## THE CORONA THEOREM

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These are my notes for my talk in the winter semester of 2024, in the analysis seminar at the Technical University of Vienna.

We will denote the space of all complex-valued, bounded, analytic functions on the unit disk  $\mathbb{D}$  as  $H^{\infty}$ . Equipped with the supremum norm  $\|\cdot\|_{\infty}$  this space becomes a commutative Banach algebra. The space of all multiplicative, bounded, linear functionals on  $H^{\infty}$  not identically zero is denoted  $\Delta(H^{\infty})$  and is called the *Gelfand space* of  $H^{\infty}$ . We endow this space with the subspace topology of the weak-\* topology on the topological dual  $(H^{\infty})'$ , which we will refer to as the *Gelfand topology*. For each  $z \in \mathbb{D}$  we consider the point-evaluation functional

$$\pi_z: H^{\infty} \to \mathbb{C}, \ f \mapsto f(z).$$

This is clearly multiplicative, bounded and linear and therefore belongs to  $\Delta(H^{\infty})$ . The set of all such functionals  $\pi_z, z \in \mathbb{D}$  will be denoted as  $\Delta_0$ . The *corona* is defined as the complement of closure of  $\Delta_0$  in the Gelfand topology. The corona theorem now states:

**Theorem 1** (L. Carleson). The corona is empty. In other words,  $\Delta_0$  is dense in  $\Delta(H^{\infty})$ .

There is an equivalent version of the theorem, as given by the following proposition:

**Proposition 2.**  $\Delta_0$  is dense in  $\Delta(H^{\infty})$  if and only if for any  $\delta > 0$  and  $f_1, \ldots, f_n \in H^{\infty}$  such that  $\sum_{j=1}^n |f_j(z)| \geq \delta, z \in \mathbb{D}$ , there exist  $g_1, \ldots g_n \in H^{\infty}$  such that  $\sum_{j=1}^n f_j g_j = 1$ .

Proof. Assume  $\Delta_0$  is dense in  $\Delta(H^\infty)$  and let  $f_1, \ldots, f_n \in H^\infty$ , and  $\delta > 0$  such that  $\sum_{j=1}^n |f_j(z)| \geq \delta, z \in \mathbb{D}$ . Denote by I the ideal in  $H^\infty$  generated by  $f_1, \ldots, f_n$ . If  $1 \in I$ , then the assertion is established. Assume towards a contradiction that I is a proper ideal, then there exists a maximal ideal  $J \supset I$ . Since  $\Delta(H^\infty)$  is a commutative Banach algebra, there exists a  $\phi \in \Delta(H^\infty)$  such that  $J = \ker \phi$ . Therefore we have  $\phi(f_j) = 0$  for  $j = 1, \ldots, n$ . Since  $\Delta_0$  is dense, there is a net  $(\pi_{z_m})_{m \in M}$  in  $\Delta_0$  such that  $\pi_{z_m} \to \phi$  in the weak-\* topology, that is the net converges pointwise. Therefore, for all  $j = 1, \ldots, n$  we have  $f_j(z_m) = \pi_{z_m}(f_j) \to \phi(f_j) = 0$  and in particular

$$\lim_{m \in M} \sum_{j=1}^{n} |f_j(z_m)| = 0,$$

a contradiction.

For the other implication, assume towards a contradiction that  $\Delta_0$  is not dense in  $\Delta(H^{\infty})$ . Then there exists some  $\phi_0 \in \Delta(H^{\infty})$  and an open neighbourhood U of  $\phi_0$  such that  $\Delta_0 \cap U = \emptyset$ . Since the sets of the form

$$\{\phi \in \Delta(H^{\infty}): |(\phi - \phi_0)(f_j)| < \varepsilon, j = 1, \dots, n\},\$$

for some  $n \in \mathbb{N}, f_1, \ldots, f_n \in H^{\infty}$  and  $\varepsilon > 0$ , form a neighbourhood basis of  $\phi_0$  in the weak-\* topology, there exists a neighbourhood  $V \subseteq U$  described by some  $n \in \mathbb{N}, f_1, \ldots, f_n \in H^{\infty}$  and  $\delta > 0$ . Define  $\widetilde{f}_j := f_j - \phi_0(f_j)$ , for  $j = 1, \ldots, n$ , then clearly  $\phi_0(\widetilde{f}_j) = 0$ . Since  $\Delta_0 \cap V = \emptyset$ , for any  $z \in \mathbb{D}$  we have  $\pi_z \notin V$  and therefore there exists some  $j_0 \in \{1, \ldots, n\}$  such that,

$$\delta \le |(\pi_z - \phi_0)(f_{j_0})| = |f_{j_0}(z) - \phi_0(f_{j_0})| = |\widetilde{f_{j_0}}(z)|.$$

Since  $\widetilde{f}_j \in H^{\infty}$  for j = 1, ..., n, and  $\sum_{j=1}^n |\widetilde{f}_j(z)| \ge \delta$ , there exist  $g_1, ..., g_n \in H^{\infty}$  such that  $\sum_{j=1}^n \widetilde{f}_j g_j = 1$ . But this yields

$$1 = \phi_0(1) = \phi_0\left(\sum_{j=1}^n \widetilde{f}_j g_j\right) = \sum_{j=1}^n \phi_0(\widetilde{f}_j)\phi_0(g_j) = 0,$$

a contradiction.

