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Base Station (BS) radio transmission and reception

(Release 15)

** 

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# Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

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y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.

z the third digit is incremented when editorial only changes have been incorporated in the document.

# 1 Scope

The present document establishes the minimum RF characteristics and minimum performance requirements of NR Base Station (BS).

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non‑specific.

- For a specific reference, subsequent revisions do not apply.

- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

[2] ITU-R Recommendation SM.329: "Unwanted emissions in the spurious domain".

[3] Recommendation ITU-R SM.328: "Spectra and bandwidth of emissions".

[4] 3GPP TR 25.942: "RF system scenarios".

[5] 3GPP TS 38.141-1: "NR; Base Station (BS) conformance testing; Part 1: Conducted conformance testing".

[6] 3GPP TS 38.141-2: "NR; Base Station (BS) conformance testing; Part 2: Radiated conformance testing".

[7] Recommendation ITU-R M.1545: "Measurement uncertainty as it applies to test limits for the terrestrial component of International Mobile Telecommunications-2000".

[8] "Title 47 of the Code of Federal Regulations (CFR)", Federal Communications Commission.

[9] 3GPP TR 38.211: "NR; Physical channels and modulation".

[10] 3GPP TS 38.213: "NR; Physical layer procedures for control".

[11] 3GPP TS 38.331: "NR; Radio Resource Control (RRC); Protocol specification".

[12] [ECC/DEC/(17)06](https://www.ecodocdb.dk/document/1016): "The harmonised use of the frequency bands 1427-1452 MHz and 1492-1518 MHz for Mobile/Fixed Communications Networks Supplemental Downlink (MFCN SDL)"

[13] 3GPP TS 36.104: "Evolved Universal Terrestrial Radio Access (E-UTRA); Base Station (BS) radio transmission and reception"

[14] 3GPP TS 37.105: "Active Antenna System (AAS) Base Station (BS) transmission and reception"

# 3 Definitions, symbols and abbreviations

## 3.1 Definitions

For the purposes of the present document, the terms and definitions given in 3GPP TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in 3GPP TR 21.905 [1].

**Aggregated BS Channel Bandwidth:** The RF bandwidth in which a Base Station transmits and receives multiple contiguously aggregated carriers. The *aggregated BS channel bandwidth* is measured in MHz.

**antenna connector:** connector at the conducted interface of the *BS type 1-C*

**active transmitter unit:** transmitter unit which is ON, and has the ability to send modulated data streams that are parallel and distinct to those sent from other transmitter units to a *BS type 1-C* *antenna connector*, or to one or more *BS type 1-H* *TAB connectors* at the *transceiver array boundary*

**Base Station RF Bandwidth**: RF bandwidth in which a base station transmits and/or receives single or multiple carrier(s) within a supported *operating band*

NOTE: In single carrier operation, the *Base Station RF Bandwidth* is equal to the *BS channel bandwidth*.

**Base Station RF Bandwidth edge:** frequency of one of the edges of the *Base Station RF Bandwidth*.

**basic limit:** emissions limit relating to the power supplied by a single transmitter to a single antenna transmission line in ITU-R SM.329 [2] used for the formulation of unwanted emission requirements for FR1

**beam:** beam (of the antenna) is the main lobe of the radiation pattern of an *antenna array*

NOTE: For certain BS *antenna array*, there may be more than one beam.

**beam centre direction:** direction equal to the geometric centre of the half-power contour of the beam

**beam direction pair:** data set consisting of the *beam centre direction* and the related *beam peak direction*

**beam peak direction:** direction where the maximum EIRP is found

**beamwidth:** beam which has a half-power contour that is essentially elliptical, the half-power beamwidths in the two pattern cuts that respectively contain the major and minor axis of the ellipse

**BS channel bandwidth**: RF bandwidth supporting a single NR RF carrier with the transmission bandwidth configured in the uplink or downlink

NOTE 1: The *BS channel bandwidth* is measured in MHz and is used as a reference for transmitter and receiver RF requirements.

NOTE 2: It is possible for the BS to transmit to and/or receive from one or more UE bandwidth parts that are smaller than or equal to the BS transmission bandwidth configuration, in any part of the BS transmission bandwidth configuration.

**BS type 1-C:** NR base station operating at FR1 with requirements set consisting only of conducted requirements defined at individual *antenna connectors*

**BS type 1-H:** NR base station operating at FR1 with a requirement set consisting of conducted requirements defined at individual *TAB connectors* and OTA requirements defined at RIB

**BS type 1-O:** NR base station operating at FR1 with a requirement set consisting only of OTA requirements defined at the RIB

**BS type 2-O:** NR base station operating at FR2 with a requirement set consisting only of OTA requirements defined at the RIB

**Channel edge:** lowest or highest frequency of the NR carrier, separated by the *BS channel bandwidth*.

**Carrier aggregation:** aggregation of two or more component carriers in order to support wider transmission bandwidths

**Carrier aggregation configuration:** a set of one or more *operating bands* across which the BS aggregates carriers with a specific set of technical requirements

**co-location reference antenna**: a passive antenna used as reference for base station to base station co-location requirements

**Contiguous carriers:** set of two or more carriers configured in a spectrum block where there are no RF requirements based on co-existence for un-coordinated operation within the spectrum block.

**Contiguous spectrum:** spectrum consisting of a contiguous block of spectrum with no *sub-block gap*(s).

**directional requirement:** requirement which is applied in a specific direction within the OTA coverage range for the Tx and when the AoA of the incident wave of a received signal is within the *FR1 OTA REFSENS RoAoA* or *FR2 OTA REFSENS RoAoA* or the minSENS RoAoA as appropriate for the receiver

**fractional bandwidth:** fractional bandwidth FBW is defined as 

**FR1 OTA REFSENS RoAoA:** the RoAoA determined by the contour defined by the points at which the achieved EIS is 3dB higher than the achieved EIS in the reference direction in FR1

NOTE: This contour will be related to the average element/sub-array radiation pattern 3dB beam width.

**FR2 OTA REFSENS RoAoA:** the RoAoA within which the reference sensitivity is achieved in FR2

**Highest Carrier:** The carrier with the highest carrier frequency transmitted/received in a specified frequency band.

**Inter-band carrier aggregation:** carrier aggregation of component carriers in different operating bands**.**

NOTE: Carriers aggregated in each band can be contiguous or non-contiguous.

**Intra-band contiguous carrier aggregation:** *contiguous carriers* aggregated in the same operating band.

**Intra-band non-contiguous carrier aggregation:** non-contiguous carriers aggregated in the same operating band.

**[Inter RF Bandwidth gap:** frequency gap between two consecutive Base Station RF Bandwidths that are placed within two supported *operating bands*]

**Lowest Carrier:** The carrier with the lowest carrier frequency transmitted/received in a specified frequency band.

**Lower sub-block edge:** frequency at the lower edge of one *sub-block*.

NOTE: It is used as a frequency reference point for both transmitter and receiver requirements.

**maximum carrier output power:** mean power level measured per carrier at the indicted interface, during the *transmitter ON period* in a specified reference condition

**maximum carrier TRP output power:** mean power level measured perRIB during the *transmitter ON period* for a specific carrier in a specified reference condition and corresponding to the declared *rated carrier TRP output* power (Prated,c,TRP)

**maximum total output power:** mean power level measured within the *operating band* at the indicated interface, during the *transmitter ON period* in a specified reference condition

**maximum total TRP output power:** mean power level measured perRIB during the *transmitter ON period* in a specified reference condition and corresponding to the declared *rated total TRP output* power (Prated,t,TRP)

**measurement bandwidth**: RF bandwidth in which an emission level is specified

**minimum EIRP level under extreme condition:** For a declared beam and *beam direction pair*, the*minimum EIRP level under extreme condition* is the minimum power that the base station is declared to radiate at the associated *beam peak direction* during the *transmitter ON period* under extreme condition.

**minSENS:** the lowest declared EIS value for the OSDD’s declared for OTA sensitivity requirement.

**minSENS RoAoA:** The reference RoAoA associated with the OSDD with the lowest declared EIS

**multi-band connector**: Antenna Connector of *BS type 1-C* or *TAB connector* of *BS type 1-H* associated with a transmitter or receiver that is characterized by the ability to process two or more carriers in common active RF components simultaneously, where at least one carrier is configured at a different operating band than the other carrier(s) and where this different operating band is not a sub-band or superseding-band of another supported operating band

**multi-band RIB:** *operating band* specific RIB associated with a transmitter or receiver that is characterized by the ability to process two or more carriers in common active RF components simultaneously, where at least one carrier is configured at a different operating band than the other carrier(s) and where this different operating band is not a sub-band or superseding-band of another supported operating band

**Multi-carrier transmission configuration:** set of one or more contiguous or non-contiguous carriers that a NR BS is able to transmit simultaneously according to the manufacturer’s specification.

**Non-contiguous spectrum:** spectrum consisting of two or more sub-blocks separated by *sub-block gap*(s).

**operating band:** frequency range in which NR operates (paired or unpaired), that is defined with a specific set of technical requirements

NOTE: The *operating band*(s) for a BS is declared by the manufacturer according to the designations in tables 5.2-1 and 5.2-2.

**OTA coverage range**: a common range of directions within which TX OTA requirements that are neither specified in the *OTA peak directions sets* nor as *TRP requirement* are intended to be met

**OTA peak directions set:** set(s) of *beam peak directions* within which certain TX OTA requirements are intended to be met, where all *OTA peak directions set(s)* are subsets of the *OTA coverage range*

NOTE:     The *beam peak directions* are related to a corresponding contiguous range or discrete list of *beam centre directions*by the *beam direction pairs* included in the set.

**OTA sensitivity directions declaration:** set of manufacturer declarations comprising at least one set of declared minimum EIS values (with related RAT and *BS channel bandwidth*), and related directions over which the EIS applies

NOTE: All the directions apply to all the EIS values in an OSDD.

**polarization match:** condition that exists when a plane wave, incident upon an antenna from a given direction, has a polarization that is the same as the receiving polarization of the antenna in that direction

**radiated interface boundary**: *operating band* specific radiated requirements reference where the radiated requirements apply

NOTE: For requirements based on EIRP/EIS, the *radiated interface boundary* is associated to the far-field region

**Radio Bandwidth:** frequency difference between the upper edge of the highest used carrier and the lower edge of the lowest used carrier

**rated beam EIRP:** For a declared beam and *beam direction pair*, the *rated beam EIRP* level is the maximum power that the base station is declared to radiate at the associated *beam peak direction* during the *transmitter ON period*

**rated carrier output power:** mean power level associated with a particular carrier the manufacturer has declared to be available at the indicated interface, during the *transmitter ON period* in a specified reference condition

**rated carrier TRP output power:** mean power level declared by the manufacturer per carrier, for BS operating in single carrier, multi-carrier, or carrier aggregation configurations that the manufacturer has declared to be available at the RIB during the *transmitter ON period*

**rated total output power:** mean power level associated with a particular *operating band* the manufacturer has declared to be available at the indicated interface, during the *transmitter ON period* in a specified reference condition

**rated total TRP output power:** mean power level declared by the manufacturer, that the manufacturer has declared to be available at the RIB during the *transmitter ON period*

**reference beam direction pair:** declared *beam direction pair*, including reference *beam centre direction* and reference *beam peak direction* where the reference *beam peak direction* is the direction for the intended maximum EIRP within the EIRP accuracy compliance directions set

**receiver target:** AoA in which reception is performedby BS types 1-H, 1-O and 2-O

**receiver target redirection range:** union of all the *sensitivity RoAoA* achievable through redirecting the *receiver target* related to particular OSDD

**receiver target reference direction:** direction inside the *OTA sensitivity directions declaration* declared by the manufacturer for conformance testing. For an OSDD without *receiver target redirection range*, this is a direction inside the *sensitivity RoAoA*

**reference RoAoA**: the sensitivity RoAoA associated with the receiver target reference direction for each OSDD.

**requirement set:** one of the NR base station requirement’s set as defined for *BS type 1-C*, *BS type 1-H*, *BS type 1-O*, and *BS type 2-O*

**sensitivity RoAoA:** RoAoA within the *OTA sensitivity directions declaration*, within which the declared EIS(s) of an OSDD is intended to be achieved at any instance of time for a specific BS direction setting

**single-band connector:** BS type 1-C Antenna Connector or BS type 1-H *TAB connector* supporting operation either in a single *operating band* only, or in multiple *operating bands* but does not meet the conditions for a *multi-band connector*.

**single-band RIB:** *operating band* specific RIB supporting operation either in a single *operating band* only, or in multiple *operating bands* but does not meet the conditions for a *multi-band RIB*.

**sub-block bandwidth:** bandwidth of one *sub-block*.

**sub-block:** one contiguous allocated block of spectrum for transmission and reception by the same base station

NOTE: There may be multiple instances of sub-blocks within a Base Station RF Bandwidth.

**sub-block gap:** frequency gap between two consecutive sub-blocks within a Bae Station RF Bandwidth, where the RF requirements in the gap are based on co-existence for un-coordinated operation

**TAB connector:** *transceiver array boundary* connector

**TAB connector RX min cell group:** *operating band* specific declared group of *TAB connectors* to which *BS type 1-H* conducted RX requirements are applied

NOTE: Within this definition, the group corresponds to the group of *TAB connectors* which are responsible for receiving a cell when the *BS type 1-H* setting corresponding to the declared minimum number of cells with reception on all *TAB connectors* supporting an *operating band*, but its existence is not limited to that condition

**TAB connector TX min cell group:** *operating band* specific declared group of *TAB connectors* to which *BS type 1-H* conducted TX requirements are applied.

NOTE: Within this definition, the group corresponds to the group of *TAB connectors* which are responsible for transmitting a cell when the *BS type 1-H* setting corresponding to the declared minimum number of cells with transmission on all *TAB connectors* supporting an *operating band*, but its existence is not limited to that condition

**total radiated power:** is the total power radiated by the antenna

NOTE: The total radiated power is the power radiating in all direction for two orthogonal polarizations. Total radiated power is defined in both the near-field region and the far-field region

**transceiver array boundary:** conducted interface between the transceiver unit array and the composite antenna

**transmitter OFF period:** time period during which the BS transmitter is not allowed to transmit

**transmitter ON period:** time period during which the BS transmitter is transmitting data and/or reference symbols

**transmitter transient period:** time period during which the transmitter is changing from the OFF period to the ON period or vice versa

**upper sub-block edge:** frequency at the upper edge of one *sub-block*.

NOTE: It is used as a frequency reference point for both transmitter and receiver requirements.

## 3.2 Symbols

For the purposes of the present document, the following symbols apply:

 Percentage of the mean transmitted power emitted outside the occupied bandwidth on the assigned channel

BeWθ,REFSENS Beamwidth equivalent to the *FR1 OTA REFSENS RoAoA* in the θ-axis in degrees

BeWφ,REFSENS Beamwidth equivalent to the *FR1 OTA REFSENS RoAoA* in the φ-axis in degrees

BWChannel *BS channel bandwidth*

BWChannel\_CA *Aggregated BS Channel Bandwidth*, expressed in MHz. BWChannel\_CA = Fedge\_high- Fedge\_low.

BWChannel,block Sub-block bandwidth, expressed in MHz. BWChannel,block = Fedge,block,high- Fedge,block,low.

BWConfig Transmission bandwidth configuration, where BWConfig = *N*RB x SCS x 12

BWContiguous Contiguous transmission bandwidth, i.e. bandwidth from lowest edge of lowest transmitted channel in frequency to highest edge of highest transmitted channel in frequency. For non-contiguous operation with a band the term is applied per sub-block.

Δf Separation between the channel edge frequency and the nominal -3 dB point of the measuring filter closest to the carrier frequency

ΔFGlobal Global frequency raster granularity

Δfmax f\_offsetmax minus half of the bandwidth of the measuring filter

ΔfOBUE Maximum offset of the *operating band* unwanted emissions mask from the downlink *operating band* edge

ΔfOOB Maximum offset of the out-of-band boundary from the uplink *operating band* edge

ΔminSENS Difference between conducted reference sensitivity and minSENS

ΔOTAREFSENS Difference between conducted reference sensitivity and OTA REFSENS

ΔFRaster Channel raster granularity

Δshift Channel raster offset for SUL

EIRPFBWhigh Declared EIRP value for the upper supported frequency within supported operating band, for which *fractional bandwidth* support was declared

EIRPFBWlow Declared EIRP value for the lower supported frequency within supported operating band, for which *fractional bandwidth* support was declared

EISminSENS The EIS declared for the minSENS RoAoA

EISREFSENS OTA REFSENS EIS value

EISREFSENS\_50M Declared OTA reference sensitivity basis level for FR2 based on a reference measurement channel with 50MHz *BS channel bandwidth*

FFBWhigh Upper supported frequency within supported operating band, for which *fractional bandwidth* support was declared

FFBWlow Lower supported frequency within supported operating band, for which *fractional bandwidth* support was declared

FC *RF reference frequency* on the channel raster, given in table 5.4.2.2-1

FC,block, high Fc of the highest transmitted/received carrier in a sub-block.

FC,block, low Fc of the lowest transmitted/received carrier in a sub-block.

FC\_low The Fc of the lowest carrier, expressed in MHz.

FC\_high The Fc of the highest carrier, expressed in MHz.

Fedge\_low The lower edge of *Aggregated BS Channel Bandwidth*, expressed in MHz. Fedge\_low = FC\_low - Foffset\_low.

Fedge\_high The upper edge of *Aggregated BS Channel Bandwidth*, expressed in MHz. Fedge\_high = FC\_high + Foffset\_high.

Fedge,block,low The lower sub-block edge, where Fedge,block,low = FC,block,low - Foffset\_low.

Fedge,block,high The upper sub-block edge, where Fedge,block,high = FC,block,high + Foffset\_high.

Ffilter Filter centre frequency

Foffset\_high Frequency offset from FC\_high to the upper *Base Station RF Bandwidth edge*, or from F C,block, high to the upper sub-block edge

Foffset\_low Frequency offset from FC\_low to the lower *Base Station RF Bandwidth edge*, or from FC,block, low to the lower sub-block edge.

f\_offset Separation between the channel edge frequency and the centre of the measuring filter

f\_offsetmax The offset to the frequency ΔfOBUE outside the downlink *operating band*

FREF RF reference frequency

FREF-Offs Offset used for calculating FREF

FREF,shift RF reference frequency for Supplementary Uplink (SUL) bands

FDL\_low The lowest frequency of the downlink *operating band*

FDL\_high The highest frequency of the downlink *operating band*

FUL\_low The lowest frequency of the uplink *operating band*

FUL\_high The highest frequency of the uplink *operating band*

GBChannel Minimum guard band defined in sub-clause 5.3.3

Ncells The declared number corresponding to the minimum number of cells that can be transmitted by an *BS type 1-H* in a particular *operating band*

 Physical resource block number

NRB Transmission bandwidth configuration, expressed in resource blocks

NREF NR Absolute Radio Frequency Channel Number (NR-ARFCN)

NREF-Offs Offset used for calculating NREF

NRXU,active The number of active receiver units. The same as the number of *demodulation branches* to which compliance is declared for chapter 8 performance requirements

NRXU,counted The number of active receiver units that are taken into account for conducted Rx spurious emission scaling, as calculated in subclause 7. 6.1

NRXU,countedpercell The number of active receiver units that are taken into account for conducted RX spurious emissions scaling per cell, as calculated in subclause 7.6..1

NTXU,counted The number of *active transmitter units* as calculated in subclause 6.1, that are taken into account for conducted TX output power limit in subclause 6.2.1, and for unwanted TX emissions scaling

NTXU,countedpercell The number of *active transmitter units* that are taken into account for conducted TX emissions scaling per cell, as calculated in subclause 6.1

PEM,n50,ind Declared emission level for Band n50; ind = a, b

PEIRP,N EIRP level for channel N

Pmax,c,AC*Maximum carrier output power* measuredper *antenna connector*

Pmax,c,cell The *maximum carrier output power* per *TAB connector TX min cell group*

Pmax,c,TABC The *maximum carrier output power per TAB connector*

Pmax,c**,**TRP*Maximum carrier TRP output power* measuredat the RIB(s), and corresponding to the declared *rated carrier TRP output power* (Prated,c,TRP)

Pmax,c,EIRP The maximum carrier EIRPwhen the NR BS is configured at the maximum rated carrier output TRP (PRated,c,TRP)

Prated,c,AC The *rated carrier output power per antenna connector*

Prated,c,cell The *rated carrier output power* per *TAB connector TX min cell group*

Prated,c,sys The sum of Prated,c,TABC for all *TAB connectors* for a single carrier

Prated,c,TABC The *rated carrier output power per TAB connector*

Prated,c,TRP *Rated carrier TRP output power* declaredper RIB

Prated,t,AC The *rated total output power* declared at the antenna connector

Prated,t,TABC The *rated total output power* declared at *TAB connector*

Prated,t,TRP *Rated total TRP output power* declaredper RIB

PREFSENS Conducted Reference Sensitivity power level

SSREF SS block reference frequency position

Wgap Sub-block gap or Inter RF Bandwidth gap size

## 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in 3GPP TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in 3GPP TR 21.905 [1].

AA Antenna Array

AAS Active Antenna System

ACLR Adjacent Channel Leakage Ratio

ACS Adjacent Channel Selectivity

AoA Angle of Arrival

AWGN Additive White Gaussian Noise

BS Base Station

BW Bandwidth

CA Carrier Aggregation

CACLR Cumulative ACLR

CP-OFDM Cyclic Prefix-OFDM

CW Continuous Wave

DFT-s-OFDM Discrete Fourier Transform-spread-OFDM

DM-RS Demodulation Reference Signal

EIS Equivalent Isotropic Sensitivity

EIRP Effective Isotropic Radiated Power

EVM Error Vector Magnitude

FBW Fractional Bandwidth

FR Frequency Range

FRC Fixed Reference Channel

GSCN Global Synchronization Channel Number

ITU‑R Radiocommunication Sector of the International Telecommunication Union

ICS In-Channel Selectivity

LA Local Area

LNA Low Noise Amplifier

MCS Modulation and Coding Scheme

MR Medium Range

NR New Radio

NR-ARFCN NR Absolute Radio Frequency Channel Number

OBUE Operating Band Unwanted Emissions

OOB Out-of-band

OSDD OTA Sensitivity Directions Declaration

OTA Over-The-Air

PRB Physical Resource Block

QAM Quadrature Amplitude Modulation

RDN Radio Distribution Network

RE Resource Element

REFSENS Reference Sensitivity

RF Radio Frequency

RIB Radiated Interface Boundary

RMS Root Mean Square (value)

RoAoA Range of Angles of Arrival

RX Receiver

SCS Sub-Carrier Spacing

SDL Supplementary Downlink

SS Synchronization Symbol

SUL Supplementary Uplink

TAB Transceiver Array Boundary

TAE Time Alignment Error

TX Transmitter

TRP Total Radiated Power

UEM Unwanted Emissions Mask

WA Wide Area

# 4 General

## 4.1 Relationship with other core specifications

The present document is a single-RAT specification for a BS, covering RF characteristics and minimum performance requirements. Conducted and radiated core requirements are defined for the BS architectures and BS types defined in subclause 4.3.

The applicability of each requirement is described in clause 5.

## 4.2 Relationship between minimum requirements and test requirements

Conformance to the present specification is demonstrated by fulfilling the test requirements specified in the conformance specification 3GPP TS 38.141-1 [5] and 3GPP TS 38.141-2 [6].

The minimum requirements given in this specification make no allowance for measurement uncertainty. The test specifications 3GPP TS 38.141-1 [5] and 3GPP TS 38.141-2 [6] define test tolerances. These test tolerances are individually calculated for each test. The test tolerances are used to relax the minimum requirements in this specification to create test requirements. For some requirements, including regulatory requirements, the test tolerance is set to zero.

The measurement results returned by the test system are compared - without any modification - against the test requirements as defined by the shared risk principle.

The shared risk principle is defined in recommendation ITU‑R M.1545 [7].

## 4.3 Conducted and radiated requirement reference points

### 4.3.1 *BS type 1-C*

For *BS type 1-C*, the requirements are applied at the BS *antenna connector* (port A) for a single transmitter or receiver with a full complement of transceivers for the configuration in normal operating conditions. If any external apparatus such as an amplifier, a filter or the combination of such devices is used, requirements apply at the far end *antenna connector* (port B).



Figure 4.3.1-1: *BS type 1-C* transmitter interface



Figure 4.3.1-2: *BS type 1-C* receiver interface

### 4.3.2 *BS type 1-H*

For *BS type 1-H*, the requirements are defined for two points of reference, signified by radiated requirements and conducted requirements.



Figure 4.3.2-1: Radiated and conducted reference points for *BS type 1-H*

Radiated characteristics are defined over the air (OTA), where the *operating band* specific radiated interface is referred to as the *Radiated Interface Boundary* (RIB). Radiated requirements are also referred to as OTA requirements. The (spatial) characteristics in which the OTA requirements apply are detailed for each requirement.

Conducted characteristics are defined at individual or groups of *TAB connectors* at the *transceiver array boundary*, which is the conducted interface between the transceiver unit array and the composite antenna.

The transceiver unit array is part of the composite transceiver functionality generating modulated transmit signal structures and performing receiver combining and demodulation.

The transceiver unit array contains an implementation specific number of transmitter units and an implementation specific number of receiver units. Transmitter units and receiver units may be combined into transceiver units. The transmitter/receiver units have the ability to transmit/receive parallel independent modulated symbol streams.

The composite antenna contains a radio distribution network (RDN) and an antenna array. The RDN is a linear passive network which distributes the RF power generated by the transceiver unit array to the antenna array, and/or distributes the radio signals collected by the antenna array to the transceiver unit array, in an implementation specific way.

How a conducted requirement is applied to the *transceiver array boundary* is detailed in the respective requirement subclause.

### 4.3.3 *BS type 1-O* and *BS type 2-O*

For *BS type 1-O* and *BS type 2-O*, the radiated characteristics are defined over the air (OTA), where the *operating band* specific radiated interface is referred to as the *Radiated Interface Boundary* (RIB). Radiated requirements are also referred to as OTA requirements. The (spatial) characteristics in which the OTA requirements apply are detailed for each requirement.



Figure 4.3.3-1: Radiated reference points for *BS type 1-O* and *BS type 2-O*

Co-location requirements are specified at the conducted interface of the co-location reference antenna, the c*o-location reference antenna* does not form part of the BS under test but is a means to provide OTA power levels which are representative of a co-located system, further defined in subclause 4.9.

For a *BS type 1-O* the transceiver unit array must contain at least 8 transmitter units and at least 8 receiver units. Transmitter units and receiver units may be combined into transceiver units. The transmitter/receiver units have the ability to transmit/receive parallel independent modulated symbol streams.

## 4.4 Base station classes

The requirements in this specification apply to Wide Area Base Stations, Medium Range Base Stations and Local Area Base Stations unless otherwise stated. The associated deployment scenarios for each class are exactly the same for BS with and without connectors.

For BS *type 1-O* and 2-O, BS classes are defined as indicated below:

- Wide Area Base Stations are characterised by requirements derived from Macro Cell scenarios with a BS to UE minimum distance along the ground equal to 35 m.

- Medium Range Base Stations are characterised by requirements derived from Micro Cell scenarios with a BS to UE minimum distance along the ground equal to 5 m.

- Local Area Base Stations are characterised by requirements derived from Pico Cell scenarios with a BS to UE minimum distance along the ground equal to 2 m.

For *BS type 1-C* and 1-H, BS classes are defined as indicated below:

- Wide Area Base Stations are characterised by requirements derived from Macro Cell scenarios with a BS to UE minimum coupling loss equal to 70 dB.

- Medium Range Base Stations are characterised by requirements derived from Micro Cell scenarios with a BS to UE minimum coupling loss equals to 53 dB.

- Local Area Base Stations are characterised by requirements derived from Pico Cell scenarios with a BS to minimum coupling loss equal to 45 dB.

## 4.5 Regional requirements

Some requirements in the present document may only apply in certain regions either as optional requirements, or as mandatory requirements set by local and regional regulation. It is normally not stated in the 3GPP specifications under what exact circumstances the regional requirements apply, since this is defined by local or regional regulation.

Table 4.5-1 lists all requirements in the present specification that may be applied differently in different regions.

Table 4.5-1: List of regional requirements

| Clause number | Requirement | Comments |
| --- | --- | --- |
| 5.2 | Operating bands | Some NR operating bands may be applied regionally. |
| 6.2.4 | Additional requirements | These requirements may apply in certain regions as additional Operating band unwanted emission limits. |
| 6.6.2,  9.7.2 | Occupied bandwidth,  OTA occupied bandwidth | The requirement may be applied regionally. There may also be regional requirements to declare the occupied bandwidth according to the definition in present specification. |
| 6.6.3.4,  9.7.3.2 | Absolute ACLR,  OTA absolute ACLR, | The emission limits specified as the *basic limit* + X (dB) are applicable, unless stated differently in regional regulation. |
| 6.6.4.2.5.1 | Limits in FCC Title 47 | The BS may have to comply with the additional requirements, when deployed in regions where those limits are applied, and under the conditions declared by the manufacturer. |
| 6.6.4.4,  9.7.4.2 | Operating band unwanted emissions,  OTA out-of-band emissions | The emission limits specified as the *basic limit* + X (dB) are applicable, unless stated differently in regional regulation. |
| 6.6.5.2.1,  9.7.5.2 | Tx spurious emissions,  OTA Tx spurious emissions | Category A or Category B spurious emission limits, as defined in ITU-R Recommendation SM.329 [2], may apply regionally.  The emission limits specified as the *basic limit* + X (dB) are applicable, unless stated differently in regional regulation. |
| 6.6.5.2.3,  9.7.5.3.3 | Tx spurious emissions: additional requirements,  OTA Tx spurious emissions: additional requirements | These requirements may be applied for the protection of system operating in frequency ranges other than the BS operating band. |
| 7.6.4,  10.7.2 | Rx spurious emissions,  OTA Rx spurious emissions | The emission limits specified as the *basic limit* + X (dB) are applicable, unless stated differently in regional regulation. |

## 4.6 Applicability of requirements

In table 4.6-1, the requirement applicability for each requirement set is defined. For each requirement, the applicable requirement subclause in the specification is identified. Requirements not included in a requirement set is marked not applicable (NA).

Table 4.6-1: Requirement set applicability

| Requirement | Requirement set | | | |
| --- | --- | --- | --- | --- |
| *BS type 1-C* | *BS type 1-H* | *BS type 1-O* | *BS type 2-O* |
| BS output power | 6.2 | 6.2 | NA | NA |
| Output power dynamics | 6.3 | 6.3 |
| Transmit ON/OFF power | 6.4 | 6.4 |
| Transmitted signal quality | 6.5 | 6.5 |
| Occupied bandwidth | 6.6.2 | 6.6.2 |
| ACLR | 6.6.3 | 6.6.3 |
| Operating band unwanted  emissions | 6.6.4 | 6.6.4 |
| Transmitter spurious emissions | 6.6.5 | 6.6.5 |
| Transmitter intermodulation | 6.7 | 6.7 |
| Reference sensitivity level | 7.2 | 7.2 |
| Dynamic range | 7.3 | 7.3 |
| In-band selectivity and blocking | 7.4 | 7.4 |
| Out-of-band blocking | 7.5 | 7.5 |
| Receiver spurious emissions | 7.6 | 7.6 |
| Receiver intermodulation | 7.7 | 7.7 |
| In-channel selectivity | 7.8 | 7.8 |
| Performance requirements | 8 | 8 |
| Radiated transmit power | NA | 9.2 | 9.2 | 9.2 |
| OTA base station output power | NA | 9.3 | 9.3 |
| OTA output power dynamics | 9.4 | 9.4 |
| OTA transmit ON/OFF power | 9.5 | 9.5 |
| OTA transmitted signal quality | 9.6 | 9.6 |
| OTA occupied bandwidth | 9.7.2 | 9.7.2 |
| OTA ACLR | 9.7.3 | 9.7.3 |
| OTA out-of-band emission | 9.7.4 | 9.7.4 |
| OTA transmitter spurious emission | 9.7.5 | 9.7.5 |
| OTA transmitter intermodulation | 9.8 | NA |
| OTA sensitivity | 10.2 | 10.2 | NA |
| OTA reference sensitivity level | NA | 10.3 | 10.3 |
| OTA dynamic range | 10.4 | NA |
| OTA in-band selectivity and blocking | 10.5 | 10.5 |
| OTA out-of-band blocking | 10.6 | 10.6 |
| OTA receiver spurious emission | 10.7 | 10.7 |
| OTA receiver intermodulation | 10.8 | 10.8 |
| OTA in-channel selectivity | 10.9 | 10.9 |
| Radiated performance requirements | 11 | 11 |

## 4.7 Requirements for contiguous and non-contiguous spectrum

A spectrum allocation where a BS operates can either be contiguous or non-contiguous. Unless otherwise stated, the requirements in the present specification apply for BS configured for both contiguous spectrum operation and non-contiguous spectrum operation.

For BS operation in non-contiguous spectrum, some requirements apply both at the Base Station RF Bandwidth edges and inside the sub-block gaps. For each such requirement, it is stated how the limits apply relative to the Base Station RF Bandwidth edges and the sub-block edges respectively.

## 4.8 Requirements for BS capable of multi-band operation

For *multi-band connector* or *multi-band* *RIB*, the RF requirements in clause 6, 7, 9 and 10 apply separately to each supported *operating band* unless otherwise stated. For some requirements, it is explicitly stated that specific additions or exclusions to the requirement apply at *multi-band connector(s)*, and *multi-band RIB(s)* as detailed in the requirement subclause. For *BS type 1-C* capable of multi-band operation, various structures in terms of combinations of different transmitter and receiver implementations (multi-band or single band) with mapping of transceivers to one or more *antenna* *connectors* for *BS type 1-C* or *TAB connectors* for *BS type 1-H* in different ways are possible. For *multi-band connector(s)* the exclusions or provisions for multi-band apply. For *single-band antenna connector(s)*, the following applies:

- Single-band transmitter spurious emissions, *operating band* unwanted emissions, ACLR, transmitter intermodulation and receiver spurious emissions requirements apply to this *antenna connector* that is mapped to single-band.

- If the BS is configured for single-band operation, single-band requirements shall apply to this *antenna connector* configured for single-band operation and no exclusions or provisions for multi-band capable BS are applicable. Single-band requirements are tested separately at the *antenna connector* configured for single-band operation, with all other *antenna connectors* terminated.

A *BS type 1-H* may be capable of supporting operation in multiple *operating bands* with one of the following implementations of *TAB connectors* in the *transceiver array boundary*:

- All *TAB connectors* are *single-band connectors*.

- Different sets of *single-band connectors* support different *operating bands*, but each *TAB connector* supports only operation in one single *operating band*.

- Sets of *single-band connectors* support operation in multiple *operating bands* with some *single-band connectors* supporting more than one *operating band*.

- All *TAB connectors* are multi-band *connectors*.

- A combination of single-band sets and multi-band sets of *TAB connectors* provides support of the type *BS type 1-H* capability of operation in multiple *operating bands*.

Unless otherwise stated all requirements specified for an *operating band* apply only to the set of *TAB connectors* supporting that *operating band*.

In the case of an *operating band* being supported only by *single-band connectors* in a *TAB connector TX min cell group* or a *TAB connector RX min cell group*, *single-band requirements* apply to that set of *TAB connectors*.

In the case of an *operating band* being supported only by *multi-band connector*s supporting the same *operating band* combination in a *TAB connector TX min cell group* or a *TAB connector RX min cell group*, *multi-band requirements* apply to that set of *TAB connectors*.

The case of an *operating band* being supported by both *multi-band connectors* and *single-band connectors* in a *TAB connector TX min cell group* or a *TAB connector RX min cell group* is FFS and is not covered by the present release of this specification.

The case of an *operating band* being supported by *multi-band connectors* which are not all supporting the same *operating band* combination in a *TAB connector TX min cell group* or a *TAB connector RX min cell group* is FFS and is not covered by the present release of this specification.

*BS type 1-O* may be capable of supporting operation in multiple *operating bands* with one of the following implementations at the *radiated interface boundary*:

- All RIBsare *single-band RIBs*.

- All RIBsare *multi-band* *RIBs*.

- A combination of single-band *RIBs* and *multi-band RIBs* provides support of the *BS type 1-O* capability of operation in multiple *operating bands*.

For *multi-band connectors* and *multi-band RIBs* supporting the bands for TDD, the RF requirements in the present specification assume no simultaneous uplink and downlink occur between the bands.

The RF requirements for *multi-band connectors* and *multi-band RIBs* supporting bands for both FDD and TDD are FFS and are not covered by the present release of this specification.

## 4.9 OTA co-location with other base stations

Co-location requirements are requirements which are based on assuming the *BS type 1-O* is co-located with another BS of the same base station class, they ensure that both co-located systems can operate with minimal degradation to each other.

Unwanted emission and out of band blocking co-location requirements are optional requirements based on declaration. TX OFF and TX IMD are mandatory requirements and is the form of a co-location requirement as it represents the worst-case scenario of all the interference cases.

NOTE: Due to the low level of the unwanted emissions for the spurious emissions and TX OFF level co-location is the most suitable method to show conformance.

The *co-location reference antenna* shall be a single column passive antenna which has the same vertical radiating dimension (h), frequency range, polarization, as the composite antenna of the *BS type 1-O* and nominal 65 degrees horizontal half-power beam width suitable for 3-sector deployment at a distance *d* from the edge of the *BS type 1-O*, as shown in figure 4.9-1.



Figure 4.9-1: Illustration of *BS type 1-O* enclosure and co-location reference antenna

Edge-to-edge separation *d* between the *BS type 1-O* and the co-location reference antenna shall be set to 0.1 m.

The alignment of the *BS type 1-O* and the co-location reference antenna shall be aligned in a common plane perpendicular to the mechanical bore-sight direction, as shown in figure 4.9-1.

The *co-location reference antenna* and the *BS type 1-O* can have different width.

The vertical radiating regions of the *co-location reference antenna* and the *BS type 1-O* composite antenna shall be aligned.

For co-location requirements where the frequency range of the signal at the co-location reference antenna is different from the *BS type 1-O*, a co-location reference antenna suitable for the frequency stated in the requirement is assumed.

OTA co-location requirements are based on power at the conducted interface of a *co-location reference antenna*, depending on the requirement this interface is either an input or an output. For *BS type 1-O* with dual polarization *the co-location reference antenna* has two conducted interfaces each representing one polarization.

# 5 Operating bands and channel arrangement

## 5.1 General

The channel arrangements presented in this clause are based on the *operating bands* and *BS channel bandwidths* defined in the present release of specifications.

NOTE: Other operating bands and *BS channel bandwidth*s may be considered in future releases.

Requirements throughout the RF specifications are in many cases defined separately for different frequency ranges (FR). The frequency ranges in which NR can operate according to this version of the specification are identified as described in table 5.1-1.

Table 5.1-1: Definition of frequency ranges

|  |  |
| --- | --- |
| Frequency range designation | Corresponding frequency range |
| FR1 | 450 MHz – 6000 MHz |
| FR2 | 24250 MHz – 52600 MHz |

## 5.2 Operating bands

NR is designed to operate in the *operating bands* defined in table 5.2-1 and 5.2-2.

Table 5.2-1: NR *operating bands* in FR1

|  |  |  |  |
| --- | --- | --- | --- |
| NR *operating band* | Uplink (UL) *operating band* BS receive / UE transmit  FUL\_low – FUL\_high | Downlink (DL) *operating band* BS transmit / UE receive  FDL\_low – FDL\_high | Duplex Mode |
| n1 | 1920 MHz – 1980 MHz | 2110 MHz – 2170 MHz | FDD |
| n2 | 1850 MHz – 1910 MHz | 1930 MHz – 1990 MHz | FDD |
| n3 | 1710 MHz – 1785 MHz | 1805 MHz – 1880 MHz | FDD |
| n5 | 824 MHz – 849 MHz | 869 MHz – 894 MHz | FDD |
| n7 | 2500 MHz – 2570 MHz | 2620 MHz – 2690 MHz | FDD |
| n8 | 880 MHz – 915 MHz | 925 MHz – 960 MHz | FDD |
| n12 | 699 MHz – 716 MHz | 729 MHz – 746 MHz | FDD |
| n20 | 832 MHz – 862 MHz | 791 MHz – 821 MHz | FDD |
| n25 | 1850 MHz – 1915 MHz | 1930 MHz – 1995 MHz | FDD |
| n28 | 703 MHz – 748 MHz | 758 MHz – 803 MHz | FDD |
| n34 | 2010 MHz – 2025 MHz | 2010 MHz – 2025 MHz | TDD |
| n38 | 2570 MHz – 2620 MHz | 2570 MHz – 2620 MHz | TDD |
| n39 | 1880 MHz – 1920 MHz | 1880 MHz – 1920 MHz | TDD |
| n40 | 2300 MHz – 2400 MHz | 2300 MHz – 2400 MHz | TDD |
| n41 | 2496 MHz – 2690 MHz | 2496 MHz – 2690 MHz | TDD |
| n50 | 1432 MHz – 1517 MHz | 1432 MHz – 1517 MHz | TDD |
| n51 | 1427 MHz – 1432 MHz | 1427 MHz – 1432 MHz | TDD |
| n66 | 1710 MHz – 1780 MHz | 2110 MHz – 2200 MHz | FDD |
| n70 | 1695 MHz – 1710 MHz | 1995 MHz – 2020 MHz | FDD |
| n71 | 663 MHz – 698 MHz | 617 MHz – 652 MHz | FDD |
| n74 | 1427 MHz – 1470 MHz | 1475 MHz – 1518 MHz | FDD |
| n75 | N/A | 1432 MHz – 1517 MHz | SDL |
| n76 | N/A | 1427 MHz – 1432 MHz | SDL |
| n77 | 3300 MHz – 4200 MHz | 3300 MHz – 4200 MHz | TDD |
| n78 | 3300 MHz – 3800 MHz | 3300 MHz – 3800 MHz | TDD |
| n79 | 4400 MHz – 5000 MHz | 4400 MHz – 5000 MHz | TDD |
| n80 | 1710 MHz – 1785 MHz | N/A | SUL |
| n81 | 880 MHz – 915 MHz | N/A | SUL |
| n82 | 832 MHz – 862 MHz | N/A | SUL |
| n83 | 703 MHz – 748 MHz | N/A | SUL |
| n84 | 1920 MHz – 1980 MHz | N/A | SUL |
| n86 | 1710 MHz – 1780 MHz | N/A | SUL |

Table 5.2-2: NR *operating bands* in FR2

|  |  |  |
| --- | --- | --- |
| NR *operating band* | Uplink (UL) and Downlink (DL) *operating band* BS transmit/receive UE transmit/receive  FUL\_low – FUL\_high  FDL\_low – FDL\_high | Duplex Mode |
| n257 | 26500 MHz – 29500 MHz | TDD |
| n258 | 24250 MHz – 27500 MHz | TDD |
| n260 | 37000 MHz – 40000 MHz | TDD |
| n261 | 27500 MHz – 28350 MHz | TDD |

## 5.3 *BS channel bandwidth*

### 5.3.1 General

The *BS channel bandwidth* supports a single NR RF carrier in the uplink or downlink at the Base Station. Different *UE channel bandwidths* may be supported within the same spectrum for transmitting to and receiving from UEs connected to the BS. The placement of the *UE channel bandwidth* is flexible but can only be completely within the *BS channel bandwidth*. The BS shall be able to transmit to and/or receive from one or more UE Bandwidth parts that are smaller than or equal to the number of carrier resource blocks on the RF carrier, in any part of the carrier resource blocks.

The relationship between the channel bandwidth, the guardband and the transmission bandwidth configuration is shown in Figure 5.3.1-1.

.

Figure 5.3.1-1: Definition of channel bandwidth and transmission bandwidth configuration for one NR channel

### 5.3.2 Transmission bandwidth configuration

The transmission bandwidth configuration NRB for each *BS channel bandwidth* and subcarrier spacing is specified in table 5.3.2.-1 for FR1 and table 5.3.2-2 for FR2.

Table 5.3.2-1: Transmission bandwidth configuration NRB for FR1

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| SCS (kHz) | 5  MHz | 10  MHz | 15  MHz | 20 MHz | 25 MHz | 30  MHz | 40 MHz | 50 MHz | 60 MHz | 70  MHz | 80 MHz | 90  MHz | 100 MHz |
| NRB | NRB | NRB | NRB | NRB | NRB | NRB | NRB | NRB | NRB | NRB | NRB | NRB |
| 15 | 25 | 52 | 79 | 106 | 133 | 160 | 216 | 270 | N.A | N.A | N.A | N.A | N.A |
| 30 | 11 | 24 | 38 | 51 | 65 | 78 | 106 | 133 | 162 | 189 | 217 | 245 | 273 |
| 60 | N.A | 11 | 18 | 24 | 31 | 38 | 51 | 65 | 79 | 93 | 107 | 121 | 135 |

Table 5.3.2-2: Transmission bandwidth configuration NRB for FR2

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| SCS (kHz) | 50 MHz | 100 MHz | 200 MHz | 400 MHz |
| NRB | NRB | NRB | NRB |
| 60 | 66 | 132 | 264 | N.A |
| 120 | 32 | 66 | 132 | 264 |

NOTE: All Tx and Rx requirements are defined based on transmission bandwidth configuration specified in table 5.3.2-1 for FR1 and table 5.3.2-2 for FR2.

### 5.3.3 Minimum guardband and transmission bandwidth configuration

The minimum guardband for each *BS channel bandwidth* and SCS is specified in table 5.3.3-1 for FR1 and in table 5.3.3-2 for FR2.

Table 5.3.3-1: Minimum guardband (kHz) (FR1)

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| SCS (kHz) | 5 MHz | 10 MHz | 15  MHz | 20  MHz | 25  MHz | 30  MHz | 40  MHz | 50  MHz | 60  MHz | 70  MHz | 80  MHz | 90  MHz | 100  MHz |
| 15 | 242.5 | 312.5 | 382.5 | 452.5 | 522.5 | 592.5 | 552.5 | 692.5 | N.A | N.A | N.A | N.A | N.A |
| 30 | 505 | 665 | 645 | 805 | 785 | 945 | 905 | 1045 | 825 | 965 | 925 | 885 | 845 |
| 60 | N.A | 1010 | 990 | 1330 | 1310 | 1290 | 1610 | 1570 | 1530 | 1490 | 1450 | 1410 | 1370 |

Table: 5.3.3-2: Minimum guardband (kHz) (FR2)

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| SCS (kHz) | 50 MHz | 100 MHz | 200 MHz | 400 MHz |
| 60 | 1210 | 2450 | 4930 | N.A |
| 120 | 1900 | 2420 | 4900 | 9860 |

The minimum guardband of SCS 240 kHz SS/PBCH block for each BS channel bandwidth is specified in table 5.3.3-3 for FR2.

