#### 2025 제 6회 전자구조계산 여름학교



# Lab Session: Hartree-Fock Simulations

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### **Contents**

- Hartree-Fock Method
- II. Program usage
  - i. Program overview
  - ii. Input settings
  - iii. Calculation Results (energy properties & visualization)

#### III. Discussion

- i. Singe-electron atom
- ii. Many-electron atoms
- iii. Exchange-correlation
- iv. Koopmans' theorem

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#### Hartree-Fock method

#### Hartree-Fock (HF) equation

Adopting the separation of variables, the  $\Psi(r,\theta,\phi)$  can be decomposed as  $R_{nl}(r)Y_{lm}(\theta,\phi)$ . Then, one can define the radial differential equation as:

$$\left(-\frac{\hbar^2}{2m}\frac{d^2}{dr^2} + \frac{l(l+1)\hbar^2}{2mr^2} - \frac{Ze^2}{r} + \Phi(r) - \epsilon_{nl}\right)R_{nl}(r) = -F_{nl}(r)$$
Kinetic energy operator Coulomb interaction (J) Exchange interaction (K)

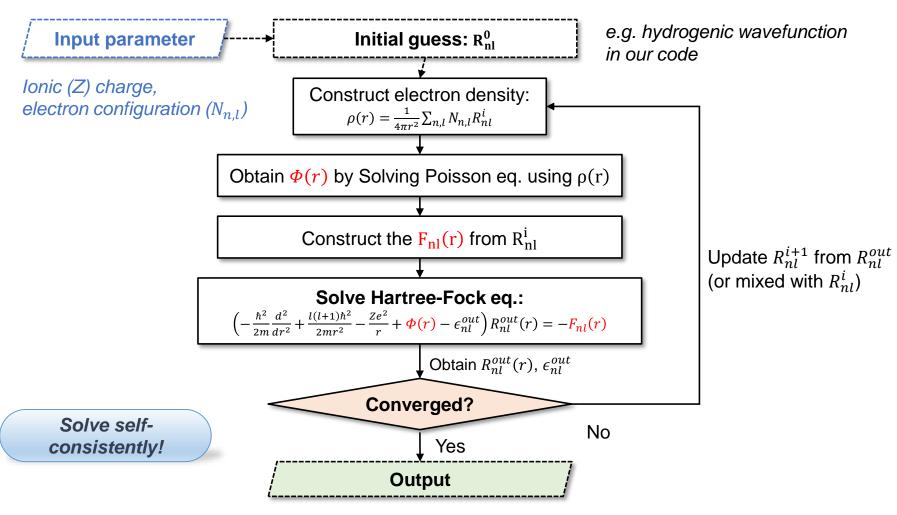
- lacktriangle Objective: Obtain the  $R_{nl}(r)$  and their eigenvalues  $\epsilon_{nl}$  by solving the HF equation
- ✓ Key idea:

Since the  $\Phi(r)$  &  $F_{nl}(r)$  terms are depend on the  $R_{nl}(r)$ ,

we should solve the HF equation "iteratively" → <u>self-consistent field (SCF) method</u>

### Self-consistent field approach

#### SCF algorithm for HF equations



e.g. Electron density, wavefunction, energy level, total energy, ..

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### **Program overview**

#### **Calculation platforms:**

#### (1) Google Colab (recommend):

https://colab.research.google.com/github/yhkimlab/ESCSchool/blob/main/exercise1/HartreeFock.ipynb

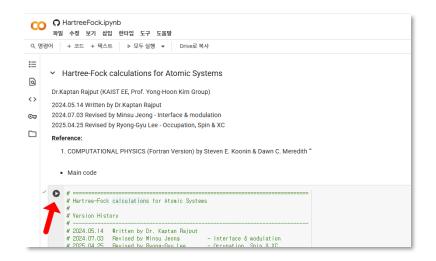
#### (2) Edison website:

https://nano2.edison.re.kr/

→ If you want to perform the calculation through the Edison website, you need to create an account first.

