

# $q$ -Series and Applications to Counting Matrices

## Part I. $q$ -Series

Ref: Andrews "Theory of Partitions"

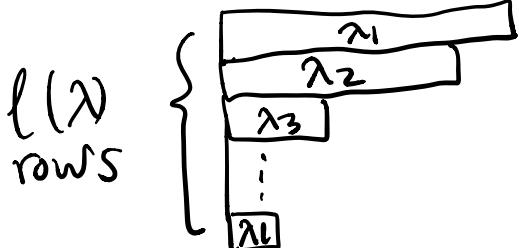
### § 1. Partitions

A partition is a sequence of integers

$$\lambda = (\lambda_1 \geq \lambda_2 \geq \dots)$$

that are eventually 0. Here  $\lambda_i$  is called a part of  $\lambda$ .

The Young diagram for a partition  $\lambda$  is a collection of cells given by



$$\begin{aligned} l(\lambda) &= \text{length of } \lambda \\ &= \text{last index } l \text{ s.t. } \lambda_l \neq 0 \\ |\lambda| &= \text{size of } \lambda \\ &= \sum \lambda_i \end{aligned}$$

has  $|\lambda|$  cells

For  $i > 0$ , define  $a_i(\lambda) = \# \text{ of times that } i \text{ occurs as a part of } \lambda$ .

E.g.  $10 = 5 + 2 + 2 + 1$  is a partition of 10, given by

$$\lambda = (5, 2, 2, 1)$$

Young diagram:

$$\begin{cases} l(\lambda) \\ \vdots \end{cases} \quad \begin{array}{c} \text{Diagram of a Young diagram with 5 boxes in the first row, 2 in the second, and 2 in the third.} \\ | \lambda | = 10, \quad a_1 = 1, \quad a_2 = 2, \quad a_5 = 1 \\ \text{i.e. } 10 = 1[1] + 2[2] + 1[5] \end{array}$$

The conjugate of  $\lambda$  is defined by transposing the Young diagram.

$$\lambda' = \begin{array}{|c|c|c|c|} \hline & & 1 & \\ \hline & & 1 & \\ \hline & 1 & 1 & \\ \hline & 1 & 1 & \\ \hline & 1 & 1 & \\ \hline \end{array} = (4, 3, 1, 1, 1)$$

## §2. $q$ -Series

Let  $p(n) = \#$  of partitions of  $n$  (i.e.  $\lambda$  with  $|\lambda|=n$ )  
It has no closed-form formula, but it can be read from a nice series.

$$\text{Consider } \sum_{n=0}^{\infty} p(n) q^n = \sum_{\lambda} q^{|\lambda|} \in \mathbb{Z}[[q]]$$

Thm (Euler).

$$\sum_{n=0}^{\infty} p(n) q^n = \prod_{i=1}^{\infty} \frac{1}{1-q^i} = \frac{1}{(1-q)(1-q^2)\dots}$$

$$\text{Pf. LHS} = \sum_{\lambda} q^{|\lambda|}$$

$$= \sum_{a_1, a_2, \dots \geq 0} q^{a_1 + 2a_2 + 3a_3 + \dots}$$

$$= \sum_{a_1=0}^{\infty} q^{a_1} \sum_{a_2=0}^{\infty} q^{2a_2} \dots$$

Have bijection  
 $\{\text{partition } \lambda\} \leftrightarrow \{\text{eventually zero seq. } a_1, a_2, \dots \geq 0\}$   
 given by

$$\lambda = a_1[1] + a_2[2] + \dots$$

$$= \frac{1}{1-q} \cdot \frac{1}{1-q^2} \cdot \dots = \text{RHS} \quad \square$$

The following notation will appear a lot when dealing with partitions:

Def.  $q$ -Pochhammer symbols

$$(x; q)_n = \underbrace{(1-x)(1-qx)\dots(1-q^{n-1}x)}_{n \text{ factors}}$$

$$(x; q)_{\infty} = (1-x)(1-qx)(1-q^2x)\dots \in \mathbb{Z}[[q, x]]$$

Then Euler's identity is  $\sum_{n=0}^{\infty} P(n) q^n = \frac{1}{(q;q)_\infty}$

There are many combinatorial identities involving these symbols. Many do not explicitly involve partitions, but their proofs need the study of partitions.

