

Embedding IoT in Large-scale Socio-technical Systems: A Community-Oriented Design in Future Smart Grids

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Abstract In traditional engineering, technologies are viewed as the central piece of the engineering design, where the physical world consists of a large number of diverse technological artifacts. The real world, however, also comprises a huge amount of social components – people, communities, institutions, regulations and everything that exists in the human mind – that have shaped and been shaped by the technical components. Smart urban ecosystems are examples of such large-scale socio-technical systems that rely on technologies, particularly Internet-of-Things (IoT), within a complex social context where the technologies are embedded. Despite that the two aspects are deeply intertwined, designing applications that embed IoT in large-scale socio-technical systems is slowly transitioning from a traditional engineering approach towards a socio-technical approach. The latter has not yet entered the mainstream of design practice. In this chapter, we present our experience of adopting a socio-technical approach in designing a community-oriented smart grid user application. The challenges, implications and lessons learned are discussed. The chapter is concluded by offering a set of good design principles derived from this experience, which are also relevant to the design of other smart urban ecosystems.

1 Introduction

The traditional science and engineering philosophy is dominated by technological determinism, the idea that technology determines societal development [36, 46, 53]. Within this reductionist view, technologies are the central piece of the engineering

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design, where the physical world consists of a large number of diverse technological artefacts. The plausibility of this view is challenged by the socio-technical systems view [57] which argues that technological and social development form a “seamless web” where there is no room for technological determinism or the autonomy of technological systems [21]. The latter view is premised on the interdependent and deeply linked relationships among the features of technological artefacts or systems and social systems (i.e. the mutual constitution) [46], since the man-made world also comprises a huge amount of social components – people, communities, institutions, regulations, policies and everything that exists in the human mind – that have shaped and been shaped by the technological components [25, 57]. In this view, engineering design is identified as a process through which technologies materialize into products, a process that substantively shapes and reshapes our lives and societies and vice versa [31]. This focus on socio-technical interconnectedness becomes even more visible in designing new emerging technologies [31].

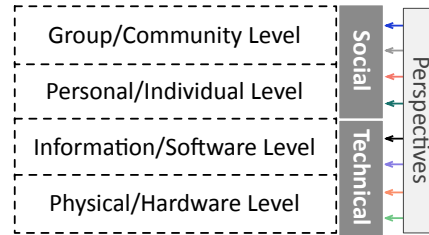
Smart cities, for example, use technologies such as Internet-of-Things (IoT) within a large complex social context where they are embedded. The goal is to facilitate the coordination of fragmented urban sub-systems and to improve urban life experience [22]. The rise of IoT has important socio-technical implications for people, organizations and society. It is obvious that connecting devices is technically possible, we yet know little about its implications [49]. A socio-technical perspective can be insightful when looking at dynamic technological development and when considering sustainable development [49]. Although socio-technical systems have been studied for decades, socio-technical approaches are relative new to the design and systems engineering communities [3, 38, 46]. Such approaches are not widely practised despite growing interests [3].

Through this chapter, we review the literature and present our experience of adopting a socio-technical approach in designing a community-oriented smart grid user application. We discuss the challenges, implications and lessons learned from this design experience, and conclude the chapter by offering a set of good design principles which are also relevant to the design of other smart urban ecosystems.

2 Designing in Large-scale Socio-technical Systems

Socio-technical systems are systems arising through encompassing people communicating with people whose interactions are mediated (at least partially) by technology rather than the natural world [63]. The term “socio-technical” embodies both a research perspective and a subject matter [33]. Facing a complex system, researchers from different disciplines often examine the system from their own perspectives. Engineers, for example, see hardware systems, computer scientists see information systems, psychologists see cognitive systems, sociologists see social systems – in fact,

Fig. 1 Levels of socio-technical systems viewing from different perspectives: the levels are not different systems but overlapping views of the same system. Adapted from [63, 65]



no discipline has a monopoly on science and all those views are valid [65]. Figure 1 uses the notion of system levels to illustrate this perspective difference in socio-technical systems [63, 65]. Notably, the levels in Figure 1 are not different systems nor partitions of systems, but overlapping views of the same system corresponding to the engineering, computing, psychological and sociological perspectives [63]. A socio-technical systems view is one that incorporates and meaningfully interconnects all four levels of considerations: the upper two levels (Group/Community and Personal/Individual) together being social and the lower two (Information/Software and Physical/Hardware) technical. Each upper level can be seen as “arising” or “emerging” from the lower levels. For example, personal cognitions “emerge” from information exchanges supported by software, which “arises” from hardware [63]. The higher a level of view, the higher its degree of abstraction, and the less deterministic and predictive it becomes. With the levels of difference perspectives in mind, the socio-technical systems view can be articulated as the recognition of three fundamental properties as follows [46].

First, the mutual constitution of people and technologies. This mutual constitution (by the social and the technological) generates complex and dynamic interactions among technological capacities, social norms, histories, situated context, human choices, actions and so on. In socio-technical systems, social interactions are enabled or supported by technological means. The two adapt to one another, which is referred to as the mutual adaptations.

Second, the contextual embeddedness of the mutuality. The context of a sociotechnical system is not taken as static or delineable. There are dynamic situational and temporal conditions that influence the mutual adaptations throughout the course of design, development, deployment, uses and even retirement phases of the systems of interest.

Third, the importance of collective action. Collective action refers to the joint pursuit of one or more shared (potentially conflicting) goals by two or more interested parties such as problem owners, shareholders, users and communities affected (without implying positive or negative outcomes). It shapes and is shaped by both the context and the technological components.

Researchers who hold a socio-technical systems view investigate more than just the technological (sub-)system or just the social (sub-)system or even the two side by side, but also the phenomena that emerge when the two interact [33]. A socio-technical approach tries to abstain from oversimplifications that seek a single or

dominant cause of change, but studies the complexity, dynamic and uncertainty in the networks of institution, people and technological artefacts in the process of technologically involved change [46]. The levels of perspectives and the three fundamental properties of socio-technical systems aforementioned help researchers organize, categorize and allocate their inquiries and knowledge.

