CLINTEK 11 DATA DRIVEN ANALYSIS

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PROBLEM STATEMENTS

- Given the Audit table, tell us what information you can gather about the study?
- Pick 2 itemOIDS. Use your statistics and ML knowledge to clean the data for these two itemOIDS and remove anomalous points you think exists in the data.

MISSING DATA AND INITIAL PRE-PROCESSING

- Clintek11 is a study of a drug effect
- 35 unique patients in Audit and Query table
- Most of the missing data in Audit and Query is related to the columns 'Unnamed: 11' and 'Unnamed: 12' (>99%). We dropped these columns.
- 79,234 rows in Audit
- 5,795 rows in Query
- Prescribed Dose is 60

FORMOID DESCRIPTION

| FormOID | Description |
|---------|---------------------|
| AE | Adverse Events |
| CM | Concomitant Meds |
| DA | Disposition |
| DS | Drug Accountability |
| EX | Exposure |
| IE | Inclusion/Exclusion |
| MH | Medical History |
| SU | Substance Use |
| VS | Vital Sign |

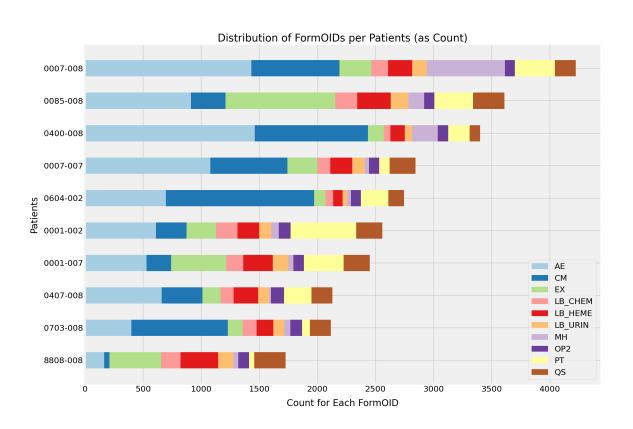
TOP 10 CHARACTERISTICS BY DATA POINTS (IN %)

| Attributes | Audit | Query | Audit ∩ Query |
|------------|---|---|---|
| SubjectID | 0007-008, 0085-008, 0400-008, 0007- 007, 0001-002, 0001-007, 0604-002, 0703-008, 0407-008, 8808-008 | 0085-008, 0001-007, 0007-008, 0085-007, 8800- 007, 0085-002, 0001-002, 0007-007, 0407-008, 0084-008 | 0001-002, 0001-007, 0007- 007, 0007-008, 0085-008, 0407-008 |
| FormOID | AE, CM, EX, PT, QS, LB_HEME, MH, LB_CHEM, OP2, LB_URIN | LB_HEME, EX, AE, PT, LB_CHEM, CM, LB_CHEM2, LB_URIN, LB_UM, LB_COAG | AE, CM, EX, LB_CHEM, LB_HEME, LB_URIN, PT |
| ItemOID | CM.CMEVNO, CM.CMENDAT, CM.CMONGO, CM.CMSTDAT, CM.CMINDC, CM.CMINDSP, CM.CMDSTXT, CM.CMTRT, CM.CMDOSFRQ, CM.CMDOSU | AE.AETERM, EX.EXACTION, PT.PTPRDAT, EX.EXPDOS, EX.EXREGCH, CM.CMEVNO, LB_UM.LBUMDAT', PT.PTTRT, CM.CMTRT', AE.AEENDAT | CM.CMEVNO, CM.CMTRT |