### 1 First Steps

Over the following sections we will prove a stronger version of the right statement in Proposition 2:

**Theorem 3.** There exist constants  $C_{n,\delta}$  only depending on  $n \in \mathbb{N}$  and  $\delta > 0$ , such that if  $f_1, \ldots f_n \in \operatorname{Hol}(\mathbb{D})$  with

$$||f_j||_{\infty} \le 1, \ j = 1, \dots, n, \text{ and } \sum_{j=1}^n |f_j(z)|^2 \ge \delta, \ z \in \mathbb{D},$$

then there exist  $g_1, \ldots, g_n \in \operatorname{Hol}(\mathbb{D})$  with

$$||g_j||_{\infty} \le C_{n,\delta}, \ j = 1, \dots, n, \text{ and } \sum_{j=1}^n f_j g_j = 1.$$

*Proof.* We will give the proof in multiple steps. First, for a closed set  $A \subset \mathbb{C}$  and a space of differentiable functions on an open set  $\Omega \supset A$ , say  $D(\Omega)$ , we define

$$D(A) := \bigcup_{\Omega \supset A \text{ open}} T(D(\Omega)), \text{ where } T(f) := f|_A.$$

We will make use of this to handle smooth or holomorphic functions on closed sets.

Step 1 (Reduction to  $f_1, \ldots, f_n \in \operatorname{Hol}(\overline{\mathbb{D}})$ ): Assume that the statement of the theorem holds for all  $\widetilde{f}_1, \ldots, \widetilde{f}_n \in \operatorname{Hol}(\overline{\mathbb{D}})$ , we claim that it then also holds in its original form<sup>1</sup>. For our given  $f_1, \ldots, f_n$  satisfying the premise of the theorem and all 0 < s < 1 we define

Note that this does **not** mean that we can assume  $f_1, \ldots, f_n \in \operatorname{Hol}(\overline{\mathbb{D}})$  in the previous proposition.

 $f_{j,s}(z) := f_j(sz), j = 1, ..., n$ . Then for every 0 < s < 1 and j = 1, ..., n the function  $f_{j,s}$  is in  $\operatorname{Hol}(\overline{\mathbb{D}})$  and satisfies the premise of the theorem. By our assumption there exist  $g_{j,s} \in H^{\infty}, j = 1, ..., n$  such that

$$||g_{j,s}||_{\infty} \le C_{n,\delta}, \ j = 1, \dots, n, \text{ and } \sum_{j=1}^{n} f_{j,s} g_{j,s} = 1.$$

For a fixed  $j \in \{1, ..., n\}$ , the set  $\{g_{j,s} : 0 < s < 1\}$  is uniformly bounded and therefore normal in  $\operatorname{Hol}(\mathbb{D})$ . By Montel's Theorem there exists a sequence  $s_m \to 1$  and some  $g_j \in \operatorname{Hol}(\mathbb{D})$  such that  $g_{j,s_m} \to g_j$  compactly. In particular, we obtain

$$||g_j||_{\infty} = \lim_{m \to \infty} ||g_{j,s_m}||_{\infty} \le C_{n,\delta}, \quad j = 1, \dots, n,$$

and

$$1 = \lim_{m \to \infty} \sum_{j=1}^{n} f_{j,s_m} g_{j,s_m} = \sum_{j=1}^{n} f_j g_j,$$

concluding our claim. We may thus assume that our given  $f_1, \ldots, f_n$  are holomorphic on  $\overline{\mathbb{D}}$  instead.

Step 2 (Solve in  $C^{\infty}(\overline{\mathbb{D}})$ ): For  $j=1,\ldots,n$  we define

$$h_j := \frac{\bar{f}_j}{\sum_{k=1}^n |f_k|^2} \in C^{\infty}(\overline{\mathbb{D}}),$$

then clearly  $\sum_{j=1}^{n} f_j h_j = 1$  and  $||h_j||_{\infty} \leq \frac{1}{\delta}$ . The real task now lies in changing the  $h_j$  to become holomorphic in  $\mathbb{D}$ , without losing control over the boundedness of the solutions.

# 2 Wirtinger Derivatives

Before we continue we want to briefly introduce a useful generalization of the complex derivative.

**Definition 4.** Let  $\Omega \subseteq \mathbb{R}^2$  be open. Then the Wirtinger derivatives (or Wirtinger operators) are defined on  $C^1(\Omega)$  by

$$\frac{\partial}{\partial z} := \frac{1}{2} \left( \frac{\partial}{\partial x} - i \frac{\partial}{\partial y} \right), \quad \text{and} \quad \frac{\partial}{\partial \bar{z}} := \frac{1}{2} \left( \frac{\partial}{\partial x} + i \frac{\partial}{\partial y} \right).$$

We will also abbreviate these operators as  $\partial$  and  $\partial$ , respectively.

