

Augmentations from Legendrian knots.

SU, TAO YMSC, 2021. 11. 29.

outline:

1. Chekanov-Eliashberg DGAs associated to Legendrian knots/tangles
2. Augmentations and cell decomposition.
3. Application = counting, dual boundary complexes.

1. Chekanov-Eliashberg DGAs

Setup - Contact pair (V, Λ) , V = contact manifold

Λ = Legendrian submanifold.

e.g. $V = (\mathbb{R}_{x,y,z}^3, \alpha = dz - ydx)$, $\Lambda \hookrightarrow V$ Legendrian knot.

• "open string theory in $(\mathbb{R}_t \times V, \mathbb{R}_t \times \Lambda)$ "
 \uparrow \uparrow
 symplectization Legendrian thimble

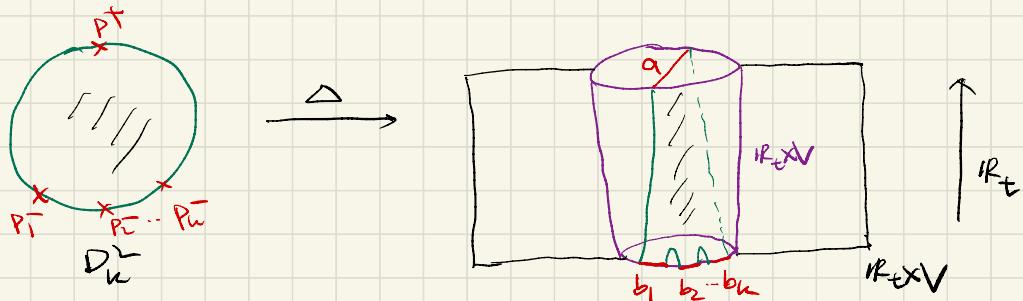
\rightsquigarrow relative contact homology (\subset SFT) =

Contact pair $(V, \Lambda) \longmapsto$ Legendrian contact homology
DGA $A(V, \Lambda)$.

$(LCH \text{ DGA or Chekanov-Eliashberg DGA})$

• $A(V, \Lambda)$ - generators = Reeb chords of Λ .

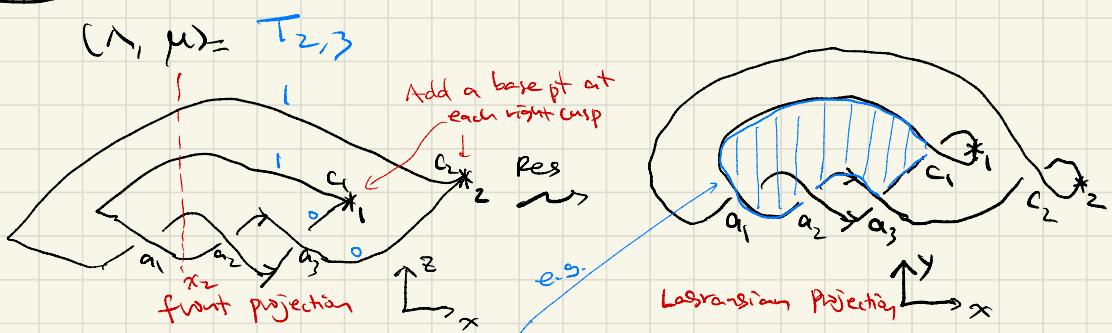
- differential ($\deg -1$) = counts certain holomorphic disks, in the symplectization $\mathbb{R}_t \times V$, with boundary along the Lagrangian cylinder $\mathbb{R}_t \times \Lambda$, and meeting the Reeb chords at infinity at some punctures.