Identities at a glance:

$$(x;q)_\infty = \sum_{n=0}^{\infty} \frac{q^{\binom{n}{2}}}{(q;q)_n} x^n \quad (1)$$

$$\frac{1}{(x;q)_\infty} = \sum_{n=0}^{\infty} \frac{1}{(q;q)_n} x^n \quad (2)$$

$$\left( \sum_{n=0}^{\infty} P(n) q^n = \right) \frac{1}{(q;q)_\infty} \stackrel{(2)}{=} \sum_{n=0}^{\infty} \frac{q^n}{(q;q)_n} \quad \text{but also} \quad \sum_{n=0}^{\infty} \frac{q^{n^2}}{\overline{(q;q)_n}^2} \quad (3)$$

$$\frac{(ax;q)_\infty}{(x;q)_\infty} = \sum_{n=0}^{\infty} \frac{(a;q)_n}{(q;q)_n} x^n \quad (4)$$

We will prove (2) and (3) as examples to demonstrate some tools for many such identities.

The word "q-series" can mean any series in this context. They are studied by methods of similar flavors. Let's not worry about the precise meaning of this term.

$$\text{Pf of } ②. \quad \frac{1}{(x;q)_\infty} = \sum_{n=0}^{\infty} \frac{1}{(q;q)_n} x^n$$

$$\text{LHS} = \frac{1}{1-x} \frac{1}{1-qx} \frac{1}{1-q^2x} \dots$$

$$= \sum_{a_0=0}^{\infty} x^{a_0} \sum_{a_1=0}^{\infty} q^{a_1} x^{a_1} \sum_{a_2=0}^{\infty} q^{2a_2} x^{a_2} \dots$$

$$= \sum_{\substack{a_0, a_1, \dots \geq 0 \\ \text{eventually } 0}} q^{a_1+2a_2+3a_3+\dots} x^{a_0+a_1+a_2+\dots}$$

always  
omit  
writing  
this later

To each datum  $(a_0, a_1, \dots)$ , we associate a "partition"

$$\lambda = a_0[0] + a_1[1] + \dots \quad \text{e.g. } \begin{matrix} & & & 1 \\ & & & 1 \\ & & & 1 \\ & & & 1 \\ & & & 1 \end{matrix} \quad \lambda = (3, 2, 2, 0, 0)$$

It is a "partition" whose parts are allowed to be 0.  
Let's call this data structure a partition with zero.

$$\left( \text{LHS, continue} \right) = \sum_{\lambda \text{ with } [0]} q^{|\lambda|} x^{\ell(\lambda)}$$

Note: [0]'s count towards the length

$$= \sum_n \left( \sum_{\substack{\lambda \text{ w/ } [0] \\ \ell(\lambda)=n}} q^{|\lambda|} \right) x^n \quad [\text{Recalling the goal is to find the coefficients of } x^n]$$

$$= \sum_n \sum_{\substack{\lambda \\ \ell(\lambda) \leq n}} q^{|\lambda|} x^n$$

$\left[ \begin{array}{c} n \{ \begin{matrix} & & \\ & & \end{matrix} \} \leftrightarrow \begin{matrix} & & \\ & & \end{matrix} \\ \rightarrow : \text{remove } 0 \\ \leftarrow : \text{fill in } 0s \text{ until length is } n \end{array} \right]$

$$\text{Claim: } \sum_{\substack{\lambda \\ l(\lambda) \leq n}} q^{|\lambda|} = \frac{1}{(q;q)_n}$$

- If you repeat the method in Euler's identity, there is a problem:  
it is hard to deal with the restriction  $l(\lambda) \leq n$ .

- Think about conjugate!

$$\text{LHS} = \sum_{\substack{\lambda \\ \text{fits in} \\ n \times \infty}} q^{|\lambda|} = \sum_{\substack{\lambda \\ \text{fits in} \\ \infty \times n}} q^{|\lambda|} = \sum_{a_1=0}^{\infty} q^{a_1} \sum_{a_2=0}^{\infty} q^{2a_2} \cdots \sum_{a_n=0}^{\infty} q^{na_n}$$

 
← i.e.  $\lambda$  has no part of size  $> n$ .  
i.e.  $a_i(\lambda)=0 \forall i > n$

$\sum a_i(\lambda) \leq n \dots ???$

$$= \frac{1}{(1-q)(1-q^2)\cdots(1-q^n)}$$

□

### q-Binomial Coefficients

$$\text{Let } \left[ \begin{matrix} n \\ k \end{matrix} \right]_q = \frac{(q;q)_n}{(q;q)_k (q;q)_{n-k}} \quad \xleftrightarrow{\text{analog}} \quad \binom{n}{k} = \frac{n!}{k!(n-k)!}$$