What does a socio-technical systems view mean to design in particular? The rest of this section discusses this in three interrelated parts: the impact of a socio-technical systems view (I) on the understanding of the design problems, (II) on the design process, and (III) on the products or results of the design process.

Understanding the Design Problems or Situation Designing in socio-technical systems is becoming increasingly challenging partly due to the increasing systems complexity and scale. Large-scale socio-technical systems often are not designed as a whole by one team in one project, but are incrementally “piece by piece” transformed and evolved from many generations of “legacy” systems. Designers and engineers are therefore faced with ill-structured or wicked problems that are not straightforward to determine what systems boundaries to choose, what issues to address and what aspects to consider regarding the design. [BC]

A socio-technical systems view by definition advocates a systemic approach towards understanding, diagnosis and analysis. Developing an understanding of the design problems or situation entails firstly looking into the roles, powers, interests and requirements of the stakeholders involved [12]. Pragmatically, a designer can start with higher level (more abstract) views and dive into the lower level (less abstract) ones, i.e. from group/community level towards physical/hardware level. At each level, the designer tries to understand and/or determine the corresponding goals to be achieved (or problems to be tackled) [12, 60] and requirements to be fulfilled [66].

In a socio-technical approach, social requirements must become part of technical design. Without this, a “social-technical gap” emerges – a deficit between what society needs and what technology does [64].

Design Process The design process of socio-technical systems can be seen as a decision-making process where the problem owners, shareholders, users, etc. participate to represent their interests. Often, the design process is so complex that the process itself can be deemed as a socio-technical system [3].

Designers are therefore working *in* the context of some socio-technical system with the intention of changing or improving some part of that system [BC].

It is often conceived and implemented in participatory decision-making processes actively involving stakeholders

Products or Results of the Design Process kln

Products of the design process these not only consists of technological artifacts but also may include rules for behaviour, policies, etc. through which

the designer wish to intervene in social-technical systems. what is it that we are designing?

Design process The design process can be seen as a decision-making process where the problem owners, shareholders, users, etc. participate to represent their interests. It is often conceived and implemented in participatory decision-making processes actively involving stakeholders

The evolutionary nature of large-scale socio-technical systems means that what matters more in the design is the design process itself, more than the “final status” of the system [49, ?] because the socio-technical system keeps evolving and exhibits emergent behaviour [37]. An important goal of the design process is to make the design (a product or system) relevant to the evolving context [49, ?] as social and technical artifacts exist within their socio-technical context [BC].

can be put in the discussion: acontextual and detemporalized perspective approaches, general solution, is self-limiting focus on situating work and seek to examine all contextual factors, this types of inquiry attempt to construct a holistic view of context: one that does not diminish or remove contextual elements, even those with limited influence. paying little attention to the environment of the organization and temporal dimension of technological innovation [46]

Use and combine content in: (the literature can be connected, e.g. problems mentioned in Norman and Baxter can be mapped to the four layers in Whitworth)

1. [38] (design problems in large-scale socio-technical systems) and
2. [3] (socio-technical approach to systems engineering)
3. [63] (four system levels of Socio-technical systems);
4. [49] (a very good article about IoT, socio-technical perspective)
5. see also <https://medium.com/rettigs-notes/notes-on-sociotechnical-systems-design-178f161bc9e8>

3 IoT for the Smart Grid

The term Internet of Things (IoT) was coined by Ashton in 1999 [1] while introducing RFID technology in the context of supply-chain management. The meaning has evolved during the past years. International Telecommunication Union (ITU) de-

defines IoT as the worldwide network of interconnected objects uniquely addressable based on standard communication protocols. While the Internet has led to the interconnection of people at an unprecedented scale, the IoT is expected to interconnect also the objects around us, leading to a smart environment [24].

On the most general level the IoT can be divided into three following layers:

- comprehensive sensing (perception layer),
- reliable transmission (network layer), and
- intelligent processing (application layer).

Another term that is often used interchangeably with IoT is *ubiquitous computing* coined by Weiser [61]. Ubiquitous computing is defined as “the physical world that is richly and invisibly interwoven with sensors, actuators, displays, and computational elements, embedded seamlessly in the everyday objects of our lives, and connected through a continuous network” (ibid.). While the IoT describes connected devices, ubiquitous computing focuses on the smart environment in which computing is pervasive. Hence, the two terms take a different stance and focus on different aspects of what is envisioned to become the Future Internet. The vision put forward by Weiser [61] led to a fruitful new field within computer science (ubiquitous computing). However, 15 years later, Rogers [44] offered a constructive critique of this vision. Namely, Rogers argued that we should switch from a computing approach to a human approach in developing the smart environment. In particular, the original vision suggested that ubiquitous computing can lead to an environment that is predicting and adapting to the people’s needs, while the people were considered passive elements. Rogers argues the opposite: “To make this happen, however, requires moving from a mindset that wants to make the environment smart and proactive to one that enables people, themselves, to be smarter and proactive in their everyday and working practices.”

Yun and Yuxin [68] discuss the possibilities of the IoT to bring about the *smart grid* through sensors, novel telecommunications and computing technologies. The sensors, such as smart, temperature, and illumination meters, collect energy and environmental data. They can also form a high-speed, real-time and bidirectional connection between the consumers, utilities and the electrical grid. It is envisioned that such an improved data collection and communication can support the decision making and in turn improve the overall efficiency of the grid. Interestingly, the technology at the heart of the IoT, the Internet itself, consumes up to 5% of the total energy spent today in the world. Given the expectation of connecting billions of new devices, this consumption is expected to go up [24].