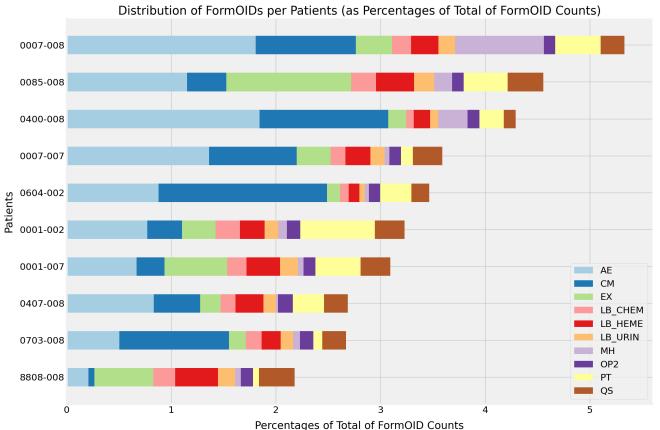
DISTRIBUTION OF FORMOIDS PER TOP 10 PATIENTS

- All 35 unique patients in Audit and Query tables cannot not be plotted easily
- Top 10 patients have the most data points in AE and CM
- Patient 007-008 and 0085-008 have the most data points
- 007-007 has almost the double number of data points compared to 8808-008

DISTRIBUTION OF FORMOIDS PER PATIENTS IN AUDIT TABLE

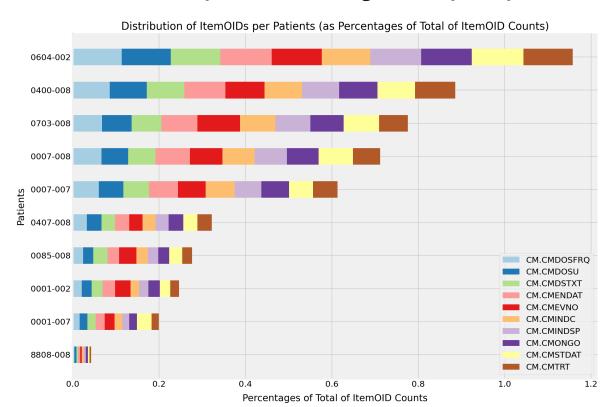


DISTRIBUTION OF FORMOIDS PER PATIENTS IN AUDIT TABLE (%)



DISTRIBUTION OF ITEMOIDS PER PATIENT IN AUDIT TABLE (%)

CM ItemOiDS are prevalent among the top 10 patients



SITE LOCATIONS

Forms for a patient were mostly collected at only one site but some sites
 were visited by more than one patient.



UREA PATIENT EVENT FLOW

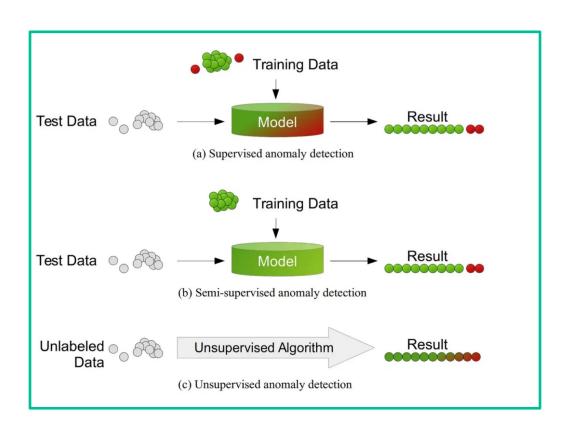
| | OpenDate | CloseDate | ItemValue | QueryValue | QueryResponse |
|------|------------|------------|-----------|---|---------------|
| 491 | 2015-11-10 | 2015-11-13 | 5 | The lab parameter is blank. If it was not perf | updated |
| 5502 | 2016-02-23 | 2016-04-21 | 5.4 | Was serum chemistry sample collected? is Yes. \dots | NaN |
| 4040 | 2016-03-01 | 2016-04-21 | 6.1 | Data is not recorded. If not done, Please upda | Updated |
| 3867 | 2016-03-29 | 2016-03-30 | 5.4 | 5.4 on 5/29/15 per source. Please update - Th | updated |
| 3868 | 2016-03-29 | 2016-03-30 | 6.1 | 6.1 per source. Please complete. This is the | - |
| 3011 | 2017-01-19 | 2017-01-24 | 6.1 | Please assess if CS or NCS from the drop down \dots | Updated |
| 3016 | 2017-01-19 | 2017-01-24 | 5.4 | Please assess if CS or NCS from the drop down \dots | Updated |