(holomorphic disks defining the LCH DGA differential)

$$\rightsquigarrow \partial \alpha = \sum \underset{\substack{\uparrow \\ \text{weights}}}{\varepsilon} b_1 b_2 \dots b_m$$

Example:



$$\Rightarrow A(\Lambda) = \mathbb{Z}\langle t_1^{\pm 1}, t_2^{\pm 1}, a_1, a_2, a_3, c_1, c_2 \rangle, |t_i^{\pm 1}|=0, |a_i|=0, |c_i|=1.$$

$$\begin{cases} \partial c_1 = t_1^{-1} + a_1 + a_3 + a_1 a_2 a_3 & \partial t_i^{\pm 1} = 0, \partial a_i = 0. \\ \partial c_2 = t_2^{-1} + a_2 + (1+a_2 a_3) t_1 (1+a_1 a_2) \end{cases}$$

Fact: the LCH DGA $A(V, \Lambda)$ is a Legendrian isotopy invariant for $\Lambda \hookrightarrow V$, up to homotopy equivalence.

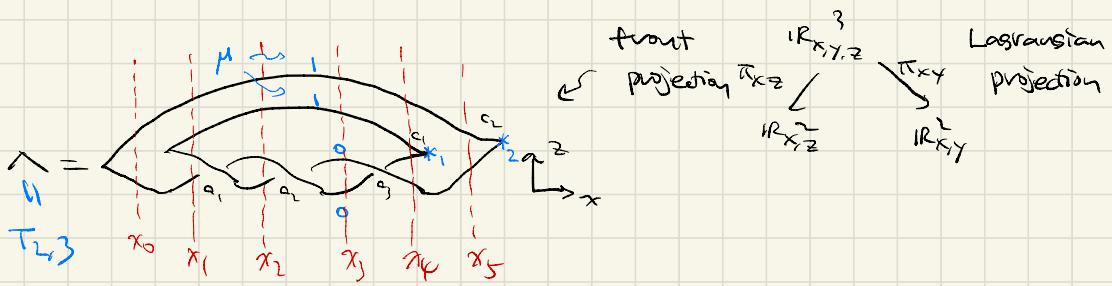
- Eliashberg, Entov, Sullivan 05: When $V = \mathbb{R} \times P$ = contactification of exact symplectic mfld $\Rightarrow A(V, \Lambda)$ well-defined

our case:

$$V = (\mathbb{R}_{x,y,z}^3, \omega = dz - y dx), \quad \Lambda \hookrightarrow V \text{ Legendrian knot.}$$

as LCH DGA $A(V, \Lambda) = \text{CF DGA } A(\Lambda)$ admits a combinatorial description:

Illustration by example:



(the right-handed Legendrian trefoil knot $T_{2,3}$).

Additional data: (Contoy-Zehnder index)

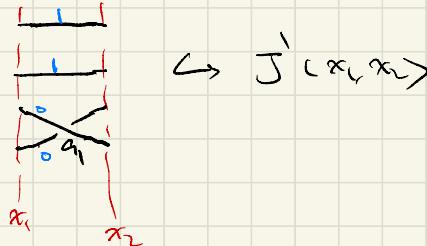
- μ = some $\mathbb{Z}/2\pi$ -valued master potential encoding the grading of the real chords of Λ ($r = \text{rotation number of } \Lambda$).
Assume for simplicity: $r = 0$.
- $*_1, \dots, *_B$ = some base points on Λ so that each right cusp is marked.

• For any open interval $(s_1, s_2) \subset \mathbb{R}_x$

\rightsquigarrow Legendrian tangle $\Lambda(s_1, s_2) := \Lambda_{\{s_1 < x < s_2\}}$.

Ex. $(s_1, s_2) = (x_1, x_2)$ (see above figure):

$$\Rightarrow \Lambda(s_1, s_2) =$$



Prop.

\exists an combinatorial assignment $\Lambda(s_1, s_2) \mapsto A(\Lambda(s_1, s_2))$

s.t.

$\sum_{\text{all oriented DAGs}}$

1) As our algebra,

$$A(\Lambda(s_1, s_2)) = \sum [t_{i_1}^{\pm 1}, \dots, t_{i_b}^{\pm 1}] \langle a_1, \dots, a_N \rangle$$

where t_{i_j} corresponds to the base point $*_{i_j}$ on $\Lambda(s_1, s_2)$,

• a_i 's correspond to the crossings, right cusps, and the pairs of left endpoints of $\Lambda(s_1, s_2)$.