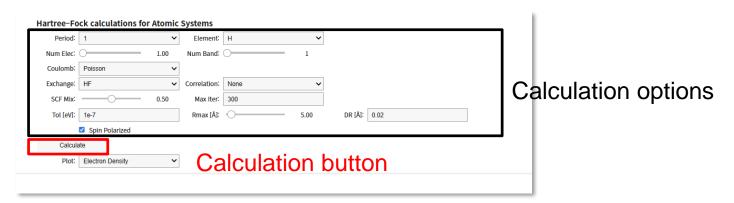
### **Program overview: (1) Colab**

- Enter the Google Colab website [<u>Link</u>]
- Run both the main code cell, and interface cell



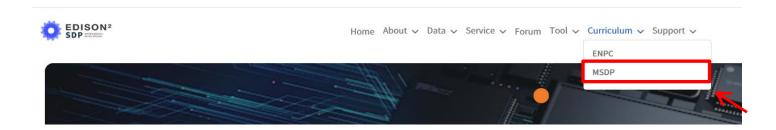


3. You will see the interactive Ipython widget to perform the calculations



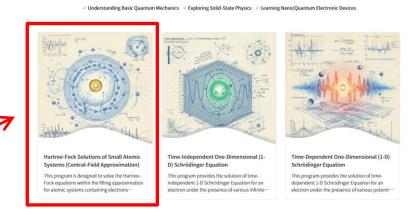
### Program overview: (2) Edison

- Login to Edison website [<u>Link</u>]
- 2. Enter to [Curriculum] / [MSDP] menu



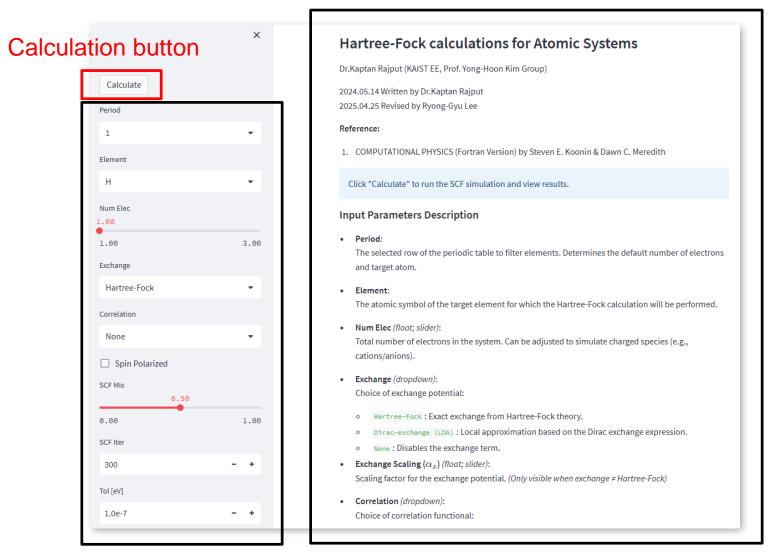
3. Choose "Hartree-Fock Solutions of Small Atomic System (Central-Field Approximation)" course

#### Explore the main topics covered in this course:



### Program overview: (2) Edison

#### Calculation results & descriptions



Calculation options

### Input settings



- Period: The selected row of the periodic table to filter elements.
- **Element:** The atomic symbol of the target element (Z)
- Num Elec: Total number of electrons in the system
   Note. Can be adjusted to simulate charged species (e.g., cations/anions)



- Exchange: Choice of exchange energy functional
   e.g. Hartree-Fock, homogeneous electron gas
- Correlation: Choice of correlation energy functional
   Note. Hartree-Fock method does not include correlation effect
- ☐ Spin Polarized
- Spin Polarized: Enables spin-dependent calculation e.g. For C atom, 1s<sup>2</sup> 2s<sup>2</sup> 2p<sup>2</sup> (non pol) → 1s<sup>1</sup>(up) 1s<sup>1</sup>(down) 2s<sup>1</sup> (up) 2s<sup>1</sup> (down) 2p<sup>2</sup> (up)

### Input settings



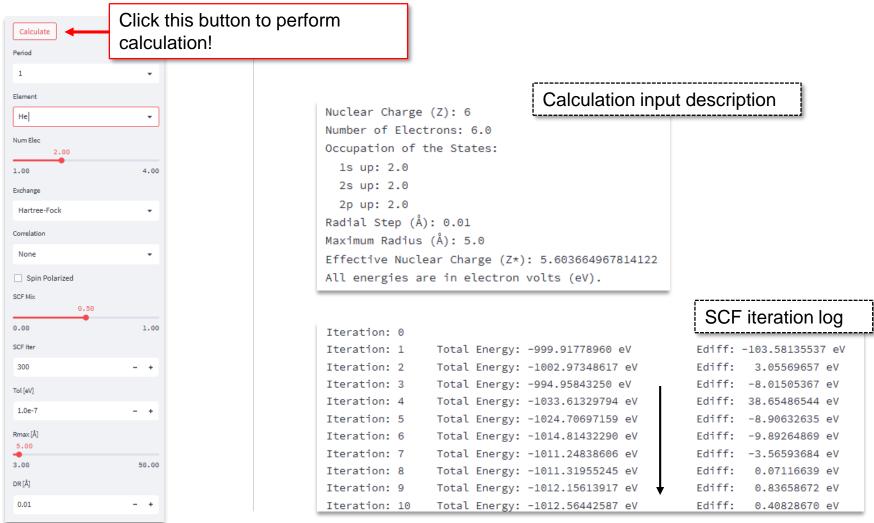
- SCF Mix: Mixing ratio for updating  $R_{nl}^i$  in SCF calculations e.g. SCF.Mix =  $0.1 \rightarrow R_{nl}^{i+1} = 0.1R_{nl}^{out} + 0.9R_{nl}^i$
- SCF Iter: Total number of SCF iterations
   Note. SCF.Iter = 0 → Non-SCF calculation (initial state)
- **Tol**: Convergence criteria for the total energy



