

## *Introduction to Data Management*



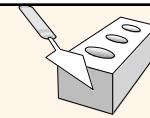
### *Lecture #7 (Relational Design Theory)*

Instructor: Mike Carey  
mjcarey@ics.uci.edu



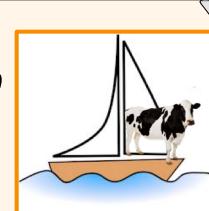
## *Announcements*

- ❖ Thank you, Shiva, for covering last week!
- ❖ HW#2 is underway...! (From *HW #1 solution*)
  - Use your best judgement on data types and NULLs
  - Capture all the capturable ICs (PK/FK/UNIQUE)
- ❖ A few logistical notes (office hours, discussions)
  - ~450 ≈ Hybrid F2F/online (Piazza) class (☺)
  - Definitely bring your questions to discussion section meetings (including the just-finished HW solution)
- ❖ Today's plan:
  - Relational DB design theory
  - *Disclaimer:* Not the most exciting part of CS122A... ☺



## *So, Given a Relational Schema...*

- ❖ How do I know if my relational schema is a “good” logical database design or not?
  - What might make it “not good”?
  - How can I fix it, if indeed it’s “not good”?
  - How “good” is it, after I’ve fixed it?
- ❖ Note that your relational schema might have come from one of several places
  - You started from an E-R model (but maybe that model was “wrong” or incomplete in some way?)
  - You went straight to relational in the first place
  - It’s not your schema – you inherited it! ☺



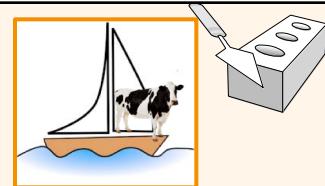
## *Ex: Wisconsin Sailing Club*

Proposed bad schema design:

sid	sname	rating	age	date	bid	bname	color
22	Dustin	7	45.0	10/10/98	101	Interlake	blue
22	Dustin	7	45.0	10/10/98	102	Interlake	red
22	Dustin	7	45.0	10/8/98	103	Clipper	green
22	Dustin	7	45.0	10/7/98	104	Marine	red
31	Lubber	8	55.5	11/10/98	102	Interlake	red
31	Lubber	8	55.5	11/6/98	103	Clipper	green
31	Lubber	8	55.5	11/12/98	104	Marine	red
...	...	...	...	...	...	...	...

**Review:** Bad design due to redundancy and its problems.

## Ex: Wisconsin Sailing Club

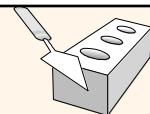


Proposed good schema design:

sid	sname	rating	age	sid	bid	date	bid	bname	color
22	Dustin	7		22	101	10/10/98	101	Interlake	blue
31	Lubber	8		22	102	10/10/98	102	Interlake	red
...	...	...		22	103	10/8/98	103	Clipper	green
				22	104	10/7/98	104	Marine	red
				31	102	11/10/98			
				31	103	11/6/98			
				31	104	11/12/98			
				...	...	...			

**Review:** Good design due to elimination of redundancy.

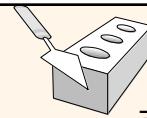
## The Evils of Redundancy (or: The Evils of Redundancy)



- ❖ **Redundancy** is at the root of several problems associated with relational schemas:
  - Redundant storage (space)
  - Insert/delete/update anomalies

*A good rule to follow:  
“One fact, one place!”*
- ❖ **Functional dependencies** can help in identifying problem schemas and suggesting refinements.
- ❖ Main refinement technique: **decomposition**, e.g., replace R(ABCD) with R1(AB) + R2(BCD)
- ❖ Decomposition should be used judiciously: 明智的
  - Is there reason to decompose a relation?
  - Does the decomposition cause any problems?

## Functional Dependencies (FDs)



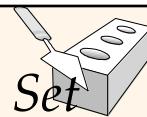
可以多指向一  
可以一指向一  
不可以一指向多

- ❖ A functional dependency  $X \rightarrow Y$  holds over relation  $R$  if, for every allowable instance  $r$  of  $R$ :
  - For  $t_1$  and  $t_2$  in  $r$ ,  $t_1.X = t_2.X$  implies  $t_1.Y = t_2.Y$
  - I.e., given two tuples in  $r$ , if the  $X$  values agree, then their  $Y$  values must also agree. ( $X$  and  $Y$  can be sets of attributes.)
- ❖ An FD is a statement about *all* allowable relations.
  - Identified based on *application semantics* (similar to E-R).
  - Given some instance  $r_1$  of  $R$ , we can check to see if it violates some FD  $f$ , but we cannot tell if  $f$  holds over  $R$ !
- ❖ Saying  $K$  is a candidate key for  $R$  means that  $K \rightarrow R$ 
  - Note:  $K \rightarrow R$  alone does not require  $K$  to be *minimal*! If  $K$  is minimal, then  $K$  is a candidate key (else it's a super key).