It can be elementarily checked that

$$\left[ \begin{matrix} n \\ k \end{matrix} \right]_q = \left[ \begin{matrix} \text{\# of } k\text{-dim subspaces} \\ \text{of } \mathbb{F}_q^n \\ = \text{Grassmannian} \\ \text{Gr}(n,k) \end{matrix} \right] \quad \longleftrightarrow \quad \binom{n}{k} = \left[ \begin{matrix} \text{\# of } k\text{-elt} \\ \text{subset of} \\ \{1, \dots, n\} \end{matrix} \right]$$

Important fact:

$$\left[ \begin{matrix} n \\ k \end{matrix} \right]_q = \sum_{\substack{\lambda \text{ fits} \\ (n-k) \times k}} q^{|\lambda|} \quad [\text{Not quite elementary!}]$$

\* Gateway to Schubert Calculus:  $\#\text{Gr}(n,k)(\mathbb{F}_q) = \sum_{\substack{\lambda \text{ fits} \\ (n-k) \times k}} q^{|\lambda|}$  has a geometric reason:  $\text{Gr}(n,k)$  is composed of Schubert cells indexed by  $\lambda$  fitting in  $(n-k) \times k$ , and the dim of each cell is  $|\lambda|$ .

## Dictionary for sums over partitions

- $\sum_{\lambda} q^{|\lambda|} = \frac{1}{(q;q)_{\infty}}$

- $\sum_{\substack{\lambda \text{ fits} \\ n \times \infty}} q^{|\lambda|} = \frac{1}{(q;q)_n}$

- $\sum_{\substack{\lambda \text{ fits} \\ n \times k}} q^{|\lambda|} = \frac{(q;q)_{n+k}}{(q;q)_n (q;q)_k} = \left[ \begin{smallmatrix} n+k \\ n \end{smallmatrix} \right]_q = \left[ \begin{smallmatrix} n+k \\ k \end{smallmatrix} \right]_q$

etc.

There are too many identities about  $q$ -series; it is hard even to search one that works. A **practical strategy** to think about  $q$ -series and recover many identities by yourself is,

- Rewrite a  $q$ -series in the form of

$$\sum_{\substack{\lambda \text{ ranging} \\ \text{over some set}}} (\text{some monomial associated to } \lambda)$$

- Break down  $\lambda$  into partitions ranging over sets that appear in the dictionary above.

Durfee Square : the largest square that fits the top-left corner

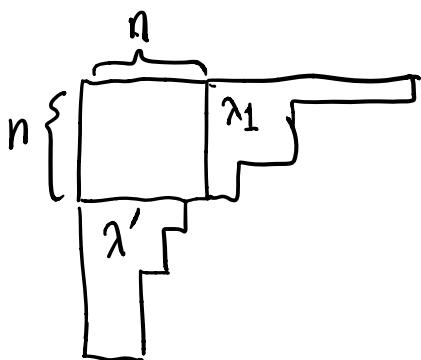
e.g.  $\lambda = \begin{array}{|c|c|c|} \hline \textcolor{red}{\boxed{\square}} & \square & \square \\ \hline \end{array}$

$$\sigma_1(\lambda) := \text{side-length of Durfee square} \\ = 2$$

Proof of ③:  $\frac{1}{(q;q)_\infty} = \sum_{n=0}^{\infty} \frac{q^{n^2}}{(q;q)_n^2}$

$$\text{LHS} = \sum_{\lambda} q^{|\lambda|} = \sum_{n=0}^{\infty} \sum_{\substack{\lambda \\ \sigma_1(\lambda)=n}} q^{|\lambda|}$$

A partition of Durfee size  $n$  is uniquely determined by a partition  $\lambda_1$  that fits in  $n \times \infty$  and a partition  $\lambda'$  that fits in  $\infty \times n$



$\therefore (\text{LHS, continue})$

$$= \sum_{n=0}^{\infty} \sum_{\substack{\lambda_1 \text{ fits} \\ n \times \infty}} \sum_{\substack{\lambda' \text{ fits} \\ \infty \times n}} q^{n^2 + |\lambda_1| + |\lambda'|}$$

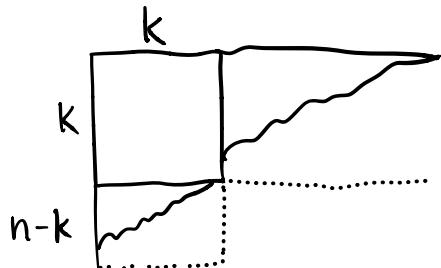
$$= \sum_{n=0}^{\infty} q^{n^2} \sum_{\substack{\lambda_1 \text{ fits} \\ n \times \infty}} q^{|\lambda_1|} \sum_{\substack{\lambda' \text{ fits} \\ \infty \times n}} q^{|\lambda'|}$$

$$= \sum_{n=0}^{\infty} q^{n^2} \cdot \frac{1}{(q;q)_n} \cdot \frac{1}{(q;q)_n}$$

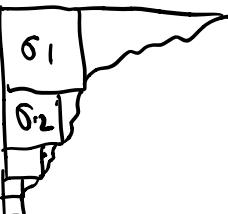
## Exercise.

$$\frac{1}{(q;q)_n} = \sum_{k=0}^n q^{k^2} \frac{1}{(q;q)_k} [n]_q^k$$

Hint:



Remark: One can take Durfee square repetitively.