- Rmax: Maximum radial distance in Angstrom unit for solving the radial equations
- **DR:** Radial grid spacing (resolution) in Angstrom unit.

### **Calculation output**

#### Example: He atom



Total energy decreases

### **Calculation output**

Example: He atom

Convergence reached at iteration: 25							Eigenvalues
States	Nocc	Ktot	Ven	Vee	Vex	Vto	t Etot
1s up	2.00	38.79614244	-91.62082869	55.74276529	-27.87330964	-63.75137304	4 -24.95523060
TOTALS							

#### TOTALS

NE : 2.0

#### Total energy properties

KTOT: 77.59228487234482 eV

VENTOT : -183.2416573799501 eV VEETOT : 55.742765291344526 eV VXCTOT : -27.873309638819347 eV

VENTOT + VEETOT + VEXTOT : -155.37220172742494 eV

Total Energy: -77.77991685508012 eV

**KTOT:** Kinetic energy [eV]

**VENTOT**: Ion-electron interaction energy [eV]

**VEETOT:** Electron-electron interaction energy (Coulomb) [eV] **VEXTOT:** Electron-electron interaction energy (exchange) [eV]

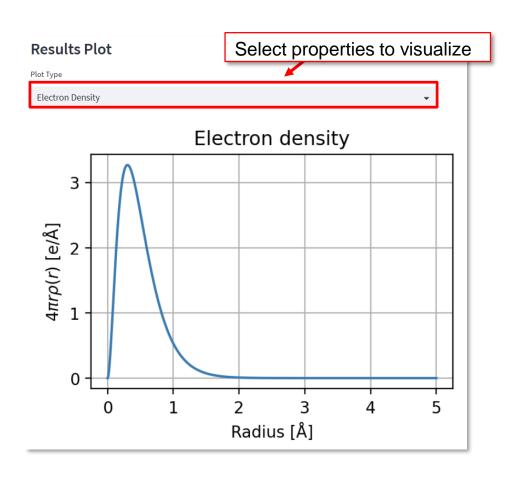
**VENTOT+VEETOT+VEXTOT:** Total effective potential energy [eV]

Total energy [eV]

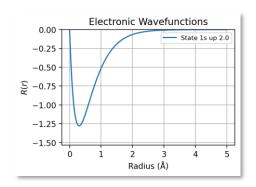
Final Converged Total Energy is: -77.77991685508012 eV

### **Calculation output**

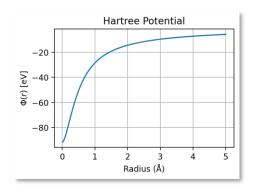
- Example: He atom
  - Electron density  $\rho(r)$



• Wavefunction  $R_{nl}(r)$ 



• Hartree potential  $\Phi(r)$ 



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Analytic solutions for hydrogen atom

$$\left(-\frac{\hbar^2}{2m}\frac{d^2}{dr^2} + \frac{l(l+1)\hbar^2}{2mr^2} - \frac{e^2}{r} - \epsilon_{nl}\right) R_{nl}(r) = 0$$