(E.g. $A(\Lambda(x_1, x_2)) = \sum \langle a_1, a_{ij} : 1 \leq i, j \leq 4 \rangle$, e.g. $a_{23} = \overbrace{\quad}^{a_2} \underbrace{\quad}_{a_1} \quad$)

2) $A(\Lambda(s_1, s_2))$ is an invariant of $\Lambda(s_1, s_2)$, up to homotopy equivalence

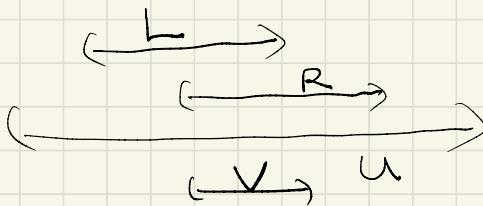
3) each inclusion $V \hookrightarrow U$ in \mathbb{R}_x induces a
constriction map (of \mathbb{Z}/π -graded DGA's):

$$l_{U,V} : A(\wedge(V)) \rightarrow A(\wedge(U))$$

4) the DGA's $A(\wedge(S_{\leq S}))'$'s satisfy a
co-het/van-Kampen property = "gluing hole disks"

$L, R \hookrightarrow U$ (open intervals in \mathbb{R}_x)

with $V = L \cap R$



$$\Rightarrow A(\wedge(V)) \xrightarrow{l_{R,V}} A(\wedge(R))$$

$$l_{V,R} \downarrow \quad \quad \quad \downarrow l_{U,R}$$

$$A(\wedge(L)) \xrightarrow{l_{U,L}} A(\wedge(U))$$

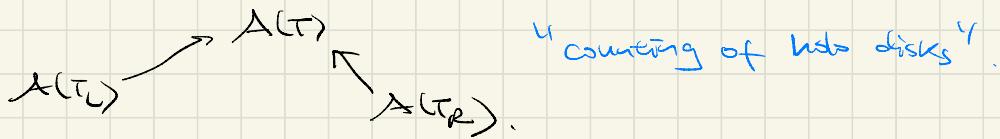
5). For each elementary Legendrian curve

$$T = \begin{array}{c} \text{[Diagram of a trefoil knot]} \\ \nearrow \searrow \end{array} = \begin{array}{c} \text{[Diagram of two parallel strands]} \\ \parallel \end{array} \quad (\text{parallel strands}), \quad \begin{array}{c} \text{[Diagram of a single crossing]} \\ \diagup \diagdown \end{array} \quad (\text{a single crossing}),$$

$$T_L = \begin{array}{c} \text{[Diagram of a cusp]} \\ \nearrow \end{array} \quad T_R = \begin{array}{c} \text{[Diagram of a cusp]} \\ \searrow \end{array}$$

$$\begin{array}{c} \text{[Diagram of a single cusp]} \\ \diagup \diagdown \end{array} \quad (\text{a single cusp}), \quad \begin{array}{c} \text{[Diagram of a marked right cusp]} \\ \diagup \diagdown \ast \end{array} \quad (\text{a single (marked) right cusp})$$

\rightsquigarrow have explicit combinatorial description of



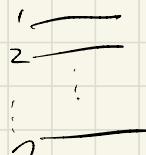
6) $\Lambda(\lambda(-\infty, \infty)) = \Lambda(\nu)$ is the Chekanov-Ginzburg

DGA associated to λ .

(global co-section of a constructible \mathcal{O} -sheaf of $\mathbb{Z}/2r$ -graded DGA's).