Table: 5.3.3-3: Minimum guardband (kHz) of SCS 240 kHz SS/PBCH block (FR2)

|  |  |  |  |
| --- | --- | --- | --- |
| SCS (kHz) | 100 MHz | 200 MHz | 400 MHz |
| 240 | 3800 | 7720 | 15560 |

NOTE: The minimum guardband in Table 5.3.3-3 is applicable only when the SCS 240 kHz SS/PBCH block is placed adjacent to the edge of the BS channel bandwidth within which the SS/PBCH block is located.

The number of RBs configured in any *BS channel bandwidth* shall ensure that the minimum guardband specified in this clause is met.



Figure 5.3.3-1: BS PRB utilization

In the case that multiple numerologies are multiplexed in the same symbol, the minimum guardband on each side of the carrier is the guardband applied at the configured *BS channel bandwidth* for the numerology that is transmitted/received immediately adjacent to the guard band.

For FR1, if multiple numerologies are multiplexed in the same symbol and the BS channel bandwidth is >50 MHz, the guardband applied adjacent to 15 kHz SCS shall be the same as the guardband defined for 30 kHz SCS for the same BS channel bandwidth.

For FR2, if multiple numerologies are multiplexed in the same symbol and the BS channel bandwidth is >200 MHz, the guardband applied adjacent to 60 kHz SCS shall be the same as the guardband defined for 120 kHz SCS for the same BS channel bandwidth.



Figure 5.3.3-2: Guard band definition when transmitting multiple numerologies

NOTE: Figure 5.3.3-2 is not intended to imply the size of any guard between the two numerologies. Inter-numerology guard band within the carrier is implementation dependent.

Figure 5.3.3-3: Void

Figure 5.3.3-4: Void

Figure 5.3.3-5: Void

### 5.3.4 RB alignment with different numerologies

For each numerology, its common resource blocks are specified in subclause 4.4.4.3 in [9], and the starting point of its transmission bandwidth configuration on the common resource block grid for a given channel bandwidth is indicated by an offset to “Reference point A” in the unit of the numerology. The indicated transmission bandwidth configuration must fulfil the minimum guardband requirement specified in subclause 5.3.3.

### 5.3.5 *BS channel bandwidth* per *operating band*

The requirements in this specification apply to the combination of *BS channel bandwidths*, SCS and operating bands shown in table 5.3.5-1 for FR1 and in table 5.3.5-2 for FR2. The transmission bandwidth configuration in table 5.3.2-1 and table 5.3.2-2 shall be supported for each of the *BS channel bandwidths* within the BS capability. The *BS channel bandwidths* are specified for both the Tx and Rx path.

Table 5.3.5-1: *BS channel bandwidths* and SCS per operating band in FR1

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| NR band / SCS / *BS channel bandwidth* | | | | | | | | | | | | | | |
| NR Band | SCS  kHz | 5 MHz | 10 MHz | 15 MHz | 20 MHz | 25 MHz | 30 MHz | 40 MHz | 50 MHz | 60 MHz | 70 MHz | 80 MHz | 90 MHz | 100 MHz |
| n1 | 15 | Yes | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| 30 |  | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| 60 |  | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| n2 | 15 | Yes | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| 30 |  | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| 60 |  | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| n3 | 15 | Yes | Yes | Yes | Yes | Yes | Yes |  |  |  |  |  |  |  |
| 30 |  | Yes | Yes | Yes | Yes | Yes |  |  |  |  |  |  |  |
| 60 |  | Yes | Yes | Yes | Yes | Yes |  |  |  |  |  |  |  |
| n5 | 15 | Yes | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| 30 |  | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| 60 |  |  |  |  |  |  |  |  |  |  |  |  |  |
| n7 | 15 | Yes | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| 30 |  | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| 60 |  | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| n8 | 15 | Yes | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| 30 |  | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| 60 |  |  |  |  |  |  |  |  |  |  |  |  |  |
| n12 | 15 | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |  |
| 30 |  | Yes | Yes |  |  |  |  |  |  |  |  |  |  |
| 60 |  |  |  |  |  |  |  |  |  |  |  |  |  |
| n20 | 15 | Yes | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| 30 |  | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| 60 |  |  |  |  |  |  |  |  |  |  |  |  |  |
| n25 | 15 | Yes | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| 30 |  | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| 60 |  | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| n28 | 15 | Yes | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| 30 |  | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| 60 |  |  |  |  |  |  |  |  |  |  |  |  |  |
| n34 | 15 | Yes |  |  |  |  |  |  |  |  |  |  |  |  |
| 30 |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 60 |  |  |  |  |  |  |  |  |  |  |  |  |  |
| n38 | 15 | Yes | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| 30 |  | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| 60 |  | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| n39 | 15 | Yes | Yes | Yes | Yes | Yes | Yes | Yes |  |  |  |  |  |  |
| 30 |  | Yes | Yes | Yes | Yes | Yes | Yes |  |  |  |  |  |  |
| 60 |  | Yes | Yes | Yes | Yes | Yes | Yes |  |  |  |  |  |  |
| n40 | 15 | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes |  |  |  |  |  |
| 30 |  | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes |  | Yes |  | Yes |
| 60 |  | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes |  | Yes |  | Yes |
| n41 | 15 |  | Yes | Yes | Yes |  |  | Yes | Yes |  |  |  |  |  |
| 30 |  | Yes | Yes | Yes |  |  | Yes | Yes | Yes | Yes | Yes | Yes | Yes |
| 60 |  | Yes | Yes | Yes |  |  | Yes | Yes | Yes | Yes | Yes | Yes | Yes |
| n50 | 15 | Yes | Yes | Yes | Yes |  |  | Yes | Yes |  |  |  |  |  |
| 30 |  | Yes | Yes | Yes |  |  | Yes | Yes | Yes |  | Yes |  |  |
| 60 |  | Yes | Yes | Yes |  |  | Yes | Yes | Yes |  | Yes |  |  |
| n51 | 15 | Yes |  |  |  |  |  |  |  |  |  |  |  |  |
| 30 |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 60 |  |  |  |  |  |  |  |  |  |  |  |  |  |
| n66 | 15 | Yes | Yes | Yes | Yes |  |  | Yes |  |  |  |  |  |  |
| 30 |  | Yes | Yes | Yes |  |  | Yes |  |  |  |  |  |  |
| 60 |  | Yes | Yes | Yes |  |  | Yes |  |  |  |  |  |  |
| n70 | 15 | Yes | Yes | Yes | Yes | Yes |  |  |  |  |  |  |  |  |
| 30 |  | Yes | Yes | Yes | Yes |  |  |  |  |  |  |  |  |
| 60 |  | Yes | Yes | Yes | Yes |  |  |  |  |  |  |  |  |
| n71 | 15 | Yes | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| 30 |  | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| 60 |  |  |  |  |  |  |  |  |  |  |  |  |  |
| n74 | 15 | Yes | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| 30 |  | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| 60 |  | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| n75 | 15 | Yes | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| 30 |  | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| 60 |  | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| n76 | 15 | Yes |  |  |  |  |  |  |  |  |  |  |  |  |
| 30 |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 60 |  |  |  |  |  |  |  |  |  |  |  |  |  |
| n77 | 15 |  | Yes | Yes | Yes |  | Yes | Yes | Yes |  |  |  |  |  |
| 30 |  | Yes | Yes | Yes |  | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes |
| 60 |  | Yes | Yes | Yes |  | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes |
| n78 | 15 |  | Yes | Yes | Yes |  | Yes | Yes | Yes |  |  |  |  |  |
| 30 |  | Yes | Yes | Yes |  | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes |
| 60 |  | Yes | Yes | Yes |  | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes |
| n79 | 15 |  |  |  |  |  |  | Yes | Yes |  |  |  |  |  |
| 30 |  |  |  |  |  |  | Yes | Yes | Yes |  | Yes |  | Yes |
| 60 |  |  |  |  |  |  | Yes | Yes | Yes |  | Yes |  | Yes |
| n80 | 15 | Yes | Yes | Yes | Yes | Yes | Yes |  |  |  |  |  |  |  |
| 30 |  | Yes | Yes | Yes | Yes | Yes |  |  |  |  |  |  |  |
| 60 |  | Yes | Yes | Yes | Yes | Yes |  |  |  |  |  |  |  |
| n81 | 15 | Yes | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| 30 |  | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| 60 |  |  |  |  |  |  |  |  |  |  |  |  |  |
| n82 | 15 | Yes | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| 30 |  | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| 60 |  |  |  |  |  |  |  |  |  |  |  |  |  |
| n83 | 15 | Yes | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| 30 |  | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| 60 |  |  |  |  |  |  |  |  |  |  |  |  |  |
| n84 | 15 | Yes | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| 30 |  | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| 60 |  | Yes | Yes | Yes |  |  |  |  |  |  |  |  |  |
| n86 | 15 | Yes | Yes | Yes | Yes |  |  | Yes |  |  |  |  |  |  |
| 30 |  | Yes | Yes | Yes |  |  | Yes |  |  |  |  |  |  |
| 60 |  | Yes | Yes | Yes |  |  | Yes |  |  |  |  |  |  |

Table 5.3.5-2: *BS channel bandwidths* and SCS per operating band in FR2

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| NR band / SCS / *BS channel bandwidth* | | | | | |
| NR Band | SCS  kHz | 50 MHz | 100 MHz | 200  MHz | 400 MHz |
| n257 | 60 | Yes | Yes | Yes |  |
| 120 | Yes | Yes | Yes | Yes |
| n258 | 60 | Yes | Yes | Yes |  |
| 120 | Yes | Yes | Yes | Yes |
| n260 | 60 | Yes | Yes | Yes |  |
| 120 | Yes | Yes | Yes | Yes |
| n261 | 60 | Yes | Yes | Yes |  |
| 120 | Yes | Yes | Yes | Yes |

## 5.3A BS channel bandwidth for CA

### 5.3A.1 Maximum transmission bandwidth configuration for CA

For carrier aggregation, the maximum transmission bandwidth configuration is defined per component carrier and the requirement is specified in subclause 5.3.2.

### 5.3A.2 Minimum guardband and transmission bandwidth configuration for CA

For intra-band contiguous carrier aggregation, *Aggregated Channel Bandwidth* and *Guard Bands* are defined as follows, see Figure 5.3A.2-1.

**FC\_low**

**Lower Edge**

**Upper Edge**

**Lowest Carrier Transmission Bandwidth Configuration [RB]**

**FC\_high**

**Foffset\_low**

**Highest Carrier Transmission Bandwidth Configuration [RB]**

**Resource block**

***Aggregated BS Channel Bandwidth*, BWchannel\_CA [MHz]**

**Fedge\_low**

**Fedge\_high**

**Foffset\_high**

Figure 5.3A.2-1: Definition of *Aggregated BS Channel Bandwidth* for intra-band carrier aggregation

The *sggregated BS Channel Bandwidth*, BWChannel\_CA**,** is defined as

BWChannel\_CA = Fedge\_high - Fedge\_low [MHz]

The lower bandwidth edge Fedge, low and the upper bandwidth edge Fedge,high of the aggregated channel bandwidth are used as frequency reference points for transmitter and receiver requirements and are defined by

Fedge,low = FC,low - Foffset,low

Fedge,high = FC,high + Foffset,high

The lower and upper frequency offsets depend on the transmission bandwidth configurations of the lowest and highest assigned edge component carrier and are defined as

Foffset,low = (NRB,low\*12 + 1)\*SCSlow/2 + BWGB (MHz)

Foffset,high = (NRB,high\*12 - 1)\*SCShigh/2 + BWGB (MHz)

BWGB = max(BWGB,Channel(k))

BWGB,Channel(k) is the minimum guard band defined in sub-clause 5.3.3 of carrier k, while NRB,low and NRB,high are the transmission bandwidth configurations according to Table 5.3.2-1 or Table 5.3.2-1 for the lowest and highest assigned component carrier, respectively.

For intra-band non-contiguous carrier aggregation *Sub-block Bandwidth* and *Sub-block edges* are defined as follows, see Figure 5.3A.2-2.

**FC,block 1,low**

**Sub-block Bandwidth, BWChannel,block[MHz]**

**Fedge,block 1, low**

**Fedge,block n, low**

**FC,block n,high**

**FC,block 1,high**

Sub block n

Sub block 1

Base Station RF Bandwidth

**Transmission Bandwidth Configuration of the highest carrier in a sub-block [RB]**

**Transmission Bandwidth Configuration of the lowest carrier in a sub-block [RB]**

**Transmission Bandwidth Configuration of the highest carrier in a sub-block [RB]**

**Fedge,block 1,high**

**Upper Sub-block Edge**

**Lower Sub-block Edge**

**Lower Sub-block Edge**

**Foffset\_high**

**Fedge,block n,high**

**Sub-block Bandwidth, BWChannel,block [MHz]**

**Resource block**

**Foffset\_low**

**FC,block n,low**

**Upper Sub-block Edge**

**Foffset\_high**

**Resource block**

**Foffset\_low**

**Transmission Bandwidth Configuration of the lowest carrier in a sub-block [RB]**

...

Figure 5.3A.2-2: Definition of sub-block bandwidth for intra-band non-contiguous spectrum

The lower sub-block edge of the Sub-block Bandwidth (BWChannel,block) is defined as

Fedge,block, low = FC,block,low - Foffset,block, low.

The upper sub-block edge of the Sub-block Bandwidth is defined as

Fedge,block,high = FC,block,high + Foffset,block,high.

The Sub-block Bandwidth, BWChannel,block, is defined as follows:

BWChannel,block = Fedge,block,high - Fedge,block,low (MHz)

The lower and upper frequency offsets Foffset,block,low and Foffset,block,high depend on the transmission bandwidth configurations of the lowest and highest assigned edge component carriers within a sub-block and are defined as

Foffset,block,low = (NRB,low\*12 + 1)\*SCSlow/2 + BWGB,low (MHz)

Foffset,block,high = (NRB,high\*12 - 1)\*SCShigh/2 + BWGB,high(MHz)

where NRB,low and NRB,high are the transmission bandwidth configurations according to Table 5.3.2-1 for the lowest and highest assigned component carrier within a sub-block, respectively. BWGB,low, BWGB,high are the minimum guard band defined in sub-clause 5.3.3 for the lowest and highest assigned component carrier respectively

The sub-block gap size between two consecutive sub-blocks Wgap is defined as

Wgap = Fedge,block n+1,low - Fedge,block n,high (MHz)

## 5.4 Channel arrangement

### 5.4.1 Channel spacing

#### 5.4.1.1 Channel spacing for adjacent NR carriers

The spacing between carriers will depend on the deployment scenario, the size of the frequency block available and the *BS channel bandwidths*. The nominal channel spacing between two adjacent NR carriers is defined as following:

- For NR FR1 operating bands with 100 kHz channel raster,

Nominal Channel spacing = (BWChannel(1) + BWChannel(2))/2

- For NR FR1 operating bands with 15 kHz channel raster,

Nominal Channel spacing = (BWChannel(1) + BWChannel(2))/2 + {-5 kHz, 0 kHz, 5 kHz}

- For NR FR2 operating bands with 60 kHz channel raster,

Nominal Channel spacing = (BWChannel(1) + BWChannel(2))/2 + {-20 kHz, 0 kHz, 20 kHz}

where BWChannel(1) and BWChannel(2) are the *BS channel bandwidths* of the two respective NR carriers. The channel spacing can be adjusted depending on the channel raster to optimize performance in a particular deployment scenario.

#### 5.4.1.2 Channel spacing for CA

For intra-band contiguously aggregated carriers, the channel spacing between adjacent component carriers shall be multiple of least common multiple of channel raster and sub-carrier spacing.

The nominal channel spacing between two adjacent aggregated NR carriers is defined as follows:

For NR operating bands with 100 kHz channel raster:



For NR operating bands with 15 kHz channel raster:



with



For NR operating bands with 60kHz channel raster:



with



Where BWChannel(1) and BWChannel(2) are the *BS channel bandwidths* of the two respective NR component carriers according to Table 5.3.2-1 and 5.3.2-2 with values in MHz, and the GBChannel(i) is the minimum guard band defined in sub-clause 5.3.3, while **1 and **2 are the subcarrier spacing configurations of the component carriers as defined in TS 38.211.

The channel spacing for intra-band contiguous carrier aggregation can be adjusted to any multiple of least common multiple of channel raster and sub-carrier spacing less than the nominal channel spacing to optimize performance in a particular deployment scenario.

### 5.4.2 Channel raster

#### 5.4.2.1 NR-ARFCN and channel raster

The global frequency raster defines a set of *RF reference frequencies* FREF. The *RF reference frequency* is used in signalling to identify the position of RF channels, SS blocks and other elements. The global frequency raster is defined for all frequencies from 0 to 100 GHz. The granularity of the global frequency raster is ΔFGlobal.

*RF reference frequencies* are is designated by an NR Absolute Radio Frequency Channel Number (NR-ARFCN) in the range [0…3279165] on the global frequency raster. The relation between the NR-ARFCN and the *RF reference frequency* FREF in MHz is given by the following equation, where FREF-Offs and NRef-Offs are given in table 5.4.2.1-1 and NREF is the NR-ARFCN.

FREF = FREF-Offs + ΔFGlobal (NREF – NREF-Offs)

Table 5.4.2.1-1: NR-ARFCN parameters for the global frequency raster

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Frequency range (MHz) | ΔFGlobal (kHz) | FREF-Offs (MHz) | NREF-Offs | Range of NREF |
| 0 – 3000 | 5 | 0 | 0 | 0 – 599999 |
| 3000 – 24250 | 15 | 3000 | 600000 | 600000 – 2016666 |
| 24250 – 100000 | 60 | 24250.08 | 2016667 | 2016667 – 3279165 |

The *channel raster* defines a subset of *RF reference frequencies* that can be used to identify the RF channel position in the uplink and downlink. The *RF reference frequency* for an RF channel maps to a resource element on the carrier. For each operating band, a subset of frequencies from the global frequency raster are applicable for that band and forms a channel raster with a granularity ΔFRaster, which may be equal to or larger than ΔFGlobal.

NOTE: The position of an RF channel can be identified through other reference points than the channel raster, such as “point A” defined in TR 38.211 [9].

For SUL bands and Bands n1, n2, n3, n5, n7, n8, n20, n28, n66 and n71 defined in table 5.2-1,

FREF\_shift = FREF + Δshift, Δshift = 0 kHz or 7.5 kHz

where Δshift is signalled by the network in higher layer parameter *frequencyShift7p5khz* [11].

The mapping between the *channel raster* and corresponding resource element is given in subclause 5.4.2.2. The applicable entries for each operating band are defined in subclause 5.4.2.3.

#### 5.4.2.2 Channel raster to resource element mapping

The mapping between the *RF reference frequency* on the channel raster and the corresponding resource element is given in table 5.4.2.2-1 and can be used to identify the RF channel position. The mapping depends on the total number of RBs that are allocated in the channel and applies to both UL and DL. The mapping must apply to at least one numerology supported by the BS.

Table 5.4.2.2-1: Channel Raster to Resource Element Mapping

|  |  |  |
| --- | --- | --- |
|  |  |  |
| Resource element index | 0 | 6 |
| Physical resource block number |  |  |

,  ,  are as defined in 3GPP TS 38.211 [9].

#### 5.4.2.3 Channel raster entries for each operating band

The RF channel positions on the channel raster in each NR operating band are given through the applicable NR-ARFCN in table 5.4.2.3-1 for FR1 and table 5.4.2.3-2 for FR2, using the channel raster to resource element mapping in subclause 5.4.2.2.

- For NR operating bands with 100 kHz channel raster, ΔFRaster = 20 × ΔFGlobal. In this case, every 20th NR-ARFCN within the operating band are applicable for the channel raster within the operating band and the step size for the channel raster in table 5.4.2.3-1 is given as <20>.

- For NR operating bands with 15 kHz channel raster below 3 GHz, ΔFRaster = *I* × ΔFGlobal , where *I ϵ {3,6}*. In this case, every *Ith* NR‑ARFCN within the operating band are applicable for the channel raster within the operating band and the step size for the channel raster in table 5.4.2.3-1 is given as <*I*>.

- For NR operating bands with 15 kHz and 60 kHz channel raster above 3 GHz, ΔFRaster = *I* ×ΔFGlobal, where *I ϵ {1, 2}*. In this case, every *Ith* NR‑ARFCN within the operating band are applicable for the channel raster within the operating band and the step size for the channel raster in table 5.4.2.3-1 and table 5.4.2.3-2 is given as <*I*>.

- In frequency bands with two ΔFRaster, the higher ΔFRaster applies to channels using only the SCS that equals the higher ΔFRaster.

Table 5.4.2.3-1: Applicable NR-ARFCN per operating band in FR1

|  |  |  |  |
| --- | --- | --- | --- |
| NR Operating Band | ΔFRaster  (kHz) | Uplink  Range of NREF  (First – <Step size> – Last) | Downlink  Range of NREF  (First – <Step size> – Last) |
| n1 | 100 | 384000 – <20> – 396000 | 422000 – <20> – 434000 |
| n2 | 100 | 370000 – <20> – 382000 | 386000 – <20> – 398000 |
| n3 | 100 | 342000 – <20> – 357000 | 361000 – <20> – 376000 |
| n5 | 100 | 164800 – <20> – 169800 | 173800 – <20> – 178800 |
| n7 | 100 | 500000 – <20> – 514000 | 524000 – <20> – 538000 |
| n8 | 100 | 176000 – <20> – 183000 | 185000 – <20> – 192000 |
| n12 | 100 | 139800 – <20> – 143200 | 145800 – <20> – 149200 |
| n20 | 100 | 166400 – <20> – 172400 | 158200 – <20> – 164200 |
| n25 | 100 | 370000 – <20> – 383000 | 386000 – <20> – 399000 |
| n28 | 100 | 140600 – <20> – 149600 | 151600 – <20> – 160600 |
| n34 | 100 | 402000 – <20> – 405000 | 402000 – <20> – 405000 |
| n38 | 100 | 514000 – <20> – 524000 | 514000 – <20> – 524000 |
| n39 | 100 | 376000 – <20> – 384000 | 376000 – <20> – 384000 |
| n40 | 100 | 460000 – <20> – 480000 | 460000 – <20> – 480000 |
| n41 | 15 | 499200 – <3> – 537999 | 499200 – <3> – 537999 |
| 30 | 499200 – <6> – 537996 | 499200 – <6> – 537996 |
| n50 | 100 | 286400 – <20> – 303400 | 286400 – <20> – 303400 |
| n51 | 100 | 285400 – <20> – 286400 | 285400 – <20> – 286400 |
| n66 | 100 | 342000 – <20> – 356000 | 422000 – <20> – 440000 |
| n70 | 100 | 339000 – <20> – 342000 | 399000 – <20> – 404000 |
| n71 | 100 | 132600 – <20> – 139600 | 123400 – <20> – 130400 |
| n74 | 100 | 285400 – <20> – 294000 | 295000 – <20> – 303600 |
| n75 | 100 | N/A | 286400 – <20> – 303400 |
| n76 | 100 | N/A | 285400 – <20> – 286400 |
| n77 | 15 | 620000 – <1> – 680000 | 620000 – <1> – 680000 |
| 30 | 620000 – <2> – 680000 | 620000 – <2> – 680000 |
| n78 | 15 | 620000 – <1> – 653333 | 620000 – <1> – 653333 |
| 30 | 620000 – <2> – 653332 | 620000 – <2> – 653332 |
| n79 | 15 | 693334 – <1> – 733333 | 693334 – <1> – 733333 |
| 30 | 693334 – <2> – 733332 | 693334 – <2> – 733332 |
| n80 | 100 | 342000 – <20> – 357000 | N/A |
| n81 | 100 | 176000 – <20> – 183000 | N/A |
| n82 | 100 | 166400 – <20> – 172400 | N/A |
| n83 | 100 | 140600 – <20> –149600 | N/A |
| n84 | 100 | 384000 – <20> – 396000 | N/A |
| n86 | 100 | 342000 – <20> – 356000 | N/A |

Table 5.4.2.3-2: Applicable NR-ARFCN per operating band in FR2

|  |  |  |
| --- | --- | --- |
| NR Operating Band | ΔFRaster  (kHz) | Uplink and Downlink  Range of NREF  (First – <Step size> – Last) |
| n257 | 60 | 2054166 – <1> – 2104165 |
| 120 | 2054167 – <2> – 2104165 |
| n258 | 60 | 2016667 – <1> – 2070832 |
| 120 | 2016667 – <2> – 2070831 |
| n260 | 60 | 2229166 – <1> – 2279165 |
| 120 | 2229167 – <2> – 2279165 |
| n261 | 60 | 2070833 – <1> – 2084999 |
| 120 | 2070833 – <2> – 2084999 |

### 5.4.3 Synchronization raster

#### 5.4.3.1 Synchronization raster and numbering

The synchronization raster indicates the frequency positions of the synchronization block that can be used by the UE for system acquisition when explicit signalling of the synchronization block position is not present.

A global synchronization raster is defined for all frequencies. The frequency position of the SS block is defined as SSREF with corresponding number GSCN. The parameters defining the SSREF and GSCN for all the frequency ranges are in table 5.4.3.1-1.

The resource element corresponding to the SS block reference frequency SSREF is given in subclause 5.4.3.2. The synchronization raster and the subcarrier spacing of the synchronization block is defined separately for each band.

Table 5.4.3.1-1: GSCN parameters for the global frequency raster

|  |  |  |  |
| --- | --- | --- | --- |
| Frequency range | SS block frequency position SSREF | GSCN | Range of GSCN |
| 0 – 3000 MHz | N \* 1200kHz + M \* 50 kHz,  N=1:2499, M ϵ {1,3,5} (Note) | 3N + (M-3)/2 | 2 – 7498 |
| 3000 – 24250 MHz | 3000 MHz + N \* 1.44 MHz  N= 0:14756 | 7499 + N | 7499 – 22255 |
| 24250 – 100000 MHz | 24250.08 MHz + N \* 17.28 MHz  N= 0:4383 | 22256 + N | 22256 – 26639 |
| NOTE: The default value for operating bands with SCS spaced channel raster is M=3. | | | |

#### 5.4.3.2 Synchronization raster to synchronization block resource element mapping

The mapping between the synchronization raster and the corresponding resource element of the SS block is given in table 5.4.3.2-1. The mapping depends on the total number of RBs that are allocated in the channel and applies to both UL and DL.

Table 5.4.3.2-1: Synchronization Raster to SS block Resource Element Mapping

|  |  |
| --- | --- |
| Resource element index | 0 |
| Physical resource block number  of the SS block |  |

, , are as defined in 3GPP TS 38.211 [9].

#### 5.4.3.3 Synchronization raster entries for each operating band

The synchronization raster for each band is give in table 5.4.3.3-1. The distance between applicable GSCN entries is given by the <Step size> indicated in table 5.4.3.3-1 for FR1 and table 5.4.3.3-2 for FR2.

Table 5.4.3.3-1: Applicable SS raster entries per operating band (FR1)

|  |  |  |  |
| --- | --- | --- | --- |
| NR Operating Band | SS Block SCS | SS Block pattern1 | Range of GSCN  (First – <Step size> – Last) |
| n1 | 15 kHz | Case A | 5279 – <1> – 5419 |
| n2 | 15 kHz | Case A | 4829 – <1> – 4969 |
| n3 | 15 kHz | Case A | 4517 – <1> – 4693 |
| n5 | 15 kHz | Case A | 2177 – <1> – 2230 |
| 30 kHz | Case B | 2183 – <1> – 2224 |
| n7 | 15 kHz | Case A | 6554 – <1> – 6718 |
| n8 | 15 kHz | Case A | 2318 – <1> – 2395 |
| n12 | 15 kHz | Case A | 1828 – <1> – 1858 |
| n20 | 15 kHz | Case A | 1982 – <1> – 2047 |
| n25 | 15 kHz | Case A | 4829 – <1> – 4981 |
| n28 | 15 kHz | Case A | 1901 – <1> – 2002 |
| n34 | 15 kHz | Case A | 5030 – <1> – 5056 |
| n38 | 15 kHz | Case A | 6431 – <1> – 6544 |
| n39 | 15 kHz | Case A | 4706 – <1> – 4795 |
| n40 | 15 kHz | Case A | 5756 – <1> – 5995 |
| n41 | 15 kHz | Case A | 6246 – <3> – 6717 |
| 30 kHz | Case C | 6252 – <3> – 6714 |
| n50 | 15 kHz | Case A | 3584 – <1> – 3787 |
| n51 | 15 kHz | Case A | 3572 – <1> – 3574 |
| n66 | 15 kHz | Case A | 5279 – <1> – 5494 |
| 30 kHz | Case B | 5285 – <1> – 5488 |
| n70 | 15 kHz | Case A | 4993 – <1> – 5044 |
| n71 | 15 kHz | Case A | 1547 – <1> – 1624 |
| n74 | 15 kHz | Case A | 3692 – <1> – 3790 |
| n75 | 15 kHz | Case A | 3584 – <1> – 3787 |
| n76 | 15 kHz | Case A | 3572 – <1> – 3574 |
| n77 | 30 kHz | Case C | 7711 – <1> – 8329 |
| n78 | 30 kHz | Case C | 7711 – <1> – 8051 |
| n79 | 30 kHz | Case C | 8480 – <16> – 8880 |
| NOTE 1: SS Block pattern is defined in section 4.1 in 3GPP TS 38.213 [10]. | | | |

Table 5.4.3.3-2: Applicable SS raster entries per operating band (FR2)

|  |  |  |  |
| --- | --- | --- | --- |
| NR Operating Band | SS Block SCS | SS Block pattern1 | Range of GSCN  (First – <Step size> – Last) |
| n257 | 120 kHz | Case D | 22388 – <1> – 22558 |
| 240 kHz | Case E | 22390 – <2> – 22556 |
| n258 | 120 kHz | Case D | 22257 – <1> – 22443 |
| 240 kHz | Case E | 22258 – <2> – 22442 |
| n260 | 120 kHz | Case D | 22995 – <1> – 23166 |
| 240 kHz | Case E | 22996 – <2> – 23164 |
| n261 | 120 kHz | Case D | 22446 – <1> – 22492 |
| 240 kHz | Case E | 22446 – <2> – 22490 |
| NOTE 1: SS Block pattern is defined in section 4.1 in 3GPP TS 38.213 [10]. | | | |

# 6 Conducted transmitter characteristics

## 6.1 General

Unless otherwise stated, the conducted transmitter characteristics are specified at the *antenna connector* for *BS type 1-C* and at the *TAB connector* for *BS type 1-H*, with a full complement of transceiver units for the configuration in normal operating conditions.

For *BS type 1-H* the manufacturer shall declare the minimum number of supported geographical cells (i.e. geographical areas covered by beams). The minimum number of supported geographical cells (Ncells) relates to the BS setting with the minimum amount of cell splitting supported with transmission on all *TAB connectors* supporting the *operating band*, or with minimum amount of transmitted beams.

For *BS type 1-H* manufacturer shall also declare *TAB connector TX min cell groups*. Every *TAB connector* of the *BS type 1-H* supporting transmission in an *operating band* shall map to one *TAB connector* *TX min cell group*,where mapping of *TAB connector*s to cells/beams is implementation dependent.

The number of *active transmitter units* that are considered when calculating the conducted TX emissions limits (NTXU,counted) for *BS type 1-H* is calculated as follows:

NTXU,counted = *min(NTXU,active , 8×Ncells)*

NTXU,countedpercell is used for scaling of *basic limits* and is derived as NTXU,countedpercell = NTXU,counted / Ncells

NOTE: NTXU,active depends on the actual number of *active transmitter unit*s and is independent to the declaration of Ncells.

## 6.2 Base station output power

### 6.2.1 General

The BS conducted output power requirement is at *antenna connector* for *BS type 1-C*, or at *TAB connector* for *BS type 1-H*.

The rated carrier output power of the *BS type 1-C* shall be as specified in table 6.2.1-1.

Table 6.2.1-1: *BS type 1-C* rated output power limits for BS classes

|  |  |
| --- | --- |
| BS class | Prated,c,AC |
| Wide Area BS | (Note) |
| Medium Range BS | ≤ 38 dBm |
| Local Area BS | ≤ 24 dBm |
| NOTE: There is no upper limit for the Prated,c,AC rated output power of the Wide Area Base Station. | |

The rated carrier output power of the *BS type 1-H* shall be as specified in table 6.2.1-2.

Table 6.2.1-2: *BS type 1-H* rated output power limits for BS classes

| BS class | Prated,c,sys | Prated,c,TABC |
| --- | --- | --- |
| Wide Area BS | (Note) | (Note) |
| Medium Range BS | ≤ 38 dBm +10log(NTXU,counted) | ≤ 38 dBm |
| Local Area BS | ≤ 24 dBm +10log(NTXU,counted) | ≤ 24 dBm |
| NOTE: There is no upper limit for the PRated,c,sys or PRated,c,TABC of the Wide Area Base Station. | | |

### 6.2.2 Minimum requirement for *BS type 1-C*

In normal conditions, Pmax,c,AC shall remain within +2 dB and -2 dB of the rated carrier output power Prated,c,AC, declared by the manufacturer.

In extreme conditions, Pmax,c,AC shall remain within +2.5 dB and -2.5 dB of the rated carrier output power Prated,c,AC, declared by the manufacturer.

In certain regions, the minimum requirement for normal conditions may apply also for some conditions outside the range of conditions defined as normal.

### 6.2.3 Minimum requirement for *BS type 1-H*

In normal conditions, Pmax,c,TABC shall remain within +2 dB and -2 dB of the rated carrier output power Prated,c,TABC for each *TAB connector* as declared by the manufacturer.

In extreme conditions, Pmax,c,TABC shall remain within +2.5 dB and -2.5 dB of the rated carrier output power Prated,c,TABC for each *TAB connector* as declared by the manufacturer.

In certain regions, the minimum requirement for normal conditions may apply also for some conditions outside the range of conditions defined as normal.

### 6.2.4 Additional requirements (regional)

In certain regions, additional regional requirements may apply.

## 6.3 Output power dynamics

### 6.3.1 General

The requirements in subclause 6.3 apply during the *transmitter ON period*. Transmit signal quality (as specified in subclause 6.5) shall be maintained for the output power dynamics requirements of this subclause.

Power control is used to limit the interference level.

### 6.3.2 RE power control dynamic range

#### 6.3.2.1 General

The RE power control dynamic range is the difference between the power of an RE and the average RE power for a BS at maximum output power (Pmax,c,TABC) for a specified reference condition.

For BS type 1-C this requirement shall apply at the *antenna connector* supporting transmission in the *operating band*.

For BS type 1-H this requirement shall apply at each *TAB connector* supporting transmission in the *operating band*.

#### 6.3.2.2 Minimum requirement for *BS type 1-C* and *BS type 1-H*

RE power control dynamic range:

Table 6.3.2.2-1: RE power control dynamic range

|  |  |  |
| --- | --- | --- |
| Modulation scheme used on the RE | RE power control dynamic range (dB) | |
| (down) | (up) |
| QPSK (PDCCH) | -6 | +4 |
| QPSK (PDSCH) | -6 | +3 |
| 16QAM (PDSCH) | -3 | +3 |
| 64QAM (PDSCH) | 0 | 0 |
| 256QAM (PDSCH) | 0 | 0 |
| NOTE: The output power per carrier shall always be less or equal to the maximum output power of the base station. | | |

### 6.3.3 Total power dynamic range

#### 6.3.3.1 General

The BS total power dynamic range is the difference between the maximum and the minimum transmit power of an OFDM symbol for a specified reference condition.

For *BS type 1-C* this requirement shall apply at the *antenna connector* supporting transmission in the *operating band*.

For *BS type 1-H* this requirement shall apply at each *TAB connector* supporting transmission in the *operating band*.

NOTE: The upper limit of the dynamic range is the OFDM symbol power for a BS at maximum output power. The lower limit of the total power dynamic range is the average power for single RB transmission. The OFDM symbol shall carry PDSCH and not contain RS, PBCH or synchronisation signals.

#### 6.3.3.2 Minimum requirement for *BS type 1-C* and *BS type 1-H*

The downlink (DL) total power dynamic range for each NR carrier shall be larger than or equal to the level in table 6.3.3.2-1.

Table 6.3.3.2-1: Total power dynamic range

|  |  |  |  |
| --- | --- | --- | --- |
| *BS channel bandwidth* (MHz) | Total power dynamic range  (dB) | | |
| 15 kHz SCS | 30 kHz SCS | 60 kHz SCS |
| 5 | 13.9 | 10.4 | N/A |
| 10 | 17.1 | 13.8 | 10.4 |
| 15 | 18.9 | 15.7 | 12.5 |
| 20 | 20.2 | 17 | 13.8 |
| 25 | 21.2 | 18.1 | 14.9 |
| 30 | 22 | 18.9 | 15.7 |
| 40 | 23.3 | 20.2 | 17 |
| 50 | 24.3 | 21.2 | 18.1 |
| 60 | N/A | 22 | 18.9 |
| 70 | N/A | 22.7 | 19.6 |
| 80 | N/A | 23.3 | 20.2 |
| 90 | N/A | 23.8 | 20.8 |
| 100 | N/A | 24.3 | 21.3 |

## 6.4 Transmit ON/OFF power

### 6.4.1 Transmitter OFF power

#### 6.4.1.1 General

Transmit OFF power requirements apply only to TDD operation of NR BS.

Transmitter OFF power is defined as the mean power measured over 70/N us filtered with a square filter of bandwidth equal to the transmission bandwidth configuration of the BS (BWConfig) centred on the assigned channel frequency during the *transmitter OFF period*. N = SCS/15, where SCS is Sub Carrier Spacing in kHz.

For *multi-band connectors*, the requirement is only applicable during the *transmitter OFF period* in all supported operating bands.

For *single band* *connectors* supporting transmission in multiple operating bands, the requirement is applicable per supported operating band.

For BS supporting intra-band contiguous CA, the transmitter OFF power is defined as the mean power measured over 70/N us filtered with a square filter of bandwidth equal to the *Aggregated BS Channel Bandwidth* BWChannel\_CA centred on (Fedge\_high+Fedge\_low)/2 during the *transmitter OFF period*. N = SCS/15, where SCS is Sub Carrier Spacing in kHz.

#### 6.4.1.2 Minimum requirement for BS type 1-C

For *BS type 1-C*, the requirements for transmitter OFF power spectral density shall be less than -85 dBm/MHz per *antenna connector*.

#### 6.4.1.3 Minimum requirement for BS type 1-H

For *BS type 1-H*, the requirements for transmitter OFF power spectral density shall be less than -85 dBm/MHz per *TAB connector*.

### 6.4.2 Transmitter transient period

#### 6.4.2.1 General

*Transmitter transient period* requirements apply only to TDD operation of NR BS.

The *transmitter transient period* is the time period during which the transmitter is changing from the *transmitter OFF period* to the *transmitter ON period* or vice versa. The *transmitter transient period* is illustrated in figure 6.4.2.1-1.

Transmitter output power

Time

Transmitter ON period

(DL transmission)

Transmitter OFF

period

Transmitter OFF

period

Transmitter transient

period

OFF power level

ON power level

UL transmission

GP or UL transmission

Figure 6.4.2.1-1: Example of relations between transmitter ON period, transmitter OFF period and *transmitter transient period*

For *BS type 1-C* this requirement shall be applied at the *antenna connector* supporting transmission in the *operating band*.

For *BS type 1-H* this requirement shall be applied at each *TAB connector* supporting transmission in the *operating band.*

#### 6.4.2.2 Minimum requirement for BS type 1-C and BS type 1-H

For *BS type 1-C* and *BS type 1-H*, the *transmitter transient period* shall be shorter than the values listed in the minimum requirement table 6.4.2.2-1.

Table 6.4.2.2-1: Minimum requirement for the *transmitter transient period* for *BS type 1-C* and *BS type 1-H*

|  |  |
| --- | --- |
| Transition | Transient period length (µs) |
| OFF to ON | 10 |
| ON to OFF | 10 |

#### 6.4.2.3 Void

## 6.5 Transmitted signal quality

### 6.5.1 Frequency error

#### 6.5.1.1 General

The requirements in subclause 6.5.1 apply to the transmitter ON period.

Frequency error is the measure of the difference between the actual BS transmit frequency and the assigned frequency. The same source shall be used for RF frequency and data clock generation.

For *BS type 1-C* this requirement shall be applied at the *antenna connector* supporting transmission in the *operating band*.

For *BS type 1-H* this requirement shall be applied at each *TAB connector* supporting transmission in the *operating band.*

#### 6.5.1.2 Minimum requirement for *BS type 1-C* and *BS type 1-H*

For *BS type 1-C* and 1-H, the modulated carrier frequency of each NR carrier configured by the BS shall be accurate to within the accuracy range given in table 6.5.1.2-1 observed over 1 ms.

Table 6.5.1.2-1: Frequency error minimum requirement

|  |  |
| --- | --- |
| BS class | Accuracy |
| Wide Area BS | ±0.05 ppm |
| Medium Range BS | ±0.1 ppm |
| Local Area BS | ±0.1 ppm |

### 6.5.2 Modulation quality

#### 6.5.2.1 General

Modulation quality is defined by the difference between the measured carrier signal and a reference signal. Modulation quality can e.g. be expressed as Error Vector Magnitude (EVM). The Error Vector Magnitude is a measure of the difference between the ideal symbols and the measured symbols after the equalization. This difference is called the error vector. Details about how the EVM is determined are specified in Annex B.

For *BS type 1-C* this requirement shall be applied at the *antenna connector* supporting transmission in the *operating band*.

For *BS type 1-H* this requirement shall be applied at each *TAB connector* supporting transmission in the *operating band.*

#### 6.5.2.2 Minimum Requirement for *BS type 1-C* and *BS type 1-H*

For *BS type 1-C* and *1-H*, the EVM levels of each NR carrier for different modulation schemes on PDSCH outlined in table 6.5.2.2-1 shall be met using the following reference signal patterns.

Table 6.5.2.2-1: EVM requirements for *BS type 1-C* and *BS type 1-H* carrier

|  |  |
| --- | --- |
| Modulation scheme for PDSCH | Required EVM |
| QPSK | 17.5 % |
| 16QAM | 12.5 % |
| 64QAM | 8 % |
| 256QAM | 3.5 % |

#### 6.5.2.3 EVM frame structure for measurement

EVM shall be evaluated for each NR carrier over all allocated resource blocks and downlink subframes and with RS density configuration of DM-RS of comb 2 (every other subcarrier) in symbol 3 and 11. Different modulation schemes listed in table 6.5.2.2-1 shall be considered for rank 1.

For NR, for all bandwidths, the EVM measurement shall be performed for each NR carrier over all allocated resource blocks and downlink subframes within 10 ms measurement periods. The boundaries of the EVM measurement periods need not be aligned with radio frame boundaries.

### 6.5.3 Time alignment error

#### 6.5.3.1 General

This requirement shall apply to frame timing in TX diversity, MIMO transmission, carrier aggregation and their combinations.

Frames of the NR signals present at the BS transmitter *antenna connectors* or *TAB connectors* are not perfectly aligned in time. The RF signals present at the BS transmitter *antenna connectors* or *transceiver array boundary* may experience certain timing differences in relation to each other.

The TAE is specified for a specific set of signals/transmitter configuration/transmission mode.

For *BS type 1-C*, the TAE is defined as the largest timing difference between any two signals belonging to different *antenna connectors* for a specific set of signals/transmitter configuration/transmission mode.

For *BS type 1-H*, the TAE is defined as the largest timing difference between any two signals belonging to *TAB connectors* belonging to different transmitter groups at the *transceiver array boundary*, where transmitter groups are associated with the *TAB connectors* in the transceiver unit array corresponding to TX diversity, MIMO transmission, *carrier aggregation* for a specific set of signals/transmitter configuration/transmission mode.

#### 6.5.3.2 Minimum requirement for *BS type 1-C* and 1-H

For MIMO or TX diversity transmissions, at each carrier frequency, TAE shall not exceed 65 ns.

For intra-band contiguous carrier aggregation, with or without MIMO or TX diversity, TAE shall not exceed 260ns.

For intra-band non-contiguous carrier aggregation, with or without MIMO or TX diversity, TAE shall not exceed 3µs.

For inter-band carrier aggregation, with or without MIMO or TX diversity, TAE shall not exceed 3µs.

Table 6.5.3.2-1: Void

Table 6.5.3.2-2: Void

Table 6.5.3.2-3: Void

## 6.6 Unwanted emissions

### 6.6.1 General

Unwanted emissions consist of out-of-band emissions and spurious emissions according to ITU definitions [2]. In ITU terminology, out of band emissions are unwanted emissions immediately outside the *BS channel bandwidth* resulting from the modulation process and non-linearity in the transmitter but excluding spurious emissions. Spurious emissions are emissions which are caused by unwanted transmitter effects such as harmonics emission, parasitic emission, intermodulation products and frequency conversion products, but exclude out of band emissions.

The out-of-band emissions requirement for the BS transmitter is specified both in terms of Adjacent Channel Leakage power Ratio (ACLR) and operating band unwanted emissions (OBUE).

The maximum offset of the operating band unwanted emissions mask from the operating band edge is ΔfOBUE. The Operating band unwanted emissions define all unwanted emissions in each supported downlink *operating band* plus the frequency ranges ΔfOBUE above and ΔfOBUE below each band. Unwanted emissions outside of this frequency range are limited by a spurious emissions requirement.

The values of ΔfOBUE are defined in table 6.6.1-1 for the NR *operating bands*.

Table 6.6.1-1: Maximum offset of OBUE outside the downlink *operating band*

|  |  |  |
| --- | --- | --- |
| BS type | Operating band characteristics | ΔfOBUE (MHz) |
| *BS type 1-H* | FDL\_high – FDL\_low < 100 MHz | 10 |
| 100 MHz ≤ FDL\_high – FDL\_low ≤ 900 MHz | 40 |
| *BS type 1-C* | FDL\_high – FDL\_low ≤ 200 MHz | 10 |
| 200 MHz < FDL\_high – FDL\_low ≤ 900 MHz | 40 |

For *BS type 1-H* the unwanted emission requirements are applied per the *TAB connector TX min cell groups* for all the configurations supported by the BS. The *basic limits* and corresponding emissions scaling are defined in each relevant subclause.

For a BS supporting multi-carrier or contiguous CA, the unwanted emissions requirements apply to *BS channel bandwidths* of the outermost carrier.

There is in addition a requirement for occupied bandwidth.

