

Designing various algorithms based on DAG-pathwidth

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Abstract. DAG (Directed Acyclic Graph)-pathwidth is a parameter that measures how closely a directed graph is to a directed path. This parameter is useful for designing parameterized algorithms to solve NP-hard problems even on DAGs.

In this paper, we first design parameterized algorithms with DAG-pathwidth for various NP-hard problems even on DAGs. Specifically, we design fixed-parameter tractable (FPT) algorithms for the DIRECTED DOMINATING SET PROBLEM and the MAX LEAF OUTBRANCHING PROBLEM. Given a DAG with n vertices and a DAG-path-decomposition of width w , both problems can be solved exactly in $O(2^w wn)$ time. Similarly, we propose parameterized algorithms for the DIRECTED STEINER TREE PROBLEM and the k -DISJOINT PATH PROBLEM.

Next, we show the existence of a polynomial-time approximation algorithm for DAG-pathwidth that achieves an $O(\log^{3/2} n)$ approximation ratio by demonstrating the equivalence between constructing DAG-path-decomposition and solving one-shot Black Pebbling game.

We also design an algorithm that, given an integer t and DAG H with l roots and at most d outdegree, either computes a DAG-path-decomposition of H with width at most $O(ld^t)$ or provides evidence that the DAG-pathwidth of H is greater than t .

Keywords: Graph algorithm · Computational complexity · Directed acyclic graph · Pathwidth.

1 Introduction

Path and *tree decompositions* [21,22] are prominent techniques for efficiently solving NP-hard problems on graphs. These methods transform the input graph into a path-like or tree-like structure, enabling the extension of dynamic programming algorithms originally designed for paths or trees to more general graph classes. The effectiveness of these decompositions is characterized by their width: *pathwidth* for path decompositions and *treewidth* for tree decompositions. A smaller pathwidth/treewidth indicates that the graph's structure is closer to a path/tree. When the width is bounded by a constant, it is sometimes possible to solve NP-hard problems in polynomial time with respect to the number of vertices.

For directed graphs, *directed pathwidth* was introduced by Reed [20], and *directed treewidth* by Johnson et al. [15]. Berwanger et al. [6] proposed a width

parameter, called *DAG-width*, specifically for directed acyclic graphs (DAGs). DAG-width measures how close a directed graph is to a DAG. However, if the input graph is a DAG, this parameter is always at most one, making it difficult to construct parameterized algorithms for NP-hard problems on DAGs.

Kasahara et al. [16] proposed *DAG-pathwidth*, which measures how close a directed graph is to a directed path. DAG-path decompositions follow the same rules as standard path decompositions, with the added constraint that for any vertex, its first appearance in a bag must respect the topological order of the original input graph, and all vertices within a strongly connected component of the original graph must appear together in one bag. This constraint facilitates the design of efficient fixed-parameter tractable (FPT) algorithms especially on DAGs. While they introduced DAG-pathwidth to analyze the discord k -independent set problem, we believe that this concept has broader applicability and can be a valuable tool for analyzing various NP-hard problems on DAGs. Although they demonstrated that computing DAG-pathwidth is NP-hard, they did not provide a practical algorithm for its computation.

Based on the above, our contributions are as follows:

1. We construct parameterized algorithms using DAG-pathwidth for various NP-hard problems on DAGs.
2. We propose approximation algorithms for DAG-path-decomposition and parameterized algorithms to construct decompositions with small width.
3. We newly extend DAG-pathwidth to DAG-treewidth, a parameter measuring the similarity of a directed graph to a directed tree.

In Section 3, we design FPT algorithms for the DIRECTED DOMINATING SET PROBLEM and the MAX LEAF OUTBRANCHING PROBLEM on DAGs in $O(2^w wn)$ time, given a DAG with n vertices and a DAG-path-decomposition of width w . We also design an FPT algorithm for the DIRECTED STEINER TREE PROBLEM, which runs in $O(2^w(k+w)n + n^2)$ time when the size of the terminal set is k . Additionally, we propose a parameterized algorithm for the k -DISJOINT PATH PROBLEM, which runs in $O((k+1)^w(w^2+k)n + n^2)$ time. At the end of Section 3, we show the advantages of DAG-pathwidth compared to treewidth using the DIRECTED EDGE DOMINATING SET PROBLEM. While the proposed algorithms are designed for DAGs, they can also be applied to general directed graphs by performing a strongly connected component. In Section 4, we show the existence of an $O(\log^{3/2} n)$ -approximation algorithm for DAG-pathwidth on DAGs by demonstrating the equivalence between the one-shot Black Pebbling Problem and the problem of computing the DAG-pathwidth. Finally, in Section 5, we design an algorithm that, given an integer t , and a DAG with maximum outdegree d , number of roots l , provides a DAG-path-decomposition with width $O(l \cdot d^t)$. This algorithm is based on the one for undirected path decompositions [7], and both of these algorithms utilize the graph embedding of complete trees.

Related work The pathwidth of an undirected graph was first proposed by Robertson et al. [21]. They also introduced the concept of treewidth [22]. Arnborg et al. [2] later demonstrated that it is NP-complete to determine whether

the treewidth and pathwidth of a graph is at most k . They also studied various FPT algorithms using these parameters [3]. It is unknown there exists a polynomial-time algorithm computing a tree decomposition with a width at most any constant factor of treewidth k . Similarly, it is also unclear whether constant-factor approximations for pathwidth are achievable. However, Amir [1] proposed a polynomial-time algorithm that approximates treewidth within a factor of $O(\sqrt{\log k})$. Tuukka [18] also proposed a 2-approximation algorithm with a time complexity of $2^{O(k)}$. Similarly, for pathwidth, Carla et al. [13] proposed a polynomial-time algorithm with an approximation ratio of $O(k\sqrt{\log k})$, where k is the treewidth. In addition, Cattell et al. [7] presented a polynomial-time algorithm that computes a path decomposition with a width of $O(2^{pw})$, where pw is the pathwidth.

2 Preliminaries

2.1 DAG

A *DAG* (Directed Acyclic Graph) is a directed graph with no cycles. For a DAG $G = (V, E)$ and a vertex $v \in V$, we define the *predecessors* as $\text{pred}(v) = \{u \in V \mid (u, v) \in E\}$ and *successors* as $\text{suc}(v) = \{w \in V \mid (v, w) \in E\}$ of v .

In particular, a *directed tree* is a DAG that has a unique vertex r with an indegree of 0, and the underlying graph forms a tree.

2.2 Various Path Decompositions and Pathwidth

We first define the *path decomposition* and the *pathwidth* [21]. Let $G = (V, E)$ be an undirected graph. A *path decomposition* of G is a sequence $X = (X_1, X_2, \dots, X_s)$ ($X_i \subseteq V$) that satisfies the following three conditions:

1. $X_1 \cup X_2 \cup \dots \cup X_s = V$.
2. For any edge $(u, v) \in E$, there exists an i (≥ 1) such that $u, v \in X_i$.
3. For any integers i, j, k ($1 \leq i \leq j \leq k \leq s$), $X_i \cap X_k \subseteq X_j$, that is, for any vertex $v \in V$, v induces exactly one non-empty path in X .

For a path decomposition $X = (X_1, X_2, \dots, X_s)$ of G , each subset X_i is called a *bag*. The *width* of X is defined as $\max_i \{|X_i| - 1\}$. The *pathwidth* of G is the minimum width over all possible path decompositions of G . Computing the pathwidth of a general undirected graph is NP-hard [2].

Next, we define the *directed path decomposition* and the *directed pathwidth* [20]. Let $D = (V, E)$ be a directed graph. A *directed path decomposition* of D is a sequence $X = (X_1, X_2, \dots, X_s)$ ($X_i \subseteq V$) that satisfies the following three conditions:

1. $X_1 \cup X_2 \cup \dots \cup X_s = V$.
2. For any directed edge $(u, v) \in E$, there exist i, j ($i \leq j$) such that $u \in X_i$, $v \in X_j$.

3. For any i, j, k ($1 \leq i \leq j \leq k \leq s$), $X_i \cap X_k \subseteq X_j$, that is, for any vertex $v \in V$, v induces exactly one non-empty path in X .

The *directed pathwidth* of D can also be defined in the same way as the pathwidth.

Then, we define the *DAG-path-decomposition* and the *DAG-pathwidth* [16]. Let $H = (V, E)$ be a directed graph. A *DAG-path-decomposition* of H is a sequence $X = (X_1, X_2, \dots, X_s)$ ($X_i \subseteq V$) that satisfies the following three conditions:

1. $X_1 \cup X_2 \cup \dots \cup X_s = V$.
2. For every directed edge $(u, v) \in E$, $u, v \in X_1$ or there exists i ($i \geq 2$) such that $u, v \in X_i$ and $v \notin X_{i-1}$.
3. For any i, j, k ($1 \leq i \leq j \leq k \leq s$), $X_i \cap X_k \subseteq X_j$. That is, for any vertex $v \in V$, v induces exactly one non-empty directed path in X .