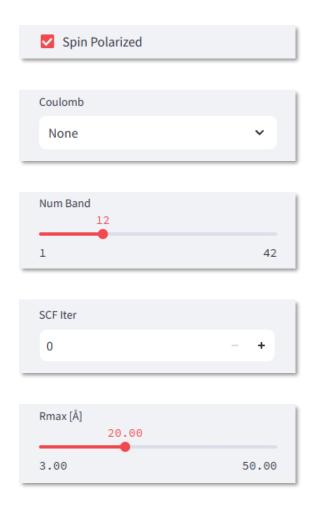
- → No electron-electron (e-e) interaction term → Can be analytically solved!
- Radial wavefunction  $R_{nl}$ :

$$R_{1s}(r) = 2\left(\frac{1}{a}\right)^{\frac{1}{2}} \cdot \frac{r}{a}e^{-\frac{r}{a}}$$

$$R_{2s}(r) = 2\left(\frac{1}{2a}\right)^{\frac{1}{2}} \cdot \left(1 - \frac{r}{2a}\right) \cdot \frac{r}{2a}e^{-\frac{r}{2a}}$$

$$R_{2p}(r) = 2\left(\frac{1}{3a}\right)^{\frac{1}{2}} \left(\frac{r}{2a}\right)^{2} e^{-\frac{r}{2a}}$$

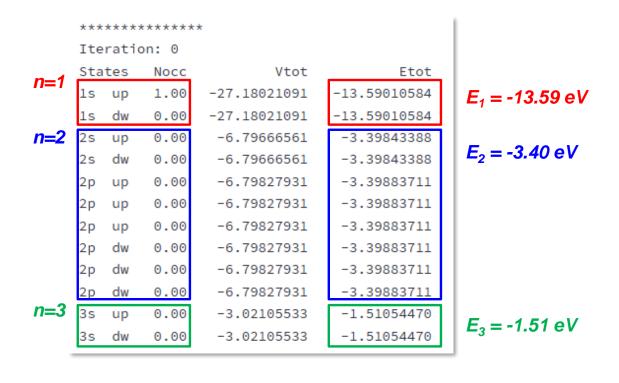
#### Exercise 1: H atom

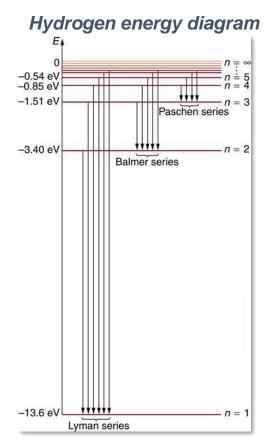


- (1) "Spin Polarized": Consider spin polarization (e.g. 1s → 1s-up 1s-down)
- (2) Coulomb "None": No electron-electron interaction → Exclude Coulomb & exchange terms
- (3) "Num Band = 12": Number of band to plot  $\rightarrow$  12 electrons states (1s<sup>1</sup> 1s<sup>1</sup> 2s<sup>2</sup> 2p<sup>6</sup> 3s<sup>2</sup>)

  Occupied Unoccupied
- (4) "SCF Iter = 0": Non self-consistent field calculation
- (5) "Rmax = 20.0 Å": Radial grid size. To cover spatially delocalized unoccupied orbital

- Exercise 1: H atom
- Results: Eigenvalues (single-particle energies)





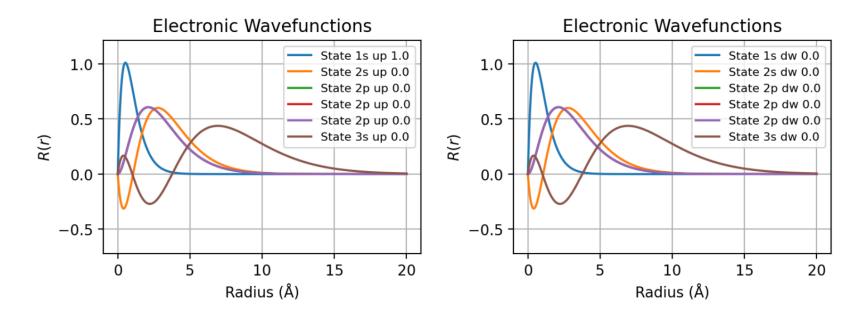
College Physics by OpenStax

Hydrogen energy level:

$$E_n = -\frac{m_e e^4}{2(4\pi\epsilon_0)^2 \hbar^2} \frac{1}{n^2} = 13.6 \text{ eV } \frac{1}{n^2}$$