Example:

①. $(\tau, \mu) = n$ parallel strands

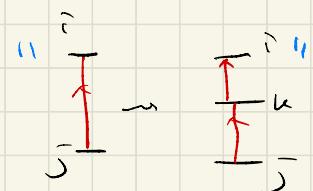


$\Rightarrow \Lambda(\tau_L) \xrightarrow{id} \Lambda(\tau) \xleftarrow{id} \Lambda(\tau_R)$

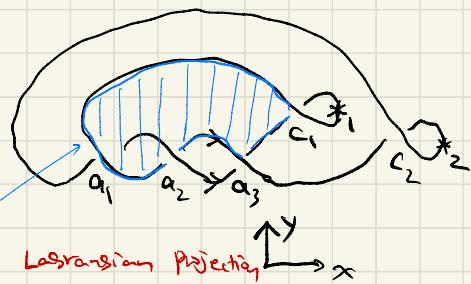
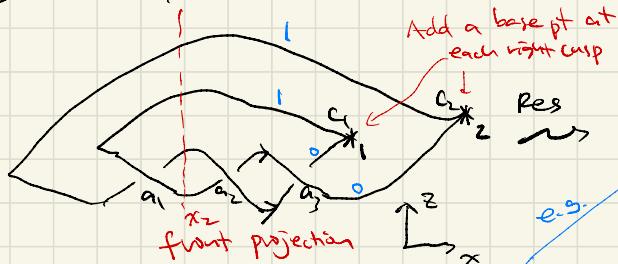
& $\Lambda(\tau) = \mathbb{Z} < a_{ij} : 1 \leq i, j \leq n >$, $a_{ij} = \sum_{j=i}^n$

grading: $[a_{ij}] = \mu(i) - \mu(j) - 1$.

difference: $\partial a_{ij} = \sum_{i < k}^{(a_{ik})^{\text{width}}} a_{ik} a_{kj}$,



$$②. (\Lambda, \mu) = T_{2,3}$$

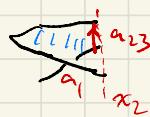
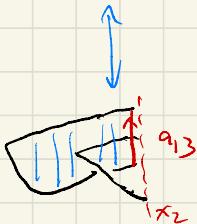


$$\Rightarrow A(\Lambda) = \mathbb{Z} \langle t_1^{\pm 1}, t_2^{\pm 1}, a_1, a_2, a_3, c_1, c_2 \rangle, |t_i^{\pm 1}|=0, |a_i|=0, |c_i|=1.$$

$\uparrow \quad \partial c_1 = t_1^{-1} + a_1 + a_3 + a_1 a_2 a_3 \quad \partial t_i^{\pm 1} = 0, \partial a_i = 0.$
 $\downarrow \quad \partial c_2 = t_2^{-1} + a_2 + (1+a_2 a_3) t_1 (1+a_1 a_2)$

$$\bullet A(\Lambda(x_1, x_2, x_3)) = \mathbb{Z} \langle a_{ij} : 1 \leq i, j \leq 4 \rangle, \quad a_{23} = \begin{matrix} 1 & 1 \\ 2 & 1 \\ 3 & 0 \\ 4 & 0 \end{matrix} \quad \text{etc.}$$

$$\llcorner(a_{13}) = 1, \quad \llcorner(a_{23}) = a_1, \quad \llcorner(a_{24}) = 1, \quad \llcorner(a_{ij}) = 0, \text{ else.}$$



$$\text{e.g.: } \begin{matrix} 1 & 1 \\ 2 & 1 \\ 3 & 0 \\ 4 & 0 \end{matrix} \quad x_2 \quad x_1 \leq$$

2. Augmentations

Given any Legendrian knot/link (Λ, μ) ,

↪ open interval $(s_1, s_2) \rightsquigarrow$ Legendrian tangle

$$\begin{array}{ccc} \Lambda(s_1, s_2) & & \\ \curvearrowleft & & \curvearrowup \\ \Lambda(s_1, s_1+\varepsilon) & & \Lambda(s_2-\varepsilon, s_2) \\ & & (0 < \varepsilon \ll 1) \end{array}$$

\rightsquigarrow $\mathbb{Z}/2r$ -graded DGAs.

$$\begin{array}{ccccc} & A(\Lambda(s_1, s_2)) & & & \\ \nearrow & & \searrow & & \\ A(\Lambda(s_1, s_1+\varepsilon)) & & & & A(\Lambda(s_2-\varepsilon, s_2)) \end{array}$$