The *DAG-pathwidth* of H can also be defined in the same way as the pathwidth. Note that in [16], condition 2 is defined that $u, v \in X_1$ or there exists i ($i \geq 2$) such that $u, v \in X_i$ and $u \notin X_{i-1}$. In this study, we adopt the above definition for the sake of algorithmic simplicity.

The DAG-pathwidth is a parameter that indicates how closely the structure of a directed graph resembles a directed path. From Rule 3 of the DAG-path-decomposition, any vertex v is contained in a connected sequence of bags. Additionally, Rules 2 and 3 imply that for any edge (u, v) , u and v first appear together in a single bag or u appears in a bag without v , followed by a bag containing both u and v . Thus, a DAG-path-decomposition can be interpreted as an operation of adding vertices to bags according to a topological order of the graph.

Computing the DAG-pathwidth for general directed graphs is NP-hard [16]. However, it can be shown that graphs with DAG-pathwidth 1 are exactly the *directed caterpillar graph*, which is a directed tree such that removing vertices with indegree 1 and outdegree 0 leaves a single directed path. The proof is in the appendix.

To facilitate dynamic programming, we define the *nice DAG-path-decomposition* [16]. It is a DAG-path-decomposition $X = (X_1, X_2, \dots, X_s)$ of a directed graph $H = (V, E)$ that satisfies the following rules:

1. $X_1 = X_s = \emptyset$.
2. For any i ($2 \leq i \leq s-1$), one of the following holds:
 - (introduce) There exists a strongly connected component $S \subseteq V$ such that $S \cap X_i = \emptyset$ and $X_{i+1} = X_i \cup S$.
 - (forget) There exists a vertex $v \in V$ such that $X_{i+1} = X_i \setminus \{v\}$.

If H is a DAG, each strongly connected component S consists of a single vertex. Thus, the introduce operation can be redefined that there exists a vertex $v \in V$ such that $\{v\} \cap X_i = \emptyset$ and $X_{i+1} = X_i \cup \{v\}$. It means, for any vertex, there exists exactly one introduce bag and one forget bag due to Rules 1 and 3 of the DAG-path-decomposition.

The nice DAG-path-decomposition simplifies the design of dynamic programming algorithms, as each bag involves either introducing or forgetting a single vertex for DAGs. It is shown in [16] that a nice DAG-path-decomposition with the same width as a given DAG-path-decomposition can be constructed in polynomial time. Moreover, the number of bags is not more than $2|V[H]| + 1$. For DAGs, the number is exactly $2|V[H]| + 1$.

2.3 one-shot Black Pebbling Game

To compare with DAG-path-decomposition, we define the *one-shot Black Pebbling game (one-shot BP)* [17]. Given a DAG $G = (V, E)$, a sequence of vertex sets (called a strategy) $P = (P_0, P_1, \dots, P_t)$ ($P_i \subseteq V$) is constructed to satisfy the following rules:

Rule 1 $P_0 = \emptyset$

Rule 2 pebble: If no pebble is placed on $v \in V$ and all predecessors of v have pebbles, a pebble may be placed on v . That is, if $v \notin P_{i-1}$ and for every $(u, v) \in E$, $u \in P_{i-1}$, then $P_i = P_{i-1} \cup \{v\}$.

Rule 3 unpebble: A pebble placed on v can be removed at any time. That is, if $v \in P_{i-1}$, then $P_i = P_{i-1} \setminus \{v\}$.

Rule 4 Each vertex must have a pebble placed on it at least once.

Rule 5 Each vertex of the DAG G is pebbled only once.

Pebble (Rule 2) represents the operation of placing a pebble on a vertex, and unpebble (Rule 3) represents the operation of removing a pebble from a vertex. Note that roots of the graph, which have no predecessors, can be pebbled at any time. Furthermore, the *space* of P is defined as $\max_i |P_i|$. The *pebbling number* of G is the minimum space over all possible strategies of G . Computing the pebbling number is NP-hard [23]. In Section 4, we demonstrate that one-shot BP is equivalent to the problem of constructing a nice DAG-path-decomposition on DAGs.

3 Algorithms for various NP-hard problems on DAGs based on DAG-pathwidth

3.1 Advantages of DAG-path-decomposition Compared to Tree Decomposition

For NP-hard problems on a DAG, it is sometimes possible to construct a parameterized algorithm using the treewidth of the underlying graph. However, since tree decomposition does not retain information about edge directions, constructing an algorithm can become complex. On the other hand, DAG-path-decomposition retains edge direction information, making it generally easier to construct an algorithm compared to using tree decomposition.

In this section, we compare an algorithm using tree decomposition for the DIRECTED EDGE DOMINATING SET PROBLEM (DEDS problem) [5] with an

algorithm using DAG-path-decomposition and demonstrate the characteristics of DAG-path-decomposition.

For a directed graph $G = (V, E)$, a subset $S \subseteq E$ is a *Directed Edge Dominating Set (DEDS)* of G if, for any $(v, w) \in E$, either $(v, w) \in S$ holds or there exists some $(u, v) \in S$. The *minimum DEDS (mDEDS)* is the DEDS S with the smallest $|S|$ among all DEDS. The DEDS problem is the problem of determining the size of the mDEDS of G .

A related problem is the *Directed Dominating Set Problem (DiDS problem)*. This problem seeks the smallest subset $S \subseteq V$ such that, for every $v \in V$, either $v \in S$ or there exists some $u \in S$ where $(u, v) \in E$. [14], [5] established the following result:

Proposition 1 (time Complexity). *The DEDS problem remains NP-hard even for planar bounded degree DAGs.*

Proposition 2 (FPT algorithm with treewidth). *If the treewidth of the underlying graph of a DAG G is at most tw , then there exists an FPT algorithm that solves the DEDS problem on G in $4^{2tw^2}8^{2tw}n^{O(1)}$ time.*

The above algorithm maintains the following information for dynamic programming. For each bag B_t in the tree decomposition, it stores the set of edges $A \subseteq E(B_t)$ that form a solution, a function f representing the shortest distance from each vertex $v \in B_t$ to the solution set, and a function s_f indicating whether the solution is valid. This algorithm can be used on general directed graphs and is applicable to more generalized problems.

In contrast, DAG-path-decomposition includes information on edge directions, allowing for a sequential investigation of edge dominance relationships from the root. Moreover, since the width of a DAG-path-decomposition cannot be smaller than the maximum in-degree, it is possible to efficiently construct a DAG-path-decomposition of the line graph, defined below, from the DAG-path-decomposition of the input graph. By treating edges as vertices, processing can be performed more intuitively. For these reasons, compared to treewidth-based approaches, DAG-path-decomposition reduces the number of required variables and simplifies algorithm design. In this section, we prove the following theorem:

Theorem 1. *Given a DAG G and its nice DAG-path-decomposition of width w , there exists an algorithm that solves the DEDS problem on G in $O(2^{w^2}w^2n^2)$ time.*

Before constructing the above algorithm, we define the *line graph* of a directed graph. For a directed graph $G = (V, E)$, its *line graph* $L(G) = (V_L, E_L)$ is defined as follows: $V_L = \{e \mid e \in E\}$ and $E_L = \{(e_1, e_2) \mid e_1 = (u, v) \in E, e_2 = (v, w) \in E\}$. That is, the line graph of a directed graph is obtained by replacing the vertices and edges of the original graph while preserving edge directions.

DAG-pathwidth-based algorithm reformulates the mDEDS problem as the problem of finding the mDiDS of the line graph and solves it using the DAG-path-decomposition of the line graph. We first prove the following two lemmas, which immediately lead to Theorem 1. Proofs are provided in the appendix.

Lemma 1. *Given a DAG G with n vertices and its nice DAG-path-decomposition of width w , a nice DAG-path-decomposition of $L(G)$ with width at most w^2 can be constructed in $O(w^2n)$ time.*

Lemma 2. *For a DAG G , the mDEDS of G and the mDiDS of $L(G)$ are equal.*

3.2 Design of Various Parameterized Algorithms Using DAG-path-decomposition

Beyond the DEDS problem, DAG-path-decomposition enables the construction of simple parameterized algorithms for NP-hard problems on DAGs. In this section, we design parameterized algorithms using DAG-path-decomposition for the following four problems, which are NP-hard even on DAGs [11]. Proofs of correctness are provided in the appendix.

We first establish the existence of an FPT algorithm for the DiDS problem.

Theorem 2. *Given a DAG G and its nice DAG-path-decomposition of width w , there exists an algorithm that solves the DiDS problem on G in $O(2^w wn)$ time.*

Next, we present an FPT algorithm for the *Max Leaf Outbranching Problem* (MaxLOB). Given a directed graph $G = (V, E)$ and a root $r \in V$, the MaxLOB problem seeks the maximum number of leaves in a directed spanning tree rooted at r .

Theorem 3. *Given a DAG G and its nice DAG-path-decomposition of width w , there exists an algorithm that solves the MaxLOB problem on G in $O(2^w wn)$ time.*

We also design a parameterized algorithm for the *Disjoint Path Problem*, defined as follows: Given a directed graph $G = (V, E)$ and k vertex pairs $(s_1, t_1), (s_2, t_2), \dots, (s_k, t_k)$, let $\mathcal{P} = (P_1, P_2, \dots, P_k)$ be a set of vertex-disjoint paths from s_i to t_i . The goal of the Disjoint Path Problem is to find the minimum sum of path lengths, $\sum_{i=1}^k |P_i|$.