Note that by writing a complex number  $z \in \mathbb{C}$  as z = x + iy with  $x, y \in \mathbb{R}$  we can identify  $\mathbb{C} \cong \mathbb{R}^2$ . Therefore we can also interpret the Wirtinger operators to act on  $C^1(\Omega)$  with an open subset  $\Omega \subseteq \mathbb{C}$ .

Before listing properties of the Wirtinger operators we quickly want to recall that a function  $f \in C^1(\Omega)$ , f = u + iv is holomorphic if and only if it satisfies the Cauchy-Riemann equations:

$$\frac{\partial u}{\partial x} = \frac{\partial v}{\partial y}$$
, and  $\frac{\partial u}{\partial y} = -\frac{\partial v}{\partial x}$ .

**Remark 5.** Let  $\Omega \subseteq \mathbb{C}$  be open and  $f \in C^1(\Omega)$ .

1. The Wirtinger operators are C-linear, satisfy the Leibniz rule<sup>2</sup> and

$$\overline{\left(\frac{\partial f}{\partial z}\right)} = \frac{\partial \bar{f}}{\partial \bar{z}}, \quad \overline{\left(\frac{\partial f}{\partial \bar{z}}\right)} = \frac{\partial \bar{f}}{\partial z}$$

2. If  $f \in \text{Hol}(\Omega)$ , f = u + iv, then

$$\frac{\partial f}{\partial z} = \frac{1}{2} \left( \frac{\partial f}{\partial x} - i \frac{\partial f}{\partial y} \right) = \frac{1}{2} \left( \frac{\partial u}{\partial x} + i \frac{\partial v}{\partial x} - i \frac{\partial u}{\partial y} + \frac{\partial v}{\partial y} \right) = \frac{\partial u}{\partial x} + i \frac{\partial v}{\partial x} = \frac{\partial f}{\partial x} = f'.$$

3. Since

$$\frac{\partial f}{\partial \bar{z}} = \frac{1}{2} \left( \frac{\partial f}{\partial x} + i \frac{\partial f}{\partial y} \right) = \frac{1}{2} \left( \frac{\partial u}{\partial x} + i \frac{\partial v}{\partial x} + i \frac{\partial u}{\partial y} - \frac{\partial v}{\partial y} \right) =$$

$$= \frac{1}{2} \left( \frac{\partial u}{\partial x} - \frac{\partial v}{\partial y} \right) + \frac{i}{2} \left( \frac{\partial v}{\partial x} + \frac{\partial u}{\partial y} \right),$$

we have that  $f \in \text{Hol}(\Omega)$  if and only if  $\overline{\partial} f = 0$ .

4. On  $C^2(\Omega)$ , the Laplace operator can be represented as

$$\Delta = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} = \left(\frac{\partial}{\partial x} - i\frac{\partial}{\partial y}\right) \left(\frac{\partial}{\partial x} + i\frac{\partial}{\partial y}\right) = 4\frac{\partial}{\partial z}\frac{\partial}{\partial \bar{z}}.$$

Proof (continued). Step 3 (The Koszul complex): We consider the spaces

$$C_0 := C^{\infty}(\overline{\mathbb{D}}), \quad C_1 := (C_0)^n, \quad C_2 := \{A \in (C_0)^{n \times n} : A = -A^T\}$$

and the linear maps

$$P_{1,0}: C_1 \to C_0, (g_j)_{j=1}^n \mapsto \sum_{j=1}^n g_j f_j, \quad P_{2,1}: C_2 \to C_1, (g_{jk})_{j,k=1}^n \mapsto \left(\sum_{k=1}^n g_{jk} f_k\right)_{j=1}^n.$$

We also consider the operator  $\overline{\partial}: C_0 \to C_0$ . It is well-defined, since if  $f_1 \in C^{\infty}(\Omega_1), f_2 \in C^{\infty}(\Omega_2)$  with  $f_1 = f_2$  on  $\overline{\mathbb{D}}$ , then in particular  $f_1 = f_2$  on  $\mathbb{D}$  and therefore  $\overline{\partial} f_1 = \overline{\partial} f_2$  on  $\mathbb{D}$ . By continuity, we therefore also get  $\overline{\partial} f_1 = \overline{\partial} f_2$  on  $\overline{\mathbb{D}}$ .

Applying  $\overline{\partial}$  pointwise in  $C_1$  and  $C_2$  as well, the resulting connections are visualized in the diagram below, called the *Koszul complex*:

<sup>&</sup>lt;sup>2</sup>This means that the Wirtinger opeartors are derivatives from an algebraic perspective.

<sup>&</sup>lt;sup>3</sup>This can be interpreted as "f is independent of  $\overline{z}$ ".

$$C_{2} \xrightarrow{P_{2,1}} C_{1} \xrightarrow{P_{1,0}} C_{0}$$

$$\overline{\partial} \downarrow \qquad \overline{\partial} \downarrow \qquad \overline{\partial} \downarrow$$

$$C_{2} \xrightarrow{P_{2,1}} C_{1} \xrightarrow{P_{1,0}} C_{0}$$

#### Lemma 6. The Koszul complex has the following properties:

- 1. The diagram is commutative, that is we have  $P_{j+1,j}\overline{\partial}=\overline{\partial}P_{j+1,j}$  for j=0,1.
- 2. The horizontal sequences are exact, that is ran  $P_{2,1} = \ker P_{1,0}$ .
- 3. The maps  $\overline{\partial}: C_j \to C_j$  for j = 0, 1, 2 are surjective.