| | EntryDate | ModifiedDate | ItemValue | ActionCategory |
|-------|------------|--------------|-----------|-----------------------------------|
| 72678 | 2015-06-18 | 2018-08-03 | NaN | EnteredEmpty |
| 77043 | 2015-06-18 | 2018-08-03 | NaN | EnteredEmpty |
| 59114 | 2015-08-14 | 2018-08-03 | NaN | EnteredEmpty |
| 49932 | 2015-09-17 | 2018-08-03 | NaN | ${\bf Entered With Missing Code}$ |
| 49552 | 2015-09-18 | 2018-08-03 | NaN | EnteredEmpty |
| 40367 | 2015-11-10 | 2018-08-03 | 5 | EnteredWithChangeCode |
| 16286 | 2016-03-29 | 2018-08-03 | 5.4 | ${\bf EnteredWithChangeCode}$ |
| 16287 | 2016-03-29 | 2018-08-03 | 6.1 | EnteredWithChangeCode |

STATISTICS OF NUMBER OF DAYS BETWEEN OPEN AND ANSWER DATES

| | Statistics |
|-------------|------------|
| Count | 4942 |
| Mean +/- SD | 41 +/- 63 |
| Min - Max | 0 - 514 |
| 25% | 1 |
| 50% | 12 |
| 75% | 55 |

OUTLIER DETECTION METHODOLOGIES



ITEMOIDS OUTLIER SELECTION

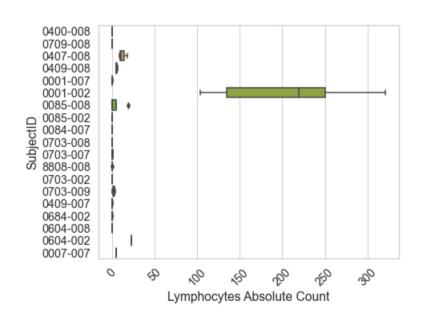
| | Overall | perc |
|-------------|---------|------|
| ItemOID | | |
| CM.CMEVNO | 1004 | 1.27 |
| CM.CMENDAT | 942 | 1.19 |
| CM.CMONGO | 890 | 1.12 |
| CM.CMSTDAT | 887 | 1.12 |
| CM.CMINDC | 880 | 1.11 |
| CM.CMINDSP | 874 | 1.10 |
| CM.CMDSTXT | 865 | 1.09 |
| CM.CMTRT | 838 | 1.06 |
| CM.CMDOSFRQ | 833 | 1.05 |
| CM.CMDOSU | 830 | 1.05 |

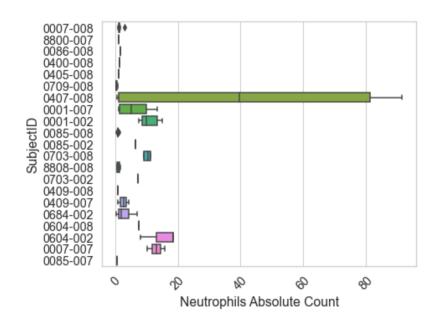
| | Overall | perc |
|------------------|---------|------|
| ItemOID | | |
| EX.EXPDOS | 95 | 6.10 |
| LB_HEME.LYMPHABS | 66 | 4.24 |
| CM.CMEVNO | 63 | 4.05 |
| LB_HEME.NEUTABS | 61 | 3.92 |
| LB_HEME.RBC | 49 | 3.15 |
| EX.EXDOSE | 44 | 2.83 |
| LB_HEME.BANDNEUT | 43 | 2.76 |
| LB_HEME.MONOABS | 40 | 2.57 |
| LB_HEME.NEUT | 37 | 2.38 |
| LB_HEME.LYMPH | 36 | 2.31 |

