESTIONS

Your Name and Student number	must appear at	the head of	f this page.
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Duration of the exam: 1 hour.

This examination has **five** questions. Answer **all** questions.

Total marks available in the exam: 40.

Multiple-choice questions may require more than one answer.

Show all working in your script book.

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# Question 1 [Total marks: 10]

### $Supervised\ Learning\ -\ Regression$

- A) [2 marks] Variance is a useful measure of the scatter or *spread* of values of some random variable X around its mean E(X). Variance can be remembered as the "mean of the squares minus the square of the mean", but which of the following is the correct definition of variance?
- (1)  $E(X^2 E(X))$
- (2)  $E(X E(X))^2$
- (3)  $E(X^2 E(X))^2$
- (4)  $E(E(X^2) E(X))$
- (5)  $E(E(X^2) E(X))^2$

**ANSWER:** (2)  $E(X - E(X))^2$ 

- B) [2 marks] The sum of the residuals (i.e., the differences between the actual and predicted values of the linear regression function) for the least-squares solution is:
- (1) negative
- (2) zero
- (3) positive
- (4) non-negative
- (5) non-positive

ANSWER: (2) zero (see lecture "Supervised Learning – Regression", slide 60)

- C) [2 marks] Covariance of two random variables x, y is determined in relation to their differences from their respective means  $\bar{x}$ ,  $\bar{y}$ . Covariance is observed when, for all instances  $x_i$ ,  $y_i$  of the random variables:
- (1)  $x_i < \bar{x}, y_i > \bar{y} \text{ or } x_i < \bar{x}, y_i < \bar{y}$
- (2)  $x_i < \bar{x}, y_i < \bar{y} \text{ or } x_i < \bar{x}, y_i > \bar{y}$
- (3)  $x_i > \bar{x}, y_i > \bar{y} \text{ or } x_i > \bar{x}, y_i < \bar{y}$
- (4)  $x_i < \bar{x}, y_i < \bar{y} \text{ or } x_i > \bar{x}, y_i > \bar{y}$
- (5)  $x_i > \bar{x}, y_i < \bar{y} \text{ or } x_i > \bar{x}, y_i > \bar{y}$

**ANSWER:** (4)  $x_i < \bar{x}, y_i < \bar{y} \text{ or } x_i > \bar{x}, y_i > \bar{y}$ 

- **D)** [2 marks] Which of the following statements about the correlation of two random variables x, y is true?
- (1) positive correlation between x and y means x causes y
- (2) zero correlation between x and y means x has no relationship with y
- (3) negative correlation between x and y means x has no relationship wth y
- (4) non-zero correlation between x and y means x and y have some relationship

(5) correlation of r between x and y means  $y = r \times x$  **ANSWER:** (2) and (4)

- **E)** [2 marks] Which of the following do you consider to be correct statements?
- (1) linear regression can fit non-linear dependencies of y on  $\mathbf{x}$  if the parameters  $\mathbf{w}$  are non-linear
- (2) linear regression cannot fit non-linear dependencies of y on x
- (3) linear regression can fit any dependency of y on x using logarithmic transformations of x
- (4) linear regression can fit any dependency of y on x using polynomial transformations of x
- (5) linear regression can fit linear dependencies of y on non-linear transformations of  $\mathbf{x}$

**ANSWER:** (5) linear regression can fit linear dependencies of y on non-linear transformations of  $\mathbf{x}$ 

### Question 2 [Total marks: 6]

### $Nearest\ neighbour\ classification$

Under what conditions, if there are any, does the nearest neighbour algorithm do linear classification?

HINT: suppose for a two-class problem there are exactly two exemplars, one for each class. Suppose further that your are just using the nearest neighbour classification algorithm, i.e., k-NN where k=1. If this algorithm is using Euclidean distance, what will the decision boundary look like? Is this the same if Manhattan distance is used? Explain your answer.

**ANSWER:** for Euclidean distance, it is a linear classification method and the decision boundary is a linear separating plane, the perpendicular bisector of the straight line connecting the exemplars. However, for Manhattan distance, the regions of "near-space" become diamonds (in 2D, city-block traversals) instead of circles (again in 2D, crow-flies traversals) so the decision boundary is no longer a straight line (see lecture "Supervised Learning – Classification", slide 20).

