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# Wavelet-based image compression

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Sigma 207

### **Outline**

#### Introduction

Discrete wavelet transform and multiresolution analysis
Filter banks and DWT
Multiresolution analysis

Images compression with wavelets EZW JPEG 2000



DWT and MRA

Images compression with wavelets



#### Introduction

Discrete wavelet transform and multiresolution analysis

Images compression with wavelets



Image model: trends + anomalies

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Image model: trends + anomalies





Image model: trends + anomalies





#### Anomalies :

- Abrupt variations of the signal
- High frequency contributions
- Objects' contours
- Good spatial resolution
- Rough frequency resolution

#### Trends:

- Slow variations of the signal
- Low frequency contributions
- Objects' texture

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- Rough spatial resolution
- Good frequency resolution





#### Time-frequency boxes of basis signals

Time analysis:

$$\theta_{\gamma}(t) = \delta(t - t_0)$$

Frequency analysis:

$$\theta_{\gamma}(t) = e^{2i\pi f_0 t}$$

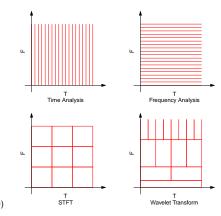
Short Time Fourier Transform (STFT):

$$heta_{\gamma}(t) = g(t - t_0)e^{2i\pi t_0 t}$$
 $\sigma_t, \sigma_{\mathcal{E}}$  independent from  $\gamma$ 

Wavelet Transform:

$$\theta_{\gamma}(t) = \frac{1}{\sqrt{a}}\psi\left(\frac{t-b}{a}\right)$$

$$\sigma_{t(a,b)} = a\sigma_{t,(1,0)} \quad \sigma_{\xi(a,b)} = a^{-1}\sigma_{\xi,(1,0)}$$



Wavelet-based image compression

### Images compression with wavelets

### **Wavelets and images: Motivations**

Signal model: an image row





Images compression with wavelets

### **Wavelets and images: Motivations**

Signal model: an image row





Images compression with wavelets

### **Wavelets and images: Motivations**

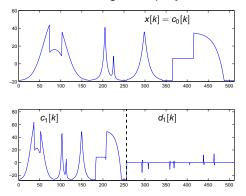
Signal model: an image row





### **Wavelets and Multiple resolution analysis**

- Approximation: low resolution version
- "Details": zeros when the signal is polynomial







Introduction

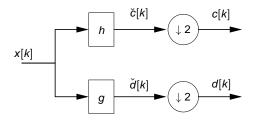
Discrete wavelet transform and multiresolution analysis
Filter banks and DWT
Multiresolution analysis

Images compression with wavelets



### 1D filter banks

#### Decomposition

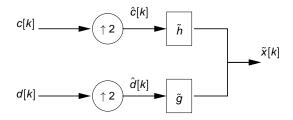


#### Analysis filter bank

2  $\downarrow$ : decimation :  $c[k] = \check{c}[2k]$ 



#### Reconstruction



Synthesis filter bank

2 ↑: interpolation operator, doubles the sample number

$$\hat{c}[k] = \begin{cases} c[k/2] & \text{if } k \text{ is even} \\ 0 & \text{if } k \text{ is odd} \end{cases}$$



# **Filter properties**

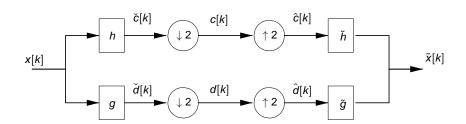
- Perfect reconstruction (PR)
- ► FIR
- Orthogonality
- Vanishing moments
- Symmetry



### **Perfect reconstruction conditions**

We want PR after synthesis and analysis filter banks :  $\forall k \in \mathbb{Z}$ ,

$$\widetilde{\mathbf{X}}_{k}=\mathbf{X}_{k+\ell}\Longleftrightarrow\widetilde{\mathbf{X}}\left(\mathbf{z}\right)=\mathbf{z}^{-\ell}\mathbf{X}\left(\mathbf{z}\right)$$