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7

## Example: Constraints on an Entity Set



- ❖ Suppose you're given a relation called HourlyEmps:
  - HourlyEmps (ssn, name, lot, rating, hrly\_wages, hrs\_worked)
- ❖ Notation: Let's denote this relation schema by simply listing the attributes: SNLRWH
  - This is really the *set* of attributes {S,N,L,R,W,H}.
  - Sometimes, we will refer to *all* attributes of a relation by using the relation name (e.g., HourlyEmps for SNLRWH).
- ❖ Suppose we also have some FDs on HourlyEmps:
  - *ssn* is the key:  $S \rightarrow \text{SNLRWH}$
  - *rating* determines *hrly\_wages*:  $R \rightarrow W$

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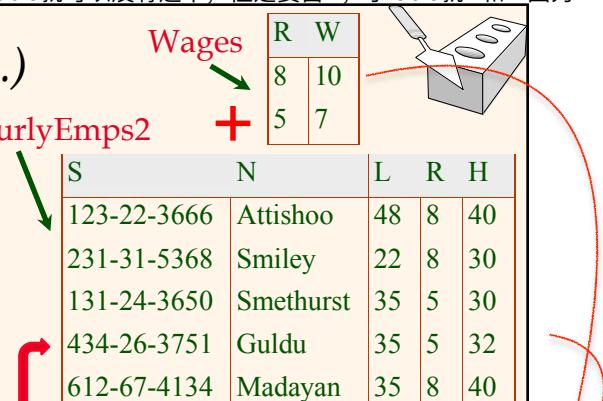
8

因为  $S \rightarrow SNLRWH$   
 $R \rightarrow W$

所以把那个大table的R指向的拿出来，然后大table就可以没有这个，但是要留R，小table就R和W因为  $R \rightarrow W$

### Example (Cont'd.)

- ❖ Problems due to  $R \rightarrow W$  :
  - Update anomaly: What if we change W in just the 1st tuple of SNLRWH?
  - Insertion anomaly: What if we want to insert a new employee and don't know the proper hourly wage for his or her rating?
  - Deletion anomaly: If we delete all employees with rating 5, we lose the stored information about the wage for rating 5!



Wages		R	W
8	10		
5	7		

HourlyEmps2		Wages	
S	N	R	H
123-22-3666	Attishoo	48	8
231-31-5368	Smiley	22	8
131-24-3650	Smethurst	35	5
434-26-3751	Guldu	35	5
612-67-4134	Madayan	35	8

S	N	L	R	W	H
123-22-3666	Attishoo	48	8	10	40
231-31-5368	Smiley	22	8	10	30
131-24-3650	Smethurst	35	5	7	30
434-26-3751	Guldu	35	5	7	32
612-67-4134	Madayan	35	8	10	40

How about two smaller tables?

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9

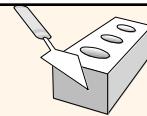
## Reasoning About FDs

- ❖ Given some FDs, we can usually infer additional FDs:
  - $ssn \rightarrow did$ ,  $did \rightarrow lot$  implies  $ssn \rightarrow lot$
  - (Translation: Matching *ssns* imply matching *lots*.)
- ❖ An FD  $f$  is implied by a set of FDs  $F$  if  $f$  holds whenever all FDs in  $F$  hold.  $F^+$ 指的是ABCDEF全部
- $F^+ = \text{closure of } F$  is the set of *all* FDs that are implied by  $F$ .  $F^+$ 是指F可以控制的所以FDs
- ❖ **Armstrong's Axioms** ( $X, Y, Z$  are sets of attributes):
  - Reflexivity: If  $X \subseteq Y$ , then  $Y \rightarrow X$
  - Augmentation: If  $X \rightarrow Y$ , then  $XZ \rightarrow YZ$  for any  $Z$
  - Transitivity: If  $X \rightarrow Y$  and  $Y \rightarrow Z$ , then  $X \rightarrow Z$
- ❖ These are **sound** and **complete** inference rules for FDs!