One of the key application areas of IoT is envisioned to be in the smart residential buildings [47]. Among a number of smart devices that are interconnected and installed in such buildings, the devices that support the smart grid development, such as smart energy, temperature, illumination and other types of environmental meters, will also be present. According to Zygiaris’ Smart City Reference Model [70], there are different innovation layers that can be used to describe the smart innovation and development characteristics within the smart cities. IoT should play an important role in several of those layers: from the interconnection layer with

a number of sensors and actuators, through the integration layer monitoring those smart devices, to the intelligent applications layer making use of the real-time data. In China, in particular, among the largest portions of the IoT market is envisioned for the development of the smart grid [50].

When it comes to the smart grid, there are the dimensions of demand and supply of energy that can be tackled. Tackling the demand should involve the users [58]. While the focus on technology is still too strong and some smart grid players still perceive the users themselves as the barriers to the smart grid development process, we instead need to understand to what extent the users can act as solution to the sustainability pathway. IoT is predicted to enable transparent energy consumption information of different services in cities, from lighting, through public transport, to heating and air conditioning of public spaces [69]. Moreover, the real-time, bi-directional connectivity between the utilities, grid and the users is suggested to lead to the improved overall efficiency of the grid [68, 35]. Finally, in the future smart homes, devices are expected to cooperate, actively share their energy and participate in building wide energy management systems [30]. It is apparent how in such a context, where IoT meets the smart grid, innovative services and business applications emerge, but also security, privacy and trust gain novel importance.

Through this chapter, we review the literature on applying IoT to support the design and development of the smart grid.

4 CIVIS: A Community-Oriented Design in Future Smart Grids

4.1 *Understanding and Formulation of the Design Situation*

Since more than two decades the ongoing and long-term energy transition shifted the energy domain towards decentralization, distributed production and renewable sources [43, 54]. Several general and intertwined aspects contribute to this transition: (i) the awareness of the inherent complexities that exist among energy systems, societies and the environment [6, 56]; (ii) the widespread diffusion of new, enhanced technologies and their hybridization with contemporary ICTs [42, 48]; (iii) the pursuit of national and supranational energy policies around energy efficiency, sustainability and low carbon emissions [15]; and (iv) the emergence of new actors in the energy value chain, such as energy cooperatives and energy communities [59], or the transformation of old ones, such as housing associations, and amateur energy managers [26].

CIVIS work took place under European Unions interest to foster energy transition by tackling the so called societal challenge of efficient energy. The vision of smart grids and the use of ICTs were the main drivers for the project's ambition to reconfigure the relationships among traditional and emerging actors in the energy

value chain – *i.e.* distributors, producers, retailers and prosumers, cooperatives. In particular, CIVIS was a three year, EU project¹ funded under the *FP7 Smart Cities* framework, that pursued the design, prototyping and real-life testing of a platform for the improvement of energy behaviours in the domestic sector. The project was structured around three main areas of interest – *i.e.* energy, ICT, and social innovation – and organized into three broad phases that roughly overlapped with the project years and that ensured a close interaction with the local realities and contexts of the pilots: (i) an exploratory phase, used to align CIVIS overarching objectives with the local contexts needs; (ii) a prototyping one, which concerned the actual design and development of the platform (from data monitoring devices to the front-end applications); and (iii) a final testing phase which included the full scale deployment of the platform in the pilots for usage and assessment purposes.

Concretely, CIVIS' platform goals were to increase energy awareness, by making energy behaviours more visible, to promote environmental and social values, to increase citizens' know-how about sustainable consumption, and to help them in improving energy behaviours in their everyday life and together with local communities.

These interests built upon existing research and emerging trends. For instance, research topics linking the potential of Social Networks (SNs) with that of smart grid applications have caught great attention in recent years, following the success of several popular platforms [4, 13, 19, 20, 28]. Some conducted surveys to understand user needs for energy services combining SNs [51]. Some studied connecting smart meters (or smart homes) for energy management and sharing [14, 55]. Simulation models are developed to study value-added web services [16, 34, 11] and to demonstrate the feasibility of coordination in meeting energy targets [67, 52]. Finally, works that visualize smart meter and appliance-level consumption data to enable comparative feedback among households are also increasing [39, 62, 18].

However, we also wanted the overarching purpose, the underlying infrastructure and the core features of **CIVIS Platform** [*how do we refer to it? as platform or as STS?*] to be able to integrate into rather different contexts, to meet diverse needs and expectations as well as to serve various types of user. This is why, in CIVIS, the pilot sites were understood more as sources of collaborative design and development, rather than just as possible recipients of a technology to be tested.

Italy and Sweden hosted two pilots each. In the former, the work focused on cooperative owned electricity provision. In the latter, it concerned housing cooperative's energy management in apartment buildings. In brief, the two municipalities of Storo and San Lorenzo, in Trentino Alto-Adige (a region in north-west Italy), included the Italian pilots. Here, two electric cooperatives, producing and selling 100% renewable energy to their associate members, together with two samples of recruited associate member households acted as the main stakeholders. The regional distribution system operator (DSO), the institutional representatives of the two municipalities and two local cultural associations participated as stakeholders in various phases of the project, by providing knowledge and support for techni-

¹ http://cordis.europa.eu/project/rcn/110429_en.html

cal aspects related to energy and households engagement. Similarly, the area of Stockholm hosted the two Swedish pilots. One involved the residential and central neighbourhood of Hammarby Sjöstad, which included apartment buildings owned by housing cooperatives². Recruited households from the cooperatives and cooperatives' board members acted as key stakeholders here. The other pilot concerned a townhouse area in the outskirts of Stockholm: Fårdala. In this townhouse area the local residents association and some of its member households participated to CIVIS.

Ultimately, and at the general level, the design problem areas converged on two different sets of problems depending on the two countries. In Italy, the platform had to integrate into energy communities to support efforts of demand-side management³. In Sweden, it had to support knowledge sharing about energy management practices at building and apartment levels.

TODO

Evaluation

After a successful deployment, YouPower was evaluated in the test sites in Sweden and Italy. We collected in parallel, the data on user engagement with the app and on energy prosumption. The initial evaluation revealed how the Trentino residents engaged with a weather feature that predicts the solar energy production levels, while the Stockholm housing association managers used the community features to engage with the residents and connect with other managers.