#### Proof.

1. For  $g \in C_0$  and  $f \in \operatorname{Hol}(\overline{\mathbb{D}})$  we have

$$\frac{\partial (gf)}{\partial \bar{z}} = \frac{\partial g}{\partial \bar{z}} \cdot f + g \cdot \frac{\partial f}{\partial \bar{z}} = \frac{\partial g}{\partial \bar{z}} \cdot f$$

and together with the linearity of  $\overline{\partial}$  the statement follows.

2. "\subseteq": Let  $g \in C_2, g = (g_{jk})_{j,k=1}^n$ , then

$$P_{1,0}P_{2,1}g = P_{1,0} \left[ \left( \sum_{k=1}^{n} g_{jk} f_k \right)_{j=1}^{n} \right] = \sum_{j=1}^{n} \sum_{k=1}^{n} g_{jk} f_k f_j = 0$$

since g is skew-symmetric and therefore  $g \in \ker P_{1,0}$ .

"\(\text{\text{\text{2}}}\)" Let  $g \in \ker P_{1,0} \subseteq C_1, g = (g_1, \dots, g_n)$ . We define  $p = (p_{jk})_{j,k=1}^n \in C_2$  by

$$p_{jk} := \frac{1}{\sum_{\ell=1}^{n} |f_{\ell}|^2} (g_j \overline{f_k} - g_k \overline{f_j}).$$

Then for any  $j = 1, \ldots, n$  we have

$$(P_{2,1}p)_j = \sum_{k=1}^n p_{jk} f_k = \frac{1}{\sum_{\ell=1}^n |f_{\ell}|^2} \sum_{k=1}^n (g_j |f_k|^2 - g_k \overline{f_j} f_k) =$$

$$= g_j - \frac{1}{\sum_{\ell=1}^n |f_{\ell}|^2} \overline{f_j} \sum_{k=1}^n g_k f_k = g_j - \frac{1}{\sum_{\ell=1}^n |f_{\ell}|^2} \overline{f_j} P_{1,0} g =$$

$$= g_j,$$

and therefore  $g \in \operatorname{ran} P_{2,1}$ .

3. For given  $v \in C^{\infty}(\overline{\mathbb{D}})$  we want to solve the partial differential equation

$$\frac{\partial u}{\partial \bar{z}} = v \quad \text{(on } \overline{\mathbb{D}}\text{)}$$

for some  $u \in C^{\infty}(\overline{\mathbb{D}})$ . We will approach this using a fundamental solution of the differential operator  $\overline{\partial}$ . Recall that

$$\Gamma(z) \coloneqq \frac{1}{2\pi} \log |z|$$

is a fundamental solution of the Laplace operator, that is we have  $\Delta\Gamma = \delta_0$  distributionally, where  $\delta_0$  denotes the delta distribution at 0. We claim that  $\frac{1}{\pi z}$  is a fundamental solution of  $\overline{\partial}$ , and verify this via

$$\begin{split} \overline{\partial} \frac{1}{z} &= \overline{\partial} \frac{\overline{z}}{|z|^2} = \frac{1}{2} (\partial_x + i\partial_y) \frac{x - iy}{x^2 + y^2} = \\ &= \frac{1}{2} \left[ \partial_x \frac{x}{x^2 + y^2} - i\partial_x \frac{y}{x^2 + y^2} + i\partial_y \frac{x}{x^2 + y^2} + \partial_y \frac{y}{x^2 + y^2} \right] = \\ &= \frac{1}{2} \left[ \partial_x^2 \log|z| + \partial_y^2 \log|z| + i \left( \frac{2xy}{x^2 + y^2} - \frac{2xy}{x^2 + y^2} \right) \right] = \\ &= \frac{1}{2} \Delta \log|z| = \frac{1}{2} 2\pi \delta_0 = \pi \delta_0. \end{split}$$

Now let  $\Omega \supset \overline{\mathbb{D}}$  be open such that  $v \in C^{\infty}(\Omega)$  and choose  $\varphi \in C_c^{\infty}(\Omega)$  such that  $\varphi|_{\overline{\mathbb{D}}} = 1$ . Then  $\varphi v \in C_c^{\infty}(\Omega)$ , therefore

$$u(w) := \left(\frac{1}{\pi z} * \varphi v\right)(w) = \frac{1}{\pi} \int_{\Omega} \frac{\varphi(z)v(z)}{w-z} d\lambda^{2}(z)$$

is a classical solution of  $\overline{\partial}u = \varphi v$  in  $\Omega$ . Since  $\varphi v = v$  on  $\overline{\mathbb{D}}$ , we get  $\overline{\partial}u = v$  on  $\overline{\mathbb{D}}$ , as desired.

