The EVM Jello Paper Documentation

Release 0.1

The KEVM Team

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The Jello Paper is an attempt at defining the EVM semantics using the KEVM project. Unlike the Yellow Paper, the Jello Paper is an executable semantics, and can provide a full EVM interpreter usable for testing contracts, analyzing gas usage, verifying contracts correct, and a wide range of other tasks as specified in the technical report on KEVM.

The KEVM semantics described by the Jello Paper is the first machine-executable, mathematically formal, human readable, and complete semantics of the EVM. KEVM is capable of passing the full EVM VMTests and General-StateTests testing suites, and can also be used in smart contract formal verification, debugging, and more. The Jello Paper (this document) is automatically generated from the K definition of the KEVM semantics.

Start by choosing any section below or in the sidebar.

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KEVM: SEMANTICS OF EVM IN K

In this repository we provide a model of the EVM in K.

1.1 Documentation/Support

These may be useful for learning KEVM and K (newest to oldest):

- Jello Paper, generated using Sphinx Documentation Generation.
- 20 minute tour of the semantics at 2017 Devcon3.
- KEVM 1.0 technical report, especially sections 3 and 5.

To get support for KEVM, please join our Riot Room.

1.2 Installing/Building

1.2.1 K Backends

There are two backends of K available, the OCAML backend for concrete execution and the Java backend for symbolic reasoning and proofs. This repository generates the build-products for both backends in .build/java/ and . build/ocaml/.

1.2.2 System Dependencies

The following are needed for building/running KEVM:

- Pandoc \geq 1.17 is used to generate the \star .k files from the \star .md files.
- GNU Bison, Flex, and Autoconf.
- GNU libmpfr and libtool.
- Java 8 JDK (eg. OpenJDK)
- Opam, **important**: Ubuntu users prior to 15.04 **must** build from source, as the Ubuntu install for 14.10 and prior is broken. opam repository also requires rsync.

On Ubuntu >= 15.04 (for example):

sudo apt-get install make gcc maven openjdk-8-jdk flex opam pkg-config libmpfr-dev_ \hookrightarrow autoconf libtool pandoc zliblg-dev

To run proofs, you will also need Z3 prover; on Ubuntu:

```
sudo apt-get install z3 libz3-dev
```

On ArchLinux:

```
sudo pacman -S base-devel rsync opam pandoc jre8-openjdk mpfr maven z3
```

On OSX, using Homebrew, after installing the command line tools package:

```
brew tap caskroom/cask caskroom/version
brew cask install java8
brew install automake libtool gmp mpfr pkg-config pandoc maven opam z3
```

NOTE: a previous version of these instructions required the user to run brew link flex --force. After fetching this revision, you should first run brew unlink flex, as it is no longer necessary and will cause an error if you have the homebrew version of flex installed instead of the xcode command line tools version.

1.2.3 Building

After installing the above dependencies, the following command will build submodule dependencies and then KEVM:

```
make deps
make
```

1.3 This Repository

The following files constitute the KEVM semantics:

- krypto.md sets up some basic cryptographic primitives.
- data.md provides the (functional) data of EVM (256 bit words, wordstacks, etc...).
- evm.md is the main KEVM semantics, containing the configuration and transition rules of EVM.

These additional files extend the semantics to make the repository more useful:

- driver.md is an execution harness for KEVM, providing a simple language for describing tests/programs.
- analysis.md contains any automated analysis tools we develop.
- edsl.md defines high-level notations of eDSL, a domain-specific language for EVM specifications, for formal verification of EVM bytecode using K Reachability Logic Prover.

1.4 Example Usage

After building the definition, you can run the definition using ./kevm. Read the ./kevm script for examples of the actual invocations of krun that ./kevm makes.

Run the file tests/ethereum-tests/VMTests/vmArithmeticTest/add0.json:

```
./kevm run tests/ethereum-tests/VMTests/vmArithmeticTest/add0.json
```

Run the same file as a test:

```
./kevm test tests/ethereum-tests/VMTests/vmArithmeticTest/add0.json
```

To run proofs, you can similarly use ./kevm. For example, to prove the specification tests/proofs/specs/vyper-erc20/totalSupply-spec.k:

```
./kevm prove tests/proofs/specs/vyper-erc20/totalSupply-spec.k
```

Finally, if you want to debug a given program (by stepping through its execution), you can use the debug option:

```
./kevm debug tests/ethereum-tests/VMTests/vmArithmeticTest/add0.json
...
KDebug> s
1 Step(s) Taken.
KDebug> p
... Big Configuration Here ...
KDebug>
```

1.5 Running Tests

The tests are run using the supplied Makefile. First, run make split-tests to generate some of the tests from the markdown files.

The following subsume all other tests:

- make test: All of the quick tests.
- make test-all: All of the quick and slow tests.

These are the individual test-suites (all of these can be suffixed with -all to also run slow tests):

- make test-vm: VMTests from the Ethereum Test Set.
- make test-bchain: Subset of BlockchainTests from the Ethereum Test Set.
- make test-proof: Proofs from the Verified Smart Contracts.
- make test-interactive: Tests of the ./kevm command and of analysis tools.

1.6 Media

This repository can build two pieces of documentation for you, the Jello Paper and the 2017 Devcon3 presentation.

1.6.1 System Dependencies

If you also want to build the Jello Paper, you'll additionally need:

- Sphinx Documentation Generation tool, and
- The K Editor Support Python pygments package.

```
sudo apt-get install python-pygments python-sphinx python-recommonmark
git clone 'https://github.com/kframework/k-editor-support'
cd k-editor-support/pygments
easy_install --user .
```

1.5. Running Tests 5

For the 2017 Devcon3 presentation, you'll need pdflatex, commonly provided with texlive-full.

```
sudo apt-get install texlive-full
```

1.6.2 Building

The Makefile supplies targets for building:

- All media in this list: make media
- Jello Paper documentation: make sphinx
- 2017 Devcon3 presentation: make 2017-devcon3

1.7 Contributing

Any pull requests into this repository will not be reviewed until at least some conditions are met. Here we'll accumulate the standards that this repository is held to.

Code style guidelines, while somewhat subjective, will still be inspected before going to review. In general, read the rest of the definition for examples about how to style new K code; we collect a few common flubs here.

Writing tests and more contract proofs is **always** appreciated. Tests can come in the form of proofs done over contracts too:).

1.7.1 Hard - Every Commit

These are hard requirements (**must** be met before review), and they **must** be true for **every** commit in the PR.

- If a new feature is introduced in the PR, and later a bug is fixed in the new feature, the bug fix must be squashed back into the feature introduction. The *only* exceptions to this are if you want to document the bug because it was quite tricky or is something you believe should be fixed about K. In these exceptional cases, place the bug-fix commit directly after the feature introduction commit and leave useful commit messages. In addition, mark the feature introduction commit with [skip-ci] if tests will fail on that commit so that we know not to waste time testing it.
- No tab characters, 4 spaces instead. Linux-style line endings; if you're on a Windows machine make sure to run dos2unix on the files. No whitespace at the end of any lines.

1.7.2 Hard - PR Tip

These are hard requirements (**must** be met before review), but they only have to be true for the tip of the PR before review.

• Every test in the repository must pass. We will test this with make split-tests; make test -j12.

1.7.3 Soft - Every Commit

These are soft requirements (review **may** start without these being met), and they will be considered for **every** commit in the PR.

• Comments do not live in the K code blocks, but rather in the surrounding Markdown (unless there is a really good reason to localize the comment).

- You should consider prefixing "internal" symbols (symbols that a user would not write in a program) with a hash (#).
- Place a line of after each block of syntax declarations.

```
syntax Foo ::= "newSymbol"
// ------
rule <k> newSymbol => [] ... </k>
```

Notice that if there are rules immediately following the syntax declaration, a commented-out line of – is inserted afterward. Notice that the width of the line of – matches that of the preceding line.

• Place spaces around parentheses and commas in K's pretty functional-style syntax declarations.

```
syntax Foo ::= newFunctionalSyntax ( Int , String )
// ------
```

• When multiple structurally-similar rules are present, line up as much as possible (and makes sense).

This makes it simpler to make changes to entire groups of rules at a time using sufficiently modern editors. Notice that if we break alignment (eg. from the #do1 group above to the #do2 group), we put an extra line between the groups of rules.

• Line up the r in requires with the l in rule (if it's not all on one line). Similarly, line up the end of andBool for extra side-conditions with the end of requires.

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CHAPTER

TWO

RESOURCES

- EVM Yellowpaper: Original specification of EVM.
- LEM Semantics of EVM

For more information about The K Framework, refer to these sources:

- The K Tutorial
- Semantics-Based Program Verifiers for All Languages
- Reachability Logic Resources
- Matching Logic Resources
- Logical Frameworks: Discussion of logical frameworks.

CHAPTER

THREE

EVM EXECUTION

3.1 Overview

The EVM is a stack machine over some simple opcodes. Most of the opcodes are "local" to the execution state of the machine, but some of them must interact with the world state. This file only defines the local execution operations, the file driver.md will define the interactions with the world state.

```
requires "data.k"
requires "network.k"

module EVM
  imports STRING
  imports EVM—DATA
  imports NETWORK
```

3.2 Configuration

The configuration has cells for the current account id, the current opcode, the program counter, the current gas, the gas price, the current program, the word stack, and the local memory. In addition, there are cells for the callstack and execution substate.

We've broken up the configuration into two components; those parts of the state that mutate during execution of a single transaction and those that are static throughout. In the comments next to each cell, we've marked which component of the YellowPaper state corresponds to each cell.

```
<output>
              .WordStack </output>
                                         // H_RETURN
<statusCode>
              .StatusCode </statusCode>
<callStack>
               .List </callStack>
              List </intermocast
<interimStates>
<touchedAccounts> .Set
<callState>
                      </program>
                                         // I_b
  program>
           .Map
  cprogramBytes> .WordStack /programBytes>
  // I_*
                                         // I_a
  <id>
          \cap
                    </id>
  <caller> 0
                    </caller>
                                         // I_s
  <callData> .WordStack </callData>
                                         // I d
  <callValue> 0 </callValue>
                                         // I_v
  // \mu_*
  <wordStack> .WordStack </wordStack>
                                         // \mu_s
  // \mu_m
                                         // \mu_pc
 <gas>
                                         // \mu_g
  <memoryUsed> 0
                     </memoryUsed>
                                         // \mu_i
  ousGas> 0
                     </previousGas>
  <static> false </static>
  <callDepth> 0 </callDepth>
</callState>
// A_* (execution substate)
<substate>
  <selfDestruct> .Set </selfDestruct>
                                         // A_s
             .List </log>
0 </refund>
                                         // A_1
  <log>
                                         // A_r
  <refund>
</substate>
// Immutable during a single transaction
<qasPrice> 0 </qasPrice>
                                         // I_p
                                          // I o
<origin> 0 </origin>
// I_H* (block information)
// I_Hg
<gasUsed>
                                         // I_Hs
<timestamp>
                         </timestamp>
                                         // I Hx
<extraData>
               .WordStack </extraData>
                                         // I_Hm
                         </mixHash>
<mixHash>
```

```
<blookNonce>
                             </blockNonce>
                                             // I_Hn
    <ommerBlockHeaders> [ .JSONList ] 
     <blookhash>
                    .List
                               </blockhash>
   </evm>
   // Ethereum Network
   // =======
   <network>
    // Accounts Record
    // -----
    <activeAccounts> .Set </activeAccounts>
     <accounts>
      <account multiplicity="*" type="Map">
        <acctID> 0
                                  </acctID>
        <balance> 0
                                   </balance>
        <code> .WordStack:AccountCode </code>
        <storage> .Map
                                  </storage>
        <nonce> 0
                                  </nonce>
      </account>
     </accounts>
    // Transactions Record
    <txOrder> .List </txOrder>
    Pending> .List 
      message multiplicity="*" type="Map">
       // T_n
                                             // T_p
                                             // T_g
       // T_t
                                             // T_v
                                             // T_w
                                             // T_r
                                             // T_s
                                             // T_i/T_e
      </message>
     </messages>
   </network>
 </ethereum>
syntax EthereumSimulation
syntax AccountCode ::= WordStack
```

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3.3 Modal Semantics

Our semantics is modal, with the initial mode being set on the command line via -cMODE=EXECMODE.

- NORMAL executes as a client on the network would.
- VMTESTS skips CALL* and CREATE operations.

• #setMode_ sets the mode to the supplied one.

```
syntax InternalOp ::= "#setMode" Mode
// -----
rule <k> #setMode EXECMODE => [... </k> <mode> _ => EXECMODE </mode>
```

3.4 State Stacks

3.4.1 The CallStack

The callStack cell stores a list of previous VM execution states.

- #pushCallStack saves a copy of VM execution state on the callStack.
- #popCallStack restores the top element of the callStack.
- #dropCallStack removes the top element of the callStack.

3.4.2 The StateStack

The interimStates cell stores a list of previous world states.

- #pushWorldState stores a copy of the current accounts and the substate at the top of the interimStates cell.
- #popWorldState restores the top element of the interimStates.
- #dropWorldState removes the top element of the interimStates.

```
syntax Accounts ::= "{" AccountsCellFragment "|" Set "|" SubstateCellFragment "}"
   syntax InternalOp ::= "#pushWorldState"
   rule <k> #pushWorldState => .K ... </k>
        <interimStates> (.List => ListItem({ ACCTDATA | ACCTS | SUBSTATE })) ... 
→interimStates>
        <activeAccounts> ACCTS
                                </activeAccounts>
        <accounts> ACCTDATA </accounts>
                       SUBSTATE </substate>
        <substate>
   syntax InternalOp ::= "#popWorldState"
   rule <k> #popWorldState => .K ... </k>
        <interimStates> (ListItem({ ACCTDATA | ACCTS | SUBSTATE }) => .List) ... 
→interimStates>
        <activeAccounts> _ => ACCTS
                                      </activeAccounts>
        <accounts> _ => ACCTDATA </accounts>
                        _ => SUBSTATE </substate>
   syntax InternalOp ::= "#dropWorldState"
   rule <k> #dropWorldState => ... </k> <interimStates> (ListItem(_) => .List) ...
→</interimStates>
```

3.5 Control Flow

3.5.1 Exception Based

- #halt indicates end of execution. It will consume anything related to the current computation behind it on the <k> cell.
- #end_ sets the statusCode then halts execution.

- #?_:_?# provides an "if-then-else" (choice):
 - If there is no exception, take the first branch.
 - Else, catch exception and take the second branch.

3.5. Control Flow

3.6 OpCode Execution

3.6.1 Execution Macros

- #execute calls #next repeatedly until it recieves an #end.
- #execTo executes until the next opcode is one of the specified ones.

```
syntax KItem ::= "#execute"
rule <k> (. => #next) ~> #execute ... </k> [tag(step)]
rule <k> #halt ~> (#execute => .) ... </k> [tag(step)]
syntax InternalOp ::= "#execTo" Set
rule <k> (. => #next) ~> #execTo OPS ... </k>
    <pc> PCOUNT </pc>
    requires notBool (OP in OPS)
rule <k> #execTo OPS => ... </k>
    <pc> PCOUNT </pc>
    cprogram> ... PCOUNT |-> OP ... 
  requires OP in OPS
rule <k> #execTo OPS => #end EVMC_SUCCESS ... </k>
    <pc> PCOUNT </pc>
    program> PGM 
  requires notBool PCOUNT in keys (PGM)
```

Execution follows a simple cycle where first the state is checked for exceptions, then if no exceptions will be thrown the opcode is run. When the #next operator cannot lookup the next opcode, it assumes that the end of execution has been reached.

3.6.2 Single Step

The #next operator executes a single step by:

- 1. performing some quick checks for exceptional opcodes,
- 2. executes the opcode if it is not immediately exceptional,
- 3. increments the program counter, and finally
- 4. reverts state if any of the above steps threw an exception.

3.6.3 Exceptional OpCodes

• #exceptional? checks if the operator is invalid and will not cause wordStack size issues (this implements the function Z in the YellowPaper, section 9.4.2).

• #invalid? checks if it's the designated invalid opcode or some undefined opcode.

```
syntax InternalOp ::= "#invalid?" "[" OpCode "]"
//
rule <k> #invalid? [ INVALID      ] => #end EVMC_INVALID_INSTRUCTION ... </k>
rule <k> #invalid? [ UNDEFINED(_) ] => #end EVMC_UNDEFINED_INSTRUCTION ... </k>
rule <k> #invalid? [ OP      ] => ... </k>

orequires notBool isInvalidOp(OP)
```

- #stackNeeded? checks that the stack will be not be under/overflown.
- #stackNeeded, #stackAdded, and #stackDelta are helpers for deciding #stackNeeded?.

```
rule #stackOverflow (WS, OP) => #sizeWordStack(WS) +Int #stackDelta(OP) ✓ Int 1024
syntax Int ::= #stackNeeded ( OpCode ) [function]
rule #stackNeeded(PUSH(_, _)) => 0
rule #stackNeeded(NOP:NullStackOp) => 0
rule #stackNeeded(UOP:UnStackOp) => 1
rule #stackNeeded(BOP:BinStackOp) => 2 requires notBool isLogOp(BOP)
rule #stackNeeded(TOP:TernStackOp) => 3
rule #stackNeeded(QOP:QuadStackOp) => 4
rule #stackNeeded(DUP(N)) => N
rule #stackNeeded(CSOP:CallSixOp) => 6
rule #stackNeeded(COP:CallOp) => 7 requires notBool isCallSixOp(COP)
syntax Int ::= #stackAdded ( OpCode ) [function]
rule #stackAdded(CALLDATACOPY) => 0
rule #stackAdded(RETURNDATACOPY) => 0
rule #stackAdded(CODECOPY) => 0
rule #stackAdded(EXTCODECOPY)
rule #stackAdded(POP) => 0
rule #stackAdded(MSTORE) => 0
rule #stackAdded(MSTORE)
                           => 0
rule #stackAdded(MSTORE8)
rule #stackAdded(SSTORE)
rule #stackAdded(JUMP)
rule #stackAdded(JUMPI)
rule #stackAdded(JUMPDEST)
rule #stackAdded(STOP)
                            => 0
                            => 0
rule #stackAdded(RETURN)
                            => 0
rule #stackAdded(REVERT)
rule #stackAdded(SELFDESTRUCT)
rule #stackAdded(PUSH(_,_))
rule #stackAdded(LOG(_))
rule #stackAdded(SWAP(N))
                             => N
rule #stackAdded(DUP(N))
                            => N + Int 1
                             => 1 [owise]
rule #stackAdded(OP)
syntax Int ::= #stackDelta ( OpCode ) [function]
```

• #static? determines if the opcode should throw an exception due to the static flag.

TODO: Investigate why using [owise] here for the false cases breaks the proofs. Alternatively, figure out how to make this go through with a boolean expression.

```
syntax Bool ::= #changesState ( OpCode , WordStack ) [function]
rule #changesState(LOG(_), _)
                                                                   => true
rule #changesState(SSTORE, _)
                                                                  => true
\textbf{rule} \ \texttt{\#changesState(CALL, \_: \_: VALUE : \_)} \ \texttt{=> VALUE =/=Int 0}
rule #changesState(CREATE, _)
                                                                 => true
rule #changesState(SELFDESTRUCT, _)
                                                                  => true
rule #changesState(DUP(_), _) => false
rule #changesState(SWAP(_), _) => false
rule #changesState(PUSH(_, _), _)
                                                        => false
rule #changesState(STOP, _)
                                                         => false
 rule #changesState(ADD, _)
                                                         => false
 rule #changesState(MUL, _)
                                                         => false
rule #changesState(SUB, _)
rule #changesState(DIV, _)
rule #changesState(SDIV, _)
rule #changesState(MOD, _)
rule #changesState(SMOD, _)
                                                         => false
                                                   => false
=> false
=> false
=> false
=> false
rule #changesState(ADDMOD, _)
rule #changesState(MULMOD, _)
                                                        => false
rule #changesState(EXP, _) => false
rule #changesState(SIGNEXTEND, _) => false
rule #changesState(LT, _) => false
rule #changesState(GT. )
                                                          => false
 rule #changesState(SLT, _)
rule #changesState(SGT, _)
                                                         => false
 rule #changesState(EQ, _)
                                                          => false
                                                    => false
rule #changesState(ISZERO, _)
rule #changesState(AND, _)
                                                        => false
rule #changesState (AND, _) => false
rule #changesState (EVMOR, _) => false
rule #changesState (XOR, _) => false
rule #changesState (NOT, _) => false
rule #changesState (BYTE, _) => false
rule #changesState (SHA3, _) => false
rule #changesState (ADDRESS, _) => false
rule #changesState (BALANCE, _) => false
rule #changesState (ORIGIN, _) => false
rule #changesState (CALLER, _) => false
rule #changesState (CALLVALUE, _) => false
rule #changesState (CALLVALUE, _) => false
rule #changesState (CALLVALUE, _) => false
 rule #changesState(CALLDATALOAD, _)
                                                        => false
 rule #changesState(CALLDATASIZE, _) => false
 rule #changesState(CALLDATACOPY, _) => false
rule #changesState(CODESIZE, _) => false
rule #changesState(CODECOPY, _) => false
rule #changesState(GASPRICE, _) => false
rule #changesState(EXTCODESIZE, _) => false
rule #changesState(EXTCODECOPY, _) => false
rule #changesState(RETURNDATASIZE, _) => false
rule #changesState(RETURNDATACOPY, _) => false
rule #changesState(BLOCKHASH, _) => false
 rule #changesState(NUMBER, _)
rule #changesState(DIFFICULTY, _) => false
rule #changesState(GASLIMIT, _) => false
=> false
=> false
                                                         => false
 rule #changesState(POP, _)
```

```
rule #changesState(MLOAD, _)
                                     => false
rule #changesState(MSTORE, _)
                                      => false
rule #changesState(MSTORE8, _)
                                      => false
rule #changesState(SLOAD, _)
                                      => false
rule #changesState(JUMP, _)
                                       => false
rule #changesState(JUMPI, _)
                                       => false
rule #changesState(PC, _)
                                        => false
rule #changesState(MSIZE, _)
                                       => false
rule #changesState(GAS, _)
                                       => false
rule #changesState(JUMPDEST, _)
rule #changesState(CALLCODE, _)
                                      => false
                                      => false
rule #changesState(RETURN, _)
                                      => false
rule #changesState(DELEGATECALL, _) => false
rule #changesState(STATICCALL, _) => false
rule #changesState(REVERT, _)
rule #changesState(INVALID, _)
                                      => false
                                      => false
rule #changesState(UNDEFINED(_), _)
                                      => false
rule #changesState(ECREC, _)
                                 => false
                                => false
rule #changesState(SHA256, _)
rule #changesState(RIP160, _) => false
rule #changesState(ID, _) => false
rule #changesState(MODEXP, _) => false
rule #changesState(ECADD, _) => false
rule #changesState(ECMUL, _)
                                 => false
rule #changesState(ECPAIRING, _) => false
```

3.6.4 Execution Step

• #exec will load the arguments of the opcode (it assumes #stackNeeded? is accurate and has been called) and trigger the subsequent operations.

```
syntax InternalOp ::= "#exec" "[" OpCode "]"
// -----
rule <k> #exec [ OP ] => #gas [ OP ] → OP ... </k> requires isInternalOp(OP)
→orBool isNullStackOp(OP) orBool isPushOp(OP)
```

Here we load the correct number of arguments from the wordStack based on the sort of the opcode. Some of them require an argument to be interpreted as an address (modulo 160 bits), so the #addr? function performs that check.

```
syntax KItem ::= OpCode
   syntax OpCode ::= NullStackOp | UnStackOp | BinStackOp | TernStackOp | QuadStackOp
                 | InvalidOp | StackOp | InternalOp | CallOp | CallSixOp | PushOp
   syntax InternalOp ::= UnStackOp Int
                      | BinStackOp Int Int
                      | TernStackOp Int Int Int
                      | QuadStackOp Int Int Int Int
   rule <k> #exec [ UOP:UnStackOp => UOP WO
                                                     ] ... </k> <wordStack> WO :__
        => WS </wordStack>
  rule <k> #exec [ BOP:BinStackOp => BOP W0 W1
                                                     ] ... </k> <wordStack> W0 :_
→W1 : WS => WS </wordStack>
   rule <k> #exec [ TOP:TernStackOp => TOP W0 W1 W2 ] ... </k> <wordStack> W0 :_
                 => WS </wordStack>
                                                                     (continues on next page)
```

```
rule <k> #exec [ QOP:QuadStackOp => QOP WO W1 W2 W3 ] ... </k> <wordStack> WO :_
→W1 : W2 : W3 : WS => WS </wordStack>
```

StackOp is used for opcodes which require a large portion of the stack.

```
syntax InternalOp ::= StackOp WordStack
// -----
rule <k> #exec [ SO:StackOp => SO WS ] ... </k> <wordStack> WS </wordStack>
```

The CallOp opcodes all interperet their second argument as an address.