### **Z-domain relationships**

filter 
$$\check{C}(z) = \sum_{n=-\infty}^{\infty} \check{c}_n z^{-n} = H(z) X(z)$$
decimation  $C(z) = \frac{1}{2} \left[ \check{C} \left( z^{1/2} \right) + \check{C} \left( -z^{1/2} \right) \right]$ 
interpolation  $\hat{C}(z) = C \left( z^2 \right)$ 
output  $\check{X}(z) = \check{H}(z) C \left( z^2 \right) + \check{G}(z) D \left( z^2 \right)$ 
 $\check{X}(z) = \frac{1}{2} \left[ \check{H}(z) H(z) + \check{G}(z) G(z) \right] X(z)$ 
 $+ \frac{1}{2} \left[ \check{H}(z) H(-z) + \check{G}(z) G(-z) \right] X(-z)$ 

### PR conditions in Z

 $\forall k \in \mathbb{Z}$ ,

$$\widetilde{\mathbf{x}}_{k} = \mathbf{x}_{k+\ell} \Longleftrightarrow \widetilde{\mathbf{X}}(\mathbf{z}) = \mathbf{z}^{-\ell} \mathbf{X}(\mathbf{z})$$
 $\updownarrow$ 

$$\widetilde{H}(z)H(z)+\widetilde{G}(z)G(z)=2z^{-\ell}$$
 Non distortion  $\widetilde{H}(z)H(-z)+\widetilde{G}(z)G(-z)=0$  Non aliasing

### **Perfect reconstruction conditions**

#### Matrix form

For simplicity, we ignore the delay,  $\ell=0$  If the analysis filter bank is given, the synthesis one is determined by:

$$\begin{bmatrix} H(z) & G(z) \\ H(-z) & G(-z) \end{bmatrix} \cdot \begin{bmatrix} \widetilde{H}(z) \\ \widetilde{G}(z) \end{bmatrix} = \begin{bmatrix} 2 \\ 0 \end{bmatrix}$$

We assume that the *modulation matrix* is invertible.



### Perfect reconstruction conditions

#### Synthesis filter bank

Modulation matrix determinant:

$$\Delta(z) = H(z) G(-z) - G(z) H(-z)$$

$$\widetilde{H}(z) = \frac{2}{\Delta(z)}G(-z)$$

$$\widetilde{G}(z) = -\frac{2}{\Delta(z)}H(-z)$$

### Perfect reconstruction with FIR filters

Finite impulse response filters:

It can be shown that in this case the PR condition is equivalent to the alterning signs condition. Example:

$$h(k) = \boxed{a \mid b \mid c}$$
 $g(k) = \boxed{p \mid q \mid r \mid s \mid t}$ 

# Orthogonality

Orthogonality assures energy conservation:

$$\sum_{k=-\infty}^{\infty} (x_k)^2 = \sum_{k=-\infty}^{\infty} (c_k)^2 + \sum_{k=-\infty}^{\infty} (d_k)^2$$

⇒ reconstruction error = quantization error on DWT coefficients For non orthogonal filters, the reconstruction errors is a weighted sum of the quantization errors on the DWT subbands. with suitable weights  $\omega_i$ 



# **Vanishing moments**

- Vanishing moments (VM) represent filter ability to reproduce polynomials: a filter with p VM can represent polynomials with degree < p</p>
- ► The High-pass filter will not respond to a polynomial input with degree < p
- ► In this case all the signal information is preserved in the approximation signal (half the samples)
- ▶ A filter with p VM has at least 2p taps



# **Borders problem**

- Filterbank properties such as we saw, are valid for infinite-size signals
- We are interested in finite support signals
- How to interpret the previous results for finite support signals?



# **Borders problem**

- Filterbank properties such as we saw, are valid for infinite-size signals
- We are interested in finite support signals
- How to interpret the previous results for finite support signals?
- Zero padding would introduce a coefficient expansion
- ► Filtering an *N*-size signal with an *M*-size produces a signal with size N + M - 1



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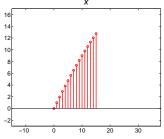
Wavelet-based image compression

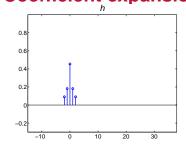
### **Borders problem**

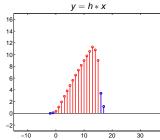
- Filterbank properties such as we saw, are valid for infinite-size signals
- We are interested in finite support signals
- How to interpret the previous results for finite support signals?
- Zero padding would introduce a coefficient expansion
- Filtering an N-size signal with an M-size produces a signal with size N + M − 1
- Periodization?
- Symmetrization?