Arguing pointwise shows the surjectivity of the maps  $\overline{\partial}: C_{\ell} \to C_{\ell}$  for  $\ell = 0, 1$ . For  $\ell = 2$  and given  $b = (b_{jk})_{i,k=1}^n \in C_2$  we first solve

$$\overline{\partial} a_{jk} = b_{jk}$$
, for  $1 \le j < k \le n$ 

and then set  $a_{jj} = 0$  and  $a_{jk} = -a_{kj}$  for  $n \ge j > k \ge 1$ .

Proof (continued). Step 4 (Apply to  $h = (h_1, ..., h_n) \in C_1$ : In step 2 we constructed an element  $h = (h_1, ..., h_n) \in C_1$  by setting

$$h_j := \frac{f_j}{\sum_{k=1}^n |f_k|^2}.$$

By our construction we have  $P_{1,0}h = 1$  and therefore  $0 = \overline{\partial}P_{1,0}h = P_{1,0}\overline{\partial}h$ , thus  $\overline{\partial}h \in \ker P_{1,0}$ . By Lemma 6 there exists  $b \in C_2$  such that  $P_{2,1}b = \overline{\partial}h$  and  $a \in C_2$  such that  $\overline{\partial}a = b$ . We now set  $g := h - P_{2,1}a \in C_1$ . Then

$$P_{1,0}g = P_{1,0}h - P_{1,0}P_{2,1}a = 1$$

and

$$\overline{\partial}g = \overline{\partial}h - \overline{\partial}P_{2,1}a = \overline{\partial}h - P_{2,1}b = 0.$$

Therefore g is a solution to

$$\sum_{k=1}^{n} f_k g_k = 1$$

in  $\operatorname{Hol}(\overline{\mathbb{D}})$ . However, we do not have an estimate on  $|g_i|$  yet.

# 3 Hardy Spaces

#### I'm not loving this introduction yet.

Let  $\mu$  denote the Lebesgue measure on  $\mathbb{T} = \{z \in \mathbb{C} : |z| = 1\}$ , i.e. the measure such that for a measurable function  $f : \mathbb{T} \to \mathbb{C}$  it holds that

$$\int_{\mathbb{T}} f \, \mathrm{d}\mu = \int_{-\pi}^{\pi} f(e^{i\vartheta}) \, \mathrm{d}\vartheta.$$

We define the  $L^p(\mathbb{T})$ -norms via the normed Lebesgue measure  $\frac{1}{2\pi}\mu$ :

$$||f||_p := \left(\frac{1}{2\pi} \int_{\mathbb{T}} |f|^p d\mu\right)^{1/p}, \text{ for } 1 \le p < \infty, \text{ and } ||f||_{\infty} := \text{ess. sup } |f|.$$

For  $f \in L^1(\mathbb{T})$  and  $n \in \mathbb{N}$  we define the *n*-th Fourier coefficient by

$$\hat{f}(n) := \frac{1}{2\pi} \int_{\mathbb{T}} f(\xi) \xi^{-n} \, \mathrm{d}\mu(\xi).$$

For  $1 \leq p \leq \infty$  we define the *Hardy space*  $H^p$  as the set of all  $f \in \text{Hol}(\mathbb{D})$  with  $||f||_p < \infty$ , where

$$||f||_p \coloneqq \lim_{r \to 1} \left( \frac{1}{2\pi} \int_{\mathbb{T}} |f(r\xi)|^p \, \mathrm{d}\mu(\xi) \right)^{1/p} \quad \text{for } p < \infty, \quad \text{and} \quad ||f||_{\infty} \coloneqq \sup_{z \in \mathbb{D}} |f(z)|.$$

It is of note that convergence in the Hardy spaces implies compact convergence.

We define  $H_0^p$  as the (closed) subspace of all  $f \in H^p$ , for which f(0) = 0.

We summarize the characterisation of Hardy spaces:

## **Theorem 7.** Let $1 \le p \le \infty$ . Then:

- 1.  $H^p$  is a Banach space<sup>4</sup>.
- 2. For  $p \leq q \leq \infty$  it holds that  $H^p \supseteq H^q$ .
- 3. Let  $f \in H^p$ , then for almost all  $\xi \in \mathbb{T}$  the limit

$$\lim_{r \to 1} f(r\xi) =: f^*(\xi)$$

exists and defines a function in  $L^p(\mathbb{T})$ , also called the *boundary values* of f. If  $p < \infty$ , we also have  $\lim_{r \to 1} \|f^* - f_r\|_p = 0$ , where  $f_r(\xi) := f(r\xi)$ .

<sup>&</sup>lt;sup>4</sup>In particular,  $H^{\infty}$  is a Banach algebra, which we already used in the introduction.

4. The map  $^*:f\mapsto f^*$  is an isometry from  $H^p$  onto

$$L_+^p(\mathbb{T}) := \{ f \in L^p(\mathbb{T}) : \forall n < 0 : \hat{f}(n) = 0 \},$$

which is a closed subspace of  $L^p(\mathbb{T})$ .