Theorem 4. *Given a DAG G and its nice DAG-path-decomposition of width w , there exists an algorithm that solves the Disjoint Path Problem on G in $O((k+1)^w(w^2 + wk)n + n^2)$ time.*

Finally, we present an FPT algorithm for the *Directed Steiner Problem* (DST problem). Given a weighted directed graph $G = (V, E)$, a root $r \in V$, and a set of terminals $R = \{t_1, t_2, \dots, t_k\} \subseteq V$, the DST problem seeks the minimum-weight directed tree rooted at r that spans all $t_i \in R$.

Theorem 5. *Given a DAG G and its nice DAG-path-decomposition of width w , there exists an FPT algorithm that solves the DST problem on G in $O(2^w(k+w)n + n^2)$ time.*

4 $O(\log^{3/2} n)$ -approximation algorithm for DAG-pathwidth

The problem of determining the DAG-pathwidth for a general DAG is NP-hard, but an approximate width can be computed in polynomial time. In this chapter, we establish the relationship between DAG-path-decomposition and one-shot BP, and we demonstrate the existence of a polynomial-time algorithm that provides a DAG-path-decomposition with an approximation ratio of at most $O(\log^{3/2} n)$ for a given DAG G with n vertices.

First, we define the approximation ratio for minimization problems. Given an input I to the problem, let $S_{\text{alg}, I}$ be the output of the algorithm, $S_{\text{opt}, I}$ be the optimal solution, and $f(S)$ be the objective function value. The *approximation ratio* r is then defined as follows:

$$r = \sup_I \frac{f(S_{\text{alg}, I})}{f(S_{\text{opt}, I})}.$$

Per et al. [4] have shown the existence of the following approximation algorithm for one-shot BP.

Proposition 3. *Given a DAG G with n vertices, there exists an algorithm that outputs a strategy for one-shot BP whose pebbling number is at most $O(\log^{3/2} n)$ times the minimum value.*

Using the above algorithm [4], we prove the following theorem.

Theorem 6. *Given a DAG G with n vertices and DAG-pathwidth pw , there exists a polynomial-time algorithm that provides a nice DAG-path-decomposition with width at most $O(pw \cdot \log^{3/2} n)$.*

To prove **Theorem 6**, it is sufficient to show the following Lemma. Proof of this Lemma is in the appendix.

Lemma 3. *On a DAG, the construction of a strategy for one-shot BP and a nice DAG-path-decomposition are equivalent problems.*

5 Algorithm to find DAG-path-decomposition with width at most $O(ld^t)$

In this chapter, we propose an algorithm that, given a DAG H with maximum outdegree d and number of roots l , along with a non-negative integer t , either outputs a DAG-path-decomposition with width at most $O(ld^t)$ or provides evidence that the DAG-pathwidth of H is greater than t . This algorithm is constructed based on the path decomposition algorithm for undirected graphs [7]. (Note that all the proofs of Lemma, Theorem, etc. in this chapter are shown in the appendix)

Proposition 4. [7] *Given an undirected graph H with n vertices and a non-negative integer t , there exists an $O(n)$ time algorithm that either provides evidence that the pathwidth of H is greater than t or returns a path decomposition with width at most $O(2^t)$.*

Following this algorithm [7], we construct an algorithm that, for a DAG H with maximum outdegree d and number of roots l , either provides evidence that the DAG-pathwidth of H is greater than t or returns a DAG-path-decomposition with width at most $O(ld^t)$. This algorithm utilizes the *homeomorphic embedding*. A homeomorphic embedding of a directed graph $G_1 = (V_1, E_1)$ into another directed graph $G_2 = (V_2, E_2)$ is a mapping $f : V_1 \rightarrow V_2$ satisfying the following conditions:

1. f is an injective function.
2. There exists a bijective mapping g from E_1 to a set of vertex-disjoint paths in G_2 such that for any edge $e = (u, v) \in E_1$, the path $g(e)$ starts at $f(u)$ and ends at $f(v)$.

Here, two paths are allowed to share only their endpoints. We refer to homeomorphic embeddings simply as embeddings. In this section, we establish the following theorem for general DAGs.

Theorem 7. *Let H be a DAG with maximum outdegree d and number of roots l , and let t be a non-negative integer. Then, exactly one of the following holds:*

- (a) *The DAG-pathwidth of H is at most $ld^{t+3} - 1$.*
- (b) *H can be partitioned into two vertex sets X, Y such that $X \cup Y = V[H]$ and $X \cap Y = \emptyset$. Let A and B be the subgraphs of H induced by X and Y , respectively. In H , there exist only edges directed from A to B , and the DAG-pathwidth of A is greater than t .*

To prove **Theorem 7**, we first define the notion of a complete directed tree. For an integer $d (\geq 1)$, a *complete d -ary directed tree* $T = (V, E)$ is a directed tree that every non-leaf vertex has exactly d children and all root-to-leaf paths have the same length. If T is a complete d -ary directed tree, we define the *height* of T as the length of any root-to-leaf path plus 1. If T consists of only the root vertex, then its height is defined as 1. The DAG-pathwidth of a complete d -ary directed tree is given as follows:

Lemma 4. *Let $T_{h,d}$ be a complete d -ary directed tree of height h ($h, d > 1$). Then, the DAG-pathwidth of $T_{h,d}$ is $h - 1$.*

We construct a parameterized algorithm that satisfies **Theorem 7** with reference to [7]. Given an input DAG $H = (V, E)$, we modify it to have a single root by adding a complete d -ary directed tree of height $\lceil \log_d l \rceil$ and connecting its leaves to each root of H . Let H' be the resulting DAG. We also define $M_{t,d,l}$ as a complete d -ary directed tree of height $\lceil \log_d l \rceil + t + 2$.

The algorithm searches for an embedding of $M_{t,d,l}$ into H' . If such an embedding is found, it implies that the DAG-pathwidth of H' is at least that of

Algorithm 1 GrowTokenTree

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1: while there is a vertex  $u \in H'$  with token  $T$  and a blue successor  $v$  of  $u$  whose all
   predecessors are placed token, and token  $T$  has an untokened child  $T \cdot b$  do
2:   place token  $T \cdot b$  on  $v$ 
3: end while
4: return  $\{v \in V[H'] \mid v \text{ is placed a token}\}$ 

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$M_{t,d,l}$. The vertices of $M_{t,d,l}$ are called tokens. The algorithm places tokens onto vertices of H' preserving the tree structure. Once no further placement is possible, next placement is done after moving some tokens to other vertices. If all tokens of $M_{t,d,l}$ are used in the embedding, it indicates that an embedding from $M_{t,d,l}$ to H' has been found. When a token T is placed on a vertex of H' , T is said to be *tokened*, and when it is not placed on any vertex, it is said to be *untokened*. Throughout the algorithm, each vertex of H' can be placed a token at most once.

We define recursive token labeling as follows:

1. The root token is labeled with the empty string λ .
2. If a parent token has label $m = \lambda b_1 b_2 \dots b_{h-1}$, its children are labeled $m \cdot 1, m \cdot 2, \dots, m \cdot d$ from left child to right child.

Initially, all vertices of H' are assumed to be blue. When a token is placed on a vertex v of H' , the color of v changes to red, and it remains red even if the token is removed. Tokens can only be placed on blue vertices, meaning that each vertex of H' can have a token at most once.

GrowTokenTree and FindEmbedding are presented in Algorithms 1 and 2, respectively. GrowTokenTree (Algorithm 1) greedily places tokens of $M_{t,d,l}$ onto vertices of H' while preserving the tree structure. A token can only be placed on a vertex whose predecessors already have tokens. This process continues until no more tokens can be placed, at which point the algorithm outputs the set of vertices in H' placed tokens.

FindEmbedding (Algorithm 2) attempts to output a sequence of vertex sets (X_1, X_2, \dots) that form a DAG-path-decomposition. Initially, a token λ is placed on the single root of H' . Then, setting $i = 1$, GrowTokenTree is executed, and the output is assigned to X_1 . Subsequently, for each i , the following process is repeated. Assuming that (X_1, X_2, \dots, X_i) has been constructed, if X_i simultaneously uses all tokens of $M_{t,d,l}$, then it represents an embedding from $M_{t,d,l}$ to H' , which indicates the DAG-pathwidth of H' is at least that of $M_{t,d,l}$. Moreover, if all vertices of H' have turned red, each vertex of H' has had exactly one token placed on it. In this case, the sequence (X_1, X_2, \dots, X_i) forms a DAG-path-decomposition of H' , with proof provided later.