Top 10 Audit ItemOID by Count

Top 10 Query ItemOID by Count

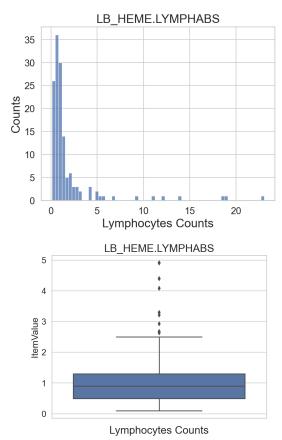
DISTRIBUTION OF LYMPHOCYTE AND NEUTROPHIL COUNT





LYMPHOCYTE (ABS) COUNT OUTLIER DETECTION

| Count | 149 |
|-----------|-------------------|
| Mean ± SD | 13.26 ± 49.80 |
| Min | 0.10 |
| 25% | 0.60 |
| 50% | 1.00 |
| 75% | 1.90 |
| Max | 321.31 |



LYMPHOCYTE COUNT OUTLIER DETECTION

```
MAD = Median(|x_i - Median(x)|)

clf = MADClassifier ()
clf.fit(vals)
preds = clf.predict(vals)

4.08, 4.4 , 4.4 , 4.9 , 4.91, 5.4 , 5.8 , 6.9 , 9.2 , 11.2 , 12,
14. , 18.7 , 18.81, 23.06, 52. , 103.41, 114.38, 141.09, 207.39,
231.99, 234.17, 299.83, 321.31
```

```
clf = ECODClassifier()
clf.fit(vals)
preds = clf.predict(vals)

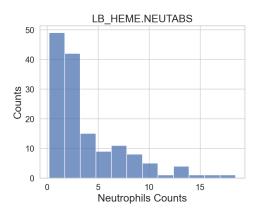
0.1 , 0.11, 0.2 , 0.2 , 0.2 , 0.2 , 0.2 , 114.38, 141.09,
207.39, 231.99, 234.17, 299.83, 321.31
```

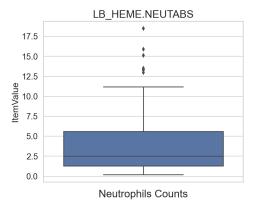
| LB_H | IEME.LYMPHABS |
|-----------------------------------|---------------|
| count | 49.000000 |
| mean | 0.831837 |
| std | 1.037583 |
| min | 0.200000 |
| 25% | 0.400000 |
| 50% | 0.590000 |
| 75% | 0.800000 |
| max | 4.910000 |
| Query LB_HEME.LYMPHABS Statistics | |

Ecod: unsupervised outlier detection using empirical cumulative distribution functions

NEUTROPHIL (ABS) COUNT OUTLIER DETECTION

| Count | 147 |
|-----------|-----------------|
| Mean ± SD | 3.86 ± 3.63 |
| Min | 0.20 |
| 25% | 1.28 |
| 50% | 2.50 |
| 75% | 5.60 |
| Max | 18.48 |





NEUTROPHIL COUNT OUTLIER DETECTION

```
• MADClassifier
```

```
12.99, 13.3 , 13.38, 13.5 , 15.11, 15.9 , 18.48, 20.3 , 20.55, 78.1 , 80.9 , 83.6 , 91.7
```

• ECODClassifier

0.2 , 0.21, 0.22, 0.32, 0.43, 0.44, 0.47, 0.47, 15.9 , 18.48, 20.3 , 20.55, 78.1 , 80.9 , 83.6 , 91.7

| | LB_HEME.NEUTABS |
|-------|-----------------|
| count | 59.000000 |
| mean | 4.915763 |
| std | 5.280215 |
| min | 0.210000 |
| 25% | 0.940000 |
| 50% | 1.500000 |
| 75% | 8.900000 |
| max | 18.480000 |
| | |

Query LB_HEME.NEUTABS Statistics

KEY TAKEAWAYS

- The data is more or less clean depending the FormOID
- Median time for query action to be resolved is 12 days
- AE and CM FormOIDS are the prevalent data in audit

FUTURE WORK

- More Data Analysis (Audit, Query tables)
- Statistic significance of the outlier detection (C.I.)
- Supervised outlier detection

QUESTIONS