5. Every  $f \in H^p$  can be written as a Cauchy integral of its boundary values:

$$f(z) = \frac{1}{2\pi i} \int_{\mathbb{T}} \frac{f^*(\zeta)}{\zeta - z} d\zeta, \quad z \in \mathbb{D}$$

We will also use the following lemma:

**Lemma 8.** Let  $f \in \text{Hol}(\mathbb{D})$ , then there exist  $g_1, g_2 \in \text{Hol}(\mathbb{D})$  such that

$$f = g_1 g_2$$
, and  $||g_1||_2^2 = ||g_2||_2^2 = ||f||_1$ .

Lemma 9. The map

$$\Phi: L^{\infty}(\mathbb{T})/(H^{\infty})^* \to ((H_0^1)^*)', f + (H^{\infty})^* \mapsto \left[g \mapsto \frac{1}{2\pi} \int_{\mathbb{T}} fg \,\mathrm{d}\mu\right]$$

is an isometric isomorphism.

*Proof.* We have  $L^{\infty}(\mathbb{T}) \cong L^1(\mathbb{T})'$  via the duality

$$\langle f, g \rangle \coloneqq \frac{1}{2\pi} \int_{\mathbb{T}} f g \, \mathrm{d}\mu, \quad f \in L^{\infty}(\mathbb{T}), \ g \in L^{1}(\mathbb{T}).$$

Since  $(H_0^1)^* \le L^1(\mathbb{T})$  we therefore have  $((H_0^1)^*)' \cong L^\infty(\mathbb{T})/((H_0^1)^*)^\perp$  via

$$\langle f, g \rangle = \frac{1}{2\pi} \int_{\mathbb{T}} fg \, \mathrm{d}\mu, \quad f \in L^{\infty}(\mathbb{T}) / ((H_0^1)^*)^{\perp}, \ g \in (H_0^1)^*.$$

It remains to show that  $((H_0^1)^*)^{\perp} = (H^{\infty})^*$ . Let  $w^* \in ((H_0^1)^*)^{\perp} \leq L^{\infty}(\mathbb{T})$ , then for any  $n \in \mathbb{N}$  we have

$$0 = \langle w^*, (z^n)^* \rangle = \langle w^*, z^n \rangle = \widehat{w^*}(-n).$$

Therefore  $w^* \in L^{\infty}_+(\mathbb{T}) = (H^{\infty})^*$ . For the other inclusion let  $w^* \in (H^{\infty})^*$  and  $h^* \in (H^1_0)^*$ , then

$$\langle w^*, h^* \rangle = \frac{1}{2\pi} \int_0^{2\pi} w^*(e^{i\vartheta}) h^*(e^{i\vartheta}) \, d\vartheta = \lim_{r \to 1} \frac{1}{2\pi} \int_0^{2\pi} w(re^{i\vartheta}) h(re^{i\vartheta}) \frac{ie^{i\vartheta}}{ie^{i\vartheta}} \, d\vartheta =$$
$$= \lim_{r \to 1} \frac{1}{2\pi i} \int_{\mathbb{T}} \frac{w_r(\zeta) h_r(\zeta)}{\zeta} \, d\zeta = \lim_{r \to 1} w_r(0) h_r(0) = 0,$$

where the second-to-last equation follows since  $w_r h_r \in \operatorname{Hol}(\overline{\mathbb{D}})$  and the last one since h(0) = 0. Therefore  $w^* \in ((H_0^1)^*)^{\perp}$ , concluding the proof.

 $Proof\ (continued).$  Need to elaborate where v comes from.

Step 5 (Dualisation): Applying the above lemma to our previous situation we can redescribe the norm of  $v + (H^{\infty})^*$  as

$$\|\Phi(v + (H^{\infty})^{*})\| = \sup_{\substack{f \in (H_{0}^{1})^{*} \\ \|f\|_{1} \leq 1}} |\Phi(v + (H^{\infty})^{*})(f)| = \sup_{\substack{f \in H_{0}^{1} \\ \|f\|_{1} \leq 1}} \left| \frac{1}{2\pi} \int_{\mathbb{T}} v f^{*} d\mu \right| =$$

$$= \sup_{\substack{f \in H_{0}^{1} \\ \|f\|_{1} \leq 1}} \left| \lim_{r \to 1} \frac{1}{2\pi} \int_{\mathbb{T}} v f_{r} d\mu \right| = \sup_{\substack{f \in \text{Hol}(\overline{\mathbb{D}}) \\ f(0) = 0, \|f\|_{1} \leq 1}} \left| \frac{1}{2\pi} \int_{\mathbb{T}} v f d\mu \right|.$$