**Borders problem: Coefficient expansion** 





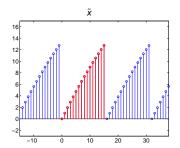


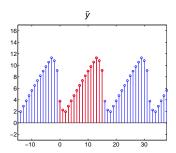
# **Borders problem: Periodization**

- A signal x of support N is considered as a periodic signal  $\tilde{x}$ of period N
- Filtering  $\tilde{x}$  with h results into a periodic output  $\tilde{y}$
- $\triangleright \tilde{v}$  has the same period N as  $\tilde{x}$
- So we need to compute just N samples of  $\tilde{\gamma}$
- However, periodization introduces "jumps" in a regular signal



### **Borders problem: Periodization**







### **Borders problem: Symmetry**

- Symmetrization before periodization reduces the impact on signal regularity
- But it doubles the number of coefficients...



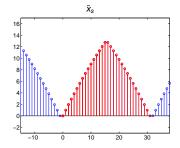
# Borders problem: Symmetry

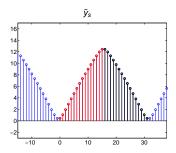
- Symmetrization before periodization reduces the impact on signal regularity
- But it doubles the number of coefficients...
- Unless the filters are symmetric, too
  - We use x as half-period of  $\tilde{x}_s$
  - $\triangleright \tilde{x}_s$  has a period of 2N samples
  - Filtering  $\tilde{x}_s$  with h, produces  $\tilde{y}_s$
  - If h is symmetric,  $\tilde{y}_s$  is periodic and symmetric, with period 2N: we only need to compute N samples





# **Borders problem: Symmetry**







### Haar filter

$$h(k) = \boxed{1 \quad 1}$$
$$g(k) = \boxed{1 \quad -1}$$

$$\widetilde{h}(k) = \boxed{1} \boxed{1}$$
 $\widetilde{g}(k) = \boxed{-1} \boxed{1}$ 

- Symmetric
- Orthogonal
- VM = 1
  - ▶ Only capable to represent piecewise constant signals



# Summary: perfect reconstruction and borders

- Convolution involves coefficient expansion
- Solution: circular convolution
  - Circular convolution allows to reconstruct an N-samples signal with N wavelet coefficients
  - The periodization generates borders discontinuities, i.e. spurious high frequencies coefficients that demand a lot of coding resources
- Solution: Symmetric periodization
  - No discontinuities
  - Does it double the coefficient number?
  - ► No, if the filter is **symmetric**!

Bad news: the only orthogonal symmetric FIR filter is Haar!





# **Biorthogonal filters**

#### Cohen-Daubechies-Fauveau filters

With biorthogonal (i.e. PR) filters, if h has p VM and  $\widetilde{h}$  has  $\widetilde{p}$  VM, the filter has at least  $p + \widetilde{p} - 1$  taps.

The CDF filters have the following properties:

- They are symmetric (linear phase)
- ▶ They maximize the VM for a given filter length
- They are close to orthogonality (weights ω<sub>i</sub> are close to one)

They are by far the most popular in image compression



## 9/7 biorthogonal filters

Filter coefficients:

n	0	±1	±2	±3	±4	
h[/]	0.852699	0.377403	-0.110624	-0.023849	0.037828	
$\widetilde{h}[I]$	0.788486	0.418092	-0.040689	-0.064539		

Impulse response of low-pass filters

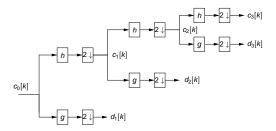
For high pass filters, we have (alterning sign condition):

$$g[I] = (-1)^{l+1} \widetilde{h}[I-1]$$
 and  $\widetilde{g}[I] = (-1)^{l-1} h[I+1]$ .