### 6.6.2 Occupied bandwidth

#### 6.6.2.1 General

The occupied bandwidth is the width of a frequency band such that, below the lower and above the upper frequency limits, the mean powers emitted are each equal to a specified percentage /2 of the total mean transmitted power. See also Recommendation ITU-R SM.328 [3].

The value of /2 shall be taken as 0.5%.

The occupied bandwidth requirement shall apply during the *transmitter ON period* for a single transmitted carrier. The minimum requirement below may be applied regionally. There may also be regional requirements to declare the occupied bandwidth according to the definition in the present clause.

For *BS type 1-C* this requirement shall be applied at the *antenna connector* supporting transmission in the *operating band*.

For *BS type 1-H* this requirement shall be appliedat each *TAB connector* supporting transmission in the *operating band.*

#### 6.6.2.2 Minimum requirement for *BS type 1-C* and *BS type 1-H*

The occupied bandwidth for each NR carrier shall be less than the *BS channel bandwidth*. For intra-band contiguous CA, the occupied bandwidth shall be less than or equal the *Aggregated BS Channel Bandwidth*.

### 6.6.3 Adjacent Channel Leakage Power Ratio

#### 6.6.3.1 General

Adjacent Channel Leakage power Ratio (ACLR) is the ratio of the filtered mean power centred on the assigned channel frequency to the filtered mean power centred on an adjacent channel frequency.

The requirements shall apply outside the Base Station RF Bandwidth or Radio Bandwidth whatever the type of transmitter considered (single carrier or multi-carrier) and for all transmission modes foreseen by the manufacturer’s specification.

For a BS operating in non-contiguous spectrum, the ACLR requirement in subclause 6.6.3.2 shall apply in *sub block gaps* for the frequency ranges defined in table 6.6.3.2-2a, while the CACLR requirement in subclause 6.6.3.2 shall apply in *sub block gaps* for the frequency ranges defined in table 6.6.3.2-3.

For a *multi-band connector*, the ACLR requirement in subclause 6.6.3.2 shall apply in *Inter RF Bandwidth gaps* for the frequency ranges defined in table 6.6.3.2-2a, while the CACLR requirement in subclause 6.6.3.2 shall apply in *Inter RF Bandwidth gaps* for the frequency ranges defined in table 6.6.3.2-3.

The requirement shall apply during the *transmitter ON period*.

#### 6.6.3.2 Limits and Basic limits

The ACLR is defined with a square filter of bandwidth equal to the transmission bandwidth configuration of the transmitted signal (BWConfig) centred on the assigned channel frequency and a filter centred on the adjacent channel frequency according to the tables below.

For operation in paired and unpaired spectrum, the ACLR shall be higher than the value specified in table 6.6.3.2‑1.

Table 6.6.3.2-1: Base station ACLR limit

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| *BS channel bandwidth* of lowest/highest NR carrier transmitted BWChannel (MHz) | BS adjacent channel centre frequency offset below the lowest or above the highest carrier centre frequency transmitted | Assumed adjacent channel carrier (informative) | Filter on the adjacent channel frequency and corresponding filter bandwidth | ACLR limit |
| 5, 10, 15, 20, 25, 30, 40, 50, 60, 70, 80, 90,100 | BWChannel | NR of same BW (Note 2) | Square (BWConfig) | 45 dB |
| 2 x BWChannel | NR of same BW (Note 2) | Square (BWConfig) | 45 dB |
| BWChannel /2 + 2.5 MHz | 5 MHz E-UTRA | Square (4.5 MHz) | 45 dB (Note 3) |
| BWChannel /2 + 7.5 MHz | 5 MHz E-UTRA | Square (4.5 MHz) | 45 dB (Note 3) |
| NOTE 1: BWChannel and BWConfig are the *BS channel bandwidth* and transmission bandwidth configuration of the lowest/highest NR carrier transmitted on the assigned channel frequency.  NOTE 2: With SCS that provides largest transmission bandwidth configuration (BWConfig).  NOTE 3: The requirements are applicable when the band is also defined for E-UTRA or UTRA. | | | | |

The ACLR absolute *basic limit* is specified in table 6.6.3.2‑2.

Table 6.6.3.2-2: Base station ACLR absolute *basic limit*

|  |  |
| --- | --- |
| BS category / BS class | ACLR absolute *basic limit* |
| Category A Wide Area BS | -13 dBm/MHz |
| Category B Wide Area BS | -15 dBm/MHz |
| Medium Range BS | -25 dBm/MHz |
| Local Area BS | -32 dBm/MHz |

For operation in non-contiguous spectrum or multiple bands, the ACLR shall be higher than the value specified in Table 6.6.3.2‑2a.

Table 6.6.3.2-2a: Base Station ACLR limit in non-contiguous spectrum or multiple bands

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| *BS channel bandwidth* of lowest/highest NR carrier transmitted BWChannel (MHz) | Sub-block or Inter RF Bandwidth gap size (Wgap) where the limit applies (MHz) | BS adjacent channel centre frequency offset below or above the sub-block or Base Station RF Bandwidth edge (inside the gap) | Assumed adjacent channel carrier | Filter on the adjacent channel frequency and corresponding filter bandwidth | ACLR limit |
| 5, 10, 15, 20 | Wgap ≥ 15 (Note 3)  Wgap ≥ 45 (Note 4) | 2.5 MHz | 5 MHz NR (Note 2) | Square (BWConfig) | 45 dB |
| Wgap ≥ 20 (Note 3)  Wgap ≥ 50 (Note 4) | 7.5 MHz | 5 MHz NR (Note 2) | Square (BWConfig) | 45 dB |
| 25, 30, 40, 50, 60, 70, 80, 90, 100 | Wgap ≥ 60 (Note 4)  Wgap ≥ 30 (Note 3) | 10 MHz | 20 MHz NR (Note 2) | Square (BWConfig) | 45 dB |
| Wgap ≥ 80 (Note 4)  Wgap ≥ 50 (Note 3) | 30 MHz | 20 MHz NR (Note 2) | Square (BWConfig) | 45 dB |
| NOTE 1: BWConfig is the transmission bandwidth configuration of the assumed adjacent channel carrier.  NOTE 2: With SCS that provides largest transmission bandwidth configuration (BWConfig).  NOTE 3: Applicable in case the *BS channel bandwidth* of the NR carrier transmitted at the other edge of the gap is 5, 10, 15, 20 MHz.  NOTE 4: Applicable in case the *BS channel bandwidth* of the NR carrier transmitted at the other edge of the gap is 25, 30, 40, 50, 60, 70, 80, 90, 100 MHz. | | | | | |

The Cumulative Adjacent Channel Leakage power Ratio (CACLR) in a sub-block gap or the Inter RF Bandwidth gap is the ratio of:

a) the sum of the filtered mean power centred on the assigned channel frequencies for the two carriers adjacent to each side of the sub-block gap or the Inter RF Bandwidth gap, and

b) the filtered mean power centred on a frequency channel adjacent to one of the respective sub-block edges or Base Station RF Bandwidth edges.

The assumed filter for the adjacent channel frequency is defined in table 6.6.3.2-3 and the filters on the assigned channels are defined in table 6.6.3.2-4.

For operation in non-contiguous spectrum or multiple bands, the CACLR for NR carriers located on either side of the sub-block gap or the Inter RF Bandwidth gap shall be higher than the value specified in table 6.6.3.2-3.

Table 6.6.3.2-3: Base Station CACLR limit

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| *BS channel bandwidth* of lowest/highest NR carrier transmitted BWChannel (MHz) | Sub-block or Inter RF Bandwidth gap size (Wgap) where the limit applies (MHz) | BS adjacent channel centre frequency offset below or above the sub-block or Base Station RF Bandwidth edge (inside the gap) | Assumed adjacent channel carrier | Filter on the adjacent channel frequency and corresponding filter bandwidth | CACLR limit |
| 5, 10, 15, 20 | 5 ≤ Wgap < 15 (Note 3)  5 ≤ Wgap < 45 (Note 4) | 2.5 MHz | 5 MHz NR (Note 2) | Square (BWConfig) | 45 dB |
| 10 < Wgap < 20 (Note 3)  10 ≤ Wgap < 50 (Note 4) | 7.5 MHz | 5 MHz NR (Note 2) | Square (BWConfig) | 45 dB |
| 25, 30, 40, 50, 60, 70, 80,90, 100 | 20 ≤ Wgap < 60 (Note 4)  20 ≤ Wgap < 30 (Note 3) | 10 MHz | 20 MHz NR (Note 2) | Square (BWConfig) | 45 dB |
| 40 < Wgap < 80 (Note 4)  40 ≤ Wgap < 50 (Note 3) | 30 MHz | 20 MHz NR (Note 2) | Square (BWConfig) | 45 dB |
| NOTE 1: BWConfig is the transmission bandwidth configuration of the assumed adjacent channel carrier.  NOTE 2: With SCS that provides largest transmission bandwidth configuration (BWConfig).  NOTE 3: Applicable in case the *BS channel bandwidth* of the NR carrier transmitted at the other edge of the gap is 5, 10, 15, 20 MHz.  NOTE 4: Applicable in case the *BS channel bandwidth* of the NR carrier transmitted at the other edge of the gap is 25, 30, 40, 50, 60, 70, 80, 90, 100 MHz. | | | | | |

The CACLR absolute *basic limit* is specified in table 6.6.3.2‑3a.

Table 6.6.3.2-3a: Base station CACLR absolute *basic limit*

|  |  |
| --- | --- |
| BS category / BS class | CACLR absolute *basic limit* |
| Category A Wide Area BS | -13 dBm/MHz |
| Category B Wide Area BS | -15 dBm/MHz |
| Medium Range BS | -25 dBm/MHz |
| Local Area BS | -32 dBm/MHz |

Table 6.6.3.2-4: Filter parameters for the assigned channel

|  |  |
| --- | --- |
| RAT of the carrier adjacent to the sub-block or Inter RF Bandwidth gap | Filter on the assigned channel frequency and corresponding filter bandwidth |
| NR | NR of same BW with SCS that provides largest transmission bandwidth configuration |

#### 6.6.3.3 Minimum requirement for *BS type 1-C*

The ACLR (CACLR) absolute *basic limits* in table 6.6.3.2-2, 6.6.3.2-3a or the ACLR (CACLR) *limits* in table 6.6.3.2-1, 6.6.3.2-2a or 6.6.3.2-3, whichever is less stringent, shall apply for each *antenna connector*.

#### 6.6.3.4 Minimum requirement for *BS type 1-H*

The ACLR (CACLR) absolute *basic limits* in table 6.6.3.2-2 + X, 6.6.3.2-3a + X (where X = 10log10(NTXU,countedpercell), unless stated differently in regional regulation) or the ACLR (CACLR) *limits* in table 6.6.3.2-1, 6.6.3.2-2a or 6.6.3.2-3, whichever is less stringent, shall apply for each *TAB connector TX min cell group*.

NOTE: Conformance to the *BS type 1-H* ACLR requirement can be demonstrated by meeting at least one of the following criteria as determined by the manufacturer:

1) The ratio of the sum of the filtered mean power measured on each *TAB connector* in the *TAB connector TX min cell group* at the assigned channel frequency to the sum of the filtered mean power measured on each *TAB connector* in the *TAB connector TX min cell group* at the adjacent channel frequency shall be greater than or equal to the ACLR *basic limit* of the BS. This shall apply for each *TAB connector TX min cell group*.

Or

2) The ratio of the filtered mean power at the *TAB connector* centred on the assigned channel frequency to the filtered mean power at this *TAB connector* centred on the adjacent channel frequency shall be greater than or equal to the ACLR *basic limit* of the BS for every *TAB connector* in the *TAB connector TX min cell group*, for each *TAB connector TX min cell group*.

In case the ACLR (CACLR) absolute *basic limit* of *BS type 1-H* are applied, the conformance can be demonstrated by meeting at least one of the following criteria as determined by the manufacturer:

1) The sum of the filtered mean power measured on each *TAB connector* in the *TAB connector TX min cell group* at the adjacent channel frequency shall be less than or equal to the ACLR (CACLR) absolute ba*sic limit* + X of the BS. This shall apply to each *TAB* connector *TX min cell group.*

Or

2) The filtered mean power at each *TAB connector* centred on the adjacent channel frequency shall be less than or equal to the ACLR (CACLR) absolute *basic limit* of the BS scaled by X -10log10(*n*) for every *TAB connector* in the *TAB connector TX min cell group*, for each *TAB connector TX min cell group*, where *n* is the number of *TAB connectors* in the *TAB connector TX min cell group.*

### 6.6.4 Operating band unwanted emissions

#### 6.6.4.1 General

Unless otherwise stated, the operating band unwanted emission (OBUE) limits in FR1 are defined from ΔfOBUE below the lowest frequency of each supported downlink *operating band* up to ΔfOBUE above the highest frequency of each supported downlink *operating band*. The values of ΔfOBUE are defined in table 6.6.1‑1 for the NR operating bands.

The requirements shall apply whatever the type of transmitter considered and for all transmission modes foreseen by the manufacturer’s specification. In addition, for a BS operating in non-contiguous spectrum, the requirements apply inside any sub-block gap. In addition, for a BS operating in multiple bands, the requirements apply inside any Inter RF Bandwidth gap.

Emissions shall not exceed the maximum levels specified as *basic limits* in the tables below, where:

- Δf is the separation between the channel edge frequency and the nominal -3dB point of the measuring filter closest to the carrier frequency.

- f\_offset is the separation between the channel edge frequency and the centre of the measuring filter.

- f\_offsetmax is the offset to the frequency ΔfOBUE outside the downlink *operating band*, where ΔfOBUE is defined in table 6.6.1-1.

- Δfmax is equal to f\_offsetmax minus half of the bandwidth of the measuring filter.

For a *multi-band connector* inside any *Inter RF Bandwidth gaps* with Wgap < 2\*ΔfOBUE, emissions shall not exceed the cumulative sum of the *basic limit*s specified at the *Base Station RF Bandwidth edges* on each side of the *Inter RF Bandwidth gap*. The *basic limit* for *Base Station RF Bandwidth edge* is specified in the tables subclause 6.6.4.2.1 to 6.6.4.2.4 below, where in this case:

- Δf is the separation between the *Base Station RF Bandwidth edge* frequency and the nominal -3 dB point of the measuring filter closest to the *Base Station RF Bandwidth edge*.

- f\_offset is the separation between the *Base Station RF Bandwidth edge* frequency and the centre of the measuring filter.

- f\_offsetmax is equal to the *Inter RF Bandwidth gap* minus half of the bandwidth of the measuring filter.

- Δfmax is equal to f\_offsetmax minus half of the bandwidth of the measuring filter.

For a *multi-band connector*, the operating band unwanted emission limits apply also in a supported operating band without any carrier transmitted, in the case where there are carrier(s) transmitted in another supported operating band. In this case, no cumulative limit is applied in the *inter-band gap* between a supported downlink operating band with carrier(s) transmitted and a supported downlink operating band without any carrier transmitted and

- In case the *inter-band gap* between a supported downlink operating band with carrier(s) transmitted and a supported downlink operating band without any carrier transmitted is less than 2\*ΔfOBUE, f\_offsetmax shall be the offset to the frequency ΔfOBUE MHz outside the outermost edges of the two supported downlink operating bands and the operating band unwanted emission limit of the band where there are carriers transmitted, as defined in the tables of the present subclause, shall apply across both downlink bands.

- In other cases, the operating band unwanted emission limit of the band where there are carriers transmitted, as defined in the tables of the present subclause for the largest frequency offset (Δfmax), shall apply from ΔfOBUE MHz below the lowest frequency, up to ΔfOBUE MHz above the highest frequency of the supported downlink operating band without any carrier transmitted.

For a multicarrier *single-band connector* or a *single-band connector* configured for intra-band contiguous or non-contiguous carrier aggregation the definitions above apply to the lower edge of the carrier transmitted at the lowest carrier frequency and the upper edge of the carrier transmitted at the highest carrier frequency within a specified frequency band.

In addition inside any sub-block gap for a *single-band connector* operating in non-contiguous spectrum, emissions shall not exceed the cumulative sum of the *basic limit*s specified for the adjacent sub blocks on each side of the sub block gap. The *basic limit* for each sub block is specified in the tables subcluase 6.6.4.2.1 to 6.6.4.2.4 below, where in this case:

- Δf is the separation between the sub block edge frequency and the nominal -3 dB point of the measuring filter closest to the sub block edge.

- f\_offset is the separation between the sub block edge frequency and the centre of the measuring filter.

- f\_offsetmax is equal to the sub block gap bandwidth minus half of the bandwidth of the measuring filter.

- Δfmax is equal to f\_offsetmax minus half of the bandwidth of the measuring filter.

For Wide Area BS, the requirements of either subclause 6.6.4.2.1 (Category A limits) or subclause 6.6.4.2.2 (Category B limits) shall apply.

For Medium Range BS, the requirements in subclause 6.6.4.2.3 shall apply (Category A and B).

For Local Area BS, the requirements of subclause 6.6.4.2.4 shall apply (Category A and B).

The application of either Category A or Category B limits shall be the same as for Transmitter spurious emissions in subclause 6.6.5.

#### 6.6.4.2 Basic limits

##### 6.6.4.2.1 Basic limits for Wide Area BS (Category A)

For BS operating in Bands n5, n8, n12, n28, n71, emissions shall not exceed the *basic limits* specified in table 6.6.4.2.1‑1.

Table 6.6.4.2.1-1: Wide Area BS operating band unwanted emission limits   
(NR bands below 1 GHz) for Category A

|  |  |  |  |
| --- | --- | --- | --- |
| Frequency offset of measurement filter ‑3dB point, Δf | Frequency offset of measurement filter centre frequency, f\_offset | *Basic limit* (Note 1, 2) | Measurement bandwidth |
| 0 MHz ≤ Δf < 5 MHz | 0.05 MHz ≤ f\_offset < 5.05 MHz |  | 100 kHz |
| 5 MHz ≤ Δf <  min(10 MHz, Δfmax) | 5.05 MHz ≤ f\_offset <  min(10.05 MHz, f\_offsetmax) | -14 dBm | 100 kHz |
| 10 MHz ≤ Δf ≤ Δfmax | 10.05 MHz ≤ f\_offset < f\_offsetmax | -13 dBm (Note 3) | 100 kHz |
| NOTE 1: For a BS supporting non-contiguous spectrum operation within any *operating band*, the emission limits within sub-block gaps is calculated as a cumulative sum of contributions from adjacent sub blocks on each side of the sub block gap. Exception is f ≥ 10MHz from both adjacent sub blocks on each side of the sub-block gap, where the emission limits within sub-block gaps shall be -13 dBm/100 kHz.  NOTE 2: For a *multi-band connector* with Inter RF Bandwidth gap < 2\*ΔfOBUE the emission limits within the Inter RF Bandwidth gaps is calculated as a cumulative sum of contributions from adjacent sub-blocks or RF Bandwidth on each side of the Inter RF Bandwidth gap.  NOTE 3: The requirement is not applicable when Δfmax < 10 MHz. | | | |

For BS operating in Bands n1, n2, n3, n7, n25, n34, n38, n39, n40, n41, n50, n66, n70, n74, n75, n77, n78, n79, emissions shall not exceed the *basic limits* specified in table 6.6.4.2.1-2:

Table 6.6.4.2.1-2: Wide Area BS *operating band* unwanted emission limits   
(NR bands above 1 GHz) for Category A

|  |  |  |  |
| --- | --- | --- | --- |
| Frequency offset of measurement filter ‑3dB point, Δf | Frequency offset of measurement filter centre frequency, f\_offset | *Basic limit* (Note 1, 2) | Measurement bandwidth |
| 0 MHz ≤ Δf < 5 MHz | 0.05 MHz ≤ f\_offset < 5.05 MHz |  | 100 kHz |
| 5 MHz ≤ Δf <  min(10 MHz, Δfmax) | 5.05 MHz ≤ f\_offset <  min(10.05 MHz, f\_offsetmax) | -14 dBm | 100 kHz |
| 10 MHz ≤ Δf ≤ Δfmax | 10.5 MHz ≤ f\_offset < f\_offsetmax | -13 dBm (Note 3) | 1MHz |
| NOTE 1: For a BS supporting non-contiguous spectrum operation within any *operating band*, the emission limits within sub-block gaps is calculated as a cumulative sum of contributions from adjacent sub blocks on each side of the sub block gap, where the contribution from the far-end sub-block shall be scaled according to the measurement bandwidth of the near-end sub-block. Exception is f ≥ 10MHz from both adjacent sub blocks on each side of the sub-block gap, where the emission limits within sub-block gaps shall be ‑13 dBm/1 MHz.  NOTE 2: For a *multi-band connector* with Inter RF Bandwidth gap < 2\*ΔfOBUE the emission limits within the Inter RF Bandwidth gaps is calculated as a cumulative sum of contributions from adjacent sub-blocks or RF Bandwidth on each side of the Inter RF Bandwidth gap, where the contribution from the far-end sub-block or RF Bandwidth shall be scaled according to the measurement bandwidth of the near-end sub-block or RF Bandwidth.  NOTE 3: The requirement is not applicable when Δfmax < 10 MHz. | | | |

##### 6.6.4.2.2 Basic limits for Wide Area BS (Category B)

For Category B Operating band unwanted emissions, there are two options for the limits that may be applied regionally. Either the limits in subclause 6.6.4.2.2.1 or subclause 6.6.4.2.2.2 shall be applied.

###### 6.6.4.2.2.1 Category B requirements (Option 1)

For BS operating in Bands n5, n8, n12, n20, n28, n71, emissions shall not exceed the *basic limits* specified in table 6.6.4.2.2.1-1:

Table 6.6.4.2.2.1-1: Wide Area BS operating band unwanted emission limits   
(NR bands below 1 GHz) for Category B

|  |  |  |  |
| --- | --- | --- | --- |
| Frequency offset of measurement filter ‑3dB point, Δf | Frequency offset of measurement filter centre frequency, f\_offset | *Basic limit* (Note 1, 2) | Measurement bandwidth |
| 0 MHz ≤ Δf < 5 MHz | 0.05 MHz ≤ f\_offset < 5.05 MHz |  | 100 kHz |
| 5 MHz ≤ Δf <  min(10 MHz, Δfmax) | 5.05 MHz ≤ f\_offset <  min(10.05 MHz, f\_offsetmax) | -14 dBm | 100 kHz |
| 10 MHz ≤ Δf ≤ Δfmax | 10.05 MHz ≤ f\_offset < f\_offsetmax | -16 dBm (Note 3) | 100 kHz |
| NOTE 1: For a BS supporting non-contiguous spectrum operation within any *operating band*, the emission limits within sub-block gaps is calculated as a cumulative sum of contributions from adjacent sub blocks on each side of the sub block gap, where the contribution from the far-end sub-block shall be scaled according to the measurement bandwidth of the near-end sub-block. Exception is f ≥ 10MHz from both adjacent sub blocks on each side of the sub-block gap, where the emission limits within sub-block gaps shall be ‑16 dBm/100 kHz.  NOTE 2: For a *multi-band connector* with Inter RF Bandwidth gap < 2\*ΔfOBUE the emission limits within the Inter RF Bandwidth gaps is calculated as a cumulative sum of contributions from adjacent sub-blocks or RF Bandwidth on each side of the Inter RF Bandwidth gap, where the contribution from the far-end sub-block or RF Bandwidth shall be scaled according to the measurement bandwidth of the near-end sub-block or RF Bandwidth.  NOTE 3: The requirement is not applicable when Δfmax < 10 MHz. | | | |

For BS operating in Bands n1, n2, n3, n7, n25, n34, n38, n39, n40, n41, n50, n66, n70, n75, n77, n78, n79, emissions shall not exceed the *basic limits* specified in tables 6.6.4.2.2.1-2:

Table 6.6.4.2.2.1-2: Wide Area BS operating band unwanted emission limits   
(NR bands above 1 GHz) for Category B

|  |  |  |  |
| --- | --- | --- | --- |
| Frequency offset of measurement filter ‑3dB point, Δf | Frequency offset of measurement filter centre frequency, f\_offset | *Basic limit* (Note 1, 2) | Measurement bandwidth |
| 0 MHz ≤ Δf < 5 MHz | 0.05 MHz ≤ f\_offset < 5.05 MHz |  | 100 kHz |
| 5 MHz ≤ Δf <  min(10 MHz, Δfmax) | 5.05 MHz ≤ f\_offset <  min(10.05 MHz, f\_offsetmax) | -14 dBm | 100 kHz |
| 10 MHz ≤ Δf ≤ Δfmax | 10.5 MHz ≤ f\_offset < f\_offsetmax | -15 dBm (Note 3) | 1MHz |
| NOTE 1: For a BS supporting non-contiguous spectrum operation within any *operating band*, the emission limits within sub-block gaps is calculated as a cumulative sum of contributions from adjacent sub blocks on each side of the sub block gap, where the contribution from the far-end sub-block shall be scaled according to the measurement bandwidth of the near-end sub-block. Exception is f ≥ 10MHz from both adjacent sub blocks on each side of the sub-block gap, where the emission limits within sub-block gaps shall be ‑15 dBm/1 MHz.  NOTE 2: For a *multi-band connector* with Inter RF Bandwidth gap < 2\*ΔfOBUE the emission limits within the Inter RF Bandwidth gaps is calculated as a cumulative sum of contributions from adjacent sub-blocks or RF Bandwidth on each side of the Inter RF Bandwidth gap, where the contribution from the far-end sub-block or RF Bandwidth shall be scaled according to the measurement bandwidth of the near-end sub-block or RF Bandwidth.  NOTE 3: The requirement is not applicable when Δfmax < 10 MHz. | | | |

###### 6.6.4.2.2.2 Category B requirements (Option 2)

The limits in this subclause are intended for Europe and may be applied regionally for BS operating in bands n1, n3, n8.

For a BS operating in bands n1, n3, n8 emissions shall not exceed the maximum levels specified in Table 6.6.4.2.2.2-1:

Table 6.6.4.2.2.2-1: Regional Wide Area BS operating band unwanted emission limits for Category B

|  |  |  |  |
| --- | --- | --- | --- |
| Frequency offset of measurement filter ‑3dB point, Δf | Frequency offset of measurement filter centre frequency, f\_offset | *Basic limit* (Note 1, 2) | Measurement bandwidth |
| 0 MHz ≤ Δf < 0.2 MHz | 0.015 MHz ≤ f\_offset < 0.215 MHz | -14 dBm | 30 kHz |
| 0.2 MHz ≤ Δf < 1 MHz | 0.215 MHz ≤ f\_offset < 1.015 MHz |  | 30 kHz |
| (Note 4) | 1.015 MHz ≤ f\_offset < 1.5 MHz | -26 dBm | 30 kHz |
| 1 MHz ≤ Δf ≤  min( 10 MHz, Δfmax) | 1.5 MHz ≤ f\_offset <  min(10.5 MHz, f\_offsetmax) | -13 dBm | 1 MHz |
| 10 MHz ≤ Δf ≤ Δfmax | 10.5 MHz ≤ f\_offset < f\_offsetmax | -15 dBm (Note 3) | 1 MHz |
| NOTE 1: For a BS supporting non-contiguous spectrum operation within any operating band, the minimum requirement within sub-block gaps is calculated as a cumulative sum of contributions from adjacent sub blocks on each side of the sub block gap, where the contribution from the far-end sub-block shall be scaled according to the measurement bandwidth of the near-end sub-block. Exception is f ≥ 10MHz from both adjacent sub blocks on each side of the sub-block gap, where the minimum requirement within sub-block gaps shall be -15dBm/1MHz.  NOTE 2: For a *multi-band connector* with Inter RF Bandwidth gap < 2\*ΔfOBUE the minimum requirement within the Inter RF Bandwidth gaps is calculated as a cumulative sum of contributions from adjacent sub-blocks or RF Bandwidth on each side of the Inter RF Bandwidth gap, where the contribution from the far-end sub-block or RF Bandwidth shall be scaled according to the measurement bandwidth of the near-end sub-block or RF Bandwidth.  NOTE 3: The requirement is not applicable when Δfmax < 10 MHz.  NOTE 4: This frequency range ensures that the range of values of f\_offset is continuous. | | | |

##### 6.6.4.2.3 Basic limits for Medium Range BS (Category A and B)

For Medium Range BS, emissions shall not exceed the *basic limits* specified in table 6.6.4.2.3-1 and table 6.6.4.2.3-2.

For the tables in this sub-clause for *BS type 1-C* Prated,x = Prated,c,AC, and for *BS type 1-H* Prated,x = Prated,c,cell – 10\*log10(NTXU,countedpercell), and for *BS type 1-O* Prated,x = Prated,c,TRP – 9 dB.

Table 6.6.4.2.3-1: Medium Range BS *operating band* unwanted emission limits, 31< Prated,x ≤ 38 dBm

|  |  |  |  |
| --- | --- | --- | --- |
| Frequency offset of measurement filter ‑3dB point, Δf | Frequency offset of measurement filter centre frequency, f\_offset | *Basic limit* (Note 1, 2) | Measurement bandwidth |
| 0 MHz ≤ Δf < 5 MHz | 0.05 MHz ≤ f\_offset < 5.05 MHz |  | 100 kHz |
| 5 MHz ≤ Δf < min(10 MHz, Δfmax) | 5.05 MHz ≤ f\_offset < min(10.05 MHz, f\_offsetmax) | Prated,x - 60dB | 100 kHz |
| 10 MHz ≤ Δf ≤ Δfmax | 10.05 MHz ≤ f\_offset < f\_offsetmax | Min(Prated,x - 60dB, -25dBm) (Note 3) | 100 kHz |
| NOTE 1: For a BS supporting non-contiguous spectrum operation within any *operating band* the emission limits within sub-block gaps is calculated as a cumulative sum of contributions from adjacent sub blocks on each side of the sub block gap. Exception is f ≥ 10MHz from both adjacent sub blocks on each side of the sub-block gap, where the emission limits within sub-block gaps shall be Min(Prated,x -60dB, ‑25dBm)/100kHz.  NOTE 2: For a *multi-band connector* with Inter RF Bandwidth gap < 2\*ΔfOBUE the emission limits within the Inter RF Bandwidth gaps is calculated as a cumulative sum of contributions from adjacent sub-blocks or RF Bandwidth on each side of the Inter RF Bandwidth gap.  NOTE 3: The requirement is not applicable when Δfmax < 10 MHz. | | | |

Table 6.6.4.2.3-2: Medium Range BS operating band unwanted emission limits, Prated,x ≤ 31 dBm

|  |  |  |  |
| --- | --- | --- | --- |
| Frequency offset of measurement filter ‑3dB point, Δf | Frequency offset of measurement filter centre frequency, f\_offset | *Basic limit* (Note 1, 2) | Measurement bandwidth |
| 0 MHz ≤ Δf < 5 MHz | 0.05 MHz ≤ f\_offset < 5.05 MHz |  | 100 kHz |
| 5 MHz ≤ Δf < min(10 MHz, Δfmax) | 5.05 MHz ≤ f\_offset < min(10.05 MHz, f\_offsetmax) | -29 dBm | 100 kHz |
| 10 MHz ≤ Δf ≤ Δfmax | 10.05 MHz ≤ f\_offset < f\_offsetmax | -29 dBm (Note 3) | 100 kHz |
| NOTE 1: For a BS supporting non-contiguous spectrum operation within any *operating band* the emission limits within sub-block gaps is calculated as a cumulative sum of contributions from adjacent sub blocks on each side of the sub block gap. Exception is f ≥ 10MHz from both adjacent sub blocks on each side of the sub-block gap, where the emission limits within sub-block gaps shall be -29dBm/100kHz.  NOTE 2: For a *multi-band connector* with Inter RF Bandwidth gap < 2\*ΔfOBUE the emission limits within the Inter RF Bandwidth gaps is calculated as a cumulative sum of contributions from adjacent sub-blocks or RF Bandwidth on each side of the Inter RF Bandwidth gap.  NOTE 3: The requirement is not applicable when Δfmax < 10 MHz. | | | |

##### 6.6.4.2.4 Basic limits for Local Area BS (Category A and B)

For Local Area BS, emissions shall not exceed the maximum levels specified in table 6.6.4.2.4-1.

Table 6.6.4.2.4-1: Local Area BS operating band unwanted emission limits

|  |  |  |  |
| --- | --- | --- | --- |
| Frequency offset of measurement filter ‑3dB point, Δf | Frequency offset of measurement filter centre frequency, f\_offset | *Basic limit* (Note 1, 2) | Measurement bandwidth |
| 0 MHz ≤ Δf < 5 MHz | 0.05 MHz ≤ f\_offset < 5.05 MHz |  | 100 kHz |
| 5 MHz ≤ Δf < min(10 MHz, Δfmax) | 5.05 MHz ≤ f\_offset < min(10.05 MHz, f\_offsetmax) | -37 dBm | 100 kHz |
| 10 MHz ≤ Δf ≤ Δfmax | 10.05 MHz ≤ f\_offset < f\_offsetmax | -37 dBm (Note 10) | 100 kHz |
| NOTE 1: For a BS supporting non-contiguous spectrum operation within any *operating band* the emission limits within sub-block gaps is calculated as a cumulative sum of contributions from adjacent sub blocks on each side of the sub block gap. Exception is f ≥ 10MHz from both adjacent sub blocks on each side of the sub-block gap, where the emission limits within sub-block gaps shall be -37dBm/100kHz.  NOTE 2: For a *multi-band connector* with Inter RF Bandwidth gap < 2\*ΔfOBUE the emission limits within the Inter RF Bandwidth gaps is calculated as a cumulative sum of contributions from adjacent sub-blocks or RF Bandwidth on each side of the Inter RF Bandwidth gap  NOTE 3: The requirement is not applicable when Δfmax < 10 MHz. | | | |

##### 6.6.4.2.5 Basic limits for additional requirements

###### 6.6.4.2.5.1 Limits in FCC Title 47

In addition to the requirements in subclauses 6.6.4.2.1, 6.6.4.2.2, 6.6.4.2.3 and 6.6.4.2.4, the BS may have to comply with the applicable emission limits established by FCC Title 47 [8], when deployed in regions where those limits are applied, and under the conditions declared by the manufacturer.

###### 6.6.4.2.5.2 Protection of DTT

In certain regions the following requirement may apply for protection of DTT. For *BS type 1-C* or *BS type 1-H* operating in Band n20, the level of emissions in the band 470-790 MHz, measured in an 8 MHz filter bandwidth on centre frequencies Ffilter according to table 6.6.4.2.5.2-1, shall not exceed the maximum emission level PEM,N declared by the manufacturer. This requirement applies in the frequency range 470-790 MHz even though part of the range falls in the spurious domain.

Table 6.6.4.2.5.2-1: Declared emissions levels for protection of DTT

|  |  |  |
| --- | --- | --- |
| Filter centre frequency, Ffilter | Measurement bandwidth | Declared emission level (dBm) |
| Ffilter = 8\*N + 306 (MHz);  21 ≤ N ≤ 60 | 8 MHz | PEM,N |

Note: The regional requirement is defined in terms of EIRP (effective isotropic radiated power), which is dependent on both the BS emissions at the *antenna connector* and the deployment (including antenna gain and feeder loss). The requirement defined above provides the characteristics of the BS needed to verify compliance with the regional requirement. Compliance with the regional requirement can be determined using the method outlined in TS 36.104 [13], annex G.

#### 6.6.4.3 Minimum requirements for *BS type 1-C*

The operating band unwanted emissions for *BS type 1-C* for each *antenna connector* shall be below the applicable *basic limits* defined in subclause 6.6.4.2.

#### 6.6.4.4 Minimum requirements for *BS type 1-H*

The operating band unwanted emissions requirements for *BS type 1-H* are that for each *TAB connector TX min cell group* and each applicable *basic limit* in subclause 6.6.4.2, the power summation emissions at the *TAB connectors* of the *TAB connector TX min cell group* shall not exceed a BS limit specified as the *basic limit* + X, where X = 10log10(NTXU,countedpercell) , unless stated differently in regional regulation.

NOTE: Conformance to the *BS type 1-H* spurious emission requirement can be demonstrated by meeting at least one of the following criteria as determined by the manufacturer:

1) The sum of the emissions power measured on each *TAB connector* in the *TAB connector TX min cell group* shall be less than or equal to the limit as defined in this subclause for the respective frequency span.

Or

2) The unwanted emissions power at each *TAB connector* shall be less than or equal to the *BS type 1-H* limit as defined in this subclause for the respective frequency span, scaled by -10log10(n), where n is the number of *TAB connectors* in the *TAB connector TX min cell group*.

### 6.6.5 Transmitter spurious emissions

#### 6.6.5.1 General

The transmitter spurious emission limits shall apply from 9 kHz to 12.75 GHz, excluding the frequency range from ΔfOBUE below the lowest frequency of each supported downlink *operating band*, up to ΔfOBUE above the highest frequency of each supported downlink *operating band*, where the ΔfOBUE is defined in table 6.6.1. For some *operating bands*, the upper limit is higher than 12.75 GHz in order to comply with the 5th harmonic limit of the downlink *operating band*, as specified in ITU-R recommendation SM.329 [2].

For a *multi-band connector*, this exclusion applies for each supported *operating band*.

The requirements shall apply whatever the type of transmitter considered (single carrier or multi-carrier). It applies for all transmission modes foreseen by the manufacturer’s specification.

Unless otherwise stated, all requirements are measured as mean power (RMS).

#### 6.6.5.2 Basic limits

##### 6.6.5.2.1 General transmitter spurious emissions requirements

The *basic limits* of either table 6.6.5.2.1-1 (Category A limits) or table 6.6.5. 2.1-2 (Category B limits) shall apply. The application of either Category A or Category B limits shall be the same as for Operating band unwanted emissions in subclause 6.6.4.

Table 6.6.5.2.1-1: BS spurious emission limits in FR1, Category A

|  |  |  |  |
| --- | --- | --- | --- |
| Spurious frequency range | *Basic limit* | Measurement bandwidth | Notes |
| 9 kHz – 150 kHz | -13 dBm | 1 kHz | Note 1, Note 4 |
| 150 kHz – 30 MHz | 10 kHz | Note 1, Note 4 |
| 30 MHz – 1 GHz | 100 kHz | Note 1 |
| 1 GHz 12.75 GHz | 1 MHz | Note 1, Note 2 |
| 12.75 GHz – 5th harmonic of the upper frequency edge of the *operating band* in GHz | 1 MHz | Note 1, Note 2, Note 3 |
| NOTE 1: Measurement bandwidths as in ITU-R SM.329 [2], s4.1.  NOTE 2: Upper frequency as in ITU-R SM.329 [2], s2.5 table 1.  NOTE 3: Applies only for *operating bands* for which the 5th harmonic of the upper frequency edge is reaching beyond 12.75 GHz.  NOTE 4: This spurious frequency range applies only to *BS type 1-C* and *BS type 1-H*. | | | |

Table 6.6.5.2.1-2: BS spurious emission limits in FR1, Category B

|  |  |  |  |
| --- | --- | --- | --- |
| Spurious frequency range | *Basic limit* | Measurement bandwidth | Notes |
| 9 kHz – 150 kHz | -36 dBm | 1 kHz | Note 1, Note 4 |
| 150 kHz – 30 MHz | 10 kHz | Note 1, Note 4 |
| 30 MHz – 1 GHz | 100 kHz | Note 1 |
| 1 GHz – 12.75 GHz | -30 dBm | 1 MHz | Note 1, Note 2 |
| 12.75 GHz – 5th harmonic of the upper frequency edge of the *operating band* in GHz | 1 MHz | Note 1, Note 2, Note 3 |
| NOTE 1: Measurement bandwidths as in ITU-R SM.329 [2], s4.1.  NOTE 2: Upper frequency as in ITU-R SM.329 [2], s2.5 table 1.  NOTE 3: Applies only for *operating bands* for which the 5th harmonic of the upper frequency edge is reaching beyond 12.75 GHz.  NOTE 4: This spurious frequency range applies only to *BS type 1-C* and *BS type 1-H*. | | | |

##### 6.6.5.2.2 Protection of the BS receiver of own or different BS

This requirement shall be applied for NR FDD operation in order to prevent the receivers of the BSs being desensitised by emissions from a BS transmitter. It is measured at the transmit *antenna connector* for *BS type 1-C* or at the *TAB connector* for *BS type 1-H* for any type of BS which has common or separate Tx/Rx *antenna* *connectors* / *TAB connectors*.

The power of any spurious emission shall not exceed the *basic limits* in table 6.6.5.2.2-1.

Table 6.6.5.2.2-1: BS spurious emissions limits for protection of the BS receiver

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| BS class | Frequency range | Basic limit | Measurement bandwidth | Note |
| Wide Area BS | FUL\_low – FUL\_high | -96 dBm | 100 kHz |  |
| Medium Range BS | FUL\_low – FUL\_high | -91 dBm | 100 kHz |  |
| Local Area BS | FUL\_low – FUL\_high | -88 dBm | 100 kHz |  |

##### 6.6.5.2.3 Additional spurious emissions requirements

These requirements may be applied for the protection of system operating in frequency ranges other than the BS downlink *operating band*. The limits may apply as an optional protection of such systems that are deployed in the same geographical area as the BS, or they may be set by local or regional regulation as a mandatory requirement for an NR *operating band*. It is in some cases not stated in the present document whether a requirement is mandatory or under what exact circumstances that a limit applies, since this is set by local or regional regulation. An overview of regional requirements in the present document is given in subclause 4.5.

Some requirements may apply for the protection of specific equipment (UE, MS and/or BS) or equipment operating in specific systems (GSM, CDMA, UTRA, E-UTRA, NR, etc.) as listed below.

The power of any spurious emission shall not exceed the *basic limits* of table 6.6.5.2.3 -1 for a BS where requirements for co-existence with the system listed in the first column apply. For a *multi-band connector*, the exclusions and conditions in the Note column of table 6.6.5.2.3 -1 apply for each supported *operating band*.