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10

## Armstrong's Axioms: Examples



pno	name	title	state	zip
1	Sandy	Professor	CA	92697
2	Joe	Jim Gray Professor	CA	94720
3	Anhai	Professor	WI	53706
4	Alex	Associate Professor	CA	92697

❖ Reflexivity: If  $X \subseteq Y$  then  $Y \rightarrow X$ :

- $\text{zip} \subseteq (\text{zip}, \text{name})$ , so  $(\text{zip}, \text{name}) \rightarrow \text{zip}$ .

因为zip是super key里面的  
某一个元素

❖ Augmentation: If  $X \rightarrow Y$  then  $XZ \rightarrow YZ$  for any  $Z$ :

- $\text{zip} \rightarrow \text{state}$ , so  $(\text{zip}, \text{title}) \rightarrow (\text{state}, \text{title})$ .

❖ Transitivity: If  $X \rightarrow Y$  and  $Y \rightarrow Z$  then  $X \rightarrow Z$ :

- $\text{pno} \rightarrow \text{zip}$  and  $\text{zip} \rightarrow \text{state}$ , so  $\text{pno} \rightarrow \text{state}$ .

candidate key是最少key可以管所有的

primary key是大table的keys

primary key只有一个, candidate可以是多个

primary key是candidate key的一种, 然后剩下的在code里面是uniquekey

foreign key是来自其他

## Reasoning About FDs (Cont'd.)

(Recall: "two matching  $X$ 's always have the same  $Y$ ")

❖ A few additional rules (which follow from AA):

- Union: If  $X \rightarrow Y$  and  $X \rightarrow Z$ , then  $X \rightarrow YZ$  合起来
- Decomposition: If  $X \rightarrow YZ$ , then  $X \rightarrow Y$  and  $X \rightarrow Z$  分开

❖ Example: Contracts( $cid, sid, pid, did, pid, qty, value$ ), and:

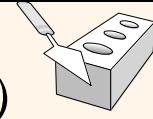
- The contract id is the key:  $C \rightarrow CSJDPQV$
- A project purchases each part using single contract:  $JP \rightarrow C$
- A dept purchases at most one part from a supplier:  $SD \rightarrow P$

❖  $JP \rightarrow C$ ,  $C \rightarrow CSJDPQV$  imply  $JP \rightarrow CSJDPQV$  用了transitive

❖  $SD \rightarrow P$  implies  $SDJ \rightarrow JP$  (New candidate keys...!) 用了Augmentation

❖  $SDJ \rightarrow JP$ ,  $JP \rightarrow CSJDPQV$  imply  $SDJ \rightarrow CSJDPQV$  用了Transitivity

## Reasoning About FDs (Examples)



Let's consider  $R(ABCDE)$ ,  $F = \{A \rightarrow B, B \rightarrow C, CD \rightarrow E\}$

❖ Let's work our way towards inferring  $F^+$  ...

- |                            |                        |                        |                                 |
|----------------------------|------------------------|------------------------|---------------------------------|
| (a) $A \rightarrow B$      | (b) $B \rightarrow C$  | (c) $CD \rightarrow E$ | (given)                         |
| (d) $A \rightarrow C$      |                        |                        | (a, b, and transitivity)        |
| (e) $BD \rightarrow CD$    |                        |                        | (b and augmentation)            |
| (f) $BD \rightarrow E$     |                        |                        | (e, c and transitivity)         |
| (g) $AD \rightarrow CD$    |                        |                        | (d and augmentation)            |
| (h) $AD \rightarrow E$     |                        |                        | (g, c and transitivity)         |
| (i) $AD \rightarrow C$     | (j) $AD \rightarrow D$ |                        | (g and decomposition)           |
| (k) $AD \rightarrow BD$    |                        |                        | (a and augmentation)            |
| (l) $AD \rightarrow B$     |                        |                        | (k and decomposition)           |
| (m) $AD \rightarrow A$     |                        |                        | (a and reflexivity)             |
| (n) $AD \rightarrow ABCDE$ |                        |                        | (h, i, j, l, m, and union) .... |

Candidate key!

**Note:** If some attribute  $X$  is not on the RHS of any initial FD, then  $X$  must be part of the key!

如果这样算出来了candidate key, 但是还没有x, 那么x就是其中一个key