Some of the tangible results in the Italian test site include the savings in the electricity and heating energy spent or produced [32]:

- percent of self-consumption of the PV self-produced energy is increased for more than 50% of the users comparing to the period before CIVIS;
- electricity consumption from the grid is reduced for the same period for more than 50% of the consumers;
- total electricity consumption (including both, from the grid and the PV self-consumption) is reduced for the same period for more than 50% of the consumers;
- the users spent less than 11% of hours in overheating their spaces.⁴

The housing cooperatives (BRF; bostadsrättsförening in Swedish) in Stockholm conducted following actions as a result of the YouPower use [32]:

² In Sweden, those who buy a home officially own the right to inhabit the estate and must join a corresponding *housing cooperative* that owns and maintains the estates. The members of a cooperative annually elect a board that makes energy related decisions on behalf of the members.

³ *E.g.* Moving peaks of electricity demand, towards peaks of local energy production or, in other words, improving the self-consumption capabilities of the electric cooperatives and their associate members

⁴ The heating behaviour of CIVIS users (control of space heating), met the recommended standard values.

- BRF Grynnan: adjustments of ventilation system and turning off the outdoor ice melting system (resulting in reductions in heating);
- BRF Sjöstaden 1: extra insulation added to the roofs in May 2015 (lead to a decrease in heating consumption); installation of heat recovery heat pumps in February 2016 (lead to some increase in electricity consumption but the overall savings);
- BRF Älven: ventilation optimization due to lower thermal comfort, however the energy use went up;
- BRF Seglatsen: installation of recovery heat pumps in the building (reduction in the heating consumption by around 60%, and since electricity consumption increased, overall savings are about 40%).
- BRF Hammarby Kanal: ventilation optimization;
- BRF Hammarby Ekbacke: a goal based energy reduction in a new business model for the housing associations where they do not have to pay upfront costs for the energy actions, and then part of the savings goes to the ESCO (European Skills, Competences, Qualifications and Occupations) for a fixed time period.

To assess the long term effectiveness of the CIVIS social energy intervention, after a certain period of time, it would be beneficial to fuse the collected data from the app with those about the consumption/prosumption.

Limitations

The initial lack of data due to acquisition and privacy issues in the residential setting was among the main limitation in this project. We installed the IoT sensors to collect additionally needed data for using the introduced app.

4.2 Design Process (or participatory design?)

CIVIS design process was theory-driven, user-centered, collaborative and iterative. Indeed, a literature review was carried early in the project on the intervention strategies and the social smart grid applications for the promotion of environmental behavior change. This provided an initial, broad set of possibilities which had been iteratively, assessed, refined and improved throughout the design process with the collaboration and participation of basically all actors affected by it.

The rationale behind this approach rested on the conviction that applying a user-centered and collaborative design process to the development of large socio-technical system has positive theoretical, practical and ethical implications [23, 7] by, for instance, increasing users engagement, usability and integration into existing local conditions [5, 17, 40].

Along the three years, the process unfolded as a complex and articulated network of meetings and artefacts which strived to align the interests of different stakeholders

involved, from project partners to local stakeholders and end-users. We organized brainstorming sessions and design workshops, we run exploratory and evaluation focus groups with end-users in the test sites, as well as design workshops. Due to the limited space, here we only streamline the main aspects of the process and direct readers to [41] for a detailed study on how the process shaped the main outcomes of CIVIS.

In short, a constant work of alignment took place at a high level of abstraction mainly thanks to the use of user stories as key boundary object among stakeholders, expertises and local contexts. At a more concrete level, a set of platform features was prototyped in simple mock-ups and also used as a basis for discussion. These underwent iterative rapid prototyping which produced wireframes as better visual guides that could be more effectively communicated to end-users. Prior and after each iteration, exploratory activities on how to proceed and evaluation sessions for their outcomes took place in different venues and with different stakeholders – *e.g.* from participants in an environmental event [2] to stakeholders at test sites, and by groups of experts.

4.2.1 User stories

We adopted the tool of user stories [29] from Software Engineering and adapted it to the context of our socio technical system. User stories crossed CIVIS both horizontally (to the scope of the work packages) and vertically (to the needs of the two countries). In short, each user story identified a realistic scenario, a main scope of the energy intervention, the supporting ICT tools, and the central social dynamics. During the three years we drafted, refined, merged, abandoned and finalized them as part of our constant work of alignment and negotiation. We discussed them in internal workshops, round-tables with stakeholders, and focus-groups with participant end-users; we circulated them to software engineers and platform designers; we publicly presented them for feedback and used them as frames for collaborative workshops. They represented evolving artefacts that we consolidated in formal versions at the end of every year of activity.

4.2.2 Stakeholders meetings

These were held primarily at the level of the pilot sites, by involving CIVIS technical figures and the key local energy stakeholders. Roughly, they were held quarterly, although at the project's onset and during the most intense 'design phase' of the work, they occurred more frequently.

These meetings proved helpful for agreeing on the project overarching objectives at the local levels, but also for understanding the feasibility and rationality of the choices for the social and technical aspects of the platform. For instance, it required long discussions and negotiation the identification and selection of the energy monitoring devices to be installed in participants households for enabling the proper

granularity and availability of energy data. Indeed, the suitability of these devices could not be assessed at a technical level only (*e.g.* cost/efficiency, type of data, reliability, protocols). Typology of end-users, acceptability, and housing conditions⁵ also played an important role.

4.2.3 Exploratory and Evaluation Focus groups

These activities involved potential and actual participant household members, recruited for the project, and they were run as collective discussions. Usually they lasted around 2 hours and included between 6 and 8 discussants. In case of the exploratory meetings, the scope of the discussion was intentionally broad and it aimed at revealing possible latent needs or expectations, as well as discussing explicit ones. More importantly these were used to get first-hand knowledge about the social and cultural environment where the platform was to be deployed. On the contrary, the evaluation discussions had more specific focuses and involved concrete artifacts (*e.g.* an interface mock-up or app prototype) as a basis.