Defn: the ($\mathbb{Z}/2r$ -graded) augmentation variety

of a $\mathbb{Z}/2r$ -graded DGA A is

$$\text{Aug}(A; k) := \left\{ \Sigma: A \rightarrow (k, 0) \text{ } \mathbb{Z}/2r\text{-graded DGA maps} \right\}$$

\uparrow fixed base field \uparrow trivial $\mathbb{Z}/2r$ -graded DGA (i.e.
with zero differential)

\nearrow affine

\rightsquigarrow

$$\begin{array}{ccc} \text{Aug}(\Lambda(s_1, s_2)) & & \\ \downarrow & & \downarrow \\ \text{Aug}(\Lambda(s_1, s_1+\varepsilon)) & & \text{Aug}(\Lambda(s_2-\varepsilon, s_2)) \end{array}$$

Example .

①: $(\tau, \mu) = n$ parallel strands.

• Fix $C(\tau) := \bigoplus_{i=1}^n k e_i$, $e_i \leftrightarrow \text{---}$ in (τ, μ) .

$$|e_i| = -\mu_i \pmod{2r}$$

• Filtration F^\cdot on $C(\tau)$:

$F^i C(\tau) := \bigoplus_{i < j \leq n} k e_j$, $0 \leq i \leq n$.

$\rightsquigarrow (C(\tau), F^\cdot)$ is a $\mathbb{Z}/2r$ -graded filtered free k -module.

• Define a map:

$$\begin{array}{ccc} \text{Aut}(\tau, \mu) & \xrightarrow{d} & \text{End}^+(\langle C(\tau), F^\cdot \rangle) \\ \Sigma & \longmapsto & d(\Sigma) \end{array}$$

$$\text{by } d(\Sigma) e_i = \sum_{j > i} (-1)^{\mu_i} \Sigma^{(a_{ij})} e_j.$$

Fact: 1) $\text{Aut}(\tau, \mu) \xrightarrow{\cong} \{d \in \text{End}^+(\langle C(\tau), F^\cdot \rangle), d^2 = 0\}$

\Downarrow

$\{ (C(\tau), d) : \mathbb{Z}/2r\text{-graded filtered}\}$

complex of free k -modules

("Borel group")

2) $B_{2r}(\tau) := \text{Aut}(\langle C(\tau), F^\cdot \rangle) \curvearrowright \{d \in \text{End}^+(\langle C(\tau), F^\cdot \rangle) : d^2 = 0\}$

via conjugation with finitely many orbits.

Defn: $\Sigma \in \text{Aug}(\tau, \mu)$ is **acyclic**, if -

$H^k((\tau), d(\zeta)) \cong 0$, i.e. the complex $((\tau), d(\zeta))$ is acyclic.

Notation: $\text{Aug}^a(\tau, \mu) := \{\Sigma \in \text{Aug}(\tau, \mu) \text{ acyclic}\}$.

$$\textcircled{2}: (\Lambda, \mu) = T_{2,3}$$

$$\Rightarrow \text{Aug}(T_{2,3}; k) \cong \left\{ \Sigma(t_1, a_1, a_2, a_3) \in k^{4 \times 4} \mid \sum(t_1) + \sum(a_1) + \sum(a_2) + \sum(a_3) \cdot \sum(a_1) \cdot \sum(a_2) \cdot \sum(a_3) = 0 \right\}$$

$$\begin{array}{c} \Sigma \\ \downarrow \quad \uparrow \\ \cup \Sigma \end{array}$$

$$\text{Aug}^a(T_{2,3}(x_1, x_2 + \varepsilon); k) = \left\{ \det \Sigma \begin{pmatrix} a_{13} & a_{14} \\ a_{23} & a_{24} \end{pmatrix} \neq 0 \right\}$$

$$\text{Aug}(T_{2,3}(x_1, x_2 + \varepsilon); k) = \left\{ \Sigma(a_{13}, a_{14}, a_{23}, a_{24}) \in k^4 \right\} \cong k^4$$