$\lambda =$  

Get a Durfee partition: Very useful!

$$s(\lambda) = (s_1 \geq s_2 \geq \dots)$$

Have  $|s| = l(\lambda)$

## Takeaway of §2. q-Series

- Many q-series can be expressed as a sum over partitions.
- To convert back, use the dictionary.
- When you get stuck, try conjugation and Durfee square.

## §3. Enumeration in Linear Algebra

Fix finite field  $\mathbb{F}_q$ . Here is a dictionary of various matrix counting:

①  $\# \text{GL}_n(\mathbb{F}_q) = \# \text{ of } nxn \text{ full rank matrices}$

$$= (\underbrace{q^n - 1}_{\text{choose 1st column}}) (\underbrace{q^n - q}_{\text{choose 2nd column}}) \cdots (\underbrace{q^n - q^{n-1}}_{\text{choose } n\text{th column}})$$

$$\textcircled{2} \text{ # of } k\text{-dim subspace of } \mathbb{F}_q^n = \begin{bmatrix} n \\ k \end{bmatrix}_q$$

$$\textcircled{3} \text{ # of rank-}k \text{ } n \times k \text{ matrices} = \text{choose } k \text{ lin. indep columns}$$

$$= (q^n - 1) \underbrace{(q^n - q)}_{\substack{\text{choose} \\ \text{1st column}}} \cdots \underbrace{(q^n - q^{k-1})}_{\substack{\text{choose} \\ \text{k-th column}}}$$

In fact, \textcircled{3} can prove \textcircled{2} because a rank- $k$   $n \times k$  matrix determines a  $k$ -dim subspace with an ambiguity of  $GL_k(\mathbb{F}_q)$ .

$$\textcircled{4} \text{ # of rank-}k \text{ } n \times n \text{ matrices}$$

$$= \begin{bmatrix} n \\ k \end{bmatrix}_q (q^n - 1) \underbrace{(q^n - q) \cdots (q^n - q^{k-1})}_{\substack{\text{choose surjection } \mathbb{F}_q^n \rightarrow V, \\ \text{i.e., a full rank } k \times n \text{ matrix}, \\ \text{But this corresponds to a} \\ \text{full rank } n \times k \text{ matrix!}}}$$

choose a  $k$ -dim subspace  $V$  as its image

## Part II. My work: a factorization of generating function

### §1. Motivation

Consider  $\sum_{n=0}^{\infty} \frac{\#\text{Mat}_n(\mathbb{F}_q)}{\#\text{GL}_n(\mathbb{F}_q)} x^n = \sum_{n=0}^{\infty} \frac{\#\{A: n \times n \text{ mat}/\mathbb{F}_q\}}{\#\text{GL}_n(\mathbb{F}_q)} x^n$

If has factorization

$$= \sum_{n=0}^{\infty} \frac{q^{n^2}}{(q^n - 1) \cdots (q^n - q^{n-1})} x^n$$

$$= \sum_{n=0}^{\infty} \frac{1}{(1-q^{-n}) \cdots (1-q^{-1})} x^n$$

$$= \sum_{n=0}^{\infty} \frac{1}{(q^{-1}; q^{-1})_n} x^n \stackrel{(2)}{=} \frac{1}{(x; q^{-1})_{\infty}}$$

$$= \frac{1}{(1-x)(1-q^{-1}x) \cdots}$$

We often need to work with  $q$ -series with  $q$  replaced by  $t := q^{-1}$

In particular, it is a meromorphic function in  $x$   
 (consider  $q > 1$  is fixed) with poles at  $1, q, q^2, \dots$ .

Without the factorization, we wouldn't have known that the power series has a meromorphic extension beyond radius of convergence, which is 1.