## 1D Multiresolution analysis

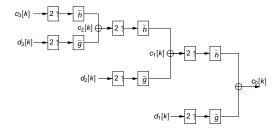
#### Decomposition



Three level wavelet decomposition structure



#### Reconstruction



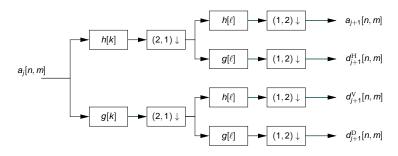
Reconstruction from wavelet coefficients



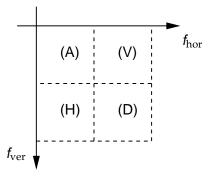
#### 2D AMR

#### 2D Filter banks for separable transform

#### One decomposition level



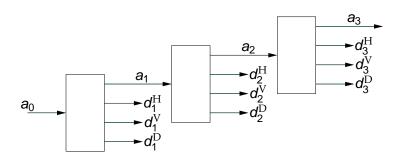
#### 2D-DWT subbands: orientations



(A), (H), (V) and (D) respectively correspond to approximation coefficients, horizontal, vertical and diagonal detail coefficients.



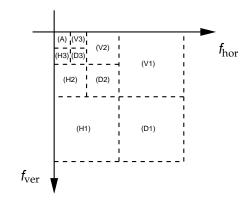
## 2D AMR: multiple levels



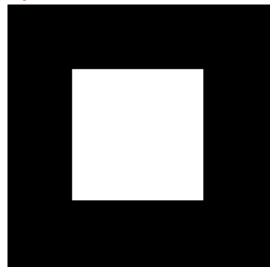
Three levels of separable 2D-AMR.

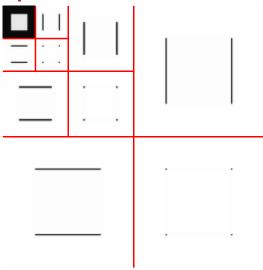


#### 2D-DWT subbands: orientations



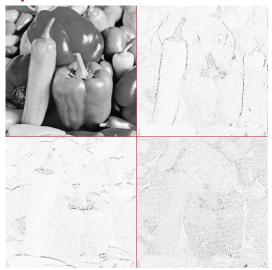






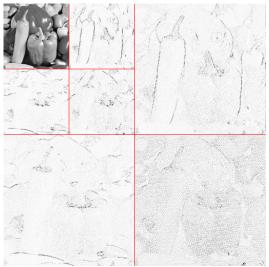




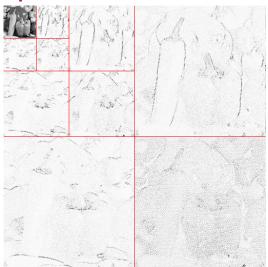




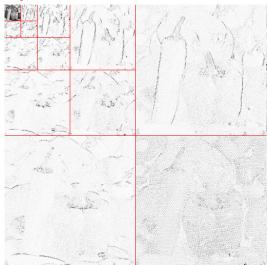
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#### Outline

Introduction

Discrete wavelet transform and multiresolution analysis

Images compression with wavelets EZW JPEG 2000



#### Compression with DWT

- Methods based on inter-scale dependencies:
  - EZW (Embedded Zerotrees of Wavelet coefficients),
  - SPIHT (Set Partitioning in Hierarchical Trees)
  - Tree-based representation of dependencies
  - Advantages: good exploitation of inter-scale dependencies. low complexity
  - Disadvantage: no resolution scalability
- Methods not based on inter-scale dependencies
  - Explicit bit-rate allocation among subbands
  - Entropy coding of coefficients
  - Advantages: Good exploitation of intra-scale dependencies, random access, resolution scalability
  - Disadvantage: no exploitation of inter-scale dependencies





#### **Embedded Zerotrees of Wavelet coefficients**

#### Main characteristics

- Quality scalability (i.e. progressive representation)
- Lossy-to-lossless coding
- Small complexity
- Rate-distortion performance much better than JPEG above all at small rates



