# TOPICS IN LANGLANDS PROGRAM (II)

The first half of the course is taught by Yihang Zhu, on Eisenstein series. The second half is taught by Bin Xu, on trace formulas.

**References.** For background on automorphic forms/representations and the Langlands program, we recommend [GH23], or Yihang Zhu's notes [Zhu] which follow the former closely. The book [GH23] also contains an introduction to trace formulas.

For Eisenstein series, the original source is Langlands' paper [Lan06]. Most of the foundations are found in the comprehensive book [MW95], which also contains proofs of all the main results in the subject. Also useful is the book [LW13]. For the proof of the meromorphic continuation of the Eisenstein series, we will explain the new and simpler proof in [BL24].

### 1. Week 1

- General motivations of trace formulas [Art05].
- The idea of discrete and continuous spectrum [GH23, §3.8], [Zhu, §4.1].
- Reductive groups, root data [Zhu, §1, §2].

## 2. Week 2

- Parabolic subgroups and Levi components. Classification of standard parabolic subgroups. [Zhu, §3.1].
- The analytic topology on points of a reductive group, especially the adelic group [Zhu, §3.2].
- The Harish-Chandra homomorphism and related definitions [BL24, §2.1], [MW95, §I.1.4].
- Siegel sets [MW95, §I.2.1], see also [LW13, §3.5].

#### 3. Week 3

- Example of Siegel sets for  $SL_2$  over  $\mathbb{Q}$ . See for instance [Art05, Figure 8.3].
- Relative Siegel sets [MW95, §I.2.1].
- Functions of moderate growth [MW95, §I.2.5] and functions of rapid decay [MW95, §I.2.12].
- Definition of automorphic forms [MW95, §I.2.17]
- Main theorem about Eisenstein series [BL24, §2.3].
- Proof of convergence of Eisenstein series in the case of minimal parabolic [God67, §3].

**Exercise 3.1.** Let G be a Hausdorff locally compact topological group, and  $\Gamma$  a closed subgroup.

- (1) Assume that  $\Gamma \backslash G$  is compact. Show that there exists a relatively compact open subset  $R \subset G$  such that  $G = \Gamma R$ .
- (2) The compactness of  $\Gamma \backslash G$  is equivalent to the existence of a compact set  $S \subset G$  such that  $G = \Gamma S$ .

**Exercise 3.2.** Let U be a connected unipotent linear algebraic group over  $\mathbb{Q}$ . By general theory of linear algebraic groups, we know there exist closed subgroups

$$1 = U_0 \subset U_1 \subset \cdots \subset U_n = U(\mathbb{A})$$

such that  $U_{i-1}$  is normal in  $U_i$  and  $U_i/U_{i-1} \cong \mathbb{A}$ . Moreover, the image of  $U_i \cap U(\mathbb{Q}) \to U_i/U_{i-1} \cong \mathbb{A}$  is  $\mathbb{Q} \subset \mathbb{A}$ .

- (1) Using this, show that  $U(\mathbb{Q})\backslash U(\mathbb{A})$  is compact.
- (2) Exhibit a choice of the  $U_i$ 's when U is the subgroup of  $GL_n$  consisting of upper triangular matrices with 1's on the diagonal. Justify your answer.

**Exercise 3.3.** Use the usual Siegel sets and the previous two exercises to prove the following statement about relative Siegel sets: For every standard parabolic P = MU in a reductive group G over  $\mathbb{Q}$ , there is a compact subset  $\omega \subset P$ , and  $t_0 > 0$  such that

$$G(\mathbb{A}) = P(\mathbb{Q})\omega\{a \in A_{M_0} \mid \langle \alpha, a \rangle > t_0, \forall \alpha \in \Delta_0^P\}K.$$

(Sep 30.)