For instance, exploratory meetings helped us in putting in due perspective some of the features we initially thought would be welcomed by end-users, such as ‘sharing’ of energy performances or measurements typical of social network platforms. In our contexts, it was both difficult to grasp the meaning of such a feature, but it also raised some concerns related to privacy. At the same time, the intermediate evaluation activities allowed us to spot some limitations of our data visualizations (*e.g.* oversimplifications of energy data through some charts), and of the engagement and participatory process itself⁶ (*e.g.* expectation of more frequent interactions with the project).

4.2.4 Design workshops

These workshops involved concrete, hands-on activities done primarily with participant household members. Occasionally a few workshops took place among project partners or had a broader target. As it is typical of collaborative design approaches we adopted different workshop methodologies (*e.g.* brainstorming, future scenarios, collages, usage simulation) to suit diverse needs in the different phases of CIVIS. Ultimately, they allowed to identify the end-user requirements⁷ for the platform front-end as well as improving and tailoring the interface layout.

For instance, for the module of *Action suggestions*, the workshops were relevant for adjusting the various tips for energy conservation to the local contexts of use.

⁵ In Italy, participants were older and less tech-savvy, living in own independent, large houses; while in Sweden they were relatively younger and more tech-savvy, but living in smaller apartments in residential buildings.

⁶ A study of the end-users appreciation of the engagement and participatory process in the Italian pilots is published in [10].

⁷ A preliminary analysis of these emerging requirements in the Italian pilots is presented in [9].

These were in fact quite different between the two countries, and certain tips had no meaning when delivered to one or another country or they needed a different rationale for their presentation.

4.3 Main Outcomes of the Design Process

4.3.1 YouPower

[IMPORTANT] THIS IS JUST A PLACEHOLDER COPIED&PASTED FROM CONF PAPER. *IT NEEDS ADJUSTMENT*

Given time and resource constraints, the YouPower app can not be developed all-in-one cross-platform (for phones, tablets and computers). We chose to design the front-end as a hybrid mobile phone app, i.e. its UI design has layouts that suit phone screens, since mobile apps can be more easily transformed to web browser versions, while the reverse is more difficult. The back-end of the YouPower platform will remain mostly the same independent of the front-end alternatives.

CIVIS platform is composed of (I) the *energy sensor level services* mainly dealing with energy data collection; and (II) the *energy data level and social level services* mainly dealing with energy data analytics as well as user, household and community management among others.

(I) *Energy sensor level services*: CIVIS project installed hardware (smart plugs and sensors) and software required for appliance-level energy data collection. The hardware/software choices differ in the two sites due to local circumstances. For example, *Smappee*⁸ for 40 households in Stockholm, and *CurrentCost*⁹ for 79 households in Trento. Trento also installed Amperometric clamps for PV production measures. Household-level energy data is measured by smart meters and provided by local DSOs (Distribution System Operators).

(II) *Energy data level and social level services*: These services are provided by the YouPower app and its back-end. The design of the YouPower app (and its back-end) consists of three self-contained composable parts: (A) *House Cooperatives* (contextualized and deployed to the Stockholm test site); (B) *Demand-Side Management* (contextualized and deployed to the Trento test site); and (C) *Action Suggestions* (contextualized and deployed to both test sites).

⁸ <http://www.smappee.com>

⁹ <http://currentcost.com>

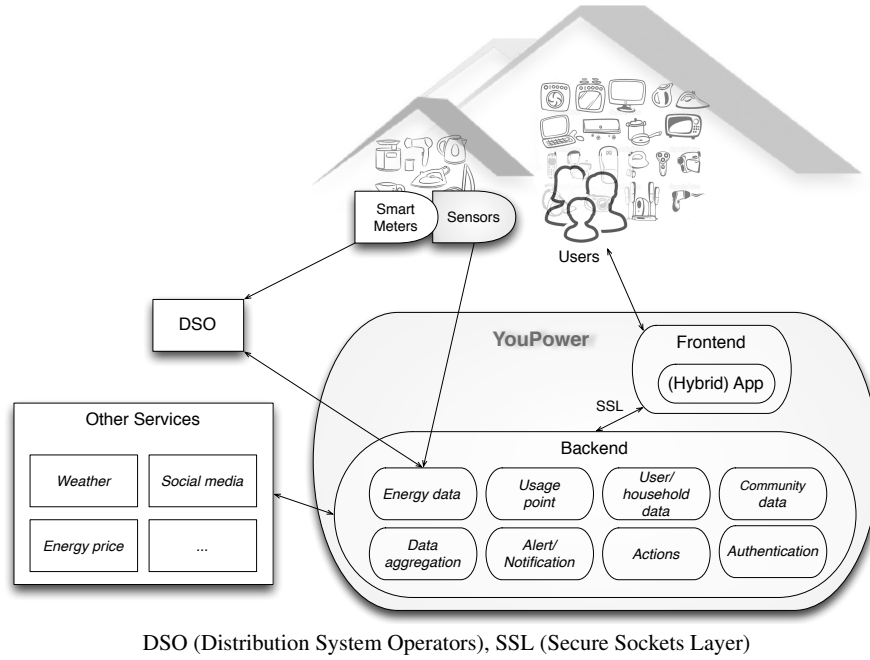


Fig. 2 YouPower Platform Overview

Housing Cooperatives

This part of the YouPower app is designed for the community of housing cooperatives (*Bostadsrättsförening* or *Brf* in Swedish) in the Stockholm test site [27]. Similar housing ownership and management models exist in a number of EU and non-EU countries, which allow potential wider application of the design. A housing cooperative annually elects a board which manages cooperative properties and decides on energy contracts, maintains energy systems, and proposes investments in energy efficient technologies. Since board members are volunteers who may have limited knowledge of energy or building management, this part of the app aims to support board members in energy management, in particular energy reduction actions. Cooperative members can also use the app to follow energy decisions and works of the cooperative. Additionally, the app can be of interest by building management companies working with housing cooperatives. The information presented in the app is visible for these user groups and shared between housing cooperatives. This openness of energy data is key to facilitating users in sharing experiences relevant for taking energy reduction actions.