$$(\star \Sigma)(a_{13}) = 1, (\star \Sigma)(a_{14}) = 0$$

$$(\star \Sigma)(a_{23}) = \varepsilon(a_1), (\star \Sigma)(a_{24}) = 1.$$

$B_{2,3}(\varepsilon)$ -orbits

Can compute:

$$\text{Aug}^a(T_{2,3}(x_1, x_2 + \varepsilon); k) = \left\{ \Sigma(a_{23}) \neq 0 \right\} \cup \left\{ \Sigma(a_{23}) = 0 \right\}$$

$$\begin{array}{ccc} \uparrow & \downarrow & \downarrow \\ B_{2,3}(T_{2,3}(x_1, x_2 + \varepsilon)) & (k^*)^2 \times k^2 & (k^*)^2 \times k \end{array}$$

Cell decomposition for augmentation varieties

(Λ, μ) = Legendrian knot front projection

Let $x_0 < x_1 < \dots < x_N$ be the generic x -coordinates separating the singularities (crossings, cusps) of $\pi_{\mathbb{R}}(\Lambda)$.

$$\rightsquigarrow \Lambda(x_i, x_i + \varepsilon) \hookrightarrow (\Lambda, \mu).$$

$$\rightsquigarrow \text{Aug}^{\text{cr}}(\Lambda(x_i, x_i + \varepsilon); k) \xleftarrow[\text{if } i]{\quad} \text{Aug}(\Lambda, \mu); k$$

$$\begin{matrix} \text{Aug}^{P, a}(\Lambda(x_i, x_i + \varepsilon); k) \\ \text{finitely many } B_{2r}(\Lambda(x_i, x_i + \varepsilon); k)-\text{charts} \end{matrix}$$

$$\rightsquigarrow \text{Aug}^{\text{cr}}(\Lambda, \mu); k = \bigsqcup_{P \in \text{NR}(\Lambda, \mu)} \text{Aug}^P(\Lambda, \mu); k \quad \text{if}$$

\Rightarrow cell decomposition

$$\cap_{0 \leq i \leq N} (U_i^*)^{-1} (\text{Aug}^{P, a}(\Lambda(x_i, x_i + \varepsilon); k))$$

Theorem:

\exists a natural decomposition by locally closed subvarieties

$$\text{Aug}(\Lambda, \mu); k = \bigsqcup_{P \in \text{NR}(\Lambda, \mu)} \text{Aug}^P(\Lambda, \mu); k$$

$$\text{with } \text{Aug}^P(\Lambda, \mu); k \cong (k^*)^{a(P)} \times k^{b(P)}.$$

Idea of proof:

coherency property for $C \in \text{DGAs} \Rightarrow$ sheaf property for
 $\Lambda(\Lambda(S, S)) \quad \text{Aug}(\Lambda(S, S); k)$.

3. Applications

① Count augmentations:

augmentation number.

Theorem:

L

$$\cdot R = \text{Aug} \Rightarrow q^{-\dim_{\mathbb{F}_q} \text{Aug}((\lambda, \mu); \mathbb{F}_q)} | \text{Aug}((\lambda, \mu); \mathbb{F}_q) | \in \mathbb{Z}[q^{\pm 1}]$$

is a Legendrian isotopy invariant, and:

$$q^{-\dim_{\mathbb{F}_q} \text{Aug}((\lambda, \mu); \mathbb{F}_q)} | \text{Aug}((\lambda, \mu); \mathbb{F}_q) | = q^{\frac{-d+B}{2}} z^B R_{(\lambda, \mu)}(z).$$

where $R_{(\lambda, \mu)}(z) \in \mathbb{Z}[z^{\pm 1}]$ is the ruling polynomial
 (a state-sum invariant of (λ, μ)), $z = q^{\frac{1}{2}} - q^{-\frac{1}{2}}$.

