

# COMP 3311

# DATABASE MANAGEMENT

# SYSTEMS

## LECTURE 5 EXERCISES

## RELATIONAL MODEL AND

## RELATIONAL DATA BASE DESIGN

## EXERCISE 1

Given relation schema  $R(X, Y, U, V, W)$  and  $F = \{X \rightarrow Y, UV \rightarrow W, V \rightarrow X\}$

a) **Determine the closure of each attribute.**

$$X^+ = \{X, Y\} \quad (\text{Look for } X \text{ on LHS of FDs})$$

$$Y^+ = \{Y\}$$

$$U^+ = \{U\}$$

$$V^+ = \{V, X, Y\}$$

$$W^+ = \{W\}$$

b) **What are the candidate keys of R?**

The candidate key is UV since  $UV^+ = \{X, Y, U, V, W\}$ .

## EXERCISE 2

We want to create the database for a bank that contains accounts (A), branches (B) and customers (C).

a) **What are the functional dependencies implied by the following constraints?**

- An account cannot be shared by multiple customers.  
 $\text{Account} \rightarrow \text{Customer}$   $A \rightarrow C$
- Two different branches do not have the same account.  
 $\text{Account} \rightarrow \text{Branch}$   $A \rightarrow B$
- Each customer can have at most one account in a branch (but different accounts in different branches).  
 $\text{Branch, Customer} \rightarrow \text{Account}$   $BC \rightarrow A$

b) **What are the candidate keys?**

(Branch, Customer) and Account

BC and A

## EXERCISE 3

**Given:**  $R(A, B, C, D, E)$

$$F = \{A \rightarrow BC\}$$

**Decomposition:**  $R_1(A, B, C)$  and  $R_2(A, D, E)$

a) **Is the decomposition lossless? Why?** (iff  $R_1 \cap R_2 \rightarrow R_1$  or  $R_1 \cap R_2 \rightarrow R_2$ )

**Yes** The common attribute  $A$  is a key for  $R_1$ .

b) **Is the decomposition dependency preserving? Why?** (iff  $(\cup F_i)^+ = F^+$ )

**Yes**  $A \rightarrow BC$  is preserved in  $R_1$ .

c) **Is the decomposition**  $R_1(A, B, C)$  and  $R_2(C, D, E)$  **lossless? Why?**

**No**  $C$  is not a key for any table.

## EXERCISE 4

Identify the candidate key(s) and the current highest normal form for each of the following relation schemas given their corresponding FDs.

a)  $R(A, B, C, D, E)$        $F = \{A \rightarrow B, C \rightarrow D\} = F^+$

$A^+ = \{A, B\}$

$C^+ = \{C, D\}$

**Candidate keys:** ACE

**Normal form:** 1NF (both FDs violate 2NF)

⇒ Both  $A \rightarrow B$  and  $C \rightarrow D$  violate 2NF since A and C are proper subsets of the candidate key ACE.

⇒ Both  $A \rightarrow B$  and  $C \rightarrow D$  violate 2NF since B and D are non-prime attributes of R.

### 2NF

R is in 2NF *if and only if*

For each FD:  $X \rightarrow A$  in  $F^+$ :

$A \in X$  (trivial FD) **or**

X is **not** a proper subset of a candidate key for R **or**

A is a prime attribute for R.

### 3NF

R is in 3NF *if and only if*

For each FD:  $X \rightarrow A$  in  $F^+$ :

$A \in X$  (trivial FD) **or**

X is a superkey for R **or**

A is a prime attribute for R.

## EXERCISE 4 (CONTD)

b)  $R(A, B, C)$        $F = \{AB \rightarrow C, C \rightarrow B\} = F^+$

$AB^+ = \{A, B, C\}$        $C^+ = \{C, B\}$

**Candidate keys:** AB, AC

**Normal form:** 3NF

⇒ For  $AB \rightarrow C$ , AB is a superkey of R.

⇒ For  $C \rightarrow B$ , B is a prime attribute of R.

### 2NF

R is in 2NF *if and only if*

For each FD:  $X \rightarrow A$  in  $F^+$ :

$A \in X$  (trivial FD) **or**

$X$  is **not** a proper subset of  
a candidate key for R **or**

$A$  is a prime attribute for R.

### 3NF

R is in 3NF *if and only if*

For each FD:  $X \rightarrow A$  in  $F^+$ :

$A \in X$  (trivial FD) **or**

$X$  is a superkey for R **or**

$A$  is a prime attribute for R.