### Progressive representation of DWT coefficients

Each new coding bit must convey the maximum of information

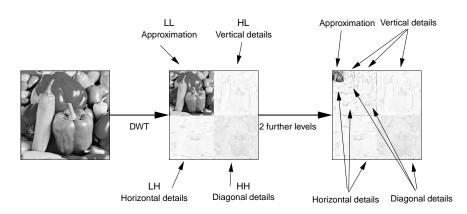


- Each new coding bit must reduce as much as possible distortion
- We first send the largest coefficients
- Problem: localization overhead



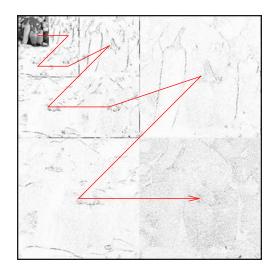


# Example: an image and its wavelet coefficients





## Progressive representation: subband order





## **EZW Algorithm**

- The subband scan order alone is not enough to assure that largest coefficients are sent first
- We need to localize the largest coefficients
- Without having to send explicit localization information
- Idea: to exploit the inter-band correlation to predict the position of non-significant coefficients
- If the prediction is correct we save many coding bits (for all the predicted coefficients)

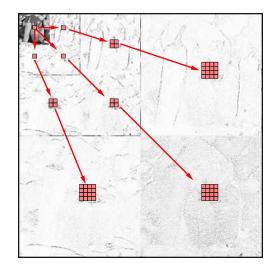




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#### **Zero-tree of wavelet coefficients**







#### **EZW** idea

- Auto-similarity: When a coefficient is small (below a threshold) it is probable that its descendants are small as well
- ▶ In this case we use a single coding symbol to represent the coefficient and all its descendants. If c and all its descendants are smaller than the threshold, c is called a zero-tree root
- ▶ With just one symbol, (ZT) we code  $(1 + 4 + 4^2 + ... + 4^{N-n})$  coefficients
- ► The localization information is implicit in the significance information



# **EZW** algorithm

- 1. k = 0
- 2.  $n = |\log_2(|c|_{\max})|$
- 3.  $T_k = 2^n$
- 4. while (rate < available rate)
  - Dominant pass
  - Refining pass
  - $T_{k+1} \leftarrow T_k/2$
  - $k \leftarrow k + 1$
- 5. end while



## Dominant pass

- For each coefficient c (in the scan order)
- ▶ If  $|c| \ge T_n$ , the coefficient is significant
  - If c > 0 we encode SP (Significant Positive)
  - If c < 0 we encode SN (Significant Negative)
- If  $|c| < T_n$ , we compare all its descendants with the threshold
  - If no descendant is significant, c is coded as a zero-tree root (ZT)
  - Otherwise the coefficient is coded as Isolated Zero (IZ)



## **Refining pass**

- We encode a further bit for all significant coefficients
- This is equivalent to halve the quantization step



#### **Iteration and termination**

- ▶ The *k*-th dominant pass allows to encode the *k*-th bit-plane
- ▶ A significant coefficient c is such that  $2^k < |c| < 2^{k+1}$
- ► For the next step we halve the threshold: it is equivalent to pass to the next bitplane
- Algorithm stops when
  - the bit budget is exhausted; or when
  - ▶ all the bitplanes have been coded



#### **EZW Algorithm: summary**

- ▶ Bitplane coding: at the *k*-th pass, we encode the bitplane  $\log_2 T_k$
- Progressive coding: each new bitplane allows refining the coefficients quantization
- Lossless coding of significance symbols
- Lossless-to-lossy coding: When an integer transform is used, and all the bitplanes are coded, the original image can be restored with zero distortion