## Question 3 [Total marks: 10]

### Decision Tree Learning

The table below contains a sample S of ten examples. Each example is described using two Boolean attributes A and B. Each is labelled (classified) by the target Boolean function.

Id	A	B	Class
1	1	0	+
2	0	1	_
3	1	1	-
4	1	0	+
5	1	1	-
6	1	1	_
7	0	0	+
8	1	1	+
9	0	0	+
_10	0	0	

- A) [2 marks] What is the entropy of the examples with respect to the given classification?
- B) [3 marks] What is the information gain of attribute A on sample S above?
- C) [3 marks] What is the information gain of attribute B on sample S above?
- **D)** [2 marks] Which would be chosen as the "best" attribute by a decision tree learner using the information gain splitting criterion? Why?

**ANSWER:** This should be straightforward to work out (see lecture "Tree Learning", slides 17–32).

# Question 4 [Total marks: 4]

# Naive Bayes Classification

Suppose for a two-class classification problem you have m Boolean features. How many probabilities will you have to estimate from your training data? Show your working.

**ANSWER:** Recall that Naive Bayes has to estimate probabilities under an independence assumption, then everything forms a big product. So you just have to know the factors. Now every attribute or feature has two values, and there are 2 classes, giving a factor 4 times the number of features m, so the result is 4m.

### Question 5 [Total marks: 10]

### Perceptrons

A) Let the weights of a two-input perceptron be:  $w_0 = -0.2$ ,  $w_1 = 0.5$  and  $w_2 = 0.5$ . Assuming that  $x_0 = 1$ , what is the output of the perceptron when:

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[i] [1 \text{ mark}] x_1 = 0 \text{ and } x_2 = 0?
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[ii] [1 mark] 
$$x_1 = 0$$
 and  $x_2 = 1$ ?

Letting  $w_0 = -0.7$  and keeping  $x_0 = 1$ ,  $w_1 = 0.5$  and  $w_2 = 0.5$ , what is the perceptron output when:

[iii] [1 mark] 
$$x_1 = 1$$
 and  $x_2 = 0$ ?

[iv] [1 mark] 
$$x_1 = 1$$
 and  $x_2 = 1$ ?

[v] [2 marks] How does changing the bias weight affect the *number* of features that must be "true", i.e., have value 1, for an example to be classified as "true"?

**ANSWER:** [i] -1; [ii] +1; [iii] -1; [iv] +1; [v] the effect is that it has lowered the threshold to classification so that *both* inputs must be true for examples [iii] and [iv] – the target Boolean function for the first two examples was OR, but for the second two it was AND. Perceptrons can only implement Boolean functions which can be represented by linear threshold functions, i.e., that are true when m-of-n features are true; the bias weight sets the sets the threshold for m.

B) [4 marks] Suppose the perceptron weights are reset to  $\mathbf{w} = (0.07, 0.04, -0.01)$ , and you are told that examples  $[\mathbf{i}] - [\mathbf{iii}]$  are positive and example  $[\mathbf{iv}]$  is negative. Now apply one pass of the perceptron training algorithm, initialised with these weights, to examples  $[\mathbf{i}] - [\mathbf{iv}]$  in the order shown above, using  $\eta = 1$ . Have any of the weights been updated? If so, which weights changed and why? Suggest a set of weights so that the perceptron will classify these examples correctly. How do your weights compare to those shown in A)  $[\mathbf{iii}]$ ?

**ANSWER:** The perceptron will output an incorrect classification only on example [iv]. Since for example [iv] all *inputs* have value 1, after it is misclassified all *weights* will be decreased. We need a set of weights which will give a negative classification only when both inputs have value 1, so something like  $\mathbf{w} = (0.7, -0.5, -0.5)$  would work. Looking at the examples we can see that they represent the *negation* of the AND function which is implemented by the weights in **A)** [iii]. So we implement the negation by inverting the signs of the weights.