## EXERCISE 4 (CONT'D)

c)  $R(A, B, C, F)$                        $F = \{AB \rightarrow C, C \rightarrow F\} = F^+$

$AB^+ = \{A, B, C, F\}$                        $C^+ = \{C, F\}$

**Candidate keys:** AB

**Normal form:** **2NF** ( $C \rightarrow F$  violates 3NF)

- $\Rightarrow$  For  $C \rightarrow F$ , C is not a proper subset of a candidate key.
- $\Rightarrow$   $C \rightarrow F$  violates 3NF since C is not a superkey of R.
- $\Rightarrow$   $C \rightarrow F$  violates 3NF since F is a non-prime attribute.

### 2NF

R is in 2NF *if and only if*

For each FD:  $X \rightarrow A$  in  $F^+$ :

$A \in X$  (trivial FD) **or**

X is **not** a proper subset of a candidate key for R **or**

A is a **prime attribute** for R.

### 3NF

R is in 3NF *if and only if*

For each FD:  $X \rightarrow A$  in  $F^+$ :

$A \in X$  (trivial FD) **or**

X is a **superkey** for R **or**

A is a **prime attribute** for R.

## EXERCISE 5

Given relation schema  $R(A, B, C, G, H, I)$  and

$$F = \{A \rightarrow B, \quad A \rightarrow C, \quad CG \rightarrow H, \quad CG \rightarrow I, \quad B \rightarrow H\}$$

a) **Determine the closure of each attribute.**

$$\begin{array}{lll} A^+ = \{A, B, C, H\} & B^+ = \{B, H\} & C^+ = \{C\} \\ G^+ = \{G\} & H^+ = \{H\} & I^+ = \{I\} \end{array}$$

b) **What are the candidate keys of R?**

The candidate key is AG.

Compute  $AG^+$

$$\begin{array}{ll} AG^{(0)} = \{A, G\} & \\ AG^{(1)} = \{A, G, B\} & (A \rightarrow B \text{ and } A \subseteq \{A, G\}) \\ AG^{(2)} = \{A, G, B, C\} & (A \rightarrow C \text{ and } A \subseteq \{A, G\}) \\ AG^{(3)} = \{A, G, B, C, H\} & (CG \rightarrow H \text{ and } CG \subseteq \{A, G, B, C\}) \\ AG^{(4)} = \{A, G, B, C, H, I\} & (CG \rightarrow I \text{ and } CG \subseteq \{A, G, B, C, H\}) \end{array}$$



## EXERCISE 6

Given:  $\text{Sale}(\text{customer}, \text{store}, \text{product}, \text{price})$  and the constraints:

A customer buys from only one store.

There is a unique price for each product in a store, but the same product can have a different price in different stores.

a) **What are the FDs implied by the above description?**

$\text{customer} \rightarrow \text{store}$

$\text{store}, \text{product} \rightarrow \text{price}$

b) **What are the candidate keys?**

$\{\text{customer}, \text{product}\}$

Since  $\text{customer}, \text{product} \rightarrow \text{store}, \text{product}$  (**IR2**)

and  $\text{customer}, \text{product} \rightarrow \text{price}$  (**IR3**)

Also  $\{\text{customer}, \text{product}\}^+ = \{\text{customer}, \text{product}, \text{store}, \text{price}\}$

## EXERCISE 6 (CONT'D)

### 3NF

R is in 3NF *if and only if*

For each FD:  $X \rightarrow A$  in  $F^+$ :

$A \in X$  (trivial FD) **or**

$X$  is a **superkey** for R **or**

$A$  is a **prime attribute** for R.

Given: Sale(customer, store, product, price)

A customer buys from only one store.

There is a unique price for each product in a store, but the same product can have a different price in different stores.

c) **Explain why Sale is not in 3NF.** candidate key: {customer, product}

$F = \{\text{customer} \rightarrow \text{store}; \text{store, product} \rightarrow \text{price}\}$

Both FDs violate 3NF.

The LHS of the FDs are not superkeys;  
the RHS are not prime attributes of Sale.