# **EZW Algorithm: Example**

26	6	13	10
-7	7	6	4
4	-4	4	-3
2	-2	-2	0

$$T_0 = 2^{\lfloor \log_2 26 \rfloor} = 16$$



## **EZW Algorithm: Example**

26	6	13	10
-7	7	6	4
4	-4	4	-3
2	-2	-2	0

$$T_0 = 2^{\lfloor \log_2 26 \rfloor} = 16$$

#### Bitstream:

וטוטור	Juiii.											
SP	ZR	ZR	ZR	1								
ΙZ	ZR	ZR	ZR	SP	SP	ΙZ	ΙZ	0	1	0		
SP	ΙZ	SP	SI	SP	SP	SN	ΙZ	ΙZ	SP	ΙZ	ΙZ	ΙZ



#### **JPEG2000**

- JPEG2000 aims at challenges unresolved by previous standards:
- Low bit-rate coding: JPEG has low quality for R < 0.25 bpp
- Synthetic images compression
- Random access to image parts
- Quality and resolution scalability



#### **New functionalities**

- Region-of-interest (ROI) coding
- Quality and resolution scalability
- Tiling
- Exact coding rate
- Lossy-to-lossless coding



## **Algorithm**

#### JPEG2000 is made up of two tiers

- First tier
  - DWT and quantization
  - Lossless coding of codeblocks
- Second tier
  - ► EBCOT: embedded block coding with optimized truncation
  - Scalability (quality, resolution) and ROI management



#### Quantization in JPEG2000

- DWT coefficients are encoded with a very fine quantization step
- For the lossless coding case, DWT coefficients are integers, and they are not quantified
- In summary, it is not in the quantization step that the really lossy operations are performed
- ▶ The lossy coding is performed by the bitstream truncation of Tier 2







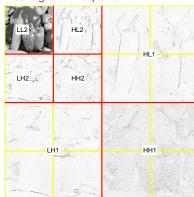
#### Embedded Block Coding with Optimized Truncation

- Each subband is split in equally sized blocks of coefficients, called codeblocks
- The codeblocks are losslessly and independently coded with an arithmetic coder
- We generate as much bitstreams as codeblocks in the image



## **Bitplane coding**

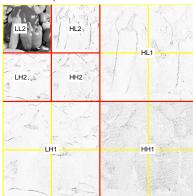
#### Most significant bitplane



14		

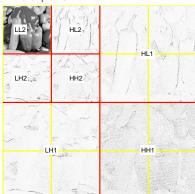


#### Second bitplane





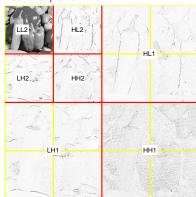
#### Third bitplane

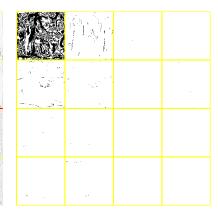


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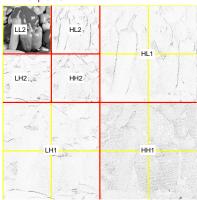
#### Fourth bitplane

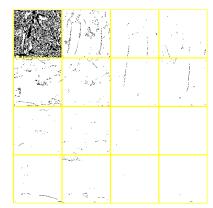






#### Fifth bitplane

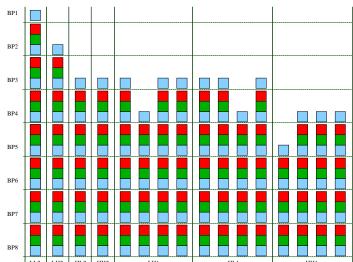






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# Example of bitstreams associated to codeblocks





#### **EBCOT**

#### Optimization

- ▶ If we keep all the bitstreams of all the codeblocks, we end up with a huge bitrate
- We have to truncate the bitstream to attain the target bit-rate
- Problem: how to truncate the bitstreams with a minimum resulting distortion?

$$\min \sum_{i} D_{i}$$
 subject to  $\sum_{i} R_{i} \leq R_{\text{tot}}$ 

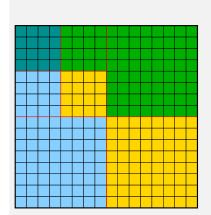
Solution : Lagrange multiplier

$$J = \sum_{i} D_{i} + \lambda \left( \sum_{i} R_{i} - R \right)$$





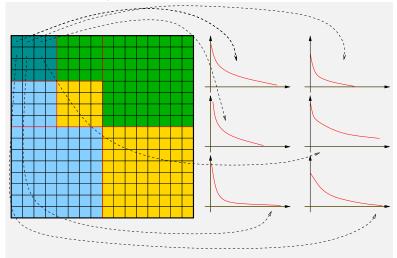
#### Rate-distortion curve per each codeblock