Linking energy data to energy reduction actions

The design links energy data with energy reduction actions taken (Figure 3), both at cooperative levels, making the impact of energy actions visible to users. The energy use is divided into heating & hot water (from district heating), and facilities electricity (in apartment buildings). Users can switch between the views per month or per year to show overall changes. Users with editing rights, typically board

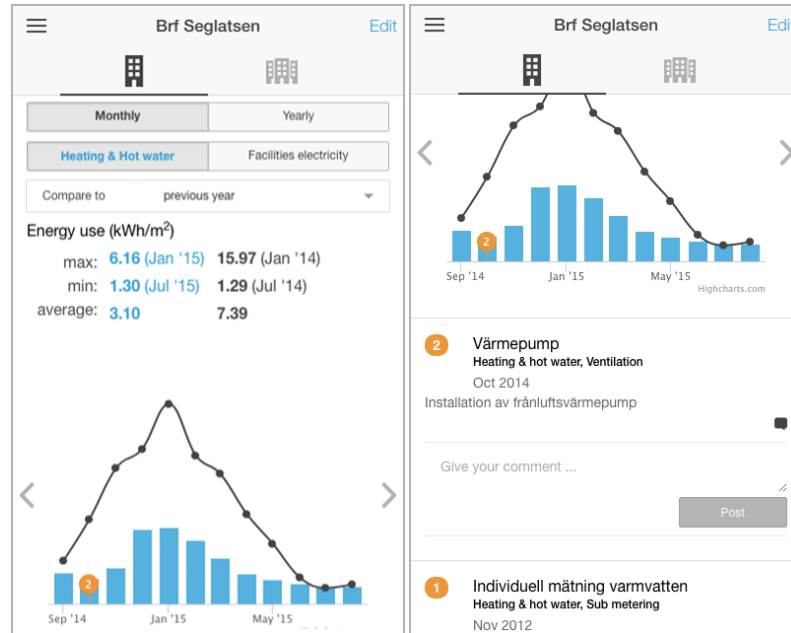


Fig. 3 Heating & hot water use graph. Blue bars show the current year's use per month; the black line shows that of previous year. Energy reduction actions taken are mapped to the time of action and listed below.

members, can add energy reduction actions that the cooperative has taken, e.g., improvement of ventilation, lighting or heating systems, and the related cost. Trusted energy or building management companies can also get editing rights to add energy reduction actions they took on behalf of the cooperative. Added actions appear at the month when each action was taken and are listed below the graph. When clicking on an action in the list, the details of the action are shown. To make the impact of actions visible, users can compare the energy use of the viewed months to that of a previous year. This can be used e.g. by a cooperative to explore what energy reduction actions to take in the future by learning actions taken by other cooperatives and what the effects were in relation to costs.

Comparing housing cooperatives

The cooperatives that are registered for the app are displayed in a map or list view (Figure 4). Their icons are color coded (from red to green) based on each coopera-

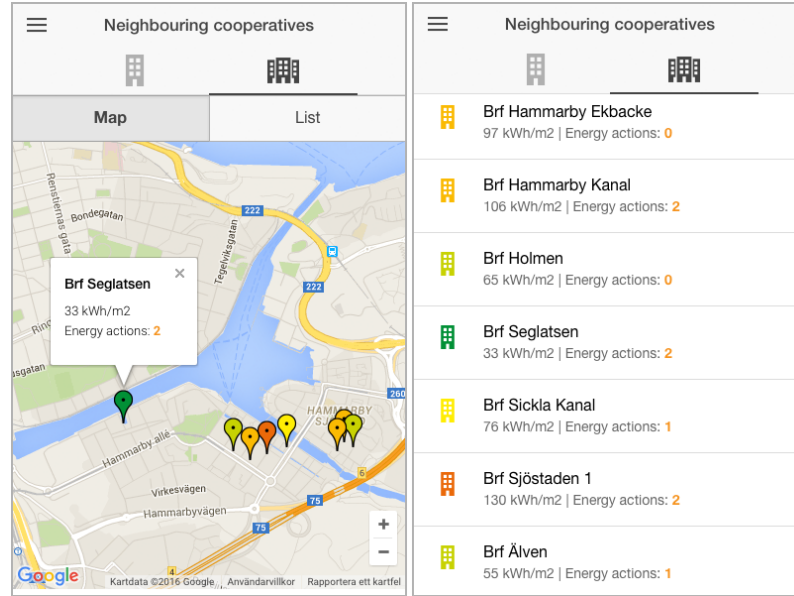


Fig. 4 Map and list view of participating housing cooperatives. The energy performance of cooperatives is indicated by colour and in numbers.

tive's energy performance, i.e. from high to low energy use per heated area, scaled according to the Swedish energy declaration for buildings¹⁰. Users can also see the energy performance as a number (in kWh/m²), and the information about energy reduction actions of the cooperatives. During stakeholder studies, energy managers in cooperative boards stressed the importance of knowing the difference between cooperatives in order to understand the difference in their energy performance. Thus, the design also includes information about cooperatives (Figure 5) such as the number of apartments and heated areas in a cooperative, a building's construction year, and types of ventilations (e.g. with or without heat recovery). Users can compare a cooperative's energy use per month or per year to another cooperative or to the neighborhood average. The electricity use is also displayed per area (kWh/m²) to make it comparable.