Exercise 1: H atom

Results: Atomic wavefunctions



Radial nodes

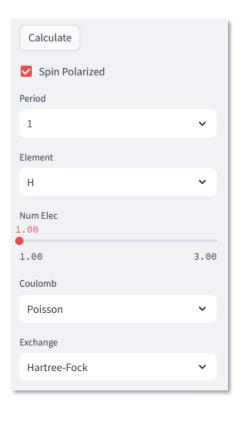
*Number of nodes* = 
$$n - l - 1$$

Orthogonality

$$0 = \int R_{n'l}^*(r) R_{nl}(r) dr$$

#### Exercise 1: H atom

Repeat the calculation with Coulomb "Poisson" = "Poisson" & Exchange = "Hartree-Fock". Then, examine the total energy properties, and compare the VEETOT (Hartree energy) and VEXTOT (exchange energy)



```
TOTALS

NE : 1.0

KTOT : 13.560381028126724 eV

VENTOT : -27.150347845570842 eV

VEETOT : 8.491855443685635 eV

VXCTOT : -8.492660406217787 eV

VENTOT + VEETOT + VEXTOT : -27.151152808102992 eV

Total Energy : -13.590771779976269 eV
```

✓ Cf. Hartree-Fock method is singleelectron self-interaction free

Ion-electron interactions (w/o interacting electrons)

$$\left(-\frac{\hbar^2}{2m}\frac{d^2}{dr^2} + \frac{l(l+1)\hbar^2}{2mr^2} - \frac{Ze^2}{r} - \epsilon_{nl}\right) R_{nl}(r) = 0$$

Radial wavefunction R<sub>nl</sub>:

Hydrogen (e=1, Z=1) atom

$$R_{1s}(r) = 2\left(\frac{1}{a}\right)^{\frac{1}{2}} \cdot \frac{r}{a}e^{-\frac{Z^*r}{a}}$$

$$R_{2s}(r) = 2\left(\frac{1}{2a}\right)^{\frac{1}{2}} \cdot \left(1 - \frac{r}{2a}\right) \cdot \frac{r}{2a}e^{-\frac{r}{2a}}$$

$$R_{2p}(r) = 2\left(\frac{1}{3a}\right)^{\frac{1}{2}} \left(\frac{r}{2a}\right)^2 e^{-\frac{r}{2a}}$$

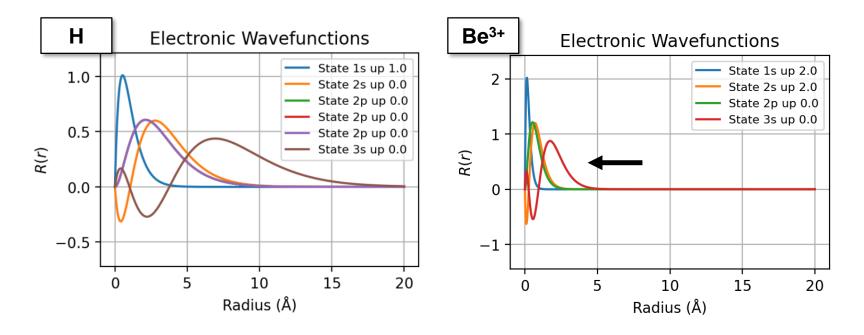
Hydrogenic (e=1, Z≥1) atoms

$$R_{1s}(r) = 2\left(\frac{Z^*}{a}\right)^{\frac{1}{2}} \cdot \frac{Z^*r}{a} e^{-\frac{Z^*r}{a}}$$

$$R_{2s}(r) = 2\left(\frac{Z^*}{2a}\right)^{\frac{1}{2}} \cdot \left(1 - \frac{Z^*r}{2a}\right) \cdot \frac{Z^*r}{2a} e^{-\frac{Z^*r}{2a}}$$

$$R_{2p}(r) = 2\left(\frac{Z^*}{3a}\right)^{\frac{1}{2}} \left(\frac{Z^*r}{2a}\right)^2 e^{-\frac{Z^*r}{2a}}$$

- Exercise 2-1: Be<sup>3+</sup> atom (non-interacting electrons)
  - → repeat "Exercise 1" for Be atom (now you can turn off the "Spin Polarized")
- Results: Atomic wavefunction



✓ Radial wavefunctions of **Be atom** are contracted due to the stronger ion–electron attraction, compared to the case of the hydrogen atom

- Exercise 2-1: Be<sup>3+</sup> atom (non-interacting electrons)
  - → repeat "Exercise 1" for Be atom
- > Results: Eigenvalues (single-particle energies)

$$E_1 = -216.29 \text{ eV}$$

$$E_2 = -54.30 \text{ eV}$$

$$E_3 = -24.15 \text{ eV}$$

• Energy level (Be: Z = 4):

$$E_n = -\frac{m_e e^4}{2(4\pi\epsilon_0)^2 \hbar^2} \frac{Z^2}{n^2}$$
  
= 217.6 eV  $\frac{1}{n^2}$ 

✓ Energy levels of Be<sup>+3</sup> atom become more deeper

Electron—electron interactions

Coulomb interaction (J)