Phenomenon: Such a generating function about counting matrices often has a nice factorization

### Examples

$$\sum_{n=0}^{\infty} \frac{\#\{A \in \text{Mat}_n(\mathbb{F}_q) : A^2 = 0\}}{\#\text{GL}_n(\mathbb{F}_q)} x^n = \frac{1}{(x; q^{-1})_{\infty}}$$

*n × n nilpotent matrices*

$$\sum_{n=0}^{\infty} \frac{\#\{A \in \text{Nilp}_n(\mathbb{F}_q)\}}{\#\text{GL}_n(\mathbb{F}_q)} x^n = \frac{1}{(xq^{-1}; q^{-1})_{\infty}} \quad (\text{Fine-Herstein})$$

$$\sum_{n=0}^{\infty} \frac{\#\{A, B \in \text{Mat}_n(\mathbb{F}_q) : AB = BA\}}{\#\text{GL}_n(\mathbb{F}_q)} x^n = \prod_{\substack{i \geq 1 \\ j \geq 0}} \frac{1}{1 - x^i q^{1-j}} \quad (\text{Feit-Fine})$$

$$\sum_{n=0}^{\infty} \frac{\#\{(A, B) \in \text{Nilp}_n(\mathbb{F}_q) : AB = BA\}}{\#\text{GL}_n(\mathbb{F}_q)} x^n = \prod_{\substack{i \geq 1 \\ j \geq 2}} \frac{1}{1 - x^i q^{1-j}} \quad (\text{Fulman})$$

Rmk. There is a systematic way to go between nilpotent version and usual version, so let's not worry about nilpotent matrices anymore.

Just from the four identities above, it is not hard to show :

Fact. Suppose  $f_1, \dots, f_r \in \mathbb{F}_q[x_1, \dots, x_m]$  are polynomials that cut out the variety  $X = \{x = (x_1, \dots, x_m) \in \mathbb{A}^m : f_1(x) = \dots = f_r(x) = 0\}$ .

If  $X$  is a smooth curve or smooth surface, then

$$\sum_{n=0}^{\infty} \frac{\#\{A_1, \dots, A_m \in \text{Mat}_n(\mathbb{F}_q) : A_i A_j = A_j A_i, f_k(A_1, \dots, A_m) = 0 \forall 1 \leq k \leq r\}}{\#\text{GL}_n(\mathbb{F}_q)} x^n$$

*makes sense because  
 $A_i$  commute*

has a nice factorization involving Hasse-Weil zeta function of  $X$ .

Q: What about other cases of  $X$ ?

A: When  $\dim X \geq 3$ , this is hopeless.

So the simplest new case is when  $X$  is a singular curve.

It turns out that we can study this problem one singularity at a time.

The simplest singularity is a node, and has a local model

$$\{xy=0\} = \begin{array}{c} | \\ -+ \\ | \end{array}$$

## §2. Main Result and Proof

Thm (H.) Fix finite field  $\mathbb{F}_q$ . Then

$$\sum_{n=0}^{\infty} \frac{\#\{A, B \in \text{Mat}_n(\mathbb{F}_q) : AB = BA = 0\}}{\#\text{GL}_n(\mathbb{F}_q)} x^n = \frac{1}{(x; q^{-1})_\infty} \cdot H(x)$$

for an explicit power series  $H(x)$  with infinite radius of conv.

Rmk. LHS is not hard to find. The hard part is the factorization, without which RHS is not known to have a meromorphic extension outside  $|x| < 1$ .

Pf. Step 1. Compute LHS.

classify the pairs  $(A, B)$  according to  $\text{rk } A$ .

Say  $\text{rk } A = n-k$ . We know how many such  $A$ 's are there.

Fix such  $A$ . Let's count how many  $B$ 's satisfy  $AB = BA = 0$ .

$$AB=0 \Leftrightarrow A \text{ kills } Bx \vee x \Leftrightarrow \text{im } B \subseteq \ker A \quad (\star)$$

$$BA=0 \Leftrightarrow B \text{ kills } Ax \vee x \Leftrightarrow \text{im } A \subseteq \ker B. \quad (\star\star)$$

By \$(\star)\$, just need to look for \$B: \mathbb{F}\_q^n \rightarrow \ker A\$

To find such \$B\$ s.t. \$B\$ vanishes on \$\text{im } A\$ is the same as

finding \$\overline{B}: \underbrace{\mathbb{F}\_q^n / \text{im } A}\_{\dim K} \rightarrow \underbrace{\ker A}\_{\dim K}\$.

\$\therefore\$ There are \$q^k\$ choices.