Sharing experiences

A cooperative interested in taking an action may wish to know more, e.g. which contractor was chosen for an investment and why or how to get buy-in from cooperative members. The design provides commenting functions for each action added, where users can post questions and exchange experiences. The cooperatives can also add email addresses of their contact persons, which are visible on each cooperative's app page. Sharing experiences certainly also happens outside of the digital

¹⁰ <http://www.boverket.se/sv/byggande/energideklaration/energideklarationens-innehall-och-sammanfattning/sammanfattningen-med-energiklasser/energiklasser-fran-ag/>

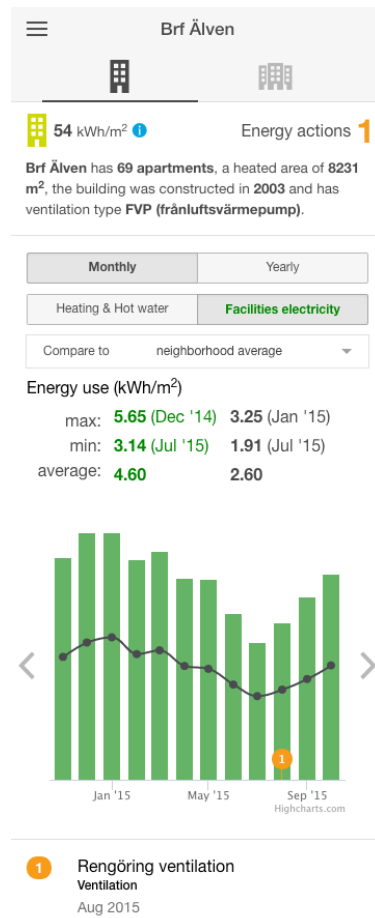


Fig. 5 Facilities electricity use graph. Information about housing cooperatives and actions is displayed at the top. Green bars show the housing cooperative's current year's use per month; the black line shows the average use of all housing cooperatives

world, e.g. during meetings of cooperative boards or with local energy networks. The app aims to support discussions and knowledge exchange also in such situations, where someone can easily demonstrate the impact of an energy investment with smart phones.

Demand-Side Management

This part of the YouPower app is designed for the Trento test site and can have wider application. It provides users historical and quasi real-time consumption and production information, and facilitates users to leverage load elasticity in order to

maximize self-consumption of rooftop PV productions. Energy data is displayed at appliances (if smart plugs are installed), household, and electricity consortia levels. Consumption at the appliance level enables users to gain deeper understanding of their daily actions and the resulting energy use. Historical and current consumption and production at the household level allow users to compare those two and potentially maximize self-consumption. Aggregated and average consumption at the consortia level informs users of neighborhood energy consumption and allows comparisons. In addition, dynamic Time-of-Use (ToU) signals are displayed to assist users in load shifting during their daily actions.

Historical and quasi real-time consumption and production

At the household level, electricity consumption and PV production levels (in W and Wh) are displayed in quasi real-time and updated for the latest six minutes¹¹. This information can also be displayed as a bar chart for a chosen period (in the past) to provide an aggregated daily overview of consumption vs. production (Figure 6). When smart plugs are installed, users can view the daily electricity consumption (in

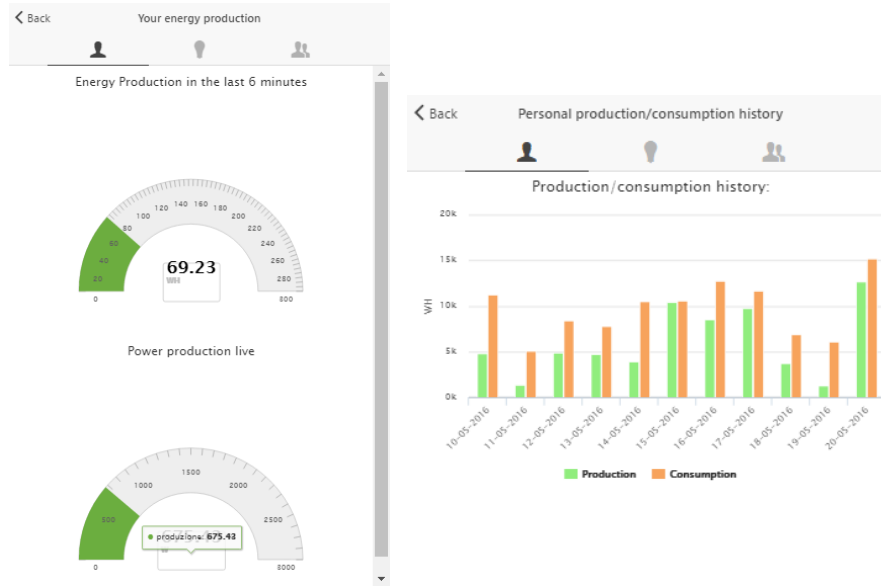


Fig. 6 (a) Quasi real-time meters for household PV production; (b) Household consumption vs. production for a chosen period

Wh) of the corresponding connected appliances of their own household for a chosen period (Figure 7 a). This helps them to gain better insights into the individual

¹¹ For technical reasons such as households' data transfer connections and processing time, there can be up to 2-min delay between the time of actual power measurement and the data displayed.

appliance's consumption level and its daily or seasonal patterns. With the aggre-