Exchange interaction (K)

$$\left(-\frac{\hbar^{2}}{2m}\frac{d^{2}}{dr^{2}} + \frac{l(l+1)\hbar^{2}}{2mr^{2}} - \frac{Ze^{2}}{r} + \frac{\Phi(r)}{r} - \epsilon_{nl}\right)R_{nl}(r) = -F_{nl}(r)$$

Includes Coulomb repulsion and exchange effects

• Exercise 2-2: Be atom (setting "Rmax = 10 Å")

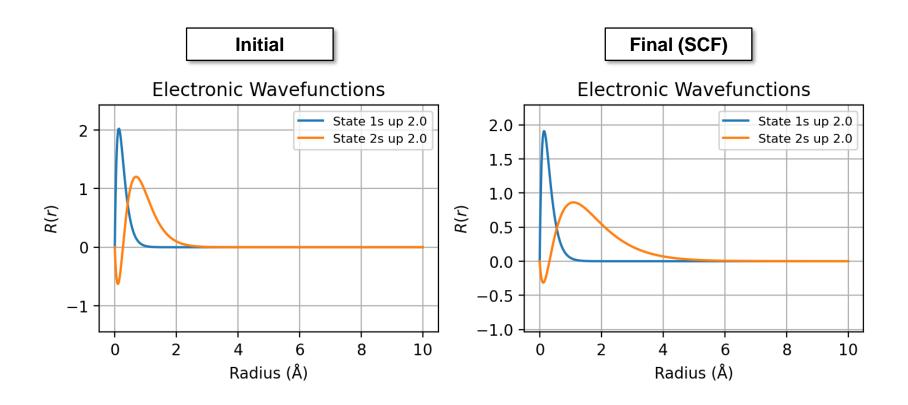


(1) Coulomb = "Poisson": Include
Coulomb (Hartree) potential by solving
Poisson's equation



(2) Exchange = "Hartree-Fock": Include exchange term of  $F_{nl}(r)$  from the Hartree-Fock method

#### Exercise 2-2: Be atom



✓ Electronic wavefunctions from SCF calculations are deformed by the electronelectron repulsion, compared to the analytic solution of hydrogenic wavefunctions

Local approximation to exchange-correlation (XC) energy

#### Exact exchange energy $(E_X)$

(Hartree-Fock method)

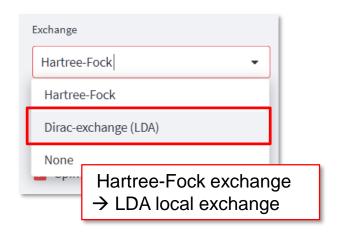
Local density approximation (LDA)

(density functional theory)

$$E_{\mathbf{X}}^{HF} = \frac{1}{2} \sum_{i,j} \int d\mathbf{r} \int d\mathbf{r}' \frac{\phi_i^*(\mathbf{r}) \phi_j^*(\mathbf{r}') \phi_j(\mathbf{r}) \phi_i(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|}$$

$$\boldsymbol{\epsilon}_{\mathbf{X}}^{HEG}[\rho] = -\frac{3}{4} \left(\frac{3}{\pi}\right)^{\frac{1}{3}} \rho^{\frac{1}{3}}$$

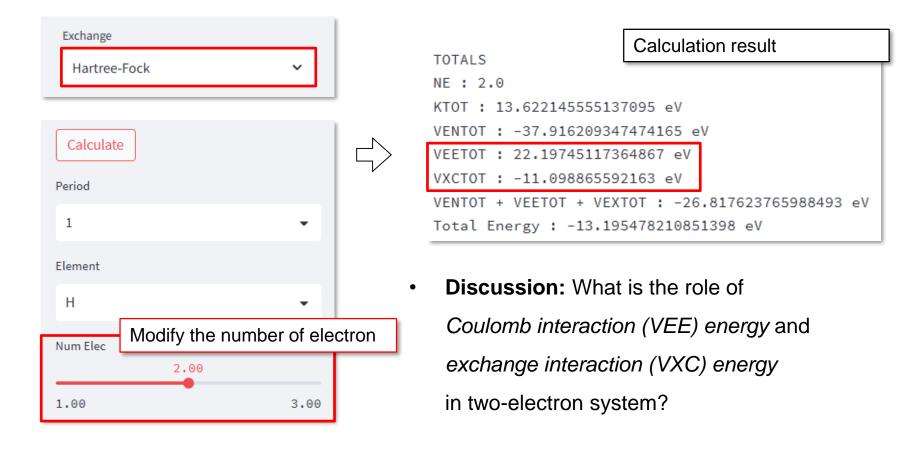
- Pros: Cost efficient + can include correlation energy (E<sub>C</sub>) similar to E<sub>X</sub>
- Cons: Does not provide the exact E<sub>X</sub>