#### **EBCOT**

#### Rate-distortion curve per each codeblock







#### Embedded block coding with optimized truncation

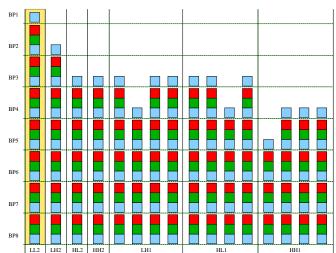
► Optimal truncation point:

$$\frac{\partial D_i}{\partial R_i} = -\lambda$$

- ► The value of the Lagrange multiplier can be find by an iterative algorithm.
- We can have several truncations for several target rates (quality scalability)

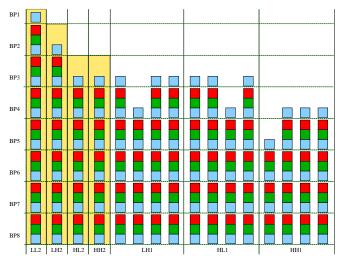


Allocation for maximal quality and minimal resolution



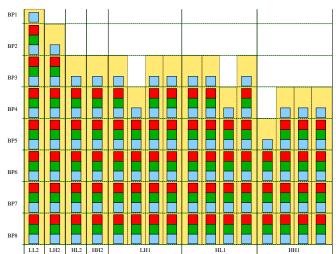


Allocation for maximal quality and medium resolution



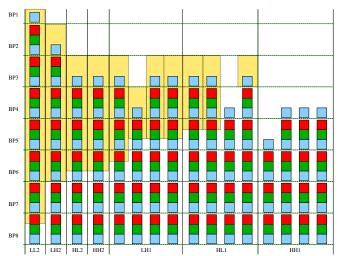


Allocation for maximal quality and maximal resolution



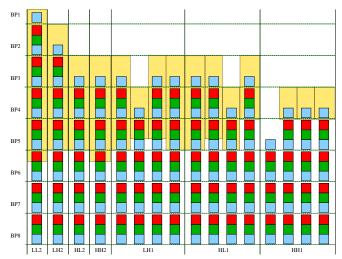


Allocation for perceptual quality and maximal resolution



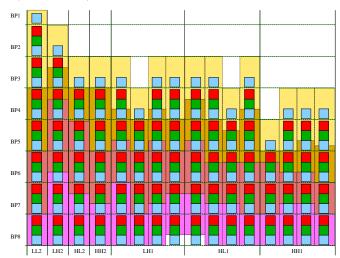


Allocation for a given bit-rate, maximal quality and resolution





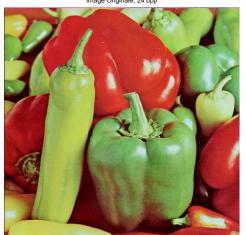
Allocation pour several layers and maximal resolution





#### **JPEG**

Image Originale, 24 bpp



Rate: 1bpp





80/90

Rate: 0.75bpp







81/90

Rate: 0.5bpp









Rate: 0.3bpp





Rate: 0.2bpp







Rate: 0.2bpp pour JPEG, 0.1 pour JPEG2000







#### **Error effect: JPEG**



JPEG,  $p_F = 10^{-4}$ 



JPEG,  $p_F = 10^{-4}$ 

#### Error effect: JPEG and JPEG 2000



JPEG,  $p_F = 10^{-4}$ 



JPEG 2000,  $p_F = 10^{-4}$ 

#### Error effect: JPEG and JPEG 2000



JPEG,  $p_F = 10^{-3}$ 



JPEG 2000,  $p_F = 10^{-3}$ 

88/90

## Image coding and robustness

- Markers insertion
- Markers period
- Marker emulation prevention
- Trade-off between robustness and rate



#### Error robustness in JPEG2000

- Data priorization is possible
- No dependency among codeblocks
  - No error propagation
- No block-based transform
  - No blocking artifacts