Table 6.6.5.2.3-1: BS spurious emissions limits for BS for co-existence with systems operating in other frequency bands

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| System type for NR to co-exist with | Frequency range for co-existence requirement | Basic limit | Measurement bandwidth | Note |
| GSM900 | 921 – 960 MHz | -57 dBm | 100 kHz | This requirement does not apply to BS operating in band n8 |
| 876 – 915 MHz | -61 dBm | 100 kHz | For the frequency range 880-915 MHz, this requirement does not apply to BS operating in band n8, since it is already covered by the requirement in subclause 6.6.5.2.2. |
| DCS1800 | 1805 – 1880 MHz | -47 dBm | 100 kHz | This requirement does not apply to BS operating in band n3. |
| 1710 – 1785 MHz | -61 dBm | 100 kHz | This requirement does not apply to BS operating in band n3, since it is already covered by the requirement in subclause 6.6.5.2.2. |
| PCS1900 | 1930 1990 MHz | -47 dBm | 100 kHz | This requirement does not apply to BS operating in band n2, n25 or band n70. |
| 1850 – 1910 MHz | -61 dBm | 100 kHz | This requirement does not apply to BS operating in band n2 or n25 since it is already covered by the requirement in subclause 6.6.5.2.2. |
| GSM850 or CDMA850 | 869 – 894 MHz | -57 dBm | 100 kHz | This requirement does not apply to BS operating in band n5. |
| 824 – 849 MHz | -61 dBm | 100 kHz | This requirement does not apply to BS operating in band n5, since it is already covered by the requirement in subclause 6.6.5.2.2. |
| UTRA FDD Band I or  E-UTRA Band 1 or NR Band n1 | 2110 – 2170 MHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in band n1 |
| 1920 – 1980 MHz | -49 dBm | 1 MHz | This requirement does not apply to BS operating in band n1, since it is already covered by the requirement in subclause 6.6.5.2.2. |
| UTRA FDD Band II or  E-UTRA Band 2 or NR Band n2 | 1930 – 1990 MHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in band n2 or n70. |
| 1850 – 1910 MHz | -49 dBm | 1 MHz | This requirement does not apply to BS operating in band n2, since it is already covered by the requirement in subclause 6.6.5.2.2. |
| UTRA FDD Band III or  E-UTRA Band 3 or NR Band n3 | 1805 – 1880 MHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in band n3. |
| 1710 – 1785 MHz | -49 dBm | 1 MHz | This requirement does not apply to BS operating in band n3, since it is already covered by the requirement in subclause 6.6.5.2.2. |
| UTRA FDD Band IV or  E-UTRA Band 4 | 2110 – 2155 MHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in band n66 |
| 1710 – 1755 MHz | -49 dBm | 1 MHz | This requirement does not apply to BS operating in band n66, since it is already covered by the requirement in subclause 6.6.5.2.2. |
| UTRA FDD Band V or  E-UTRA Band 5 or NR Band n5 | 869 – 894 MHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in band n5. |
| 824 – 849 MHz | -49 dBm | 1 MHz | This requirement does not apply to BS operating in band n5, since it is already covered by the requirement in subclause 6.6.5.2.2. |
| UTRA FDD Band VI, XIX or  E-UTRA Band 6, 18, 19 | 860 – 890 MHz | -52 dBm | 1 MHz |  |
| 815 – 830 MHz | -49 dBm | 1 MHz |  |
| 830 – 845 MHz | -49 dBm | 1 MHz |  |
| UTRA FDD Band VII or  E-UTRA Band 7 or NR Band n7 | 2620 – 2690 MHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in band n7. |
| 2500 – 2570 MHz | -49 dBm | 1 MHz | This requirement does not apply to BS operating in band n7, since it is already covered by the requirement in subclause 6.6.5.2.2. |
| UTRA FDD Band VIII or  E-UTRA Band 8 or NR Band n8 | 925 – 960 MHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in band n8. |
| 880 – 915 MHz | -49 dBm | 1 MHz | This requirement does not apply to BS operating in band n8, since it is already covered by the requirement in subclause 6.6.5.2.2. |
| UTRA FDD Band IX or  E-UTRA Band 9 | 1844.9 – 1879.9 MHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in band n3. |
| 1749.9 – 1784.9 MHz | -49 dBm | 1 MHz | This requirement does not apply to BS operating in band n3, since it is already covered by the requirement in subclause 6.6.5.2.2. |
| UTRA FDD Band X or  E-UTRA Band 10 | 2110 – 2170 MHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in band n66 |
| 1710 – 1770 MHz | -49 dBm | 1 MHz | This requirement does not apply to BS operating in band n66, since it is already covered by the requirement in subclause 6.6.5.2.2. |
| UTRA FDD Band XI or XXI or  E-UTRA Band 11 or 21 | 1475.9 – 1510.9 MHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in band n50, n74 or n75. |
| 1427.9 – 1447.9 MHz | -49 dBm | 1 MHz | This requirement does not apply to BS operating in band n50, n51, n74, n75 or n76. |
| 1447.9 – 1462.9 MHz | -49 dBm | 1 MHz | This requirement does not apply to BS operating in band n50, n74 or n75. |
| UTRA FDD Band XII or  E-UTRA Band 12 or NR Band n12 | 729 – 746 MHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in band n12. |
| 699 – 716 MHz | -49 dBm | 1 MHz | This requirement does not apply to BS operating in band n12, since it is already covered by the requirement in sub-clause 6.6.5.2.2. |
| UTRA FDD Band XIII or  E-UTRA Band 13 | 746 – 756 MHz | -52 dBm | 1 MHz |  |
| 777 – 787 MHz | -49 dBm | 1 MHz |  |
| UTRA FDD Band XIV or  E-UTRA Band 14 | 758 – 768 MHz | -52 dBm | 1 MHz |  |
| 788 – 798 MHz | -49 dBm | 1 MHz |  |
| E-UTRA Band 17 | 734 – 746 MHz | -52 dBm | 1 MHz |  |
| 704 – 716 MHz | -49 dBm | 1 MHz |  |
| UTRA FDD Band XX or E-UTRA Band 20 or NR Band n20 | 791 – 821 MHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in band n20 or n28. |
| 832 – 862 MHz | -49 dBm | 1 MHz | This requirement does not apply to BS operating in band n20, since it is already covered by the requirement in subclause 6.6.5.2.2. |
| UTRA FDD Band XXII or E-UTRA Band 22 | 3510 – 3590 MHz | -52 dBm | 1 MHz |  |
| 3410 – 3490 MHz | -49 dBm | 1 MHz |  |
| E-UTRA Band 24 | 1525 – 1559 MHz | -52 dBm | 1 MHz |  |
| 1626.5 – 1660.5 MHz | -49 dBm | 1 MHz |  |
| UTRA FDD Band XXV or  E-UTRA Band 25 or NR band n25 | 1930 – 1995 MHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in band n2, n25 or n70. |
| 1850 – 1915 MHz | -49 dBm | 1 MHz | This requirement does not apply to BS operating in band n25 since it is already covered by the requirement in subclause 6.6.5.2.2. For BS operating in Band n2, it applies for 1910 MHz to 1915 MHz, while the rest is covered in subclause 6.6.5.2.2. |
| UTRA FDD Band XXVI or  E-UTRA Band 26 | 859 – 894 MHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in band n5. |
| 814 – 849 MHz | -49 dBm | 1 MHz | For BS operating in Band n5, it applies for 814 MHz to 824 MHz, while the rest is covered in subclause 6.6.5.2.2. |
| E-UTRA Band 27 | 852 – 869 MHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in Band n5. |
| 807 – 824 MHz | -49 dBm | 1 MHz | This requirement also applies to BS operating in Band n28, starting 4 MHz above the Band n28 downlink *operating band* (Note 5). |
| E-UTRA Band 28 or NR Band n28 | 758 – 803 MHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in band n20 or n28. |
| 703 – 748 MHz | -49 dBm | 1 MHz | This requirement does not apply to BS operating in band n28, since it is already covered by the requirement in subclause 6.6.5.2.2. |
| E-UTRA Band 29 | 717 – 728 MHz | -52 dBm | 1 MHz |  |
| E-UTRA Band 30 | 2350 – 2360 MHz | -52 dBm | 1 MHz |  |
| 2305 – 2315 MHz | -49 dBm | 1 MHz |  |
| E-UTRA Band 31 | 462.5 -467.5 MHz | -52 dBm | 1 MHz |  |
| 452.5 -457.5 MHz | -49 dBm | 1 MHz |  |
| UTRA FDD band XXXII or E-UTRA band 32 | 1452 – 1496 MHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in band n50, n74 or n75. |
| UTRA TDD Band a) or E-UTRA Band 33 | 1900 – 1920 MHz | -52 dBm | 1 MHz |  |
| UTRA TDD Band a) or E-UTRA Band 34 or NR band n34 | 2010 – 2025 MHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in Band n34. |
| UTRA TDD Band b) or E-UTRA Band 35 | 1850 – 1910 MHz | -52 dBm | 1 MHz |  |
| UTRA TDD Band b) or E-UTRA Band 36 | 1930 – 1990 MHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in Band n2 or n25. |
| UTRA TDD Band c) or E-UTRA Band 37 | 1910 – 1930 MHz | -52 dBm | 1 MHz |  |
| UTRA TDD Band d) or E-UTRA Band 38 or NR Band n38 | 2570 – 2620 MHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in Band n38. |
| UTRA TDD Band f) or E-UTRA Band 39 or NR band n39 | 1880 – 1920MHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in Band n39. |
| UTRA TDD Band e) or E-UTRA Band 40 or NR Band n40 | 2300 – 2400MHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in Band n40. |
| E-UTRA Band 41 or NR Band n41 | 2496 – 2690 MHz | -52 dBm | 1 MHz | This is not applicable to BS operating in Band n41. |
| E-UTRA Band 42 | 3400 – 3600 MHz | -52 dBm | 1 MHz | This is not applicable to BS operating in Band n77 and n78. |
| E-UTRA Band 43 | 3600 – 3800 MHz | -52 dBm | 1 MHz | This is not applicable to BS operating in Band n77 and n78. |
| E-UTRA Band 44 | 703 – 803 MHz | -52 dBm | 1 MHz | This is not applicable to BS operating in Band n28. |
| E-UTRA Band 45 | 1447 – 1467 MHz | -52 dBm | 1 MHz |  |
| E-UTRA Band 46 | 5150 – 5925 MHz | -52 dBm | 1 MHz |  |
| E-UTRA Band 47 | 5855 – 5925 MHz | -52 dBm | 1 MHz |  |
| E-UTRA Band 48 | 3550 – 3700 MHz | -52 dBm | 1 MHz |  |
| E-UTRA Band 50 or NR band n50 | 1432 – 1517 MHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in Band n50, n51, n74, n75 or n76. |
| E-UTRA Band 51 or NR Band n51 | 1427 – 1432 MHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in Band n50, n51, n75 or n76. |
| E-UTRA Band 65 | 2110 – 2200 MHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in band n1, |
| 1920 – 2010 MHz | -49 dBm | 1 MHz | For BS operating in Band n1, it applies for 1980 MHz to 2010 MHz, while the rest is covered in subclause 6.6.5.2.2. |
| E-UTRA Band 66 or NR Band n66 | 2110 – 2200 MHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in band n66. |
| 1710 – 1780 MHz | -49 dBm | 1 MHz | This requirement does not apply to BS operating in band n66, since it is already covered by the requirement in subclause 6.6.5.2.2. |
| E-UTRA Band 67 | 738 – 758 MHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in Band n28. |
| E-UTRA Band 68 | 753 -783 MHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in band n28. |
| 698-728 MHz | -49 dBm | 1 MHz | For BS operating in Band n28, this requirement applies between 698 MHz and 703 MHz, while the rest is covered in subclause 6.6.5.2.2. |
| E-UTRA Band 69 | 2570 – 2620 MHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in Band n38. |
| E-UTRA Band 70 or NR Band n70 | 1995 – 2020 MHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in band n2, n25 or n70 |
| 1695 – 1710 MHz | -49 dBm | 1 MHz | This requirement does not apply to BS operating in band n70, since it is already covered by the requirement in subclause 6.6.5.2.2. |
| E-UTRA Band 71 or NR Band n71 | 617 – 652 MHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in band n71 |
| 663 – 698 MHz | -49 dBm | 1 MHz | This requirement does not apply to BS operating in band n71, since it is already covered by the requirement in subclause 6.6.5.2.2. |
| E-UTRA Band 72 | 461 – 466 MHz | -52 dBm | 1 MHz |  |
| 451 – 456 MHz | -49 dBm | 1 MHz |  |
| E-UTRA Band 74 or NR Band n74 | 1475 – 1518 MHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in band n50, n74 or n75. |
| 1427 – 1470 MHz | -49 dBm | 1MHz | This requirement does not apply to BS operating in band n50, n51, n74, n75 or n76. |
| E-UTRA Band 75 or NR Band n75 | 1432 – 1517 MHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in Band n50, n51, n74, n75 or n76. |
| E-UTRA Band 76 or NR Band n76 | 1427 – 1432 MHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in Band n50, n51, n75 or n76. |
| NR Band n77 | 3.3 – 4.2 GHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in Band n77 and n 78 |
| NR Band n78 | 3.3 – 3.8 GHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in Band n77 and n78 |
| NR Band n79 | 4.4 – 5.0 GHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in Band n79 |
| NR Band n80 | 1710 – 1785 MHz | -49 dBm | 1 MHz | This requirement does not apply to BS operating in band n3, since it is already covered by the requirement in subclause 6.6.5.2.2. |
| NR Band n81 | 880 – 915 MHz | -49 dBm | 1 MHz | This requirement does not apply to BS operating in band n8, since it is already covered by the requirement in subclause 6.6.5.2.2. |
| NR Band n82 | 832 – 862 MHz | -49 dBm | 1 MHz | This requirement does not apply to BS operating in band n20, since it is already covered by the requirement in subclause 6.6.5.2.2. |
| NR Band n83 | 703 – 748 MHz | -49 dBm | 1 MHz | This requirement does not apply to BS operating in band n28, since it is already covered by the requirement in subclause 6.6.5.2.2. |
| NR Band n84 | 1920 – 1980 MHz | -49 dBm | 1 MHz | This requirement does not apply to BS operating in band n1, since it is already covered by the requirement in subclause 6.6.5.2.2. |
| E-UTRA Band 85 | 728 - 746 MHz | -52 dBm | 1 MHz | This requirement does not apply to BS operating in band n12. |
|  | 698 - 716 MHz | -49 dBm | 1 MHz | This requirement does not apply to BS operating in band n12, since it is already covered by the requirement in subclause 6.6.5.2.2. |
| NR Band n86 | 1710 – 1780 MHz | -49 dBm | 1 MHz | This requirement does not apply to BS operating in band n66, since it is already covered by the requirement in subclause 6.6.5.2.2. |

NOTE 1: As defined in the scope for spurious emissions in this clause, except for the cases where the noted requirements apply to a BS operating in Band n28, the co-existence requirements in table 6.6.5.2.3 -1 do not apply for the 10 MHz frequency range immediately outside the downlink *operating band* (see table 5.2-1). Emission limits for this excluded frequency range may be covered by local or regional requirements.

NOTE 2: Table 6.6.5.2.3 -1 assumes that two *operating bands*, where the frequency ranges in table 5.2-1 would be overlapping, are not deployed in the same geographical area. For such a case of operation with overlapping frequency arrangements in the same geographical area, special co-existence requirements may apply that are not covered by the 3GPP specifications.

NOTE 3: TDD base stations deployed in the same geographical area, that are synchronized and use the same or adjacent *operating bands* can transmit without additional co-existence requirements. For unsynchronized base stations, special co-existence requirements may apply that are not covered by the 3GPP specifications.

NOTE: For NR Band n28 BS, specific solutions may be required to fulfil the spurious emissions limits for BS for co-existence with E-UTRA Band 27 UL *operating band*.

The following requirement may be applied for the protection of PHS. This requirement is also applicable at specified frequencies falling between 10 MHz below the lowest BS transmitter frequency of the downlink *operating band* and 10 MHz above the highest BS transmitter frequency of the downlink *operating band*.

The power of any spurious emission shall not exceed:

Table 6.6.5.2.3-2: BS spurious emissions limits for BS for co-existence with PHS

|  |  |  |  |
| --- | --- | --- | --- |
| Frequency range | Basic limit | Measurement Bandwidth | Note |
| 1884.5 – 1915.7 MHz | -41 dBm | 300 kHz | Applicable when co-existence with PHS system operating in 1884.5 - 1915.7MHz |

The following requirement may apply to E-UTRA BS operating in Band n41 in certain regions. This requirement is also applicable at the frequency range from 10 MHz below the lowest frequency of the BS downlink *operating band* up to 10 MHz above the highest frequency of the BS downlink *operating band*.

The power of any spurious emission shall not exceed:

Table 6.6.5.2.3-3: Additional BS spurious emissions limits for Band n41

|  |  |  |  |
| --- | --- | --- | --- |
| Frequency range | Basic limit | Measurement Bandwidth | Note |
| 2505 – 2535 MHz | -42 dBm | 1 MHz |  |
| 2535 – 2655 MHz | -22 dBm | 1 MHz | Applicable at offsets ≥ 250% of channel bandwidth from carrier frequency. |
| NOTE: This requirement applies for 10 or 20 MHz E-UTRA carriers allocated within 2545 – 2575 MHz or 2595 – 2645 MHz. | | | |

In certain regions, the following requirement may apply to NR BS operating in Band n50 within the 1432 – 1452 MHz or n51. Emissions shall not exceed the maximum levels specified in Table 6.6.5.2.3-4.

Table 6.6.5.2.3-4: Additional operating band unwanted emission limits for NR BS operating in Band n50 within 1432 – 1452 MHz or n51

|  |  |  |
| --- | --- | --- |
| Filter centre frequency, Ffilter | Basic limit | Measurement Bandwidth |
| Ffilter = 1413.5 MHz | -42 dBm | 27 MHz |

NOTE: The regional requirement, included in [12], is defined in terms of EIRP, which is dependent on both the BS emissions at the antenna connector and the deployment (including antenna gain and feeder loss). The requirement defined above provides the characteristics of the base station needed to verify compliance with the regional requirement. The assessment of the EIRP level is described in Annex E.

In certain regions, the following requirement may apply to BS operating in NR Band n50 within 1492-1517 MHz. The level of emissions, measured on centre frequencies Ffilter with filter bandwidth according to Table 6.6.5.2.3-5, shall neither exceed the maximum emission level PEM,n50,a nor PEM,B50,b declared by the manufacturer.

Table 6.6.5.2.3-5: Operating band n50 within 1492 – 1517 MHz declared emission in the band 1518 – 1559 MHz

|  |  |  |
| --- | --- | --- |
| Filter centre frequency, Ffilter | Declared emission level (dBm) | Measurement bandwidth |
| 1518.5 MHz ≤ Ffilter ≤ 1519.5 MHz | PEM, n50,a | 1 MHz |
| 1520.5 MHz ≤ Ffilter ≤ 1558.5 MHz | PEM,n50,b | 1 MHz |

NOTE: The regional requirement, included in [12], is defined in terms of EIRP, which is dependent on both the BS emissions at the antenna connector and the deployment (including antenna gain and feeder loss). The requirement defined above provides the characteristics of the base station needed to verify compliance with the regional requirement. The assessment of the EIRP level is described in Annex E.

##### 6.6.5.2.4 Co-location with other base stations

These requirements may be applied for the protection of other BS receivers when GSM900, DCS1800, PCS1900, GSM850, CDMA850, UTRA FDD, UTRA TDD and/or E-UTRA BS are co-located with a BS.

The requirements assume a 30 dB coupling loss between transmitter and receiver and are based on co-location with base stations of the same class.

The power of any spurious emission shall not exceed the *basic limits* of table 6.6.5.2.4-1 for a BS where requirements for co-location with a BS type listed in the first column apply, depending on the declared Base Station class. For a *multi-band connector*, the exclusions and conditions in the Note column of table 6.6.5.2.4-1 shall apply for each supported *operating band*.

Table 6.6.5.2.4-1: BS spurious emissions limits for BS co-located with another BS

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Type of co-located BS | Frequency range for co-location requirement | Basic limit | | | Measurement bandwidth | Note |
| WA BS | MR BS | LA BS |
| GSM900 | 876-915 MHz | -98 dBm | -91 dBm | -70 dBm | 100 kHz |  |
| DCS1800 | 1710 – 1785 MHz | -98 dBm | -91 dBm | -80 dBm | 100 kHz |  |
| PCS1900 | 1850 – 1910 MHz | -98 dBm | -91 dBm | -80 dBm | 100 kHz |  |
| GSM850 or CDMA850 | 824 – 849 MHz | -98 dBm | -91 dBm | -70 dBm | 100 kHz |  |
| UTRA FDD Band I or E-UTRA Band 1 or NR Band n1 | 1920 – 1980 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| UTRA FDD Band II or E-UTRA Band 2 or NR Band n2 | 1850 – 1910 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| UTRA FDD Band III or E-UTRA Band 3 or NR Band n3 | 1710 – 1785 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| UTRA FDD Band IV or E-UTRA Band 4 | 1710 – 1755 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| UTRA FDD Band V or E-UTRA Band 5 or NR Band n5 | 824 – 849 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| UTRA FDD Band VI, XIX or E-UTRA Band 6, 19 | 830 – 845 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| UTRA FDD Band VII or E-UTRA Band 7 or NR Band n7 | 2500 – 2570 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| UTRA FDD Band VIII or E-UTRA Band 8 or NR Band n8 | 880 – 915 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| UTRA FDD Band IX or E-UTRA Band 9 | 1749.9 – 1784.9 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| UTRA FDD Band X or E-UTRA Band 10 | 1710 – 1770 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| UTRA FDD Band XI or E-UTRA Band 11 | 1427.9 –1447.9 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz | This is not applicable to BS operating in Band n50 or n75 |
| UTRA FDD Band XII or  E-UTRA Band 12 or NR Band n12 | 699 – 716 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| UTRA FDD Band XIII or  E-UTRA Band 13 | 777 – 787 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| UTRA FDD Band XIV or  E-UTRA Band 14 | 788 – 798 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| E-UTRA Band 17 | 704 – 716 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| E-UTRA Band 18 | 815 – 830 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| UTRA FDD Band XX or E-UTRA Band 20 or NR Band n20 | 832 – 862 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| UTRA FDD Band XXI or E-UTRA Band 21 | 1447.9 – 1462.9 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz | This is not applicable to BS operating in Band n50 or n75 |
| UTRA FDD Band XXII or E-UTRA Band 22 | 3410 – 3490 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| E-UTRA Band 23 | 2000 – 2020 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| E-UTRA Band 24 | 1626.5 – 1660.5 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| UTRA FDD Band XXV or  E-UTRA Band 25 or NR Band n25 | 1850 – 1915 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| UTRA FDD Band XXVI or  E-UTRA Band 26 | 814 – 849 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| E-UTRA Band 27 | 807 – 824 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| E-UTRA Band 28 or NR Band n28 | 703 – 748 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| E-UTRA Band 30 | 2305 – 2315 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| E-UTRA Band 31 | 452.5 -457.5 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| UTRA TDD Band a) or E-UTRA Band 33 | 1900 – 1920 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| UTRA TDD Band a) or E-UTRA Band 34 or NR band n34 | 2010 – 2025 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz | This is not applicable to BS operating in Band n34 |
| UTRA TDD Band b) or E-UTRA Band 35 | 1850 – 1910 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| UTRA TDD Band b) or E-UTRA Band 36 | 1930 – 1990 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz | This is not applicable to BS operating in Band n2 or band n25 |
| UTRA TDD Band c) or E-UTRA Band 37 | 1910 – 1930 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| UTRA TDD Band d) or E-UTRA Band 38 or NR Band n38 | 2570 – 2620 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz | This is not applicable to BS operating in Band n38. |
| UTRA TDD Band f) or E-UTRA Band 39 or NR band n39 | 1880 – 1920MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz | This is not applicable to BS operating in Band n39 |
| UTRA TDD Band e) or E-UTRA Band 40 or NR Band n40 | 2300 – 2400MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz | This is not applicable to BS operating in Band n40. |
| E-UTRA Band 41 or NR Band n41 | 2496 – 2690 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz | This is not applicable to BS operating in Band n41 |
| E-UTRA Band 42 | 3400 – 3600 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| E-UTRA Band 43 | 3600 – 3800 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| E-UTRA Band 44 | 703 – 803 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz | This is not applicable to BS operating in Band n28 |
| E-UTRA Band 45 | 1447 – 1467 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| E-UTRA Band 46 | 5150 – 5925 MHz | N/A | -91 dBm | -88 dBm | 100 kHz |  |
| E-UTRA Band 48 | 3550 – 3700 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| E-UTRA Band 50 or NR Band n50 | 1432 – 1517 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz | This is not applicable to BS operating in Band n51, n74 or n75 |
| E-UTRA Band 51 or NR Band n51 | 1427 – 1432 MHz | N/A | N/A | -88 dBm | 100 kHz | This is not applicable to BS operating in Band n50, n74, n75 or n76 |
| E-UTRA Band 65 | 1920 – 2010 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| E-UTRA Band 66 or NR Band n66 | 1710 – 1780 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| E-UTRA Band 68 | 698 – 728 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| E-UTRA Band 70 or NR Band n70 | 1695 – 1710 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| E-UTRA Band 71 or NR Band n71 | 663 – 698 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| E-UTRA Band 72 | 451 – 456 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| E-UTRA Band 74 or NR Band n74 | 1427 – 1470 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz | This is not applicable to BS operating in Band n50 or n51 |
| NR Band n77 | 3.3 – 4.2 GHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| NR Band n78 | 3.3 – 3.8 GHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| NR Band n79 | 4.4 – 5.0 GHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| NR Band n80 | 1710 – 1785 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| NR Band n81 | 880 – 915 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| NR Band n82 | 832 – 862 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| NR Band n83 | 703 – 748 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| NR Band n84 | 1920 – 1980 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| E-UTRA Band 85 | 698 - 716 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |
| NR Band n86 | 1710 – 1780 MHz | -96 dBm | -91 dBm | -88 dBm | 100 kHz |  |

NOTE 1: As defined in the scope for spurious emissions in this clause, the co-location requirements in table 6.6.5.2.4-1 do not apply for the 10 MHz frequency range immediately outside the BS transmit frequency range of a downlink *operating band* (see table 5.2-1). The current state-of-the-art technology does not allow a single generic solution for co-location with other system on adjacent frequencies for 30dB BS-BS minimum coupling loss. However, there are certain site-engineering solutions that can be used. These techniques are addressed in 3GPP TR 25.942 [4].

NOTE 2: Table 6.6.5.2.4-1 assumes that two *operating bands*, where the corresponding BS transmit and receive frequency ranges in table 5.2-1 would be overlapping, are not deployed in the same geographical area. For such a case of operation with overlapping frequency arrangements in the same geographical area, special co-location requirements may apply that are not covered by the 3GPP specifications.

NOTE 3: Co-located TDD base stations that are synchronized and using the same or adjacent *operating band* can transmit without special co-locations requirements. For unsynchronized base stations, special co-location requirements may apply that are not covered by the 3GPP specifications.

#### 6.6.5.3 Minimum requirements for *BS type 1-C*

The Tx spurious emissions for *BS type 1-C* for each *antenna connector* shall not exceed the *basic limits* specified in subclause 6.6.5.2.

#### 6.6.5.4 Minimum requirements for *BS type 1-H*

The Tx spurious emissions requirements for *BS type 1-H* are that for each *TAB connector TX min cell group* and each applicable *basic limit* in subclause 6.6.5.2, the power summation emissions at the *TAB connectors* of the *TAB connector TX min cell group* shall not exceed an OTA limit specified as the *basic limit* + X, where X = 10log10(NTXU,countedpercell), unless stated differently in regional regulation.

NOTE: Conformance to the *BS type 1-H* spurious emission requirement can be demonstrated by meeting at least one of the following criteria as determined by the manufacturer:

1) The sum of the emissions power measured on each *TAB connector* in the *TAB connector TX min cell group* shall be less than or equal to the limit as defined in this subclause for the respective frequency span.

Or

2) The unwanted emissions power at each *TAB connector* shall be less than or equal to the *BS type 1-H* limit as defined in this subclause for the respective frequency span, scaled by -10log10(n), where n is the number of *TAB connectors* in the *TAB connector TX min cell group*.

## 6.7 Transmitter intermodulation

### 6.7.1 General

The transmitter intermodulation requirement is a measure of the capability of the transmitter unit to inhibit the generation of signals in its non-linear elements caused by presence of the wanted signal and an interfering signal reaching the transmitter unit via the antenna, RDN and antenna array. The requirement shall apply during the transmitter ON period and the *transmitter transient period*.

For *BS type 1-C*, the transmitter intermodulation level is the power of the intermodulation products when an interfering signal is injected into the *antenna connector*.

For *BS type 1-H*, the transmitter intermodulation level is the power of the intermodulation products when an interfering signal is injected into the *TAB connector*.

For *BS type 1-H*, there are two types of transmitter intermodulation cases captured by the transmitter intermodulation requirement:

1) Co-location transmitter intermodulation in which the interfering signal is from a co-located base station.

2) Intra-system transmitter intermodulation in which the interfering signal is from other transmitter units within the *BS type 1-H*.

For *BS type 1-H*, the co-location transmitter intermodulation requirement is considered sufficient if the interference signal for the co-location requirement is higher than the declared interference signal for intra-system transmitter intermodulation requirement.

### 6.7.2 Minimum requirements for BS type 1-C

#### 6.7.2.1 Co-location minimum requirements

For *BS type 1-C*, the wanted signal and interfering signal centre frequency is specified in table 6.7.2.1‑1, where interfering signal level is Rated total output power (Prated,t,AC) at *antenna connector* in the *operating band* – 30 dB.

The requirement is applicable outside the Base Station RF Bandwidth or Radio Bandwidth. The interfering signal offset is defined relative to the Base Station RF Bandwidth edges or Radio Bandwidth edges.

For a BS operating in non-contiguous spectrum, the requirement is also applicable inside a sub-block gap for interfering signal offsets where the interfering signal falls completely within the sub-block gap. The interfering signal offset is defined relative to the sub-block edges.

For a *multi-band connector*, the requirement shall apply relative to the Base Station RF Bandwidth edges of each supported operating band. In case the Inter RF Bandwidth gap is less than 3\*Bi MHz (where Bi is the minimal *BS channel bandwidth* of the band), the requirement in the gap shall apply only for interfering signal offsets where the interfering signal falls completely within the Inter RF Bandwidth gap.

The transmitter intermodulation level shall not exceed the unwanted emission limits in subclauses 6.6.3, 6.6.4 and 6.6.5 in the presence of an NR interfering signal according to table 6.7.2.1-1.

Table 6.7.2.1-1: Interfering and wanted signals for the co-location transmitter intermodulation requirement

| Parameter | Value |
| --- | --- |
| Wanted signal type | NR single carrier, or multi-carrier, or multiple intra-band contiguously or non-contiguously aggregated carriers |
| Interfering signal type | NR signal, the supported minimum *BS channel bandwidth* (BWChannel) with 15 kHz SCS of the band |
| Interfering signal level | Rated total output power (Prated,t,AC) in the *operating band* – 30 dB |
| Interfering signal centre frequency offset from the lower/upper edge of the wanted signal or edge of sub-block inside a sub-block gap | , for n=1, 2 and 3 |
| NOTE: Interfering signal positions that are partially or completely outside of any downlink *operating band* of the base station are excluded from the requirement, unless the interfering signal positions fall within the frequency range of adjacent downlink *operating bands* in the same geographical area. In case that none of the interfering signal positions fall completely within the frequency range of the downlink *operating band*, 3GPP TS 38.141-1 [5] provides further guidance regarding appropriate test requirements. | |

#### 6.7.2.2 Additional requirements

TBD

### 6.7.3 Minimum requirements for BS type 1-H

#### 6.7.3.1 Co-location minimum requirements

The transmitter intermodulation level shall not exceed the unwanted emission limits in subclauses 6.6.3, 6.6.4 and 6.6.5 in the presence of an NR interfering signal according to table 6.7.3.1-1

The requirement is applicable outside the *Base Station RF Bandwidth edges*. The interfering signal offset is defined relative to the *Base Station RF Bandwidth* *edges* or *Radio Bandwidth* edges.

For *TAB connectors* supporting operation in *non-contiguous spectrum*, the requirement is also applicable inside a *sub-block gap* for interfering signal offsets where the interfering signal falls completely within the *sub-block gap*. The interfering signal offset is defined relative to the *sub-block* edges.

For *multi-band connector*, the requirement shall apply relative to the *Base Station RF Bandwidth* *edges* of each operating band. In case the inter *Base Station RF Bandwidth* gap is less than 3\*BWChannel MHz(where BWChannel is the minimal *BS channel bandwidth* of the band) , the requirement in the gap shall apply only for interfering signal offsets where the interfering signal falls completely within the inter *Base Station RF Bandwidth* gap.

Table 6.7.3.1-1: Interfering and wanted signals for the co-location transmitter intermodulation requirement

| Parameter | Value |
| --- | --- |
| Wanted signal type | NR single carrier, or multi-carrier, or multiple intra-band contiguously or non-contiguously aggregated carriers |
| Interfering signal type | NR signal, the minimum supported *BS channel bandwidth* (BWChannel) with 15 kHz SCS of the band |
| Interfering signal level | Rated total output power per *TAB connector* (Prated,t,TABC) in the *operating band* – 30 dB |
| Interfering signal centre frequency offset from the lower/upper edge of the wanted signal or edge of *sub-block* inside a gap | , for n=1, 2 and 3 |
| NOTE: Interfering signal positions that are partially or completely outside of any downlink *operating band* of the TAB connector are excluded from the requirement, unless the interfering signal positions fall within the frequency range of adjacent downlink *operating bands* in the same geographical area. In case that none of the interfering signal positions fall completely within the frequency range of the downlink *operating band*, 3GPP TS 38.141-1 [5] provides further guidance regarding appropriate test requirements. | |

#### 6.7.3.2 Intra-system minimum requirements

The transmitter intermodulation level shall not exceed the unwanted emission limits in subclauses 6.6.3 and 6.6.4 in the presence of an NR interfering signal according to table 6.7.3.2-1.

Table 6.7.3.2-1: Interfering and wanted signals for  
intra-system transmitter intermodulation requirement

| Parameter | Value |
| --- | --- |
| Wanted signal type | NR signal |
| Interfering signal type | NR signal of the same *BS channel bandwidth* and SCS as the wanted signal (Note 1). |
| Interfering signal level | Power level declared by the base station manufacturer (Note 2). |
| Frequency offset between interfering signal and wanted signal | 0 MHz |
| NOTE 1: The interfering signal shall be incoherent with the wanted signal.  NOTE 2: The declared interfering signal power level at each *TAB connector* is the sum of the co-channel leakage power coupled via the combined RDN and Antenna Array from all the other *TAB connectors*, but does not comprise power radiated from the Antenna Array and reflected back from the environment. The power at each of the interfering *TAB connectors* is Prated,c,TABC. | |

#### 6.7.3.3 Additional requirements

TBD

# 7 Conducted receiver characteristics

## 7.1 General

Conducted receiver characteristics are specified at the *antenna connector* for *BS type 1-C* and at the *TAB connector* for *BS type 1-H*, with full complement of transceivers for the configuration in normal operating condition.

Unless otherwise stated, the following arrangements apply for conducted receiver characteristics requirements in clause 7:

- Requirements apply during the BS receive period.

- Requirements shall be met for any transmitter setting.

- For FDD operation the requirements shall be met with the transmitter unit(s) ON.

- Throughput requirements defined for the radiated receiver characteristics do not assume HARQ retransmissions.

- When BS is configured to receive multiple carriers, all the throughput requirements are applicable for each received carrier.

- For ACS, blocking and intermodulation characteristics, the negative offsets of the interfering signal apply relative to the lower edge and positive offsets of the interfering signal apply relative to the higher edge.

NOTE 1: In normal operating condition the BS in FDD operation is configured to transmit and receive at the same time.

NOTE 2: In normal operating condition the BS in TDD operation is configured to TX OFF power during *receive period*.

## 7.2 Reference sensitivity level

### 7.2.1 General

The reference sensitivity power level PREFSENS is the minimum mean power received at the *antenna connector* for *BS type 1-C* or *TAB connector* for *BS type 1-H* at which a throughput requirement shall be met for a specified reference measurement channel.

### 7.2.2 Minimum requirements for *BS type 1-C* and *BS type 1-H*

For NR, the throughput shall be ≥ 95% of the maximum throughput of the reference measurement channel as specified in Annex A with parameters specified in table 7.2.2-1 for Wide Area BS, in table 7.2.2-2 for Medium Range BS and in table 7.2.2-3 for Local Area BS.

Table 7.2.2-1: NR Wide Area BS reference sensitivity levels

|  |  |  |  |
| --- | --- | --- | --- |
| *BS channel bandwidth* (MHz) | Sub-carrier spacing (kHz) | Reference measurement channel | Reference sensitivity power level, PREFSENS  (dBm) |
| 5, 10, 15 | 15 | G-FR1-A1-1 | -101.7 |
| 10, 15 | 30 | G- FR1-A1-2 | -101.8 |
| 10, 15 | 60 | G- FR1-A1-3 | -98.9 |
| 20, 25, 30, 40, 50 | 15 | G- FR1-A1-4 | -95.3 |
| 20, 25, 30, 40, 50, 60, 70, 80, 90, 100 | 30 | G- FR1-A1-5 | -95.6 |
| 20, 25, 30, 40, 50, 60, 70, 80, 90, 100 | 60 | G- FR1-A1-6 | -95.7 |
| NOTE: PREFSENS is the power level of a single instance of the reference measurement channel. This requirement shall be met for each consecutive application of a single instance of the reference measurement channel mapped to disjoint frequency ranges with a width corresponding to the number of resource blocks of the reference measurement channel each, except for one instance that might overlap one other instance to cover the full *BS channel bandwidth*. | | | |

Table 7.2.2-2: NR Medium Area BS reference sensitivity levels

|  |  |  |  |
| --- | --- | --- | --- |
| *BS channel bandwidth* (MHz) | Sub-carrier spacing (kHz) | Reference measurement channel | Reference sensitivity power level, PREFSENS  (dBm) |
| 5, 10, 15 | 15 | G- FR1-A1-1 | -96.7 |
| 10, 15 | 30 | G- FR1-A1-2 | -96.8 |
| 10, 15 | 60 | G- FR1-A1-3 | -93.9 |
| 20, 25, 30, 40, 50 | 15 | G- FR1-A1-4 | -90.3 |
| 20, 25, 30, 40, 50, 60, 70, 80, 90, 100 | 30 | G- FR1-A1-5 | -90.6 |
| 20, 25, 30, 40, 50, 60, 70, 80, 90, 100 | 60 | G- FR1-A1-6 | -90.7 |
| NOTE: PREFSENS is the power level of a single instance of the reference measurement channel. This requirement shall be met for each consecutive application of a single instance of the reference measurement channel mapped to disjoint frequency ranges with a width corresponding to the number of resource blocks of the reference measurement channel each, except for one instance that might overlap one other instance to cover the full *BS channel bandwidth*. | | | |

Table 7.2.2-3: NR Local Area BS reference sensitivity levels

|  |  |  |  |
| --- | --- | --- | --- |
| *BS channel bandwidth* (MHz) | Sub-carrier spacing (kHz) | Reference measurement channel | Reference sensitivity power level, PREFSENS  (dBm) |
| 5, 10, 15 | 15 | G- FR1-A1-1 | -93.7 |
| 10, 15 | 30 | G- FR1-A1-2 | -93.8 |
| 10, 15 | 60 | G- FR1-A1-3 | -90.9 |
| 20, 25, 30, 40, 50 | 15 | G- FR1-A1-4 | -87.3 |
| 20, 25, 30, 40, 50, 60, 70, 80, 90, 100 | 30 | G- FR1-A1-5 | -87.6 |
| 20, 25, 30, 40, 50, 60, 70, 80, 90, 100 | 60 | G- FR1-A1-6 | -87.7 |
| NOTE: PREFSENS is the power level of a single instance of the reference measurement channel. This requirement shall be met for each consecutive application of a single instance of the reference measurement channel mapped to disjoint frequency ranges with a width corresponding to the number of resource blocks of the reference measurement channel each, except for one instance that might overlap one other instance to cover the full *BS channel bandwidth*. | | | |

## 7.3 Dynamic range

### 7.3.1 General

The dynamic range is specified as a measure of the capability of the receiver to receive a wanted signal in the presence of an interfering signal at the *antenna connector* for *BS type 1-C* or *TAB connector* for *BS type 1-H* inside the received *BS channel bandwidth*. In this condition, a throughput requirement shall be met for a specified reference measurement channel. The interfering signal for the dynamic range requirement is an AWGN signal.

### 7.3.2 Minimum requirement for *BS type 1-C* and *BS type 1-H*

For NR, the throughput shall be ≥ 95% of the maximum throughput of the reference measurement channel as specified in Annex A with parameters specified in table 7.3.2-1 for Wide Area BS, in table 7.3.2-2 for Medium Range BS and in table 7.3.2-3 for Local Area BS.

Table 7.3.2-1: Wide Area BS dynamic range

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| *BS channel bandwidth* (MHz) | Subcarrier spacing (kHz) | Reference measurement channel | Wanted signal mean power (dBm) | Interfering signal mean power (dBm) / BWConfig | Type of interfering signal |
| 5 | 15 | G-FR1-A2-1 | -70.7 | -82.5 | AWGN |
| 30 | G- FR1-A2-2 | -71.4 |
| 10 | 15 | G-FR1-A2-1 | -70.7 | -79.3 | AWGN |
| 30 | G- FR1-A2-2 | -71.4 |
| 60 | G- FR1-A2-3 | -68.4 |
| 15 | 15 | G-FR1-A2-1 | -70.7 | -77.5 | AWGN |
| 30 | G- FR1-A2-2 | -71.4 |
| 60 | G- FR1-A2-3 | -68.4 |
| 20 | 15 | G- FR1-A2-4 | -64.5 | -76.2 | AWGN |
| 30 | G- FR1-A2-5 | -64.5 |
| 60 | G- FR1-A2-6 | -64.8 |
| 25 | 15 | G- FR1-A2-4 | -64.5 | -75.2 | AWGN |
| 30 | G- FR1-A2-5 | -64.5 |
| 60 | G- FR1-A2-6 | -64.8 |
| 30 | 15 | G- FR1-A2-4 | -64.5 | -74.4 | AWGN |
| 30 | G- FR1-A2-5 | -64.5 |
| 60 | G- FR1-A2-6 | -64.8 |
| 40 | 15 | G- FR1-A2-4 | -64.5 | -73.1 | AWGN |
| 30 | G- FR1-A2-5 | -64.5 |
| 60 | G- FR1-A2-6 | -64.8 |
| 50 | 15 | G- FR1-A2-4 | -64.5 | -72.2 | AWGN |
| 30 | G- FR1-A2-5 | -64.5 |
| 60 | G- FR1-A2-6 | -64.8 |
| 60 | 30 | G- FR1-A2-5 | -64.5 | -71.4 | AWGN |
| 60 | G- FR1-A2-6 | -64.8 |
| 70 | 30 | G- FR1-A2-5 | -64.5 | -70.8 | AWGN |
| 60 | G- FR1-A2-6 | -64.8 |
| 80 | 30 | G- FR1-A2-5 | -64.5 | -70.1 | AWGN |
| 60 | G- FR1-A2-6 | -64.8 |
| 90 | 30 | G- FR1-A2-5 | -64.5 | -69.6 | AWGN |
| 60 | G- FR1-A2-6 | -64.8 |
| 100 | 30 | G- FR1-A2-5 | -64.5 | -69.1 | AWGN |
| 60 | G- FR1-A2-6 | -64.8 |
| NOTE: The wanted signal mean power is the power level of a single instance of the corresponding reference measurement channel. This requirement shall be met for each consecutive application of a single instance of the reference measurement channel mapped to disjoint frequency ranges with a width corresponding to the number of resource blocks of the reference measurement channel each, except for one instance that might overlap one other instance to cover the full *BS channel bandwidth*. | | | | | |

Table 7.3.2-2: Medium Range BS dynamic range

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| *BS channel bandwidth* (MHz) | Subcarrier spacing (kHz) | Reference measurement channel | Wanted signal mean power (dBm) | Interfering signal mean power (dBm) / BWConfig | Type of interfering signal |
| 5 | 15 | G-FR1-A2-1 | -65.7 | -77.5 | AWGN |
| 30 | G- FR1-A2-2 | -66.4 |
| 10 | 15 | G-FR1-A2-1 | -65.7 | -74.3 | AWGN |
| 30 | G- FR1-A2-2 | -66.4 |
| 60 | G- FR1-A2-3 | -63.4 |
| 15 | 15 | G-FR1-A2-1 | -65.7 | -72.5 | AWGN |
| 30 | G- FR1-A2-2 | -66.4 |
| 60 | G- FR1-A2-3 | -63.4 |
| 20 | 15 | G- FR1-A2-4 | -59.5 | -71.2 | AWGN |
| 30 | G- FR1-A2-5 | -59.5 |
| 60 | G- FR1-A2-6 | -59.8 |
| 25 | 15 | G- FR1-A2-4 | -59.5 | -70.2 | AWGN |
| 30 | G- FR1-A2-5 | -59.5 |
| 60 | G- FR1-A2-6 | -59.8 |
| 30 | 15 | G- FR1-A2-4 | -59.5 | -69.4 | AWGN |
| 30 | G- FR1-A2-5 | -59.5 |
| 60 | G- FR1-A2-6 | -59.8 |
| 40 | 15 | G- FR1-A2-4 | -59.5 | -68.1 | AWGN |
| 30 | G- FR1-A2-5 | -59.5 |
| 60 | G- FR1-A2-6 | -59.8 |
| 50 | 15 | G- FR1-A2-4 | -59.5 | -67.2 | AWGN |
| 30 | G- FR1-A2-5 | --59.5 |
| 60 | G- FR1-A2-6 | -59.8 |
| 60 | 30 | G- FR1-A2-5 | -59.5 | -66.4 | AWGN |
| 60 | G- FR1-A2-6 | -59.8 |
| 70 | 30 | G- FR1-A2-5 | -59.5 | -65.8 | AWGN |
| 60 | G- FR1-A2-6 | -59.8 |
| 80 | 30 | G- FR1-A2-5 | -59.5 | -65.1 | AWGN |
| 60 | G- FR1-A2-6 | -59.8 |
| 90 | 30 | G- FR1-A2-5 | -59.5 | -64.6 | AWGN |
| 60 | G- FR1-A2-6 | -59.8 |
| 100 | 30 | G- FR1-A2-5 | -59.5 | -64.1 | AWGN |
| 60 | G- FR1-A2-6 | -59.8 |
| NOTE: The wanted signal mean power is the power level of a single instance of the corresponding reference measurement channel. This requirement shall be met for each consecutive application of a single instance of the reference measurement channel mapped to disjoint frequency ranges with a width corresponding to the number of resource blocks of the reference measurement channel each, except for one instance that might overlap one other instance to cover the full *BS channel bandwidth*. | | | | | |

Table 7.3.2-3: Local Area BS dynamic range

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| *BS channel bandwidth* (MHz) | Subcarrier spacing (kHz) | Reference measurement channel | Wanted signal mean power (dBm) | Interfering signal mean power (dBm) / BWConfig | Type of interfering signal |
| 5 | 15 | G-FR1-A2-1 | -62.7 | -74.5 | AWGN |
| 30 | G- FR1-A2-2 | -63.4 |
| 10 | 15 | G-FR1-A2-1 | -62.7 | -71.3 | AWGN |
| 30 | G- FR1-A2-2 | -63.4 |
| 60 | G- FR1-A2-3 | -60.4 |
| 15 | 15 | G-FR1-A2-1 | -62.7 | -69.5 | AWGN |
| 30 | G- FR1-A2-2 | -63.4 |
| 60 | G- FR1-A2-3 | -60.4 |
| 20 | 15 | G- FR1-A2-4 | -56.5 | -68.2 | AWGN |
| 30 | G- FR1-A2-5 | -56.5 |
| 60 | G- FR1-A2-6 | -56.8 |
| 25 | 15 | G- FR1-A2-4 | -56.5 | -67.2 | AWGN |
| 30 | G- FR1-A2-5 | -56.5 |
| 60 | G- FR1-A2-6 | -56.8 |
| 30 | 15 | G- FR1-A2-4 | -56.5 | -66.4 | AWGN |
| 30 | G- FR1-A2-5 | -56.5 |
| 60 | G- FR1-A2-6 | -56.8 |
| 40 | 15 | G- FR1-A2-4 | -56.5 | -65.1 | AWGN |
| 30 | G- FR1-A2-5 | -56.5 |
| 60 | G- FR1-A2-6 | -56.8 |
| 50 | 15 | G- FR1-A2-4 | -56.5 | -64.2 | AWGN |
| 30 | G- FR1-A2-5 | -56.5 |
| 60 | G- FR1-A2-6 | -56.8 |
| 60 | 30 | G- FR1-A2-5 | -56.5 | -63.4 | AWGN |
| 60 | G- FR1-A2-6 | -56.8 |
| 70 | 30 | G- FR1-A2-5 | -56.5 | -62.8 | AWGN |
| 60 | G- FR1-A2-6 | -56.8 |
| 80 | 30 | G- FR1-A2-5 | -56.5 | -62.1 | AWGN |
| 60 | G- FR1-A2-6 | -56.8 |
| 90 | 30 | G- FR1-A2-5 | -56.5 | -61.6 | AWGN |
| 60 | G- FR1-A2-6 | -56.8 |
| 100 | 30 | G- FR1-A2-5 | -56.5 | -61.1 | AWGN |
| 60 | G- FR1-A2-6 | -56.8 |
| NOTE: The wanted signal mean power is the power level of a single instance of the corresponding reference measurement channel. This requirement shall be met for each consecutive application of a single instance of the reference measurement channel mapped to disjoint frequency ranges with a width corresponding to the number of resource blocks of the reference measurement channel each, except for one instance that might overlap one other instance to cover the full *BS channel bandwidth*. | | | | | |

## 7.4 In-band selectivity and blocking

### 7.4.1 Adjacent Channel Selectivity (ACS)

#### 7.4.1.1 General

Adjacent channel selectivity (ACS) is a measure of the receiver’s ability to receive a wanted signal at its assigned channel frequency at the *antenna connector* for *BS type 1-C* or *TAB connector* for *BS type 1-H* in the presence of an adjacent channel signal with a specified centre frequency offset of the interfering signal to the band edge of a victim system.

#### 7.4.1.2 Minimum requirement for *BS type 1-C* and *BS type 1-H*

The throughput shall be ≥ 95% of the maximum throughput of the reference measurement channel.

For BS, the wanted and the interfering signal coupled to the *BS* *type 1-C* *antenna connector* or *BS type 1-H* *TAB connector* are specified in table 7.4.1.2-1 and the frequency offset between the wanted and interfering signal in table 7.4.1.2-2 for ACS. The reference measurement channel for the wanted signal is identified in table 7.2.2-1, 7.2.2-2 and 7.2.2-3 for each *BS channel bandwidth* and further specified in annex A. The characteristics of the interfering signal is further specified in annex D.

The ACS requirement is applicable outside the Base Station RF Bandwidth or Radio Bandwidth. The interfering signal offset is defined relative to the Base station RF Bandwidth edges or Radio Bandwidth edges.