Algorithm 2 FindEmbedding

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1: place root token  $\lambda$  on root of  $H'$ 
2:  $i \leftarrow 1$ 
3:  $X_i \leftarrow$  call GrowTokenTree
4: while  $|X_i| < |V[M_{t,d,l}]|$  and  $H'$  has at least one blue vertex do
5:   if there is a vertex  $v \in H'$  with token  $T$  such that  $v$  has no blue successor and
      $T$  has at most one tokened child then
6:     remove  $T$  from  $H'$ 
7:     if  $T$  had one tokened child  $T \cdot b$  then
8:       replace all tokens  $T \cdot b \cdot S$  with  $T \cdot S$  on  $H'$ 
9:     end if
10:  else
11:    return  $X_i$ 
12:  end if
13:   $i \leftarrow i + 1$ 
14:   $X_i \leftarrow$  call GrowTokenTree
15: end while

```

In any other case—namely, if not all tokens of $M_{t,d,l}$ are simultaneously used and at least one vertex in H' remains blue—there may be potential for further execution of **GrowTokenTree** by modifying the token placement. Therefore, we consider removing the token T placed on a vertex $v \in V[H']$ that satisfies the following two conditions:

- (a) All successor vertices of v are red.
- (b) T has at most one *tokened* child token in $M_{t,d,l}$.

Condition (a) corresponds to a forget operation in the DAG-path-decomposition, and condition (b) ensures that the embedding remains valid. A token T can be removed from v only if both conditions are met. In this process, removing T might disconnect the *tokened* token set in $M_{t,d,l}$. To maintain connectivity, all tokens in the directed tree rooted at the *tokened* child $T \cdot b$ are relocated to the corresponding positions in the directed tree rooted at T . This replacement must proceed from the tokens closest to the root to those farther away to ensure that the replacement target tokens are always *untokened*. This operation generates tokens that switch from *tokened* to *untokened*, allowing **GrowTokenTree** to be executed again, with its output denoted as X_{i+1} . If no token satisfies both conditions (a) and (b), the algorithm returns the last output of **GrowTokenTree**. This output represents evidence that the DAG-pathwidth of H' is at least that of $M_{t,d,l}$, as will be demonstrated below.

This final process is the main difference from [7]. In [7], there always exists a removable token T until the algorithm terminates. In contrast, in this algorithm, there may be cases where such a token does not exist. This is because embedding

of directed graphs is more difficult than that of undirected graphs, as it requires considering the directions of the edges.

We first show the following lemma:

Lemma 5. *The subgraph G' induced by the tokened token set in $M_{t,d,l}$ is connected, and an embedding from G' to H' exists.*

The algorithm `FindEmbedding` terminates when one of the following conditions is met: (1) in line 4, H' no longer contains any blue vertices; (2) in line 4, $|X_i| = |V[M_{t,d,l}]|$ holds; or (3) the process in line 11 is executed. The following lemmas demonstrate that the algorithm functions correctly in each of these cases.

Lemma 6. *If `FindEmbedding` terminates under condition (1), then there exists a DAG-path-decomposition of H with width at most $ld^{t+3} - 1$.*

Lemma 7. *If `FindEmbedding` terminates under condition (2), then H can be split into two disjoint subgraphs A and B such that $V[A] \cup V[B] = V[H]$ and $V[A] \cap V[B] = \emptyset$. Moreover, in H , only edges from A to B exist, and the DAG-pathwidth of A is greater than t but at most $ld^{t+3} - 1$.*

Before proving **Lemma 9**, we show the following. The outline of the proof is illustrated in Figure ??.

Lemma 8. *At any time $i = k$, let r_0 be the vertex of H' on which the root token λ is placed. Then, all blue vertices in H' must have r_0 as an ancestor.*

Lemma 9. *If `FindEmbedding` terminates at (3), then the DAG-pathwidth of H is greater than t .*

Lemma 6, 7, and 9 indicate **Theorem 7**. The proof is in appendix.

Corollary 1 *Given a DAG H with l roots and maximum outdegree d , and an integer t , there exists an $O(n^2)$ time algorithm that either provides evidence that the DAG-pathwidth of H is greater than t , or provides a DAG-path-decomposition of width at most $O(ld^t)$.*

6 Conclusion

In this study, we designed dynamic programming algorithms for solving various NP-hard problems on DAGs, using DAG-pathwidth as a parameter. Additionally, we demonstrated the existence of an $O(\log^{3/2} n)$ -approximation algorithm for computing DAG-pathwidth, as well as a parameterized algorithm for constructing a DAG-path-decomposition with width at most $O(ld^k)$. The former is demonstrated by showing the equivalence between constructing DAG-path-decomposition and solving one-shot Black Pebbling game, while the latter leverages DAG embeddings. Notably, the latter algorithm is independent of the number of vertices in input graph and can also serve as an algorithm for estimating the one-shot Black Pebbling number.

A key challenge for future work is to further reduce the width $O(ld^k)$ of the parameterized algorithm. In particular, since the maximum outdegree d may grow up to the vertex count n , we aim to explore methods to bound d by a constant.

Acknowledgements

soon.

DAG-path-decomposition DAG-path-width .

A Proof of Chapter 2

A.1 The DAG-pathwidth of a connected DAG is 1 if and only if it is the directed caterpillar graph

Proof. Assume that the number of vertices is 2 or more. If there exists a vertex v in G with an in-degree of at least 2, then by Rule 2 of DAG-path-decomposition, any DAG-path-decomposition of G must contain a bag that includes v and all of its predecessor vertices. Thus, the DAG-path-width of G is at least 2. Therefore, we consider only the case where G has no vertex with in-degree 2 or greater, meaning that G is a directed tree. First, we show that if G is not directed caterpillar graph, then its DAG-path-width is at least 2. To do so, we consider cases based on the number of vertices in G . If $|V[G]| = 2$, then G is clearly directed caterpillar graph. Similarly, when $|V[G]| = 3$ or 4, G is also directed caterpillar graph. The reason is as follows. Since G is a directed tree, we select one of the longest paths in G and denote its sequence of vertices as P . When $|V[G]| = 3$, then $|P| = 2$ or $|P| = 3$, both of which are clearly directed caterpillar graph. Similarly, when $|V[G]| = 4$, then $|P| = 2$, $|P| = 3$, or $|P| = 4$, all of which are also clearly directed caterpillar graph.

Next, we consider the case where G has at least 5 vertices. If G is not directed caterpillar graph, then by definition, even after removing vertices of out-degree 0, G still contains a branching. That is, there exists a vertex $v \in V[G]$ such that there are at least two vertex-disjoint paths of length 2 or greater starting from v . Let these paths be $P_1 = v, v_1, v_2, \dots$ and $P_2 = v, u_1, u_2, \dots$. By the rules of DAG-path-decomposition, any DAG-path-decomposition of G must contain at least one bag that includes one of the following three vertex sets: $\{v, v_1, v_2\}$, $\{v, u_1, u_2\}$, or $\{v, v_1, u_1\}$. Therefore, the DAG-path-width is at least 2. Thus, by taking the contraposition, if DAG-path-width of G is 1, then G must be directed caterpillar graph by noting that the DAG-path-width is not smaller than 1 since $|V[G]| \geq 2$.

Conversely, suppose G is directed caterpillar graph. Each vertex v in G has leaf children v_1, v_2, \dots, v_s with out-degree 0, and at most one non-leaf child with out-degree at least 1. If v has no non-leaf child, we sequentially create bags $\{v, v_1\}, \{v, v_2\}, \dots, \{v, v_s\}$, thereby constructing a DAG-path-decomposition for v and $\text{suc}(v)$. If v has a non-leaf child u , we sequentially create bags $\{v, v_1\}, \{v, v_2\}, \dots, \{v, v_s\}, \{v, u\}, \{u\}$,

thus constructing a DAG-path-decomposition for v and $\text{succ}(v)$. Applying this process to all vertices, we obtain a DAG-path-decomposition of G with width 1. Therefore, if G is directed caterpillar graph, its DAG-path-width is 1.

B Proofs of Chapter 3

B.1 Lemma 1

Proof. Suppose that a nice DAG-path-decomposition $X = (X_1, X_2, \dots, X_s)$ of a DAG G with width w is given. For each $i = 1, 2, \dots, s$, define $X_i^L = \{(u, v) \in E[G] \mid v \in X_i\}$. Then, $X^L = (X_1^L, X_2^L, \dots, X_s^L)$ forms a DAG-path-decomposition of $L(G)$ with width at most $O(w^2)$. We prove this below.