3.6.5 Helpers

- #addr decides if the given argument should be interpreted as an address (given the opcode).
- #gas calculates how much gas this operation costs, and takes into account the memory consumed.

```
syntax InternalOp ::= "#load" "[" OpCode "]"
   rule <k> #load [ OP:OpCode ] => #loadAccount #addr(W0) ... </k>
        <wordStack> (W0 => #addr(W0)) : WS </wordStack>
     requires #addr?(OP)
   rule <k> #load [ OP:OpCode ] => #loadAccount #addr(W0) -> #lookupCode #addr(W0) ...
        <wordStack> (W0 => #addr(W0)) : WS </wordStack>
     requires #code?(OP)
   rule <k> #load [ OP:OpCode ] => #loadAccount #addr(W1) → #lookupCode #addr(W1) ...
→ . </k>
        <wordStack> W0 : (W1 => #addr(W1)) : WS </wordStack>
     requires isCallOp(OP) orBool isCallSixOp(OP)
   rule <k> #load [ CREATE ] => #loadAccount #newAddr(ACCT, NONCE) ... </k>
        <id> ACCT </id>
        <account>
          <acctID> ACCT </acctID>
          <nonce> NONCE </nonce>
        </account>
   rule <k> #load [ OP:OpCode ] => #lookupStorage ACCT W0 ... </k>
        <id> ACCT </id>
        <wordStack> W0 : WS </wordStack>
     requires OP ==K SSTORE orBool OP ==K SLOAD
   rule <k> #load [ OP:OpCode ] => . ... </k>
```

```
requires notBool (
      OP ==K CREATE orBool
      OP ==K SLOAD orBool
      OP ==K SSTORE orBool
      isCallOp (OP) orBool
      isCallSixOp(OP) orBool
      #addr?(OP) orBool
      #code?(OP)
   syntax Bool ::= "#addr?" "(" OpCode ")" [function]
   rule #addr?(BALANCE) => true
   rule #addr?(SELFDESTRUCT) => true
   rule #addr? (OP) => false requires (OP =/=K BALANCE) andBool (OP =/=K,
→SELFDESTRUCT)
   syntax Bool ::= "#code?" "(" OpCode ")" [function]
   rule #code?(EXTCODESIZE) => true
   rule #code?(EXTCODECOPY) => true
   rule #code?(OP) => false requires (OP =/=K EXTCODESIZE) andBool (OP =/
→=K EXTCODECOPY)
   syntax InternalOp ::= "#gas" "[" OpCode "]" | "#deductGas" | "#deductMemory"
// -----
   rule <k> #gas [ OP ] => #memory(OP, MU) > #deductMemory > #gasExec(SCHED, OP)
#deductGas ... </k> <memoryUsed> MU </memoryUsed> <schedule> SCHED </schedule>
   rule <k> MUT: Int > #deductMemory => #end EVMC_INVALID_MEMORY_ACCESS ... </k>
→requires MU =Int pow256
  rule <k> MU :Int  #deductMemory => (Cmem(SCHED, MU!)  Int Cmem(SCHED, MU))
→#deductGas ... </k>
       <memoryUsed> MU => MU   <p
     requires MU' <Int pow256
  rule <k> G:Int -> #deductGas => #end EVMC_OUT_OF_GAS ... </k> <gas> GAVAIL
          </gas> requires GAVAIL <Int G</pre>
   rule <k> G:Int ~> #deductGas => .
                                              ... </k> <gas> GAVAIL =>_
GAVAIL -Int G </gas> 
Int G </gas> 
GAVAIL 
/previousGas> requires GAVAIL
\hookrightarrow G
   syntax Int ::= Cmem ( Schedule , Int ) [function, memo]
   rule Cmem (SCHED, N) => (N *Int Gmemory SCHED >) HInt ((N *Int N) /Int.
→Gquadcoeff < SCHED >)
```

3.6.6 Program Counter

All operators except for PUSH and JUMP* increment the program counter by 1. The arguments to PUSH must be skipped over (as they are inline), and the opcode JUMP already affects the program counter in the correct way.

• #pc calculates the next program counter of the given operator.

3.6.7 Substate Log

During execution of a transaction some things are recorded in the substate log (Section 6.1 in YellowPaper). This is a right cons-list of SubstateLogEntry (which contains the account ID along with the specified portions of the wordStack and localMem).

After executing a transaction, it's necessary to have the effect of the substate log recorded.

• #finalizeTx makes the substate log actually have an effect on the state.

```
syntax InternalOp ::= #finalizeTx ( Bool )
rule <k> #finalizeTx(true) => . ... </k>
     <selfDestruct> .Set </selfDestruct>
rule <k> (.K => #newAccount MINER) ~> #finalizeTx(_)... </k>
     <coinbase> MINER </coinbase>
     <activeAccounts> ACCTS </activeAccounts>
  requires notBool MINER in ACCTS
rule <k> #finalizeTx(false) ... </k>
     <gas> GAVAIL => G* (GAVAIL, GLIMIT, REFUND) </gas>
     <refund> REFUND => 0 </refund>
     <txPending> ListItem(MSGID:Int) ... </txPending>
     <message>
        <msgID> MSGID </msgID>
        <txGasLimit> GLIMIT </txGasLimit>
     </message>
  requires REFUND =/=Int 0
rule <k> #finalizeTx(false => true) ... </k>
     <origin> ORG </origin>
     <coinbase> MINER </coinbase>
     <gas> GAVAIL </gas>
     <refund> 0 </refund>
     <account>
       <acctID> ORG </acctID>
       <balance> ORGBAL => ORGBAL #Int GAVAIL *Int GPRICE </balance>
       . . .
     </account>
```

```
<account>
                     <acctID> MINER </acctID>
                      <br/>

                </account>
                <txPending> ListItem(TXID:Int) => .List ... </txPending>
                <message>
                      <msgID> TXID </msgID>
                      <txGasLimit> GLIMIT </txGasLimit>
                     <txGasPrice> GPRICE </txGasPrice>
                </message>
      requires ORG =/=Int MINER
rule <k> #finalizeTx(false => true) ... </k>
                <origin> ACCT </origin>
                <coinbase> ACCT </coinbase>
                <refund> 0 </refund>
                <account>
                      <acctID> ACCT </acctID>
                      <balance> BAL => BAL + Int GLIMIT *Int GPRICE </balance>
                     . . .
                </account>
                <txPending>ListItem (MsgId:Int)=> .List ... </txPending>
                <message>
                     <msgID> MsgId </msgID>
                     <txGasLimit> GLIMIT </txGasLimit>
                     <txGasPrice> GPRICE </txGasPrice>
                </message>
rule <k> #finalizeTx(true) ... </k>
                <selfDestruct> ... (SetItem(ACCT) => .Set) </selfDestruct>
                <activeAccounts> ... (SetItem(ACCT) => .Set) </activeAccounts>
                <accounts>
                       ( <account>
                                 <acctID> ACCT </acctID>
                            </account>
                  => .Bag
                     )
                     . . .
                </accounts>
```

CHAPTER

FOUR

EVM PROGRAMS

4.1 Program Structure

Lists of opcodes form programs.

```
syntax OpCodes ::= ".OpCodes" | OpCode ";" OpCodes
// ------
```

4.2 Converting to/from Map Representation

```
syntax Map ::= #asMapOpCodes ( OpCodes )
               | #asMapOpCodes ( Int , OpCodes , Map ) [function, klabel(
→#asMapOpCodesAux)]
   rule #asMapOpCodes( OPS::OpCodes ) => #asMapOpCodes(0, OPS, .Map)
   rule #asMapOpCodes( N , .OpCodes , MAP ) => MAP
   rule #asMapOpCodes( N , OP:OpCode ; OCS , MAP ) => #asMapOpCodes(N HInt 1, OCS,_
→MAP (N |-> OP)) requires notBool isPushOp(OP)
  rule #asMapOpCodes( N , PUSH(M, W) ; OCS , MAP ) => #asMapOpCodes(N HInt 1 HInt M,
\rightarrow OCS, MAP (N |-> PUSH(M, W)))
   syntax OpCodes ::= #asOpCodes ( Map )
                                                          [function]
                   | #asOpCodes ( Int , Map , OpCodes ) [function, klabel(
→#asOpCodesAux)]
   rule #asOpCodes(M) => #asOpCodes(0, M, .OpCodes)
  rule #asOpCodes(N, .Map, OPS) => OPS
rule #asOpCodes(N, N |-> OP M, OPS) => #asOpCodes(N + Int 1, M, OP __
   ; OPS) requires notBool isPushOp(OP)
   rule #asOpCodes(N, N |-> PUSH(S, W) M, OPS) => #asOpCodes(N | Int 1 | Int S, M, _
\rightarrowPUSH(S, W); OPS)
```

4.3 EVM OpCodes

4.3.1 Internal Operations

These are just used by the other operators for shuffling local execution state around on the EVM.

- #push will push an element to the wordStack without any checks.
- #setStack_ will set the current stack to the given one.

```
syntax InternalOp ::= "#push" | "#setStack" WordStack
// ------
rule <k> W0:Int → #push => . . . . </k> <wordStack> WS => W0 : WS </wordStack>
rule <k> #setStack WS => . . . . </k> <wordStack> _ => WS </wordStack>
```

 #newAccount_ allows declaring a new empty account with the given address (and assumes the rounding to 160 bits has already occured). If the account already exists with non-zero nonce or non-empty code, an exception is thrown. Otherwise, if the account already exists, the storage is cleared.

```
syntax InternalOp ::= "#newAccount" Int
rule <k> #newAccount ACCT => #end EVMC_ACCOUNT_ALREADY_EXISTS ... </k>
     <account>
      <acctID> ACCT </acctID>
       <code> CODE </code>
       <nonce> NONCE </nonce>
     </account>
  requires CODE =/=K .WordStack orBool NONCE =/=K 0
rule <k> #newAccount ACCT => \( \tau \)... </k>
     <account>
       <acctID> ACCT </acctID>
      </nonce>
       <storage> _ => .Map </storage>
     </account>
rule <k> #newAccount ACCT => . . . . </k>
     <activeAccounts> ACCTS (.Set => SetItem(ACCT)) </activeAccounts>
     <accounts>
      ( .Bag
     => <account>
          <acctID> ACCT
                             </acctID>
         </account>
      )
       . . .
     </accounts>
  requires notBool ACCT in ACCTS
```

The following operations help with loading account information from an external running client. This minimizes the amount of information which must be stored in the configuration.

- #loadAccount queries for account data from the running client.
- #lookupCode loads the code of an account into the <code> cell.

• #lookupStorage loads the value of the specified storage key into the <storage> cell.

In standalone mode, the semantics assumes that all relevant account data is already loaded into memory.

```
rule <k> #loadAccount _ => ... </k>
rule <k> #lookupCode _ => ... </k>
rule <k> #lookupStorage _ => ... </k>
```

In node mode, the semantics are given in terms of an external call to a running client.

 #transferFunds moves money from one account into another, creating the destination account if it doesn't exist.

```
syntax InternalOp ::= "#transferFunds" Int Int Int
   rule <k> #transferFunds ACCT ACCT VALUE => ... </k>
        <account>
          <acctID> ACCT </acctID>
          <balance> ORIGFROM </balance>
          . . .
        </account>
     requires VALUE <=Int ORIGFROM
   rule <k> #transferFunds ACCTFROM ACCTTO VALUE => ... </k>
          <acctID> ACCTFROM </acctID>
          <balance> ORIGFROM => ORIGFROM - Word VALUE </balance>
        </account>
        <account>
          <acctID> ACCTTO </acctID>
          <balance> ORIGTO => ORIGTO + Word VALUE </balance>
        </account>
     requires ACCTFROM =/=K ACCTTO andBool VALUE <=Int ORIGFROM
   rule <k> #transferFunds ACCTFROM ACCTTO VALUE => #end EVMC_BALANCE_UNDERFLOW ...
-></k>
          <acctID> ACCTFROM </acctID>
          <balance> ORIGFROM </balance>
          . . .
        </account>
     requires VALUE Int ORIGFROM
   rule <k> ( => #newAccount ACCTTO) > #transferFunds ACCTFROM ACCTTO VALUE ... </
                                                                          (continues on next page)
```

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4.3.2 Invalid Operator

We use INVALID both for marking the designated invalid operator, and UNDEFINED (_) for garbage bytes in the input program.

```
syntax InvalidOp ::= "INVALID" | "UNDEFINED" "(" Int ")"
// ------
```

4.3.3 Stack Manipulations

Some operators don't calculate anything, they just push the stack around a bit.

4.3.4 Local Memory

These operations are getters/setters of the local execution memory.

4.3.5 Expressions

Expression calculations are simple and don't require anything but the arguments from the wordStack to operate.

NOTE: We have to call the opcode OR by EVMOR instead, because K has trouble parsing it/compiling the definition otherwise.

```
syntax UnStackOp ::= "ISZERO" | "NOT"
   rule <k> ISZERO W ⇒ W ==Word 0 → #push ... </k>
   rule <k> NOT W => ~Word W ~> #push ... </k>
   syntax BinStackOp ::= "ADD" | "MUL" | "SUB" | "DIV" | "EXP" | "MOD"
  rule <k> ADD W0 W1 => W0 + Word W1 -> #push ... </k>
rule <k> MUL W0 W1 => W0 * Word W1 -> #push ... </k>
rule <k> SUB W0 W1 => W0 Word W1 -> #push ... </k>
rule <k> DIV W0 W1 => W0 Word W1 -> #push ... </k>
  rule <k> EXP W0 W1 => W0 Word W1 > #push ... </k>
rule <k> MOD W0 W1 => W0 %Word W1 > #push ... </k>
   syntax BinStackOp ::= "SDIV" | "SMOD"
   rule <k> SDIV W0 W1 => W0 //sWord W1 >> #push ... </k>
rule <k> SMOD W0 W1 => W0 %sWord W1 >> #push ... </k>
   syntax TernStackOp ::= "ADDMOD" | "MULMOD"
// -----
   rule <k> ADDMOD W0 W1 W2 => (W0 +Int W1) %Word W2 → #push ... </k>
   rule <k> MULMOD W0 W1 W2 => (W0 *Int W1) %Word W2 *> #push ... </k>
   syntax BinStackOp ::= "BYTE" | "SIGNEXTEND"
   rule <k> BYTE INDEX W => byte(INDEX, W)
                                                        ~> #push ... </k>
   rule <k> SIGNEXTEND W0 W1 => signextend(W0, W1) → #push ... </k>
   syntax BinStackOp ::= "AND" | "EVMOR" | "XOR"
  rule <k> XOR W0 W1 => W0 xorWord W1 ~> #push ... </k>
   syntax BinStackOp ::= "LT" | "GT" | "EQ"
```

4.3.6 Local State

These operators make queries about the current execution state.

```
syntax NullStackOp ::= "PC" | "GAS" | "GASPRICE" | "GASLIMIT"
 rule <k> GASLIMIT => GLIMIT -> #push ... </k> <gasLimit> GLIMIT </gasLimit>
 syntax NullStackOp ::= "COINBASE" | "TIMESTAMP" | "NUMBER" | "DIFFICULTY"
// -----
                        #push ... </k> <coinbase> CB </coinbase>
**push ... </k> <timestamp> TS </timestamp>
  rule <k> COINBASE => CB
  rule <k> TIMESTAMP => TS
  rule <k> NUMBER => NUMB ~> #push ... </k> <number> NUMB </number>
  rule <k> DIFFICULTY => DIFF ~> #push ... </k> <difficulty> DIFF </difficulty>
  syntax NullStackOp ::= "ADDRESS" | "ORIGIN" | "CALLER" | "CALLVALUE"
  rule <k> ADDRESS => ACCT ~> #push ... </k> <id> ACCT </id>
  rule <k> ORIGIN => ORG → #push ... </k> <origin> ORG </origin>
  rule <k> CALLER => CL
                        *push ... </k> <caller> CL </caller>
  syntax NullStackOp ::= "MSIZE" | "CODESIZE"
  →memoryUsed>
  rule <k> CODESIZE => #sizeWordStack(PGM) ~> #push ... </k> rogramBytes> PGM 
→programBytes>
  syntax TernStackOp ::= "CODECOPY"
  rule <k> CODECOPY MEMSTART PGMSTART WIDTH => ... </k>
      programBytes> PGM /programBytes>
      <localMem> LM => LM [ MEMSTART := PGM [ PGMSTART ]. WIDTH ] ] 
  syntax UnStackOp ::= "BLOCKHASH"
```

When running as a node, the blockhash will be retrieved from the running client. Otherwise, it is calculated here using the "shortcut" formula used for running tests.

4.4 EVM OpCodes

4.4.1 EVM Control Flow

The JUMP * family of operations affect the current program counter.

```
syntax NullStackOp ::= "JUMPDEST"
  rule <k> JUMPDEST => ... </k>
  syntax UnStackOp ::= "JUMP"
  rule <k> JUMP DEST => . ... </k>
      <pc> _ => DEST </pc>
       program> ... DEST |-> JUMPDEST ... 
  rule <k> JUMP DEST => #end EVMC_BAD_JUMP_DESTINATION ... </k>
       cprogram> ... DEST |-> OP ... 
    requires OP =/=K JUMPDEST
  rule <k> JUMP DEST => #end EVMC_BAD_JUMP_DESTINATION ... </k>
       program>
    requires notBool (DEST in_keys(PGM))
  syntax BinStackOp ::= "JUMPI"
// -----
  rule <k> JUMPI DEST I => . . . . </k>
       <pc> PCOUNT => PCOUNT +Int 1 </pc>
    requires I ==Int 0
  rule <k> JUMPI DEST I => JUMP DEST ... </k>
    requires I =/=Int 0
```

4.4.2 STOP, REVERT, and RETURN

4.4.3 Call Data

These operators query about the current CALL* state.

4.4.4 Return Data

These operators query about the current return data buffer.

4.4.5 Log Operations

4.5 Ethereum Network OpCodes

Operators that require access to the rest of the Ethereum network world-state can be taken as a first draft of a "blockchain generic" language.

4.5.1 Account Queries

TODO: It's unclear what to do in the case of an account not existing for these operators. BALANCE is specified to push 0 in this case, but the others are not specified. For now, I assume that they instantiate an empty account and use the empty data.

```
syntax UnStackOp ::= "BALANCE"
rule <k> BALANCE ACCT => BAL ~> #push ... </k>
     <account>
       <acctID> ACCT </acctID>
       <balance> BAL </balance>
     </account>
rule <k> BALANCE ACCT => 0 ~> #push ... </k>
     <activeAccounts> ACCTS </activeAccounts>
  requires notBool ACCT in ACCTS
syntax UnStackOp ::= "EXTCODESIZE"
rule <k> EXTCODESIZE ACCT => #sizeWordStack(CODE) *> #push ... </k>
     <account>
       <acctID> ACCT </acctID>
       <code> CODE </code>
     </account>
rule <k> EXTCODESIZE ACCT => 0 ~> #push ... </k>
     <activeAccounts> ACCTS </activeAccounts>
  requires notBool ACCT in ACCTS
```

TODO: What should happen in the case that the account doesn't exist with EXTCODECOPY? Should we pad zeros (for the copied "program")?

4.5.2 Account Storage Operations

These rules reach into the network state and load/store from account storage:

```
syntax UnStackOp ::= "SLOAD"
  rule <k> SLOAD INDEX => 0 ~> #push ... </k>
       <id> ACCT </id>
       <account>
         <acctID> ACCT </acctID>
         <storage> STORAGE </storage>
       </account> requires notBool INDEX in_keys(STORAGE)
  rule <k> SLOAD INDEX => VALUE ~> #push ... </k>
       <id> ACCT </id>
       <account>
         <acctID> ACCT </acctID>
         <storage> ... INDEX |-> VALUE ... </storage>
       </account>
  syntax BinStackOp ::= "SSTORE"
// -----
  rule <k> SSTORE INDEX VALUE => . . . . </k>
       <id> ACCT </id>
       <account>
         <acctID> ACCT </acctID>
         <storage> ... (INDEX |-> (OLD => VALUE)) ... </storage>
         . . .
       </account>
       <refund> R => #if OLD =/=Int 0 andBool VALUE ==Int 0
                      #then R +Word Rsstoreclear < SCHED >
                      #else R
                     #fi
       </refund>
       <schedule> SCHED </schedule>
  rule <k> SSTORE INDEX VALUE => ... </k>
       <id> ACCT </id>
       <account>
         <acctID> ACCT </acctID>
```

4.5.3 Call Operations

The various CALL* (and other inter-contract control flow) operations will be desugared into these InternalOps.

The callLog is used to store the CALL*/CREATE operations so that we can compare them against the test-set.

- #call_____ takes the calling account, the account to execute as, the account whose code should execute, the gas limit, the amount to transfer, and the arguments.
- #callWithCode_____ takes the calling account, the accout to execute as, the code to execute (as a map), the gas limit, the amount to transfer, and the arguments.
- #return__ is a placeholder for the calling program, specifying where to place the returned data in memory.

```
syntax InternalOp ::= "#checkCall" Int Int
                       | "#call" Int Int Int Exp Int Int WordStack Bool [strict(4)]
                       | "#callWithCode" Int Int Map WordStack Int Int Int WordStack_
-Bool
                       | "#mkCall" Int Int Map WordStack Int Int Int WordStack Bool
   rule <k> #checkCall ACCT VALUE  #call _ _ GLIMIT _
         => #refund GLIMIT ~> #pushCallStack ~> #pushWorldState
         #end #if VALUE Int BAL #then EVMC_BALANCE_UNDERFLOW #else EVMC_CALL_
→DEPTH_EXCEEDED #fi
        <callDepth> CD </callDepth>
        <output> _ => .WordStack </output>
        <account>
          <acctID> ACCT </acctID>
          <balance> BAL </balance>
        </account>
     requires VALUE Int BAL orBool CD =Int 1024
    rule <k> #checkCall ACCT VALUE => . ... </k>
        <callDepth> CD </callDepth>
        <account>
          <acctID> ACCT </acctID>
          <balance> BAL </balance>
        </account>
     requires notBool (VALUE Int BAL orBool CD =Int 1024)
   rule <k> #call ACCTFROM ACCTTO ACCTCODE GLIMIT:Int VALUE APPVALUE ARGS STATIC
         => #callWithCode ACCTFROM ACCTTO (0 |-> #precompiled(ACCTCODE)) .WordStack_
→GLIMIT VALUE APPVALUE ARGS STATIC
```

```
</k>
        <schedule> SCHED </schedule>
     requires ACCTCODE in #precompiledAccounts(SCHED)
   rule <k> #call ACCTFROM ACCTTO ACCTCODE GLIMIT: Int VALUE APPVALUE ARGS STATIC
         => #callWithCode ACCTFROM ACCTTO #asMapOpCodes(#dasmOpCodes(CODE, SCHED))_
→CODE GLIMIT VALUE APPVALUE ARGS STATIC
        </k>
        <schedule> SCHED </schedule>
        <acctID> ACCTCODE </acctID>
        <code> CODE </code>
     requires notBool ACCTCODE in #precompiledAccounts(SCHED)
   rule <k> #call ACCTFROM ACCTTO ACCTCODE GLIMIT:Int VALUE APPVALUE ARGS STATIC
         => #callWithCode ACCTFROM ACCTTO .Map .WordStack GLIMIT VALUE APPVALUE ARGS..
STATIC
        </k>
        <activeAccounts> ACCTS </activeAccounts>
        <schedule> SCHED </schedule>
     requires notBool ACCTCODE in #precompiledAccounts(SCHED) andBool notBool..
\hookrightarrowACCTCODE in ACCTS
   rule <k> #callWithCode ACCTFROM ACCTTO CODE BYTES GLIMIT VALUE APPVALUE ARGS.
→STATIC
         => #pushCallStack ~> #pushWorldState
         #transferFunds ACCTFROM ACCTTO VALUE
         #mkCall ACCTFROM ACCTTO CODE BYTES GLIMIT VALUE APPVALUE ARGS STATIC
        </k>
   rule <k> #mkCall ACCTFROM ACCTTO CODE BYTES GLIMIT VALUE APPVALUE ARGS STATIC:Bool
         => #initVM ~> #execute
        . . .
        </k>
        <callDepth> CD => CD +Int 1 </callDepth>
        <callData> _ => ARGS </callData>
        <callValue> _ => APPVALUE </callValue>
        <id> _ => ACCTTO </id>
        <qas> => GLIMIT </qas>
        <caller> _ => ACCTFROM </caller>
        cprogramBytes> _ => BYTES 
        <static> OLDSTATIC:Bool => OLDSTATIC orBool STATIC </static>
        <touchedAccounts> ... .Set => SetItem(ACCTFROM) SetItem(ACCTTO) ... </
→touchedAccounts>
   syntax KItem ::= "#initVM"
// -----
   rule <k> #initVM => ....
                                   </k>
             _ => 0
                                   </pc>
        <memoryUsed> _ => 0
                                    </memoryUsed>
        <output> _ => .WordStack </output>
        <wordStack> _ => .WordStack </wordStack>
                   _ => .Map </localMem>
        <localMem>
```

```
syntax KItem ::= "#return" Int Int
   rule <statusCode> _:ExceptionalStatusCode </statusCode>
        <k> #halt ~> #return _ _
        => #popCallStack > #popWorldState > 0 > #push
        </k>
        <output> _ => .WordStack </output>
   rule <statusCode> EVMC_REVERT </statusCode>
        <k> #halt ~> #return RETSTART RETWIDTH
         => #popCallStack ~> #popWorldState
         0 push push refund GAVAIL | setLocalMem RETSTART RETWIDTH OUT
        </k>
        <output> OUT </output>
        <gas> GAVAIL </gas>
   rule <statusCode> EVMC_SUCCESS </statusCode>
        <k> #halt ~> #return RETSTART RETWIDTH
         => #popCallStack ~> #dropWorldState
         1 ~> #push ~> #refund GAVAIL ~> #setLocalMem RETSTART RETWIDTH OUT
        </k>
        <output> OUT </output>
        <gas> GAVAIL 
   syntax InternalOp ::= "#refund" Exp [strict]
                     | "#setLocalMem" Int Int WordStack
   rule <k> #refund G:Int => ... </k> <gas> GAVAIL => GAVAIL #Int G </gas>
   rule <k> #setLocalMem START WIDTH WS => . ... </k>
        <localMem> LM => LM [ START := #take(minInt(WIDTH, #sizeWordStack(WS)), WS)_

→ ] </localMem>
```