The end result:

$$\text{LHS} = \sum_{n=0}^{\infty} \sum_{k=0}^n \frac{[n]_t^k}{(t; t)_k} x^n, \quad t := q^{-1}.$$

Step 2. Find a formula for \$H(x)\$

$$\text{LHS} = \sum_{n=0}^{\infty} x^n \sum_{k=0}^n \sum_{\lambda} \begin{array}{c} \text{size of shaded region} \\ \text{of filling zeros.} \end{array}$$

$$= \sum_{n=0}^{\infty} x^n \sum_{\lambda} t^{|\lambda| - \sigma_1(\lambda)^2}$$

$$= \sum_{n=0}^{\infty} x^n \sum_{\substack{\lambda \\ \lambda \vdash [n]}} t^{|\lambda| - \sigma_1(\lambda)^2}$$

*recall the old trick  
of filling zeros.*

$$= \sum_{\lambda \vdash [n]} t^{|\lambda| - \sigma_1(\lambda)^2} x^{l(\lambda)}$$

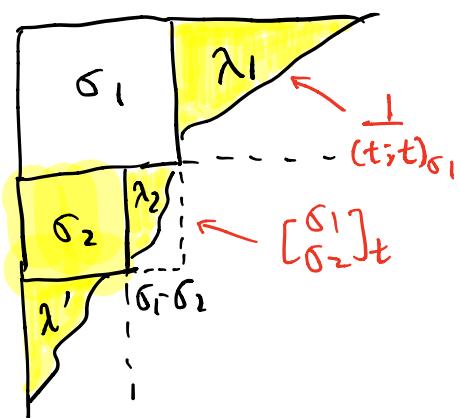
To read this,

$$\sum \boxed{\text{diagram}}$$

means the sum over all such diagrams that fit. Each diagram contributes to a term \$t\$

$$t$$

Now, classify  $\lambda$  w/[0] according to  $\sigma_1(\lambda)$ ,  $\sigma_2(\lambda)$ . It can be reconstructed by the data tuple  $(\sigma_1, \sigma_2, \lambda_1, \lambda_2, \lambda')$  as shown below



- $\sigma_1 \geq \sigma_2$
- $\lambda_1$  fits in  $\sigma_1 \times \infty$
- $\lambda_2$  fits in  $\sigma_2 \times (\sigma_1 - \sigma_2)$
- $\lambda'$  with zeros fits in  $\infty \times \sigma_2$

(LHS continued)

$$\begin{aligned}
 &= \sum_{\sigma_1, \sigma_2, \lambda_1, \lambda_2, \lambda' w/[0]} t^{|\lambda_1| + |\lambda_2| + |\lambda'| + \sigma_2^2} x^{\sigma_1 + \sigma_2 + l(\lambda')} \\
 &= \sum_{\sigma_1, \sigma_2} t^{\sigma_2^2} x^{\sigma_1 + \sigma_2} \sum_{\lambda_1} t^{|\lambda_1|} \sum_{\lambda_2} t^{|\lambda_2|} \sum_{\lambda' w/[0]} t^{|\lambda'|} x^{l(\lambda')} \\
 &\stackrel{\text{dictionary}}{=} \sum_{\sigma_1, \sigma_2} t^{\sigma_2^2} x^{\sigma_1 + \sigma_2} \underbrace{\frac{1}{(t:t)_{\sigma_1}}}_{\left[ \begin{matrix} \sigma_1 \\ \sigma_2 \end{matrix} \right]_t} \underbrace{\frac{1}{(1-x)(1-tx)\dots(1-t^{\sigma_2}x)}}_{(t:t)_{\sigma_2} (t:t)_{\sigma_1 - \sigma_2}}
 \end{aligned}$$

The magic is here : since we have constraint  $\sigma_1 \geq \sigma_2$ , they are not free variables we can separate ; rather,  $\sigma_2 \geq 0$  and  $\sigma_1 - \sigma_2 \geq 0$  are freely varying. The following eliminates  $\sigma_1$  dependence :

$$\Rightarrow = \frac{1}{(t:t)_{\sigma_1}} \frac{(t:t)_{\sigma_1}}{(t:t)_{\sigma_2} (t:t)_{\sigma_1 - \sigma_2}}$$

Denote  $b = \sigma_1 - \sigma_2$ ,  $k = \sigma_2$ , we get

(LHS continued)

$$\begin{aligned}
 &= \sum_{b,k \geq 0} t^{k^2} x^{b+2k} \frac{1}{(t;t)_k (t;t)_b} \frac{1}{(1-x)(1-tx)\dots(1-t^k x)} \\
 &= \sum_{b=0}^{\infty} x^b \frac{1}{(t;t)_b} \sum_{k=0}^{\infty} t^{k^2} x^{2k} \frac{1}{(t;t)_k} \frac{1}{(1-x)(1-tx)\dots(1-t^k x)} \\
 &\stackrel{\text{dict}}{=} \frac{1}{(x;t)_{\infty}} \sum_{k=0}^{\infty} t^{k^2} x^{2k} \frac{1}{(t;t)_k} \frac{1}{(1-x)(1-tx)\dots(1-t^k x)}
 \end{aligned}$$