Proof (continued). Step 6: todo

We want to redescribe the integral

$$\frac{1}{2\pi} \int_{\mathbb{T}} v f \, \mathrm{d}\mu,$$

where  $v \in C^{\infty}(\overline{\mathbb{D}})$  and  $f \in \text{Hol}(\overline{\mathbb{D}}), f(0) = 0, ||f||_1 \leq 1$ . Let  $\sigma := vf$  and

$$\varphi(z) \coloneqq \frac{1}{2\pi} \log |z|, \quad z \neq 0.$$

For  $\varepsilon > 0$  let  $\mathbb{D}_{\varepsilon} := \mathbb{D} \setminus \overline{B_{\varepsilon}(0)}$ . By Green's second identity we have

$$\int_{\mathbb{D}_{\varepsilon}} \sigma \Delta \varphi - \varphi \Delta \sigma \, d\lambda^2 = \int_{\mathbb{T}} \sigma \frac{\partial \varphi}{\partial r} - \varphi \frac{\partial \sigma}{\partial r} \, d\mu - \int_{\partial B_{\varepsilon}(0)} \sigma \frac{\partial \varphi}{\partial r} - \varphi \frac{\partial \sigma}{\partial r} \, d\mathcal{H}^1,$$

where  $\frac{\partial}{\partial r}$  denotes the radial derivative. Simplifying results in

$$-\int_{\mathbb{D}_{\varepsilon}} \varphi \Delta \sigma \, \mathrm{d}\lambda^2 = \frac{1}{2\pi} \int_{\mathbb{T}} \sigma \, \mathrm{d}\mu - \frac{1}{2\pi} \int_{\partial B_{\varepsilon}(0)} \frac{\sigma}{\varepsilon} - \frac{\partial \sigma}{\partial r} \log \varepsilon \, \mathrm{d}\mathcal{H}^1.$$

By the intermediate value theorem for integrals for any  $\varepsilon > 0$  there exists a  $\zeta_{\varepsilon} \in \partial B_{\varepsilon}(0)$  such that

$$\frac{1}{2\pi\varepsilon} \int_{\partial B_{\varepsilon}(0)} \sigma \, \mathrm{d}\mathcal{H}^1 = \sigma(\zeta_{\varepsilon}) \to \sigma(0) = v(0) f(0) = 0.$$

Furthermore  $\left|\frac{\partial \sigma}{\partial r}\right| \leq M$  on  $\overline{\mathbb{D}}$  for some M > 0, thus

$$\frac{1}{2\pi} \int_{\partial B_{\varepsilon}(0)} \left| \frac{\partial \sigma}{\partial r} \log \varepsilon \right| d\mathcal{H}^{1} \leq M \varepsilon \log \varepsilon \to 0.$$

Finally,

$$\Delta \sigma = \Delta(vf) = 4\partial \overline{\partial}(vf) = 4\partial (v\overline{\partial}f + f\overline{\partial}v) = 4\partial (fu) = 4(f\partial u + uf').$$

With  $\psi := -\varphi = \frac{1}{2\pi} \log \frac{1}{|z|}$  we thus obtain

$$\frac{1}{2\pi} \int_{\mathbb{T}} v f \, d\mu = 4 \int_{\mathbb{D}} \psi \left( f \partial v + v f' \right) d\lambda^2 =$$

$$= 4 \left( \int_{\mathbb{D}} f \, \partial v \, \psi \, d\lambda^2 + \int_{\mathbb{D}} v f' \psi \, d\lambda^2 \right) =: 4(I_1 + I_2).$$

Our goal is to show the existence of a constant  $C_{n,\delta}$  such that

$$\left| \frac{1}{2\pi} \int_{\mathbb{T}} v f \, \mathrm{d}\mu \right| \le 4(|I_1| + |I_2|) \le C_{n,\delta}.$$

# 4 Integral estimates

**Lemma 10.** Let  $f, g, u, v \in \text{Hol}(\overline{\mathbb{D}})$ , then the following integral estimates hold:

1. 
$$\int_{\mathbb{D}} |f'|^2 \psi \, d\lambda^2 \le \frac{1}{4} ||f||_2^2$$

2. 
$$\int_{\mathbb{D}} |fg'|^2 \psi \, d\lambda^2 \le ||f||_2^2 ||g||_{\infty}^2$$

3. 
$$\int_{\mathbb{D}} |fgu'v'|\psi \,d\lambda^2 \le ||f||_2 ||g||_2 ||u||_{\infty} ||v||_{\infty}$$

4. 
$$\int_{\mathbb{D}} |fu'v'|\psi \,d\lambda^2 \le ||f||_1 ||u||_{\infty} ||v||_{\infty}$$

5. 
$$\int_{\mathbb{D}} |fg'u'|\psi \, d\lambda^2 \le \frac{1}{2} ||f||_2 ||g||_2 ||u||_{\infty}$$

6. 
$$\int_{\mathbb{D}} |f'u'| \psi \, d\lambda^2 \le ||f||_1 ||u||_{\infty}$$

Proof.

1. Applying Green's formula on  $f\bar{f}$  and  $\psi$  yields

$$\int_{\mathbb{D}_{\varepsilon}} \psi \Delta(f\bar{f}) - f\bar{f}\Delta\psi \,d\lambda^2 = \int_{\mathbb{T}} \psi \frac{\partial (f\bar{f})}{\partial r} - f\bar{f}\frac{\partial \psi}{\partial r} \,d\mu - \int_{\partial B_{\varepsilon}(0)} \psi \frac{\partial (f\bar{f})}{\partial r} - f\bar{f}\frac{\partial \psi}{\partial r} \,d\mathcal{H}^1,$$

and simplifying we obtain

$$\int_{\mathbb{D}_{\varepsilon}} \psi \Delta(f\bar{f}) \, \mathrm{d}\lambda^2 = \frac{1}{2\pi} \int_{\mathbb{T}} |f|^2 \, \mathrm{d}\mu + \frac{\log \varepsilon}{2\pi} \int_{\partial B_{\varepsilon}(0)} \frac{\partial (f\bar{f})}{\partial r} \, \mathrm{d}\mathcal{H}^1 - \frac{1}{2\pi\varepsilon} \int_{\partial B_{\varepsilon}(0)} |f|^2 \, \mathrm{d}\mathcal{H}^1$$