- Continuation of the proof of convergence. Proof of moderate growth. For the two proofs we mainly follow [God67, §3]. Some comments on this reference:
  - Note that in [God67], automorphic forms are right  $P(\mathbb{Q})$  or  $G(\mathbb{Q})$ -invariant, and also the Siegel set S is such that  $SG(\mathbb{Q}) = G(\mathbb{A})$ . Thus the order of the three factors whose product is S is reversed. The Eisenstein series is defined as a summation over  $G(\mathbb{Q})/P(\mathbb{Q})$ , as opposed to  $P(\mathbb{Q})\backslash G(\mathbb{Q})$ . His convention and ours can be easily translated into each other by replacing g by  $g^{-1}$ .
  - Above [God67, (3.9)], the assertion about L(gg')/L(gg'') contains typos. The correct assertion should be: The function L(g'g)/L(g''g) depends on g only via  $gP(\mathbb{A})$ , and hence it is uniformly bounded in g since g' and g'' move in a compact set. (In class, we had this assertion with the reversed order of multiplication, since our L is related to Godement's L by  $g \mapsto g^{-1}$ .)
  - We only follow the proof in [God67, §3] in the special case where P is minimal. In [God67, §3], P can be non-minimal, but there is the assumption in Theorem 3 that L is bounded on every subset of  $G(\mathbb{A})$  which is compact modulo  $P(\mathbb{A})^1$ . If P is minimal, then the last assumption is automatic. Indeed, as we saw in class, the minimality of P implies that L is majorized by  $m_P(\cdot)^{\lambda}$ . But  $m_P(\cdot)^{\lambda}$  factors through  $P(\mathbb{A})^1 \setminus G(\mathbb{A})$ , and so it is bounded on every subset which is compact modulo  $P(\mathbb{A})^1$  on the left. (Godement's L(g) is our  $L(g^{-1})$ , and for Godement, "modulo  $P(\mathbb{A})^1$ " means "modulo  $P(\mathbb{A})^1$  on the right".)
- Classical Eisenstein series for SL<sub>2</sub>. See [Gar18, §2.8].

**Exercise 4.1.** Assume G is a split reductive group over  $\mathbb{Q}$ , with fixed minimal parabolic and Levi decomposition  $P_0 = M_0U_0$ . Let  $\varpi : M_0 \to \mathbb{G}_m$  be a fundamental weight. Let  $\rho : G \to \operatorname{GL}_n$  be the corresponding highest weight representation, with highest weight vector  $e_1$ . Thus  $\rho(P_0)$  stabilizes  $e_1$ , and the function  $L = m_{P_0}(\cdot)^{\varpi} : G(\mathbb{A}) \to \mathbb{R}_{>0}$  sends every  $p \in P_0(\mathbb{A})$  to the idelic norm of the (1,1)-th entry of  $\rho(p) \in \operatorname{GL}_n(\mathbb{A})$ .

(1) Show that there exist constants A, B > 0 such that

$$A\|\rho(h^{-1})e_1\| < L(h)^{-1} < B\|\rho(h^{-1})e_1\|$$

for all  $h \in G(\mathbb{A})$ . Here, for a (column) vector  $v = (a_1, \dots, a_n)^t \in \mathbb{A}^n$ , we define  $||v|| = \prod_v \max_i |a_{i,v}|_v$ , where v runs over all places of  $\mathbb{Q}$ .

- (2) Show that  $\|\operatorname{GL}_n(\mathbb{Q})e_1\| \subset [1, +\infty)$ .
- (3) Show that for every compact set U in  $GL_n(\mathbb{A})$ , there exist constants B > A > 0 such that for every  $v \in \mathbb{A}^n$ , we have  $||Uv|| \subset |A||v||, B||v||$ .
- (4) Use the previous parts to give a new proof of the following statement (which strengthens the Key Lemma in class): For each compact set C in  $G(\mathbb{A})$ , there exists D > 0 such that  $L(G(\mathbb{Q})C) \subset (0, D)$ .

#### 5. Week 5

(Oct 9.)

- Classical Eisenstein series for SL<sub>2</sub>, convergence and moderate growth (in the non-adelic language). The proofs are essentially equivalent to our more general proofs using the adelic language. See [Gar18, §2.8] for the proof of convergence in non-adelic language.
- Convergence and moderate growth of Eisenstein series for non-minimal P, see [MW95, II.1.5].
- The intertwining operators: beginning.

## References

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