For a BS operating in non-contiguous spectrum within any *operating band*, the ACS requirement shall apply in addition inside any sub-block gap, in case the sub-block gap size is at least as wide as the NR interfering signal in table 7.4.1.2-1. The interfering signal offset is defined relative to the sub-block edges inside the sub-block gap.

For a *multi-band connector*, the ACS requirement shall apply in addition inside any Inter RF Bandwidth gap, in case the Inter RF Bandwidth gap size is at least as wide as the NR interfering signal in table 7.4.1.2‑2. The interfering signal offset is defined relative to the Base Station RF Bandwidth edges inside the Inter RF Bandwidth gap.

Minimum conducted requirement is defined at the *antenna connector* for *BS type 1-C* and at the *TAB connector* for *BS type 1-H.*

Table 7.4.1.2-1: Base station ACS requirement

|  |  |  |
| --- | --- | --- |
| *BS channel bandwidth* of the lowest/highest carrier received (MHz) | Wanted signal mean power (dBm) | Interfering signal mean power (dBm) |
| 5, 10, 15, 20,  25, 30, 40, 50, 60, 70, 80, 90, 100  (Note 1) | PREFSENS + 6dB | Wide Area: -52  Medium Range: -47  Local Area: -44 |
| NOTE 1: The SCS for the lowest/highest carrier received is the lowest SCS supported by the BS for that bandwidth.  NOTE 2: PREFSENS depends on the *BS channel bandwidth* as specified in tables 7.2.2-1, 7.2.2-2, 7.2.2-3 | | |

Table 7.4.1.2-2: Base Station ACS interferer frequency offset values

|  |  |  |
| --- | --- | --- |
| *BS channel bandwidth* of the lowest/highest carrier received (MHz) | Interfering signal centre frequency offset from the lower/upper Base Station RF Bandwidth edge or sub-block edge inside a sub-block gap (MHz) | Type of interfering signal |
| 5 | ±2.5025 | 5 MHz DFT-s-OFDM NR signal  SCS: 15kHz, 25 RB |
| 10 | ±2.5075 |
| 15 | ±2.5125 |
| 20 | ±2.5025 |
| 25 | ±9.535 | 20 MHz DFT-s-OFDM NR signal  SCS: 15kHz, 100 RB |
| 30 | ±9.585 |
| 40 | ±9.535 |
| 50 | ±9.485 |
| 60 | ±9.585 |
| 70 | ±9.535 |
| 80 | ±9.485 |
| 90 | ±9.585 |
| 100 | ±9.535 |

#### 7.4.1.3 Void

#### 7.4.1.4 Void

### 7.4.2 In-band blocking

#### 7.4.2.1 General

The in-band blocking characteristics is a measure of the receiver’s ability to receive a wanted signal at its assigned channel at the *antenna connector* for *BS type 1-C* or *TAB connector* for *BS type 1-H* in the presence of an unwanted interferer, which is an NR signal for general blocking or an NR signal with one resource block for narrowband blocking.

#### 7.4.2.2 Minimum requirement for *BS type 1-C* and *BS type 1-H*

The throughput shall be ≥ 95% of the maximum throughput of the reference measurement channel, with a wanted and an interfering signal coupled to *BS type 1-C* *antenna connector* or *BS type 1‑H* *TAB connector* using the parameters in tables 7.4.2.2-1, 7.4.2.2-2 and 7.4.2.2-3 for general blocking and narrowband blocking requirements. The reference measurement channel for the wanted signal is identified in subclause 7.2.2 for each *BS channel bandwidth* and further specified in annex A. The characteristics of the interfering signal is further specified in annex D.

The in-band blocking requirements apply outside the Base Station RF Bandwidth or Radio Bandwidth. The interfering signal offset is defined relative to the Base Station RF Bandwidth edges or Radio Bandwidth edges.

The in-band blocking requirement shall apply from FUL\_low - ΔfOOB to FUL\_high + ΔfOOB, excluding the downlink frequency range of the FDD *operating band*. The ΔfOOB for *BS type 1-C* and *BS type 1-H* is defined in table 7.4.2.2-0.

Minimum conducted requirement is defined at the *antenna connector* for *BS type 1-C* and at the *TAB connector* for *BS type 1-H.*

Table 7.4.2.2-0: ΔfOOB offset for NR *operating bands*

|  |  |  |
| --- | --- | --- |
| BS type | *Operating band* characteristics | ΔfOOB (MHz) |
| *BS type 1-C* | FUL\_high – FUL\_low ≤ 200 MHz | 20 |
| 200 MHz < FUL\_high – FUL\_low ≤ 900 MHz | 60 |
| *BS type 1-H* | FUL\_high – FUL\_low < 100 MHz | 20 |
| 100 MHz ≤ FUL\_high – FUL\_low ≤ 900 MHz | 60 |

For a BS operating in non-contiguous spectrum within any *operating band*, the in-band blocking requirements apply in addition inside any sub-block gap, in case the sub-block gap size is at least as wide as twice the interfering signal minimum offset in tables 7.4.2.2-1. The interfering signal offset is defined relative to the sub-block edges inside the sub-block gap.

For a *multi-band connector*, the blocking requirements apply in the in-band blocking frequency ranges for each supported *operating band*. The requirement shall apply in addition inside any Inter RF Bandwidth gap, in case the Inter RF Bandwidth gap size is at least as wide as twice the interfering signal minimum offset in tables 7.4.2.2-1.

For a BS operating in non-contiguous spectrum within any operating band, the narrowband blocking requirement shall apply in addition inside any sub-block gap, in case the sub-block gap size is at least as wide as the *channel bandwidth* of the NR interfering signal in Table 7.4.2.2-3. The interfering signal offset is defined relative to the sub-block edges inside the sub-block gap.

For a *multi-band connector*, the narrowband blocking requirement shall apply in addition inside any Inter RF Bandwidth gap, in case the Inter RF Bandwidth gap size is at least as wide as the NR interfering signal in Table 7.4.2.2-3. The interfering signal offset is defined relative to the Base Station RF Bandwidth edges inside the Inter RF Bandwidth gap.

Table 7.4.2.2-1: Base station general blocking requirement

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| *BS channel bandwidth* of the lowest/highest carrier received (MHz) | Wanted signal mean power (dBm) | Interfering signal mean power (dBm) | Interfering signal centre frequency minimum offset from the lower/upper Base Station RF Bandwidth edge or sub-block edge inside a sub-block gap (MHz) | Type of interfering signal |
| 5, 10, 15, 20 | PREFSENS + 6 dB | Wide Area: -43  Medium Range: -38  Local Area: -35 | ±7.5 | 5 MHz DFT-s-OFDM NR signal  SCS: 15 kHz, 25 RB |
| 25, 30, 40, 50, 60, 70, 80, 90, 100 | PREFSENS + 6 dB | Wide Area: -43  Medium Range: -38  Local Area: -35 | ±30 | 20 MHz DFT-s-OFDM NR signal  SCS: 15 kHz, 100 RB |
| NOTE: PREFSENS depends on the *BS channel bandwidth* as specified in tables 7.2.2-1, 7.2.2-2 and 7.2.2-3. | | | | |

Table 7.4.2.2-2: Base Station narrowband blocking requirement

|  |  |  |
| --- | --- | --- |
| *BS channel bandwidth* of the lowest/highest carrier received (MHz) | Wanted signal mean power (dBm) | Interfering signal mean power (dBm) |
| 5, 10, 15, 20, 25, 30, 40, 50, 60, 70, 80,90, 100 (Note 1) | PREFSENS + 6 dB | Wide Area: -49  Medium Range: -44  Local Area: -41 |
| NOTE 1: The SCS for the lowest/highest carrier received is the lowest SCS supported by the BS for that *BS channel bandwidth*  NOTE 2: PREFSENS depends on the *BS channel bandwidth* as specified in tables 7.2.2-1, 7.2.2-2 and 7.2.2-3. | | |

Table 7.4.2.2-3: Base Station narrowband blocking interferer frequency offsets

|  |  |  |
| --- | --- | --- |
| *BS channel bandwidth* of the lowest/highest carrier received (MHz) | Interfering RB centre frequency offset to the lower/upper Base Station RF Bandwidth edge or sub-block edge inside a sub-block gap (kHz) | Type of interfering signal |
| 5 | ±([342.5]+m\*180),  m=0, 1, 2, 3, 4, 9, 14, 19, 24 | 5 MHz DFT-s-OFDM NR signal, 1 RB  SCS: 15 kHz |
| 10 | ±([347.5]+m\*180),  m=0, 1, 2, 3, 4, 9, 14, 19, 24 |
| 15 | ±([352.5]+m\*180),  m=0, 1, 2, 3, 4, 9, 14, 19, 24 |
| 20 | ±([342.5]+m\*180),  m=0, 1, 2, 3, 4, 9, 14, 19, 24 |
| 25 | ±([557.5]+m\*180),  m=0, 1, 2, 3, 4, 29, 54, 79, 100 | 20 MHz DFT-s-OFDM NR signal, 1 RB  SCS: 15 kHz |
| 30 | ±([562.5]+m\*180),  m=0, 1, 2, 3, 4, 29, 54, 79, 100 |
| 40 | ±([557.5]+m\*180),  m=0, 1, 2, 3, 4, 29, 54, 79, 100 |
| 50 | ±([552.5]+m\*180),  m=0, 1, 2, 3, 4, 29, 54, 79, 100 |
| 60 | ±([562.5]+m\*180),  m=0, 1, 2, 3, 4, 29, 54, 79, 100 |
| 70 | ±([557.5]+m\*180),  m=0, 1, 2, 3, 4, 29, 54, 79, 100 |
| 80 | ±([552.5]+m\*180),  m=0, 1, 2, 3, 4, 29, 54, 79, 100 |
| 90 | ±([562.5]+m\*180),  m=0, 1, 2, 3, 4, 29, 54, 79, 100 |
| 100 | ±([557.5]+m\*180),  m=0, 1, 2, 3, 4, 29, 54, 79, 100 |
| NOTE: Interfering signal consisting of one resource block positioned at the stated offset, the *channel bandwidth* of the interfering signal is located adjacently to the lower/upper Base Station RF Bandwidth edge or sub-block edge inside a sub-block gap. | | |

#### 7.4.2.3 Void

#### 7.4.2.4 Void

## 7.5 Out-of-band blocking

### 7.5.1 General

The out-of-band blocking characteristics is a measure of the receiver ability to receive a wanted signal at its assigned channel at the *antenna connector* for *BS type 1-C* or *TAB connector* for *BS type 1-H* in the presence of an unwanted interferer out of the *operating band*, which is a CW signal for out-of-band blocking.

### 7.5.2 Minimum requirement for *BS type 1-C* and *BS type 1-H*

The throughput shall be ≥ 95% of the maximum throughput of the reference measurement channel, with a wanted and an interfering signal coupled to *BS type 1-C* *antenna connector* or *BS type 1-H* *TAB connector* using the parameters in table 7.5.2-1. The reference measurement channel for the wanted signal is identified in subclause 7.2.2 for each *BS channel bandwidth* and further specified in annex A. The characteristics of the interfering signal is further specified in annex D.

The out-of-band blocking requirement apply from 1 MHz to FUL\_low - ΔfOOB and from FUL\_high + ΔfOOB up to 12750 MHz, including the downlink frequency range of the FDD *operating band*. The ΔfOOB for *BS type 1-C* and *BS type 1-H* is defined in table 7.4.2.2-0.

Minimum conducted requirement is defined at the *antenna connector* for *BS type 1-C* and at the *TAB connector* for *BS type 1-H.*

For a *multi-band connector*, the requirement in the out-of-band blocking frequency ranges apply for each *operating band*, with the exception that the in-band blocking frequency ranges of all supported *operating bands* according to subclause 7.4.2.2 shall be excluded from the out-of-band blocking requirement.

Table 7.5.2-1: Out-of-band blocking performance requirement for NR

|  |  |  |
| --- | --- | --- |
| Wanted Signal mean power (dBm) | Interfering Signal mean power (dBm) | Type of Interfering Signal |
| PREFSENS +6 dB (Note) | -15 | CW carrier |
| NOTE: PREFSENS depends on the *BS channel bandwidth* as specified in Table 7.2.2-1, 7.2.2-2, and 7.2.2-3. | | |

### 7.5.3 Co-location minimum requirements for *BS type 1-C* and *BS type 1-H*

This additional blocking requirement may be applied for the protection of NR BS receivers when GSM, CDMA, UTRA, E-UTRA or NR BS operating in a different frequency band are co-located with a NR BS. The requirement is applicable to all *BS channel bandwidths* supported by the NR BS.

The requirements in this clause assume a 30 dB coupling loss between interfering transmitter and NR BS receiver and are based on co-location with base stations of the same class.

The throughput shall be ≥ 95% of the maximum throughput of the reference measurement channel, with a wanted and an interfering signal coupled to *BS type 1-C* *antenna connector* or *BS type 1-H* *TAB connector* input using the parameters in Table 7.5.3-1 for all the BS classes. The reference measurement channel for the wanted signal is identified in Tables 7.2.2-1, 7.2.2-2 and 7.2.2-3 for each *BS channel bandwidth* and further specified in Annex A. The characteristics of the interfering signal is further specified in annex D.

The blocking requirement for co-location with BS in other bands is applied for all operating bands for which co-location protection is provided.

Minimum conducted requirement is defined at the *antenna connector* for *BS type 1-C* and at the *TAB connector* for *BS type 1-H.*

Table 7.5.3-1: Blocking performance requirement for NR BS when co-located with BS in other frequency bands.

| Frequency range of interfering signal | Wanted signal mean power for WA BS (dBm) | Interfering signal mean power for WA BS (dBm) | Interfering signal mean power for MR BS (dBm) | Interfering signal mean power for LA BS (dBm) | Type of interfering signal |
| --- | --- | --- | --- | --- | --- |
| Frequency range of co-located downlink operating band | PREFSENS +6dB (Note 1) | +16 | +8 | x (Note 2) | CW carrier |
| NOTE 1: PREFSENS depends on the *BS channel bandwidth* as specified in Table 7.2.2-1, 7.2.2-2, and 7.2.2-3.  NOTE 2: x = -7 dBm for NR BS co-located with Pico GSM850 or Pico CDMA850 x = -4 dBm for NR BS co-located with Pico DCS1800 or Pico PCS1900 x = -6 dBm for NR BS co-located with UTRA bands or E-UTRA bands or NR bands | | | | | |

### 7.5.4 Void

## 7.6 Receiver spurious emissions

### 7.6.1 General

The receiver spurious emissions power is the power of emissions generated or amplified in a receiver unit that appear at the *antenna connector* (for *BS type 1-C*) or at the *TAB connector* (for *BS type 1-H*). The requirements apply to all BS with separate RX and TX *antenna connectors* / *TAB connectors*.

NOTE: In this case for FDD operation the test is performed when both TX and RX are ON, with the TX *antenna connectors* / *TAB connectors* terminated.

For a*ntenna connectors* / *TAB connectors* supporting both RX and TX in TDD, the requirements apply during the *transmitter OFF period*. For *antenna connectors* / *TAB connectors* supporting both RX and TX in FDD, the RX spurious emissions requirements are superseded by the TX spurious emissions requirements, as specified in subclause 6.6.5.

For RX-only *multi-band* *connectors*, the spurious emissions requirements are subject to exclusion zones in each supported *operating band*. For *multi-band* *connectors* that both transmit and receive in *operating band* supporting TDD, RX spurious emissions requirements are applicable during the *TX OFF period*, and are subject to exclusion zones in each supported *operating band*.

For *BS type 1-H* manufacturer shall declare *TAB connector RX min cell groups*. Every *TAB connector* of *BS type 1‑H* supporting reception in an *operating band* shall map to one *TAB connector RX min cell group*, where mapping of *TAB connectors* to cells/beams is implementation dependent.

The number of active receiver units that are considered when calculating the conducted RX spurious emission limits (NRXU,counted) for *BS type 1-H* is calculated as follows:

NRXU,counted = *min(NRXU,active , 8* *× Ncells)*

NRXU,countedpercell is used for scaling of *basic limits* and is derived as NRXU,countedpercell = NRXU,counted / Ncells, where Ncells is defined in subclause 6.1.

NOTE: NRXU,active is the number of actually active receiver units and is independent to the declaration of Ncells.

### 7.6.2 Basic limits

The receiver spurious emissions limits are provided in table 7.6.2-1.

Table 7.6.2-1: General RX spurious emissions limits

| Frequency range | Basic limits | Measurement bandwidth | Note |
| --- | --- | --- | --- |
| 30 MHz – 1 GHz | -57 dBm | 100 kHz |  |
| 1 GHz – 12.75 GHz | -47 dBm | 1 MHz |  |
| 12.75 GHz – 5th harmonic of the upper frequency edge of the UL *operating band* in GHz | -47 dBm | 1 MHz | Applies only for bands which have 5th harmonic of the upper frequency edge of the UL *operating band* reaching beyond 12.75 GHz. |
| NOTE 1: The frequency range from ΔfOBUE below the lowest frequency of the BS transmitter operating band to ΔfOBUE above the highest frequency of the BS transmitter *operating band* may be excluded from the requirement. ΔfOBUE is defined in subclause 6.6.1.  NOTE 2: For *multi-band* *connectors*, the exclusion applies for all supported *operating bands* for those a*ntenna connectors* / *TAB connectors*. | | | |

### 7.6.3 Minimum requirement for *BS type 1-C*

The RX spurious emissions requirements for *BS type 1-C* are that for each *antenna connector,* the power of emissions shall not exceed *basic limits* specified in table 7.6.2-1.

### 7.6.4 Minimum requirement for *BS type 1-H*

The RX spurious emissions requirements for *BS type 1-H* are that for each applicable *basic limit* specified in table 7.6.2-1 for each *TAB connector RX min cell group,* the power sum of emissions at respective *TAB connectors* shall not exceed the BS limits specified as the *basic limit*s + X, where X = 10log10(NRXU,countedpercell), unless stated differently in regional regulation.

The RX spurious emission requirements are applied per the *TAB connector RX min cell group* for all the configurations supported by the BS.

NOTE: Conformance to the BS receiver spurious emissions requirement can be demonstrated by meeting at least one of the following criteria as determined by the manufacturer:

1) The sum of the spurious emissions power measured on each *TAB connector* in the *TAB connector RX min cell group* shall be less than or equal to the BS limit above for the respective frequency span.

Or

2) The spurious emissions power at each *TAB connector* shall be less than or equal to the BS limit as defined above for the respective frequency span, scaled by -10log10(*n*), where *n* is the number of *TAB connectors* in the *TAB connector RX min cell group*.

## 7.7 Receiver intermodulation

### 7.7.1 General

Third and higher order mixing of the two interfering RF signals can produce an interfering signal in the band of the desired channel. Intermodulation response rejection is a measure of the capability of the receiver to receive a wanted signal on its assigned channel frequency at the *antenna connector* for *BS type 1-C* or *TAB connector* for *BS type 1-H* in the presence of two interfering signals which have a specific frequency relationship to the wanted signal.

### 7.7.2 Minimum requirement for *BS type 1-C* and *BS type 1-H*

For NR, the throughputshall be ≥ 95% of the maximum throughput of the reference measurement channel, with a wanted signal at the assigned channel frequency and two interfering signals coupled to the *BS type 1-C antenna connector* or *BS type 1-H* *TAB connector*, with the conditions specified in tables 7.7.2-1 and 7.7.2-2 for intermodulation performance and in tables 7.7.2-3, and 7.7.2-4 for narrowband intermodulation performance. The reference measurement channel for the wanted signal is identified in tables 7.2.2-1, 7.2.2-2 and 7.2.2-3 for each *BS channel bandwidth* and further specified in annex A. The characteristics of the interfering signal is further specified in annex D.

The subcarrier spacing for the modulated interfering signal shall in general be the same as the subcarrier spacing for the wanted signal, except for the case of wanted signal subcarrier spacing 60 kHz and *BS channel bandwidth* <=20MHz, for which the subcarrier spacing of the interfering signal should be 30 kHz.

The receiver intermodulation requirement is applicable outside the Base Station RF Bandwidth or Radio Bandwidth edges. The interfering signal offset is defined relative to the Base Station RF Bandwidth edges or Radio Bandwidth edges.

For a BS operating in non-contiguous spectrum within any *operating band*, the narrowband intermodulation requirement shall apply in addition inside any sub-block gap in case the sub-block gap is at least as wide as the *channel bandwidth* of the NR interfering signal in table 7.7.2-2 or 7.7.2-4. The interfering signal offset is defined relative to the sub-block edges inside the sub-block gap.

For a *multi-band connector*, the intermodulation requirement shall apply in addition inside any Inter RF Bandwidth gap, in case the gap size is at least twice as wide as the NR interfering signal centre frequency offset from the Base Station RF Bandwidth edge.

For a *multi-band connector*, the narrowband intermodulation requirement shall apply in addition inside any Inter RF Bandwidth gap in case the gap size is at least as wide as the NR interfering signal in tables 7.7.2-2 and 7.7.2-4. The interfering signal offset is defined relative to the Base Station RF Bandwidth edges inside the Inter RF Bandwidth gap.

Table 7.7.2-1: General intermodulation requirement

|  |  |  |  |
| --- | --- | --- | --- |
| Base Station Type | Wanted Signal mean power (dBm) | Mean power of interfering signals (dBm) | Type of interfering signal |
| Wide Area BS | PREFSENS +6 dB | -52 | See Table 7.7.2-2 |
| Medium Range BS | PREFSENS +6 dB | -47 |
| Local Area BS | PREFSENS +6 dB | -44 |
| NOTE 1: PREFSENS depends on the BS class and on the *BS channel bandwidth*, see subclause 7.2. | | | |

Table 7.7.2-2: Interfering signals for intermodulation requirement

|  |  |  |
| --- | --- | --- |
| *BS channel bandwidth* of the lowest/highest carrier received (MHz) | Interfering signal centre frequency offset from the lower/upper Base Station RF Bandwidth edge (MHz) | Type of interfering signal |
| 5 | ±7.5 | CW |
| ±17.5 | 5 MHz DFT-s-OFDM NR signal |
| 10 | ±7.45 | CW |
| ±17.5 | 5 MHz DFT-s-OFDM NR signal |
| 15 | ±7.43 | CW |
| ±17.5 | 5 MHz DFT-s-OFDM NR signal |
| 20 | ±7.38 | CW |
| ±17.5 | 5 MHz DFT-s-OFDM NR signal |
| 25 | ±7.45 | CW |
| ±25 | 20MHz DFT-s-OFDM NR signal |
| 30 | ±7.43 | CW |
| ±25 | 20 MHz DFT-s-OFDM NR signal |
| 40 | ±7.45 | CW |
| ±25 | 20 MHz DFT-s-OFDM NR signal |
| 50 | ±7.35 | CW |
| ±25 | 20 MHz DFT-s-OFDM NR signal |
| 60 | ±7.49 | CW |
| ±25 | 20 MHz DFT-s-OFDM NR signal |
| 70 | ±7.42 | CW |
| ±25 | 20 MHz DFT-s-OFDM NR signal |
| 80 | ±7.44 | CW |
| ±25 | 20 MHz DFT-s-OFDM NR signal |
| 90 | ±7.43 | CW |
| ±25 | 20 MHz DFT-s-OFDM NR signal |
| 100 | ±7.45 | CW |
| ±25 | 20 MHz DFT-s-OFDM NR signal |

Table 7.7.2-3: Narrowband intermodulation performance requirement in FR1

|  |  |  |  |
| --- | --- | --- | --- |
| BS type | Wanted signal mean power (dBm) | Interfering signal mean power (dBm) | Type of interfering signal |
| Wide Area BS | PREFSENS + 6dB  (Note 1) | -52 | See Table 7.7.2-4 |
| Medium Range BS | PREFSENS + 6dB  (Note 2) | -47 |
| Local Area BS | PREFSENS + 6dB  (Note 3) | -44 |
| NOTE 1: PREFSENS depends on the *BS channel bandwidth* as specified in table 7.2.2-1.  NOTE 2: PREFSENS depends on the *BS channel bandwidth* as specified in table 7.2.2-2.  NOTE 3: PREFSENS depends on the *BS channel bandwidth* as specified in table 7.2.2-3. | | | |

Table 7.7.2-4: Interfering signals for narrowband intermodulation requirement in FR1

|  |  |  |
| --- | --- | --- |
| *BS channel bandwidth* of the lowest/highest carrier received (MHz) | Interfering RB centre frequency offset from the lower/upper Base Station RF Bandwidth edge or sub-block edge inside a sub-block gap (kHz) | Type of interfering signal |
| 5 | ±360 | CW |
| ±1420 | 5 MHz DFT-s-OFDM NR signal, 1 RB (Note 1) |
| 10 | ±325 | CW |
| ±1780 | 5 MHz DFT-s-OFDM NR signal, 1 RB (Note 1) |
| 15 (Note 2) | ±380 | CW |
| ±1600 | 5 MHz DFT-s-OFDM NR signal, 1 RB (Note 1) |
| 20 (Note 2) | ±345 | CW |
| ±1780 | 5 MHz DFT-s-OFDM NR signal, 1 RB (Note 1) |
| 25 (Note 2) | ±325 | CW |
| ±1990 | 20 MHz DFT-s-OFDM NR signal, 1 RB (Note 1) |
| 30 (Note 2) | ±320 | CW |
| ±1990 | 20 MHz DFT-s-OFDM NR signal, 1 RB (Note 1) |
| 40 (Note 2) | ±310 | CW |
| ±2710 | 20 MHz DFT-s-OFDM NR signal, 1 RB (Note 1) |
| 50 (Note 2) | ±330 | CW |
| ±3250 | 20 MHz DFT-s-OFDM NR signal, 1 RB (Note 1) |
| 60 (Note 2) | ±350 | CW |
| ±3790 | 20 MHz DFT-s-OFDM NR signal, 1 RB (Note 1) |
| 70 (Note 2) | ±400 | CW |
| ±4870 | 20 MHz DFT-s-OFDM NR signal, 1 RB (Note 1) |
| 80 (Note 2) | ±390 | CW |
| ±4870 | 20 MHz DFT-s-OFDM NR signal, 1 RB (Note 1) |
| 90 (Note 2) | ±340 | CW |
| ±5770 | 20 MHz DFT-s-OFDM NR signal, 1 RB (Note 1) |
| 100 (Note 2) | ±340 | CW |
| ±5770 | 20 MHz DFT-s-OFDM NR signal, 1 RB (Note 1) |
| NOTE 1: Interfering signal consisting of one resource block positioned at the stated offset, the *BS channel bandwidth* of the interfering signal is located adjacently to the lower/upper Base Station RF Bandwidth edge or sub-block edge inside a sub-block gap.  NOTE 2: This requirement shall apply only for a G-FRC mapped to the frequency range at the channel edge adjacent to the interfering signals. | | |

## 7.8 In-channel selectivity

### 7.8.1 General

In-channel selectivity (ICS) is a measure of the receiver ability to receive a wanted signal at its assigned resource block locations at the *antenna connector* for *BS type 1-C* or *TAB connector* for *BS type 1-H* in the presence of an interfering signal received at a larger power spectral density. In this condition a throughput requirement shall be met for a specified reference measurement channel. The interfering signal shall be an NR signal which is time aligned with the wanted signal.

### 7.8.2 Minimum requirement for *BS type 1-C* and *BS type 1-H*

For *BS type 1-C* and *1-H*, the throughput shall be ≥ 95% of the maximum throughput of the reference measurement channel as specified in annex A with parameters specified in Table 7.8.2-1 for Wide Area BS, in Table 7.8.2-2 for Medium Range BS and in Table7.8.2-3 for Local Area BS. The reference measurement channel for the wanted signal is identified in tables 7.8.2-1, 7.8.2-2 and 7.8.2-3 for each *BS channel bandwidth* and further specified in annex A. The characteristics of the interfering signal is further specified in annex D.

Table 7.8.2-1: Wide Area BS in-channel selectivity

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| *BS channel bandwidth* (MHz) | Subcarrier spacing (kHz) | Reference measurement channel | Wanted signal mean power (dBm) | Interfering signal mean power (dBm) | Type of interfering signal |
| 5 | 15 | G-FR1-A1-7 | -100.6 | -81.4 | DFT-s-OFDM NR signal, SCS 15 kHz,  10 RB |
| 10,15,20,25,30 | 15 | G-FR1-A1-1 | -98.7 | -77.4 | DFT-s-OFDM NR signal, SCS 15 kHz,  25 RB |
| 40,50 | 15 | G-FR1-A1-4 | -92.3 | -71.4 | NR signal, SCS 15 kHz, 100 RB |
| 5 | 30 | G-FR1-A1-8 | -101.3 | -81.4 | DFT-s-OFDM NR signal, SCS 30 kHz,  5 RB |
| 10,15,20,25,30 | 30 | G-FR1-A1-2 | -98.8 | -78.4 | DFT-s-OFDM NR signal, SCS 30 kHz,  10 RB |
| 40,50,60,70,80,90,100 | 30 | G-FR1-A1-5 | -92.6 | -71.4 | DFT-s-OFDM NR signal, SCS 30 kHz,  50 RB |
| 10,15,20,25,30 | 60 | G-FR1-A1-9 | -98.2 | -78.4 | DFT-s-OFDM NR signal, SCS 60 kHz,  5 RB |
| 40,50,60,70,80,90,100 | 60 | G-FR1-A1-6 | -92.7 | -71.6 | DFT-s-OFDM NR signal, SCS 60 kHz,  24 RB |
| NOTE: Wanted and interfering signal are placed adjacently around Fc, where the Fc is defined for *BS channel bandwidth* ofthe wanted signalaccording to the table 5.4.2.2-1. The aggregated wanted and interferer signal shall be centred in the BS channel bandwidth of the wanted signal. | | | | | |

Table 7.8.2-2: Medium Range BS in-channel selectivity

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| *BS channel bandwidth* (MHz) | Subcarrier spacing (kHz) | Reference measurement channel | Wanted signal mean power (dBm) | Interfering signal mean power (dBm) | Type of interfering signal |
| 5 | 15 | G-FR1-A1-7 | -95.6 | -76.4 | DFT-s-OFDM NR signal, SCS 15 kHz,  10 RB |
| 10,15,20,25,30 | 15 | G-FR1-A1-1 | -93.7 | -72.4 | DFT-s-OFDM NR signal, SCS 15 kHz,  25 RB |
| 40,50 | 15 | G-FR1-A1-4 | -87.3 | -66.4 | DFT-s-OFDM NR signal, SCS 15 kHz, 100 RB |
| 5 | 30 | G-FR1-A1-8 | -96.3 | -76.4 | DFT-s-OFDM NR signal, SCS 30 kHz,  5 RB |
| 10,15,20,25,30 | 30 | G-FR1-A1-2 | -93.8 | -73.4 | DFT-s-OFDM NR signal, SCS 30 kHz,  10 RB |
| 40,50,60,70,80,90,100 | 30 | G-FR1-A1-5 | -87.6 | -66.4 | DFT-s-OFDM NR signal, SCS 30 kHz,  50 RB |
| 10,15,20,25,30 | 60 | G-FR1-A1-9 | -93.2 | -73.4 | DFT-s-OFDM NR signal, SCS 60 kHz,  5 RB |
| 40,50,60,70,80,90,100 | 60 | G-FR1-A1-6 | -87.7 | -66.6 | DFT-s-OFDM NR signal, SCS 60 kHz,  24 RB |
| NOTE: Wanted and interfering signal are placed adjacently around Fc, where the Fc is defined for *BS channel bandwidth* of the wanted signalaccording to the table 5.4.2.2-1. The aggregated wanted and interferer signal shall be centred in the BS channel bandwidth of the wanted signal. | | | | | |

Table 7.8.2-3: Local area BS in-channel selectivity

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| *BS channel bandwidth* (MHz) | Subcarrier spacing (kHz) | Reference measurement channel | Wanted signal mean power (dBm) | Interfering signal mean power (dBm) | Type of interfering signal |
| 5 | 15 | G-FR1-A1-7 | -92.6 | -73.4 | DFT-s-OFDM NR signal, SCS 15 kHz,  10 RB |
| 10,15,20,25,30 | 15 | G-FR1-A1-1 | -90.7 | -69.4 | DFT-s-OFDM NR signal, SCS 15 kHz,  25 RB |
| 40,50 | 15 | G-FR1-A1-4 | -84.3 | -63.4 | DFT-s-OFDM NR signal, SCS 15 kHz, 100 RB |
| 5 | 30 | G-FR1-A1-8 | -93.3 | -73.4 | DFT-s-OFDM NR signal, SCS 30 kHz,  5 RB |
| 10,15,20,25,30 | 30 | G-FR1-A1-2 | -90.8 | -70.4 | DFT-s-OFDM NR signal, SCS 30 kHz,  10 RB |
| 40,50,60,70,80,90,100 | 30 | G-FR1-A1-5 | -84.6 | -63.4 | DFT-s-OFDM NR signal, SCS 30 kHz,  50 RB |
| 10,15,20,25,30 | 60 | G-FR1-A1-9 | -90.2 | -70.4 | DFT-s-OFDM NR signal, SCS 60 kHz,  5 RB |
| 40,50,60,70,80,90,100 | 60 | G-FR1-A1-6 | -84.7 | -63.6 | DFT-s-OFDM NR signal, SCS 60 kHz,  24 RB |
| NOTE: Wanted and interfering signal are placed adjacently around Fc, where the Fc is defined for *BS channel bandwidth* of the wanted signal according to the table 5.4.2.2-1. The aggregated wanted and interferer signal shall be centred in the BS channel bandwidth of the wanted signal. | | | | | |

# 8 Performance requirements

Detailed structure of the clause is TBD.

# 9 Radiated transmitter characteristics

## 9.1 General

Radiated transmitter characteristics requirements apply on the *BS type 1-H*, *BS type 1-O*, or *BS type 2-O* including all its functional components active and for all foreseen modes of operation of the BS unless otherwise stated.

## 9.2 Radiated transmit power

### 9.2.1 General

*BS type 1-O* and *BS type 2-O* is declared to support one or more beams, as per manufacturer’s declarations specified in 3GPP TS 38.141-2 [6]. Radiated transmit power is defined as the EIRP level for a declared beam at a specific *beam peak direction*.

For each beam, the requirement is based on declaration of a beam identity, *reference beam direction pair*, *beamwidth*, *rated beam EIRP*, *OTA peak directions set*, the *beam direction pairs* at the maximum steering directions and their associated *rated beam EIRP* and *beamwidth(s)*.

For a declared beam and *beam direction pair*, the *rated beam EIRP* level is the maximum power that the base station is declared to radiate at the associated *beam peak direction* during the *transmitter ON period*.

For each *beam peak direction* associated with a *beam direction pair* within the *OTA peak directions set*, a specific *rated beam EIRP* level may be claimed. Any claimed value shall be met within the accuracy requirement as described below. *Rated beam EIRP* is only required to be declared for the *beam direction pairs* subject to conformance testing as detailed in 3GPP TS 38.141-2 [6].

NOTE 1: *OTA peak directions set* is set of *beam peak directions* for which the EIRP accuracy requirement is intended to be met. The *beam peak directions* are related to a corresponding contiguous range or discrete list of *beam centre directions* by the *beam direction pairs* included in the set.

NOTE 2: A *beam direction pair* is data set consisting of the *beam centre direction* and the related *beam peak direction.*

NOTE 3: A declared EIRP value is a value provided by the manufacturer for verification according to the conformance specification declaration requirements, whereas a claimed EIRP value is provided by the manufacturer to the equipment user for normal operation of the equipment and is not subject to formal conformance testing.

For *operating bands* where the supported *fractional bandwidth* (FBW) is larger than 6%, EIRP is declared as EIRPFBWlow at minimum supported frequency FFBWlow, and as EIRPFBWhigh at maximum supported frequency FFBWhigh. For frequencies in between the FFBWlow and FFBWhigh frequency the EIRP is:

- EIRPFBWlow, for the frequency range FFBWlow ≤ f < (FFBWlow +FFBWhigh) / 2,

- EIRPFBWhigh, for the frequency range (FFBWlow +FFBWhigh) / 2 ≤ f ≤FFBWhigh.

### 9.2.2 Minimum requirement for *BS type 1-H* and *BS type 1-O*

For each declared beam, in normal conditions, for any specific *beam peak direction* associated with a *beam direction pair* within the *OTA peak directions set*, a manufacturer claimed EIRP level in the corresponding *beam peak direction* shall be achievable to within ±2.2 dB of the claimed value.

For *BS type 1-O* only, for each declared beam, in extreme conditions, for any specific *beam peak direction* associated with a *beam direction pair* within the *OTA peak directions set*, a manufacturer claimed EIRP level in the corresponding *beam peak direction* shall be achievable to within ±2.7 dB of the claimed value.

Normal and extreme conditions are defined in 3GPP TS 38.141-2, annex B [6].

In certain regions, the minimum requirement for normal conditions may apply also for some conditions outside the range of conditions defined as normal.

### 9.2.3 Minimum requirement for *BS type 2-O*

For each declared beam, in normal conditions, for any specific *beam peak direction* associated with a *beam direction pair* within the *OTA peak directions set*, a manufacturer claimed EIRP level in the corresponding *beam peak direction* shall be achievable to within ± 3.4 dB of the claimed value.

For each declared beam, in extreme conditions, for any specific *beam peak direction* associated with a *beam direction pair* within the *OTA peak directions set*, a manufacturer claimed EIRP level in the corresponding *beam peak direction* shall be achievable to within ±4.5 dB of the claimed value.

Normal and extreme conditions are defined in 3GPP TS 38.141-2, annex B [6].

In certain regions, the minimum requirement for normal conditions may apply also for some conditions outside the range of conditions defined as normal.

## 9.3 OTA base station output power

### 9.3.1 General

OTA BS output power is declared as the TRP radiated requirement, with the output power accuracy requirement defined at the RIB during the *transmitter ON period* for any specific *beam peak direction* associated with a *beam direction pair* within the *OTA peak directions set,* for *BS type 1-O* and *BS type 2-O*.

The BS *rated carrier TRP output power* shall be within limits as specified in table 9.3.1-1.

Table 9.3.1-1: BS rated carrier TRP output powerlimits for *BS type 1-O*

|  |  |
| --- | --- |
| BS class | Prated,c,TRP |
| Wide Area BS | (note) |
| Medium Range BS | ≤ + 47 dBm |
| Local Area BS | ≤ + 33 dBm |
| NOTE: There is no upper limit for the Prated,c,TRP of the Wide Area Base Station. | |

Despite the general requirements for the BS output power described in subclauses 9.3.2 – 9.3.3, additional regional requirements might be applicable.

NOTE: In certain regions, power limits corresponding to BS classes may apply for *BS type 2-O*.

### 9.3.2 Minimum requirement for *BS type 1-O*

In normal conditions, the *BS type 1-O* *maximum carrier TRP output power*, Pmax,c, TRP measured at the RIB shall remain within ±2 dB of the *rated carrier TRP output power* Prated,c,TRP, as declared by the manufacturer.

Normal conditions are defined in 3GPP TS 38.141-1, annex B [6].

### 9.3.3 Minimum requirement for *BS type 2-O*

In normal conditions, the *BS type 2-O* *maximum carrier TRP output power*, Pmax,c, TRP measured at the RIB shall remain within ±3 dB of the *rated carrier TRP output power* Prated,c,TRP, as declared by the manufacturer.

Normal conditions are defined in 3GPP TS 38.141-2, annex B [6].

### 9.3.4 Additional requirements (regional)

In certain regions, additional regional requirements may apply.

## 9.4 OTA output power dynamics

### 9.4.1 General

The requirements in subclause 9.4 apply during the *transmitter ON period*. Transmit signal quality (as specified in subclause 9.6) shall be maintained for the output power dynamics requirements.

The OTA output power requirements are *directional requirements* and apply to the *beam peak directions* over the *OTA peak directions set*.

### 9.4.2 OTA RE power control dynamic range

#### 9.4.2.1 General

The OTA RE power control dynamic range is the difference between the power of an RE and the average RE power for a BS at maximum output power (Pmax,c,EIRP) for a specified reference condition.

This requirement shall apply at each RIB supporting transmission in the *operating band*.

#### 9.4.2.2 Minimum requirement for *BS type 1-O*

The OTA RE power control dynamic range is specified the same as the conducted RE power control dynamic range requirement for *BS type 1-C* and *BS type 1-H* in table 6.3.2.2-1.

### 9.4.3 OTA total power dynamic range

#### 9.4.3.1 General

The OTA total power dynamic range is the difference between the maximum and the minimum transmit power of an OFDM symbol for a specified reference condition.

This requirement shall apply at each RIB supporting transmission in the *operating band*.

NOTE 1: The upper limit of the OTA total power dynamic range is the BS maximum carrier EIRP (Pmax,c,EIRP). The lower limit of the OTA total power dynamic range is the average EIRP for single RB transmission in the same direction using the same beam. The OFDM symbol carries PDSCH and not contain RS, PBCH or synchronization signals.

#### 9.4.3.2 Minimum requirement for *BS type 1-O*

OTA total power dynamic range minimum requirement for *BS type 1-O* is specified such as for each NR carrier it shall be larger than or equal to the levels specified for the conducted requirement for *BS type 1-C* and *BS type 1-H* in table 6.3.3.2-1.

#### 9.4.3.3 Minimum requirement for *BS type 2-O*

OTA total power dynamic range minimum requirement for *BS type 2-O* is specified such as for each NR carrier it shall be larger than or equal to the levels specified in table 9.4.3.3-1.

Table 9.4.3.3-1: Minimum requirement for *BS type 2-O* total power dynamic range

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| SCS (kHz) | 50 MHz | 100 MHz | 200 MHz | 400 MHz |
| OTA total power dynamic range (dB) | | | |
| 60 | 18.1 | 21.2 | 24.2 | N.A |
| 120 | 15.0 | 18.1 | 21.2 | 24.2 |

## 9.5 OTA transmit ON/OFF power

### 9.5.1 General

OTA transmit ON/OFF power requirements apply only to TDD operation of NR BS.

### 9.5.2 OTA transmitter OFF power

#### 9.5.2.1 General

OTA transmitter OFF power is defined as the mean power measured over 70/N µs filtered with a square filter of bandwidth equal to the transmission bandwidth configuration of the BS (BWConfig) centred on the assigned channel frequency during the *transmitter OFF period*. N = SCS/15, where SCS is Sub Carrier Spacing in kHz.

For BS supporting intra-band contiguous CA, the OTA transmitter OFF power is defined as the mean power measured over 70/N us filtered with a square filter of bandwidth equal to the *Aggregated BS Channel Bandwidth* BWChannel\_CA centred on (Fedge\_high+Fedge\_low)/2 during the *transmitter OFF period*. N = SCS/15, where SCS is Sub Carrier Spacing in kHz.

For *BS type 1-O*, the transmitter OFF power is defined as the output power at the *co-location reference antenna* conducted output(s). For *BS type 2-O* the transmitter OFF power is defined as TRP.

For *multi-band* *RIBs*, the requirement is only applicable during the *transmitter OFF period* in all supported *operating bands*.

#### 9.5.2.2 Minimum requirement for type *BS type 1-O*

The total power from all *co-location reference antenna* conducted output(s) shall be less than -106 dBm/MHz.

#### 9.5.2.3 Minimum requirement for type *BS type 2-O*

The OTA transmitter OFF power spectral density for *BS type 2-O* should be less than [‑36 dBm/MHz].

NOTE: The OTA transmitter OFF power is measured together with the OTA transmitter transient period. As the OTA transmitter OFF power for *BS type 2-O* is defined as TRP, the measurement procedure for the OTA transient period is FFS.

### 9.5.3 OTA transient period

#### 9.5.3.1 General

The OTA transmitter transient period is the time period during which the transmitter is changing from the tra*nsmitter OFF period* to the *transmitter ON period* or vice versa. The transmitter transient period is illustrated in figure 6.4.2.1-1.

This requirement shall be applied at each RIB supporting transmission in the *operating band*.

#### 9.5.3.2 Minimum requirement for *BS type 1-O*

For *BS type 1-O*, the OTA transmitter transient period shall be shorter than the values listed in the minimum requirement table 9.5.3.2-1.

Table 9.5.3.2-1: Minimum requirement for the OTA transmitter transient period for *BS type 1-O*

|  |  |
| --- | --- |
| Transition | Transient period length (µs) |
| OFF to ON | 10 |
| ON to OFF | 10 |

#### 9.5.3.3 Minimum requirement for *BS type 2-O*

For *BS type 2-O*, the OTA transmitter transient period shall be shorter than the values listed in the minimum requirement table 9.5.3.3-1.

Table 9.5.3.3-1: Minimum requirement for the OTA transmitter transient period for *BS type 2-O*

|  |  |
| --- | --- |
| Transition | Transient period length (µs) |
| OFF to ON | 3 |
| ON to OFF | 3 |

## 9.6 OTA transmitted signal quality

### 9.6.1 OTA frequency error

#### 9.6.1.1 General

The requirements in subclause 9.6.1 apply to the *transmitter ON period*.

OTA frequency error is the measure of the difference between the actual BS transmit frequency and the assigned frequency. The same source shall be used for RF frequency and data clock generation.

OTA frequency error requirement is defined as a directional requirement at the RIB and shall be met within the OTA coverage range.

#### 9.6.1.2 Minimum requirement for *BS type 1-O*

For *BS type 1-O*, the modulated carrier frequency of each NR carrier configured by the BS shall be accurate to within the accuracy range given in table 6.5.1.2-1 observed over 1 ms.

#### 9.6.1.3 Minimum requirement for *BS type 2-O*

For *BS type 2-O*, the modulated carrier frequency of each NR carrier configured by the BS shall be accurate to within the accuracy range given in table 9.6.1.3-1 observed over 1 ms.

Table 9.6.1.3-1: OTA frequency error minimum requirement

|  |  |
| --- | --- |
| BS class | Accuracy |
| Wide Area BS | ±0.05 ppm |
| Medium Range BS | ±0.1 ppm |
| Local Area BS | ±0.1 ppm |

### 9.6.2 OTA modulation quality

#### 9.6.2.1 General

Modulation quality is defined by the difference between the measured carrier signal and a reference signal. Modulation quality can e.g. be expressed as Error Vector Magnitude (EVM). Details about how the EVM is determined are specified in Annex B for FR1 and Annex C for FR2.

OTA modulation quality requirement is defined as a directional requirement at the RIB and shall be met within the *OTA coverage range*.

#### 9.6.2.2 Minimum Requirement for *BS type 1-O*

For *BS type 1-O*, the EVM levels of each NR carrier for different modulation schemes on PDSCH outlined in table 6.5.2.2-1 shall be met using the following reference signal patterns. Requirements should be the same as subclause 6.5.2.2 and follow EVM frame structure from subclause 6.5.2.3.