This is a good enough factorization. For the sake of finding all poles,

$$\begin{aligned}
 &= \frac{1}{(x;t)_{\infty}} \cdot \frac{1}{(1-x)(1-tx)\dots} \underbrace{\sum_{k=0}^{\infty} t^{k^2} \frac{x^{2k}}{(t;t)_k} (1-t^{k+1}x)(1-t^{k+2}x)\dots}_{(1-t^{k+1}x)(1-t^{k+2}x)\dots} \\
 &= \frac{1}{(x;t)_{\infty}^2} \underbrace{\sum_{k=0}^{\infty} t^{k^2} \frac{x^{2k}}{(t;t)_k} (1-t^{k+1}x)(1-t^{k+2}x)\dots}_{H(x)}
 \end{aligned}$$

Step 3. Show  $H(x)$  is entire.

Recall  $0 < t < 1$ .  $t = q^{-1}$ .

$$H(x) = \sum_{k=0}^{\infty} t^{k^2} x^{2k} \underbrace{\frac{1}{(t;t)_k} (1-t^{k+1}x)(1-t^{k+2}x)\dots}_{(1-t^{k+1}x)(1-t^{k+2}x)\dots}$$

Fix  $x$ . can show this is bounded for large  $k$ .

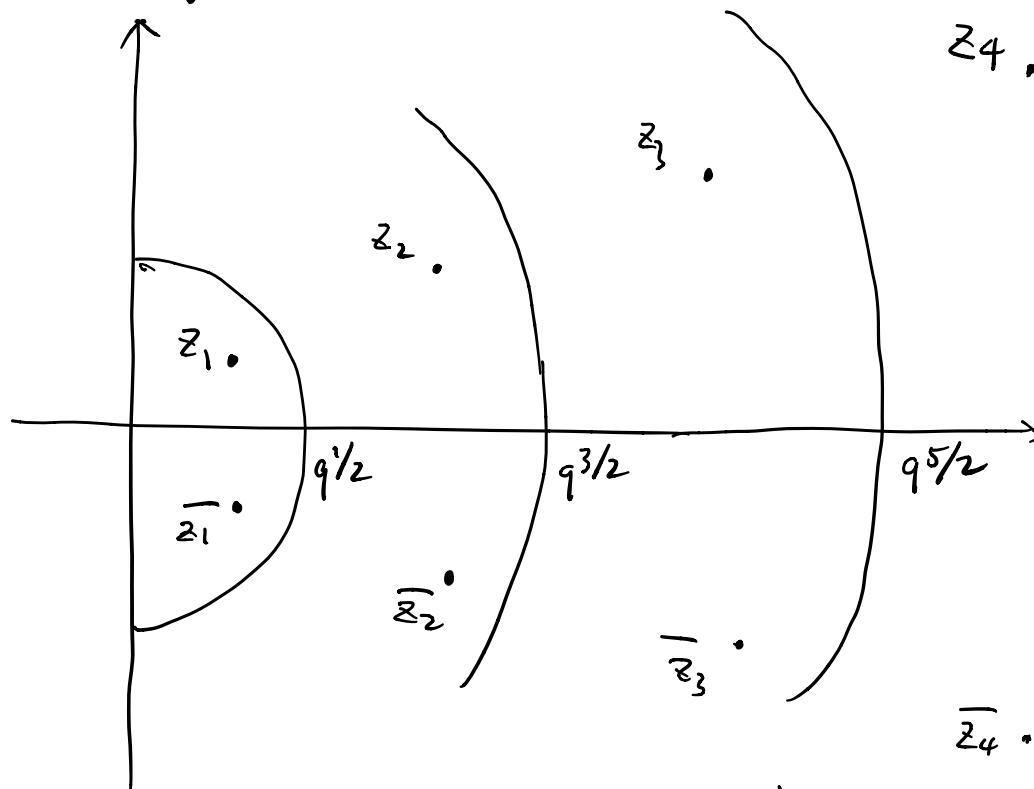
$\therefore$  convergence follows from that of

$$\sum t^{k^2} |x|^{2k}$$

□

§3. Quirks about  $H(x) = \sum_{k=0}^{\infty} \frac{t^{k^2} x^{2k}}{(t; t)_k} (t^{k+1}x; t)_{\infty}$

It is not clear if  $H(x)$  can be factorized further.  
But computer shows that  $H(x)$  seems to have infinitely many zeros that distribute like



But none of them seem to be understandable  
Nor do we have  $\sum_{n=1}^{\infty} q z_n = 0$  ... but "somewhat".  
Any feature about this diagram is not proven.