4.6 Ethereum Network OpCodes

4.6.1 Call Operations

For each CALL* operation, we make a corresponding call to #call and a state-change to setup the custom parts of the calling environment.

```
<id> ACCTFROM </id>
        <localMem> LM </localMem>
         ousGas> GAVAIL </previousGas>
   syntax CallOp ::= "CALLCODE"
   rule <k> CALLCODE GCAP ACCTTO VALUE ARGSTART ARGWIDTH RETSTART RETWIDTH
         => #checkCall ACCTFROM VALUE
         * #call ACCTFROM ACCTFROM ACCTTO Ccallgas (SCHED,
→#accountNonexistent(ACCTFROM), GCAP, GAVAIL, VALUE) VALUE VALUE #range(LM, ARGSTART,
\hookrightarrow ARGWIDTH) false
         *> #return RETSTART RETWIDTH
        . . .
        </k>
        <schedule> SCHED </schedule>
        <id> ACCTFROM </id>
        <ld><localMem> LM </localMem>
        <previousGas> GAVAIL </previousGas>
   syntax CallSixOp ::= "DELEGATECALL"
   rule <k> DELEGATECALL GCAP ACCTTO ARGSTART ARGWIDTH RETSTART RETWIDTH
         => #checkCall ACCTFROM 0
         ~> #call ACCTAPPFROM ACCTFROM ACCTTO Ccallgas(SCHED,
→#accountNonexistent(ACCTFROM), GCAP, GAVAIL, 0) 0 VALUE #range(LM, ARGSTART,
→ARGWIDTH) false
         *> #return RETSTART RETWIDTH
        </k>
        <schedule> SCHED </schedule>
        <id> ACCTFROM </id>
        <caller> ACCTAPPFROM </caller>
        <callValue> VALUE </callValue>
        <localMem> LM </localMem>
        <previousGas> GAVAIL </previousGas>
   syntax CallSixOp ::= "STATICCALL"
   rule <k> STATICCALL GCAP ACCTTO ARGSTART ARGWIDTH RETSTART RETWIDTH
         => #checkCall ACCTFROM 0
         #call ACCTFROM ACCTTO ACCTTO Ccallgas (SCHED, #accountNonexistent (ACCTTO),
→ GCAP, GAVAIL, 0) 0 0 #range(LM, ARGSTART, ARGWIDTH) true
         *> #return RETSTART RETWIDTH
        </k>
        <schedule> SCHED </schedule>
        <id> ACCTFROM </id>
        <localMem> LM </localMem>
        <previousGas> GAVAIL </previousGas>
```

4.6.2 Account Creation/Deletion

- #create____ transfers the endowment to the new account and triggers the execution of the initialization code.
- #codeDeposit_ checks the result of initialization code and whether the code deposit can be paid, indicating

an error if not.

```
syntax InternalOp ::= "#create" Int Int Int Int WordStack
                       | "#mkCreate" Int Int WordStack Int Int
                       | "#checkCreate" Int Int
                       | "#incrementNonce" Int
   rule <k> #checkCreate ACCT VALUE ~> #create _ _ GAVAIL _ _
         => #refund GAVAIL ~> #pushCallStack ~> #pushWorldState
         #end #if VALUE Int BAL #then EVMC_BALANCE_UNDERFLOW #else EVMC_CALL_
→DEPTH_EXCEEDED #fi
        </k>
        <callDepth> CD </callDepth>
        <output> _ => .WordStack </output>
        <account>
          <acctID> ACCT </acctID>
          <balance> BAL </balance>
        </account>
     requires VALUE Int BAL orBool CD =Int 1024
   rule <k> #checkCreate ACCT VALUE => #incrementNonce ACCT ... </k>
        <callDepth> CD </callDepth>
        <account>
          <acctID> ACCT </acctID>
          <balance> BAL </balance>
        </account>
     requires notBool (VALUE Int BAL orBool CD =Int 1024)
   rule <k> #create ACCTFROM ACCTTO GAVAIL VALUE INITCODE
         => #pushCallStack ~> #pushWorldState
         #newAccount ACCTTO

#transferFunds ACCTFROM ACCTTO VALUE

#mkCreate ACCTFROM ACCTTO INITCODE GAVAIL VALUE
        </k>
   rule <k> #mkCreate ACCTFROM ACCTTO INITCODE GAVAIL VALUE
         => #initVM ~> #execute
        </k>
        <schedule> SCHED </schedule>
        <id> ACCT => ACCTTO </id>
        <gas> OLDGAVAIL => GAVAIL </gas>
        cprogramBytes> _ => INITCODE 
        <caller> _ => ACCTFROM </caller>
        <callDepth> CD => CD | Int 1 </callDepth>
        <callData> _ => .WordStack </callData>
        <callValue> _ => VALUE </callValue>
          <acctID> ACCTTO </acctID>
          <nonce> NONCE => #if Gemptyisnonexistent << SCHED >> #then NONCE +Int 1
→#else NONCE #fi </nonce>
        </account>
```

```
<touchedAccounts> ... .Set => SetItem(ACCTFROM) SetItem(ACCTTO) ... </
→touchedAccounts>
   rule <k> #incrementNonce ACCT => ... </k>
        <account>
          <acctID> ACCT </acctID>
          <nonce> NONCE => NONCE +Int 1 
        </account>
   syntax KItem ::= "#codeDeposit" Int
                  | "#mkCodeDeposit" Int
                  | "#finishCodeDeposit" Int WordStack
   rule <statusCode> _:ExceptionalStatusCode </statusCode>
        <k> #halt  #codeDeposit _ => #popCallStack  #popWorldState  0 #push_
→... </k> <output> _ => .WordStack </output>
   rule <statusCode> EVMC_REVERT </statusCode>
        <k> #halt  #codeDeposit _ => #popCallStack  #popWorldState  #refund_
\hookrightarrow GAVAIL \stackrel{\sim}{\sim} 0 \stackrel{\sim}{\sim} #push ... </k>
        <gas> GAVAIL 
   rule <statusCode> EVMC_SUCCESS </statusCode>
        <k> #halt >> #codeDeposit ACCT => #mkCodeDeposit ACCT ... </k>
   rule <k> #mkCodeDeposit ACCT
         => Gcodedeposit SCHED | *Int #sizeWordStack(OUT) | #deductGas
         #finishCodeDeposit ACCT OUT
        </k>
        <schedule> SCHED </schedule>
        <output> OUT => .WordStack </output>
     requires #sizeWordStack(OUT) <=Int maxCodeSize < SCHED >
   -></k>
        <schedule> SCHED </schedule>
        <output> OUT => .WordStack </output>
     requires #sizeWordStack(OUT) > Int maxCodeSize < SCHED >
   rule <k> #finishCodeDeposit ACCT OUT
         => #popCallStack >> #dropWorldState >> #refund GAVAIL >> ACCT >> #push
        </k>
        <gas> GAVAIL </gas>
        <account>
          <acctID> ACCT </acctID>
          <code> _ => OUT </code>
          . . .
        </account>
   rule <statusCode> _:ExceptionalStatusCode </statusCode>
        <k> #halt ~> #finishCodeDeposit ACCT
         => #popCallStack ~> #dropWorldState 

** #refund GAVAIL ~> ACCT ~> #push
```

CREATE will attempt to #create the account using the initialization code and cleans up the result with #codeDeposit.

```
syntax TernStackOp ::= "CREATE"
   rule <k> CREATE VALUE MEMSTART MEMWIDTH
         => #checkCreate ACCT VALUE
         #create ACCT #newAddr(ACCT, NONCE) #if Gstaticcalldepth 😽 SCHED
→#then GAVAIL #else #allBut64th(GAVAIL) #fi VALUE #range(LM, MEMSTART, MEMWIDTH)
         #codeDeposit #newAddr(ACCT, NONCE)
        </k>
        <schedule> SCHED </schedule>
        <id> ACCT </id>
        <gas> GAVAIL => #if Gstaticcalldepth < SCHED > #then 0 #else GAVAIL /Int_
→64 #fi </gas>
        <localMem> LM </localMem>
        <account>
          <acctID> ACCT </acctID>
          <nonce> NONCE </nonce>
          . . .
        </account>
```

SELFDESTRUCT marks the current account for deletion and transfers funds out of the current account. Self destructing to yourself, unlike a regular transfer, destroys the balance in the account, irreparably losing it.

```
syntax UnStackOp ::= "SELFDESTRUCT"
   rule <k> SELFDESTRUCT ACCTTO => #transferFunds ACCT ACCTTO BALFROM -> #end EVMC_
→SUCCESS ... </k>
        <schedule> SCHED </schedule>
        <id> ACCT </id>
        <selfDestruct> SDS (.Set => SetItem(ACCT)) </selfDestruct>
        <refund> RF => #if ACCT in SDS #then RF #else RF #Word Rselfdestruct < SCHED_
→> #fi </refund>
        <account>
           <acctID> ACCT </acctID>
           <balance> BALFROM </balance>
        </account>
        <output> _ => .WordStack </output>
        <touchedAccounts> ... .Set => SetItem(ACCT) SetItem(ACCTTO) ... </
\hookrightarrowtouchedAccounts>
     requires ACCT =/=Int ACCTTO
   rule <k> SELFDESTRUCT ACCT => #end EVMC_SUCCESS ... </k>
```

4.7 Precompiled Contracts

• #precompiled is a placeholder for the 4 pre-compiled contracts at addresses 1 through 4.

```
syntax NullStackOp ::= PrecompiledOp
   syntax PrecompiledOp ::= #precompiled ( Int ) [function]
   rule #precompiled(1) => ECREC
   rule #precompiled(2) => SHA256
   rule #precompiled(3) => RIP160
   rule #precompiled(4) => ID
   rule #precompiled(5) => MODEXP
   rule #precompiled(6) => ECADD
   rule #precompiled(7) => ECMUL
   rule #precompiled(8) => ECPAIRING
   syntax Set ::= #precompiledAccounts ( Schedule ) [function]
   rule #precompiledAccounts(DEFAULT)
                                           => SetItem(1) SetItem(2) SetItem(3)
→SetItem(4)
   rule #precompiledAccounts(FRONTIER)
                                           => #precompiledAccounts(DEFAULT)
   rule #precompiledAccounts(HOMESTEAD)
                                           => #precompiledAccounts(FRONTIER)
                                           => #precompiledAccounts(HOMESTEAD)
   rule #precompiledAccounts(EIP150)
   rule #precompiledAccounts(EIP158)
                                            => #precompiledAccounts(EIP150)
   rule #precompiledAccounts(BYZANTIUM)
                                             => #precompiledAccounts(EIP158)...
→SetItem(5) SetItem(6) SetItem(7) SetItem(8)
   rule #precompiledAccounts (CONSTANTINOPLE) => #precompiledAccounts (BYZANTIUM)
```

- ECREC performs ECDSA public key recovery.
- SHA256 performs the SHA2-257 hash function.
- RIP160 performs the RIPEMD-160 hash function.
- ID is the identity function (copies input to output).

```
<output> _ => #ecrec(#sender(#unparseByteStack(DATA [ 0 ... 32 ]),
\rightarrow #asWord(DATA [ 32 ... 32 ]), #unparseByteStack(DATA [ 64 ... 32 ]),
→#unparseByteStack(DATA [ 96 ... 32 ]))) </output>
   syntax WordStack ::= #ecrec ( Account ) [function]
   rule #ecrec(.Account) => .WordStack
   rule #ecrec(N:Int) => #padToWidth(32, #asByteStack(N))
   syntax PrecompiledOp ::= "SHA256"
   rule <k> SHA256 => #end EVMC_SUCCESS ... </k>
       <callData> DATA </callData>
        <output> _ => #parseHexBytes(Sha256(#unparseByteStack(DATA))) 
  syntax PrecompiledOp ::= "RIP160"
   rule <k> RIP160 => #end EVMC_SUCCESS ... </k>
        <callData> DATA </callData>
        <output> _ => #padToWidth(32, #parseHexBytes(RipEmd160(
→#unparseByteStack(DATA)))) 
   syntax PrecompiledOp ::= "ID"
// -----
   rule <k> ID => #end EVMC_SUCCESS ... </k>
       <callData> DATA </callData>
       <output> _ => DATA 
   syntax PrecompiledOp ::= "MODEXP"
// -----
   rule <k> MODEXP => #end EVMC_SUCCESS ... </k>
        <callData> DATA </callData>
       <output> _ => #modexp1(#asWord(DATA [ 0 ... 32 ]), #asWord(DATA [ 32 ... 32 ]),
→ #asWord(DATA [ 64 ... 32 ]), #drop(96,DATA)) </output>
   syntax WordStack ::= #modexpl ( Int , Int , WordStack ) [function]
                    | #modexp2 ( Int , Int , Int , WordStack ) [function]
                    | #modexp3 ( Int , Int , Int , WordStack ) [function]
                    | #modexp4 ( Int , Int , Int ) [function]
   rule #modexp1 (BASELEN, EXPLEN, MODLEN, DATA) => #modexp2 (#asInteger (DATA [ 0 ...
→BASELEN ]), EXPLEN, MODLEN, #drop(BASELEN, DATA)) requires MODLEN =/=Int 0
  \rightarrow [ 0 ... EXPLEN ]), MODLEN, #drop(EXPLEN, DATA))
   rule #modexp3(BASE, EXPONENT, MODLEN, DATA) => #padToWidth(MODLEN,
→#modexp4(BASE, EXPONENT, #asInteger(DATA [ 0 ... MODLEN ])))
   rule #modexp4 (BASE, EXPONENT, MODULUS) => #asByteStack (powmod (BASE,
→EXPONENT, MODULUS))
   syntax PrecompiledOp ::= "ECADD"
// -----
  rule <k> ECADD => #ecadd((#asWord(DATA [ 0 ... 32 ]), #asWord(DATA [ 32 ... 32 ])),
→ (#asWord(DATA [ 64 ... 32 ]), #asWord(DATA [ 96 ... 32 ]))) ... </k>
       <callData> DATA </callData>
   syntax InternalOp ::= #ecadd(G1Point, G1Point)
                                                                  (continues on next page)
```

```
rule <k> #ecadd(P1, P2) => #end EVMC_PRECOMPILE_FAILURE ... </k>
    requires notBool isValidPoint(P1) orBool notBool isValidPoint(P2)
   rule <k> #ecadd(P1, P2) => #end EVMC_SUCCESS ... </k> <output> _ =>
→ #point (BN128Add (P1, P2)) </output>
     requires isValidPoint(P1) andBool isValidPoint(P2)
   syntax PrecompiledOp ::= "ECMUL"
   rule <k> ECMUL => #ecmul((#asWord(DATA [ 0 ... 32 ]), #asWord(DATA [ 32 ... 32 ])),
→#asWord(DATA [ 64 ... 32 ])) ... </k>
        <callData> DATA </callData>
   syntax InternalOp ::= #ecmul(G1Point, Int)
   rule <k> #ecmul(P, S) => #end EVMC_PRECOMPILE_FAILURE ... </k>
     requires notBool isValidPoint(P)
   rule <k> #ecmul(P, S) => #end EVMC_SUCCESS ... </k> <output> _ =>
→#point (BN128Mul(P, S)) </output>
     requires isValidPoint(P)
   syntax WordStack ::= #point ( G1Point ) [function]
   rule #point((X, Y)) => #padToWidth(32, #asByteStack(X)) ++ #padToWidth(32,
→#asByteStack(Y))
   syntax PrecompiledOp ::= "ECPAIRING"
   rule <k> ECPAIRING => #ecpairing(.List, .List, 0, DATA, #sizeWordStack(DATA)) ...
-</k>
       <callData> DATA </callData>
     requires #sizeWordStack(DATA) modInt 192 ==Int 0
   rule <k> ECPAIRING => #end EVMC_PRECOMPILE_FAILURE ... </k>
       <callData> DATA </callData>
     requires #sizeWordStack(DATA) modInt 192 =/=Int 0
   syntax InternalOp ::= #ecpairing(List, List, Int, WordStack, Int)
   → ... 32 ]), #asWord(DATA [ I +Int 32 ... 32 ])))) _, (.List => ListItem((#asWord(DATA_
→[ I +Int 96 ... 32 ]) x #asWord(DATA [ I +Int 64 ... 32 ]) , #asWord(DATA [ I +Int ... →160 ... 32 ]) x #asWord(DATA [ I +Int 128 ... 32 ])))) _, I => I +Int 192, DATA, LEN)_
→ . . . </k>
     requires I =/=Int LEN
   rule <k> #ecpairing(A, B, LEN, _, LEN) => #end EVMC_SUCCESS ... </k>
       <output> _ => #padToWidth(32, #asByteStack(bool2Word(BN128AtePairing(A,...))
→B)))) 
   syntax InternalOp ::= "#checkPoint"
   \rightarrowListItem(BK::G2Point) _, _, _, _) ... </k>
    requires isValidPoint (AK) andBool isValidPoint (BK)
  \rightarrow_, _, _, _) => #end EVMC_PRECOMPILE_FAILURE ... </k>
     requires notBool isValidPoint(AK) orBool notBool isValidPoint(BK)
```

ETHEREUM GAS CALCULATION

5.1 Memory Consumption

Memory consumed is tracked to determine the appropriate amount of gas to charge for each operation. In the YellowPaper, each opcode is defined to consume zero gas unless specified otherwise next to the semantics of the opcode (appendix H).

- #memory computes the new memory size given the old size and next operator (with its arguments).
- #memoryUsageUpdate is the function M in appendix H of the YellowPaper which helps track the memory used.

```
syntax Int ::= #memory ( OpCode , Int ) [function]
   rule #memory ( MLOAD INDEX
                             , MU ) => #memoryUsageUpdate(MU, INDEX, 32)
   rule #memory ( MSTORE INDEX _ , MU ) => #memoryUsageUpdate(MU, INDEX, 32)
   rule #memory ( MSTORE8 INDEX _ , MU ) => #memoryUsageUpdate(MU, INDEX, 1)
   rule #memory ( SHA3 START WIDTH , MU ) => #memoryUsageUpdate(MU, START, WIDTH)
   rule #memory ( LOG(_) START WIDTH , MU ) => #memoryUsageUpdate(MU, START, WIDTH)
   →START, WIDTH)
   rule #memory ( EXTCODECOPY _ START _ WIDTH , MU ) => #memoryUsageUpdate(MU,_
⇒START, WIDTH)
   rule #memory ( CALLDATACOPY START _ WIDTH , MU ) => #memoryUsageUpdate(MU,_
→START, WIDTH)
   rule #memory ( RETURNDATACOPY START _ WIDTH , MU ) => #memoryUsageUpdate(MU, ...
⇔START, WIDTH)
   rule #memory ( CREATE _ START WIDTH , MU ) => #memoryUsageUpdate(MU, START, WIDTH)
   rule #memory ( RETURN START WIDTH , MU ) => #memoryUsageUpdate(MU, START, WIDTH)
   rule #memory ( REVERT START WIDTH
                                    , MU ) => #memoryUsageUpdate(MU, START, WIDTH)
                              _ _ ARGSTART ARGWIDTH RETSTART RETWIDTH , MU ) =>
   rule #memory ( COP: CallOp
→#memoryUsageUpdate(#memoryUsageUpdate(MU, ARGSTART, ARGWIDTH), RETSTART, RETWIDTH)
   rule #memory ( CSOP:CallSixOp _ _ ARGSTART ARGWIDTH RETSTART RETWIDTH , MU ) =>
→ #memoryUsageUpdate(#memoryUsageUpdate(MU, ARGSTART, ARGWIDTH), RETSTART, RETWIDTH)
```

Grumble grumble, K sucks at owise.

```
rule #memory(JUMP _, MU) => MU
rule #memory(JUMPI _ _, MU) => MU
rule #memory(JUMPDEST, MU) => MU
```

```
rule #memory(SSTORE _ _, MU) => MU
rule #memory(SLOAD _,
                                   MU) => MU
                                    MU) => MU
MU) => MU
rule #memory(ADD _ _,
rule #memory(SUB _ _,
rule #memory(MUL _ _,
                                     MU) => MU
MU) => MU
rule #memory(DIV _ _,
                                  MU) => MU
rule #memory(EXP _ _,
rule #memory(MOD _ _,
rule #memory(SDIV _ _,
rule #memory(SMOD _ _,
rule #memory(SIGNEXTEND _ _, MU) => MU
rule #memory(ADDMOD _ _ _, MU) => MU
rule #memory(MULMOD _ _ _,
                                      MU) => MU
rule #memory(NOT _, MU) => MU
rule #memory(AND _ _, MU) => MU
rule #memory(EVMOR _ _, MU) => MU
rule #memory(XOR _ _, MU) => MU
rule #memory(BYTE _ _, MU) => MU
rule #memory(ISZERO _, MU) => MU
rule #memory(LT _ _,
rule #memory(GT _ _,
                                      MU) => MU
                                      MU) => MU
rule #memory(SLT _ _,
                                      MU) => MU
rule #memory(SGT _ _,
                                      MU) => MU
                                      MU) => MU
rule #memory(EQ _ _,
rule #memory(POP _,
                                 MU) => MU
rule #memory(PUSH(_, _), MU) => MU
rule #memory(DUP(_) _, MU) => MU
rule #memory(SWAP(_) _, MU) => MU
                                    MU) => MU
MU) => MU
rule #memory(STOP,
rule #memory(ADDRESS, MU) => MU
rule #memory(ORIGIN, MU) => MU
rule #memory(CALLER, MU) => MU
rule #memory(CALLVALUE, MU) => MU
rule #memory(CALLDATASIZE, MU) => MU
rule #memory(RETURNDATASIZE, MU) => MU
rule #memory (REIORNDATASIZE, MU) => MU
rule #memory (CODESIZE, MU) => MU
rule #memory (GASPRICE, MU) => MU
rule #memory (COINBASE, MU) => MU
rule #memory (TIMESTAMP, MU) => MU
rule #memory (NUMBER, MU) => MU
rule #memory (DIFFICULTY, MU) => MU
rule #memory (GASLIMIT, MU) => MU
rule #memory (PC
                                      MU) => MU
rule #memory(PC,
                                    MU) => MU
rule #memory (MSIZE,
                                      MU) => MU
rule #memory (GAS,
rule #memory(SELFDESTRUCT _, MU) => MU
rule #memory(CALLDATALOAD , MU) => MU
rule #memory(EXTCODESIZE _, MU) => MU
rule #memory(BALANCE _, MU) => MU
rule #memory(BLOCKHASH _, MU) => MU
```

5.2 Execution Gas

The intrinsic gas calculation mirrors the style of the YellowPaper (appendix H).