#### 9.6.2.3 Minimum Requirement for BS type 2-O

For *BS typ*e 2-O, the EVM levels of each NR carrier for different modulation schemes on PDSCH outlined in table 9.6.2.3-1 shall be met using the following reference signal patterns.

Table 9.6.2.3-1: EVM requirements for *BS type 2-O* carrier

|  |  |
| --- | --- |
| Modulation scheme for PDSCH | Required EVM (%) |
| QPSK | 17.5 |
| 16QAM | 12.5 |
| 64QAM | 8 |

##### 9.6.2.3.1 EVM frame structure for measurement

EVM requirements shall apply for each NR carrier over all allocated resource blocks and downlink sub frames and with RS density configuration of DM-RS of comb 2 (every other subcarrier) in symbol 3. PT-RS should be configured for localized setting for every fourth symbol for every second RB. Different modulation schemes listed in table 9.6.2.3-1 shall be considered for rank 1.

For NR, for all bandwidths, the EVM measurement shall be performed for each NR carrier over all allocated resource blocks and downlink subframes within 10 ms measurement periods. The boundaries of the EVM measurement periods need not be aligned with radio frame boundaries.

### 9.6.3 OTA time alignment error

#### 9.6.3.1 General

This requirement shall apply to frame timing in TX diversity, MIMO transmission, carrier aggregation and their combinations.

Frames of the NR signals present in the radiated domain are not perfectly aligned in time. In relation to each other, the RF signals present in the radiated domain may experience certain timing differences.

The TAE is specified for a specific set of signals/transmitter configuration/transmission mode.

[For a specific set of signals/transmitter configuration/transmission mode, the OTA Time Alignment Error (OTA TAE) is defined as the largest timing difference between any two different NR signals.] The OTA time alignment error requirement is defined as a *directional requirement* at the RIB and shall be met within the *OTA coverage range.*

#### 9.6.3.2 Minimum requirement for *BS type 1-O*

For MIMO or TX diversity transmissions, at each carrier frequency, OTA TAE shall not exceed 65 ns.

For intra-band contiguous carrier aggregation, with or without MIMO or TX diversity, OTA TAE shall not exceed 260 ns.

For intra-band non-contiguous carrier aggregation, with or without MIMO or TX diversity, OTA TAE shall not exceed 3 µs.

For inter-band carrier aggregation, with or without MIMO or TX diversity, OTA TAE shall not exceed 3 µs.

Table 9.6.3.2-1: Void

Table 9.6.3.2-2: Void

Table 9.6.3.2-3: Void

#### 9.6.3.3 Minimum requirement for *BS type 2-O*

For MIMO or TX diversity transmissions, at each carrier frequency, OTA TAE shall not exceed 65 ns.

For intra-band contiguous carrier aggregation, with or without MIMO or TX diversity, OTA TAE shall not exceed 130 ns.

For intra-band non-contiguous carrier aggregation, with or without MIMO or TX diversity, OTA TAE shall not exceed 3 µs.

For inter-band carrier aggregation, with or without MIMO or TX diversity, OTA TAE shall not exceed 3 µs.

Table 9.6.3.3-1: Void

Table 9.6.3.3-2: Void

Table 9.6.3.3-3: Void

## 9.7 OTA unwanted emissions

### 9.7.1 General

Unwanted emissions consist of so-called out-of-band emissions and spurious emissions according to ITU definitions ITU-R SM.329 [2]. In ITU terminology, out of band emissions are unwanted emissions immediately outside the *BS channel bandwidth* resulting from the modulation process and non-linearity in the transmitter but excluding spurious emissions. Spurious emissions are emissions which are caused by unwanted transmitter effects such as harmonics emission, parasitic emission, intermodulation products and frequency conversion products, but exclude out of band emissions.

The OTA out-of-band emissions requirement for the BS type 1-O and *BS type 2-O* transmitter is specified both in terms of Adjacent Channel Leakage power Ratio (ACLR) and operating band unwanted emissions (OBUE). The OTA Operating band unwanted emissions define all unwanted emissions in each supported downlink operating band plus the frequency ranges ΔfOBUE above and ΔfOBUE below each band. OTA Unwanted emissions outside of this frequency range are limited by an OTA spurious emissions requirement.

The maximum offset of the operating band unwanted emissions mask from the operating band edge is ΔfOBUE. The value of ΔfOBUE is defined in table 9.7.1-1 for *BS type 1-O* and *BS type 2-O* for the NR *operating bands*.

Table 9.7.1-1: Maximum offset ΔfOBUE outside the downlink *operating band*

|  |  |  |
| --- | --- | --- |
| BS type | Operating band characteristics | ΔfOBUE (MHz) |
| *BS type 1-O* | FDL\_high – FDL\_low < 100 MHz | 10 |
| 100 MHz ≤ FDL\_high – FDL\_low ≤ 900 MHz | 40 |
| *BS type 2-O* | FDL\_high – FDL\_low ≤ 3250 MHz | 1500 |

The unwanted emission requirements are applied per cell for all the configurations supported by *BS type 1‑O*. Requirements for OTA unwanted emissions are captured using TRP, *directional requirements* or co-location requirements as described per requirement.

There is in addition a requirement for occupied bandwidth.

### 9.7.2 OTA occupied bandwidth

#### 9.7.2.1 General

The OTA occupied bandwidth is the width of a frequency band such that, below the lower and above the upper frequency limits, the mean powers emitted are each equal to a specified percentage /2 of the total mean transmitted power. See also recommendation ITU-R SM.328 [3].

The value of /2 shall be taken as 0.5%.

The OTA occupied bandwidth requirement shall apply during the *transmitter ON period* for a single transmitted carrier. The minimum requirement below may be applied regionally. There may also be regional requirements to declare the OTA occupied bandwidth according to the definition in the present clause.

The OTA occupied bandwidth is defined as a *directional requirement* and shall be met in the manufacturer’s declared *OTA coverage range* at the RIB.

#### 9.7.2.2 Minimum requirement for *BS type 1-O* and *BS type* 2-O

The OTA occupied bandwidth for each NR carrier shall be less than the *BS channel bandwidth*. For intra-band contiguous CA, the OTA occupied bandwidth shall be less than or equal to the *Aggregated BS Channel Bandwidth*.

### 9.7.3 OTA Adjacent Channel Leakage Power Ratio (ACLR)

#### 9.7.3.1 General

OTA Adjacent Channel Leakage power Ratio (ACLR) is the ratio of the filtered mean power centred on the assigned channel frequency to the filtered mean power centred on an adjacent channel frequency. The measured power is TRP.

The requirement shall be applied per RIB during the *transmitter ON period*.

#### 9.7.3.2 Minimum requirement for *BS type 1-O*

The ACLR (CACLR) absolute basic limits in table 6.6.3.2-2 + X, 6.6.3.2-2a + X (where X = 9 dB, unless stated differently in regional regulation) or the ACLR (CACLR) basic limit in table 6.6.3.2-1, 6.6.3.2-2a or 6.6.3.2-3, whichever is less stringent, shall apply.

For a *RIB* operating in multi-carrier or contiguous CA, the ACLR requirements in subclause 6.6.3.2 shall apply to *BS channel bandwidths* of the outermost carrier for the frequency ranges defined in table 6.6.3.2-1.For a RIB operating in non-contiguous spectrum, the ACLR requirement in subclause 6.6.3.2 shall apply in sub block gaps for the frequency ranges defined in table 6.6.3.2-2a, while the CACLR requirement in subclause 6.6.3.2 shall apply in sub block gaps for the frequency ranges defined in table 6.6.3.2-3.

For a *multi-band RIB*, the ACLR requirement in subclause 6.6.3.2 shall apply in Inter RF Bandwidth gaps for the frequency ranges defined in table 6.6.3.2-2a, while the CACLR requirement in subclause 6.6.3.2 shall apply in Inter RF Bandwidth gaps for the frequency ranges defined in table 6.6.3.2-3.

#### 9.7.3.3 Minimum requirement for *BS type 2-O*

The OTA ACLR limit is specified in table 9.7.3.3-1.

The OTA ACLR absolute limit is specified in table 9.7.3.3-2.

The OTA ACLR (CACLR) absolute limit in table 9.7.3.3-2 or 9.7.3.3-4a (unless stated differently in regional regulation) or the ACLR (CACLR) limit in table 9.7.3.3-1, 9.7.3.3-3 or 9.7.3.3-4, whichever is less stringent, shall apply.

For a *RIB* operating in multi-carrier or contiguous CA, the OTA ACLR requirements in table 9.7.3.3-1 shall apply to *BS channel bandwidths* of the outermost carrier for the frequency ranges defined in the table.For a RIB operating in non-contiguous spectrum, the OTA ACLR requirement in table 9.7.3.3-3 shall apply in sub-block gaps for the frequency ranges defined in the table, while the OTA CACLR requirement in table 9.7.3.3-4 shall apply in sub-block gaps for the frequency ranges defined in the table.

The CACLR in a sub-block gap is the ratio of:

a) the sum of the filtered mean power centred on the assigned channel frequencies for the two carriers adjacent to each side of the sub-block gap, and

b) the filtered mean power centred on a frequency channel adjacent to one of the respective sub-block edges.

The assumed filter for the adjacent channel frequency is defined in table 9.7.3.3-4 and the filters on the assigned channels are defined in table 9.7.3.3-5.

For operation in non-contiguous spectrum, the CACLR for NR carriers located on either side of the sub-block gap shall be higher than the value specified in table 9.7.3.3-4.

Table 9.7.3.3-1: *BS type 2-O* ACLR limit

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| *BS channel bandwidth* of lowest/highest NR carrier transmitted  BWChannel (MHz) | BS adjacent channel centre frequency offset below the lowest or above the highest carrier centre frequency transmitted | Assumed adjacent channel carrier | Filter on the adjacent channel frequency and corresponding filter bandwidth | ACLR limit  (dB) |
| 50, 100, 200, 400 | BWChannel | NR of same BW (Note 2) | Square (BWConfig) | 28 (Note 3)  26 (Note 4) |
| NOTE 1: BWChannel and BWConfig are the *BS channel bandwidth* and transmission bandwidth configuration of the lowest/highest NR carrier transmitted on the assigned channel frequency.  NOTE 2: With SCS that provides largest transmission bandwidth configuration (BWConfig).  NOTE 3: Applicable to bands defined within the frequency spectrum range of 24.25 – 33.4 GHz  NOTE 4: Applicable to bands defined within the frequency spectrum range of 37 – 52.6 GHz | | | | |

Table 9.7.3.3-2: *BS type 2-O* ACLR absolute limit

|  |  |
| --- | --- |
| BS class | ACLR absolute limit |
| Wide area BS | -13 dBm/MHz |
| Medium range BS | -20 dBm/MHz |
| Local area BS | -20 dBm/MHz |

Table 9.7.3.3-3: *BS type 2-O* ACLR limit in non-contiguous spectrum

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| *BS channel bandwidth* of lowest/highest NR carrier transmitted (MHz) | Sub-block gap size (Wgap) where the limit applies (MHz) | BS adjacent channel centre frequency offset below or above the sub-block edge (inside the gap) | Assumed adjacent channel carrier | Filter on the adjacent channel frequency and corresponding filter bandwidth | ACLR limit |
| 50, 100 | Wgap ≥ 100 (Note 5)  Wgap ≥ 250 (Note 6) | 25 MHz | 50 MHz NR (Note 2) | Square (BWConfig) | 28 (Note 3)  26 (Note 4) |
| 200, 400 | Wgap ≥ 400 (Note 6)  Wgap ≥ 250 (Note 5) | 100 MHz | 200 MHz NR (Note 2) | Square (BWConfig) | 28 (Note 3)  26 (Note 4) |
| NOTE 1: BWConfig is the transmission bandwidth configuration of the assumed adjacent channel carrier.  NOTE 2: With SCS that provides largest transmission bandwidth configuration (BWConfig).  NOTE 3: Applicable to bands defined within the frequency spectrum range of 24.25 – 33.4 GHz.  NOTE 4: Applicable to bands defined within the frequency spectrum range of 37 – 52.6 GHz.  NOTE 5: Applicable in case the *BS channel bandwidth* of the NR carrier transmitted at the other edge of the gap is 50 or 100 MHz.  NOTE 6: Applicable in case the *BS channel bandwidth* of the NR carrier transmitted at the other edge of the gap is 200 or 400 MHz. | | | | | |

Table 9.7.3.3-4: *BS type 2-O* CACLR limit in non-contiguous spectrum

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| *BS channel bandwidth* of lowest/highest NR carrier transmitted (MHz) | | Sub-block gap size (Wgap) where the limit applies (MHz) | BS adjacent channel centre frequency offset below or above the sub-block edge (inside the gap) | Assumed adjacent channel carrier | Filter on the adjacent channel frequency and corresponding filter bandwidth | CACLR limit |
| 50, 100 | | 50 ≤ Wgap < 100 (Note 5)  50 ≤ Wgap < 250 (Note 6) | 25 MHz | 50 MHz NR (Note 2) | Square (BWConfig) | 28 (Note 3)  26 (Note 4) |
| 200, 400 | | 200 ≤ Wgap < 400 (Note 6)  200 ≤ Wgap < 250 (Note 5) | 100 MHz | 200 MHz NR (Note 2) | Square (BWConfig) | 28 (Note 3)  26 (Note 4) |
| NOTE 1: BWConfig is the transmission bandwidth configuration of the assumed adjacent channel carrier.  NOTE 2: With SCS that provides largest transmission bandwidth configuration (BWConfig).  NOTE 3: Applicable to bands defined within the frequency spectrum range of 24.25 – 33.4 GHz.  NOTE 4: Applicable to bands defined within the frequency spectrum range of 37 – 52.6 GHz.  NOTE 5: Applicable in case the *BS channel bandwidth* of the NR carrier transmitted at the other edge of the gap is 50 or 100 MHz.  NOTE 6: Applicable in case the *BS channel bandwidth* of the NR carrier transmitted at the other edge of the gap is 200 or 400 MHz. | | | | | | |

Table 9.7.3.3-4a: BS type 2-O CACLR absolute limit

|  |  |
| --- | --- |
| BS class | CACLR absolute limit |
| Wide area BS | -13 dBm/MHz |
| Medium range BS | -20 dBm/MHz |
| Local area BS | -20 dBm/MHz |

Table 9.7.3.3-5: Filter parameters for the assigned channel

|  |  |
| --- | --- |
| RAT of the carrier adjacent to the sub-block gap | Filter on the assigned channel frequency and corresponding filter bandwidth |
| NR | NR of same BW with SCS that provides largest transmission bandwidth configuration |

### 9.7.4 OTA operating band unwanted emissions

#### 9.7.4.1 General

The OTA limits for operating band unwanted emissions are specified as TRP per RIB unless otherwise stated.

#### 9.7.4.2 Minimum requirement for BS type 1-O

Out-of-band emissions in FR1 are limited by OTA operating band unwanted emission limits. Unless otherwise stated, the operating band unwanted emission limits in FR1 are defined from ΔfOBUE below the lowest frequency of each supported downlink *operating band* up to ΔfOBUE above the highest frequency of each supported downlink *operating band*. The values of ΔfOBUE are defined in table 9.7.1-1 for the NR operating bands.

The requirements shall apply whatever the type of transmitter considered and for all transmission modes foreseen by the manufacturer's specification. For a *RIB* operating in multi-carrier or contiguous CA, the requirements apply to *BS channel bandwidths* of the outermost carrier for the frequency ranges defined in subclause 6.6.4.1.

For a *RIB* operating in non-contiguous spectrum, the requirements shall apply inside any sub-block gap for the frequency ranges defined in subclause 6.6.4.1.

For a *multi-band RIB*, the requirements shall apply inside any Inter RF Bandwidth gap for the frequency ranges defined in subclause 6.6.4.1.

The OTA operating band unwanted emission requirement for *BS type 1-O* is that for each applicable *basic limit* in subclause 6.6.4.2, the power of any unwanted emission shall not exceed an OTA limit specified as the *basic limit* + X, where X = 9 dB, unless stated differently in regional regulation.

##### 9.7.4.2.1 Additional requirements

###### 9.7.4.2.1.1 Protection of DTT

In certain regions the following requirement may apply for protection of DTT. For *BS type 1-O* operating in Band n20, the level of emissions in the band 470-790 MHz, measured in an 8 MHz filter bandwidth on centre frequencies Ffilter according to table 9.7.4.2.1.1-1, shall not exceed the maximum emission TRP level shown in the table. This requirement applies in the frequency range 470-790 MHz even though part of the range falls in the spurious domain.

Table 9.7.4.2.1.1-1: Declared emissions levels for protection of DTT

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Case | Measurement filter centre frequency | Condition on BS maximum aggregate EIRP / 10 MHz, PEIRP\_10MHz  (NOTE) | Maximum level  PEIRP,N,MAX | Measurement bandwidth |
| A: for DTT frequencies where broadcasting is protected | N\*8 + 306 MHz,  21 ≤ N ≤ 60 | PEIRP\_10MHz ≥ 59 dBm | 0 dBm | 8 MHz |
| N\*8 + 306 MHz,  21 ≤ N ≤ 60 | 36 ≤ PEIRP\_10MHz < 59 dBm | PEIRP\_10MHz – 59 dBm | 8 MHz |
| N\*8 + 306 MHz,  21 ≤ N ≤ 60 | PEIRP\_10MHz < 36 dBm | -23 dBm | 8 MHz |
| B: for DTT frequencies where broadcasting is subject to an intermediate level of protection | N\*8 + 306 MHz,  21 ≤ N ≤ 60 | PEIRP\_10MHz ≥ 59 dBm | 10 dBm | 8 MHz |
| N\*8 + 306 MHz,  21 ≤ N ≤ 60 | 36 ≤ PEIRP\_10MHz < 59 dBm | PEIRP\_10MHz – 49 dBm | 8 MHz |
| N\*8 + 306 MHz,  21 ≤ N ≤ 60 | PEIRP\_10MHz < 36 dBm | -13 dBm | 8 MHz |
| C: for DTT frequencies where broadcasting is not protected | N\*8 + 306 MHz,  21 ≤ N ≤ 60 | N.A. | 22 dBm | 8 MHz |
| NOTE: PEIRP\_10MHz (dBm) is defined by PEIRP\_10MHz = P10MHz + Gant + 9dB, where Gant is 17 dBi. | | | | |

#### 9.7.4.3 Minimum requirement for *BS type 2-O*

##### 9.7.4.3.1 General

Out-of-band emissions in FR2 are limited by OTA operating band unwanted emission limits. Unless otherwise stated, the OTA operating band unwanted emission limits in FR2 are defined from ΔfOBUE below the lowest frequency of each supported downlink *operating band* up to ΔfOBUE above the highest frequency of each supported downlink *operating band*. The values of ΔfOBUE are defined in table 9.7.1.-1 for the NR operating bands.

The requirements shall apply whatever the type of transmitter considered and for all transmission modes foreseen by the manufacturer's specification. For a *RIB* operating in multi-carrier or contiguous CA, the requirements apply to the frequencies (ΔfOBUE) starting from the edge of the *contiguous transmission bandwidth.* In addition, for a *RIB* operating in non-contiguous spectrum, the requirements apply inside any sub-block gap.

Emissions shall not exceed the maximum levels specified in the tables below, where:

- Δf is the separation between the *contiguous transmission bandwidth* edge frequency and the nominal -3dB point of the measuring filter closest to the *contiguous transmission bandwidth* edge.

- f\_offset is the separation between the *contiguous transmission bandwidth* edge frequency and the centre of the measuring filter.

- f\_offsetmax is the offset to the frequency ΔfOBUE outside thedownlink *operating band*, where ΔfOBUE is defined in table 9.7.1-1.

- Δfmax is equal to f\_offsetmax minus half of the bandwidth of the measuring filter.In addition, inside any sub-block gap for a *RIB* operating in non-contiguous spectrum, emissions shall not exceed the cumulative sum of the limits specified for the adjacent sub blocks on each side of the sub block gap. The limit for each sub-block is specified in the tables subclause 9.7.4.3.2-1 below, where in this case:

- Δf is the separation between the sub block edge frequency and the nominal -3 dB point of the measuring filter closest to the sub block edge.

- f\_offset is the separation between the sub block edge frequency and the centre of the measuring filter.

- f\_offsetmax is equal to the sub block gap bandwidth minus half of the bandwidth of the measuring filter.

- Δfmax is equal to f\_offsetmax minus half of the bandwidth of the measuring filter.

##### 9.7.4.3.2 OTA operating band unwanted emission limits

BS unwanted emissions shall not exceed the maximum levels specified in table 9.7.4.3.2‑1 and 9.7.4.3.2-2. Additionally, limits in regional regulation may apply.

Table 9.7.4.3.2-1: OBUE limits applicable in the frequency range 24.25 – 33.4 GHz

|  |  |  |  |
| --- | --- | --- | --- |
| Frequency offset of measurement filter -3B point, Δf | Frequency offset of measurement filter centre frequency, f\_offset | Limit | Measurement bandwidth |
| 0 MHz ≤ Δf < 0.1\*BWcontiguous | 0.5 MHz ≤ f\_offset < 0.1\* BWcontiguous +0.5 MHz | Min(-5 dBm, Max(Prated,t,TRP – 35 dB, -12 dBm)) | 1 MHz |
| 0.1\*BWcontiguous ≤ Δf < Δfmax | 0.1\* BWcontiguous +0.5 MHz ≤ f\_offset < f\_ offsetmax | Min(-13 dBm, Max(Prated,t,TRP – 43 dB, -20 dBm)) | 1 MHz |
| NOTE 1: For non-contiguous spectrum operation within any operating band the limitwithin sub-block gaps is calculated as a cumulative sum of contributions from adjacent sub blocks on each side of the sub block gap. | | | |

Table 9.7.4.3.2-2: OBUE limits applicable in the frequency range 37 – 52.6 GHz

|  |  |  |  |
| --- | --- | --- | --- |
| Frequency offset of measurement filter -3B point, Δf | Frequency offset of measurement filter centre frequency, f\_offset | Limit | Measurement bandwidth |
| 0 MHz ≤ Δf < 0.1\*BWcontiguous | 0.5 MHz ≤ f\_offset < 0.1\* BWcontiguous +0.5 MHz | Min(-5 dBm, Max(Prated,t,TRP – 33 dB, -12 dBm)) | 1 MHz |
| 0.1\*BWcontiguous ≤ Δf < Δfmax | 0.1\* BWcontiguous +0.5 MHz ≤ f\_offset < f\_ offsetmax | Min(-13 dBm, Max(Prated,t,TRP – 41 dB, -20 dBm)) | 1 MHz |
| NOTE 1: For non-contiguous spectrum operation within any operating band the limitwithin sub-block gaps is calculated as a cumulative sum of contributions from adjacent sub blocks on each side of the sub block gap. | | | |

Table 9.7.4.3.2-3: Void

### 9.7.5 OTA transmitter spurious emissions

#### 9.7.5.1 General

Unless otherwise stated, all requirements are measured as mean power.

The OTA spurious emissions limits are specified as TRP per RIB unless otherwise stated.

#### 9.7.5.2 Minimum requirement for *BS type 1-O*

##### 9.7.5.2.1 General

The OTA transmitter spurious emission limits for FR1 shall apply from 30 MHz to 12.75 GHz, excluding the frequency range from ΔfOBUE below the lowest frequency of each supported downlink *operating band*, up to ΔfOBUE above the highest frequency of each supported downlink operating band, where the ΔfOBUE is defined in subclause 6.6.1. For some FR1 *operating bands*, the upper limit is higher than 12.75 GHz in order to comply with the 5th harmonic limit of the downlink *operating band*, as specified in ITU-R recommendation SM.329 [2].

For multi-band RIB the above exclusion applies for each supported *operating band*.

The requirements shall apply whatever the type of transmitter considered (single carrier or multi-carrier). It applies for all transmission modes foreseen by the manufacturer’s specification.

*BS type 1-O* requirements consists of OTA transmitter spurious emission requirements based on TRP and co-location requirements not based on TRP.

##### 9.7.5.2.2 General OTA transmitter spurious emissions requirements

The Tx spurious emissions requirements for *BS type 1-O* are that for each applicable *basic limit* in subclause 6.6.5.2.1, the TRP of any spurious emission shall not exceed an OTA limit specified as the *basic limit* + X, where X = 9 dB, unless stated differently in regional regulation.

##### 9.7.5.2.3 Protection of the BS receiver of own or different BS

This requirement shall be applied for NR FDD operation in order to prevent the receivers of own or a different BS of the same band being desensitised by emissions from a type 1-O BS.

This requirement is a co-location requirement as defined in subclause 4.9, the power levels are specified at the *co-location reference antenna* output.

The total power of any spurious emission from both polarizations of the *co-location reference antenna* connector output shall not exceed the basic limits in subclause 6.6.5.2.2 + X dB, where X = -21 dB.

##### 9.7.5.2.4 Additional spurious emissions requirements

These requirements may be applied for the protection of systems operating in frequency ranges other than the BS downlink operating band. The limits may apply as an optional protection of such systems that are deployed in the same geographical area as the BS, or they may be set by local or regional regulation as a mandatory requirement for an NR operating band. It is in some cases not stated in the present document whether a requirement is mandatory or under what exact circumstances that a limit applies, since this is set by local or regional regulation. An overview of regional requirements in the present document is given in subclause 4.5.

Some requirements may apply for the protection of specific equipment (UE, MS and/or BS) or equipment operating in specific systems (GSM, CDMA, UTRA, E-UTRA, NR, etc.). The Tx additional spurious emissions requirements for *BS type 1-O* are that for each applicable *basic limit* in subclause 6.6.5.2.3, the TRP of any spurious emission shall not exceed an OTA limit specified as the *basic limit* + X, where X = 9 dB.

##### 9.7.5.2.5 Co-location with other base stations

These requirements may be applied for the protection of other BS receivers when GSM900, DCS1800, PCS1900, GSM850, CDMA850, UTRA FDD, UTRA TDD, E-UTRA and/or NR BS are co-located with a BS.

The requirements assume co-location with base stations of the same class.

NOTE: For co-location with UTRA, the requirements are based on co-location with UTRA FDD or TDD base stations.

This requirement is a co-location requirement as defined in subclause 4.9, the power levels are specified at the *co-location reference antenna* output.

The output of the *co-location reference antenna* of any spurious emission shall not exceed the limits basic limits in subclause 6.6.5.2.4 + X dB, where X = -21 dB.

For a *multi-band RIB*, the exclusions and conditions in the notes column of table 6.6.5.2.4-1 apply for each supported operating band.

#### 9.7.5.3 Minimum requirement for *BS type 2-O*

##### 9.7.5.3.1 General

In FR2, the OTA transmitter spurious emission limits apply from 30 MHz to 2nd harmonic of the upper frequency edge of the downlink *operating band*, excluding the frequency range from ΔfOBUE below the lowest frequency of the downlink *operating band*, up to ΔfOBUE above the highest frequency of the downlink *operating band*, where the ΔfOBUE is defined in table 9.7.1-1.

##### 9.7.5.3.2 General OTA transmitter spurious emissions requirements

The power of any spurious emission shall not exceed the limits in table 9.7.5.3.2-1

Editor’s note: The spurious emission limits may be updated, pending further input concerning recommended Category B limits.

Table 9.7.5.3.2-1: BS radiated Tx spurious emission limits in FR2

|  |  |  |  |
| --- | --- | --- | --- |
| Frequency range | Limit | Measurement Bandwidth | Note |
| 30 MHz – 1 GHz | -13 dBm | 100 kHz | Note 1 |
| 1 GHz – 2nd harmonic of the upper frequency edge of the DL *operating band* | 1 MHz | Note 1, Note 2 |
| NOTE 1: Bandwidth as in ITU-R SM.329 [2], s4.1  NOTE 2: Upper frequency as in ITU-R SM.329 [2], s2.5 table 1. | | | |

##### 9.7.5.3.3 Additional OTA transmitter spurious emissions requirements

Editor’s note: Additional spurious emissions requirement for protecting specific services are ffs.

## 9.8 OTA transmitter intermodulation

### 9.8.1 General

The OTA transmitter intermodulation requirement is a measure of the capability of the transmitter unit to inhibit the generation of signals in its non-linear elements caused by presence of the wanted signal and an interfering signal reaching the transmitter unit via the RDN and antenna array from a co-located base station. The requirement shall apply during the *transmitter ON period* and the *transmitter transient period*.

The requirement shall apply at each RIB supporting transmission in the *operating band*.

The transmitter intermodulation level is the total radiated power of the intermodulation products when an interfering signal is injected into the *co-location reference antenna*.

The OTA transmitter intermodulation requirement is not applicable for *BS type 2-O*.

### 9.8.2 Minimum requirement for *BS type 1-O*

For *BS type 1-O* the transmitter intermodulation level shall not exceed the TRP unwanted emission limits specified for OTA transmitter spurious emission in subclause 9.7.5.2 (except co-location with other base stations), OTA out-of-band emissions in subclause 9.7.4.2 and OTA ACLR in subclause 9.7.3.2 in the presence of a wanted signal and an interfering signal, defined in table 9.8.2-1.

The requirement is applicable outside the *Base Station RF Bandwidth edges*. The interfering signal offset is defined relative to the *Base Station RF Bandwidth* *edges* or *Radio Bandwidth* edges.

For RIBs supporting operation in *non-contiguous spectrum*, the requirement is also applicable inside a *sub-block gap* for interfering signal offsets where the interfering signal falls completely within the *sub-block gap*. The interfering signal offset is defined relative to the *sub-block* edges.

For RIBs supporting operation in multiple *operating bands*, the requirement shall apply relative to the *Base Station RF Bandwidth* *edges* of each *operating band*. In case the inter *Base Station RF Bandwidth* gap is less than [15 MHz], the requirement in the gap shall apply only for interfering signal offsets where the interfering signal falls completely within the inter *Base Station RF Bandwidth* gap.

Table 9.8.2-1: Interfering and wanted signals for  
the OTA transmitter intermodulation requirement

| Parameter | Value |
| --- | --- |
| Wanted signal | NR signal or multi-carrier, or multiple intra-band contiguously or non-contiguously aggregated carriers |
| Interfering signal type | NR signal of minimum supported *BS channel bandwidth* (BWChannel) and SCS set to 15 kHz |
| Interfering signal level | The interfering signal level is the same power level as the BS (Prated,t,TRP) fed into a *co-location reference antenna*. |
| Interfering signal centre frequency offset from the lower (upper) edge of the wanted signal | , for n=1, 2 and 3 |
| NOTE: The Prated,t,TRP is split between polarizations at the co-location reference antenna. | |

# 10 Radiated receiver characteristics

## 10.1 General

Radiated receiver characteristics are specified at RIB for *BS type 1-H*, *BS type 1-O*, or *BS type 2-O*, with full complement of transceivers for the configuration in normal operating condition.

Unless otherwise stated, the following arrangements apply for the radiated receiver characteristics requirements in clause 10:

- Requirements apply during the BS receive period.

- Requirements shall be met for any transmitter setting.

- For FDD operation the requirements shall be met with the transmitter unit(s) ON.

- Throughput requirements defined for the radiated receiver characteristics do not assume HARQ retransmissions.

- When BS is configured to receive multiple carriers, all the throughput requirements are applicable for each received carrier.

- For ACS, blocking and intermodulation characteristics, the negative offsets of the interfering signal apply relative to the lower edge and positive offsets of the interfering signal apply relative to the higher edge.

- Each requirement shall be met over the RoAoA specified.

NOTE 1: In normal operating condition the BS in FDD operation is configured to transmit and receive at the same time.

NOTE 2: In normal operating condition the BS in TDD operation is configured to TX OFF power during *receive period*.

For FR1 requirements which are to be met over the *FR1 OTA REFSENS RoAoA* absolute requirement values are offset by the following term:

ΔOTAREFSENS = 44.1 - 10\*log10(BeWθ,REFSENS\*BeWφ,REFSENS) dB for the reference direction

and

ΔOTAREFSENS = 41.1 - 10\*log10(BeWθ,REFSENS\*BeWφ,REFSENS) dB for all other directions

For requirements which are to be met over the *minSENS RoAoA* absolute requirement values are offset by the following term:

ΔminSENS = PREFSENS – EISminSENS (dB)

## 10.2 OTA sensitivity

### 10.2.1 BS type 1-H and *BS type 1-O*

#### 10.2.1.1 General

The OTA sensitivity requirement is a directional requirement based upon the declaration of one or more *OTA sensitivity direction declarations* (OSDD), related to a *BS type 1-H* and *BS type 1-O* receiver.

The *BS type 1-H* and *BS type 1-O* may optionally be capable of redirecting/changing the *receiver target* by means of adjusting BS settings resulting in multiple *sensitivity RoAoA*. The *sensitivity RoAoA* resulting from the current BS settings is the active *sensitivity RoAoA*.

If the BS is capable of redirecting the *receiver target* related to the OSDD then the OSDD shall include:

- The set(s) of RAT, *BS channel bandwidth* and declared minimum EISlevel applicable to any active *sensitivity RoAoA* inside the *receiver target redirection range* in the OSDD.

- A declared *receiver target redirection range*, describing all the angles of arrival that can be addressed for the OSDD through alternative settings in the BS.

- Five declared *sensitivity RoAoA* comprising the conformance testing directions as detailed in 3GPP TS 38.141‑2 [6].

- The *receiver target reference direction*.

NOTE 1: Some of the declared *sensitivity RoAoA* may coincide depending on the redirection capability.

NOTE 2: In addition to the declared *sensitivity RoAoA*, several *sensitivity RoAoA* may be implicitly defined by the *receiver target redirection range* without being explicitly declared in the OSDD.

NOTE 3: (Void)

If the BS is not capable of redirecting the *receiver target* related to the OSDD, then the OSDD includes only:

- The set(s) of RAT, *BS channel bandwidth* and declared minimum EISlevel applicable to the *sensitivity RoAoA* in the OSDD.

- One declared active *sensitivity RoAoA*.

- The *receiver target reference direction*.

NOTE 4: For BS without target redirection capability, the declared (fixed) *sensitivity RoAoA* is always the active *sensitivity RoAoA*.

The OTA sensitivity EIS level declaration shall apply to each supported polarization, under the assumption of *polarization match*.

#### 10.2.1.2 Minimum requirement

For a received signal whose AoA of the incident wave is within the active *sensitivity RoAoA* of an OSDD, the error rate criterion as described in subclause 7.2 shall be met when the level of the arriving signal is equal to the minimum EIS level in the respective declared set of EIS level and *BS channel bandwidth*.

### 10.2.2 BS type 2-O

There is no OTA sensitivity requirement for FR2, the OTA sensitivity is the same as the OTA reference sensitivity in subclause 10.3.

## 10.3 OTA reference sensitivity level

### 10.3.1 General

The OTA REFSENS requirement is a directional requirement and is intended to ensure the minimum OTA reference sensitivity level for a declared *OTA REFSENS RoAoA*. The OTA reference sensitivity power level EISREFSENS is the minimum mean power received at the RIB at which a reference performance requirement shall be met for a specified reference measurement channel. The OTA REFSENS requirement shall apply to each supported polarization, under the assumption of *polarization match*.

### 10.3.2 Minimum requirement for *BS type 1-O*

The throughput shall be ≥ 95% of the maximum throughput of the reference measurement channel as specified in the corresponding table and annex A when the OTA test signal is at the corresponding EISREFSENS level and arrives from any direction within the *FR1 OTA REFSENS RoAoA.*

Table 10.3.2-1: Wide Area BS reference sensitivity levels

|  |  |  |  |
| --- | --- | --- | --- |
| *BS channel bandwidth* (MHz) | Sub-carrier spacing (kHz) | Reference measurement channel | OTA Reference sensitivity level, EISREFSENS  (dBm) |
| 5, 10, 15 | 15 | G- FR1-A1-1 | -101.7 - ΔOTAREFSENS |
| 10, 15 | 30 | G- FR1-A1-2 | -101.8 - ΔOTAREFSENS |
| 10, 15 | 60 | G- FR1-A1-3 | -98.9 - ΔOTAREFSENS |
| 20, 25, 30, 40, 50 | 15 | G- FR1-A1-4 | -95.3 - ΔOTAREFSENS |
| 20, 25, 30, 40, 50, 60, 70, 80, 90, 100 | 30 | G- FR1-A1-5 | -95.6 - ΔOTAREFSENS |
| 20, 25, 30, 40, 50, 60, 70, 80, 90, 100 | 60 | G- FR1-A1-6 | -95.7 - ΔOTAREFSENS |
| NOTE: EISREFSENS is the power level of a single instance of the reference measurement channel. This requirement shall be met for each consecutive application of a single instance of the reference measurement channel mapped to disjoint frequency ranges with a width corresponding to the number of resource blocks of the reference measurement channel each, except for one instance that might overlap one other instance to cover the full *BS channel bandwidth*. | | | |

Table 10.3.2-2: Medium Range BS reference sensitivity levels

|  |  |  |  |
| --- | --- | --- | --- |
| *BS channel bandwidth* (MHz) | Sub-carrier spacing (kHz) | Reference measurement channel | OTA Reference sensitivity level, EISREFSENS  (dBm) |
| 5, 10, 15 | 15 | G- FR1-A1-1 | -96.7 - ΔOTAREFSENS |
| 10, 15 | 30 | G- FR1-A1-2 | -96.8 - ΔOTAREFSENS |
| 10, 15 | 60 | G- FR1-A1-3 | -93.9 - ΔOTAREFSENS |
| 20, 25, 30, 40, 50 | 15 | G- FR1-A1-4 | -90.3 - ΔOTAREFSENS |
| 20, 25, 30, 40, 50, 60, 70, 80, 90, 100 | 30 | G- FR1-A1-5 | -90.6 - ΔOTAREFSENS |
| 20, 25, 30, 40, 50, 60, 70, 80, 90, 100 | 60 | G- FR1-A1-6 | -90.7 - ΔOTAREFSENS |
| NOTE: EISREFSENS is the power level of a single instance of the reference measurement channel. This requirement shall be met for each consecutive application of a single instance of the reference measurement channel mapped to disjoint frequency ranges with a width corresponding to the number of resource blocks of the reference measurement channel each, except for one instance that might overlap one other instance to cover the full *BS channel bandwidth*. | | | |

Table 10.3.2-3: Local Area BS reference sensitivity levels

|  |  |  |  |
| --- | --- | --- | --- |
| *BS channel bandwidth* (MHz) | Sub-carrier spacing (kHz) | Reference measurement channel | OTA Reference sensitivity level, EISREFSENS  (dBm) |
| 5, 10, 15 | 15 | G- FR1-A1-1 | -93.7 - ΔOTAREFSENS |
| 10, 15 | 30 | G- FR1-A1-2 | -93.8 - ΔOTAREFSENS |
| 10, 15 | 60 | G- FR1-A1-3 | -90.9 - ΔOTAREFSENS |
| 20, 25, 30, 40, 50 | 15 | G- FR1-A1-4 | -87.3 - ΔOTAREFSENS |
| 20, 25, 30, 40, 50, 60, 70, 80, 90, 100 | 30 | G- FR1-A1-5 | -87.6 - ΔOTAREFSENS |
| 20, 25, 30, 40, 50, 60, 70, 80, 90, 100 | 60 | G- FR1-A1-6 | -87.7 - ΔOTAREFSENS |
| NOTE: EISREFSENS is the power level of a single instance of the reference measurement channel. This requirement shall be met for each consecutive application of a single instance of the reference measurement channel mapped to disjoint frequency ranges with a width corresponding to the number of resource blocks of the reference measurement channel each, except for one instance that might overlap one other instance to cover the full *BS channel bandwidth*. | | | |

### 10.3.3 Minimum requirement for *BS type 2-O*

The throughput shall be ≥ 95% of the maximum throughput of the reference measurement channel as specified in the corresponding table and annex A when the OTA test signal is at the corresponding EISREFSENS level and arrives from any direction within the *FR2 OTA REFSENS RoAoA*.

EISREFSENS levels are derived from a single declared basis level EISREFSENS\_50M, which is based on a reference measurement channel with 50MHZ *BS channel bandwidth*. EISREFSENS\_50M itself is not a requirement and although it is based on a a reference measurement channel with 50MHz BS channel bandwidth it does not imply that BS has to support 50MHz *BS channel bandwidth*.

For wide area BS, EISREFSENS\_50M is an integer value in the range -96 to -119 dBm. The specific value is declared by the vendor.

For medium range BS, EISREFSENS\_50M is an integer value in the range -91 to -114 dBm. The specific value is declared by the vendor.

For local area BS, EISREFSENS\_50M is an integer value in the range -86- to -109 dBm. The specific value is declared by the vendor.

Table 10.3.3-1: FR2 OTA Reference sensitivity requirement

|  |  |  |  |
| --- | --- | --- | --- |
| BS channel Bandwidth  (MHz) | Sub-carrier spacing (kHz) | FRC | EISREFSENS level  (dBm) |
| 50, 100, 200 | 60 | G-FR2-A1-1 | EISREFSENS\_50M |
| 50 | 120 | G-FR2-A1-2 | EISREFSENS\_50M |
| 100, 200, 400 | 120 | G-FR2-A1-3 | EISREFSENS\_50M + 3 |
| NOTE 1: EISREFSENS is the power level of a single instance of the reference measurement channel. This requirement shall be met for each consecutive application of a single instance of the reference measurement channel mapped to disjoint frequency ranges with a width corresponding to the number of resource blocks of the reference measurement channel each, except for one instance that might overlap one other instance to cover the full *BS channel bandwidth*.  NOTE 2: The declared EISREFSENS\_50M shall be within the range specified above. | | | |

## 10.4 OTA Dynamic range

### 10.4.1 General

The OTA dynamic range is a measure of the capability of the receiver unit to receive a wanted signal in the presence of an interfering signal inside the received *BS channel bandwidth*.

The requirement shall apply at the RIB when the AoA of the incident wave of a received signal and the interfering signal are from the same direction and are within the *FR1 OTA REFSENS RoAoA.*

The wanted and interfering signals apply to each supported polarization, under the assumption of polarization match.

### 10.4.2 Minimum requirement for *BS type 1-O*

For NR, the throughput shall be ≥ 95% of the maximum throughput of the reference measurement channel.