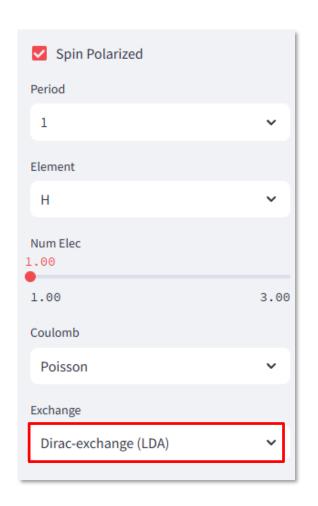
#### Exercise 3-1: Two-electron system (H<sup>-</sup> case)

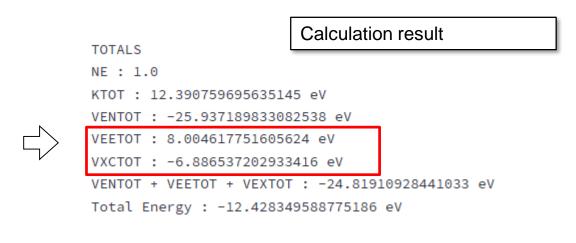
To simulate a single nucleus with two-electron system (H<sup>-</sup>), you should modify the *Num Elec* as 0 (or you can just simulate the neutral He atom case)



Exercise 3-1: (Again) Single-electron system (H case)

Let's simulate the Hydrogen atom again with the LDA approximation



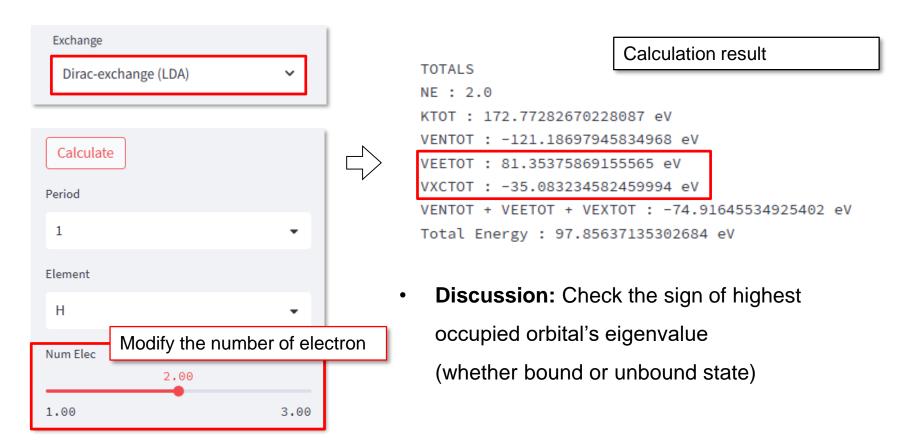


Discussion: Compare to the Hartree-Fock results

Cf. Self-interaction correction (SIC) method in DFT<sup>1</sup>

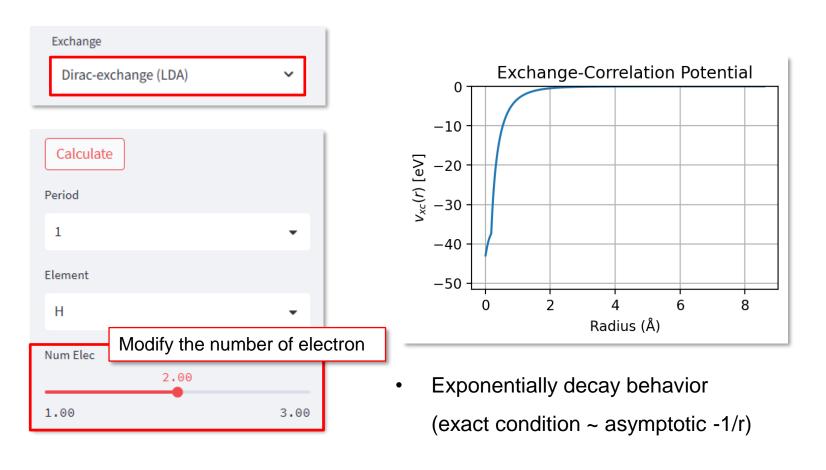
#### Exercise 3-2: Two-electron system (H<sup>-</sup> case)