#gasExec loads all the relevant surronding state and uses that to compute the intrinsic execution gas of each opcode.

```
syntax InternalOp ::= #gasExec ( Schedule , OpCode )
   rule <k> #gasExec(SCHED, SSTORE INDEX VALUE) => Csstore(SCHED, VALUE,
\rightarrow#lookup(STORAGE, INDEX)) ... </k>
        <id> ACCT </id>
        <account>
          <acctID> ACCT </acctID>
          <storage> STORAGE </storage>
        </account>
   rule <k> #qasExec(SCHED, EXP WO 0) => Gexp < SCHED > ... </k>
   rule <k> #gasExec(SCHED, EXP W0 W1) => Gexp SCHED Fint (Gexpbyte SCHED )
\rightarrow*Int (1 | HInt (log256Int(W1)))) ... </k> requires W1 =/=K 0
   rule <k> #gasExec(SCHED, CALLDATACOPY _ _ WIDTH) => Gverylow SCHED
→HInt (Gcopy SCHED > *Int (WIDTH up//Int 32)) ... </k>
   rule <k> #gasExec(SCHED, RETURNDATACOPY _ _ WIDTH) => Gverylow SCHED
\rightarrowHInt (Gcopy SCHED NINt (WIDTH up/Int 32)) ... </k>
   rule <k> #gasExec(SCHED, CODECOPY
                                       _ _ WIDTH) => Gverylow SCHED >_
\rightarrow Int (Gcopy SCHED \rightarrow Int (WIDTH up/Int 32)) ... </k>
   rule <k> #gasExec(SCHED, EXTCODECOPY _ _ WIDTH) => Gextcodecopy SCHED
→ HInt (Gcopy SCHED > ★Int (WIDTH up/Int 32)) ... </k>
   rule <k> #gasExec(SCHED, LOG(N) _ WIDTH) => (Glog < SCHED > HInt (Glogdata <
→SCHED > *Int WIDTH) #Int (N *Int Glogtopic < SCHED >)) ... </k>
   rule <k> #gasExec(SCHED, CALL GCAP ACCTTO VALUE _ _ _ _) => Ccall(SCHED,
→ #accountNonexistent(ACCTTO), GCAP, GAVAIL, VALUE) ... </k>
        <gas> GAVAIL 
   rule <k> #gasExec(SCHED, CALLCODE GCAP _ VALUE _ _ _ _) => Ccall(SCHED,
\rightarrow#accountNonexistent(ACCTFROM), GCAP, GAVAIL, VALUE) ... </k>
        <id> ACCTFROM </id>
        <gas> GAVAIL </gas>
   rule <k> #gasExec(SCHED, DELEGATECALL GCAP _ _ _ _ ) => Ccall(SCHED,
\Rightarrow#accountNonexistent(ACCTFROM), GCAP, GAVAIL, 0) ... </k>
```

(continues on next page)

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```
<id> ACCTFROM </id>
             <gas> GAVAIL 
     rule <k> #gasExec(SCHED, STATICCALL GCAP ACCTTO _ _ _ _) => Ccall(SCHED,
\hookrightarrow#accountNonexistent(ACCTTO), GCAP, GAVAIL, 0) ... </k>
             <gas> GAVAIL </gas>
     rule <k> #qasExec (SCHED, SELFDESTRUCT ACCTTO) => Cselfdestruct (SCHED,
⇒#accountNonexistent(ACCTTO), BAL) ... </k>
             <id> ACCTFROM </id>
             <account>
                <acctID> ACCTFROM </acctID>
                <balance> BAL </balance>
                . . .
             </account>
     rule <k> #gasExec(SCHED, CREATE _ _ _) => Gcreate SCHED > ... </k>
     rule <k> #gasExec(SCHED, SHA3 _ WIDTH) => Gsha3 	☐ SCHED ☐ ☐ ☐Int (Gsha3word ☐ SCHED_
\rightarrow *Int (WIDTH up/Int 32)) ... </k>
     rule <k> #gasExec(SCHED, JUMPDEST) ⇒ Gjumpdest SCHED ... </k>
     rule <k> #gasExec(SCHED, SLOAD _) => Gsload < SCHED > ... </k>
     // Wzero
     rule <k> #gasExec(SCHED, STOP) => Gzero ⟨ SCHED ⟩ ... </k>
     rule <k> #gasExec(SCHED, RETURN _ _) => Gzero < SCHED > ... </k>
     rule <k> #gasExec(SCHED, REVERT _ _) => Gzero < SCHED > ... </k>
     // Wbase
    rule <k> #gasExec(SCHED, ADDRESS) => Gbase < SCHED > ... </k>
rule <k> #gasExec(SCHED, ORIGIN) => Gbase < SCHED > ... </k>
rule <k> #gasExec(SCHED, CALLER) => Gbase < SCHED > ... </k>
rule <k> #gasExec(SCHED, CALLVALUE) => Gbase < SCHED > ... </k>
    rule <k> #gasexec (Sched, Calldatasize) => Gbase < Sched > ... </k>
    rule <k> #gasExec(SCHED, RETURNDATASIZE) => Gbase < SCHED ... </k>
    rule <k> #gasExec(SCHED, CODESIZE) => Gbase SCHED ... </k>
   rule <k> #gasExec(SCHED, CODESIZE) => Gbase < SCHED > ... </k>
rule <k> #gasExec(SCHED, GASPRICE) => Gbase < SCHED > ... </k>
rule <k> #gasExec(SCHED, COINBASE) => Gbase < SCHED > ... </k>
rule <k> #gasExec(SCHED, TIMESTAMP) => Gbase < SCHED > ... </k>
rule <k> #gasExec(SCHED, NUMBER) => Gbase < SCHED > ... </k>
rule <k> #gasExec(SCHED, DIFFICULTY) => Gbase < SCHED > ... </k>
rule <k> #gasExec(SCHED, GASLIMIT) => Gbase < SCHED > ... </k>
rule <k> #gasExec(SCHED, POP _) => Gbase < SCHED > ... </k>
rule <k> #gasExec(SCHED, PC) => Gbase < SCHED > ... </k>
rule <k> #gasExec(SCHED, MSIZE) => Gbase < SCHED > ... </k>
rule <k> #gasExec(SCHED, GAS) => Gbase < SCHED > ... </k>
     // Wverylow
```

```
(continued from previous page)
     rule <k> #gasExec(SCHED, ISZERO _)
                                                             => Gverylow < SCHED > ... </k>
                                                              => Gverylow < SCHED > ... </k>
     rule <k> #gasExec(SCHED, AND _ _)
                                                        => Gverylow < SCHED > ... </k>
=> Gverylow < SCHED > ... </k>
=> Gverylow < SCHED > ... </k>
> ... </k>
    rule <k> #gasExec(SCHED, EVMOR _ _)
    rule <k> #gasExec(SCHED, XOR _ _)
     rule <k> #gasExec(SCHED, BYTE _ _)
     rule <k> #gasExec(SCHED, CALLDATALOAD _) => Gverylow 
SCHED 
... </k>
    rule <k> #gasExec(SCHED, MLOAD _) => Gverylow < SCHED > ... </k>
rule <k> #gasExec(SCHED, MSTORE _ _) => Gverylow < SCHED > ... </k>
rule <k> #gasExec(SCHED, MSTORE8 _ _) => Gverylow < SCHED > ... </k>
rule <k> #gasExec(SCHED, PUSH(_, _)) => Gverylow < SCHED > ... </k>
rule <k> #gasExec(SCHED, DUP(_) _) => Gverylow < SCHED > ... </k>
rule <k> #gasExec(SCHED, SWAP(_) _) => Gverylow < SCHED > ... </k>
     // W10W

      rule <k> #gasExec(SCHED, MUL _ _)
      => Glow < SCHED > ... </k>

      rule <k> #gasExec(SCHED, DIV _ _)
      => Glow < SCHED > ... </k>

      rule <k> #gasExec(SCHED, SDIV _ _)
      => Glow < SCHED > ... </k>

      rule <k> #gasExec(SCHED, MOD _ _)
      => Glow < SCHED > ... </k>

      rule <k> #gasExec(SCHED, SMOD _ _)
      => Glow < SCHED > ... </k>

      rule <k> #gasExec(SCHED, SIGNEXTEND _ _)
      => Glow < SCHED > ... </k>

    // Wmid
    rule <k> #gasExec(SCHED, ADDMOD _ _ _ ) => Gmid SCHED > ... </k>
     rule <k> #gasExec(SCHED, MULMOD _ _ _) => Gmid < SCHED > ... </k>
    rule <k> #gasExec(SCHED, JUMP _) => Gmid < SCHED > ... </k>
    rule <k> #gasExec(SCHED, JUMPI _ _) => Ghigh < SCHED > ... </k>
    rule <k> #gasExec(SCHED, EXTCODESIZE _) => Gextcodesize < SCHED ... </k>
    rule <k> #gasExec(SCHED, BALANCE _) => Gbalance
                                                                                       SCHED ... </k>
    rule <k> #gasExec(SCHED, BLOCKHASH _) => Gblockhash
                                                                                      < SCHED > ... </k>
    // Precompiled
    rule <k> #gasExec(_, ECREC) => 3000 ... </k>
    rule <k> #gasExec(_, SHA256) => 60 Hint 12 Int (#sizeWordStack(DATA) up/Int_
→32) ... </k> <callData> DATA </callData>
    rule <k> #gasExec(_, RIP160) => 600 HInt 120 *Int (#sizeWordStack(DATA) up/Int_
→32) ... </k> <callData> DATA </callData>
   rule <k> #gasExec(_, ID) => 15 | Int 3 | Int (#sizeWordStack(DATA) up/Int_
→32) ... </k> <callData> DATA </callData>
    rule <k> #gasExec(_, MODEXP)
              => #multComplexity(maxInt(#asWord(DATA [ 0 ... 32 ]), #asWord(DATA [ 64 ...
\rightarrow32 ]))) *Int maxInt(#adjustedExpLength(#asWord(DATA [ 0 ... 32 ]), #asWord(DATA [ 32]
→... 32 ]), DATA), 1) /Int Gquaddivisor < SCHED
            </k>
            <schedule> SCHED </schedule>
            <callData> DATA </callData>
    rule <k> #gasExec(_, ECADD) => 500 ... </k>
rule <k> #gasExec(_, ECMUL) => 40000 ... </k>
     rule <k> #gasExec(_, ECPAIRING) => 100000 +Int (#sizeWordStack(DATA) /Int 192)...
→*Int 80000 ... </k> <callData> DATA </callData>
```

There are several helpers for calculating gas (most of them also specified in the YellowPaper).

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```
syntax Exp ::= Int
   syntax KResult ::= Int
              syntax Exp ::= Ccall
               | Cselfdestruct ( Schedule , BExp , Int )
   rule <k> Ccall(SCHED, ISEMPTY:Bool, GCAP, GAVAIL, VALUE)
         => Cextra(SCHED, VALUE, ISEMPTY) | Int Cgascap(SCHED, GCAP, GAVAIL, ...
→Cextra(SCHED, VALUE, ISEMPTY)) ... </k>
   rule <k> Ccallgas(SCHED, ISEMPTY:Bool, GCAP, GAVAIL, VALUE)
         => Cgascap(SCHED, GCAP, GAVAIL, Cextra(SCHED, VALUE, ISEMPTY)) | Int #if_
\hookrightarrowVALUE ==Int 0 #then 0 #else Gcallstipend \nearrow SCHED \nearrow #fi ... </k>
   rule <k> Cselfdestruct(SCHED, ISEMPTY:Bool, BAL)
=> Gselfdestruct < SCHED > HINT Cnew(SCHED, BAL, ISEMPTY andBool_ →Gselfdestructnewaccount < SCHED >>) ... </k>
   syntax Int ::= Cgascap ( Schedule , Int , Int , Int ) [function]
               | Csstore ( Schedule , Int , Int ) [function]
               | Cextra ( Schedule , Int , Bool )
                                                      [function]
               | Cnew ( Schedule , Int , Bool )
| Cxfer ( Schedule , Int )
                                                     [function]
                                                      [function]
   rule Cgascap(SCHED, GCAP, GAVAIL, GEXTRA)
    => #if GAVAIL Int GEXTRA orBool Gstaticcalldepth 😽 SCHED 🗩 #then GCAP #else_
→minInt(#allBut64th(GAVAIL -Int GEXTRA), GCAP) #fi
   rule Csstore(SCHED, VALUE, OLD)
    => #if VALUE =/=Int 0 andBool OLD ==Int 0 #then Gsstoreset SCHED #else_
→Gsstorereset < SCHED > #fi
   rule Cextra(SCHED, VALUE, ISEMPTY)
     => Gcall SCHED Fint Cnew(SCHED, VALUE, ISEMPTY) Fint Cxfer(SCHED, VALUE)
   rule Cnew(SCHED, VALUE, ISEMPTY:Bool)
    => #if ISEMPTY andBool (VALUE =/=Int 0 orBool Gzerovaluenewaccountgas K SCHED_
\rightarrow) #then Gnewaccount \triangleleft SCHED \triangleright #else 0 #fi
   rule Cxfer(SCHED, 0) => 0
   syntax BExp
                ::= Bool
   syntax KResult ::= Bool
   syntax BExp ::= #accountNonexistent ( Int )
       _____
   rule <k> #accountNonexistent(ACCT) => true ... </k>
        <activeAccounts> ACCTS </activeAccounts>
     requires notBool ACCT in ACCTS
   rule <k> #accountNonexistent(ACCT) => #accountEmpty(CODE, NONCE, BAL) andBool
→Gemptyisnonexistent << SCHED >> ... </k>
        <schedule> SCHED </schedule>
        <account>
          <acctID> ACCT </acctID>
          <balance> BAL 
          <nonce> NONCE </nonce>
```

```
<code> CODE </code>
        </account>
   syntax Bool ::= #accountEmpty ( WordStack , Int , Int ) [function,_
→klabel(accountEmpty)]
   rule #accountEmpty (CODE, NONCE, BAL) => CODE == K .WordStack andBool NONCE == Int 0.
\rightarrowandBool BAL ==Int 0
   syntax Int ::= #allBut64th ( Int ) [function]
   rule #allBut64th(N) => N -Int (N /Int 64)
   syntax Int ::= G0 ( Schedule , WordStack , Bool ) [function]
   rule GO(SCHED, .WordStack, true) => Gtxcreate
   rule GO(SCHED, .WordStack, false) => Gtransaction SCHED
   rule G0(SCHED, 0 : REST, ISCREATE) => Gtxdatazero SCHED Fint G0(SCHED,
→REST, ISCREATE)
  rule GO(SCHED, N : REST, ISCREATE) => Gtxdatanonzero
→REST, ISCREATE) requires N =/=Int 0
   syntax Int ::= "G*" "(" Int "," Int "," Int ")" [function]
   rule G*(GAVAIL, GLIMIT, REFUND) => GAVAIL +Int minInt((GLIMIT -Int GAVAIL) //Int 2,_
→REFUND)
   syntax Int ::= #multComplexity(Int) [function]
   rule #multComplexity(X) => X *Int X
                                                                         requires
\rightarrowX <=Int 64
   rule #multComplexity(X) => X *Int X //Int 4 +Int 96 *Int X →Int 3072 requires_
→X >Int 64 andBool X <=Int 1024
   rule #multComplexity(X) => X *Int X //Int 16 +Int 480 *Int X -Int 199680 requires_
→X >Int 1024
   syntax Int ::= #adjustedExpLength(Int, Int, WordStack) [function]
              →#adjustedExpLengthAux)]
   rule #adjustedExpLength(BASELEN, EXPLEN, DATA) => #if EXPLEN =Int 32 #then 0
→#else 8 *Int (EXPLEN Fint 32) #fi #Int #adjustedExpLength(#asInteger(DATA [ 96 #Int. →BASELEN ... minInt(EXPLEN, 32) ]))
   rule #adjustedExpLength(0) => 0
   rule #adjustedExpLength(1) => 0
   rule #adjustedExpLength(N) => 1 HInt #adjustedExpLength(N /Int 2) requires N Int.
```

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5.3 Fee Schedule from C++ Implementation

5.3.1 From the C++ Implementation

The C++ Implementation of EVM specifies several different "profiles" for how the VM works. Here we provide each protocol from the C++ implementation, as the YellowPaper does not contain all the different profiles. Specify which profile by passing in the argument -cSCHEDULE=<FEE_SCHEDULE> when calling krun (the available <FEE_SCHEDULE> are supplied here).

A ScheduleFlag is a boolean determined by the fee schedule; applying a ScheduleFlag to a Schedule yields whether the flag is set or not.

5.3.2 Schedule Constants

A ScheduleConst is a constant determined by the fee schedule.

```
syntax Int ::= ScheduleConst "<" Schedule ">" [function]
   syntax ScheduleConst ::= "Gzero" | "Gbase" | "Gverylow"
→"Glow" | "Gmid" | "Ghigh"
                 | "Gextcodesize" | "Gextcodecopy" | "Gbalance"
             | "Gjumpdest" | "Gsstoreset"
→ "Gsload"
                    | "Gsstorereset" | "Rsstoreclear" | "Rselfdestruct" |
→"Gselfdestruct" | "Gcreate" | "Gcodedeposit" | "Gcall"
                     | "Gcallvalue" | "Gcallstipend"
                                                   | "Gnewaccount" |
         | "Gexpbyte" | "Gmemory" | "Gtxcreate"
⊶"Gexp"
                     | "Gtxdatazero" | "Gtxdatanonzero" | "Gtransaction"
→"Glog" | "Glogdata" | "Glogtopic" | "Gsha3"
                 | "Gsha3word" | "Gcopy"
                                                   | "Gblockhash"
                                    | "Gquaddivisor"
→"Gquadcoeff" | "maxCodeSize" | "Rb"
```

5.3.3 Default Schedule

```
< DEFAULT > => 10
rule Ghigh
                  DEFAULT > => 10
rule Gexp
rule Gexpbyte < DEFAULT > => 10
rule Gsha3 < DEFAULT > => 30
rule Gsha3word < DEFAULT > => 6
ruleGsload< DEFAULT</th>> => 50ruleGsstoreset< DEFAULT</th>> => 20000ruleGsstorereset< DEFAULT</th>> => 5000
rule Rsstoreclear < DEFAULT > => 15000
< DEFAULT > ⇒ 40
rule Gcall
rule Gcallstipend < DEFAULT > => 2300
rule Gcallvalue < DEFAULT > => 9000
rule Gnewaccount < DEFAULT > => 25000
rule Gcreate
rule Gcodedeposit
rule Gselfdestruct 

DEFAULT > => 32000
DEFAULT > => 200
DEFAULT > => 0
rule Rselfdestruct | DEFAULT | => 24000
                     DEFAULT > => 3
DEFAULT > => 512
DEFAULT > => 3
rule Gmemory
rule Gquadcoeff
rule Gcopy
rule Gquaddivisor < DEFAULT > => 20
                        DEFAULT > => 21000
DEFAULT > => 53000
DEFAULT > => 4
rule Gtransaction
rule Gtxcreate
rule Gtxdatazero
rule Gtxdatanonzero | DEFAULT | => 68
                     DEFAULT > => 1
DEFAULT > => 20
DEFAULT > => 20
rule Gjumpdest
rule Gbalance
rule Gblockhash
rule Gextcodesize < DEFAULT > => 20
rule Gextcodecopy < DEFAULT > => 20
<<
                                      DEFAULT >> => false
rule Gemptyisnonexistent
rule Gzerovaluenewaccountgas
                                      DEFAULT >> => true
                                      DEFAULT >> => false
rule Ghasrevert
                                      DEFAULT >> => false
rule Ghasreturndata
rule Ghasstaticcall
                                      DEFAULT >> => false
```

```
struct EVMSchedule
{
    EVMSchedule(): tierStepGas(std::array<unsigned, 8>{{0, 2, 3, 5, 8, 10, 20, 0}}) {}
```

```
EVMSchedule (bool _efcd, bool _hdc, unsigned const& _txCreateGas):..
→exceptionalFailedCodeDeposit(_efcd), haveDelegateCall(_hdc), tierStepGas(std::array
\rightarrow<unsigned, 8>{{0, 2, 3, 5, 8, 10, 20, 0}}), txCreateGas(_txCreateGas) {}
   bool exceptionalFailedCodeDeposit = true;
   bool haveDelegateCall = true;
   bool eip150Mode = false;
   bool eip158Mode = false;
   bool haveRevert = false;
   bool haveReturnData = false;
   bool haveStaticCall = false;
   bool haveCreate2 = false;
   std::array<unsigned, 8> tierStepGas;
   unsigned expGas = 10;
   unsigned expByteGas = 10;
   unsigned sha3Gas = 30;
   unsigned sha3WordGas = 6;
   unsigned sloadGas = 50;
   unsigned sstoreSetGas = 20000;
   unsigned sstoreResetGas = 5000;
   unsigned sstoreRefundGas = 15000;
   unsigned logGas = 375;
   unsigned logDataGas = 8;
   unsigned logTopicGas = 375;
   unsigned callGas = 40;
   unsigned callStipend = 2300;
   unsigned callValueTransferGas = 9000;
   unsigned callNewAccountGas = 25000;
   unsigned createGas = 32000;
   unsigned createDataGas = 200;
   unsigned suicideGas = 0;
   unsigned suicideRefundGas = 24000;
   unsigned memoryGas = 3;
   unsigned quadCoeffDiv = 512;
   unsigned copyGas = 3;
   unsigned txGas = 21000;
   unsigned txCreateGas = 53000;
   unsigned txDataZeroGas = 4;
   unsigned txDataNonZeroGas = 68;
   unsigned jumpdestGas = 1;
   unsigned balanceGas = 20;
   unsigned blockhashGas = 20;
   unsigned extcodesizeGas = 20;
   unsigned extcodecopyGas = 20;
   unsigned maxCodeSize = unsigned(-1);
   bool staticCallDepthLimit() const { return !eip150Mode; }
   bool suicideNewAccountGas() const { return !eip150Mode; }
   bool suicideChargesNewAccountGas() const { return eip150Mode; }
```

```
bool emptinessIsNonexistence() const { return eip158Mode; }
bool zeroValueTransferChargesNewAccountGas() const { return !eip158Mode; }
};
```

5.3.4 Frontier Schedule

```
static const EVMSchedule FrontierSchedule = EVMSchedule(false, false, 21000);
```

5.3.5 Homestead Schedule

```
syntax Schedule ::= "HOMESTEAD"

// -----
rule SCHEDCONST  HOMESTEAD  => SCHEDCONST  DEFAULT  

rule SCHEDFLAG  HOMESTEAD  >> => SCHEDFLAG  DEFAULT  >>
```

```
static const EVMSchedule HomesteadSchedule = EVMSchedule(true, true, 53000);
```

5.3.6 EIP150 Schedule

```
static const EVMSchedule EIP150Schedule = []
{
    EVMSchedule schedule = HomesteadSchedule;
    schedule.eip150Mode = true;
    schedule.extcodesizeGas = 700;
    schedule.extcodecopyGas = 700;
    schedule.balanceGas = 400;
    schedule.sloadGas = 200;
    schedule.sloadGas = 700;
    schedule.suicideGas = 5000;
    return schedule;
}();
```

5.3.7 EIP158 Schedule

```
static const EVMSchedule EIP158Schedule = []
{
    EVMSchedule schedule = EIP150Schedule;
    schedule.expByteGas = 50;
    schedule.eip158Mode = true;
    schedule.maxCodeSize = 0x6000;
    return schedule;
}();
```

5.3.8 Byzantium Schedule

```
static const EVMSchedule ByzantiumSchedule = []
{
    EVMSchedule schedule = EIP158Schedule;
    schedule.haveRevert = true;
    schedule.haveReturnData = true;
    schedule.haveStaticCall = true;
    schedule.blockRewardOverwrite = {3 * ether};
    return schedule;
} ();
```

5.3.9 Constantinople Schedule

```
static const EVMSchedule ConstantinopleSchedule = []
{
    EVMSchedule schedule = ByzantiumSchedule;
    schedule.blockhashGas = 800;
    schedule.haveCreate2 = true;
    return schedule;
}();
```

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EVM PROGRAM REPRESENTATIONS

EVM programs are represented algebraically in K, but programs can load and manipulate program data directly. The opcodes CODECOPY and EXTCODECOPY rely on the assembled form of the programs being present. The opcode CREATE relies on being able to interperet EVM data as a program.

This is a program representation dependence, which we might want to avoid. Perhaps the only program representation dependence we should have is the hash of the program; doing so achieves:

- Program representation independence (different analysis tools on the language don't have to ensure they have a common representation of programs, just a common interperetation of the data-files holding programs).
- Programming language independence (we wouldn't even have to commit to a particular language or interperetation of the data-file).
- Only depending on the hash allows us to know that we have exactly the correct data-file (program), and nothing
 more.

6.1 Disassembler

After interpreting the strings representing programs as a WordStack, it should be changed into an OpCodes for use by the EVM semantics.

- #dasmOpCodes interperets WordStack as an OpCodes.
- #dasmPUSH handles the case of a PushOp.
- #dasmOpCode interperets a Int as an OpCode.

```
syntax OpCodes ::= #dasmOpCodes ( WordStack , Schedule )
                                                                        [function]
                     | #dasmOpCodes ( OpCodes , WordStack , Schedule ) [function,_
→klabel(#dasmOpCodesAux)]
                     | #revOpCodes ( OpCodes , OpCodes )
                                                                        [function]
   rule #dasmOpCodes( WS, SCHED ) => #revOpCodes(#dasmOpCodes(.OpCodes, WS, SCHED), .
→OpCodes)
   rule #dasmOpCodes( OPS, .WordStack, _ ) => OPS
   rule #dasmOpCodes( OPS, W : WS, SCHED ) => #dasmOpCodes(#dasmOpCode(W, SCHED) ;_
\hookrightarrowOPS, WS, SCHED) requires W \geqslant=Int 0 andBool W \leqslant=Int 95
   rule #dasmOpCodes( OPS, W : WS, SCHED ) => #dasmOpCodes(#dasmOpCode(W, SCHED) ;_
→OPS, WS, SCHED) requires W =Int 165 andBool W <=Int 255
   rule #dasmOpCodes(OPS, W: WS, SCHED) => #dasmOpCodes(DUP(W Int 127)
→OPS, WS, SCHED) requires W =Int 128 andBool W <=Int 143
   rule #dasmOpCodes(OPS, W : WS, SCHED) => #dasmOpCodes(SWAP(W ☐Int 143)
                                                                                    ; _
→OPS, WS, SCHED) requires W =Int 144 andBool W <=Int 159
```

```
rule #dasmOpCodes (OPS, W: WS, SCHED) => #dasmOpCodes (LOG(W ☐Int 160)
→OPS, WS, SCHED) requires W >=Int 160 andBool W <=Int 164
   rule #dasmOpCodes( OPS, W : WS, SCHED ) => #dasmOpCodes(PUSH(W ☐Int 95, #asWord(
→#take(W | Int 95, WS))); OPS, #drop(W | Int 95, WS), SCHED) requires W |=Int 96
→andBool W <=Int 127
   rule #revOpCodes ( OP ; OPS , OPS ') => #revOpCodes(OPS, OP ; OPS ')
   rule #revOpCodes ( .OpCodes , OPS ) => OPS
   syntax OpCode ::= #dasmOpCode ( Int , Schedule ) [function]
   rule #dasmOpCode( 0, _ ) => STOP
                            _ ) => ADD
   rule #dasmOpCode( 1,
                            _ ) => MUL
   rule #dasmOpCode( 2,
  rule #dasmOpCode( 3, _ ) => SUB
rule #dasmOpCode( 4, _ ) => DIV
  rule #dasmOpCode( 5, _ ) => SDIV
   rule #dasmOpCode( 6, _ ) => MOD
   _ ) => MULMOD
   rule #dasmOpCode( 9,
                            _ ) => EXP
   rule #dasmOpCode( 10,
                            _ ) => SIGNEXTEND
   rule #dasmOpCode( 11,
   rule #dasmOpCode( 16,
                            _ ) => LT
                            _ ) => GT
   rule #dasmOpCode( 17,
                            _ ) => SLT
   rule #dasmOpCode( 18,
                            _ ) => SGT
   rule #dasmOpCode( 19,
                            _ ) => EQ
   rule #dasmOpCode( 20,
   rule #dasmOpCode( 21,
                            _ ) => ISZERO
   rule #dasmOpCode( 22,
                            _ ) => AND
                            _ ) => EVMOR
   rule #dasmOpCode( 23,
   rule #dasmOpCode( 24,
rule #dasmOpCode( 25,
                            _ ) => XOR
                           _ ) => NOT
                            _ ) => BYTE
   rule #dasmOpCode( 26,
                            _ ) => SHA3
   rule #dasmOpCode( 32,
                            _ ) => ADDRESS
   rule #dasmOpCode( 48,
                            _ ) => BALANCE
   rule #dasmOpCode( 49,
                            _ ) => ORIGIN
   rule #dasmOpCode( 50,
                            _ ) => CALLER
   rule #dasmOpCode( 51,
                            _ ) => CALLVALUE
   rule #dasmOpCode( 52,
                           _ ) => CALLDATALOAD
  rule #dasmOpCode( 53,
                            _ ) => CALLDATASIZE
  rule #dasmOpCode( 54,
                            _ ) => CALLDATACOPY
  rule #dasmOpCode( 55,
   rule #dasmOpCode( 56,
                           _ ) => CODESIZE
   rule #dasmOpCode( 57,
rule #dasmOpCode( 58,
                            _ ) => CODECOPY
                            _ ) => GASPRICE
                            _ ) => EXTCODESIZE
   rule #dasmOpCode( 59,
                             _ ) => EXTCODECOPY
   rule #dasmOpCode( 60,
   rule #dasmOpCode( 61, SCHED ) => RETURNDATASIZE requires Ghasreturndata <
   rule #dasmOpCode( 62, SCHED) => RETURNDATACOPY requires Ghasreturndata 🤜 SCHED.
   rule #dasmOpCode( 64,
                             _ ) => BLOCKHASH
                             _ ) => COINBASE
   rule #dasmOpCode( 65,
                             _ ) => TIMESTAMP
   rule #dasmOpCode( 66,
                            _ ) => NUMBER
   rule #dasmOpCode( 67,
```

```
rule #dasmOpCode( 68, _ ) => DIFFICULTY
                               _ ) => GASLIMIT
   rule #dasmOpCode( 69,
                               _ ) => POP
   rule #dasmOpCode( 80,
                               _ ) => MLOAD
   rule #dasmOpCode( 81,
   rule #dasmOpCode( 82,
                              _ ) => MSTORE
   rule #dasmOpCode( 83,
rule #dasmOpCode( 84,
                              _ ) => MSTORE8
                              _ ) => SLOAD
                              _ ) => SSTORE
   rule #dasmOpCode( 85,
                              _ ) => JUMP
   rule #dasmOpCode( 86,
                              _ ) => JUMPI
   rule #dasmOpCode( 87,
                               _ ) => PC
   rule #dasmOpCode( 88,
                               _ ) => MSIZE
   rule #dasmOpCode( 89,
                               _ ) => GAS
   rule #dasmOpCode( 90,
                               _ ) => JUMPDEST
   rule #dasmOpCode( 91,
                               _ ) => CREATE
   rule #dasmOpCode( 240,
                              _ ) => CALL
   rule #dasmOpCode( 241,
                               _ ) => CALLCODE
   rule #dasmOpCode( 242,
                               _ ) => RETURN
   rule #dasmOpCode( 243,
   rule #dasmOpCode( 244, SCHED ) => DELEGATECALL requires SCHED =/=K FRONTIER
   rule #dasmOpCode( 250, SCHED ) => STATICCALL requires Ghasstaticcall 
rule #dasmOpCode( 253, SCHED ) => REVERT requires Ghasrevert
   rule #dasmOpCode( 254, _ ) => INVALID
                              _ ) => SELFDESTRUCT
   rule #dasmOpCode( 255,
   rule #dasmOpCode( W,
                               _ ) => UNDEFINED(W) [owise]
endmodule
```

6.1. Disassembler 61

EVM INTEGRATION WITH PRODUCTION CLIENT

Contained in this file is glue code needed in order to enable the ability to use KEVM as a VM for an actual Ethereum node.

```
module EVM_NODE
imports EVM
imports K_REFLECTION
imports COLLECTIONS
```

7.1 State loading operations.

In order to enable scalable execution of transactions on an entire blockchain, it is necessary to avoid serializing/deserializing the entire state of all accounts when constructing the initial configuration for KEVM. To do this, we assume that accounts not present in the <accounts> cell might not exist and need to be loaded on each access. We also defer loading of storage entries and the actual code byte string until it is needed. Because the same account may be loaded more than once, implementations of this interface are expected to cache the actual query to the Ethereum client.