Table 10.4.2-1: Wide Area BS OTA dynamic range for NR carrier

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| *BS channel bandwidth* (MHz) | Subcarrier spacing (kHz) | Reference measurement channel | Wanted signal mean power (dBm) | Interfering signal mean power (dBm) / BWConfig | Type of interfering signal |
| 5 | 15 | G-FR1-A2-1 | -70.7- ΔOTAREFSENS | -82.5- ΔOTAREFSENS | AWGN |
| 30 | G- FR1-A2-2 | -71.4- ΔOTAREFSENS |
| 10 | 15 | G-FR1-A2-1 | -70.7- ΔOTAREFSENS | -79.3- ΔOTAREFSENS | AWGN |
| 30 | G- FR1-A2-2 | -71.4- ΔOTAREFSENS |
| 60 | G- FR1-A2-3 | -68.4- ΔOTAREFSENS |
| 15 | 15 | G-FR1-A2-1 | -70.7- ΔOTAREFSENS | -77.5- ΔOTAREFSENS | AWGN |
| 30 | G- FR1-A2-2 | -71.4- ΔOTAREFSENS |
| 60 | G- FR1-A2-3 | -68.4- ΔOTAREFSENS |
| 20 | 15 | G- FR1-A2-4 | -64.5- ΔOTAREFSENS | -76.2- ΔOTAREFSENS | AWGN |
| 30 | G- FR1-A2-5 | -64.5- ΔOTAREFSENS |
| 60 | G- FR1-A2-6 | -64.8- ΔOTAREFSENS |
| 25 | 15 | G- FR1-A2-4 | -64.5- ΔOTAREFSENS | -75.2- ΔOTAREFSENS | AWGN |
| 30 | G- FR1-A2-5 | -64.5- ΔOTAREFSENS |
| 60 | G- FR1-A2-6 | -64.8- ΔOTAREFSENS |
| 30 | 15 | G- FR1-A2-4 | -64.5- ΔOTAREFSENS | -74.4- ΔOTAREFSENS | AWGN |
| 30 | G- FR1-A2-5 | -64.5- ΔOTAREFSENS |
| 60 | G- FR1-A2-6 | -64.8- ΔOTAREFSENS |
| 40 | 15 | G- FR1-A2-4 | -64.5- ΔOTAREFSENS | -73.1- ΔOTAREFSENS | AWGN |
| 30 | G- FR1-A2-5 | -64.5- ΔOTAREFSENS |
| 60 | G- FR1-A2-6 | -64.8- ΔOTAREFSENS |
| 50 | 15 | G- FR1-A2-4 | -64.5- ΔOTAREFSENS | -72.2- ΔOTAREFSENS | AWGN |
| 30 | G- FR1-A2-5 | -64.5- ΔOTAREFSENS |
| 60 | G- FR1-A2-6 | -64.8- ΔOTAREFSENS |
| 60 | 30 | G- FR1-A2-5 | -64.5- ΔOTAREFSENS | -71.4- ΔOTAREFSENS | AWGN |
| 60 | G- FR1-A2-6 | -64.8- ΔOTAREFSENS |
| 70 | 30 | G- FR1-A2-5 | -64.5- ΔOTAREFSENS | -70.8- ΔOTAREFSENS | AWGN |
| 60 | G- FR1-A2-6 | -64.8- ΔOTAREFSENS |
| 80 | 30 | G- FR1-A2-5 | -64.5- ΔOTAREFSENS | -70.1- ΔOTAREFSENS | AWGN |
| 60 | G- FR1-A2-6 | -64.8- ΔOTAREFSENS |
| 90 | 30 | G- FR1-A2-5 | -64.5- ΔOTAREFSENS | -69.6- ΔOTAREFSENS | AWGN |
| 60 | G- FR1-A2-6 | -64.8- ΔOTAREFSENS |
| 100 | 30 | G- FR1-A2-5 | -64.5- ΔOTAREFSENS | -69.1- ΔOTAREFSENS | AWGN |
| 60 | G- FR1-A2-6 | -64.8- ΔOTAREFSENS |
| NOTE: The wanted signal mean power is the power level of a single instance of the corresponding reference measurement channel. This requirement shall be met for each consecutive application of a single instance of the reference measurement channel mapped to disjoint frequency ranges with a width corresponding to the number of resource blocks of the reference measurement channel each, except for one instance that might overlap one other instance to cover the full *BS channel bandwidth*. | | | | | |

Table 10.4.2-2: Medium Area BS OTA dynamic range for NR carrier

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| *BS channel bandwidth* (MHz) | Subcarrier spacing (kHz) | Reference measurement channel | Wanted signal mean power (dBm) | Interfering signal mean power (dBm) / BWConfig | Type of interfering signal |
| 5 | 15 | G-FR1-A2-1 | -65.7- ΔOTAREFSENS | -77.5- ΔOTAREFSENS | AWGN |
| 30 | G- FR1-A2-2 | -66.4- ΔOTAREFSENS |
| 10 | 15 | G-FR1-A2-1 | -65.7- ΔOTAREFSENS | -74.3- ΔOTAREFSENS | AWGN |
| 30 | G- FR1-A2-2 | -66.4- ΔOTAREFSENS |
| 60 | G- FR1-A2-3 | -63.4- ΔOTAREFSENS |
| 15 | 15 | G-FR1-A2-1 | -65.7- ΔOTAREFSENS | -72.5- ΔOTAREFSENS | AWGN |
| 30 | G- FR1-A2-2 | -66.4- ΔOTAREFSENS |
| 60 | G- FR1-A2-3 | -63.4- ΔOTAREFSENS |
| 20 | 15 | G- FR1-A2-4 | -59.5- ΔOTAREFSENS | -71.2- ΔOTAREFSENS | AWGN |
| 30 | G- FR1-A2-5 | -59.5- ΔOTAREFSENS |
| 60 | G- FR1-A2-6 | -59.8- ΔOTAREFSENS |
| 25 | 15 | G- FR1-A2-4 | -59.5- ΔOTAREFSENS | -70.2- ΔOTAREFSENS | AWGN |
| 30 | G- FR1-A2-5 | -59.5- ΔOTAREFSENS |
| 60 | G- FR1-A2-6 | -59.8- ΔOTAREFSENS |
| 30 | 15 | G- FR1-A2-4 | -59.5- ΔOTAREFSENS | -69.4- ΔOTAREFSENS | AWGN |
| 30 | G- FR1-A2-5 | -59.5- ΔOTAREFSENS |
| 60 | G- FR1-A2-6 | -59.8- ΔOTAREFSENS |
| 40 | 15 | G- FR1-A2-4 | -59.5- ΔOTAREFSENS | -68.1- ΔOTAREFSENS | AWGN |
| 30 | G- FR1-A2-5 | -59.5- ΔOTAREFSENS |
| 60 | G- FR1-A2-6 | -59.8- ΔOTAREFSENS |
| 50 | 15 | G- FR1-A2-4 | -59.5- ΔOTAREFSENS | -67.2- ΔOTAREFSENS | AWGN |
| 30 | G- FR1-A2-5 | -59.5- ΔOTAREFSENS |
| 60 | G- FR1-A2-6 | -59.8- ΔOTAREFSENS |
| 60 | 30 | G- FR1-A2-5 | -59.5- ΔOTAREFSENS | -66.4- ΔOTAREFSENS | AWGN |
| 60 | G- FR1-A2-6 | -59.8- ΔOTAREFSENS |
| 70 | 30 | G- FR1-A2-5 | -59.5- ΔOTAREFSENS | -65.8- ΔOTAREFSENS | AWGN |
| 60 | G- FR1-A2-6 | -59.8- ΔOTAREFSENS |
| 80 | 30 | G- FR1-A2-5 | -59.5- ΔOTAREFSENS | -65.1- ΔOTAREFSENS | AWGN |
| 60 | G- FR1-A2-6 | -59.8- ΔOTAREFSENS |
| 90 | 30 | G- FR1-A2-5 | -59.5- ΔOTAREFSENS | -64.6- ΔOTAREFSENS | AWGN |
| 60 | G- FR1-A2-6 | -59.8- ΔOTAREFSENS |
| 100 | 30 | G- FR1-A2-5 | -59.5- ΔOTAREFSENS | -64.1- ΔOTAREFSENS | AWGN |
| 60 | G- FR1-A2-6 | -59.8- ΔOTAREFSENS |
| NOTE: The wanted signal mean power is the power level of a single instance of the corresponding reference measurement channel. This requirement shall be met for each consecutive application of a single instance of the reference measurement channel mapped to disjoint frequency ranges with a width corresponding to the number of resource blocks of the reference measurement channel each, except for one instance that might overlap one other instance to cover the full *BS channel bandwidth*. | | | | | |

Table 10.4.2-3: Local Area BS OTA dynamic range for NR carrier

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| *BS channel bandwidth* (MHz) | Subcarrier spacing (kHz) | Reference measurement channel | Wanted signal mean power (dBm) | Interfering signal mean power (dBm) / BWConfig | Type of interfering signal |
| 5 | 15 | G-FR1-A2-1 | -62.7- ΔOTAREFSENS | -74.5- ΔOTAREFSENS | AWGN |
| 30 | G- FR1-A2-2 | -64.4- ΔOTAREFSENS |
| 10 | 15 | G-FR1-A2-1 | -62.7- ΔOTAREFSENS | -71.3- ΔOTAREFSENS | AWGN |
| 30 | G- FR1-A2-2 | -64.4- ΔOTAREFSENS |
| 60 | G- FR1-A2-3 | -60.4- ΔOTAREFSENS |
| 15 | 15 | G-FR1-A2-1 | -62.7- ΔOTAREFSENS | -69.5- ΔOTAREFSENS | AWGN |
| 30 | G- FR1-A2-2 | -64.4- ΔOTAREFSENS |
| 60 | G- FR1-A2-3 | -60.4- ΔOTAREFSENS |
| 20 | 15 | G- FR1-A2-4 | -56.5- ΔOTAREFSENS | -68.2- ΔOTAREFSENS | AWGN |
| 30 | G- FR1-A2-5 | -56.5- ΔOTAREFSENS |
| 60 | G- FR1-A2-6 | -56.8- ΔOTAREFSENS |
| 25 | 15 | G- FR1-A2-4 | -56.5- ΔOTAREFSENS | -67.2- ΔOTAREFSENS | AWGN |
| 30 | G- FR1-A2-5 | -56.5- ΔOTAREFSENS |
| 60 | G- FR1-A2-6 | -56.8- ΔOTAREFSENS |
| 30 | 15 | G- FR1-A2-4 | -56.5- ΔOTAREFSENS | -66.4- ΔOTAREFSENS | AWGN |
| 30 | G- FR1-A2-5 | -56.5- ΔOTAREFSENS |
| 60 | G- FR1-A2-6 | -56.8- ΔOTAREFSENS |
| 40 | 15 | G- FR1-A2-4 | -56.5- ΔOTAREFSENS | -65.1- ΔOTAREFSENS | AWGN |
| 30 | G- FR1-A2-5 | -56.5- ΔOTAREFSENS |
| 60 | G- FR1-A2-6 | -56.8- ΔOTAREFSENS |
| 50 | 15 | G- FR1-A2-4 | -56.5- ΔOTAREFSENS | -64.2- ΔOTAREFSENS | AWGN |
| 30 | G- FR1-A2-5 | -56.5- ΔOTAREFSENS |
| 60 | G- FR1-A2-6 | -56.8- ΔOTAREFSENS |
| 60 | 30 | G- FR1-A2-5 | -56.5- ΔOTAREFSENS | -63.4- ΔOTAREFSENS | AWGN |
| 60 | G- FR1-A2-6 | -56.8- ΔOTAREFSENS |
| 70 | 30 | G- FR1-A2-5 | -56.5- ΔOTAREFSENS | -62.8- ΔOTAREFSENS | AWGN |
| 60 | G- FR1-A2-6 | -56.8- ΔOTAREFSENS |
| 80 | 30 | G- FR1-A2-5 | -56.5- ΔOTAREFSENS | -62.1- ΔOTAREFSENS | AWGN |
| 60 | G- FR1-A2-6 | -56.8- ΔOTAREFSENS |
| 90 | 30 | G- FR1-A2-5 | -56.5- ΔOTAREFSENS | -61.6- ΔOTAREFSENS | AWGN |
| 60 | G- FR1-A2-6 | -56.8- ΔOTAREFSENS |
| 100 | 30 | G- FR1-A2-5 | -56.5- ΔOTAREFSENS | -61.1- ΔOTAREFSENS | AWGN |
| 60 | G- FR1-A2-6 | -56.8- ΔOTAREFSENS |
| NOTE: The wanted signal mean power is the power level of a single instance of the corresponding reference measurement channel. This requirement shall be met for each consecutive application of a single instance of the reference measurement channel mapped to disjoint frequency ranges with a width corresponding to the number of resource blocks of the reference measurement channel each, except for one instance that might overlap one other instance to cover the full *BS channel bandwidth*. | | | | | |

## 10.5 OTA in-band selectivity and blocking

### 10.5.1 OTA adjacent channel selectivity

#### 10.5.1.1 General

OTA Adjacent channel selectivity (ACS) is a measure of the receiver’s ability to receive a OTA wanted signal at its assigned channel frequency in the presence of an OTA adjacent channel signal with a specified centre frequency offset of the interfering signal to the band edge of a victim system.

#### 10.5.1.2 Minimum requirement for *BS type 1-O*

The requirement shall apply at the RIB when the AoA of the incident wave of a received signal and the interfering signal are from the same direction and are within the *minSENS RoAoA*.

The wanted and interfering signals apply to all supported polarizations, under the assumption o*f polarization match*.

The throughput shall be ≥ 95% of the maximum throughput of the reference measurement channel.

For FR1, the OTA wanted and the interfering signal are specified in table 10.5.1.2-1 and table 10.5.1.2-2 for ACS. The reference measurement channel for the OTA wanted signal is further specified in annex A. The characteristics of the interfering signal is further specified in annex D.

The OTA ACS requirement is applicable outside the Base Station RF Bandwidth or Radio Bandwidth. The OTA interfering signal offset is defined relative to the Base station RF Bandwidth edges or Radio Bandwidth edges.

For RIBs supporting operation in *non-contiguous spectrum* within any operating band, the OTA ACS requirement shall apply in addition inside any sub-block gap, in case the sub-block gap size is at least as wide as the NR interfering signal in table 10.5.1.2-2. The OTA interfering signal offset is defined relative to the sub-block edges inside the sub-block gap.

For *multi-band RIBs*, the OTA ACS requirement shall apply in addition inside any Inter RF Bandwidth gap, in case the Inter RF Bandwidth gap size is at least as wide as the NR interfering signal in table 10.5.1.2-2. The interfering signal offset is defined relative to the Base Station RF Bandwidth edges inside the Inter RF Bandwidth gap.

Table 10.5.1.2-1: OTA ACS requirement for *BS type 1-O*

|  |  |  |
| --- | --- | --- |
| *BS channel bandwidth* of the lowest/highest carrier received (MHz) | Wanted signal mean power (dBm)  (Note 2) | Interfering signal mean power (dBm) |
| 5, 10, 15, 20, 25, 30, 40, 50, 60, 70, 80,90, 100 (Note 1) | EISminSENS + 6dB | Wide Area: -52 – ΔminSENS  Medium Range: -47– ΔminSENS  Local Area: -44– ΔminSENS |
| NOTE 1: The SCS for the lowest/highest carrier received is the lowest SCS supported by the BS for that bandwidth  NOTE 2: EISminSENS depends on the *BS channel bandwidth* | | |

Table 10.5.1.2-2: OTA ACS interferer frequency offset for *BS type 1-O*

|  |  |  |
| --- | --- | --- |
| *BS channel bandwidth* of the lowest/highest carrier received (MHz) | Interfering signal centre frequency offset from the lower/upper Base Station RF Bandwidth edge or sub-block edge inside a sub-block gap (MHz) | Type of interfering signal |
| 5 | ±2.5025 | 5 MHz DFT-s-OFDM NR signal  SCS: 15kHz, 25 RB |
| 10 | ±2.5075 | 5 MHz DFT-s-OFDM NR signal  SCS: 15kHz, 25 RB |
| 15 | ±2.5125 | 5 MHz DFT-s-OFDM NR signal  SCS: 15kHz, 25 RB |
| 20 | ±2.5025 | 5 MHz DFT-s-OFDM NR signal  SCS: 15kHz, 25 RB |
| 25 | ±9.535 | 20 MHz DFT-s-OFDM NR signal  SCS: 15kHz, 100 RB |
| 30 | ±9.585 | 20 MHz DFT-s-OFDM NR signal  SCS: 15kHz, 100 RB |
| 40 | ±9.535 | 20 MHz DFT-s-OFDM NR signal  SCS: 15kHz, 100 RB |
| 50 | ±9.485 | 20 MHz DFT-s-OFDM NR signal  SCS: 15kHz, 100 RB |
| 60 | ±9.585 | 20 MHz DFT-s-OFDM NR signal  SCS: 15kHz, 100 RB |
| 70 | ±9.535 | 20 MHz DFT-s-OFDM NR signal  SCS: 15kHz, 100 RB |
| 80 | ±9.485 | 20 MHz DFT-s-OFDM NR signal  SCS: 15kHz, 100 RB |
| 90 | ±9.585 | 20 MHz DFT-s-OFDM NR signal  SCS: 15kHz, 100 RB |
| 100 | ±9.535 | 20 MHz DFT-s-OFDM NR signal  SCS: 15kHz, 100 RB |

#### 10.5.1.3 Minimum requirement for *BS type 2-O*

The requirement shall apply at the RIB when the AoA of the incident wave of a received signal and the interfering signal are from the same direction and are within the *FR2 OTA REFSENS RoAoA.*

The wanted and interfering signals apply to each supported polarization, under the assumption o*f polarization match*.

The throughput shall be ≥ 95% of the maximum throughput of the reference measurement channel.

For FR2, the OTA wanted and the interfering signal are specified in table 10.5.1.3-1 and table 10.5.1.3-2 for ACS. The reference measurement channel for the OTA wanted signal is further specified in annex A. The characteristics of the interfering signal is further specified in annex D.

The OTA ACS requirement is applicable outside the Base Station RF Bandwidth or Radio Bandwidth. The OTA interfering signal offset is defined relative to the Base station RF Bandwidth edges or Radio Bandwidth edges.

For RIBs supporting operation in *non-contiguous spectrum* within any *operating band*, the OTA ACS requirement shall apply in addition inside any sub-block gap, in case the sub-block gap size is at least as wide as the NR interfering signal in table 10.5.1.3-2. The OTA interfering signal offset is defined relative to the sub-block edges inside the sub-block gap.

Table 10.5.1.3-1: OTA ACS requirement for *BS type 2-O*

|  |  |  |
| --- | --- | --- |
| *BS channel bandwidth* of the lowest/highest carrier received (MHz) | Wanted signal mean power (dBm) | Interfering signal mean power (dBm) |
| 50, 100, 200, 400 | EISREFSENS + 6dB (Note 3) | EISREFSENS\_50M + 27.7 (Note 1)  EISREFSENS\_50M + 26.7 (Note 2) |
| NOTE 1: Applicable to bands defined within the frequency spectrum range of 24.25 – 33.4 GHz  NOTE 2: Applicable to bands defined within the frequency spectrum range of 37 – 52.6 GHz  NOTE 3: EISREFSENS is given in subclause 10.3.3 | | |

Table 10.5.1.3-2: OTA ACS interferer frequency offset for *BS type 2-O*

|  |  |  |
| --- | --- | --- |
| *BS channel bandwidth* of the lowest/highest carrier received (MHz) | Interfering signal centre frequency offset from the lower/upper Base Station RF Bandwidth edge or sub-block edge inside a sub-block gap (MHz) | Type of interfering signal |
| 50 | ±24.29 | 50MHz DFT-s-OFDM NR signal  60 kHz SCS, 64 RB |
| 100 | ±24.31 | 50MHz DFT-s-OFDM NR signal  60 kHz SCS, 64 RB |
| 200 | ±24.29 | 50MHz DFT-s-OFDM NR signal  60 kHz SCS, 64 RB |
| 400 | ±24.31 | 50MHz DFT-s-OFDM NR signal  60 kHz SCS, 64 RB |

### 10.5.2 OTA in-band blocking

#### 10.5.2.1 General

The OTA in-band blocking characteristics is a measure of the receiver’s ability to receive a OTA wanted signal at its assigned channel in the presence of an unwanted OTA interferer, which is an NR signal for general blocking or an NR signal with one resource block for narrowband blocking.

#### 10.5.2.2 Minimum requirement for *BS type 1-O*

The requirement shall apply at the RIB when the AoA of the incident wave of a received signal and the interfering signal are from the same direction, and:

- when the wanted signal is based on EISREFSENS: the AoA of the incident wave of a received signal and the interfering signal are within the *FR1 OTA REFSENS RoAoA.*

- when the wanted signal is based on EISminSENS: the AoA of the incident wave of a received signal and the interfering signal are within the *minSENS RoAoA*.

The wanted and interfering signals apply to each supported polarization, under the assumption of *polarization match*.

The throughput shall be ≥ 95% of the maximum throughput of the reference measurement channel, with OTA wanted and OTA interfering signal specified in tables 10.5.2.2-1, table 10.5.2.2-2 and table 10.5.2.2-3 for general OTA and narrowband OTA blocking requirements. The reference measurement channel for the OTA wanted signal is identified in subclase 10.3.2 further specified in annex A. The characteristics of the interfering signal is further specified in annex D.

The OTA in-band blocking requirements apply outside the Base Station RF Bandwidth or Radio Bandwidth. The interfering signal offset is defined relative to the Base Station RF Bandwidth edges or Radio Bandwidth edges.

For *BS type 1-O* the OTA in-band blocking requirement apply from FUL\_low - ΔfOOB to FUL\_high + ΔfOOB, excluding the downlink frequency range of the FDD *operating band.* The ΔfOOB for *BS type 1-O* is defined in table 10.5.2.2-0.

Table 10.5.2.2-0: ΔfOOB offset for NR *operating bands*

|  |  |  |
| --- | --- | --- |
| BS type | *Operating band* characteristics | ΔfOOB (MHz) |
| *BS type 1-O* | FUL\_high – FUL\_low < 100 MHz | 20 |
| 100 MHz ≤ FUL\_high – FUL\_low ≤ 900 MHz | 60 |

For RIBs supporting operation in *non-contiguous spectrum* within any *operating band*, the OTA in-band blocking requirements apply in addition inside any sub-block gap, in case the sub-block gap size is at least as wide as twice the interfering signal minimum offset in tables 10.5.2.2-1 and 10.5.2.2-3. The interfering signal offset is defined relative to the sub-block edges inside the sub-block gap.

For *multi-band RIBs*, the OTA blocking requirements apply in the in-band blocking frequency ranges for each supported *operating band*. The requirement shall apply in addition inside any Inter RF Bandwidth gap, in case the Inter RF Bandwidth gap size is at least as wide as twice the interfering signal minimum offset in tables 10.5.2.2-1 and 10.5.2.2-3.

For a RIBs supporting operation in *non-contiguous spectrum* within any operating band, the OTA narrowband blocking requirements apply in addition inside any sub-block gap, in case the sub-block gap size is at least as wide as the interfering signal minimum offset in table 10.5.2.2-3. The interfering signal offset is defined relative to the sub-block edges inside the sub-block gap.

For a *multi-band RIBs*, the OTA blocking requirements apply in the narrowband blocking frequency ranges for each supported *operating band*. The requirement shall apply in addition inside any Inter RF Bandwidth gap, in case the Inter RF Bandwidth gap size is at least as wide as the interfering signal minimum offset in table 10.5.2.2-3.

Table 10.5.2.2-1: General OTA blocking requirement for *BS type 1-O*

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| *BS channel bandwidth* of the lowest/highest carrier received (MHz) | Wanted signal mean power (dBm) | Interfering signal mean power (dBm) | Interfering signal centre frequency minimum offset from the lower/upper Base Station RF Bandwidth edge or sub-block edge inside a sub-block gap (MHz) | Type of interfering signal |
| 5, 10, 15, 20 | EISREFSENS + 6 dB | Wide Area: -43 - ΔOTAREFSENS  Medium Range: -38 - ΔOTAREFSENS  Local Area: -35 - ΔOTAREFSENS | ±7.5 | 5 MHz DFT-s-OFDM NR signal  SCS: 15 kHz, 25 RB |
| EISminSENS + 6 dB | Wide Area: -43 – ΔminSENS  Medium Range: -38 – ΔminSENS  Local Area: -35 – ΔminSENS | ±7.5 | 5 MHz DFT-s-OFDM NR signal  SCS: 15 kHz, 25 RB |
| 25 ,30, 40, 50, 60, 70, 80, 90, 100 | EISREFSENS + 6 dB | Wide Area: -43 - ΔOTAREFSENS  Medium Range: -38 - ΔOTAREFSENS  Local Area: -35 - ΔOTAREFSENS | ±30 | 20 MHz DFT-s-OFDM NR signal  SCS: 15 kHz, 100 RB |
| EISminSENS + 6 dB | Wide Area: -43 – ΔminSENS  Medium Range: -38 – ΔminSENS  Local Area: -35 – ΔminSENS | ±30 | 20 MHz DFT-s-OFDM NR signal  SCS: 15 kHz, 100 RB |

Table 10.5.2.2-2: OTA narrowband blocking requirement for *BS type 1-O*

|  |  |  |
| --- | --- | --- |
| *BS channel bandwidth* of the lowest/highest carrier received (MHz) | OTA Wanted signal mean power (dBm) | OTA Interfering signal mean power (dBm) |
| 5, 10, 15, 20 | EISREFSENS + 6 dB | Wide Area: -49 - ΔOTAREFSENS  Medium Range: -44 - ΔOTAREFSENS  Local Area: -41 - ΔOTAREFSENS |
| EISminSENS + 6 dB | Wide Area: -49 – ΔminSENS  Medium Range: -44 – ΔminSENS  Local Area: -41 – ΔminSENS |
| 25, 30, 40, 50, 60, 70, 80, 90, 100 | EISREFSENS + 6 dB | Wide Area: -49 - ΔOTAREFSENS  Medium Range: -44 - ΔOTAREFSENS  Local Area: -41 - ΔOTAREFSENS |
| EISminSENS + 6 dB | Wide Area: -49 – ΔminSENS  Medium Range: -44 – ΔminSENS  Local Area: -41 – ΔminSENS |
| NOTE: The SCS for the lowest/highest carrier received is the lowest SCS supported by the BS for that bandwidth | | |

Table 10.5.2.2-3: OTA narrowband blocking interferer frequency offsets for *BS type 1-O*

|  |  |  |
| --- | --- | --- |
| *BS channel bandwidth* of the lowest/highest carrier received (MHz) | Interfering RB centre frequency offset to the lower/upper Base Station RF Bandwidth edge or sub-block edge inside a sub-block gap (kHz) | Type of interfering signal |
| 5 | ±([342.5] + m\*180),  m=0, 1, 2, 3, 4, 9, 14, 19, 24 | 5 MHz DFT-s-OFDM NR signal, 1 RB  SCS: 15 kHz |
| 10 | ±([347.5] + m\*180),  m=0, 1, 2, 3, 4, 9, 14, 19, 24 |
| 15 | ±([352.5] + m\*180),  m=0, 1, 2, 3, 4, 9, 14, 19, 24 |
| 20 | ±([342.5] + m\*180),  m=0, 1, 2, 3, 4, 9, 14, 19, 24 |
| 25 | ±([557.5] + m\*180),  m=0, 1, 2, 3, 4, 29, 54, 79, 100 | 20 MHz DFT-s-OFDM NR signal, 1 RB  SCS: 15 kHz |
| 30 | ±([562.5] + m\*180),  m=0, 1, 2, 3, 4, 29, 54, 79, 100 |
| 40 | ±([557.5] + m\*180),  m=0, 1, 2, 3, 4, 29, 54, 79, 100 |
| 50 | ±([552.5] + m\*180),  m=0, 1, 2, 3, 4, 29, 54, 79, 100 |
| 60 | ±([562.5] + m\*180),  m=0, 1, 2, 3, 4, 29, 54, 79, 100 |
| 70 | ±([557.5] + m\*180),  m=0, 1, 2, 3, 4, 29, 54, 79, 100 |
| 80 | ±([552.5] + m\*180),  m=0, 1, 2, 3, 4, 29, 54, 79, 100 |
| 90 | ±([562.5] + m\*180),  m=0, 1, 2, 3, 4, 29, 54, 79, 100 |
| 100 | ±([557.5] + m\*180),  m=0, 1, 2, 3, 4, 29, 54, 79, 100 |
| NOTE: Interfering signal consisting of one resource block is positioned at the stated offset, the channel bandwidthof the interfering signal is located adjacently to the lower/upper Base Station RF Bandwidth edge or sub-block edge inside a sub-block gap. | | |

#### 10.5.2.3 OTA in-band blocking for *BS type 2-O*

The requirement shall apply at the RIB when the AoA of the incident wave of a received signal and the interfering signal are from the same direction and are within the *FR2 OTA REFSENS RoAoA.*

The wanted and interfering signals apply to each supported polarization, under the assumption o*f polarization match*.

The throughput shall be ≥ 95% of the maximum throughput of the reference measurement channel.

For FR2 BS, the OTA wanted and OTA interfering signals are provided at RIB using the parameters in table 10.5.2.3-1 for general OTA blocking requirements. The reference measurement channel for the wanted signal is further specified in annex A. The characteristics of the interfering signal is further specified in annex D.

The OTA blocking requirements are applicable outside the Base Station RF Bandwidth or Radio Bandwidth. The interfering signal offset is defined relative to the Base Station RF Bandwidth edges or Radio Bandwidth edges. The OTA blocking requirements apply in the in-band blocking frequency range, which is from [XX] MHz below the lowest frequency of the uplink *operating band* up to [XX] MHz above the highest frequency of the uplink *operating band* for *BS type 2-O* in an *operating band* less than [XX] MHz wide, but excludes the downlink frequency range of the FDD *operating band*.

For a RIBs supporting operation in *non-contiguous spectrum* within any *operating band*, the OTA blocking requirements apply in addition inside any sub-block gap, in case the sub-block gap size is at least as wide as twice the interfering signal minimum offset in table 10.5.2.3-1. The interfering signal offset is defined relative to the sub-block edges inside the sub-block gap.

Table 10.5.2.3-1: General OTA blocking requirement for *BS type 2-O*

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| *BS channel bandwidth* of the lowest/highest carrier received (MHz) | OTA wanted signal mean power (dBm) | OTA interfering signal mean power (dBm) | OTA interfering signal centre frequency offset  from the lower/upper Base Station RF Bandwidth edge or sub-block edge inside a sub-block gap (MHz) | Type of OTA interfering signal |
| 50, 100, 200, 400 | EISREFSENS + 6dB | EISREFSENS\_50M + 33dB | ±75 | 50 MHz DFT-s-OFDM NR signal  60 kHz SCS, 64 RB |
| NOTE: EISREFSENS and EISREFSENS\_50M are given in subclause 10.3.3. | | | | |

## 10.6 OTA out-of-band blocking

### 10.6.1 General

The OTA out-of-band blocking characteristics are a measure of the receiver unit ability to receive a wanted signal at the *RIB* at its assigned channel in the presence of an unwanted interferer.

### 10.6.2 Minimum requirement for *BS type 1-O*

#### 10.6.2.1 General minimum requirement

The requirement shall apply at the RIBwhen the AoA of the incident wave of the received signal and the interfering signal are from the same direction and are within the *minSENS RoAoA*.

The wanted signal applies to each supported polarization, under the assumption of *polarization match.* The interferer shall be polarization matched in-band and the polarization maintained for OOB measurements.

For OTA wanted and OTA interfering signals provided at the RIB using the parameters in table 10.6.2.1-1, the following requirements shall be met:

- The throughput shall be ≥ 95% of the maximum throughput of the reference measurement channel. The reference measurement channel for the OTA wanted signal is identified in subclause 10.3.2 for each *BS channel bandwidth* and further specified in annex A. The characteristics of the interfering signal is further specified in annex D.

For a *multi-band RIB*, the OTA out-of-band requirement shall apply for each supported *operating band*, with the exception that the in-band blocking frequency ranges of all supported *operating bands* according to subclause 7.4.2.2 shall be excluded from the OTA out‑of‑band blocking requirement.

For *BS type 1-O* the OTA out-of-band blocking requirement apply from 30 MHz to FUL\_low - ΔfOOB and from FUL\_high + ΔfOOB up to 12750 MHz, including the downlink frequency range of the FDD *operating band*. The ΔfOOB for *BS type 1-O* is defined in table 10.5.2.2-0.

Table 10.6.2.1-1: OTA out-of-band blocking performance requirement

|  |  |  |
| --- | --- | --- |
| Wanted signal mean power (dBm) | Interfering signal RMS field-strength (V/m) | Type of interfering Signal |
| EISminSENS + 6 dB  (Note 1) | 0.36 | CW carrier |
| NOTE 1: EISminSENS depends on the *channel bandwidth* as specified in subclause 9.2.  NOTE 2: The RMS field-strength level in V/m is related to the interferer EIRP level at a distance described as , where EIRP is in W and r is in m; for example, 0.36 V/m is equivalent to 36 dBm at fixed distance of 30 m. | | |

#### 10.6.2.2 Co-location minimum requirement

This additional OTA out-of-band blocking requirement may be applied for the protection of BS receivers when NR, E‑UTRA BS, UTRA BS, CDMA BS or GSM/EDGE BS operating in a different frequency band are co-located with a BS.

The requirement is a co-location requirement, the interferer power levels specified at the *co-location reference antenna* conducted input.

The requirement is valid over the *minSENS RoAoA*.

Interfering signal shall be applied to the *co-location reference antenna*. The interfering signal power is specified per polarization.

For OTA wanted and OTA interfering signal provided at the RIB using the parameters in table 10.6.2.1-1, the following requirements shall be met:

- The throughput shall be ≥ 95% of the maximum throughput of the reference measurement channel. The reference measurement channel for the OTA wanted signal is identified in subclause 10.3.2 for each *BS channel bandwidth* and further specified in annex A. The characteristics of the interfering signal is further specified in annex D.

For *BS type 1-O* the OTA blocking requirement for co-location with BS in other frequency bands is applied for all operating bands for which co-location protection is provided.

Table 10.6.2.2-1: OTA blocking requirement for co-location with BS in other frequency bands

| Frequency range of interfering signal | Wanted signal mean power (dBm) | Interfering signal mean power for WA BS (dBm) | Interfering signal mean power for MR BS (dBm) | Interfering signal mean power for LA BS (dBm) | Type of interfering signal |
| --- | --- | --- | --- | --- | --- |
| Frequency range of co-located downlink operating band | EISminSENS + 6 dB  (Note 1) | +46 | +38 | +24 | CW carrier |
| NOTE 1: EISminSENS depends on the BS class and on the *BS channel bandwidth*, see subclause 10.3.  NOTE 2: The requirement does not apply when the interfering signal falls within any of the supported uplink operating band(s) or in the 10 MHz frequency range immediately outside any of the supported uplink operating band(s). | | | | | |

### 10.6.3 Minimum requirement for *BS type 2-O*

#### 10.6.3.1 General minimum requirement

The requirement shall apply at the RIBwhen the AoA of the incident wave of the received signal and the interfering signal are from the same direction and are within the *FR2 OTA REFSENS RoAoA*.

The wanted signal applies to all supported polarizations, under the assumption of *polarization match*. The interferer shall be polarization matched in-band and the polarization maintained for OOB measurements.

For BS type 2-O the OTA out-of-band blocking requirement apply from 30 MHz to FUL\_low – 1500 MHz and from FUL\_high + 1500 MHz up to 2nd harmonic of the upper frequency edge of the operating band.

For OTA wanted and OTA interfering signals provided at the RIB using the parameters in table 10.6.3.1-1, the following requirements shall be met:

- The throughput shall be ≥ 95% of the maximum throughput of the reference measurement channel. The reference measurement channel for the OTA wanted signal is identified in subclause 10.3.3 for each *BS channel bandwidth* and further specified in annex A.

Table 10.6.3.1-1: OTA out-of-band blocking performance requirement

| Frequency range of interfering signal  (MHz) | Wanted signal mean power  (dBm) | Interferer RMS field-strength  (V/m) | Type of interfering signal |
| --- | --- | --- | --- |
| 30 to 12750 | EISREFSENS + 6 dB | 0.36 | CW |
| 12750 to FUL\_low – 1500 | EISREFSENS + 6 dB | 0.1 | CW |
| FUL\_high + 1500 to 2nd harmonic of the upper frequency edge of the operating band | EISREFSENS + 6 dB | 0.1 | CW |

## 10.7 OTA receiver spurious emissions

### 10.7.1 General

The OTA RX spurious emission is the power of the emissions radiated from the antenna array from a receiver unit.

The metric used to capture OTA receiver spurious emissions for *BS type 1-O* and *BS type 2-O* is total radiated power (TRP), with the requirement defined at the RIB.

### 10.7.2 Minimum requirement for *BS type 1-O*

For a BS operating in FDD, OTA RX spurious emissions requirement do not apply as they are superseded by the OTA TX spurious emissions requirement. This is due to the fact that TX and RX spurious emissions cannot be distinguished in OTA domain.

For a BS operating in TDD, the OTA RX spurious emissions requirement shall apply during the *transmitter OFF period* only.

For RX only *multi-band RIB*, the OTA RX spurious emissions requirements are subject to exclusion zones in each supported *operating band*.

The OTA RX spurious emissions for *BS type 1-O* are that for each *basic limit* specified in table 7.6.2-1*,* the power sum of emissions at the RIB shall not exceed limits specified as the *basic limit* + X, where X = 9 dB, unless stated differently in regional regulation.

### 10.7.3 Minimum requirement for *BS type 2-O*

For the BS type 2-O, the power of any RX spurious emission shall not exceed the limits in table 10.7.3-1.

Table 10.7.3-1: Radiated Rx spurious emission limits for *BS type 2-O*

|  |  |  |  |
| --- | --- | --- | --- |
| Frequency range | Limit | Measurement bandwidth | Note |
| 30 MHz – 1 GHz | -57 dBm | 100 kHz | Note 1 |
| 1 GHz – 12.75 GHz | -47 dBm | 1 MHz | Note 1 |
| 12.75 GHz – 2nd harmonic of the upper frequency edge of the UL *operating band* | -36 dBm | 1 MHz | Note 1, Note 2 |
| NOTE 1: Bandwidth as in ITU-R SM.329 [3], s4.1  NOTE 2: Upper frequency as in ITU-R SM.329 [3], s2.5 table 1.  NOTE 3: The frequency range between 2.5 \* BWChannel below the first carrier frequency and 2.5 \* BWChannel above the last carrier frequency transmitted by the BS, where BWChannel is the *BS channel bandwidth* according to subclause 5.3, may be excluded from the requirement. However, frequencies that are more than ΔfOBUE below the lowest frequency of the BS *operating band* or more than ΔfOBUE above the highest frequency of the BS *operating band* shall not be excluded from the requirement. | | | |

## 10.8 OTA receiver intermodulation

### 10.8.1 General

Third and higher order mixing of the two interfering RF signals can produce an interfering signal in the band of the desired channel. Intermodulation response rejection is a measure of the capability of the receiver unit to receive a wanted signal on its assigned channel frequency in the presence of two interfering signals which have a specific frequency relationship to the wanted signal. The requirement is defined as a directional requirement at the *RIB*.

### 10.8.2 Minimum requirement for *BS type 1-O*

The requirement shall apply at the RIB when the AoA of the incident wave of a received signal and the interfering signal are from the same direction, and:

- when the wanted signal is based on EISREFSENS: the AoA of the incident wave of a received signal and the interfering signal are within the *FR1 OTA REFSENS RoAoA.*

- when the wanted signal is based on EISminSENS: the AoA of the incident wave of a received signal and the interfering signal are within the *minSENS RoAoA*.

The wanted and interfering signals apply to each supported polarization, under the assumption of polarization match.

For NR, the throughputshall be ≥ 95% of the maximum throughput of the reference measurement channel, with a wanted signal at the assigned channel frequency and two interfering signals at the RIB. with the conditions specified in tables 10.8.2-1 and 10.8.2-2 for intermodulation performance and in tables 10.8.2-3 and 10.8.2-4 for narrowband intermodulation performance.

The reference measurement channel for the wanted signal is identified in table 10.3.2-1, table 10.3.2-2 and table 10.3.2-3 for each *BS channel bandwidth* and further specified in annex A. The characteristics of the interfering signal is further specified in annex D.

The subcarrier spacing for the modulated interfering signal shall be the same as the subcarrier spacing for the wanted signal, except for the case of wanted signal subcarrier spacing 60kHz and BS *BS channel bandwidth* <=20MHz, for which the subcarrier spacing of the interfering signal should be 30kHz.

The receiver intermodulation requirement is applicable outside the Base Station RF Bandwidth or Radio Bandwidth edges. The interfering signal offset is defined relative to the Base Station RF Bandwidth edges or Radio Bandwidth edges.

For a RIBs supporting operation in non-contiguous spectrum within any *operating band*, the narrowband intermodulation requirement shall apply in addition inside any sub-block gap in case the sub-block gap is at least as wide as the *BS channel bandwidth* of the NR interfering signal in tables 10.8.2-2 and 10.8.2-4. The interfering signal offset is defined relative to the sub-block edges inside the sub-block gap.

[For *multi-band RIBs*, the intermodulation requirement shall apply in addition inside any Inter RF Bandwidth gap, in case the gap size is at least twice as wide as the E-UTRA interfering signal centre frequency offset from the Base Station RF Bandwidth edge.]

[For *multi-band RIBs*, the narrowband intermodulation requirement shall apply in addition inside any Inter RF Bandwidth gap in case the gap size is at least as wide as the E-UTRA interfering signal in tables 10.8.2-2 and 10.8.2-4. The interfering signal offset is defined relative to the Base Station RF Bandwidth edges inside the Inter RF Bandwidth gap.]

Table 10.8.2-1: General intermodulation requirement

|  |  |  |  |
| --- | --- | --- | --- |
| BS class | Wanted Signal mean power (dBm) | Mean power of interfering signals (dBm) | Type of interfering signal |
| Wide Area BS | EISREFSENS + 6 dB | -52 + ΔOTAREFSENS | See Table 10.8.2-2 |
| EISminSENS + 6 dB | -52 + ΔminSENS |
| Medium Range BS | EISREFSENS + 6 dB | -47 + ΔOTAREFSENS |
| EISminSENS + 6 dB | -47 + ΔminSENS |
| Local Area BS | EISREFSENS + 6 dB | -44 + ΔOTAREFSENS |
| EISminSENS + 6 dB | -44 + ΔminSENS |
| NOTE 1: EISREFSENS and EISminSENS depend on the BS class and on the *BS channel bandwidth*, see subclause 10.3 and 10.2. | | | |

Table 10.8.2-2: Interfering signals for intermodulation requirement

|  |  |  |
| --- | --- | --- |
| *BS channel bandwidth* of the lowest/highest carrier received (MHz) | Interfering signal centre frequency offset from the lower/upper base station RF Bandwidth edge (MHz) | Type of interfering signal |
| 5 | ±7.5 | CW |
| ±17.5 | 5 MHz DFT-s-OFDM NR signal, 25 RB |
| 10 | ±7.45 | CW |
| ±17.5 | 5 MHz DFT-s-OFDM NR signal, 25 RB |
| 15 | ±7.43 | CW |
| ±17.5 | 5 MHz DFT-s-OFDM NR signal, 25 RB |
| 20 | ±7.38 | CW |
| ±17.5 | 5 MHz DFT-s-OFDM NR signal, 25 RB |
| 25 | ±7.45 | CW |
| ±25 | 20 MHz DFT-s-OFDM NR signal, 100 RB |
| 30 | ±7.43 | CW |
| ±25 | 20 MHz DFT-s-OFDM NR signal, 100 RB |
| 40 | ±7.45 | CW |
| ±25 | 20 MHz DFT-s-OFDM NR signal, 100 RB |
| 50 | ±7.35 | CW |
| ±25 | 20 MHz DFT-s-OFDM NR signal, 100 RB |
| 60 | ±7.49 | CW |
| ±25 | 20 MHz DFT-s-OFDM NR signal, 100 RB |
| 70 | ±7.42 | CW |
| ±25 | 20 MHz DFT-s-OFDM NR signal, 100 RB |
| 80 | ±7.44 | CW |
| ±25 | 20 MHz DFT-s-OFDM NR signal, 100 RB |
| 90 | ±7.43 | CW |
| ±25 | 20 MHz DFT-s-OFDM NR signal, 100 RB |
| 100 | ±7.45 | CW |
| ±25 | 20 MHz DFT-s-OFDM NR signal, 100 RB |

Table 10.8.2-3: Narrowband intermodulation performance requirement in FR1

|  |  |  |  |
| --- | --- | --- | --- |
| BS class | Wanted signal mean power (dBm) | Interfering signal mean power (dBm) | Type of interfering signal |
| Wide Area BS | EISREFSENS + 6 dB (Note 1) | -52 - ΔOTAREFSENS | See Table 10.8.2-4 |
| EISminSENS + 6 dB (Note 1) | -52 - ΔminSENS |
| Medium Range BS | EISREFSENS + 6 dB (Note 1) | -47 - ΔOTAREFSENS |
| EISminSENS + 6 dB (Note 1) | -47 - ΔminSENS |
| Local Area BS | EISREFSENS + 6 dB (Note 1) | -44 - ΔOTAREFSENS |
| EISminSENS + 6 dB (Note 1) | -44 - ΔminSENS |
| NOTE 1: EISREFSENS / EISminSENS depends on the *BS* *channel bandwidth*, see subclause 10.3 and 10.2. | | | |

Table 10.8.2-4: Interfering signals for narrowband intermodulation requirement in FR1

|  |  |  |
| --- | --- | --- |
| *BS channel bandwidth* of the lowest/highest carrier received (MHz) | Interfering RB centre frequency offset from the lower/upper Base Station RF Bandwidth edge or sub-block edge inside a sub-block gap (kHz) | Type of interfering signal |
| 5 | ±360 | CW |
| ±1420 | 5 MHz DFT-s-OFDM NR signal, 1 RB (NOTE 1) |
| 10 | ±325 | CW |
| ±1780 | 5 MHz DFT-s-OFDM NR signal, 1 RB (NOTE 1) |
| 15 (NOTE 2) | ±380 | CW |
| ±1600 | 5 MHz DFT-s-OFDM NR signal, 1 RB (NOTE 1) |
| 20 (NOTE 2) | ±345 | CW |
| ±1780 | 5 MHz DFT-s-OFDM NR signal, 1 RB (NOTE 1) |
| 25 (NOTE 2) | ±325 | CW |
| ±1990 | 20 MHz DFT-s-OFDM NR signal, 1 RB (NOTE 1) |
| 30 (NOTE 2) | ±320 | CW |
| ±1990 | 20 MHz DFT-s-OFDM NR signal, 1 RB (NOTE 1) |
| 40 (NOTE 2) | ±310 | CW |
| ±2710 | 20 MHz DFT-s-OFDM NR signal, 1 RB (NOTE 1) |
| 50 (NOTE 2) | ±330 | CW |
| ±3250 | 20 MHz DFT-s-OFDM NR signal, 1 RB (NOTE 1) |
| 60 (NOTE 2) | ±350 | CW |
| ±3790 | 20 MHz DFT-s-OFDM NR signal, 1 RB (NOTE 1) |
| 70 (NOTE 2) | ±400 | CW |
| ±4870 | 20 MHz DFT-s-OFDM NR signal, 1 RB (NOTE 1) |
| 80 (NOTE 2) | ±390 | CW |
| ±4870 | 20 MHz DFT-s-OFDM NR signal, 1 RB (NOTE 1) |
| 90 (NOTE 2) | ±340 | CW |
| ±5770 | 20 MHz DFT-s-OFDM NR signal, 1 RB (NOTE 1) |
| 100 (NOTE 2) | ±340 | CW |
| ±5770 | 20 MHz DFT-s-OFDM NR signal, 1 RB (NOTE 1) |
| NOTE 1: Interfering signal consisting of one resource block positioned at the stated offset, the *BS channel bandwidth* of the interfering signal is located adjacently to the lower/upper Base Station RF Bandwidth edge or sub-block edge inside a sub-block gap.  NOTE 2: This requirement shall apply only for a G-FRC mapped to the frequency range at the channel edge adjacent to the interfering signals. | | |

### 10.8.3 Minimum requirement for *BS type 2-O*

The requirement shall apply at the RIBwhen the AoA of the incident wave of the received signal and the interfering signal are from the same direction and are within the *FR2 OTA REFSENS RoAoA.*

The wanted and interfering signals applies to each supported polarization, under the assumption of *polarization match.*

Throughputshall be ≥ 95% of the maximum throughput of the reference measurement channel, with OTA wanted signal at the assigned channel frequency and two OTA interfering signals provided at the RIB using the parameters in tables 10.8.3-1 and 10.8.3-2. All of the OTA test signals arrive from the same direction, and the requirement is valid if the signals arrive from any direction within the *FR2 OTA REFSENS RoAoA*. The reference measurement channel for the wanted signal is identified in [table 10.3.2-1] for each *BS channel bandwidth* and further specified in annex A. The characteristics of the interfering signal is further specified in annex D.

The subcarrier spacing for the modulated interfering signal shall be the same as the subcarrier spacing for the wanted signal.

The receiver intermodulation requirement is applicable outside the Base Station RF Bandwidth or Radio Bandwidth edges. The interfering signal offset is defined relative to the Base Station RF Bandwidth edges or Radio Bandwidth edges.

Table 10.8.3-1: General intermodulation requirement

|  |  |  |  |
| --- | --- | --- | --- |
| *BS channel bandwidth* of the lowest/highest carrier received (MHz) | Wanted signal mean power (dBm) | Mean power of interfering signals (dBm) | Type of interfering signal |
| 50, 100, 200, 400 | EISREFSENS + 6 dB | EISREFSENS\_50M + 25 dB | See Table 10.8.3-2 |
| NOTE: EISREFSENS and EISREFSENS\_50M are given in subclause 10.3.3. | | | |

Table 10.8.3-2: Interfering signals for intermodulation requirement

|  |  |  |
| --- | --- | --- |
| *BS channel bandwidth* of the lowest/highest carrier received (MHz) | Interfering signal centre frequency offset from the lower/upper Base Station RF Bandwidth edge (MHz) | Type of interfering signal |
| 50 MHz | ±7.5 | CW |
| ±40 | 50MHz DFT-s-OFDM NR signal  60 kHz SCS, 64 RB |
| 100 MHz | ±6.88 | CW |
| ±40 | 50MHz DFT-s-OFDM NR signal  60 kHz SCS, 64 RB |
| 200 MHz | ±5.64 | CW |
| ±40 | 50MHz DFT-s-OFDM NR signal  60 kHz SCS, 64 RB |
| 400 MHz | ±6.02 | CW |
| ±45 | 50MHz DFT-s-OFDM NR signal  60 kHz SCS, 64 RB |

## 10.9 OTA in-channel selectivity

### 10.9.1 General

In-channel selectivity (ICS) is a measure of the receiver ability to receive a wanted signal at its assigned resource block locations in the presence of an interfering signal received at a larger power spectral density. In this condition a throughput requirement shall be met for a specified reference measurement channel. The interfering signal shall be an NR signal as specified in annex A and shall be time aligned with the wanted signal.