First, X^L satisfies Rule 1 of DAG-path-decomposition for $L(G)$. Since the DAG-path-decomposition X of G ensures that each vertex of G appears in some bag by Rule 1, each edge of G must also be contained in some bag X_i^L .

Furthermore, X^L satisfies Rule 3 for $L(G)$. In X , Rule 3 ensures that the subgraph of X induced by the bags containing any given vertex is a non-empty path. Similarly, in X^L , the subgraph induced by the bags containing any given edge of G is also a non-empty path.

Additionally, X^L satisfies Rule 2 for $L(G)$. Consider a bag X_k in X that introduces a vertex v . By Rules 2 and 3 of DAG-path-decomposition, for any vertex $u \in \text{pred}(v)$, it holds that $u, v \in X_k$ and $v \notin X_{k-1}, u \in X_{k-1}$. Let e_v be any edge with head v , and let e_u be any predecessor of e_v in $L(G)$. Since e_u has head u , the edge (e_u, e_v) in $L(G)$ satisfies $e_u, e_v \in X_k^L$ and $e_v \notin X_{k-1}^L$. Extending this argument to all v , we conclude that X^L satisfies Rule 2 for $L(G)$.

Thus, X^L is a DAG-path-decomposition of $L(G)$. Letting the width of X^L be w^L , we note that the maximum in-degree δ_{in} of G is at most w . Therefore, $w^L \leq \delta_{in}w \leq w^2$ holds.

Moreover, the complexity of constructing X^L is at most $O(w^2n)$. This is because the number of bags in X is $2|V[G]|+1$, and for each bag X_i , we only need to consider the predecessors of at most w vertices it contains. Thus, the number of edges added to X_i^L is at most $\delta_{in}w$. Consequently, the overall construction of X^L takes at most $O(\delta_{in}wn) \leq O(w^2n)$ time.

B.2 Lemma 2

Proof. First, we show that if S is a DEDS of DAG G , then S is also a DiDS of $L(G)$. If $S \in E[G]$ is a DEDS, for any edge $(v, w) \in E[G]$, either $(v, w) \in S$ holds or there exists some edge $(u, v) \in S$. In $L(G)$, for any vertex $(v, w) \in V[L(G)]$, either $(v, w) \in S$ holds or there exists some vertex $(u, v) \in S$. Thus, S is a DiDS of $L(G)$. Similarly, if $S \in V[L(G)]$ is a DiDS of $L(G)$, then S is a DEDS of G . Hence, the DEDS of G and the DiDS of $L(G)$ correspond one-to-one. Therefore, the mEDS of G and the mDiDS of $L(G)$ are equal.

B.3 Theorem 1

Proof. By Lemma 2, it suffices to compute the mDiDS of $L(G)$. By Lemma 1, given a nice DAG-path-decomposition X of G with width w , we can construct a DAG-path-decomposition X^L of $L(G)$ with width at most $O(w^2)$ and convert to nice DAG-path-decomposition X_{nice}^L in polynomial time. Therefore, we can compute the mDiDS of $L(G)$ using the algorithm described in Theorem 2. Noting that X_{nice}^L has width $O(w^2)$ and $O(n^2)$ bags, the time complexity of this computation is $O(2^{w^2} w^2 n^2)$.

B.4 Algorithm to solve DiDS problem and the proof of Theorem 2

To construct an algorithm satisfying Theorem 2, we first define the function DS. The function DS partitions each bag X_i into two disjoint vertex sets $A_i, B_i \subseteq X_i$ ($A_i \cup B_i = X_i, A_i \cap B_i = \emptyset$). Then, among the directed dominating sets of the subgraph G_i induced by $X_1 \cup X_2 \cup \dots \cup X_i$, it finds the smallest directed dominating set that includes all elements of A_i and none of B_i . By computing this for all combinations of A_i and B_i , we obtain the minimum dominating set for G_i . By considering all i , the minimum dominating set of the input graph G . The function DS is defined as follows:

$$DS(i, A_i, B_i) = \min \left\{ |S_i| \mid \begin{array}{l} S_i \subseteq X_1 \cup X_2 \cup \dots \cup X_i, \\ S_i \text{ is a DiDS of } G_i, \\ A_i \subseteq S_i, B_i \cap S_i = \emptyset \end{array} \right\}. \quad (1)$$

DS computes the size of the mDiDS of G_i . Below, we provide the recurrence formula for DS, dividing cases based on whether X_i introduces or forgets a vertex.

- When X_i introduces $v \in V$:

$$DS(i, A_i, B_i) = \begin{cases} DS(i-1, A_i \setminus \{v\}, B_i) + 1 & (v \in A_i) \\ DS(i-1, A_i, B_i \setminus \{v\}) & (v \in B_i \text{ and } \text{pred}(v) \cap A_i \neq \emptyset) \\ \infty & (\text{otherwise}) \end{cases}.$$

- When X_i forgets $v \in V$:

$$DS(i, A_i, B_i) = \min\{DS(i-1, A_i \cup \{v\}, B_i), DS(i-1, A_i, B_i \cup \{v\})\}.$$

Using DS, we define the algorithm **Compute**(P), which outputs the size of the mDiDS of G when given a nice DAG path decomposition P of G .

1. First Step: Set $DS(0, \emptyset, \emptyset) = 0$.
2. Execution Step: For each X_i ($i = 1, 2, \dots, s$) in P , compute $DS(i, A_i, B_i)$ for all combinations of A_i and B_i .
3. Final Step: If $i = s$, output $DS(s, \emptyset, \emptyset)$.

To demonstrate Theorem 2, it is sufficient to prove the following two Lemmas.

Lemma 10. *Compute returns the size of the mDiDS of G .*

Proof. It suffices to show that for each i , $\text{DS}(i, A_i, B_i)$ satisfies the definition (1) of DS. We prove this by induction on i .

Base Case ($i = 0$): Clearly, definition (1) holds.

Inductive Step ($i = k \rightarrow i = k + 1$): Assume that $\text{DS}(i, A_i, B_i)$ satisfies definition (1) for $i = k$. We consider cases where X_{k+1} introduces or forgets $v \in V$.

– Case 1: X_{k+1} introduces v

If $v \in A_{k+1}$, then by rule 2 of DAG path decomposition, v does not dominate any vertex in G_{k+1} . Thus, $\text{DS}(k+1, A_{k+1}, B_{k+1})$ is equal to $\text{DS}(k, A_{k+1} \setminus \{v\}, B_{k+1}) + 1$. By induction, this satisfies definition (1).

If $v \in B_{k+1}$ and $\text{pred}(v) \cap A_{k+1} \neq \emptyset$, then some vertex $u \in A_{k+1}$ dominates v . Thus, $\text{DS}(k+1, A_{k+1}, B_{k+1}) = \text{DS}(k, A_{k+1}, B_{k+1} \setminus \{v\})$, which also satisfies definition (1) by induction.

Otherwise, if $v \in B_{k+1}$ and $\text{pred}(v) \cap A_{k+1} = \emptyset$, no vertex in A_{k+1} dominates v . Hence, no valid mDiDS exists, and we set $\text{DS}(k+1, A_{k+1}, B_{k+1}) = \infty$.

– Case 2: X_{k+1} forgets v

Since $G_{k+1} = G_k$, the mDiDS of G_{k+1} is equal to the mDiDS of G_k . Thus, it is given by

$$\min\{\text{DS}(k, A_{k+1} \cup \{v\}, B_{k+1}), \text{DS}(k, A_{k+1}, B_{k+1} \cup \{v\})\},$$

which satisfies definition (1).

Thus, by induction, Lemma 10 is proven.

Lemma 11. *Given a DAG G with n vertices and a DAG path decomposition P of width w , Compute(P) runs in $O(2^w wn)$ time.*

Proof. Since $|X_i| \leq w + 1$, the number of combinations of A, B is at most 2^{w+1} . The number of bags is $2|V| + 1$. Checking $\text{pred}(v) \cap A_i \neq \emptyset$ takes at most $O(w)$ time. Thus, the overall complexity is $O(2^w wn)$.

B.5 Algorithm to solve MaxLOB problem and the proof of Theorem 3

In this case, the input graph is assumed to be a DAG with a single root, denoted as r . In this case, there must exist a directed spanning tree with the maximum number of leaves in G . It is sufficient to prove the following lemma to demonstrate this.

Lemma 12. *For a connected DAG G , having exactly one vertex r with in-degree 0 is both necessary and sufficient for G to have a directed spanning tree.*

Proof. If there are two or more vertices with in-degree 0 in G , it is evident that no directed spanning tree can exist. Conversely, if there exists exactly one vertex r with in-degree 0, we arrange the vertices of G in a topological order starting with r , denoted as $r, v_1, v_2, \dots, v_{n-1}$. Since G is a connected DAG, each vertex v_i ($i = 1, 2, \dots, n-1$) other than r must have at least one parent in the set $\{r, v_1, v_2, \dots, v_{i-1}\}$. For each vertex v_i , there exists a path P_i from r to v_i . When considering the union of all such paths, if the result is a directed tree, then it is a directed spanning tree. If there is a cycle, we can remove it by replacing part of the paths with cycles. This can be done for all cycles, transforming the union of all paths into a directed tree, which will be a directed spanning tree.

We now define the function LOB. The function LOB partitions each bag X_i into two disjoint vertex sets $A_i, B_i \subseteq X_i$ such that $A_i \cap B_i = \emptyset$. It then finds the directed spanning tree of G_i induced by $X_1 \cup X_2 \cup \dots \cup X_i$ in which A_i forms the leaf set and B_i does not form the leaf set, with the maximum number of leaves. By calculating this for all combinations of A_i and B_i , the maximum number of leaves directed spanning tree for G_i is obtained. By performing this calculation for all i , the maximum number of leaves directed spanning tree for the input graph G is obtained.