- #unloaded represents the code of an account that has not had its code loaded yet. Unloaded code may not be empty.
- Empty code is detected without lazy evaluation by means of checking the code hash, and therefore will always be represented in the <code> cell as .WordStack.

```
syntax AccountCode ::= "#unloaded"
```

- #getBalance returns the balance of an account that exists based on its integer address.
- #getNonce returns the nonce of an account that exists based on its integer address.
- #isCodeEmpty returns true if the code hash of the account is equal to the hash of the empty string, and false otherwise.
- #accountExists returns true if the account is present in the state trie for the current block, and false otherwise.

• #loadAccount loads an account's balance and nonce if it exists, and leaves the code and storage unloaded, except if the code is empty, in which case the code is fully loaded. If the account does not exist, it does nothing.

```
rule <k> #loadAccount ACCT => ... </k>
        <activeAccounts> ACCTS (.Set => SetItem(ACCT)) </activeAccounts>
        <accounts>
          ( .Bag
         => <account>
              <acctID> ACCT </acctID>
              <balance> #getBalance(ACCT) </balance>
              <code> #if #isCodeEmpty(ACCT) #then .WordStack #else #unloaded #fi </
-code>
              <storage> .Map </storage>
              <nonce> #getNonce(ACCT) 
            </account>
          )
          . . .
        </accounts>
     requires notBool ACCT in ACCTS andBool #accountExists(ACCT)
   rule <k> #loadAccount ACCT => ... </k>
        <activeAccounts> ACCTS </activeAccounts>
     requires ACCT in ACCTS orBool notBool #accountExists(ACCT)
```

• #getStorageData loads the value for a single storage key of a specified account by its address and storage offset. If the storage key has already been loaded or the account does not exist, it does nothing.

```
syntax Int ::= #getStorageData ( Int , Int ) [function, hook(BLOCKCHAIN.
→getStorageData) ]
   rule <k> #lookupStorage ACCT INDEX => .... </k>
        <account>
          <acctID> ACCT
                                                                                    </
→acctID>
          <storage> STORAGE => STORAGE [ INDEX <- #getStorageData(ACCT, INDEX) ] </pre>
→storage>
        </account>
     requires notBool INDEX in_keys(STORAGE)
   rule <k> #lookupStorage ACCT INDEX => ... </k>
        <account>
          <acctID> ACCT </acctID>
          <storage> ... INDEX |-> _ ... </storage>
        </account>
   rule <k> #lookupStorage ACCT _ => [. ... </k>
     requires notBool #accountExists(ACCT)
```

• #getCode loads the code for a specified account by its address. If the code has already been loaded, it does nothing. If the account does not exist, it also does nothing.

```
syntax String ::= #getCode ( Int ) [function, hook(BLOCKCHAIN.getCode)]
// -----
rule <k> #lookupCode ACCT => [. ... </k>
<account>
```

• #getBlockhash (N) returns the blockhash of the Nth most recent block, up to a maximum of 256 blocks. It is used in the implementation of the BLOCKHASH instruction as seen below.

7.2 Transaction Execution

• runVM takes all the input state of a transaction and the current block header and executes the transaction according to the specified state, relying on the above loading operations for access to accounts and block hashes. The signature of this function must match the signature expected by VM.ml in the blockchain-k-plugin.

```
syntax EthereumSimulation ::= runVM ( iscreate: Bool , to: Int
→Int , code: String , args: String , value: Int , gasprice: Int , gas: Int , beneficiary: Int ,
→difficulty: Int , number: Int , gaslimit: Int , timestamp: Int , unused: String )
   rule <k> (.K => #loadAccount ACCTFROM) > runVM(... from: ACCTFROM) ... </k>
         <activeAccounts> .Set </activeAccounts>
   rule <k> runVM(true, _, ACCTFROM, _, ARGS, VALUE, GPRICE, GAVAIL, CB, DIFF, NUMB, _
\hookrightarrow GLIMIT, TS, _)
          => #loadAccount #newAddr (ACCTFROM, NONCE -Int 1)
          #create ACCTFROM #newAddr(ACCTFROM, NONCE Fint 1) GAVAIL VALUE
→#parseByteStackRaw(ARGS)
         #codeDeposit #newAddr(ACCTFROM, NONCE Int 1) #endCreate
         </k>
         <schedule> SCHED </schedule>
         <gasPrice> _ => GPRICE </gasPrice>
         <origin> _ => ACCTFROM </origin>
         <callDepth> \_ => -1 </callDepth>
         <coinbase> _ => CB </coinbase>
```

```
<difficulty> _ => DIFF </difficulty>
        <number> _ => NUMB </number>
        <gasLimit> _ => GLIMIT 
        <timestamp> _ => TS </timestamp>
         <account>
           <acctID> ACCTFROM </acctID>
           <nonce> NONCE </nonce>
        </account>
        <touchedAccounts> _ => SetItem(CB) </touchedAccounts>
        <activeAccounts> ACCTS </activeAccounts>
     requires ACCTFROM in ACCTS
   rule <k> runVM(false, ACCTTO, ACCTFROM, _, ARGS, VALUE, GPRICE, GAVAIL, CB, DIFF, _
→NUMB, GLIMIT, TS, _)
         => #loadAccount ACCTTO
         #lookupCode ACCTTO
#call ACCTFROM ACCT
            #call ACCTFROM ACCTTO ACCTTO GAVAIL VALUE VALUE #parseByteStackRaw(ARGS)
\hookrightarrow false
         ~> #endVM
        </k>
        <schedule> SCHED </schedule>
        <gasPrice> _ => GPRICE </gasPrice>
        <origin> _ => ACCTFROM </origin>
        <callDepth> _ => -1 </callDepth>
        <coinbase> _ => CB </coinbase>
        <difficulty> _ => DIFF </difficulty>
        <number> _ => NUMB </number>
        <gasLimit> _ => GLIMIT 
        <timestamp> _ => TS </timestamp>
        <touchedAccounts> _ => SetItem(CB) </touchedAccounts>
        <activeAccounts> ACCTS </activeAccounts>
     requires ACCTFROM in ACCTS
```

• #endCreate and #endVM clean up after the transaction finishes and store the return status code of the top level call frame on the top of the <k> cell.

7.3 Primitive operations expected to exist by the blockchain-k-plugin

- vmResult represents the extracted information about the world state after the transaction finishes. Its signature must match the signature expected by VM.ml in the blockchain-k-plugin.
- extractConfig takes a final configuration after rewriting and extracts a vmResult from it in order to abstract away configuration structure from the postprocessing done by the blockchain-k-plugin.

• contractBytes takes the contents of the <code> cell and returns its binary representation as a String.

```
syntax String ::= contractBytes(WordStack) [function]
// -----
rule contractBytes(WS) => #unparseByteStack(WS)
```

The following are expected to exist in the client, but are already defined in [data.md].

- account Empty takes the contents of the <code> cell, the contents of the <nonce> cell, and the contents of the <balance> cell and returns true if the account is empty according to the semantics of EIP161 (i.e., empty code zero balance zero nonce).
- unparseByteStack takes a WordStack and returns the corresponding byte String.
- initGeneratedTopCell is the top cell initializer used to construct an initial configuration. The configuration is expected to have \$MODE, \$PGM, and \$SCHEDULE parameters.
- logEntry is an entry in the log data created by a transaction. It is expected to consist of an Int address, a List
 of Int topics, and a WordStack of data.
- NORMAL is the value of \$MODE used by actual transaction execution.

```
endmodule
```

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CHAPTER

EIGHT

ETHEREUM SIMULATIONS

Ethereum is using the EVM to drive updates over the world state. Actual execution of the EVM is defined in the EVM file.

```
requires "evm-node.k"

requires "evm.k"
requires "analysis.k"

module ETHEREUM—SIMULATION
imports EVM
imports EVM—ANALYSIS

imports EVM—NODE
```

An Ethereum simulation is a list of Ethereum commands. Some Ethereum commands take an Ethereum specification (eg. for an account or transaction).

For verification purposes, it's much easier to specify a program in terms of its op-codes and not the hex-encoding that the tests use. To do so, we'll extend sort JSON with some EVM specific syntax, and provide a "pretti-fication" to the nicer input form.

```
syntax JSON ::= Int | WordStack | OpCodes | Map | Call | SubstateLogEntry | 
→Account
// -----

→-

(continues on next page)
```

```
syntax JSONList ::= #sortJSONList ( JSONList )
                                                               [function]
                     | #sortJSONList ( JSONList , JSONList ) [function, klabel(
→#sortJSONListAux)]
   rule #sortJSONList(JS) => #sortJSONList(JS, .JSONList)
   rule #sortJSONList(.JSONList, LS)
   rule #sortJSONList(((KEY : VAL) , REST), LS) => #insertJSONKey((KEY : VAL),
→#sortJSONList(REST, LS))
   syntax JSONList ::= #insertJSONKey ( JSON , JSONList ) [function]
   rule #insertJSONKey( JS , .JSONList ) => JS , .JSONList
   rule #insertJSONKey( (KEY : VAL) , ((KEY : VAL) , REST) ) => (KEY : VAL) ,
→ (KEY' : VAL') , REST requires KEY String KEY'

rule #insertJSONKey( (KEY : VAL) , ((KEY' : VAL') , REST) ) => (KEY' : VAL') ,
\rightarrow \#insertJSONKey((KEY : VAL) , REST) requires KEY =String KEY
   syntax Bool ::= #isSorted ( JSONList ) [function]
   rule #isSorted( .JSONList ) => true
   rule #isSorted( KEY : _ ) => true
   rule #isSorted( (KEY : _) , (KEY : VAL) , REST ) => KEY <=String KEY .
→andThenBool #isSorted((KEY : VAL), REST)
```

8.1 Driving Execution

- start places #next on the <k> cell so that execution of the loaded state begin.
- flush places #finalize on the <k> cell.

- startTx computes the sender of the transaction, and places loadTx on the k cell.
- loadTx (_) loads the next transaction to be executed into the current state.
- finishTx is a place-holder for performing necessary cleanup after a transaction.

TODO: loadTx(_) => loadTx_

```
syntax EthereumCommand ::= "startTx"
// ------
```

```
rule <k> startTx => #finalizeBlock ... </k>
        Pending> .List 
   rule <k> startTx => loadTx(#sender(TN, TP, TG, TT, TV, #unparseByteStack(DATA),_
\rightarrowTW, TR, TS)) ... </k>
        <txPending> ListItem(TXID:Int) ... </txPending>
        <message>
         <msqID>
                     TXID </msgID>
         <txNonce>TN</txNonce>
         <txGasPrice> TP </txGasPrice>
         <txGasLimit> TG </txGasLimit>
         <to> TT </to>
         <siqS>
                    TS </siqS>
                    DATA </data>
         <data>
        </message>
   syntax EthereumCommand ::= loadTx ( Int )
   rule <k> loadTx (ACCTFROM)
        => #loadAccount #newAddr (ACCTFROM, NONCE)
        #create ACCTFROM #newAddr(ACCTFROM, NONCE) (GLIMIT | Int GO(SCHED, CODE, _
→true)) VALUE CODE
        #execute #finishTx | #finalizeTx(false) | startTx
        </k>
        <schedule> SCHED </schedule>
        <gasPrice> _ => GPRICE </gasPrice>
        <origin> _ => ACCTFROM </origin>
        <callDepth> _ => -1 </callDepth>
        <txPending> ListItem(TXID:Int) ... </txPending>
        <coinbase> MINER </coinbase>
        <message>
         <msqID>
                  TXID
                             </msgID>
         <txGasPrice> GPRICE </txGasPrice>
         <txGasLimit> GLIMIT </txGasLimit>
         <to> .Account </to>
         . . .
        </message>
        <account>
         <acctID> ACCTFROM </acctID>
          <balance> BAL => BAL ☐Int (GLIMIT ★Int GPRICE) 
         <nonce> NONCE => NONCE +Int 1 </nonce>
          . . .
        </account>
        <touchedAccounts> _ => SetItem(MINER) </touchedAccounts>
   rule <k> loadTx (ACCTFROM)
        => #loadAccount ACCTTO
        *> #lookupCode ACCTTO
         *> #call ACCTFROM ACCTTO ACCTTO (GLIMIT Fint GO(SCHED, DATA, false)) VALUE_
→VALUE DATA false
        #execute | #finishTx | #finalizeTx(false) | startTx
                                                                   (continues on next page)
```

```
</k>
         <schedule> SCHED </schedule>
         <gasPrice> _ => GPRICE </gasPrice>
         <origin> _ => ACCTFROM </origin>
         <callDepth> _ => -1 </callDepth>
         <txPending> ListItem(TXID:Int) ... </txPending>
         <coinbase> MINER </coinbase>
         <message>
           <msgID>
                     TXID </msgID>
           <txGasPrice> GPRICE </txGasPrice>
           <txGasLimit> GLIMIT </txGasLimit>
           <to> ACCTTO </to> </to> </to> </to> </to> </to> </to> </to>   <value>     <data> DATA </data> 
           . . .
         </message>
         <account>
           <acctID> ACCTFROM </acctID>
           <balance> BAL => BAL | Int (GLIMIT *Int GPRICE) 
           <nonce> NONCE => NONCE +Int 1 </nonce>
           . . .
         </account>
         <touchedAccounts> _ => SetItem(MINER) </touchedAccounts>
      requires ACCTTO =/=K .Account
   syntax EthereumCommand ::= "#finishTx"
   rule <statusCode> _:ExceptionalStatusCode </statusCode> <k> #halt >> #finishTx =>
→ #popCallStack ~> #popWorldState ... </k>
   rule <statusCode> EVMC_REVERT
                                              </statusCode> <k> #halt ~> #finishTx =>
→ #popCallStack → #popWorldState → #refund GAVAIL ... </k> <gas> GAVAIL </gas>
   rule <statusCode> EVMC_SUCCESS </statusCode>
         <k> #halt >> #finishTx => #mkCodeDeposit ACCT ... </k>
         <id> ACCT </id>
         <txPending> ListItem(TXID:Int) ... </txPending>
         <message>
          <msgID> TXID </msgID>
           <to>
                 .Account </to>
           . . .
         </message>
   rule <statusCode> EVMC_SUCCESS </statusCode>
         <k> #halt ~> #finishTx => #popCallStack ~> #dropWorldState ~> #refund GAVAIL_
→ . . . </k>
         <id> ACCT </id>
         <gas> GAVAIL </gas>
         <txPending> ListItem(TXID:Int) ... </txPending>
         <message>
           <msgID> TXID </msgID>
           <to> TT </to>
         </message>
      requires TT =/=K .Account
```

• #finalizeBlock is used to signal that block finalization procedures should take place (after transactions

have executed).

• #rewardOmmers (_) pays out the reward to uncle blocks so that blocks are orphaned less often in Ethereum.

```
syntax EthereumCommand ::= "#finalizeBlock" | #rewardOmmers ( JSONList )
   rule <k> #finalizeBlock => #rewardOmmers(OMMERS) ... </k>
        <schedule> SCHED </schedule>
        <ommerBlockHeaders> [ OMMERS ] </ommerBlockHeaders>
        <coinbase> MINER </coinbase>
        <account>
          <acctID> MINER </acctID>
          <balance> MINBAL => MINBAL +Int Rb < SCHED > </balance>
        </account>
   rule <k> (.K => #newAccount MINER) ~> #finalizeBlock ... </k>
        <coinbase> MINER </coinbase>
        <activeAccounts> ACCTS </activeAccounts>
     requires notBool MINER in ACCTS
   rule <k> #rewardOmmers(.JSONList) => ... </k>
   rule <k> #rewardOmmers([ _ , _ , OMMER , _ , _ , _ , _ , _ , OMMNUM , _ ] , REST)_
→=> #rewardOmmers(REST) ... </k>
        <schedule> SCHED </schedule>
        <coinbase> MINER </coinbase>
        <number> CURNUM </number>
        <account>
          <acctID> MINER </acctID>
          <balance> MINBAL => MINBAL #Int Rb < SCHED > //Int 32 </balance>
        </account>
        <account>
          <acctID> OMMER </acctID>
          →*Int (Rb < SCHED > /Int 8) </balance>
         . . .
        </account>
```

- exception only clears from the <k> cell if there is an exception preceding it.
- failure_holds the name of a test that failed if a test does fail.
- success sets the <exit-code> to 0 and the <mode> to SUCCESS.

```
rule <k> failure _ => ... </k>
```

8.2 Running Tests

• run runs a given set of Ethereum tests (from the test-set).

Note that TEST is sorted here so that key "network" comes before key "pre".

```
syntax EthereumCommand ::= "run" JSON

//

rule <k> run { JSONList } => ... </k>
rule <k> run { TESTID : { TEST: JSONList } , TESTS }

=> run ( TESTID : { #sortJSONList(TEST) } )

*** #if #hasPost? ({ TEST } ) #then .K #else exception #fi

clear

run { TESTS }

...

</k>

syntax Bool ::= "#hasPost?" "(" JSON ")" [function]

//

rule #hasPost? ({ .JSONList }) => false

rule #hasPost? ({ (KEY:String) : _ , REST }) => (KEY in #postKeys) orBool

→#hasPost? ({ REST })
```

• #loadKeys are all the JSON nodes which should be considered as loads before execution.

```
syntax Set ::= "#loadKeys" [function]
//
------
rule #loadKeys => ( SetItem("env") SetItem("pre") SetItem("rlp") SetItem("network

→") SetItem("genesisRLP") )

rule <k> run TESTID : { KEY : (VAL:JSON) , REST } => load KEY : VAL → run TESTID

→: { REST } ... </k>
requires KEY in #loadKeys

rule <k> run TESTID : { "blocks" : [ { KEY : VAL , REST1 => REST1 }, .JSONList ] ,

→ ( REST2 => KEY : VAL , REST2 ) } ... </k>
rule <k> run TESTID : { "blocks" : [ { .JSONList }, .JSONList ] , REST } => run

→TESTID : { REST } ... </k>
```

• #execkeys are all the JSON nodes which should be considered for execution (between loading and checking).

- #postKeys are a subset of #checkKeys which correspond to post-state account checks.
- #checkKeys are all the JSON nodes which should be considered as checks after execution.

• #discardKeys are all the JSON nodes in the tests which should just be ignored.

```
syntax Set ::= "#discardKeys" [function]
//
rule #discardKeys => ( SetItem("//") SetItem("_info") SetItem("callcreates") )

rule <k> run TESTID : { KEY : _ , REST } => run TESTID : { REST } ... </k>_
requires KEY in #discardKeys
```

8.3 State Manipulation

8.3.1 Clearing State

- clear clears all the execution state of the machine.
- clearX clears the substate X, for TX, BLOCK, and NETWORK.

```
</callValue>
    <callValue> _ => 0
                  _ => .WordStack </wordStack>
    <wordStack>
<localMem>
                  _ => .Map
=> 0
                                </localMem>
    <pc>
                                </pc>
                  _ => 0
                              </gas>
    <gas>
                  _ => 0
    ousGas>
                               </previousGas>
    <selfDestruct> _ => .Set
                  _ => .Set
_ => .List
                               </selfDestruct>
    <log>
                                </log>
                  _ => 0
    <refund>
                               </refund>
                  __ => 0
__ => 0
    <gasPrice>
                              </gasPrice>
                              </origin>
    <origin>
    <touchedAccounts> _ => .Set
                               </touchedAccounts>
syntax EthreumCommand ::= "clearBLOCK"
rule <k> clearBLOCK => . ... </k>
    </previousHash>
                                    </ommersHash>
    _ => 0
<stateRoot> => 0
<transcri
                                    </coinbase>
                                    </stateRoot>
    <transactionsRoot> _ => 0
                                   </transactionsRoot>
                   <receiptsRoot> _ => 0
    <logsBloom>
                   _ => 0
    <difficulty>
                                   </difficulty>
                    _ => 0
    <number>
                                   </number>
                    _ => 0
    <gasLimit>
                                   </gasLimit>
                   _ => 0
_ => 0
    <gasUsed>
                                   </gasUsed>
    <timestamp>
                                  </timestamp>
                    _ => .WordStack </extraData>
    <extraData>
                   _ => 0
                                   </mixHash>
    <mixHash>
    <blookNonce>
                    _ => 0
                                    </blockNonce>
    <ommerBlockHeaders> _ => [ .JSONList ] </ommerBlockHeaders>
    <br/>
<blookhash> _ => .List
                                   </blockhash>
syntax EthreumCommand ::= "clearNETWORK"
rule <k> clearNETWORK => . ... </k>
    <statusCode>    _ => .StatusCode </statusCode>
```

8.3.2 Loading State

mkAcct_ creates an account with the supplied ID (assuming it's already been chopped to 160 bits).

```
syntax EthereumCommand ::= "mkAcct" Int
// -----
rule <k> mkAcct ACCT => #newAccount ACCT ... </k>
```

• load loads an account or transaction into the world state.

```
syntax EthereumCommand ::= "load" JSON
// ------
```

Here we perform pre-processing on account data which allows "pretty" specification of input.

```
rule <k> load "pre" : { (ACCTID:String) : ACCT }
→ #parseAddr(ACCTID) ~ load "account" : { ACCTID : ACCT }
→ </k>
  rule <k> load "account" : { ACCTID: { KEY : VALUE , REST } } => load "account" :
→ { ACCTID : { KEY : VALUE } } ~ load "account" : { ACCTID : { REST } } ... </k>
→requires REST =/=K .JSONList
   rule <k> load "account" : { ((ACCTID:String) => #parseAddr(ACCTID)) : ACCT }
                          ... </k>
  rule <k> load "account" : { (ACCT:Int) : { "balance" : ((VAL:String)
→#parseWord(VAL)) } ... </k>
  rule <k> load "account" : { (ACCT:Int) : { "nonce" : ((VAL:String)
                                                                            =>
→#parseWord(VAL)) } ... </k>
  rule <k> load "account" : { (ACCT:Int) : { "code" : ((CODE:String)
→#parseByteStack(CODE)) } ... </k>
  rule <k> load "account" : { (ACCT:Int) : { "storage" : ({ STORAGE:JSONList } =>
→#parseMap({ STORAGE })) } ... </k>
```

The individual fields of the accounts are dealt with here.

```
rule <k> load "account" : { ACCT : { "balance" : (BAL:Int) } } => . ... </k>
     <account>
       <acctID> ACCT </acctID>
       <balance> _ => BAL </balance>
     </account>
rule <k> load "account" : { ACCT : { "code" : (CODE:WordStack) } } => ... </k>
     <account>
       <acctID> ACCT </acctID>
       <code> _ => CODE </code>
rule <k> load "account" : { ACCT : { "nonce" : (NONCE:Int) } } => ... </k>
     <account>
       <acctID> ACCT </acctID>
       <nonce> _ => NONCE </nonce>
     </account>
rule <k> load "account" : { ACCT : { "storage" : (STORAGE:Map) } } => ... </k>
     <account>
       <acctID> ACCT </acctID>
```

```
<storage> _ => STORAGE </storage>
...
</account>
```

Here we load the environmental information.

```
rule <k> load "env" : { KEY : ((VAL:String) => #parseWord(VAL)) } ... </k>
       requires KEY in (SetItem("currentTimestamp") SetItem("currentGasLimit") SetItem(
→"currentNumber") SetItem("currentDifficulty"))
     rule <k> load "env" : { KEY : ((VAL:String) => #parseHexWord(VAL)) } ... </k>
        requires KEY in (SetItem("currentCoinbase") SetItem("previousHash"))
     rule <k> load "env" : { "currentCoinbase" : (CB:Int) } => ... </k>
rule <k> load "env" : { "currentDifficulty" : (DIFF:Int) } => ... </k>
rule <k> load "env" : { "currentGasLimit" : (GLIMIT:Int) } => ... </k>
rule <k> load "env" : { "currentNumber" : (NUM:Int) } => ... </k>
→<number> => NUM </number>
rule <k> load "env" : { "currentTimestamp" : (TS:Int) } => \[ \ldots \cdots \cdot \ldots \cdots \cdot \cdots 
rule <k> load "exec" : { KEY : ((VAL:String) => #parseWord(VAL)) } ... </k>
       requires KEY in (SetItem("qas") SetItem("qasPrice") SetItem("value"))
     rule <k> load "exec" : { KEY : ((VAL:String) => #parseHexWord(VAL)) } ... </k>
       requires KEY in (SetItem("address") SetItem("caller") SetItem("origin"))
// -----
     rule <k> load "exec" : { "gasPrice" : (GPRICE:Int) } => ... </k> <gasPrice> _

→ => GPRICE 
    rule <k> load "exec" : { "gas" : (GAVAIL:Int) } => ... </k> <gas>

→ => GAVAIL 
     rule <k> load "exec" : { "address" : (ACCTTO:Int) } => .... </k> <id>
→ => ACCTTO </id>
    rule <k> load "exec" : { "caller" : (ACCTFROM:Int) } => ... </k> <caller>
→ => ACCTFROM </caller>
   rule <k> load "exec" : { "gas" : (GAVAIL:Int) } => ... </k> <gas>

→ => GAVAIL 
   rule <k> load "exec" : { "value" : (VALUE:Int) } => ... </k> <callValue> _
→ => VALUE </callValue>
   rule <k> load "exec" : { "origin" : (ORIG:Int) } => ... </k> <origin>
→ => ORIG </origin>
    rule <k> load "exec" : { "code" : ((CODE:String) => #parseByteStack(CODE)) }
→ ... </k>
    rule <k> load "exec" : { "data" : ((DATA:String) => #parseByteStack(DATA)) } ...
→</k>
    rule <k> load "exec" : { "data" : (DATA:WordStack) } => ... </k> <callData> _ =>
→ DATA </callData>
   → #asMapOpCodes(CODE) 
   rule <k> load "exec" : { "code" : (CODE:WordStack) } => .... </k> cprogram> _ =>
→ #asMapOpCodes(#dasmOpCodes(CODE, SCHED)) 
/program> yrogramBytes> _ => CODE 

                                                                                                              (continues on next page)
→programBytes> <schedule> SCHED </schedule>
```

The "network" key allows setting the fee schedule inside the test.