### 10.9.2 Minimum requirement for *BS type 1-O*

The requirement shall apply at the RIBwhen the AoA of the incident wave of the received signal and the interfering signal are the same direction and are within the *minSENS RoAoA*

The wanted and interfering signals applies to each supported polarization, under the assumption of *polarization match.*

For a wanted and an interfering signal coupled to the RIB, the following requirements shall be met:

- For *BS type 1-O*, the throughput shall be ≥ 95% of the maximum throughput of the reference measurement channel as specified in annex A with parameters specified in table 10.9.2-1 for Wide Area BS, in table 10.9.2-2 for Medium Range BS and in table 10.9.2-3 for Local Area BS. The characteristics of the interfering signal is further specified in annex D.

Table 10.9.2-1: Wide Area BS in-channel selectivity

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| *BS channel bandwidth* (MHz) | Subcarrier spacing (kHz) | Reference measurement channel | Wanted signal mean power (dBm) | Interfering signal mean power (dBm) | Type of interfering signal |
| 5 | 15 | G-FR1-A1-7 | -100.6-ΔminSENS | -81.4 - ΔminSENS | DFT-s-OFDM NR signal, SCS 15 kHz,  10 RB |
| 10,15,20,25,30 | 15 | G-FR1-A1-1 | -98.7-ΔminSENS | -77.4 - ΔminSEN | DFT-s-OFDM NR signal, SCS 15 kHz,  25 RB |
| 40,50 | 15 | G-FR1-A1-4 | -92.3-ΔminSENS | -71.4 - ΔminSEN | DFT-s-OFDM NR signal, SCS 15 kHz, 100 RB |
| 5 | 30 | G-FR1-A1-8 | -101.3-ΔminSENS | -81.4 - ΔminSEN | DFT-s-OFDM NR signal, SCS 30 kHz,  5 RB |
| 10,15,20,25,30 | 30 | G-FR1-A1-2 | -98.8-ΔminSENS | -78.4 - ΔminSEN | DFT-s-OFDM NR signal, SCS 30 kHz,  10 RB |
| 40,50,60,70,80,90,100 | 30 | G-FR1-A1-5 | -92.6-ΔminSENS | -71.4 - ΔminSEN | DFT-s-OFDM NR signal, SCS 30 kHz,  50 RB |
| 10,15,20,25,30 | 60 | G-FR1-A1-9 | -98.2-ΔminSENS | -78.4 - ΔminSEN | DFT-s-OFDM NR signal, SCS 60 kHz,  5 RB |
| 40,50,60,70,80,90,100 | 60 | G-FR1-A1-6 | -92.7-ΔminSENS | -71.6 - ΔminSEN | DFT-s-OFDM NR signal, SCS 60 kHz,  24 RB |
| NOTE: Wanted and interfering signal are placed adjacently around Fc, where the Fc is defined for *BS channel bandwidth* of the wanted signal according to the table 5.4.2.2-1 . The aggregated wanted and interferer signal shall be centred in the BS channel bandwidth of the wanted signal. | | | | | |

Table 10.9.2-2: Medium Range BS in-channel selectivity

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| *BS channel bandwidth* (MHz) | Subcarrier spacing (kHz) | Reference measurement channel | Wanted signal mean power (dBm) | Interfering signal mean power (dBm) | Type of interfering signal |
| 5 | 15 | G-FR1-A1-7 | -95.6-ΔminSENS | -76.4 - ΔminSENS | DFT-s-OFDM NR signal, SCS 15 kHz,  10 RB |
| 10,15,20,25,30 | 15 | G-FR1-A1-1 | -93.7-ΔminSENS | -72.4 - ΔminSENS | DFT-s-OFDM NR signal, SCS 15 kHz,  25 RB |
| 40,50 | 15 | G-FR1-A1-4 | -87.3-ΔminSENS | -66.4 - ΔminSENS | DFT-s-OFDM NR signal, SCS 15 kHz, 100 RB |
| 5 | 30 | G-FR1-A1-8 | -96.3-ΔminSENS | -76.4 - ΔminSENS | DFT-s-OFDM NR signal, SCS 30 kHz,  5 RB |
| 10,15,20,25,30 | 30 | G-FR1-A1-2 | -93.8-ΔminSENS | -73.4 - ΔminSENS | DFT-s-OFDM NR signal, SCS 30 kHz,  10 RB |
| 40,50,60,70,80,90,100 | 30 | G-FR1-A1-5 | -87.6-ΔminSENS | -66.4 - ΔminSENS | DFT-s-OFDM NR signal, SCS 30 kHz,  50 RB |
| 10,15,20,25,30 | 60 | G-FR1-A1-9 | -93.2-ΔminSENS | -73.4 - ΔminSENS | DFT-s-OFDM NR signal, SCS 60 kHz,  5 RB |
| 40,50,60,70,80,90,100 | 60 | G-FR1-A1-6 | -87.7-ΔminSENS | -66.6 - ΔminSENS | DFT-s-OFDM NR signal, SCS 60 kHz,  24 RB |
| NOTE: Wanted and interfering signal are placed adjacently around Fc, where the Fc is defined for *BS channel bandwidth* of the wanted signal according to the table 5.4.2.2-1. The aggregated wanted and interferer signal shall be centred in the BS channel bandwidth of the wanted signal. | | | | | |

Table 10.9.2-3: Local area BS in-channel selectivity

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| *BS channel bandwidth* (MHz) | Subcarrier spacing (kHz) | Reference measurement channel | Wanted signal mean power (dBm) | Interfering signal mean power (dBm) | Type of interfering signal |
| 5 | 15 | G-FR1-A1-7 | -92.6-ΔminSENS | -73.4 - ΔminSENS | DFT-s-OFDM NR signal, SCS 15 kHz,  10 RB |
| 10,15,20,25,30 | 15 | G-FR1-A1-1 | -90.7-ΔminSENS | -69.4 - ΔminSENS | DFT-s-OFDM NR signal, SCS 15 kHz,  25 RB |
| 40,50 | 15 | G-FR1-A1-4 | -84.3-ΔminSENS | -63.4 - ΔminSENS | DFT-s-OFDM NR signal, SCS 15 kHz, 100 RB |
| 5 | 30 | G-FR1-A1-8 | -93.3-ΔminSENS | -73.4 - ΔminSENS | DFT-s-OFDM NR signal, SCS 30 kHz,  5 RB |
| 10,15,20,25,30 | 30 | G-FR1-A1-2 | -90.8-ΔminSENS | -70.4 - ΔminSENS | DFT-s-OFDM NR signal, SCS 30 kHz,  10 RB |
| 40,50,60,70,80,90,100 | 30 | G-FR1-A1-5 | -84.6-ΔminSENS | -63.4 - ΔminSENS | DFT-s-OFDM NR signal, SCS 30 kHz,  50 RB |
| 10,15,20,25,30 | 60 | G-FR1-A1-9 | -90.2-ΔminSENS | -70.4 - ΔminSENS | DFT-s-OFDM NR signal, SCS 60 kHz,  5 RB |
| 40,50,60,70,80,90,100 | 60 | G-FR1-A1-6 | -84.7-ΔminSENS | -63.6 - ΔminSENS | DFT-s-OFDM NR signal, SCS 60 kHz,  24 RB |
| NOTE: Wanted and interfering signal are placed adjacently around Fc, where the Fc is defined for *BS channel bandwidth* of the wanted signal according to the table 5.4.2.2-1. The aggregated wanted and interferer signal shall be centred in the BS channel bandwidth of the wanted signal. | | | | | |

### 10.9.3 Minimum requirement for *BS type 2-O*

The requirement shall apply at the RIBwhen the AoA of the incident wave of the received signal and the interfering signal are from the same direction and are within the *FR2 OTA REFSENS RoAoA.*

The wanted and interfering signals applies to each supported polarization, under the assumption of *polarization match.*

For *BS type 2-O*, the throughput shall be ≥ 95% of the maximum throughput of the reference measurement channel as specified in annex A with parameters specified in table 10.9.3-1. The characteristics of the interfering signal is further specified in annex D.

Table 10.9.3-1: OTA in-channel selectivity requirement for *BS type 2-O*

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| *BS channel bandwidth* (MHz) | Subcarrier spacing (kHz) | Reference measurement channel | Wanted signal mean power (dBm)  (Note 2) | Interfering signal mean power (dBm)  (Note 2) | Type of interfering signal |
| 50 | 60 | G-FR2-A1-4 | EISREFSENS\_50M | EISREFSENS\_50M + 10 | DFT-s-OFDM NR signal, SCS 60 kHz, 32 RB |
| 100,200 | 60 | G-FR2-A1-1 | EISREFSENS\_50M + 3 | EISREFSENS\_50M + 13 | DFT-s-OFDM NR signal, SCS 60 kHz, 64 RB |
| 50 | 120 | G-FR2-A1-5 | EISREFSENS\_50M | EISREFSENS\_50M + 10 | DFT-s-OFDM NR signal, SCS 120 kHz, 16 RB |
| 100,200,400 | 120 | G-FR2-A1-2 | EISREFSENS\_50M+ 3 | EISREFSENS\_50M + 13 | DFT-s-OFDM NR signal, SCS 120 kHz, 32 RB |
| NOTE 1: Wanted and interfering signal are placed adjacently around Fc, where the Fc is defined for *BS channel bandwidth* of the wanted signal according to the table 5.4.2.2-1. The aggregated wanted and interferer signal shall be centred in the BS channel bandwidth of the wanted signal.  NOTE 2: EISREFSENS\_50M is defined in subclause 10.3.3. | | | | | |

Table 10.9.3-2: (Void)

Table 10.9.3-3: (Void)

# 11 Radiated performance requirements

Detailed structure of the clause is TBD.

Annex A (normative):  
Reference measurement channels

# A.1 Fixed Reference Channels for receiver sensitivity and in-channel selectivity (QPSK, R=1/3)

The parameters for the reference measurement channels are specified in table A.1-1 for FR1 receiver sensitivity and in-channel selectivity.

The parameters for the reference measurement channels are specified in table A.1-2 for FR2 receiver sensitivity and in-channel selectivity.

Table A.1-1: FRC parameters for FR1 receiver sensitivity and in-channel selectivity

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Reference channel | G-FR1-A1-1 | G-FR1-A1-2 | G-FR1-A1-3 | G-FR1-A1-4 | G-FR1-A1-5 | G-FR1-A1-6 | G-FR1-A1-7 | G-FR1-A1-8 | G-FR1-A1-9 |
| Subcarrier spacing (kHz) | 15 | 30 | 60 | 15 | 30 | 60 | 15 | 30 | 60 |
| Allocated resource blocks | 25 | 11 | 11 | 106 | 51 | 24 | 15 | 6 | 6 |
| CP-OFDM Symbols per slot (Note 1) | 12 | 12 | 12 | 12 | 12 | 12 | 12 | 12 | 12 |
| Modulation | QPSK | QPSK | QPSK | QPSK | QPSK | QPSK | QPSK | QPSK | QPSK |
| Code rate (Note 2) | 1/3 | 1/3 | 1/3 | 1/3 | 1/3 | 1/3 | 1/3 | 1/3 | 1/3 |
| Payload size (bits) | 2152 | 984 | 984 | 9224 | 4352 | 2088 | 1320 | 528 | 528 |
| Transport block CRC (bits) | 16 | 16 | 16 | 24 | 24 | 16 | 16 | 16 | 16 |
| Code block CRC size (bits) | - | - | - | 24 | - | - | - | - | - |
| Number of code blocks - C | 1 | 1 | 1 | 2 | 1 | 1 | 1 | 1 | 1 |
| Coded block size (bits) | 2168 | 1000 | 1000 | 4648 | 4376 | 2104 | 1336 | 544 | 544 |
| Total number of bits per slot | 7200 | 3168 | 3168 | 30528 | 14688 | 6912 | 4320 | 1728 | 1728 |
| Total symbols per slot | 3600 | 1584 | 1584 | 15264 | 7344 | 3456 | 2160 | 864 | 864 |
| NOTE 1: *UL-DMRS-config-type* = 1 with *UL-DMRS-max-len* = 1, *UL-DMRS-add-pos* = 1 with = 2, = 11 as per Table 6.4.1.1.3-3 of TS 38.211 [5].  NOTE 2: MCS index 4 and target coding rate = 308/1024 are adopted to calculate payload size for receiver sensitivity and in-channel selectivity | | | | | | | | | |

Table A.1-2: FRC parameters for FR2 receiver sensitivity and in-channel selectivity

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Reference channel | G-FR2-A1-1 | G-FR2-A1-2 | G-FR2-A1-3 | G-FR2-A1-4 | G-FR2-A1-5 |
| Subcarrier spacing (kHz) | 60 | 120 | 120 | 60 | 120 |
| Allocated resource blocks | 66 | 32 | 66 | 33 | 16 |
| CP-OFDM Symbols per slot (Note 1) | 12 | 12 | 12 | 12 | 12 |
| Modulation | QPSK | QPSK | QPSK | QPSK | QPSK |
| Code rate (Note 2) | 1/3 | 1/3 | 1/3 | 1/3 | 1/3 |
| Payload size (bits) | 5632 | 2792 | 5632 | 2856 | 1416 |
| Transport block CRC (bits) | 24 | 16 | 24 | 16 | 16 |
| Code block CRC size (bits) | - | - | - | - | - |
| Number of code blocks - C | 1 | 1 | 1 | 1 | 1 |
| Coded block size (bits) | 5656 | 2808 | 5656 | 2872 | 1432 |
| Total number of bits per slot | 19008 | 9216 | 19008 | 9504 | 4608 |
| Total symbols per slot | 9504 | 4608 | 9504 | 4752 | 2304 |
| NOTE 1: *UL-DMRS-config-type* = 1 with *UL-DMRS-max-len* = 1, *UL-DMRS-add-pos* = 1 with = 2, = 11 as per Table 6.4.1.1.3-3 of TS 38.211 [5].  NOTE 2: MCS index 4 and target coding rate = 308/1024 are adopted to calculate payload size for receiver sensitivity and in-channel selectivity. | | | | | |

# A.2 Fixed Reference Channels for dynamic range (16QAM, R=2/3)

The parameters for the reference measurement channels are specified in table A.2-1 for dynamic range.

Table A.2-1: FRC parameters for dynamic range

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Reference channel | G-FR1-A2-1 | G-FR1-A2-2 | G-FR1-A2-3 | G-FR1-A2-4 | G-FR1-A2-5 | G-FR1-A2-6 |
| Subcarrier spacing (kHz) | 15 | 30 | 60 | 15 | 30 | 60 |
| Allocated resource blocks | 25 | 11 | 11 | 106 | 51 | 24 |
| CP-OFDM Symbols per slot (Note 1) | 12 | 12 | 12 | 12 | 12 | 12 |
| Modulation | 16QAM | 16QAM | 16QAM | 16QAM | 16QAM | 16QAM |
| Code rate (Note 2) | 2/3 | 2/3 | 2/3 | 2/3 | 2/3 | 2/3 |
| Payload size (bits) | 9224 | 4032 | 4032 | 38936 | 18960 | 8968 |
| Transport block CRC (bits) | 24 | 24 | 24 | 24 | 24 | 24 |
| Code block CRC size (bits) | 24 | - | - | 24 | 24 | 24 |
| Number of code blocks - C | 2 | 1 | 1 | 5 | 3 | 2 |
| Coded block size (bits) | 4648 | 4056 | 4056 | 7816 | 6352 | 4520 |
| Total number of bits per slot | 14400 | 6336 | 6336 | 61056 | 29376 | 13824 |
| Total symbols per slot | 3600 | 1584 | 1584 | 15264 | 7344 | 3456 |
| NOTE 1: *UL-DMRS-config-type* = 1 with *UL-DMRS-max-len* = 1, *UL-DMRS-add-pos* = 1 with = 2, = 11 as per Table 6.4.1.1.3-3 of TS 38.211 [5].  NOTE 2: MCS index 16 and target coding rate = 658/1024 are adopted to calculate payload size for dynamic range. | | | | | | |

Annex B (normative):  
Error Vector Magnitude (FR1)

# B.1 Reference point for measurement

The EVM shall be measured at the point after the FFT and a zero-forcing (ZF) equalizer in the receiver, as depicted in figure B.1-1 below.



Figure B.1-1: Reference point for EVM measurement

# B.2 Basic unit of measurement

The basic unit of EVM measurement is defined over one subframe (1ms) in the time domain and  subcarriers (180 kHz) in the frequency domain:



where

is the set of symbols with the considered modulation scheme being active within the subframe,

is the set of subcarriers within the  subcarriers with the considered modulation scheme being active in symbol *t*,

 is the ideal signal reconstructed by the measurement equipment in accordance with relevant Tx models,

 is the modified signal under test defined in B.3.

NOTE: Although the basic unit of measurement is one subframe, the equalizer is calculated over 10 subframe measurement periods to reduce the impact of noise in the reference symbols. The boundaries of the 10 subframe measurement periods need not be aligned with radio frame boundaries.

# B.3 Modified signal under test

Implicit in the definition of EVM is an assumption that the receiver is able to compensate a number of transmitter impairments. The signal under test is equalised and decoded according to:



where

 is the time domain samples of the signal under test.

 is the sample timing difference between the FFT processing window in relation to nominal timing of the ideal signal. Note that two timing offsets are determined, the corresponding EVM is measured and the maximum used as described in B.7.

 is the RF frequency offset.

 is the phase response of the TX chain.

 is the amplitude response of the TX chain.

# B.4 Estimation of frequency offset

The observation period for determining the frequency offset  shall be [1 ms].

# B.5 Estimation of time offset

## B.5.1 General

The observation period for determining the sample timing difference shall be 1 ms.

In the following  represents the middle sample of the EVM window of length  (defined in B.5.2) or the last sample of the first window half if is even.

is estimated so that the EVM window of length  is centred on the measured cyclic prefix of the considered OFDM symbol. To minimize the estimation error the timing shall be based on the primary synchronization signal and reference signals. To limit time distortion of any transmit filter the reference signals in the 1 outer RBs are not taken into account in the timing estimation

Two values for  are determined:

 and

 where  if  is odd and  if is even.

When the cyclic prefix length varies from symbol to symbol (e.g. time multiplexed MBMS and unicast) then  shall be further restricted to the subset of symbols with the considered modulation scheme being active and with the considered cyclic prefix length type.

## B.5.2 Window length

Table B.5.2-1, B.5.2-2, B.5.2-3 below specify the EVM window length (*W*) for normal CP.

Table B.5.2-1: EVM window length for normal CP for NR, FR1, 15kHz SCS

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Channel Bandwidth (MHz) | FFT size | Cyclic prefix length for symbols 1‑6 and 8-13 in FFT samples | EVM window length *W* | Ratio of *W* to total CP length for symbols 1‑6 and 8-13(Note 1) (%) |
| 5 | 512 | 36 | 14 | 40 |
| 10 | 1024 | 72 | 28 | 40 |
| 15 | 1536 | 108 | 44 | 40 |
| 20 | 2048 | 144 | 58 | 40 |
| 25 | 2048 | 144 | 72 | 50 |
| 30 | 3072 | 216 | 108 | 50 |
| 40 | 4096 | 288 | 144 | 50 |
| 50 | 4096 | 288 | 144 | 50 |
| Note 1: These percentages are informative and apply to a slot’s symbols 1 to 6 and 8 to 13. Symbols 0 and 7 have a longer CP and therefore a lower percentage. | | | | |

Table B.5.2-2: EVM window length for normal CP for NR, FR1, 30kHz SCS

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Channel Bandwidth (MHz) | FFT size | Cyclic prefix length for symbols 1‑13 in FFT samples | EVM window length *W* | Ratio of *W* to total CP length for symbols 1‑13(Note 1) (%) |
| 5 | 256 | 18 | 8 | 40 |
| 10 | 512 | 36 | 14 | 40 |
| 15 | 768 | 54 | 22 | 40 |
| 20 | 1024 | 72 | 28 | 40 |
| 25 | 1024 | 72 | 36 | 50 |
| 30 | 1536 | 108 | 54 | 50 |
| 40 | 2048 | 144 | 72 | 50 |
| 50 | 2048 | 144 | 72 | 50 |
| 60 | 3072 | 216 | 130 | 60 |
| 70 | 3072 | 216 | 130 | 60 |
| 80 | 4096 | 288 | 172 | 60 |
| 90 | 4096 | 288 | 172 | 60 |
| 100 | 4096 | 288 | 172 | 60 |
| Note 1: These percentages are informative and apply to a slot’s symbols 1 through 13. Symbol 0 has a longer CP and therefore a lower percentage. | | | | |

Table B.5.2-3: EVM window length for normal CP for NR (60kHz SCS)

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Channel Bandwidth (MHz) | FFT size | Cyclic prefix length for symbols 1‑13 in FFT samples | EVM window length *W* | Ratio of *W* to total CP length for symbols 1‑13(Note 1) (%) |
| 10 | 256 | 18 | 8 | 40 |
| 15 | 384 | 27 | 11 | 40 |
| 20 | 512 | 36 | 14 | 40 |
| 25 | 512 | 36 | 18 | 50 |
| 30 | 768 | 54 | 26 | 50 |
| 40 | 1024 | 72 | 36 | 50 |
| 50 | 1024 | 72 | 36 | 50 |
| 60 | 1536 | 108 | 64 | 60 |
| 70 | 1536 | 108 | 64 | 60 |
| 80 | 2048 | 144 | 86 | 60 |
| 90 | 2048 | 144 | 86 | 60 |
| 100 | 2048 | 144 | 86 | 60 |
| Note 1: These percentages are informative and apply to a slot’s symbols 1 through 13. Symbol 0 may have a longer CP and therefore a lower percentage. | | | | |

Table B.5.2-4 below specifies the EVM window length (*W*) for extended CP. The number of CP samples excluded from the EVM window is the same as for normal CP length.

Table B.5.2-4: EVM window length for extended CP for NR, FR1, 60kHz SCS

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Channel Bandwidth (MHz) | FFT size | Cyclic prefix length in FFT samples | EVM window length *W* | Ratio of *W* to total CP length (Note 1) (%) |
| 10 | 256 | 64 | 54 | 84 |
| 15 | 384 | 96 | 80 | 83 |
| 20 | 512 | 128 | 106 | 83 |
| 25 | 512 | 128 | 110 | 85.9 |
| 30 | 768 | 192 | 164 | 85.9 |
| 40 | 1024 | 256 | 220 | 85.9 |
| 50 | 1024 | 256 | 220 | 85.9 |
| 60 | 1536 | 384 | 340 | 88.6 |
| 70 | 1536 | 384 | 340 | 88.7 |
| 80 | 2048 | 512 | 454 | 88.7 |
| 90 | 2048 | 512 | 454 | 88.7 |
| 100 | 2048 | 512 | 454 | 88.7 |
| Note 1: These percentages are informative. | | | | |

# B.6 Estimation of TX chain amplitude and frequency response parameters

The equalizer coefficients and  are determined as follows:

1. Calculate the complex ratios (amplitude and phase) of the post-FFT acquired signal  and the post-FFT Ideal signal , for each reference symbol, over [10 subframes]. This process creates a set of complex ratios:



Where the post-FFT Ideal signal  is constructed by the measuring equipment according to the relevant TX specifications, using the following parameters: restricted content: i.e. nominal Reference Symbols and the Primary Synchronisation Channel, (all other modulation symbols are set to 0 V), nominal carrier frequency, nominal amplitude and phase for each applicable subcarrier, nominal timing.

2. Perform time averaging at each reference signal subcarrier of the complex ratios, the time-averaging length is [10 subframes]. Prior to the averaging of the phases  an unwrap operation must be performed according to the following definition: The unwrap operation corrects the radian phase angles of  by adding multiples of 2\*PI when absolute phase jumps between consecutive time instances ti are greater then or equal to the jump tolerance of PI radians. This process creates an average amplitude and phase for each reference signal subcarrier (i.e. every second subcarrier with the exception of the reference subcarrier spacing across the DC subcarrier).





Where *N* is the number of reference symbol time-domain locations *ti* from Z’(f,t) for each reference signal subcarrier .

3. The equalizer coefficients for amplitude and phase  and  at the reference signal subcarriers are obtained by computing the moving average in the frequency domain of the time-averaged reference signal subcarriers, i.e. every second subcarrier. The moving average window size is 19. For reference subcarriers at or near the edge of the channel the window size is reduced accordingly as per figure B.6-1.

4. Perform linear interpolation from the equalizer coefficients  and  to compute coefficients ,  for each subcarrier.



Figure B.6-1: Reference subcarrier smoothing in the frequency domain

# B.7 Averaged EVM

EVM is averaged over all allocated downlink resource blocks with the considered modulation scheme in the frequency domain, and a minimum of 10 downlink subframes:

For FDD the averaging in the time domain equals the 10 subframe duration of the 10 subframes measurement period from the equalizer estimation step.

For TDD the averaging in the time domain can be calculated from subframes of different frames and should have a minimum of 10 subframes averaging length. TDD special fields (DwPTS and GP) are not included in the averaging.



Where *Ni* is the number of resource blocks with the considered modulation scheme in subframe *i* and *Ndl* is the number of allocated downlink subframes in one frame.

The EVM requirements shall be tested against the maximum of the RMS average at the window W extremities of the EVM measurements:

Thus  is calculated using in the expressions above and is calculated using  in the  calculation.

Thus we get:



The averaged EVM with the minimum averaging length of at least 10 subframes is then achieved by further averaging of the  results

, 

Annex C (normative):   
Error Vector Magnitude (FR2)

# C.1 Reference point for measurement

The EVM shall be measured at the point after the FFT and a zero-forcing (ZF) equalizer in the receiver, as depicted in figure C.1-1 below.



Figure C.1-1: Reference point for EVM measurement

# C.2 Basic unit of measurement

The basic unit of EVM measurement is defined over one subframe (1ms) in the time domain and  subcarriers (180kHz) in the frequency domain:



where

is the set of symbols with the considered modulation scheme being active within the subframe,

is the set of subcarriers within the  subcarriers with the considered modulation scheme being active in symbol *t*,

 is the ideal signal reconstructed by the measurement equipment in accordance with relevant Tx models,

 is the modified signal under test defined in C.3.

[Note: Although the basic unit of measurement is one subframe, the equalizer is calculated over 10 subframe measurement periods to reduce the impact of noise in the reference symbols. The boundaries of the 10 subframe measurement periods need not be aligned with radio frame boundaries.]

# C.3 Modified signal under test

Implicit in the definition of EVM is an assumption that the receiver is able to compensate a number of transmitter impairments. The signal under test is equalised and decoded according to:



where

 is the time domain samples of the signal under test.

 is the sample timing difference between the FFT processing window in relation to nominal timing of the ideal signal. Note that two timing offsets are determined, the corresponding EVM is measured and the maximum used as described in C.7.

 is the RF frequency offset.

 is the phase response of the TX chain.

 is the amplitude response of the TX chain.

# C.4 Estimation of frequency offset

The observation period for determining the frequency offset  shall be 1 ms.

# C.5 Estimation of time offset

## C.5.1 General

The observation period for determining the sample timing difference shall be 1 ms.

In the following  represents the middle sample of the EVM window of length  (defined in C.5.2) or the last sample of the first window half if is even.

is estimated so that the EVM window of length  is centred on the measured cyclic prefix of the considered OFDM symbol. To minimize the estimation error the timing shall be based on the primary synchronization signal and reference signals. To limit time distortion of any transmit filter the reference signals in the 1 outer RBs are not taken into account in the timing estimation

Two values for  are determined:

 and

 where  if  is odd and  if is even.

When the cyclic prefix length varies from symbol to symbol (e.g. time multiplexed MBMS and unicast) then  shall be further restricted to the subset of symbols with the considered modulation scheme being active and with the considered cyclic prefix length type.

## C.5.2 Window length

Table C.5.2-1 and Table C.5.2-2 below specify the EVM window length (*W*) for normal CP for FR2 for normal CP.

Table C.5.2-1: EVM window length for normal CP for NR, FR2, 60 kHz SCS

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Channel Bandwidth (MHz) | FFT size | Cyclic prefix length for symbols 1-13 in FFT samples | EVM window length W | Ratio of *W* to total CP length for symbols 1‑13(Note 1) (%) |
| 50 | 1024 | 72 | 36 | 50 |
| 100 | 2048 | 144 | 72 | 50 |
| 200 | 4096 | 288 | 144 | 50 |
| Note 1: These percentages are informative and apply to a slot’s symbols 1 through 13. Symbol 0 may have a longer CP and therefore a lower percentage. | | | | |

Table C.5.2-2: EVM window length for normal CP for NR, FR2, 120 kHz SCS

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Channel Bandwidth (MHz) | FFT size | Cyclic prefix length for symbols 1-13 in FFT samples | EVM window length W | Ratio of *W* to total CP length for symbols 1‑13(Note 1) (%) |
| 50 | 512 | 36 | 18 | 50 |
| 100 | 1024 | 72 | 36 | 50 |
| 200 | 2048 | 144 | 72 | 50 |
| 400 | 4096 | 288 | 144 | 50 |
| Note 1: These percentages are informative and apply to a slot’s symbols 1 through 13. Symbol 0 may have a longer CP and therefore a lower percentage. | | | | |

Table C.5.2-3 below specifies the EVM window length (*W*) for extended CP. The number of CP samples excluded from the EVM window is the same as for normal CP length.

Table C.5.2-3: EVM window length for extended CP for NR, FR2, 60 kHz SCS

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Channel Bandwidth (MHz) | FFT size | Cyclic prefix length in FFT samples | EVM window length W | Ratio of *W* to total CP length (Note 1) (%) |
| 50 | 1024 | 256 | 220 | 85.9 |
| 100 | 2048 | 512 | 440 | 85.9 |
| 200 | 4096 | 1024 | 880 | 85.9 |
| Note 1: These percentages are informative. | | | | |

# C.6 Estimation of TX chain amplitude and frequency response parameters

The equalizer coefficients and  are determined as follows:

1. Calculate the complex ratios (amplitude and phase) of the post-FFT acquired signal  and the post-FFT Ideal signal , for each reference symbol, over [10 subframes]. This process creates a set of complex ratios:



Where the post-FFT Ideal signal  is constructed by the measuring equipment according to the relevant TX specifications, using the following parameters: restricted content: i.e. nominal Demodulation Reference.

2. Perform time averaging at each reference signal subcarrier of the complex ratios, the time-averaging length is [10 subframes]. Prior to the averaging of the phases  an unwrap operation must be performed according to the following definition: The unwrap operation corrects the radian phase angles of  by adding multiples of 2\*PI when absolute phase jumps between consecutive time instances ti are greater then or equal to the jump tolerance of PI radians. This process creates an average amplitude and phase for each reference signal subcarrier (i.e. every third subcarrier with the exception of the reference subcarrier spacing across the DC subcarrier).

Where *N* is the number of reference symbol time-domain locations *ti* from Z’(f,t) for each reference signal subcarrier .

3. The equalizer coefficients for amplitude and phase  and  at the reference signal subcarriers are obtained by computing the moving average in the frequency domain of the time-averaged reference signal subcarriers, i.e. every second subcarrier. The moving average window size is 19. For reference subcarriers at or near the edge of the channel the window size is reduced accordingly as per figure C.6-1.

4. Perform linear interpolation from the equalizer coefficients  and  to compute coefficients ,  for each subcarrier. To account for the common phase error (CPE) experienced in millimetre wave frequencies, , in the estimated coefficients contain phase rotation due to the CPE, , in addition to the phase of the equalizer coefficient , that is

For OFDM symbols where PT-RS does not exist, can be estimated by performing linear interpolation from neighboring symbols where PT-RS is present.

In order to separate component of the CPE,, contained in, , estimation and compensation of the CPE needs to follow. is the common phase error (CPE), that rotates all the subcarriers of the OFDM symbol at time .

Estimate of the CPE, , at OFDM symbol time, , can then be obtained from using the PT-RS employing the expression

In the above equation, is the set of subcarriers where PT-RS are mapped, where is the set of OFDM symbols where PT-RS are mapped while and are is the post-FFT acquired signal and the ideal PT-RS signal respectively. That is, estimate of the CPE at a given OFDM symbol is obtained from frequency correlation of the complex ratios at the PT-RS positions with the conjugate of the estimated equalizer complex coefficients. The estimated CPE can be subtracted from to remove influence of the CPE, and obtain estimate of the complex coefficient’s phase

(t)



Figure C.6-1: Reference subcarrier smoothing in the frequency domain

# C.7 Averaged EVM

EVM is averaged over all allocated downlink resource blocks with the considered modulation scheme in the frequency domain, and a minimum of [10] downlink subframes:

For FDD the averaging in the time domain equals the [10] subframe duration of the [10] subframes measurement period from the equalizer estimation step.

For TDD the averaging in the time domain can be calculated from subframes of different frames and should have a minimum of [10] subframes averaging length. TDD special fields (DwPTS and GP) are not included in the averaging.



Where *Ni* is the number of resource blocks with the considered modulation scheme in subframe *i* and *Ndl* is the number of allocated downlink subframes in one frame.

The EVM requirements shall be tested against the maximum of the RMS average at the window W extremities of the EVM measurements:

Thus  is calculated using in the expressions above and is calculated using  in the  calculation.

Thus, we get:



The averaged EVM with the minimum averaging length of at least [10] subframes is then achieved by further averaging of the  results

, 

Annex D (normative):   
Characteristics of the interfering signals

The interfering signal shall be a PUSCH containing data and DMRS symbols. Normal cyclic prefix is used. The data content shall be uncorrelated to the wanted signal and modulated according to clause 6 of TS38.211 [9]. Mapping of PUSCH modulation to receiver requirement are specified in table D-1.

Table D-1: Modulation of the interfering signal

|  |  |
| --- | --- |
| Receiver requirement | Modulation |
| In-channel selectivity | 16QAM |
| Adjacent channel selectivity and narrow-band blocking | QPSK |
| General blocking | QPSK |
| Receiver intermodulation | QPSK |

Annex E (normative):   
Calculation of EIRP based on manufacturer declarations and site-specific conditions

# E.1 Calculation of EIRP based on manufacturer declarations and site specific conditions

Some regional requirements are defined per effective isotropic radiated power (EIRP), which is a combination of the transmitted power (or in some cases spectral density) and the effective antenna gain which is a site-specific condition. Such requirements may be applied per antenna, per cell, or per base station. It shall be noted that the definition of BS or cell may differ between regulations. Where the regulator prescribes a method for EIRP calculation, that method supersedes the proposed assessment in this annex.

When 3GPP specifications mandate manufacturer declarations of the (conducted) output power or power spectral density per connector for the base station under the reference conditions stated as a way to accommodate the referred regional requirements without putting requirements on the local site conditions.

For the case when the base station manufacturer maximum output power or unwanted emission declarations apply per antenna connector, the maximum EIRP can be estimated using the following formulas:

EIRP per antenna: PEIRP = PTx + GAnt

EIRP per cell or per BS: PEIRPcell =10 \* log (∑10PEIRPn/10)

In case the EIRP requirement is set per polarisation, the summation shall be made per polarisation.

"PEIRP"is the resulting effective isotropic radiated power (or radiated power spectral density) resulting from the power (or power spectral density) declared by the manufacturer in dBm (or dBm/measurement BW).

"PTx" is the conducted power or power spectral density declared by the manufacturer in dBm (or dBm/measurement BW)

"GAnt" is the effective antenna gain, calculated as the antenna gain (dBi) minus the loss of the site infrastructure connecting the BS antenna connector with the antenna (dB) for the applied frequency. The antenna nominal gain is only applicable within a certain frequency range.

"n" is the index number of the co-located antennas illuminating the same cell. PEIRPn is the PEIRP of the n:th antenna.

"Cell" is in this annex used in the sense that it is the limited geographical area covered by the carrier transmitted from one site.

Annex F (informative):  
Change history

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Change history** | | | | | | | |
| **Date** | **Meeting** | **TDoc** | **CR** | **Rev** | **Cat** | **Subject/Comment** | **New version** |
| 2017-05 | RAN4#83 | R4-1704619 |  |  |  | Specification skeleton | 0.0.1 |
| 2017-05 | RAN4#83 | R4-1705332 |  |  |  | Specification skeleton (revised) | 0.0.2 |
| 2017-05 | RAN4#83 | R4-1706228 |  |  |  | Specification skeleton (revised) | 0.0.3 |
| 2017-07 | RAN4-NR AH #2 | R4-1706983 |  |  |  | Agreed Text Proposal in RAN4 NR AH #2:  **R4-1706955**, "TP to TS 38.104: BS classification for NR BS" | 0.1.0 |
| 2018-08 | RAN4#84 | R4-1709212 |  |  |  | Agreed Text Proposal in RAN4 #84:  **R4-1708872**, "TP to TS 38.104 BS transmitter transient period" | 0.2.0 |
| 2018-10 | RAN4#84bis | R4-1711970 |  |  |  | Agreed Text Proposal in RAN4 #84bis:  **R4-1710199**, "TP for TS 38.104: out of band blocking (10.4)"  **R4-1710587**, "TP for TS 38.104: Relationship with other core specifications (4.1)"  **R4-1710588**, "TP for TS 38.104: Relationship between minimum requirements and test requirements (4.2)"  **R4-1710589**, "TP for TS 38.104: Regional requirements (4.5)"  **R4-1710591**, "TP for TS 38.104: Conducted transmitter characteristics (general) (6.1)"  **R4-1710593**, "TP for TS 38.104: Operating band unwanted emissions (conducted) (6.6.4)"  **R4-1710594**, "TP for TS 38.104: Conducted receiver characteristics (General) (7.1)"  **R4-1710595**, "TP for TS 38.104: Radiated transmitter characteristics (General) (9.1)"  **R4-1710598**, "TP for TS 38.104: Radiated receiver characteristics (General) (10.1)"  **R4-1711325**, "TP to TS38.104: OTA Output power dynamics (9.4)"  **R4-1711363**, "TP to TS 38.104 - Occupied bandwidth (6.6.2)"  **R4-1711745**, "TP to TS 38.104 - Conducted and radiated requirement reference points (4.3)"  **R4-1711746**, "TP for TS 38.104: Adding applicability table to subclause 4.6"  **R4-1711747**, "TP for TS 38.104: Operating bands and channel arrangements. (5)"  **R4-1711748**, "TP to TS38.104: conducted NR BS output power (6.2)"  **R4-1711750**, "TP for TS 38.104: Transmit ON/OFF power (6.4)"  **R4-1711753**, "TP for TS 38.104: Time alignment error requirements (6.5)"  **R4-1711754**, "TP for TS 38.104: Unwanted emissions, General (Conducted) (6.6.1)"  **R4-1711755**, "TP to TS 38.104: Occupied bandwidth for FR1 and FR2 NR BS (9.7)"  **R4-1711756**, "TP to TS 38.104: Transmitter spurious emissions (conducted) (6.6.5)"  **R4-1711757**, "TP for TS 38.104:Conducted BS transmitter intermodulation for FR1 (section 6.7)"  **R4-1711758**, "TP to TS 38.104: Reference Sensitivity (conducted) (7.2)"  **R4-1711759**, "TP to TS 38.104: NR BS conducted ACLR requirement in FR1 (6.6.3)"  **R4-1711760**, "TP to TS38.104: conducted NR BS receiver spurious emissions (7.6)"  **R4-1711761**, "TP to TS38.104: Radiated NR BS transmit power; FR1 (9.2)"  **R4-1711762**, "TP to TS38.104: OTA base station output power, FR1 (9.3)"  **R4-1711763**, "TP for TS 38.104: OTA Transmit ON/OFF power (9.5)"  **R4-1711764**, "TP to TS 38.104 - OTA ACLR"  **R4-1711765**, "TP for TS 38.104: OTA Operating band unwanted emissions and Spectrum emissions mask (9.7.4)"  **R4-1711766**, "TP for TS 38.104: OTA Spurious emission (9.7.5)"  **R4-1711767**, "TP for TS 38.104: Adding specification text for OTA TX IMD requirement in subclause 9.8"  **R4-1711768**, "TP to TS 38.104: OTA Sensitivity (10.2)"  **R4-1711771**, "TP to TS38.104: OTA receiver spurious emissions, FR1 (10.7)"  **R4-1711772**, "TP to TS 38.104: Receiver Intermodulation (10.8)"  **R4-1711811**, "TP to TS 38.104: NR BS conducted in-band selectivity and blocking requirements in FR1 (7.4)"  **R4-1711950**, "TP to TS 38.104: Modulation Quality Skeleton (6.5)"  **R4-1711951**, "TP to TS38.104: frequency error for FR1 NR BS (6.5&9.6)"  **R4-1711952**, "TP to TS 38.104: OTA reference sensitivity (10.3)" | 0.3.0 |
| 2017-11 | RAN4#84bis | R4-1711971 |  |  |  | Alignment of structure, terminology, and definitions between clauses. | 0.4.0 |
| 2017-12 | RAN4#85 | R4-1714544 |  |  |  | Agreed Text Proposal in RAN4 #85:  **R4-1712614**, "TP to TS 38.104 - OTA sensitivity (10.2)"  **R4-1712648**, "TP to TS 38.104: corrections for the applicability of "BS type" and "requirement set" definitions"  **R4-1712964**, "TP for TS 38.104: out of band blocking (7.5)"  **R4-1713631**, "TP to 38.104 on introduction of n71"  **R4-1713632**, "TP to 38.104, clause 4.7 (Requirements for contiguous and non-contiguous spectrum)"  **R4-1713633**, "TP to 38.104, clause 4.8 (Requirements for BS capable of multi-band operation)"  **R4-1713634**, "TP to 38.104, clause 6.6.4.2.6 (basic limits for additional requirements for operating band unwanted emissions)"  **R4-1714116**, "TP to TS 38.104: Revision of the TRP definition"  **R4-1714117**, "TP to TS 38.104: Radiated NR BS transmit power; 2-O (9.2.3)"  **R4-1714121**, "TP to TS 38.104: OTA Output power dynamics (9.4)"  **R4-1714125**, "TP to TS 38.104 v0.4.0: OTA TDD Off power"  **R4-1714127**, "TP for TS 38.104: OTA frequency error (9.6.1)"  **R4-1714129**, "TP to TS 38.104: NR BS conducted CACLR requirements in FR1 (6.6.3)"  **R4-1714134**, "TP to TS 38.104v0.4.0: Absolute levels for FR2 ACLR absolute levels for NR BS"  **R4-1714136**, "TP for TS 38.104: Update of OTA TX IM requirement for sub-clause 4.9 and sub-clause 9.8"  **R4-1714141**, "TP to TS 38.104: Reference Sensitivity (conducted) (7.2)"  **R4-1714142**, "TP to TS 38.104: NR BS FRCs for receiver requirements"  **R4-1714150**, "TP to TS 38.104 - OTA out of band blocking FR1 (10.6)"  **R4-1714306**, "TP for TS 38.104: Adding of TRP in terminology in clause 3"  **R4-1714307**, "TP to TS 38.104 - Conducted and radiated requirement reference points (4.3)"  **R4-1714308**, "TP for TS 38.104: Base station classes (4.4)"  **R4-1714310**, "TP to TS 38.104: Directional and TRP requirements identification (directional vs. TRP)"  **R4-1714312**, "TP for TS 38.104: Update of applicability table in sub-clause 4.6"  **R4-1714313**, "TP to TS 38.104: Operating bands (5.1-5.3)"  R4-1714315, "TP to TS38.104: frequency error for NR BS (6.5&9.6)"  **R4-1714316**, "TP for TS 38.104: Adding text for subclause 6.5.2 Modulation quality"  **R4-1714317**, "TP to TS 38.104: Dynamic Range for FR1 (conducted)"  **R4-1714318**, "TP to TS38.104: ICS requirement (7.8&10.9)"  **R4-1714319**, "TP for TS 38.104: Adding text for subclause 9.6.4 Modulation quality"  **R4-1714320**, "TP for TS 38.104: OTA Spurious emission (9.7.5)"  **R4-1714321**, "TP for TS 38.104: OTA Dynamic range (10.4)"  **R4-1714390**, "TP to TS 38.104: FR2 RX IM OTA, 10.8.3"  **R4-1714428**, "TP to TS 38.104 v0.4.0: Time alignment for CA"  **R4-1714430**, "TP to TS 38.104: Transmitter spurious emissions (conducted) (6.6.5)"  **R4-1714432**, "TP to TS 38.104: Output Power Dynamics for FR1 (conducted)"  **R4-1714433**, "TP to TS 38.104: OTA Rx spurious emissions for BS type O 2 (10.7.3)"  **R4-1714435**, "TP to TS 38.104: FR2 REFSENS"  **R4-1714437**, "TP for TS 38.104: Conducted Adjacent Channel Leakage Power Ratio (ACLR) (6.6.3)"  **R4-1714439**, "TP for TS 38.104: Receiver spurious emission (7.6)"  **R4-1714476**, "TP to TR 38.104: Channel arrangement (5.4)"  **R4-1714493**, "TP for TS 38.104: Operating band unwanted emissions (6.6.4)"  **R4-1714515**, "TP for TS 38.104: OTA Out-of-band emissions (9.7.4)"  **R4-1714517**, "TP to TS 38.104: OTA base station output power, 2-O (9.3.3)"  **R4-1714518**, "TP to TS 38.104: ACS and blocking update"  **R4-1714520**, "Draft TP to TS 38.104: OTA In-band selectivity and blocking (10.5)"  **R4-1714525**, "TP to TS 38.104: FR1 RX IM conducted 7.7"  **R4-1714526**, "TP to TS 38.104: FR1 RX IM OTA 10.8.2" | 0.5.0 |
| 2017-12 | RAN#78 | RP-172268 |  |  |  | Presented to TSG RAN for approval. | 1.0.0 |
| 2017-12 | RAN#78 |  |  |  |  | Approved by plenary – Rel-15 spec under change control | 15.0.0 |
| 2018-03 | RAN#79 | RP-180264 | 0004 |  | F | TS 38.104 Combined updates (NSA) from RAN4 #86 and AH-1801 | 15.1.0 |
| 2018-06 | RAN#80 | RP-181076 | 0005 |  | F | TS 38.104 Combined updates (NSA) from RAN4 #86bis and RAN4 #87 | 15.2.0 |
| 2018-09 | RAN#81 | RP-181896 | 0008 |  | F | TS 38.104 Combined updates from RAN4 #88 | 15.3.0 |