d) **Decompose Sale into 3NF relation schemas.**

$R_1(\underline{\text{customer}}, \text{store})$

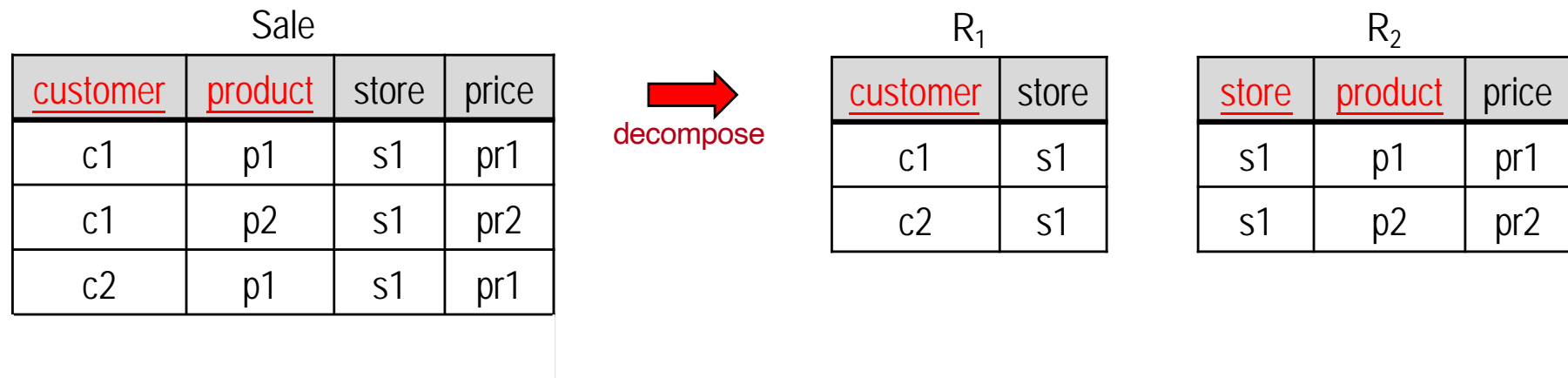
$R_2(\underline{\text{store, product}}, \text{price})$

e) **Is the decomposition dependency preserving? Why?**

**Yes** Each FD is preserved in a relation schema, **BUT** ... (next page).

## EXERCISE 6 (CONT'D)

The decomposition  $R_1(\underline{\text{customer}}, \text{store})$ ,  $R_2(\underline{\text{store}}, \underline{\text{product}}, \text{price})$  is **lossy** because the common attribute **store** is not a key of any table.



The two decomposed relations do not generate the original one if joined (on the common **store** attribute). The **join result contains 4 records instead of 3** as in the original relation.

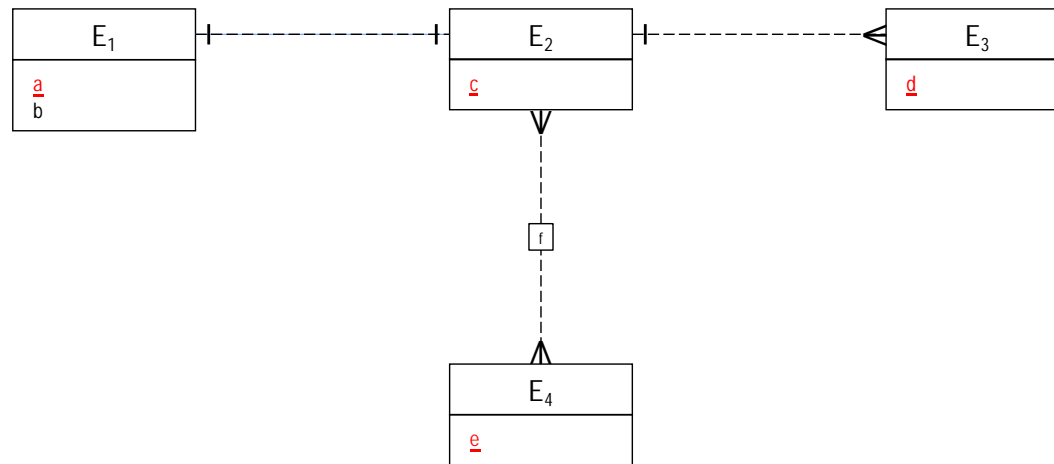
**What is the problem?** None of the fragments contains the candidate key (**customer**, **product**).

**Solution?** Include an additional table  $R_3(\text{customer}, \text{product})$  containing the candidate key in the decomposition.

$R_3$

<u>customer</u>	<u>product</u>
c1	p1
c1	p2
c2	p1

## EXERCISE 7



What are the FDs implied by the E-R diagram?

$a \rightarrow b$

$a \rightarrow c$

$c \rightarrow a$

$c \rightarrow b$  (from  $c \rightarrow a$  and  $a \rightarrow b$ ) **IR3**

$d \rightarrow c$

$d \rightarrow a$  (from  $d \rightarrow c$  and  $c \rightarrow a$ ) **IR3**

$d \rightarrow b$  (from  $d \rightarrow c$  and  $c \rightarrow b$ ) **IR3**

$ce \rightarrow f$