Remark: N. Katz's theorem \Rightarrow the E-polynomial of

$\text{Aug}((\lambda, \mu); \mathbb{F}_q)$ is:

$$E_c(\text{Aug}((\lambda, \mu); \mathbb{F}_q); x, y) = | \text{Aug}((\lambda, \mu); \mathbb{F}_q) |_{q=x, y}.$$

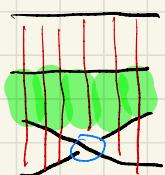
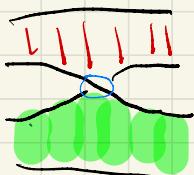
\rightsquigarrow get formula for the E-polynomial.

Ruling polynomials:

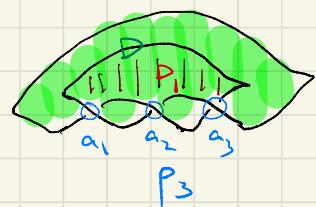
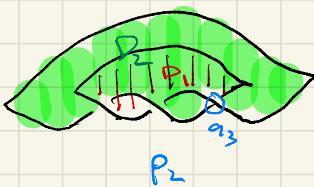
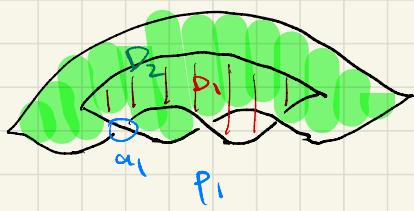
Defn.: A normal ruling P of (λ, μ) is a decomposition
 of the front diagram $\text{Tr}_{xz}(\lambda)$ into the boundaries of
 disks in \mathbb{R}_{xz}^2 satisfying a normal condition.

an switch of a normal ruling P is a crossing of deg 0
 that appears as a corner in the boundary of some disk of P .

Normal condition: admissible disks near or switch.



E.g.: $(\lambda, \mu) = T_{2,3} \rightsquigarrow 3$ normal rulings p_1, p_2, p_3 .



Defn.:

- $p \in NR(\lambda, \mu)$ normal ruling \rightsquigarrow

$$x(p) := \#(\text{disks}) - \#(\text{switches})$$

- the ruling polynomial of (λ, μ) is:

$$R_{(\lambda, \mu)}(z) := \sum_{p \in NR(\lambda, \mu)} z^{-x(p)} \in \mathbb{Z}[z^{\pm 1}]$$

\rightsquigarrow Legendrian isotopy inv.

Example: $(\lambda, \mu) = T_{2,3} \Rightarrow$

$$R_{T_{2,3}}(z) = z^{-x(p_1)} + z^{-x(p_2)} + z^{-x(p_3)} = 2z^{-1} + z$$

$$\Rightarrow d := \max_z \deg_z (R_{(\lambda, \mu)}(z)) = 1.$$

on the other hand,

$$\text{Aug}(\Gamma_{2,3}; k) = \left\{ \Sigma(t_1, a_1, a_2, a_3) \in k^* \times k^3 \mid \Sigma(t_1)^{-1} + \Sigma(a_1) + \Sigma(a_2) + \Sigma(a_1 a_2 a_3) = 0 \right\}$$

$$= \bigsqcup \text{Aug}^P(\Gamma_{2,3}; k)$$

Cell decomposition $P_{\text{GENRL}}(\Gamma_{2,3})$

$\downarrow P_3$

$$= \left\{ \Sigma(a_1) \neq 0, 1 + \Sigma(a_1, a_2) \neq 0 \right\} \cong (k^*)^2$$

$\downarrow P_1$

$$\bigsqcup \left\{ \Sigma(a_1) \neq 0, 1 + \Sigma(a_1, a_2) = 0 \right\} \cong k^* \times k$$

$\downarrow P_2$

$$\bigsqcup \left\{ \Sigma(a_1) = 0, 1 + \Sigma(a_1, a_2) \neq 0 \right\} \cong k^* \times k$$

$$\Rightarrow q^{-\dim} |\text{Aug}(\Gamma_{2,3}; \mathbb{F}_p)| = q^3 (2(8-1)q + (8-1)^3)$$

$$= q^{-\frac{3}{2}} z z + q^{-\frac{3}{2}} z^3$$

$$= q^{-\frac{1+2}{2}} z^2 R_{\Gamma_{2,3}}(z) \quad (d=1, B=2) \quad \checkmark$$

(2) dual boundary complexes of Betti moduli spaces.