The "rlp" key loads the block information.

```
rule <k> load "rlp"
                          : (VAL:String => #rlpDecode(#unparseByteStack(
→#parseByteStack(VAL)))) ... </k>
  rule <k > load "genesisRLP" : (VAL:String => #rlpDecode(#unparseByteStack(
→#parseByteStack(VAL)))) ... </k>
   \hookrightarrow HS , HX , HM , HN , .JSONList ] , BT , BU , .JSONList ]
         => load "transaction" : BT
        . . .
        </k>
        <ommersHash>
                           _ => #asWord(#parseByteStackRaw(HO)) 
        <coinbase>
<stateRoot>
                           _ => #asWord(#parseByteStackRaw(HC)) </coinbase>
                            _ => #asWord(#parseByteStackRaw(HR)) </stateRoot>
        <transactionsRoot> _ => #asWord(#parseByteStackRaw(HT)) 

</pre
                           _ => #asWord(#parseByteStackRaw(HE)) </receiptsRoot>
        <receiptsRoot>
                           _ => #parseByteStackRaw(HB) </logsBloom>
        <logsBloom>
                           _ => #asWord(#parseByteStackRaw(HD)) </difficulty>
        <difficulty>
                           _ => #asWord(#parseByteStackRaw(HI)) 
        <number>
                          _ => #asWord(#parseByteStackRaw(HL)) </gasLimit>
        <gasLimit>
                          _ => #asWord(#parseByteStackRaw(HG)) </gasUsed>
        <gasUsed>
                          _ => #asWord(#parseByteStackRaw(HS)) </timestamp>
        <timestamp>
                          _ => #parseByteStackRaw(HX)
        <extraData>
                                                          </extraData>
                           _ => #asWord(#parseByteStackRaw(HM)) </mixHash>
        <mixHash>
                           _ => #asWord(#parseByteStackRaw(HN)) </blockNonce>
        <blockNonce>
        <ommerBlockHeaders> _ => BU
                                                                 </ommerBlockHeaders>
   rule <k> load "genesisRLP": [ [ HP, HO, HC, HR, HT, HE:String, HB, HD, HI, HL, HG,
\hookrightarrow HS, HX, HM, HN, .JSONList ], _, _, .JSONList ] => .K ... </k>
        <br/>
<bookhash> .List => ListItem(#blockHeaderHash(HP, HO, HC, HR, HT, HE, HB, L)
→HD, HI, HL, HG, HS, HX, HM, HN)) ListItem(#asWord(#parseByteStackRaw(HP))) ... </
→blockhash>
   syntax EthereumCommand ::= "mkTX" Int
   rule <k> mkTX TXID => . ... </k>
        <txOrder> ... (.List => ListItem(TXID)) </txOrder>
        <txPending> ... (.List => ListItem(TXID)) </txPending>
```

```
<messages>
          ( .Bag
          => <message>
              <msgID> TXID:Int </msgID>
             </message>
         </messages>
  rule <k> load "transaction" : [ [ TN , TP , TG , TT , TV , TI , TW , TR , TS ] ,
⇔REST 1
         => mkTX !ID:Int
         ~> load "transaction" : { !ID : { "data" : TI
                                                         "gasLimit" : TG
→ "gasPrice" : TP
                                        , "nonce" : TN
     : TS
" S "
                                                           "V"
                                       , "to" : TT
                                                                      : TW
→"value" : TV
                                       , .JSONList
                                }
         ~> load "transaction" : [ REST ]
         </k>
   rule <k> load "transaction" : { ACCTID: { KEY : VALUE , REST } }
         => load "transaction" : { ACCTID : { KEY : VALUE } }
         ~ load "transaction" : { ACCTID : { REST } }
        </k>
     requires REST =/=K .JSONList
   rule <k> load "transaction" : { TXID : { "gasLimit" : (TG:String => #asWord(
→#parseByteStackRaw(TG))) } ... </k>
   rule <k> load "transaction" : { TXID : { "gasPrice" : (TP:String => #asWord(
→#parseByteStackRaw(TP))) } ... </k>
   rule <k> load "transaction" : { TXID : { "nonce" : (TN:String => #asWord(
→#parseByteStackRaw(TN))) } ... </k>
   rule <k> load "transaction" : { TXID : { "v"
                                                    : (TW:String => #asWord(
→#parseByteStackRaw(TW))) } ... </k>
   rule <k> load "transaction" : { TXID : { "value"
                                                    : (TV:String => #asWord(
→#parseByteStackRaw(TV))) } ... </k>
   rule <k> load "transaction" : { TXID : { "to"
                                               : (TT:String => #asAccount(
→#parseByteStackRaw(TT))) } } ... </k>
   rule <k> load "transaction" : { TXID : { "data" : (TI:String =>
→#parseByteStackRaw(TI))
                                       } } ... </k>
   rule <k> load "transaction" : { TXID : { "r" : (TR:String =>
\rightarrow #padToWidth(32, #parseByteStackRaw(TR))) } ... </k>
   rule <k> load "transaction" : { TXID : { "s"
                                                    : (TS:String =>
→ #padToWidth(32, #parseByteStackRaw(TS))) } } ... </k>
   rule <k> load "transaction" : { TXID : { "gasLimit" : TG:Int } } => . ... </k>
        <message> <msgID> TXID </msgID> <txGasLimit> _ => TG </txGasLimit> ... 
-message>
   rule <k> load "transaction" : { TXID : { "gasPrice" : TP:Int } } => . ... </k>
                                                                     (continues on next page)
```

```
<message> <msgID> TXID </msgID> <txGasPrice> _ => TP </txGasPrice> ... 
-message>
   rule <k> load "transaction" : { TXID : { "nonce" : TN:Int } } => ... </k>
        <message> <msgID> TXID </msgID> <txNonce> _ => TN </txNonce> ... </message>
   rule <k> load "transaction" : { TXID : { "value" : TV:Int } } => ... </k>
        <message> <msgID> TXID </msgID> <value> _ => TV </value> ... </message>
   rule <k> load "transaction" : { TXID : { "to" : TT:Account } } => ... </k>
        <message> <msgID> TXID </msgID> <to> \_ => TT </to> ... </message>
   rule <k> load "transaction" : { TXID : { "data" : TI:WordStack } } => ... </k>
        <message> <msgID> TXID </msgID> <data> _ => TI </data> ... </message>
   rule <k> load "transaction" : { TXID : { "v" : TW:Int } } => ... </k>
        <message> <msgID> TXID </msgID> <sigV> _ => TW </sigV> ... </message>
   rule <k> load "transaction" : { TXID : { "r" : TR:WordStack } } => ... </k>
        <message> <msgID> TXID </msgID> <sigR> \_ => TR </sigR> ... </message>
   rule <k> load "transaction" : { TXID : { "s" : TS:WordStack } } => ... </k>
        <message> <msgID> TXID </msgID> <sigS> _ => TS </sigS> ... </message>
```

8.3.3 Checking State

• check_ checks if an account/transaction appears in the world-state as stated.

```
syntax EthereumCommand ::= "check" JSON
   rule <k> #halt ~> check J:JSON => check J ~> #halt ... </k>
   rule <k> check DATA : { .JSONList } => ... </k> requires DATA =/=String
\hookrightarrow "transactions"
  rule <k> check DATA : [ .JSONList ] => \( \tau \). ... </k> requires DATA =/=String
→"ommerHeaders"
   rule <k> check DATA : { (KEY:String) : VALUE , REST } => check DATA : { KEY :_
→VALUE } ~> check DATA : { REST } ... </k>
     requires REST =/=K .JSONList andBool notBool DATA in (SetItem("callcreates")
→SetItem("transactions"))
   rule <k> check DATA : [ { TEST } , REST ] => check DATA : { TEST } 
→: [ REST ] ... </k>
    requires DATA =/=String "transactions"
   rule <k> check (KEY:String) : { JS:JSONList => #sortJSONList(JS) } ... </k>
     requires KEY in (SetItem("callcreates")) andBool notBool #isSorted(JS)
   rule <k> check TESTID : { "post" : POST } ⇒ check "account" : POST → failure_
→TESTID ... </k>
   rule <k> check "account" : { ACCTID: { KEY : VALUE , REST } } => check "account"_
→: { ACCTID : { KEY : VALUE } } "> check "account" : { ACCTID : { REST } } ... </k>
     requires REST =/=K .JSONList
```

```
rule <k> check "account" : { ((ACCTID:String) => #parseAddr(ACCTID)) : ACCT }
                           ... </k>
  rule <k> check "account" : { (ACCT:Int) : { "balance" : ((VAL:String)
→#parseWord(VAL)) } }
                           ... </k>
   rule <k> check "account" : { (ACCT:Int) : { "nonce" : ((VAL:String))
→#parseWord(VAL)) } }
                           ... </k>
   rule <k> check "account" : { (ACCT:Int) : { "code" : ((CODE:String)
\rightarrow#parseByteStack(CODE)) } ... </k>
   rule <k> check "account" : { (ACCT:Int) : { "storage" : ({ STORAGE:JSONList } =>
→#parseMap({ STORAGE })) } ... </k>
   rule <mode> EXECMODE </mode>
       <k> check "account" : { ACCT : { "balance" : (BAL:Int) } } => ... </k>
        <account>
         <acctID> ACCT </acctID>
         <balance> BAL </balance>
        </account>
     requires EXECMODE =/=K VMTESTS
   rule <mode> VMTESTS </mode>
        <k> check "account" : { ACCT : { "balance" : (BAL:Int) } } => \boxed{.} ... </k>
   <account>
         <acctID> ACCT </acctID>
         <nonce> NONCE </nonce>
        </account>
   rule <k> check "account" : { ACCT : { "storage" : (STORAGE:Map) } } => ... </k>
         <acctID> ACCT </acctID>
         <storage> ACCTSTORAGE </storage>
        </account>
     requires #removeZeros(ACCTSTORAGE) ==K STORAGE
   rule <k> check "account" : { ACCT : { "code" : (CODE:WordStack) } } => ... </k>
         <acctID> ACCT </acctID>
         <code> CODE </code>
          . . .
        </account>
```

Here we check the other post-conditions associated with an EVM test.

```
rule <k> check "logs" : HASH:String => ... </k> <log> SL </log> requires
→ #parseHexBytes (Keccak256 (#rlpEncodeLogs (SL))) ==K #parseByteStack (HASH)
   syntax String ::= #rlpEncodeLogs(List)
                                              [function]
                 | #rlpEncodeLogsAux(List) [function]
| #rlpEncodeTopics(List) [function]
   rule #rlpEncodeLogs(SL) => #rlpEncodeLength(#rlpEncodeLogsAux(SL), 192)
   rule #rlpEncodeLogsAux(ListItem({ ACCT | TOPICS | DATA }) SL) => #rlpEncodeLength(
→#rlpEncodeBytes(ACCT, 20) +String #rlpEncodeLength(#rlpEncodeTopics(TOPICS), 192)
String #rlpEncodeString(#unparseByteStack(DATA)), 192) +String
→#rlpEncodeLogsAux(SL)
  rule #rlpEncodeLogsAux(.List) => ""
   rule #rlpEncodeTopics(ListItem(TOPIC) TOPICS) => #rlpEncodeBytes(TOPIC, 32)_

→ + String #rlpEncodeTopics (TOPICS)

   rule #rlpEncodeTopics(.List) => ""
   rule <k> check TESTID : { "gas" : GLEFT } => check "gas" : GLEFT ~> failure_
→TESTID ... </k>
   rule <k> check "gas" : ((GLEFT:String) => #parseWord(GLEFT)) ... </k>
   rule <k> check "gas" : GLEFT => . ... </k> <gas> GLEFT </gas>
  rule check TESTID : { "blockHeader" : BLOCKHEADER } => check "blockHeader" :...
→BLOCKHEADER ~> failure TESTID
  rule <k> check "blockHeader" : { KEY : VALUE , REST } => check "blockHeader" : { ____
\hookrightarrow KEY : VALUE } \nearrow check "blockHeader" : { REST } ... </k>
     requires REST =/=K .JSONList
  rule <k> check "blockHeader" : { KEY : (VALUE:String => #parseByteStack(VALUE)) }_
  rule <k> check "blockHeader" : { KEY : (VALUE:WordStack => #asWord(VALUE)) } ...
→</k>
  requires KEY in ( SetItem("coinbase") SetItem("difficulty") SetItem("gasLimit")...
→SetItem("gasUsed")
                     SetItem("mixHash") SetItem("nonce") SetItem("number") SetItem(
→ "parentHash")
                     SetItem("receiptTrie") SetItem("stateRoot") SetItem("timestamp
" )
                     SetItem("transactionsTrie") SetItem("uncleHash")
   → <logsBloom> VALUE </logsBloom>
   rule <k> check "blockHeader" : { "coinbase" : VALUE } => ... </k>
rule <k> check "blockHeader" : { "difficulty" : VALUE } => ... </k>
→ <difficulty> VALUE </difficulty>
  rule <k> check "blockHeader" : { "extraData" : VALUE } => ... ... </k>
→<extraData>
VALUE </extraData>
                                                  : VALUE } => . ... </k>
  rule <k> check "blockHeader" : { "gasLimit"
→ <gasLimit> VALUE </gasLimit>
                                              : VALUE } => ... </k>
  rule <k> check "blockHeader" : { "gasUsed"
                                                                    (continues on next page)
                  VALUE </gasUsed>
<qasUsed>
```

```
rule <k> check "blockHeader" : { "mixHash" : VALUE } => ... </k>
                  VALUE </mixHash>
  rule <k> check "blockHeader" : { "nonce"
                                                     : VALUE } => . ... </k>
→ <blockNonce> VALUE </blockNonce>
   rule <k> check "blockHeader" : { "number" : VALUE } => ... </k>
                   VALUE </number>
   rule <k> check "blockHeader" : { "parentHash"
                                                     : VALUE } => . ... </k>
                  VALUE </previousHash>
→viousHash>
   rule <k> check "blockHeader" : { "receiptTrie" : VALUE } => ... </k>
rule <k> check "blockHeader" : { "stateRoot"
                                                    : VALUE } => . ... </k>
rule <k> check "blockHeader" : { "timestamp" : VALUE } => \[ \tau \] ... </k>
→<timestamp> VALUE </timestamp>
   rule <k> check "blockHeader" : { "transactionsTrie" : VALUE } => |. ... </k>
→<transactionsRoot> VALUE </transactionsRoot>
   rule <k> check "blockHeader" : { "uncleHash" : VALUE } => ... </k>

<ommersHash>
                  VALUE 
   rule <k> check "blockHeader" : { "hash": HASH:WordStack } => ....</k>
        <previousHash></previousHash>
        <ommersHash>
                         HO </ommersHash>
        <coinbase>
<stateRoot>
HC </coinbase>
<stateRoot>
        <transactionsRoot> HT </transactionsRoot>
       <receiptsRoot> HE </receiptsRoot>
<logsBloom> HB </logsBloom>
<difficulty> HD </difficulty>
<number> HI </number>
<gasLimit> HL </gasLimit>
<gasUsed> HG </gasUsed>
<timestamp> HS </timestamp>
<extraData> HX </mixHash>
                          HM </mixHash>
        <blookNonce>
                          HN </blockNonce>
     requires #blockHeaderHash(HP, HO, HC, HR, HT, HE, HB, HD, HI, HL, HG, HS, HX,
→HM, HN) ==Int #asWord(HASH)
   rule check TESTID : { "genesisBlockHeader" : BLOCKHEADER } => check
→"genesisBlockHeader" : BLOCKHEADER ~> failure TESTID
  rule <k> check "genesisBlockHeader" : { KEY : VALUE , REST } => check
→"genesisBlockHeader" : { KEY : VALUE } → check "genesisBlockHeader" : { REST } ...
</k>
    requires REST =/=K .JSONList
   rule <k> check "genesisBlockHeader" : { KEY : VALUE } => .K ... </k> requires KEY_
→=/=String "hash"
   rule <k> check "genesisBlockHeader" : { "hash": (HASH:String => #asWord(
→#parseByteStack(HASH))) } ... </k>
   rule <k> check "genesisBlockHeader" : { "hash": HASH } => ... </k>
        <blockhash> ... ListItem(HASH) ListItem() /blockhash>
   rule <k> check TESTID : { "transactions" : TRANSACTIONS } => check "transactions"...
→: TRANSACTIONS ~> failure TESTID ... </k>
```

```
rule <k> check "transactions" : [ .JSONList ] => ... </k> <txOrder> .List
            </txOrder>
  rule <k> check "transactions" : { .JSONList } => ... </k> <txOrder> ListItem(_)_
→=> .List ... </tx0rder>
  rule <k> check "transactions" : [ TRANSACTION , REST ] => check "transactions" : _
→TRANSACTION ~> check "transactions" : [ REST ] ... </k>
  rule <k> check "transactions" : { KEY : VALUE , REST } => check "transactions" : _
→ (KEY: VALUE) ~> check "transactions": { REST } ... </k>
   rule <k> check "transactions" : (KEY : (VALUE:String =>
→#parseByteStack(VALUE))) ... </k>
  rule <k> check "transactions" : ("to" : (VALUE:WordStack => #asAccount(VALUE)))
  ... </k>
   rule <k> check "transactions" : (KEY : (VALUE:WordStack => #padToWidth(32,__
→VALUE))) ... </k> requires KEY in (SetItem("r") SetItem("s")) andBool
→#sizeWordStack(VALUE) Int 32
  rule <k> check "transactions" : (KEY : (VALUE:WordStack => #asWord(VALUE)))
  ... </k> requires KEY in (SetItem("qasLimit") SetItem("qasPrice") SetItem("nonce
→") SetItem("v") SetItem("value"))
   rule <k> check "transactions" : ("data" : VALUE) => ... </k> <txOrder>_
→ListItem(TXID) ... </txOrder> <message> <msgID> TXID </msgID> <data> VALUE </

→data> ... </message>

  rule <k > check "transactions" : ("qasLimit" : VALUE) => ... </k> <txOrder>
→ListItem(TXID) ... </txOrder> <message> <msgID> TXID </msgID> <txGasLimit> VALUE </
→txGasLimit> ... </message>
  rule <k> check "transactions" : ("gasPrice" : VALUE) => ... </k> <txOrder>_
→ListItem(TXID) ... </txOrder> <message> <msgID> TXID </msgID> <txGasPrice> VALUE </
→txGasPrice> ... </message>
  rule <k> check "transactions" : ("nonce" : VALUE) => ... </k> <txOrder>...
→ListItem(TXID) ... </txOrder> <message> <msgID> TXID </msgID> <txNonce> VALUE </
→txNonce> ... </message>
  rule <k> check "transactions" : ("r"
                                        : VALUE) => . ... </k> <txOrder>_
→ListItem(TXID) ... </txOrder> <message> <msgID> TXID </msgID> <sigR>
⇒sigR> ... </message>
 rule <k> check "transactions" : ("s" : VALUE) => ... </k> <txOrder>__
→ListItem(TXID) ... </txOrder> <message> <msqID> TXID </msqID> <siqS>
rule <k> check "transactions" : ("to" : VALUE) => . ... </k> <txOrder>_
→ListItem(TXID) ... </txOrder> <message> <msqID> TXID </msqID> <to>
                                                                      VALUE </
→to> ... </message>
  rule <k> check "transactions" : ("v" : VALUE) => ... </k> <txOrder>_
→ListItem(TXID) ... </txOrder> <message> <msqID> TXID </msqID> <siqV>
rule <k> check "transactions" : ("value" : VALUE) => \( \bar{\chi} \) ... </k> <txOrder>_
→ListItem(TXID) ... </txOrder> <message> <msgID> TXID </msgID> <value> VALUE </
→value> ... </message>
```

TODO: case with nonzero ommers.

```
rule <k> check "ommerHeaders" : [ .JSONList ] => ... </k> <ommerBlockHeaders> [ ... </k> <ommerBlockHeaders> [ ... </k>
```

endmodule

CHAPTER

NINE

EDSL HIGH-LEVEL NOTATIONS

The eDSL high-level notations make the EVM specifications more succinct and closer to their high-level specifications. The succinctness increases the readability, and the closeness helps "eye-ball validation" of the specification refinement. The high-level notations are defined by translation to the corresponding EVM terms, and thus can be freely used with other EVM terms. The notations are inspired by the production compilers of the smart contract languages like Solidity and Vyper, and their definition is derived by formalizing the corresponding translation made by the compilers.

```
requires "evm.k"

module EDSL
imports EVM
```