You can try to show, for example,

- $H(x) > 0$  for  $x \in \mathbb{R}$
- $H(x) \neq 0$  for  $\operatorname{Im}(x) < 0$

(It is elementary to see that  $H(x) > 0$  for  $x \in (-\infty, q]$  or  $x = q^n$ ,  $n \in \mathbb{Z}$ )

E.g.  $q=4$

$$\begin{aligned} z_1 &= 0.41614 + 1.72467 i \\ z_2 &= 1.65483 + 7.60611 i \\ z_3 &= 6.62192 + 31.08907 i \\ z_4 &= 26.4883 + 125.0116 i \end{aligned}$$

$$\begin{array}{lcl} |z_1| = 1.77288 & < 2 & = 4^{1/2} \\ |z_2| = 7.78405 & < 8 & = 4^{3/2} \\ |z_3| = 31.7865 & < 32 & = 4^{5/2} \\ |z_4| = 127.787 & < 128 & = 4^{7/2} \end{array}$$

## §4. Algebraic Geometry Connection.

Recall the generating function

$$\sum_{n=0}^{\infty} \frac{\#\{A_1, \dots, A_m \in \text{Mat}_n(\mathbb{F}_q) : A_i A_j = A_j A_i, f_k(A_1, \dots, A_m) = 0 \forall 1 \leq k \leq r\}}{\#\text{GL}_n(\mathbb{F}_q)} x^n$$

associated to the variety

$$X = \{f_1 = \dots = f_r = 0\} \subseteq \mathbb{A}^m$$

Now denote the function by  $\widehat{Z}_X(x)$ .

- In fact, it can be defined for any quasi-projective variety  $X/\mathbb{F}_q$ , and it behaves like a "zeta function". E.g. There is Euler product  $\widehat{Z}_X(x) = \prod_{\substack{p \in X \\ \text{closed} \\ \text{pt}}} \widehat{Z}_{X,p}(x)$  for a local version  $\widehat{Z}_{X,p}(x)$ .
- $\widehat{Z}_X(x) = \sum_M \frac{1}{\text{Aut } M} x^{\ell(M)}$ , where  $M$  ranges over isomorphism classes of torsion coherent sheaves of  $X$ , and  $\ell(M)$  is its length.

The main result is equivalent to

Thm(H.) If  $X$  is a nodal curve/ $\mathbb{F}_q$ , and  $\tilde{X}$  is its resolution of singularity, then

$$\frac{\widehat{Z}_X(x)}{\widehat{Z}_{\tilde{X}}(x)} \text{ is an entire function of } x.$$

Conj(H.) This works for singular curves with other examples of singularities, too.

One reason to believe it is an analogous result:

Thm (Göttsche - Shende 2016)

If  $X$  is a curve with Gorenstein singularities, consider

$$f_X(x) = \sum_{n=0}^{\infty} \# \text{Hilb}^n(X)(\mathbb{F}_q) x^n$$

Then  $\frac{f_X(x)}{f_X'(x)}$  is a polynomial of  $x$ , where

$\hat{X}$  is as above.

There have been several discussions of the connection between Hilbert schemes and spaces of commuting matrices (both are moduli spaces of something). To list some,

- $\text{Hilb}^n(X)$  is a GIT quotient of (the latter)  $\times \mathbb{A}^n$ .
- The latter is the space of representation of a quiver, while the Hilbert scheme is the "framed" version of it.

To be more concrete, these connections come from the following

observation:

Say  $X = \text{Spec } A$ . Then Hilbert scheme classifies ring quotients

$A \rightarrow M$  where  $\dim_{\mathbb{F}_q} M = n$ . The commuting matrix variety

classifies  $A\text{-mod } M$  where  $\dim_{\mathbb{F}_q} M = n$ .

Then note that a quotient  $A \rightarrow M$  is determined by an  $A\text{-mod}$   $M$  and an element  $\mathbf{1} \in M$  s.t.  $A \cdot \mathbf{1} = M$ .

## Summary.

- We use tools about partitions and q-series to factorize a generating function Counting  $\#\{A, B \in \text{Mat}_n(\mathbb{F}_q) : AB = BA = 0\}$ , thus giving a meromorphic continuation on all of  $\mathbb{C}$ .
- This leads to a result about a moduli space on nodal curves.
- Analogy suggests that similar thing should work for other singular curves.