$\beta = n$ -strand positive braid so that $\Lambda = \beta^\vee$ is connected.

$$\text{e.g. } n=2, \beta = \sigma_1^3 = \boxed{\text{xxx}} \Rightarrow$$



with standard Master potential μ

Theorem ($\S 2_1$): $\Lambda = \beta^>$ connected \Rightarrow

1) \exists natural action of $G_m^n \curvearrowright \text{Aug}(\beta^>; k)$

& $\text{Spec } \mathcal{O}(\text{Aug}(\beta^>; k))^{G_m^n} \cong M_1(\beta^>) \quad (\text{say } k = \mathbb{F})$

is an irregular Betti moduli space / wild character variety

on \mathbb{A}^n with one irregular singularity at ∞ .

2) $M_1(\beta^>)$ is smooth, connected affine algebraic variety

3) The G_m^n -action respects the cell decomposition

for $\text{Aug}(\beta^>; k) \rightsquigarrow$ cell decomposition for

$$M_1(\beta^>) = \bigsqcup_{P \in \text{NR}(\beta^>)} M_1^P(\beta^>), \quad M_1^P(\beta^>) \cong (\mathbb{F}^*)^{s(\beta)-n+1} \times \mathbb{F}^{r(\beta)}$$

4) The homotopy type conjecture for $M_1(\beta^>)$ holds:

\exists homotopy equivalence $\text{Wd}M_1(\beta^>) \cong S^{d-1}$,

where $d = \dim_{\mathbb{F}} M_1(\beta^>)$. dual boundary complex.

E.g.: $\beta = \begin{smallmatrix} & & \\ & \nearrow & \searrow \\ \nearrow & & \searrow \end{smallmatrix} \Rightarrow \Lambda = \beta^> = \tau_{2,3}$

$$\Rightarrow G_m^2 \curvearrowright \text{Aug}(\tau_{2,3}; \mathbb{F}) = \left\{ \Sigma(t_i, a_1, a_2, a_3) \in \mathbb{F}^4 \times \mathbb{F}^3 \mid \right.$$

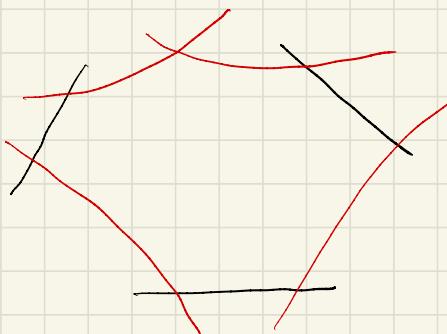
$$\downarrow \\ \vec{\lambda} = (\lambda_1, \lambda_2)$$

$$\left. \Sigma(t_i) + \Sigma(a_1) + \Sigma(a_2) + \Sigma(a_1 a_2 a_3) = 0 \right\}$$

$$\vec{\lambda} \cdot \Sigma(t_i, a_1, a_2, a_3) = (\lambda_1^i \Sigma(t_i) \lambda_1, \lambda_1^i \Sigma(a_1) \lambda_2, \lambda_2^i \Sigma(a_2) \lambda_1, \lambda_2^i \Sigma(a_3) \lambda_2).$$

$$\Rightarrow M_1(\beta^2) \cong \text{Spec } \cup (\text{Aus}(\beta^2; \mathfrak{g}))^{\text{dim}} \cong \{ 1 + \varepsilon(a_1) + \varepsilon(a_2) + \varepsilon(a_1, a_2, a_3) = 0 \}, \text{ dim} = 2$$

Fact: $M_1(\beta^2)$ admits a log compactification $\overline{M_1(\beta^2)}$
with boundary divisor $\partial M_1(\beta^2) =$



\Rightarrow dual intersection complex
 $\partial M_1(\beta^2)$
 II



$\simeq S^1$

□