Definition 1 (LOB). Let the nice DAG path decomposition of DAG $G = (V, E)$ be $P = (X_1, X_2, \dots, X_s)$. For each i ($i = 1, 2, \dots, s$), let vertex sets $A_i, B_i \subseteq V$ satisfy $A_i \cup B_i = X_i$ and $A_i \cap B_i = \emptyset$. Let G_i be the subgraph of G induced by the vertex set $X_1 \cup X_2 \cup \dots \cup X_i$. The function LOB is defined as follows, where the leaf set of a directed tree T is denoted as $\text{Leaf}(T)$:

$$\text{LOB}(i, A_i, B_i) = \max \left\{ |\text{Leaf}(T_i)| \left| \begin{array}{l} T_i = (V[T_i], E[T_i]) \text{ is a directed spanning tree of } G_i \text{ rooted at } r, \\ V[T_i] = V[G_i], E[T_i] \subseteq E[G_i], \\ A_i \subseteq \text{Leaf}(T_i), B_i \subseteq V[T_i] \setminus \text{Leaf}(T_i) \end{array} \right. \right\}. \quad (2)$$

The function LOB computes the MaxLOB for G_i . Below, we provide the recurrence relation for LOB, dividing the cases based on whether X_i introduces or forgets a vertex.

- When X_i introduces $v \in V$:

$$\text{LOB}(i, A_i, B_i) = \begin{cases} \text{LOB}(i-1, A_i \setminus \{v\}, B_i) + 1 & (v \in A_i \text{ and } \text{pred}(v) \cap B_i \neq \emptyset) \\ \text{LOB}(i-1, A_i, B_i \setminus \{v\}) & (v \in B_i \text{ and } \text{pred}(v) \cap B_i \neq \emptyset) \\ -\infty & (\text{otherwise}) \end{cases}. \quad (3)$$

- When X_i forgets $v \in V$:

$$\text{LOB}(i, A_i, B_i) = \max\{\text{LOB}(i-1, A_i \cup \{v\}, B_i), \text{LOB}(i-1, A_i, B_i \cup \{v\})\}. \quad (4)$$

Using **LOB**, we define the algorithm **Compute** to output the solution of **MaxLOB** for G when given a nice DAG path decomposition P of G .

Compute(P)

1. First Step: If $V_r = \{r\}$, output 1 as the solution. Otherwise, set $\text{LOB}(1, \{r\}, \emptyset) = -\infty$, $\text{LOB}(1, \emptyset, \{r\}) = 0$.
2. Execution Step: For each X_i ($i = 1, 2, \dots, s$) in P , compute $\text{LOB}(i, A_i, B_i)$ for all combinations of A_i and B_i .
3. Final Step: If $i = s$, output $\text{LOB}(s, \emptyset, \emptyset)$.

Lemma 13. *Compute outputs the solution to MaxLOB for G .*

Proof. From the First Step of **Compute**, if G consists only of root r , it outputs 1, which is the solution to **MaxLOB**. Next, consider the case where G contains vertices other than r . The proof of Lemma 13 follows by showing that $\text{LOB}(i, A_i, B_i)$ satisfies the definition of **LOB** for each i . This is done by induction on i . For convenience, we refer to non-leaf vertices of a tree as stems.

When $i = 1$, the First Step of **Compute** gives $\text{LOB}(1, \{r\}, \emptyset) = -\infty$ and $\text{LOB}(1, \emptyset, \{r\}) = 0$. Since r cannot be a leaf, it clearly satisfies the definition 2.

For $i = k$, assume that $\text{LOB}(i, A_i, B_i)$ satisfies definition 2. We consider the cases where X_{k+1} introduces or forgets $v \in V$.

- Case 1: When X_{k+1} introduces $v \in V$

If $v \in A_{k+1}$, by rule 2 of the DAG path decomposition, $\text{pred}(v) \subseteq (A_{k+1} \cup B_{k+1})$. Since B_{k+1} represents the stems of the directed spanning tree, v can only be considered a leaf if there exists a stem vertex $u \in \text{pred}(v) \cap B_{k+1}$. By assumption, in G_k , we have $\text{LOB}(k, A_{k+1} \setminus \{v\}, B_{k+1})$ as valid.

If $v \in B_{k+1}$ and there exists an $u \in \text{pred}(v) \cap A_{k+1}$, we can include v in the leaf set. The definition of **LOB** holds in this case as well.

Otherwise, if $v \in B_{k+1}$ and $\text{pred}(v) \cap A_{k+1} = \emptyset$, no valid directed spanning tree exists with v as a leaf, so $\text{LOB}(k+1, A_{k+1}, B_{k+1}) = -\infty$.

- Case 2: When X_{k+1} forgets $v \in V$

Since $G_{k+1} = G_k$, the **MaxLOB** of G_{k+1} equals the **MaxLOB** of G_k . Thus, we have the recurrence:

$$\text{LOB}(k+1, A_{k+1}, B_{k+1}) = \max \{ \text{LOB}(k, A_{k+1} \cup \{v\}, B_{k+1}), \text{LOB}(k, A_{k+1}, B_{k+1} \cup \{v\}) \},$$

which satisfies the definition of **LOB**.

Thus, by induction, Lemma 13 is proven.

Lemma 14. *Let G be a DAG with n vertices. Given the DAG path decomposition P of G with width w , the algorithm **Compute**(P) outputs the result in $O(2^w w n)$ time.*

Proof. The First Step and Final Step can each be computed in $O(1)$ time. We now analyze the time complexity for the Execution Step. For each X_i , note that $|X_i| \leq w + 1$, so the number of combinations of A and B is at most 2^{w+1} . Additionally, by Proposition ??, we have $0 \leq i \leq 2|V| + 1$. Furthermore, since the computation of $\text{pred}(v)$ takes at most $O(w)$ time, the time complexity of computing $\text{LOB}(i, A_i, B_i)$ is $O(w)$ if X_i introduces a vertex and $O(1)$ if X_i forgets a vertex. Therefore, the overall time complexity of **Compute** is $O(w 2^w n)$.

C Proof of Chapter 4

C.1 Lemma 3

Proof. First, we show that if X is a nice DAG-path-decomposition of a DAG G , then X is also a strategy of one-shot BP. Let $X = (X_1, X_2, \dots, X_s)$ be a nice DAG-path-decomposition of G . Since $X_1 = \emptyset$ in a nice DAG-path-decomposition, it satisfies Rule 1 of one-shot BP. By Rules 1 and 3 of DAG-path-decomposition, every vertex is introduced exactly once, satisfying Rules 4 and 5 of one-shot BP. Furthermore, by the conditions of introduce and Rule 2 of DAG-path-decomposition, if $v \in V$ is introduced at X_i , then for any $(u, v) \in E$, it holds that $u \in X_{i-1}$. This satisfies Rule 2 of one-shot BP. Additionally, since each vertex is forgotten exactly once, the forget and unpebble operations are clearly equivalent, thus satisfying Rule 3 of one-shot BP. Therefore, the introduce and forget operations in X correspond to the pebble and unpebble operations, respectively, proving that X is a one-shot BP of G .

Next, we show that if P is a one-shot BP of G , then P is a nice DAG-path-decomposition of G . Let $P = (P_1, P_2, \dots, P_t)$ be a strategy of one-shot BP of G . Since $P_1 = \emptyset$, it satisfies the initial condition of a nice DAG-path-decomposition. Furthermore, each vertex $v \in V$ is pebbled and unpebbled exactly once in P , satisfying Rule 1 of DAG-path-decomposition. Additionally, if a vertex v is pebbled and unpebbled at P_i and P_{k+1} ($1 \leq i \leq k \leq t-1$), then by Rule 5 of one-shot BP, no vertex is pebbled more than once. Hence, for any P_j ($i \leq j \leq k$), it holds that $v \in P_j$. Applying this argument to all vertices in V , we conclude that Rule 3 of a nice DAG-path-decomposition is satisfied. Moreover, by the pebbling rule, if $v \notin P_{i-1}$ and $u \in P_{i-1}$ for any $(u, v) \in E$, then $P_i = P_{i-1} \cup \{v\}$. This implies that $u, v \in P_i$ and $v \notin P_{i-1}$, thereby satisfying Rule 2 of DAG-path-decomposition. It means the pebbling operation corresponds to the introduce operation. Furthermore, the unpebble operation clearly satisfies the forget condition of a nice DAG-path-decomposition. Thus, P is a nice DAG-path-decomposition of G .

D Proofs of Chapter 5

D.1 Lemma 4

Proof. We prove this by mathematical induction on h .

For $h = 2$, the DAG-pathwidth of $T_{2,d}$ is clearly 1, so the lemma holds.

Next, assuming that the lemma holds for some $h > 1$, there exists a DAG-path-decomposition X_h of $T_{h,d}$ with DAG-pathwidth $h-1$. Here, note that $T_{h+1,d}$ is a graph obtained by connecting a single root r to the roots of d copies of $T_{h,d}$. We can construct a DAG-path-decomposition of $T_{h+1,d}$ with width h as follows. First, for the d DAG-path-decompositions X_h , connect the starting and ending bags of each decomposition sequentially to form a single long sequence of bags. Next, add r to each bag in this sequence. Finally, prepend a bag containing only r at the beginning of the sequence. The resulting sequence satisfies the three

rules of a DAG-path-decomposition, making it a valid DAG-path-decomposition of $T_{h+1,d}$ with width h .