9.1 ABI Call Data

When a function is called in the EVM, its arguments are encoded in a single byte-array and put in the so-called 'call data' section. The encoding is defined in the Ethereum contract application binary interface (ABI) specification. The eDSL provides #abiCallData, a notation to specify the ABI call data in a way similar to a high-level function call notation, defined below. It specifies the function name and the (symbolic) arguments along with their types. For example, the following notation represents a data that encodes a call to the transfer function with two arguments: TO, the receiver account address of type address (an 160-bit unsigned integer), and VALUE, the value to transfer of type unit256 (a 256-bit unsigned integer).

```
#abiCallData("transfer", #address(TO), #uint256(VALUE))
```

which denotes (indeed, is translated to) the following byte array:

```
F1 : F2 : F3 : F4 : T1 : ... : T32 : V1 : ... : V32
```

where F1: F2: F3: F4 is the (two's complement) byte-array representation of 2835717307, the first four bytes of the hash value of the transfer function signature, keccak256("transfer(address, unit256)"), and T1: ...: T32 and V1: ...: V32 are the byte-array representations of TO and VALUE respectively.

```
syntax TypedArgs ::= List{TypedArg, ","} [klabel(typedArgs)]
   syntax WordStack ::= #abiCallData ( String , TypedArgs ) [function]
   rule #abiCallData( FNAME , ARGS )
     => #parseByteStack(substrString(Keccak256(#generateSignature(FNAME, ARGS)), 0, _
⇔8))
     ++ #encodeArgs (ARGS)
   syntax String ::= #generateSignature ( String, TypedArgs ) [function]
                 | #generateSignatureArgs ( TypedArgs ) [function]
   rule \#generateSignature(FNAME , ARGS ) => FNAME \#String "(" \#String
→#generateSignatureArgs(ARGS) +String ")"
                                                                    => ""
   rule #generateSignatureArgs(.TypedArgs)
   rule #generateSignatureArgs(TARGA:TypedArg, .TypedArgs)

→ #typeName (TARGA)

   rule #generateSignatureArgs(TARGA:TypedArg, TARGB:TypedArg, TARGS) =>
syntax String ::= #typeName ( TypedArg ) [function]
   rule #typeName(#uint160( _ )) => "uint160"
   rule #typeName(#address( _ )) => "address"
   rule #typeName(#uint256( _ )) => "uint256"
   rule #typeName( #int256( _ )) => "int256"
   rule #typeName( #int128( _ )) => "int128"
   rule #typeName(#bytes32( _ )) => "bytes32"
   rule #typeName( #bool( _ )) => "bool"
   rule #typeName( #bytes(_, _)) => "bytes"
   syntax WordStack ::= #encodeArgs ( TypedArgs )
→[function]
   syntax WordStack ::= #encodeArgsAux ( TypedArgs , Int , WordStack , WordStack )...
→[function]
   rule #encodeArgs(ARGS) => #encodeArgsAux(ARGS, #lenOfHeads(ARGS), .WordStack, .
→WordStack)
   rule #encodeArgsAux(.TypedArgs, _:Int, HEADS, TAILS) => HEADS ++ TAILS
   rule #encodeArgsAux((ARG, ARGS), OFFSET, HEADS, TAILS)
       => #encodeArgsAux(ARGS, OFFSET, HEADS +++ #enc(ARG), TAILS)
     requires #isStaticType(ARG)
   rule #encodeArgsAux((ARG, ARGS), OFFSET, HEADS, TAILS)
       => #encodeArgsAux(ARGS, OFFSET #Int #sizeOfDynamicType(ARG), HEADS ## #enc(
\rightarrow#uint256(OFFSET)), TAILS ++ #enc(ARG))
    requires notBool (#isStaticType (ARG))
   syntax Int ::= #lenOfHeads ( TypedArgs ) [function]
```

```
rule #lenOfHeads(.TypedArgs) => 0
   rule #lenOfHeads(ARG, ARGS) => #lenOfHead(ARG) | Int #lenOfHeads(ARGS)
   syntax Int ::= #lenOfHead ( TypedArg ) [function]
   rule #lenOfHead(#uint160( _ )) => 32
   rule #lenOfHead(#address( _ )) => 32
   rule #lenOfHead(#uint256( _ )) => 32
   rule #lenOfHead( #int256( _ )) => 32
   rule #lenOfHead( #int128( _ )) => 32
   rule #lenOfHead(#bytes32( _ )) => 32
   rule #lenOfHead( #bool( _ )) => 32
   rule #lenOfHead( #bytes(_, _)) => 32
   syntax Bool ::= #isStaticType ( TypedArg ) [function]
   rule #isStaticType(#uint160( _ )) => true
   rule #isStaticType(#address( _ )) => true
   rule #isStaticType(#uint256( _ )) => true
   rule #isStaticType( #int256( _ )) => true
   rule #isStaticType( #int128( _ )) => true
   rule #isStaticType(#bytes32( _ )) => true
   rule #isStaticType( #bool( _ )) => true
   rule #isStaticType( #bytes(_, _)) => false
   syntax Int ::= #sizeOfDynamicType ( TypedArg ) [function]
   rule #sizeOfDynamicType(#bytes(N, _)) => 32 +Int #ceil32(N)
   syntax WordStack ::= #enc ( TypedArg ) [function]
   // static Type
   rule #enc(#uint160( DATA )) => #padToWidth(32, #asByteStack(#getValue(#uint160(...
→DATA ))))
   rule #enc(#address( DATA )) => #padToWidth(32, #asByteStack(#getValue(#address(,,
→DATA ))))
   rule #enc(#uint256( DATA )) => #padToWidth(32, #asByteStack(#getValue(#uint256(
→DATA ))))
  rule #enc( #int256( DATA )) => #padToWidth(32, #asByteStack(#getValue( #int256(...
→DATA ))))
   rule #enc( #int128( DATA )) => #padToWidth(32, #asByteStack(#getValue( #int128(...
→DATA ))))
   rule #enc(#bytes32( DATA )) => #padToWidth(32, #asByteStack(#getValue(#bytes32(...
→DATA ))))
   rule #enc( #bool(DATA)) => #padToWidth(32, #asByteStack(#getValue( #bool(_
→DATA ))))
   // dynamic Type
   rule #enc( #bytes(N, DATA)) => #enc(#uint256(N)) ### #padToWidth(#ceil32(N),
→#asByteStack (DATA) )
   syntax Int ::= #getValue ( TypedArg ) [function]
   rule #getValue(#uint160( DATA )) => DATA
     requires minUInt160 = Int DATA andBool DATA = Int maxUInt160
   rule #getValue(#address( DATA )) => DATA
                                                                        (continues on next page)
```

9.1. ABI Call Data 89

9.2 ABI Event Logs

EVM logs are special data structures in the blockchain, being searchable by off-chain clients. Events are high-level wrappers of the EVM logs provided in the high-level languages. Contracts can declare and generate the events, which will be compiled down to the EVM bytecode using the EVM log instructions. The encoding scheme of the events in the EVM logs is defined in the Ethereum contract application binary interface (ABI) specification, leveraging the ABI call data encoding scheme.

The eDSL provides #abiEventLog, a notation to specify the EVM logs in the high-level events, defined below. It specifies the contract account address, the event name, and the event arguments. For example, the following notation represents an EVM log data that encodes the Transfer event generated by the transfer function, where ACCT_ID is the account address, and CALLER_ID, TO_ID, and VALUE are the event arguments. Each argument is tagged with its ABI type (#address or #uint256), and the indexed attribute (#indexed) if any, according to the event declaration in the contract.

```
#abiEventLog(ACCT_ID, "Transfer", #indexed(#address(CALLER_ID)), #indexed(\rightarrow#address(TO_ID)), #uint256(VALUE))
```

The above notation denotes (i.e., is translated to) the following EVM log data structure:

```
{ ACCT_ID

ACCT_ID
```

where 100389287136786176327247604509743168900146139575972864366142685224231313322991 is the hash value of the event signature, keccak256 ("Transfer (address, address, unit256)").

```
syntax EventArg ::= TypedArg
               | #indexed ( TypedArg )
   syntax EventArgs ::= List{EventArg, ","} [klabel(eventArgs)]
   syntax SubstateLogEntry ::= #abiEventLog ( Int , String , EventArgs ) [function]
// -----
   rule #abiEventLog(ACCT_ID, EVENT_NAME, EVENT_ARGS)
    => { ACCT_ID | #getEventTopics(EVENT_NAME, EVENT_ARGS) | #getEventData(EVENT_
→ARGS) }
   syntax List ::= #getEventTopics ( String , EventArgs ) [function]
   rule #getEventTopics(ENAME, EARGS)
    => ListItem (#parseHexWord (Keccak256 (#generateSignature (ENAME,

    #getTypedArgs(EARGS))))))
       #getIndexedArgs (EARGS)
   syntax TypedArgs ::= #getTypedArgs ( EventArgs ) [function]
   rule #getTypedArgs(#indexed(E), ES) => E, #getTypedArgs(ES)
   rule #getTypedArgs(E:TypedArg, ES) => E, #getTypedArgs(ES)
   rule #getTypedArgs(.EventArgs)
                                  => .TypedArgs
   syntax List ::= #getIndexedArgs ( EventArgs ) [function]
   rule #getIndexedArgs(#indexed(E), ES) => ListItem(#getValue(E))
→#getIndexedArgs(ES)
   rule #getIndexedArgs(_:TypedArg, ES) =>
→#getIndexedArgs(ES)
   rule #getIndexedArgs(.EventArgs) => .List
   syntax WordStack ::= #getEventData ( EventArgs ) [function]
// -----
   rule #getEventData(#indexed(_), ES) => #getEventData(ES)
   rule #getEventData(E:TypedArg, ES) => #enc(E) ## #getEventData(ES)
   rule #getEventData(.EventArgs) => .WordStack
```

9.3 Hashed Location for Storage

The storage accommodates permanent data such as the balances map. A map is laid out in the storage where the map entries are scattered over the entire storage space using the (256-bit) hash of each key to determine the location. The detailed mechanism of calculating the location varies by compilers. In Vyper, for example, map [key1] [key2] is stored at the location:

```
hash(hash(idx(map)) + key1) + key2
```

where idx (map) is the position index of map in the program, and + is the addition modulo 2**256, while in Solidity, it is stored at:

```
hash(key2 ++ hash(key1 ++ idx(map)))
```

where ++ is byte-array concatenation.

The eDSL provides #hashedLocation that allows to uniformly specify the locations in a form parameterized by the underlying compilers. For example, the location of map[key1] [key2] can be specified as follows, where {COMPILER} is a place-holder to be replaced by the name of the compiler. Note that the keys are separated by the white spaces instead of commas.

```
#hashedLocation({COMPILER}, idx(map), key1 key2)
```

This notation makes the specification independent of the underlying compilers, enabling it to be reused for differently compiled programs. Specifically, #hashedLocation is defined as follows, capturing the storage layout schemes of Solidity and Vyper.

```
syntax IntList ::= List{Int, ""}
                                                               [klabel(intList)]
   syntax Int ::= #hashedLocation( String , Int , IntList ) [function]
   rule #hashedLocation(LANG, BASE, .IntList) => BASE
   rule #hashedLocation("Vyper", BASE, OFFSET OFFSETS) => #hashedLocation("Vyper",
     keccakIntList(BASE) #Word OFFSET, OFFSETS)
   rule #hashedLocation("Solidity", BASE, OFFSET OFFSETS) => #hashedLocation(
→ "Solidity", keccakIntList (OFFSET BASE),
   syntax Int ::= keccakIntList( IntList ) [function]
   rule keccakIntList(VS) => keccak(intList2ByteStack(VS))
   syntax WordStack ::= intList2ByteStack( IntList ) [function]
   rule intList2ByteStack(.IntList) => .WordStack
   rule intList2ByteStack(V VS) => #padToWidth(32, #asByteStack(V))
→intList2ByteStack(VS)
     requires 0 <=Int V andBool V <Int pow256
endmodule
```

CHAPTER

TEN

NETWORK STATE

This file represents all the network state present in the EVM. It will incrementally build up to supporting the entire EVM-C API.

module NETWORK

10.1 EVM Status Codes

10.1.1 Exceptional Codes

The following codes all indicate that the VM ended execution with an exception, but give details about how.

- EVMC_FAILURE is a catch-all for generic execution failure.
- EVMC_INVALID_INSTRUCTION indicates reaching the designated INVALID opcode.
- EVMC_UNDEFINED_INSTRUCTION indicates that an undefined opcode has been reached.
- EVMC_OUT_OF_GAS indicates that execution exhausted the gas supply.
- EVMC_BAD_JUMP_DESTINATION indicates a JUMP * to a non-JUMPDEST location.
- EVMC STACK OVERFLOW indicates pushing more than 1024 elements onto the wordstack.
- EVMC_STACK_UNDERFLOW indicates popping elements off an empty wordstack.
- EVMC_CALL_DEPTH_EXCEEDED indicates that we have executed too deeply a nested sequence of CALL* or CREATE opcodes.
- EVMC_INVALID_MEMORY_ACCESS indicates that a bad memory access occured. This can happen when accessing local memory with CODECOPY* or CALLDATACOPY, or when accessing return data with RETURNDATACOPY.
- EVMC_STATIC_MODE_VIOLATION indicates that a STATICCALL tried to change state. **TODO:** Avoid _ERROR suffix that suggests fatal error.
- EVMC_PRECOMPILE_FAILURE indicates an errors in the precompiled contracts (eg. invalid points handed to elliptic curve functions).

```
| "EVMC_STACK_UNDERFLOW"
| "EVMC_CALL_DEPTH_EXCEEDED"
| "EVMC_INVALID_MEMORY_ACCESS"
| "EVMC_STATIC_MODE_VIOLATION"
| "EVMC_PRECOMPILE_FAILURE"
```

10.1.2 Ending Codes

These additional status codes indicate that execution has ended in some non-exceptional way.

- EVMC_SUCCESS indicates successful end of execution.
- EVMC_REVERT indicates that the contract called REVERT.

10.1.3 Other Codes

The following codes indicate other non-execution errors with the VM.

- EVMC_REJECTED indicates malformed or wrong-version EVM bytecode.
- EVMC_INTERNAL_ERROR indicates some other error that is unrecoverable but not due to the bytecode.
- .StatusCode is an extra code added for "unset or unknown".

10.2 Client/Network Codes

The following are status codes used to report network state failures to the EVM from the client. These are not present in the EVM-C API.

- EVMC_ACCOUNT_ALREADY_EXISTS indicates that a newly created account already exists.
- EVMC_BALANCE_UNDERFLOW indicates an attempt to create an account which already exists.

```
endmodule
```

CHAPTER ELEVEN

RESOURCES

CHAPTER

TWELVE

EVM WORDS

12.1 Module EVM-DATA

EVM uses bounded 256 bit integer words, and sometimes also bytes (8 bit words). Here we provide the arithmetic of these words, as well as some data-structures over them. Both are implemented using K's Int.

```
requires "krypto.k"

module EVM_DATA
  imports KRYPTO
  imports STRING_BUFFER
  imports DOMAINS

syntax KResult ::= Int
```

12.2 JSON Formatting

The JSON format is used extensively for communication in the Ethereum circles. Writing a JSON-ish parser in K takes 6 lines.

12.3 Utilities

12.3.1 Important Powers

Some important numbers that are referred to often during execution. These can be used for pattern-matching on the LHS of rules as well (macro attribute expands all occurances of these in rules).

```
| "pow16" [function] /* 2 ^Int 16 */
   rule pow256 =>.
\hookrightarrow 115792089237316195423570985008687907853269984665640564039457584007913129639936.
→ [macro]
   rule pow255 =>...
→57896044618658097711785492504343953926634992332820282019728792003956564819968 ...
→ [macro]
   rule pow160 => 1461501637330902918203684832716283019655932542976 [macro]
   rule pow16 => 65536 [macro]
   syntax Int ::= "minSInt128"
                                   [function]
                | "maxSInt128"
                                   [function]
                | "minUInt128"
                                   [function]
                | "maxUInt128"
                                   [function]
                | "minUInt160"
                                   [function]
                | "maxUInt160"
                                   [function]
                | "minSInt256"
                                   [funciton]
                | "maxSInt256"
                                    [function]
                | "minUInt256"
                                    [function]
                | "maxUInt256"
                                   [function]
                "minSFixed128x10" [funciton]
                  "maxSFixed128x10" [function]
                | "minUFixed128x10" [function]
                "maxUFixed128x10" [function]
   rule minSInt128
                        => -170141183460469231731687303715884105728
                      [macro] /* -2^127 */
   rule maxSInt128
                       => 170141183460469231731687303715884105727
                       [macro] /* 2^127 - 1 */
   rule minSFixed128x10 => -1701411834604692317316873037158841057280000000000
                       [macro] /* (-2^127 ) * 10^10 */
   rule maxSFixed128x10 => 1701411834604692317316873037158841057270000000000
                      [macro] /* (2^127 - 1) * 10^10 */
   rule minSInt256
                       => -
\hookrightarrow 57896044618658097711785492504343953926634992332820282019728792003956564819968 ...
\rightarrow [macro] /* -2^255 */
   rule maxSInt256 =>
→57896044618658097711785492504343953926634992332820282019728792003956564819967 ...
\rightarrow [macro] /* 2^255 - 1 */
                       => ()
   rule minUInt128
                       [macrol
                       => 340282366920938463463374607431768211455
   rule maxUIInt128
                       [macro] /* 2^128 - 1 */
   rule minUFixed128x10 => 0
                       [macro]
   rule maxUFixed128x10 => 3402823669209384634633746074317682114550000000000
                      [macro] /* ( 2^128 - 1) * 10^10 */
   rule minUInt160
                       => 0
                      [macro]
                       => 1461501637330902918203684832716283019655932542975
   rule maxUInt160
                      [macro] /* 2^160 - 1 */
   rule minUInt256
                       => ()
                      [macro]
                       =>
   rule maxUInt.256
\rightarrow 115792089237316195423570985008687907853269984665640564039457584007913129639935.
                                                                        (continues on next page)
              2^256 - 1
→[macro] /*
```

· Range of types

```
[function]
                                               [function]
                | #rangeSFixed ( Int , Int , Int ) [function]
                | #rangeUFixed ( Int , Int , Int ) [function]
                | #rangeAddress ( Int )
                                               [function]
                | #rangeBytes ( Int , Int )
                                               [function]
                                                            <= X <=_
   rule #rangeSInt
                   (128,
                               X ) => #range ( minSInt128
→maxSInt128 ) [macro]
                                                            <= X <=_
                               X ) => #range ( minSInt256
  rule #rangeSInt ( 256 ,
→maxSInt256
) [macro]
                                                            <= X <=_
                               X ) => #range ( minUInt128
  rule #rangeUInt ( 128 ,
→maxUInt128
) [macro]
  rule #rangeUInt ( 256 ,
                                                            <- X <-_
                              X ) => #range ( minUInt256
→maxUInt256 ) [macro]
  rule #rangeSFixed ( 128 , 10 , X ) => #range ( minSFixed128x10 <= X <=_
→maxSFixed128x10 ) [macro]
  rule #rangeUFixed ( 128 , 10 , X ) => #range ( minUFixed128x10 <= X <=_
→maxUFixed128x10 ) [macro]
                                                             X <= __</p>
  rule #rangeAddress (
                              X ) => #range ( minUInt160
→maxUInt160 ) [macro]
                                                             X <= _</p>
  rule #rangeBytes ( 32 , X ) => #range ( minUInt256
→maxUInt256
) [macro]
   syntax Bool ::= "#range" "(" Int "<" Int "<" Int ")" [function]</pre>
                | "#range" "(" Int "<" Int "<=" Int ")" [function]
               | "#range" "(" Int "<=" Int "<" Int ")" [function]
                | "#range" "(" Int "<=" Int "<=" Int ")" [function]
   rule #range ( LB | X | UB ) => LB | Int X andBool X | Int UB [macro]
   rule #range ( LB  X  = UB ) => LB  Int X andBool X  =Int UB [macro]
   rule #range ( LB = X | UB ) => LB = Int X and Bool X Int UB [macro]
   rule #range ( LB <= X <= UB ) => LB <=Int X andBool X <=Int UB [macro]
```

• chop interperets an integer modulo \$2^256\$.

```
syntax Int ::= chop ( Int ) [function, smtlib(chop)]
//
rule chop ( I:Int ) => I modInt pow256 [concrete, smt-lemma]
```

12.3.2 Boolean Conversions

Primitives provide the basic conversion from K's sorts Int and Bool to EVM's words.

- bool2Word interperets a Bool as a Int.
- word2Bool interperets a Int as a Bool.

```
syntax Int ::= bool2Word ( Bool ) [function]
// -----
rule bool2Word( B:Bool ) => 1 requires B
rule bool2Word( B:Bool ) => 0 requires notBool B
```

(continues on next page)

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```
syntax Bool ::= word2Bool ( Int ) [function]
// ------
rule word2Bool( 0 ) => false
rule word2Bool( W ) => true requires W =/=K 0
```

- sgn gives the twos-complement interperetation of the sign of a word.
- abs gives the twos-complement interperetation of the magnitude of a word.

- #signed: uInt256 -> sInt256 (i.e., [minUInt256..maxUInt256] -> [minSInt256..maxSInt256])
- #unsigned: sInt256 -> uInt256 (i.e., [minSInt256..maxSInt256] -> [minUInt256..maxUInt256])

12.3.3 Empty Account

• . Account represents the case when an account ID is referenced in the yellowpaper, but the actual value of the account ID is the empty set. This is used, for example, when referring to the destination of a message which creates a new contract.

```
syntax Account ::= ".Account" | Int
```

12.3.4 Symbolic Words

• #symbolicWord generates a fresh existentially-bound symbolic word.

```
syntax Int ::= "#symbolicWord" [function]
// -----
rule #symbolicWord => chop ( ?X:Int )
```

12.4 Word Operations

12.4.1 Low-Level

• up/Int performs integer division but rounds up instead of down.

NOTE: Here, we choose to add I2 -Int 1 to the numerator beforing doing the division to mimic the C++ implementation. You could alternatively calculate I1 modInt I2, then add one to the normal integer division afterward depending on the result.

```
syntax Int ::= Int "up/Int" Int [function]
// ------
rule I1 up/Int 0 => 0
rule I1 up/Int 1 => I1
rule I1 up/Int I2 => (I1 HInt (I2 Int 1)) //Int I2 requires I2 />Int 1
```

• log256Int returns the log base 256 (floored) of an integer.

```
syntax Int ::= log256Int ( Int ) [function]
// -----
rule log256Int(N) => log2Int(N) // Int 8
```

The corresponding <pp>Word operations automatically perform the correct modulus for EVM words.

Care is needed for 'Word to avoid big exponentiation. The helper powmod is a totalization of the operator _ '% Int__ (which comes with K). _ '% Int__ is not defined when the modulus (third argument) is zero, but powmod is.

/sWord and %sWord give the signed interperetations of /Word and %Word.

12.4.2 Word Comparison

The <op>Word comparisons similarly lift K operators to EVM ones:

• s<Word implements a less-than for Word (with signed interperetation).

12.4.3 Bitwise Operators

Bitwise logical operators are lifted from the integer versions.

- bit gets bit \$N\$ (0 being MSB).
- byte gets byte \$N\$ (0 being the MSB).

- #nBits shifts in \$N\$ ones from the right.
- #nBytes shifts in \$N\$ bytes of ones from the right.
- <<Byte shifts an integer 8 bits to the left.

• signextend (N, W) sign-extends from byte \$N\$ of \$W\$ (0 being MSB).

• keccak serves as a wrapper around the Keccak 256 in KRYPTO.

```
syntax Int ::= keccak ( WordStack ) [function, smtlib(smt_keccak)]
// -----
rule keccak(WS) => #parseHexWord(Keccak256(#unparseByteStack(WS))) [concrete]
```

THIRTEEN

DATA-STRUCTURES OVER WORD

13.1 A WordStack for EVM

13.1.1 As a cons-list

A cons-list is used for the EVM wordstack.

- . WordStack serves as the empty worstack, and
- _:_ serves as the "cons" operator.

```
syntax WordStack [flatPredicate]
syntax WordStack ::= ".WordStack" | Int ":" WordStack
// ------
```

- _++_ acts as WordStack append.
- #take (N , WS) keeps the first \$N\$ elements of a WordStack (passing with zeros as needed).
- #drop(N, WS) removes the first N elements of a WordStack.

13.1.2 Element Access

- WS [N] accesses element \$N\$ of \$WS\$.
- WS [N .. W] access the range of WS beginning with N of width W.

• WS [N := W] sets element \$N\$ of \$WS\$ to \$W\$ (padding with zeros as needed).

- #sizeWordStack calculates the size of a WordStack.
- _in_ determines if a Int occurs in a WordStack.

• #padToWidth (N, WS) makes sure that a WordStack is the correct size.

```
syntax WordStack ::= #padToWidth ( Int , WordStack ) [function]
// -----
rule #padToWidth(N, WS) => WS requires notBool

+#sizeWordStack(WS) Int N [concrete]
rule #padToWidth(N, WS) => #padToWidth(N, 0 : WS) requires #sizeWordStack(WS)

Int N [concrete]
```

• WordStack2List converts a term of sort WordStack to a term of sort List.

```
syntax List ::= WordStack2List ( WordStack ) [function]
//
------
rule WordStack2List(.WordStack) => .List
rule WordStack2List(W : WS) => ListItem(W) WordStack2List(WS)
```

13.2 Byte Arrays

The local memory of execution is a byte-array (instead of a word-array).

- #asWord will interperet a stack of bytes as a single word (with MSB first).
- #asInteger will interperet a stack of bytes as a single arbitrary-precision integer (with MSB first).
- #asAccount will interpret a stack of bytes as a single account id (with MSB first). Differs from #asWord only in that an empty stack represents the empty account, not account zero.
- #asByteStack will split a single word up into a WordStack where each word is a byte wide.

```
syntax Int ::= #asWord ( WordStack ) [function, smtlib(asWord)]
   rule #asWord( .WordStack ) => 0
                                                                   //_
→ [concrete]
   rule #asWord( W : .WordStack ) => W
→ [concrete]
   rule #asWord( W0 : W1 : WS ) => #asWord(((W0 ₩Word 256) ₩word W1) : WS)_
→[concrete]
   syntax Int ::= #asInteger ( WordStack ) [function]
   rule #asInteger( .WordStack ) => 0
   rule #asInteger( W : .WordStack ) => W
   syntax Account ::= #asAccount ( WordStack ) [function]
   rule #asAccount( .WordStack ) => .Account
   rule #asAccount( W : WS ) => #asWord(W : WS)
   syntax WordStack ::= #asByteStack ( Int )
                                                   [function]
                   | #asByteStack ( Int , WordStack ) [function, klabel(
→#asByteStackAux), smtlib(asByteStack)]
   rule #asByteStack( W ) => #asByteStack( W , .WordStack )
             [concrete]
   rule #asByteStack( 0 , WS ) => WS
    // [concrete]
   rule #asByteStack( W , WS ) => #asByteStack( W /Int 256 , W modInt 256 : WS )
→requires W =/=K 0 [concrete]
```

13.3 Addresses

• #addr turns an Ethereum word into the corresponding Ethereum address (160 LSB).

```
syntax Int ::= #addr ( Int ) [function]
// -----
rule #addr(W) => W %Word pow160
```

- #newAddr computes the address of a new account given the address and nonce of the creating account.
- #sender computes the sender of the transaction from its data and signature.

13.2. Byte Arrays

```
syntax Int ::= #newAddr ( Int , Int ) [function]
   rule #newAddr (ACCT, NONCE) => #addr (#parseHexWord (Keccak256 (#rlpEncodeLength (
→#rlpEncodeBytes(ACCT, 20) #String #rlpEncodeWord(NONCE), 192))))
   syntax Int ::= #sender ( Int , Int , Int , Account , Int , String , Int , __
→WordStack , WordStack ) [function]
               | #sender ( String , Int , String , String )
                 [function, klabel(#senderAux)]
                | #sender ( String )
                [function, klabel(#senderAux2)]
   rule #sender(TN, TP, TG, TT, TV, DATA, TW, TR, TS)
     => #sender(#unparseByteStack(#parseHexBytes(Keccak256(#rlpEncodeLength(
→ #rlpEncodeWordStack(TN : TP : TG : .WordStack)  #String #rlpEncodeAccount(TT)...
→ String #rlpEncodeWord(TV) + String #rlpEncodeString(DATA), 192)))), TW,
→ #unparseByteStack(TR), #unparseByteStack(TS))
   rule #sender(HT, TW, TR, TS) => #sender(ECDSARecover(HT, TW, TR, TS))
   rule #sender("") => .Account
   rule #sender(STR) => #addr(#parseHexWord(Keccak256(STR))) requires STR =/=String "
```

• #blockHeaderHash computes the hash of a block header given all the block data.