Arguing as before, taking  $\varepsilon \to 0$  we get

$$\int_{\mathbb{D}} \psi \Delta(f\bar{f}) \, d\lambda^2 = ||f||_2^2 - |f(0)|^2.$$

Since

$$\Delta(f\bar{f}) = 4\partial\overline{\partial}(f\bar{f}) = 4\partial(\bar{f}\cdot\overline{\partial}f + f\cdot\overline{\partial}\bar{f}) = 4\partial(f\cdot\overline{\partial}\bar{f}) = 4(\partial f\cdot\overline{\partial}\bar{f} + f\cdot\overline{\partial}\partial\bar{f}) = 4(\partial f\cdot\overline{\partial}f + f\cdot\overline{\partial}\overline{\partial}\bar{f}) = 4(\partial f\cdot\overline{\partial}f + f\cdot\overline{\partial}\overline{\partial}\bar{f}) = 4f'\bar{f}' = 4|f'|^2$$

and  $|f(0)|^2 \ge 0$  we obtain

$$\int_{\mathbb{D}} |f'|^2 \psi \, d\lambda^2 \le \frac{1}{4} ||f||_2^2.$$

2. We have fg' = (fg)' - f'g, therefore

$$|fg'|^2 \le (|(fg)'| + |f'g|)^2 \le 2(|(fg)'|^2 + |f'g|^2) \le 2(|(fg)'|^2 + ||g||_{\infty}^2 |f'|^2).$$

Integrating and using 1. yields

$$\int_{\mathbb{D}} |fg'|^2 \psi \, d\lambda^2 \le 2 \int_{\mathbb{D}} |(fg)'|^2 \psi \, d\lambda^2 + 2||g||_{\infty}^2 \int_{\mathbb{D}} |f'|^2 \psi \, d\lambda^2 \le$$

$$\le \frac{1}{2} ||fg||_2^2 + \frac{1}{2} ||f||_2^2 ||g||_{\infty}^2 \le ||f||_2^2 ||g||_{\infty}^2.$$

3. Consider the positive measure  $\nu := \psi \, d\lambda^2$ . Invoking the Cauchy-Schwarz inequality in  $L^2(\nu)$  and using 2. we get

$$\int_{\mathbb{D}} |fgu'v'|\psi \,d\lambda^2 \le \left(\int_{\mathbb{D}} |fu'|\psi \,d\lambda^2\right)^{1/2} \left(\int_{\mathbb{D}} |gv'|\psi \,d\lambda^2\right)^{1/2} \le$$
$$\le ||f||_2 ||u||_{\infty} ||g||_2 ||v||_{\infty}.$$

4. By Lemma 8 we can write  $f = g_1g_2$  with  $||g_1||_2^2 = ||g_2||_2^2 = ||f||_1$ . Using 3. we then obtain

$$\int_{\mathbb{D}} |fu'v'| \psi \, d\lambda^2 = \int_{\mathbb{D}} |g_1 g_2 u'v'| \psi \, d\lambda^2 \le$$

$$\le ||g_1||_2 ||g_2||_2 ||u||_{\infty} ||v||_{\infty} = ||f||_1 ||u||_{\infty} ||v||_{\infty}.$$

5. Using the Cauchy-Schwarz inequality in  $L^2(\nu)$ , as well as 1. and 2. we obtain

$$\int_{\mathbb{D}} |fg'u'|\psi \, \mathrm{d}\lambda^2 \le \left(\int_{\mathbb{D}} |fu'|\psi \, \mathrm{d}\lambda^2\right)^{1/2} \left(\int_{\mathbb{D}} |g'|\psi \, \mathrm{d}\lambda^2\right)^{1/2} \le$$

$$\le ||f||_2 ||u||_{\infty} \cdot \frac{1}{2} ||g||_2 = \frac{1}{2} ||f||_2 ||g||_2 ||u||_{\infty}.$$

6. We write  $f = g_1g_2$  as in 4. and use 5. to obtain

$$\int_{\mathbb{D}} |f'u'|\psi \,d\lambda^{2} \leq \int_{\mathbb{D}} |(g_{1}g_{2})'u'|\psi \,d\lambda^{2} \leq \int_{\mathbb{D}} |g'_{1}g_{2}u'|\psi \,d\lambda^{2} + \int_{\mathbb{D}} |g_{1}g'_{2}u'|\psi \,d\lambda^{2} \leq$$

$$\leq \frac{1}{2} ||g_{2}||_{2} ||g_{1}||_{2} ||u||_{\infty} + \frac{1}{2} ||g_{1}||_{2} ||g_{2}||_{2} ||u||_{\infty} = ||f||_{1} ||u||_{\infty}.$$