Furthermore, no DAG-path-decomposition of $T_{h+1,d}$ with width less than h exists. The reasons are shown below. $T_{h+1,d}$ contains $T_{h,d}$ as a substructure, so the DAG-pathwidth of $T_{h+1,d}$ cannot be smaller than $h - 1$. Now, suppose there exists a DAG-path-decomposition of $T_{h+1,d}$ with width $h - 1$. Since the d copies of $T_{h,d}$ are mutually unreachable, each can independently form a DAG-path-decomposition, and the width does not need to exceed that of a parallel decomposition of the d copies of $T_{h,d}$. Let $T'_{h,d}$ be the first decomposed tree among d $T_{h,d}$. By Rule 2 of DAG-path-decomposition, any DAG-path-decomposition of $T_{h+1,d}$ must include the root r in its first bag. However, the bag in the DAG-path-decomposition of $T'_{h,d}$ that has the maximum width of $h - 1$ (denoted as X') does not contain r . By Rule 3 of DAG-path-decomposition, r does not reappear in any later bag, implying that the remaining $d - 1$ copies of $T_{h,d}$ do not connect to r . This requires $d = 1$, which contradicts the assumption that $d > 1$.

Even if all vertices connected to r were included in a bag before X' , some vertices from outside $T'_{h,d}$ must necessarily be included in X' , which concludes the width greater than $h - 1$. It leads to a contradiction.

Thus, no DAG-path-decomposition of $T_{h+1,d}$ with width $h - 1$ exists, and the optimal DAG-path-decomposition of $T_{h+1,d}$ has width h . Therefore, the lemma holds for $h + 1$, and by induction, it holds for any $h, d \geq 2$.

D.2 Lemma 5

Proof. First, we show that G' is always connected. Token placement and replacement occur only in line 2 of `GrowTokenTree` or lines 6 and 8 of `FindEmbedding`. The former explicitly ensures token placement maintains connectivity, and the latter replaces all tokens in the directed tree rooted at $T \cdot b$ with corresponding tokens in the directed tree rooted at T immediately after removing T . This guarantees that connectivity is preserved after processing lines 6 and 8. Thus, G' remains connected at all times.

Next, we show that an embedding from G' to H' exists. Since `GrowTokenTree` places a token T on $u \in V[H']$ and its child v receives token $T \cdot b$, the embedding condition is clearly satisfied. It suffices to verify that the embedding condition holds throughout lines 6 to 9 of `FindEmbedding`. Assuming that the embedding condition is satisfied at line 5, if a token T satisfying line 5 exists and has exactly one *tokened* child $T \cdot b$, line 8 is executed. In line 8, S represents an arbitrary-length string consisting of characters from 1 to d , and the operation replaces all tokens in the directed tree rooted at $T \cdot b$ with corresponding tokens in the directed tree rooted at T while maintaining tree-structural integrity. Since the replacement occurs from root-proximal tokens to distal ones, the target tokens are always *untokened*. As G' remains connected and T has only $T \cdot b$ as its *tokened* child, removing T and replacing all tokens in the directed tree rooted at $T \cdot b$ with the corresponding tokens in the directed tree rooted at T preserves the embedding condition. If T has no *tokened* children, only line 6 is executed, and

line 8 is skipped, trivially maintaining the embedding condition. Thus, the embedding condition remains intact throughout the sequence of operations, proving the existence of an embedding from G' to H' .

D.3 Lemma 6

Proof. Suppose that in line 4 of FindEmbedding, all vertices of H' have turned red at step $i = s$, leading to termination. The sequence of vertex sets output by the algorithm, $X_{H'} = (X_1, X_2, \dots, X_s)$, constitutes a DAG-path-decomposition of H' . Since every vertex $v \in H'$ is red, it must be contained in at least one vertex set X_i , satisfying rule 1 of the DAG-path-decomposition. Moreover, each vertex v changes color from blue to red exactly once, and tokens are removed from red vertices without being placed again, ensuring that the vertex sets X_i form a connected path, satisfying rule 3.

For any edge $(u, v) \in E[H']$, suppose that v changes from blue to red at $i = i_v$ ($i_v \leq s$). By the condition in line 5 of FindEmbedding, a token placed on u is not removed before step i_v . Additionally, by the condition in line 1 of GrowTokenTree, all predecessor vertices of v have tokens, implying that u must also be included in X_i . Since v is not in X_{i_v-1} , we conclude that $u, v \in X_{i_v}$ and $v \notin X_{i_v-1}$, satisfying rule 2. Therefore, $X_{H'}$ is a DAG-path-decomposition of H' .

Noting that $\lceil \log_d l \rceil < \log_d l + 1$, the width of $X_{H'}$ is at most $|V[M_{t,d,l}]| = d^{\lceil \log_d l \rceil + t + 2} - 1 < ld^{t+3} - 1$. Since $X_H = (X_1 \cap V[H], X_2 \cap V[H], \dots, X_s \cap V[H])$ satisfies the three rules of the DAG-path-decomposition for H , it follows that X_H is a DAG-path-decomposition of H with width at most $ld^{t+3} - 1$. Thus, we obtain a DAG-path-decomposition of H with width at most $ld^{t+3} - 1$.

D.4 Lemma 7

Proof. Suppose that in line 4 of FindEmbedding, at step $i = s$, the condition $|X_s| = |V[M_{t,d,l}]|$ holds, and let A' be the subgraph of H' induced by the vertex set $X_1 \cup X_2 \cup \dots \cup X_s$. Then, the sequence of vertex sets output by the algorithm, $X_{A'} = (X_1, X_2, \dots, X_s)$, forms a DAG-path-decomposition of A' . By definition of A' , rule 1 of the DAG-path-decomposition is clearly satisfied. Additionally, rules 2 and 3 are satisfied by the argument similar to **Lemma 6**. Thus, $X_{A'}$ is a DAG-path-decomposition of A' .

Let A be the subgraph of H induced by $V[A'] \cap V[H]$ and B be the subgraph of H induced by $V[H] \setminus V[A]$. Since A and B are disjoint and rule 2 of the DAG-path-decomposition ensures that only edges from A to B exist. Defining $X_A = (X_1 \cap V[H], X_2 \cap V[H], \dots, X_s \cap V[H])$, this decomposition satisfies the 3 rules of the DAG-path-decomposition of A . Therefore, X_A forms a DAG-path-decomposition of A with width at most $ld^{t+3} - 1$ by the argument similar to **Lemma 6**.

Next, let A'_M be the subgraph of H' induced by the end bag X_s in $X_{A'}$. Since at step $i = s$, all tokens in $M_{t,d,l}$ have been used in the embedding, **Lemma 5** implies that A'_M represents an embedding of $M_{t,d,l}$ into H' . Since $M_{t,d,l}$ has

height $\lceil \log_d l \rceil + t + 2$, and A' contains A'_M , the subgraph induced by $V[A'] \setminus V[A]$ forms a complete directed d -ary tree of height at most $\lceil \log_d l \rceil$. Thus, A contains a complete directed d -ary tree T_A of height at least $(\lceil \log_d l \rceil + t + 2) - (\lceil \log_d l \rceil) = t + 2$, meaning that an embedding from T_A to A exists.

By **Lemma 4**, the DAG-pathwidth of T_A is $t + 1$, implying that the DAG-pathwidth of A is at least $t + 1$. Since H contains A as a subgraph, the DAG-pathwidth of H must be greater than t . Consequently, the DAG-pathwidth of A is greater than t but at most $ld^{t+3} - 1$.

D.5 Lemma 8

Proof. We prove this by contradiction. Suppose at time $i = k$, there exists a blue vertex $v \in V[H']$ that does not have r_0 as an ancestor. Since H' has a single root, there exists a common ancestor of r_0 and v . Let r'_0 be such a most recent common ancestor that does not have another common ancestor of r_0 and v as its descendant. If multiple such vertices exist, choose one of them as r'_0 . Notice that $v \neq r'_0$ because at time $i = k$, the root token λ is placed at r_0 , implying that its ancestor r'_0 must have had its token removed. Assuming $r'_0 = v$ contradicts the fact that v is blue.

Define A_{r_0} as the set of children of r'_0 whose descendants include r_0 , and let A'_{r_0} be the set of other children of r'_0 . Let $G_{A_{r_0}}$ be the subgraph induced by the descendants of the vertices in A_{r_0} and $G_{A'_{r_0}}$ be the subgraph induced by the descendants of the vertices in A'_{r_0} that are not in $G_{A_{r_0}}$. Additionally, let G_{r_0} be the subgraph induced by the descendants of r_0 . By assumption, $v \notin V[G_{r_0}]$, meaning $v \in V[G_{A_{r_0}}] \setminus V[G_{r_0}]$ or $v \in V[G_{A'_{r_0}}]$.