```
syntax Int ::= #blockHeaderHash( Int , Int , Int , Int , Int , Int , WordStack ,_
→Int , Int , Int , Int , WordStack , Int , Int ) [function]
                | #blockHeaderHash(String, String, String, String, String, ...
→String, String, String, String, String, String, String, String, String) [function,...
→klabel(#blockHashHeaderStr)]
  rule #blockHeaderHash(HP, HO, HC, HR, HT, HE, HB, HD, HI, HL, HG, HS, HX, HM, HN)
        => #blockHeaderHash(#asWord(#parseByteStackRaw(HP)),
                            #asWord(#parseByteStackRaw(HO)),
                            #asWord(#parseByteStackRaw(HC)),
                            #asWord(#parseByteStackRaw(HR)),
                            #asWord(#parseByteStackRaw(HT)),
                            #asWord(#parseByteStackRaw(HE)),
                                    #parseByteStackRaw(HB) ,
                            #asWord(#parseByteStackRaw(HD)),
                            #asWord(#parseByteStackRaw(HI)),
                            #asWord(#parseByteStackRaw(HL)),
                            #asWord(#parseByteStackRaw(HG)),
                            #asWord(#parseByteStackRaw(HS)),
                                   #parseByteStackRaw(HX) ,
                            #asWord(#parseByteStackRaw(HM)),
                            #asWord(#parseByteStackRaw(HN)))
   rule #blockHeaderHash(HP, HO, HC, HR, HT, HE, HB, HD, HI, HL, HG, HS, HX, HM, HN)
        => #parseHexWord(Keccak256(#rlpEncodeLength(
                                                        #rlpEncodeBytes(HP, 32)
                                                     +String #rlpEncodeBytes(HO, 32)
                                                     +String #rlpEncodeBytes(HC, 20)
                                                     +String #rlpEncodeBytes(HR, 32)
```

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```
#String #rlpEncodeBytes(HT, 32)
#String #rlpEncodeBytes(HE, 32)
#String #rlpEncodeBytes(HE, 32)
#String #rlpEncodeString(

#String #rlpEncodeString(

#String #rlpEncodeWordStack(HD

#String #rlpEncodeWordStack(HD

#String #rlpEncodeString(

#String #rlpEncodeBytes(HM, 32)
#String #rlpEncodeBytes(HM, 32)
#String #rlpEncodeBytes(HN, 8),

192)))
```

13.4 Word Map

Most of EVM data is held in finite maps. We are using the polymorphic Map sort for these word maps.

- WM [N := WS] assigns a contiguous chunk of \$WM\$ to \$WS\$ starting at position \$W\$.
- #asMapWordStack converts a WordStack to a Map.
- #range (M, START, WIDTH) reads off \$WIDTH\$ elements from \$WM\$ beginning at position \$START\$ (padding with zeros as needed).

```
syntax Map ::= Map "[" Int ":=" WordStack "]" [function]
   rule WM[ N := .WordStack ] => WM
   rule WM[ N := W : WS ] => (WM[N <- W])[N +Int 1 := WS]
   syntax Map ::= #asMapWordStack ( WordStack ) [function]
   rule #asMapWordStack(WS:WordStack) => .Map [ 0 := WS ]
   syntax WordStack ::= #range ( Map , Int , Int )
                                                             [function]
   syntax WordStack ::= #range ( Map , Int , Int , WordStack) [function, klabel(
→#rangeAux)]
   rule #range(WM, START, WIDTH) => #range(WM, START | Int WIDTH | Int 1, WIDTH, .
→WordStack)
   rule #range(WM,
                            END, 0,
                                       WS) => WS
                            END, WIDTH, WS) => #range(WM, END | Int 1, WIDTH | Int 1,
   rule #range(WM,
→0 : WS) requires (WIDTH >Int 0) andBool notBool END in_keys(WM)
  rule #range(END |-> W WM, END, WIDTH, WS) => #range(WM, END | Int 1, WIDTH | Int 1,
→W : WS) requires (WIDTH >Int 0)
```

• #removeZeros removes any entries in a map with zero values.

• #lookup looks up a key in a map and returns 0 if the key doesn't exist, otherwise returning its value.

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FOURTEEN

PARSING/UNPARSING

The EVM test-sets are represented in JSON format with hex-encoding of the data and programs. Here we provide some standard parser/unparser functions for that format.

14.1 Parsing

These parsers can interperet hex-encoded strings as Ints, WordStacks, and Maps.

- #parseHexWord interperets a string as a single hex-encoded Word.
- #parseHexBytes interperets a string as a hex-encoded stack of bytes.
- #parseByteStack interperets a string as a hex-encoded stack of bytes, but makes sure to remove the leading "0x".
- #parseByteStackRaw inteprets a string as a stack of bytes.
- #parseWordStack interperets a JSON list as a stack of Word.
- #parseMap interperets a JSON key/value object as a map from Word to Word.
- #parseAddr interperets a string as a 160 bit hex-endcoded address.

```
syntax Int ::= #parseHexWord ( String ) [function]
                | #parseWord (String) [function]
   rule #parseHexWord("") => 0
   rule #parseHexWord("0x") => 0
   rule #parseHexWord(S) => String2Base(replaceAll(S, "0x", ""), 16) requires (S...
\rightarrow=/=String "") andBool (S =/=String "0x")
   rule #parseWord("") => 0
   rule #parseWord(S) => #parseHexWord(S) requires lengthString(S) = Int 2 andBool,
\rightarrowsubstrString(S, 0, 2) ==String "0x"
   rule #parseWord(S) => String2Int(S) [owise]
   syntax WordStack ::= #parseHexBytes ( String ) [function]
                     | #parseByteStack ( String ) [function]
                      | #parseByteStackRaw ( String ) [function]
   rule #parseByteStack(S) => #parseHexBytes(replaceAll(S, "0x", ""))
   rule #parseHexBytes("") => .WordStack
   rule #parseHexBytes(S) => #parseHexWord(substrString(S, 0, 2)) :
→#parseHexBytes(substrString(S, 2, lengthString(S))) requires lengthString(S) =Int 2
```

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```
rule #parseByteStackRaw(S) => ordChar(substrString(S, 0, 1)) :
→ #parseByteStackRaw(substrString(S, 1, lengthString(S))) requires lengthString(S)...
\rightarrow=Int 1
   rule #parseByteStackRaw("") => .WordStack
   syntax WordStack ::= #parseWordStack ( JSON ) [function]
   rule #parseWordStack( [ .JSONList ] )
   rule #parseWordStack( [ (WORD:String) , REST ] ) => #parseHexWord(WORD) :
→#parseWordStack( [ REST ] )
   syntax Map ::= #parseMap ( JSON ) [function]
   rule #parseMap( { .JSONList
                                            } ) => .Map
   requires #parseHexWord(VALUE) ==K 0
   rule #parseMap( { KEY : (VALUE:String) , REST } ) => #parseMap({ REST }) [
→#parseHexWord(KEY)  #parseHexWord(VALUE) ] requires #parseHexWord(VALUE) =/=K 0
   syntax Int ::= #parseAddr ( String ) [function]
   rule #parseAddr(S) => #addr(#parseHexWord(S))
```

14.2 Unparsing

We need to interperet a WordStack as a String again so that we can call Keccak256 on it from KRYPTO.

- #unparseByteStack turns a stack of bytes (as a WordStack) into a String.
- #padByte ensures that the String interperetation of a Int is wide enough.

RECURSIVE LENGTH PREFIX (RLP)

RLP encoding is used extensively for executing the blocks of a transaction. For details about RLP encoding, see the YellowPaper Appendix B.

15.1 Encoding

- #rlpEncodeWord RLP encodes a single EVM word.
- #rlpEncodeString RLP encodes a single String.

```
[function]
   syntax String ::= #rlpEncodeWord ( Int )
                  | #rlpEncodeBytes ( Int , Int ) [function]
                  | #rlpEncodeWordStack ( WordStack ) [function]
                  | #rlpEncodeString ( String ) [function]
| #rlpEncodeAccount ( Account ) [function]
   rule #rlpEncodeWord(0) => "\x80"
   rule #rlpEncodeWord(WORD) => chrChar(WORD) requires WORD Int 0 andBool WORD Int
   rule #rlpEncodeWord(WORD) => #rlpEncodeLength(#unparseByteStack(
→#asByteStack(WORD)), 128) requires WORD =Int 128
   rule #rlpEncodeBytes(WORD, LEN) => #rlpEncodeString(#unparseByteStack(
→ #padToWidth (LEN, #asByteStack (WORD))))
   rule #rlpEncodeWordStack(.WordStack) => ""
   rule #rlpEncodeWordStack(W : WS) => #rlpEncodeWord(W) #String
→#rlpEncodeWordStack(WS)
   rule #rlpEncodeString(STR) => STR
                                                          requires_
rule #rlpEncodeString(STR) => #rlpEncodeLength(STR, 128) [owise]
   rule #rlpEncodeAccount(.Account) => "\x80"
   rule #rlpEncodeAccount(ACCT) => #rlpEncodeBytes(ACCT, 20) requires ACCT =/=K .
→Account
   syntax String ::= #rlpEncodeLength ( String , Int ) [function]
               | #rlpEncodeLength ( String , Int , String ) [function, klabel(
   rule #rlpEncodeLength(STR, OFFSET) => chrChar(lengthString(STR) +Int OFFSET)_
 String STR requires lengthString(STR) Int 56
                                                                     (continues on next page)
```

15.2 Decoding

- #rlpDecode RLP decodes a single String into a JSON.
- #rlpDecodeList RLP decodes a single String into a JSONList, interpereting the string as the RLP encoding of a list.

```
syntax JSON ::= #rlpDecode(String)
                                                [function]
               | #rlpDecode(String, LengthPrefix) [function, klabel(#rlpDecodeAux)]
   rule #rlpDecode(STR) => #rlpDecode(STR, #decodeLengthPrefix(STR, 0))
   rule #rlpDecode(STR, #str(LEN, POS)) => substrString(STR, POS, POS HInt LEN)
   rule #rlpDecode(STR, #list(LEN, POS)) => [#rlpDecodeList(STR, POS)]
   syntax JSONList ::= #rlpDecodeList(String, Int)
               | #rlpDecodeList(String, Int, LengthPrefix) [function, klabel(
→#rlpDecodeListAux)]
   rule #rlpDecodeList(STR, POS) => #rlpDecodeList(STR, POS, #decodeLengthPrefix(STR,
→ POS)) requires POS <Int lengthString(STR)
   rule #rlpDecodeList(STR, POS) => .JSONList [owise]
   rule #rlpDecodeList(STR, POS, _:LengthPrefixType(L, P)) =>
→#rlpDecode(substrString(STR, POS, L HInt P)) , #rlpDecodeList(STR, L HInt P)
   syntax LengthPrefixType ::= "#str" | "#list"
   syntax LengthPrefix ::= LengthPrefixType "(" Int "," Int ")"
                       | #decodeLengthPrefix ( String , Int )
           [function]
                        | #decodeLengthPrefix ( String , Int , Int )
           [function, klabel(#decodeLengthPrefixAux)]
                       | #decodeLengthPrefixLength ( LengthPrefixType , String , ...
→Int , Int ) [function]
                       | #decodeLengthPrefixLength ( LengthPrefixType , Int
→Int , Int ) [function, klabel(#decodeLengthPrefixLengthAux)]
   rule #decodeLengthPrefix(STR, START) => #decodeLengthPrefix(STR, START,_
→ordChar(substrString(STR, START, START #Int 1)))
   rule #decodeLengthPrefix(STR, START, B0) => #str(1, START)
    requires B0 <Int 128
   requires B0 =Int 128 andBool B0 Int (128 +Int 56)
  rule #decodeLengthPrefix(STR, START, B0) => #decodeLengthPrefixLength(#str, STR,
→START, B0) requires B0 =Int (128 HInt 56) andBool B0 Int 192
  rule #decodeLengthPrefix(STR, START, B0) => #list(B0 | Int 192, START | Int 1)
           requires B0 = Int 192 andBool B0 Int 192 Int 56
                                                                    (continues on next page)
```

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ANALYSIS TOOLS

Here, we define analysis tools specific to EVM. These tools are defined as extensions of the semantics, utilizing the underlying machinery to do execution. One benefit of K is that we do not have to re-specify properties about the operational behavior in our analysis tools; instead we can take the operational behavior directly.

```
requires "evm.k"

module EVM—ANALYSIS
imports EVM
```

16.1 Gas Analysis

Gas analysis tools will help in determining how much gas to call a contract with given a specific input. This can be used to ensure that computation will finish without throwing an exception and rolling back state. Here we provide a simplistic gas analysis tool (which just returns an approximation of the gas used for each basic block). This tool should be extended to take advantage of the symbolic execution engine so that we can provide proper bounds on the gas used.

• The mode GASANALYZE performs gas analysis of the program instead of executing normally.

```
syntax Mode ::= "GASANALYZE" [klabel(GASANALYZE)]
```

We'll need to make summaries of the state which collect information about how much gas has been used.

- #beginSummary appends a new (unfinished) summary entry in the analysis cell under the key "gasAnalyze".
- #endSummary looks for an unfinished summary entry by the key "gasAnalyze" and performs the substraction necessary to state how much gas has been used since the corresponding #beginSummary.

(continues on next page)

• In GASANALYZE mode, summaries of the state are taken at each #gasBreaks opcode, otherwise execution is as in NORMAL.

```
rule <mode> GASANALYZE </mode>
       <k> #next => #setMode NORMAL ~> #execTo #gasBreaks ~> #setMode GASANALYZE ...
</k>
       <pc> PCOUNT </pc>
       program> ... PCOUNT |-> OP ... 
    requires notBool (OP in #gasBreaks)
  rule <mode> GASANALYZE </mode>
       →#beginSummary ~> #next ... </k>
       <pc> PCOUNT </pc>
       program> ... PCOUNT |-> OP ... 
    requires OP in #gasBreaks
   syntax Set ::= "#gasBreaks" [function]
   rule #gasBreaks => (SetItem(JUMP) SetItem(JUMPI) SetItem(JUMPDEST))
   syntax InternalOp ::= "#setPC" Int
                   | "#setGas" Int
   rule <k> #setPC PCOUNT => ... </k> <pc> _ => PCOUNT </pc>
   rule <k> #setGas GAVAIL => ... </k> <gas> _ => GAVAIL </gas>
```

 #gasAnalyze analyzes the gas of a chunk of code by setting up the analysis state appropriately and then setting the mode to GASANALYZE.

SEVENTEEN

CRYPTOGRAPHIC PRIMITIVES

Here we implement the various cryptographic primitives needed for KEVM.

```
module KRYPTO
imports STRING—SYNTAX
imports INT—SYNTAX
imports LIST
```

- Keccak256 takes a string and returns a 64-character hex-encoded string of the 32-byte keccak256 hash of the string.
- Sha256 takes a String and returns a 64-character hex-encoded string of the 32-byte SHA2-256 hash of the string.
- RipEmd160 takes a String and returns a 40-character hex-encoded string of the 20-byte RIPEMD160 hash of the string.
- ECDSARecover takes a 32-character byte string of a message, v, r, s of the signed message and returns the 64-character public key used to sign the message. See this StackOverflow post for some information about v, r, and s.

The BN128 elliptic curve is defined over 2-dimensional points over the fields of zero- and first-degree polynomials modulo a large prime. (x, y) is a point on G1, whereas (x1 x x2, y1 x y2) is a point on G2, in which x1 and y1 are zero-degree coefficients and x2 and y2 are first-degree coefficients. In each case, (0, 0) is used to represent the point at infinity.

- BN128Add adds two points in G1 together,
- BN128Mul multiplies a point in G1 by a scalar.
- BN128AtePairing accepts a list of points in G1 and a list of points in G2 and returns whether the sum of the product of the discrete logarithm of the G1 points multiplied by the discrete logarithm of the G2 points is equal to zero.
- isValidPoint takes a point in either G1 or G2 and validates that it actually falls on the respective elliptic curve.

EIGHTEEN

EVM DESIGN ISSUES

The EVM was the first successful general-purpose distributed programmable blockchain platform, but that doesn't make it without fault. There are several issues with both the specification of the EVM (in the Yellow Paper), and with the general design of the EVM. Most of these issues are written from the perspective of someone trying to do formal verification of the EVM.

18.1 Issues with description of EVM

These can be ambiguities/confusing wording in the Yellow Paper.

- In section 9.4.2, exceptions are described as if they are all catchable before an opcode is executed. While you may be able to implement EVM in this way, it's not clear that it's best (you have to duplicate computation), and we also are pretty sure no implementation even does this (including the C++ one). Instead they throw exceptions when they happen and roll-back the state (which is what you would expect to happen). Our original implementation tried to do it the way the Yellow Paper described, and it made everything harder/slower.
- Again in section 9.4.2, it specifies that "these are the only ways exceptions can happen when executing". This
 doesn't help with building implementations, because there is at least one other case that isn't described. For
 example, if the memory is overflown, then the existing semantics doesn't do anything. Should it throw an
 exception?
- Some operators which access data of other accounts don't specify explicitly what to do if the other account doesn't exist. EXTCODESIZE and EXTCODECOPY examples, though strangely enough BALANCE does specify what to do. We think the community has reached agreement on this though, "non-existing accounts are empty accounts" or something along those lines.
- What about contracts that have "junk bytes" in them? We've seen a contract with "junk bytes", and use cases of contracts with junk bytes do exist. For example, if you want to use some large chunk of data to be used in your contract but don't want to perform a sequences of PUSH, CODECOPY can be used to move the junk bytes into memory.
- The description in Appendix H of the CALLCODE instruction describes it as like CALL except for the fourth argument to the Theta function. However, it does not mention that this change from Mu_s[1] to I_a also applies to the specification of C_NEW.
- The description in Appendix H of the DELEGATECALL instruction describes the gas provided to the caller as equal to Mu_s[0]. However, this is clearly not the correct behavior, since Mu_s[0] is a user-provided value, and the user could set it equal to 2^256 1, leading to the user having an infinite amount of gas. It's clear from the test suite that the intended behavior is to use Ccallgas but with the value for the value transfer equal to 0. It also describes the exceptional condition of not enough balance in terms of I_v, but in fact no value transfer occurs so this condition should never occur.

18.2 Issues with design of EVM

More broadly, many features of the EVM seem to be poorly designed. These can be issues from simple "why did they do it that way?" to "this makes doing formal reasoning about EVM harder".

- Precompiled contracts: Why are there 4 precompiled contracts? Calls into address 1 4 result in a "precompiled" contract being called (most of them some sort of cryptographic function). There are plenty of opcodes free, we should just have those precompiled contracts be accessed through primitives (like how SHA3 is done). Another (albeit unlikely problem) is that of address-space collisions.
- The byte-aligned local memory makes reasoning about EVM programs much more difficult. Say, for instance, that you write two Words (256-bit) contiguously to local memory (which takes up addresses 0 64), then shift between them and read a word (say between addresses 16 48). If one of those words was symbolic, the resulting symbolic word is a mess of an expression involving the original words. Of course, in theory this is possible to reason about, but effectively this allows taking one symbolic value and turning it into 32 symbolic values. This makes symbolic execution much slower/more painful. Note also that attempting to use bit-vectors, where you have one symbolic boolean variable per bit, is currently infeasible with the existing SMT solvers like Z3; while it works with 32-bit words in some program verifiers, it is disarmingly slow with 64-bit words and we failed to prove anything with 256-bit words.
- Program representation is important in EVM (that is, you must be able to represent a program as a byte-array of opcodes). When doing program analysis/abstract verification, you ideally would be allowed to make transformations on the program representation (e.g., convert it to a control-flow graph) without having to maintain a translation back. Currently in EVM, the *CODECOPY opcodes allow regarding program pieces as data, meaning that a translation back must always be maintained, because using CREATE with DELEGATECALL allows executing arbitrary code. For this reason, we had to build a parser/unparser and an assembler/dissasembler into our semantics. Putting a symbolic value through the process of disassembling -> unparsing loses a lot of semantic information about the original value. While self-modifying code is nice and powerful in principle, we are not aware of any programming languages for the blockchain that encourage or even allow that.
- In section H.2, the Yellow Paper states "All arithmetic is modulo 2^256 unless otherwise noted." Reasoning "modulo" is very complex with the current SMT provers and it was indeed a, if not the most major difficulty in our EVM verification efforts. Additionally, the programs (smart contracts) we verified turned out to be wrong, in the sense that they showed unintended behavior, in the presence of arithmetic overflows anyway. That is, arithmetic overflows were not expected to happen by the developers, so adding code to deal with the "modulo 2^256" behavior in case of arithmetic overflow was not even considered. In such situations, it would be a lot better to simply throw an exception when arithmetic overflow occurs, thus indicating that something bad happened, than to default to "modulo 2^256" and ending up with a program computing wrong values. We conjecture that words of 256 bits should be long enough for the current smart contract needs to afford to abruptly terminate computations when the limit is reached.

18.3 Recommendations for the Future

In addition to the above mentioned issues, there are several things that could be improved about EVM in general as a distributed computation language. Here we mention some.

18.3.1 Deterministic vs. Nondeterministic (and Proof of Work and Scalability)

Because EVM is deterministic, it takes as long to verify a computation as it takes to run a computation. In both cases, the entire program must be executed; there is no choice about what the next step to take is.

In a nondeterministic language, execution is finding one execution path among many which "solves" the program. For example, any logical language where there are several possible next inference steps is nondeterministic (eg. Prolog,

Maude, K, Coq). However, once a solution is found, presenting it is telling which choices were made at each nondeterministic step; verifying it is following that same sequence of steps. If at each step there are a choice from M inference rules, and it takes n steps to reach a solution, then the speedup in verifying is M^n.

One of the goals in a consensus-driven distributed store is scalability, which means as more resources are added to the network the network gets stronger. Using a deterministic language means that we lose at least one dimension of this scalability; everyone verifying the state of the world must do as much work as it took to compute the state of the world. Even many functional languages, by having evaluation strategies settled ahead of time, are deterministic (though they may have elegant ways of encoding nondeterministic systems).

On the other hand, what secures many of these blockchain-consensus systems is proof of work. Proof of work is the ultimate non-deterministic programming language; the programs are the blocks (before adding the nonce), and the solutions are the nonce added to the blocks so that it hashes low enough. When using a nonce of size 2^N , there are exactly $M = 2^N$ next "inference steps", and they all must be searched uniformly to find a solution. If instead the underlying programming language had some nondeterminism, some of the proof of work could be done *just by executing the transactions going into the block*. Perhaps the two can be used to augment each other, allowing for some of the proof of work to be provided via finding a solution to the program and the rest via hashing.

If such a system were implemented, it may be important to incentivize miners to supply solutions to programs/proofs on the blockchain. Perhaps a system where the time between when a specification/theorem is submitted to the blockchain and when it is solved determines the reward for the computation could be used. Natural incentive to place proofs of theorems on the blockchain would be provided in the form of the reward; this means it's against the miners interests to ignore transactions. The hard part is incentivizing placing theorems on the blockchain early (as it may be advantageous to hoard theorems so that you can submit solutions early to collect the reward).

18.3.2 Termination

The gas mechanic in EVM is designed to ensure that every program terminates so that users can't DOS the miners by submitting infinite computations. However, there is no such guarantee that the proof of work computation done by miners terminates; there may be no combination of ordering of transactions and a nonce that yields a solution (though this is incredibly improbable). Instead, we can leave it up to the miner to decide if pursuing a computation is worth the time lost in the pursuit. Indeed, this directly increases the amount of work possible behind a proof of work, as much more useless work has been added to the system (via computations that don't terminate).

In many sufficiently powerful nondeterministic languages, there will be plenty of execution search paths which do not terminate. However, automated provers (execution engines) for these languages don't throw up their hands, instead they design better search tactics for the language. It's not clear that leaving the burden of which transactions to attempt to the miner is entirely bad, especially when coupled with a system which rewards more for longer-standing transactions.

The problem with this, it turns out, is not that users may DOS miners, but that miners may DOS other miners (by presenting blocks that they purport terminate).

18.3.3 Language Independence

Language independence is difficult to achieve in a distributed system because everyone must agree on how programs are to be executed. Two approaches are the *language-building language* approach and the *consensus-based* approach.

In the language-building language approach, the underlying language of the blockchain is a language-building language. Thus, contracts are free to introduce new languages simply as specifications (programs) in the underlying language, and other contracts may use those languages by referring to the language definition contract. As a very simple example, if the underlying language was K, then you could submit a contract that is just a K definition giving semantics to the language you want to use in the future. Along this line, we should use a logical framework as the underlying language. Logical frameworks exhibit both non-determinism and language independence, making two improvements to EVM at the same time.

The consensus-based approach is more flexible in the interpretation of "correct" executions of programs. Essentially, everyone would vote on which execution is correct by rejecting ill-formed blocks (ill-formed here includes blocks which do not report a correct execution). This lets the definition of the underlying languages evolve out of band; major changes to the semantics would essentially require widespread network agreement or a fork. Indeed, the only thing that should be stored on the blockchain would be a hash of the program.

These two techniques could perhaps be combined.

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INDICES AND TABLES

- genindex
- modindex
- search