To simulate a single nucleus with two-electron system (H<sup>-</sup>), you should modify the *Num Elec* as 2 (or you can just simulate the neutral He atom case)



#### Exercise 3-2: Two-electron system (H<sup>-</sup> case)

To simulate a single nucleus with two-electron system (H<sup>-</sup>), you should modify the *Num Elec* as 2 (or you can just simulate the neutral He atom case)



#### Hybrid scheme

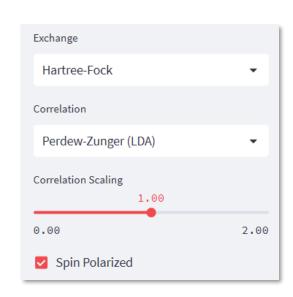
$$E_{\rm X}^{HF} \longrightarrow E_{\rm XC} = E_{\rm X}^{HF} + E_{\rm C}^{LDA}$$

Conventional Hartree-Fock method cannot capture *correlation energy* 

→ incorporating the LDA correlation energy into the Hartree-Fock equation

#### Exercise: He atom

- (1) Check Spin Polarized option
- (2) Select "Hartree-Fock" for *Exchange* → Calculate
- (3) Select "Hartree-Fock" for Exchange
  and "Perdew-Zunger (LDA)" for Correlation → Calculate
- (4) Compare the total energies from (2) & (3) to experimental "electronic binding energy"\*



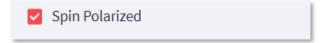
#### Ionization potential

$$IP = E(N-1) - E(N) = -\epsilon_k$$

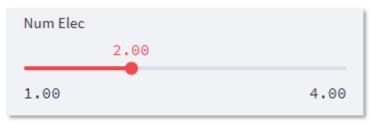
Negative of the highest occupied orbital energy  $(-\epsilon_k)$  ~ ionization potential (IP) (cf. assuming frozen orbital)  $\rightarrow$  physical meaning of single-particle energy level

#### Exercise 4: He atom

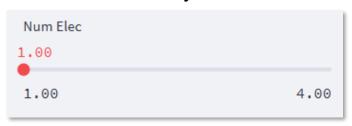
- (1) Check Spin Polarized option
- (2) Modify the number of electron



N system



N-1 system



Exercise 4: He atom (Hartree-Fock)

#### N system

```
Convergence reached at iteration: 25
States
         Nocc
                         Ktot
                                        Ven
                                                                                  Vtot
                  38.79614244
                               -91,62082869
                                              55,74276529 -27,87330964
                                                                         -63,75137304
1s up
         1.00
                                                                                        -24.95523060
1s dw 1.00
                                              55.74276529 -27.87330964 -63.75137304
                                                                                        -24.95523060
                  38.79614244
                               -91.62082869
```

①  $\epsilon_k^N = -23.31 \text{ eV}$ 

```
Total Energy : -77.77991685508012 eV
Final Converged Total Energy is : -77.77991685508012 eV
```

(2) 
$$E(N) = -77.78 \text{ eV}$$

#### N-1 system

$$3 E(N-1) = -54.36 \text{ eV}$$

$$IP = E(N-1)^{\stackrel{(3)}{-}} E(N)^{\stackrel{(2)}{=}} 23.42 \text{ eV}$$
  
 $\approx -\epsilon_k^{N^{\stackrel{(1)}{=}}} 23.31 \text{ eV}$ 

Cf. Our calculation considers only the orbital relaxation cases

Exercise 4: He atom (LDA)

#### N system

```
Convergence reached at iteration: 44
Final Converged Total Energy is: -74.06644510641495 eV
States
         Nocc
                          Ktot
                                                                                         Vtot
                                           Ven
                                                          Vee
                                                                          Vex
         1.00
                   37.00307605
                                  -89.26838786
                                                  53.66691270
                                                                 -15.46848945
                                                                                -51.06996461
                                                                                                -14.06688857
  dw
         1.00
                   37.00307605
                                 -89.26838786
                                                  53.66691270
                                                                 -15.46848945
                                                                                -51.06996461
                                                                                                -14.06688857
```

① 
$$\epsilon_k^N = -14.06 \text{ eV}$$

$$(2) E(N) = -74.06 \text{ eV}$$

N-1 system

$$3 E(N-1) = -52.01 \text{ eV}$$

$$IP = E(N-1)^{^{3}} - E(N)^{^{2}} = 22.05 \text{ eV}$$
  
 $\approx -\epsilon_k^{N^{^{1}}} = 14.06 \text{ eV}$ 

#### Accuracy:

total energy vs single-particle energy?