Consider the first moment $i = l$ ($l \leq k$) when a token λ is placed on a vertex in $G_{A_{r_0}}$. At this moment, all vertices in $G_{A'_{r_0}}$ must have had their tokens removed. We justify this below. Any vertex in H' is either (a) blue, (b) red with a token, or (c) red and a token is already removed. Since v is blue at $i = l$, Line 5 of **FindEmbedding** requires that its parent must be (a) or (b). If the parent is (a), we continue checking its parent, which must also be (a) or (b). If all ancestors of v are (a), at least one blue vertex exists in A'_{r_0} at $i = l$, contradicting the conditions of Line 5. If at least one ancestor is (b), then, when a token $T_{r'_0}$ placed on r'_0 has been removed, $T_{r'_0}$ must have had at least two *tokened* children, one in $G_{A'_{r_0}}$ and another elsewhere. Since $T_{r'_0}$ has been removed from r'_0 at $i = l$, this contradicts Line 5 of **FindEmbedding** which requires that a removed token has at most one *tokened* child. Therefore, $v \notin V[G_{A'_{r_0}}]$ must hold. Given that $v \notin V[G_{A_{r_0}}]$ by assumption, it must hold that $v \in V[G_{A_{r_0}}] \setminus V[G_{r_0}]$. However, in this case, a common ancestor of v and r_0 must be included in A_{r_0} , which contradicts the fact that there exists no common ancestor of v and r_0 among the descendants of r'_0 . Thus, the claim of **Lemma 9** is proven.

D.6 Lemma 9

Proof. Suppose that Line 11 of **FindEmbedding** is executed at $i = k$. First, we prove that at $i = k$, there exists at least one root-to-leaf path $P = (\lambda \cdot m_1 \cdot$

$m_2 \cdot \dots \cdot m_{\lceil \log_d l \rceil + t + 1}$) in the *tokened* token set on $M_{t,d,l}$. Therefore, we show a contradiction by assuming that such a path does not exist. Let P' be the longest path in the *tokened* token set rooted at λ , and let the height of $M_{t,d,l}$ be $h(M_{t,d,l}) = \lceil \log_d l \rceil + t + 2$. By assumption, $|P'| \leq h(M_{t,d,l}) - 1$. Let T' be the terminal token of P' , placed at vertex $u' \in V[H']$. From Line 1 of **GrowTokenTree**, at least one of the following must hold:

1. There exists a vertex $v' \in \text{succ}(u')$ such that one of its parents $w^{(1)}$ is blue.
2. T' has no *untokened* children.

Note that we do not need to consider the case where u' has no children, because in this case, we can remove the token placed on u' , preventing the state described in (3) from occurring. If condition 2 holds, then T' is either a leaf or all of T' 's children are *tokened*. However, neither of these conditions can hold, because by assumption, the endpoint T' of P' is not a leaf, and if T' has a *tokened* child, it would contradict the fact that P' is the longest path. Therefore, condition 1 must hold. Let r_0 be the vertex where the root token λ is placed. Let G_{r_0} be the subgraph induced by the descendants of r_0 in H' , and let V_h be the set of vertices in G_{r_0} where tokens with height h ($1 \leq h \leq h(M_{t,d,l})$) on the $M_{t,d,l}$ graph are placed. Also, let $\mathcal{V} = \bigcup_{1 \leq h \leq h(M_{t,d,l})} V_h$. By assumption, $u' \in V_{h'}$ ($1 \leq h' \leq h(M_{t,d,l}) - 1$). Furthermore, by **Lemma 8**, both the blue vertices $v', w^{(1)}$ are reachable from r_0 , so they are contained in G_{r_0} . Additionally, considering the placement of tokens in **GrowTokenTree**, we observe that the children of a blue vertex cannot be red, meaning that $v', w^{(1)}$ and their descendants are not in \mathcal{V} . Now, for $w^{(1)}$, one of the following must always hold:

1. All of $w^{(1)}$'s parents are in \mathcal{V} .
2. There exists at least one blue parent of $w^{(1)}$ that is not in \mathcal{V} .

Note that there are no red parents of $w^{(1)}$ that are not in \mathcal{V} . This is because, by a similar argument to **Lemma 8**, each of $w^{(1)}$'s parents must either be (a) blue or (b) red with a token. Thus, if any parent satisfies (a), condition 2 holds; otherwise, condition 1 holds. If condition 1 holds, a token should be placed on $w^{(1)}$. This is because each of $w^{(1)}$'s parents must be included in one of $V_1, V_2, \dots, V_{h'}$, and each parent has exactly d children, the maximum outdegree in H' . Therefore, each parent must have at least one *untokened* child, and this token can be placed on $w^{(1)}$. Thus, the condition 1 contradicts the assumption that $w^{(1)}$ is blue. Therefore, condition 2 must hold.

If condition 2 holds for $w^{(1)}$, let $w^{(2)}$ be one of blue parents of $w^{(1)}$ satisfying condition 2. By similar reasoning, a blue parent $w^{(3)}$ of $w^{(2)}$ satisfying condition 2 must exist. Repeating this argument, since the number of vertices in H' is finite, there must eventually be a blue vertex $w^{(n)}$ that satisfies condition 1. Therefore, a contradiction arises, and there must be at least one path from the root to a leaf in the *tokened* token set on $M_{t,d,l}$.

Next, we will show that the DAG-pathwidth of H is greater than t using the path P . Since there are no tokens satisfying the condition of row 5 in

FindEmbedding in (3), for each token $m_i \in P$ (where λ is denoted by m_0), the vertex $v_i \in V[H']$ where the token m_i is placed must satisfy at least one of the following:

1. There exists a vertex $w_i \in \text{suc}(v_i)$ such that w_i and all its descendants are not in \mathcal{V} , and w_i is blue.
2. The token placed on v_i has two or more *tokened* children.

Next, for each v_i , we will show that there exists a vertex u_i such that in any DAG-path-decomposition of H' , each u_i (for $0 \leq i \leq h(M_{t,d,l}) - 1$) must be included in some bag. First, consider the case where v_i satisfies condition 1. In this case, v_i cannot be forgotten in the DAG-path-decomposition of H' until $m_{h(M_{t,d,l})-1}$ is placed at $v_{h(M_{t,d,l})-1}$. This is because w_i and all of its descendants are not included in \mathcal{V} , and by taking note of the operation of **GrowTokenTree**, a token is placed on w_i only after the token $m_{h(M_{t,d,l})-1}$ is placed on $v_{h(M_{t,d,l})-1}$. Since v_i , which has w_i as a predecessor, cannot remove m_i before that, v_i cannot be forgotten before the introduction of $v_{h(M_{t,d,l})-1}$ in the DAG-path-decomposition of H' . At this point, we set $u_i = v_i$.

Next, consider the case where v_i does not satisfy condition 1 but satisfies condition 2. m_i has at least one *tokened* child m'_{i+1} other than m_{i+1} . Since the vertex on which m'_{i+1} is placed also satisfies at least one of conditions 1 or 2, by repeating the above argument, and noting that any *tokened* leaf of $M_{t,d,l}$ must satisfy condition 1, there exists at least one descendant vertex of v_i which is placed a descendant token of m'_{i+1} and satisfies condition 1. Let such a vertex be u_i .

For the same reason as above, u_i cannot be forgotten in the DAG-path-decomposition of H' until $m_{h(M_{t,d,l})-1}$ is placed on $v_{h(M_{t,d,l})-1}$. Thus, for each v_i , we can construct a corresponding u_i .

In any DAG-path-decomposition X' of H' , since each u_i is included in the bag where $v_{h(M_{t,d,l})-1}$ is introduced, the width of X' is at least $h(M_{t,d,l}) - 1$. Let P_H be the sequence of tokens placed at vertices in H from the sequence P . Since $|P \setminus P_H| \leq \lceil \log_d l \rceil$, we have $|P_H| = |P| - |P \setminus P_H| \geq (\lceil \log_d l \rceil + t + 2) - \lceil \log_d l \rceil = t + 2$. Thus, by the same reasoning, the width of any DAG-path-decomposition of H is greater than t .

D.7 Theorem 7

Proof. By inputting the DAG H into FindEmbedding, the algorithm will always terminate in one of the cases (1), (2), or (3). If it terminates in case (1), by **Lemma 6**, **Theorem 7(a)** holds. If it terminates in case (2), by **Lemma 7**, **Theorem 7(b)** holds. If it terminates in case (3), by **Lemma 9** and setting $A = H$, **Theorem 7(b)** holds. Therefore, **Theorem 7** is proven.

D.8 Corollary 1

Proof. By **Theorem 7**, FindEmbedding either outputs evidence that the DAG-pathwidth of H is greater than t , or outputs a DAG-path-decomposition of width

at most $O(ld^t)$. We now show that **FindEmbedding** terminates in polynomial time. In **GrowTokenTree**, the condition check of line 1 takes at most $O(dn)$ time, and the while loop is repeated at most $|V[M_{t,d,l}]| = O(d^t)$ times. Also, due to the removal of T in line 6 of **FindEmbedding**, the vertices where T was placed remain red, so this operation is performed at most $O(n)$ times. Therefore, the while loop in line 4 is also repeated at most $O(n)$ times. Furthermore, the while loop in line 10 clearly repeats at most d^2 times. Other steps are processed in $O(1)$ time. Thus, the algorithm terminates in $O(n^2)$ time if d and l are bounded by constants.

E Appendix2

F Appendix3

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