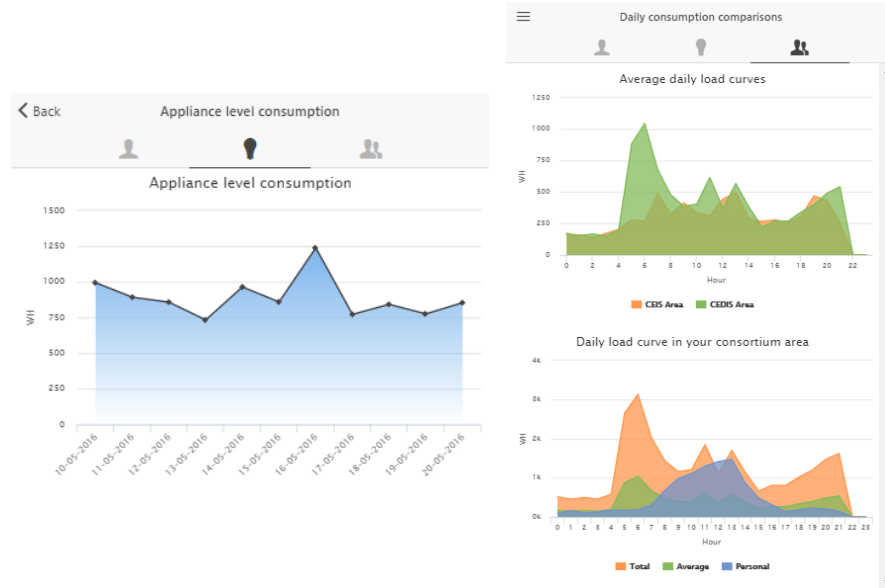


Fig. 7 (a) Daily electricity consumption at the appliance level for a chosen period; (b) A household's hourly consumption profile over a chosen day compared to the averages and totals of the consortia

gated energy data provided by the two local electricity consortia, users can also compare their own households' hourly consumption profiles over a chosen day to the averages and totals of the consortia to gain a sense of their relative performance compared to their peers (Figure 7 b).

Dynamic ToU signals

Dynamic ToU signals are provided to facilitate users' self-consumption of local PV productions. They give clear indications to encourage or discourage electricity consumption at a certain moment based on the forecasted local renewable production level calculated with open weather forecast information (in particular solar radiation data) and the local rooftop PV production capacity. The signals are at 3-hour intervals for the forthcoming 30 hours (Figure 8 a), and are updated every 24 hours. A green smiley face signals a time slot suitable for self-consumption where the forecasted local PV production exceeds the current local consumption, while an orange frown face signals otherwise. On a weekly basis, users get a summary of the proportion of their own household consumption that took place under green or orange ToU signals to allow them to reflect on their levels of self-consumption (Figure 8 b). The same information is also provided at the consortia level to enable peer comparison.

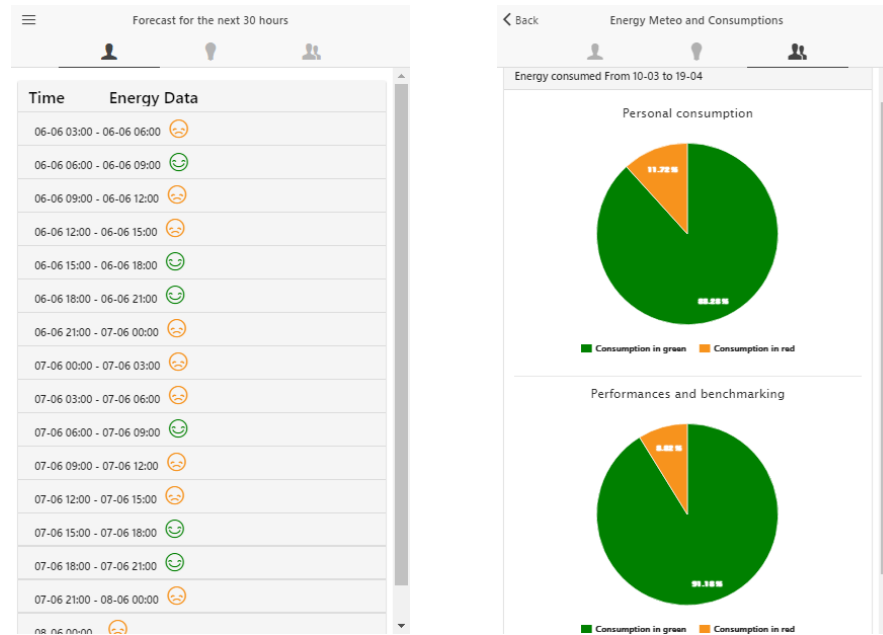


Fig. 8 (a) Dynamie ToU signals at 3-hour intervals for the forthcoming 30 hours; (b) A household's hourly consumption profile over a chosen day compared to the averages and totals of the consortia

Action Suggestions

This part of the YouPower app aims to facilitate all household members to take part in energy conservation in their busy daily life. About fifty action suggestions are composed to provide users practical and accurate information about energy conservation. They include one-time actions such as “Use energy efficient cooktops”, routine actions such as “Line dry, air dry clothes whenever you can”, as well as in-between actions (reminders) such as “Defrost your fridge regularly (in x days)”. Some suggestions may seem obvious and trivial, but as indicated by literature, people often has an attitude-behavior gap when it comes to environmental issues. The goal is to facilitate the behavior change process to bridge the attitude-behavior gap, making energy conservation new habits integrated in everyday household practices.

Free choice and self-monitoring of energy conservation actions

The actions are not meant as prescriptions for what users should do but to present different ideas of what they can do (and how) in household practices. Users can freely choose whether (and when) to take an action and possibly reschedule and repeat the action according to the needs and interests in their own context (Figure 9). After all, users are experts of their own reality. They also have an overview of their current, pending, and completed actions. A new action is suggested when one is completed. When an action is scheduled, its reminder is triggered by time. Users’

own choices of actions and the action processes facilitate the sense of autonomy which enhances and maintains motivation [45].

Promoting motivation and engagement

The design uses a number of elements to promote users' motivation and engagement. The suggestions are tailored to the local context by local partners and focus groups. Each action is accompanied by a short explanation, the entailed effort and impact (on a five-point scale) and the number of users taking this action. The design encourages users to take small steps (and not to have too many actions at a time) and gives positive performance feedback. In addition, users can invite household members, view and join the energy conservation actions of the whole household (Figure 10 a). Users can also login with Facebook, like, comment, share actions, give feedback (Figure 10 b c) and invite friends. Users are awarded with points (displayed as Green Leaves) once they complete an action, or provide feedback or comments.

4.3.2 Community Engagement Approaches (or models)

Another main outcome of the design process, which also reflects the potential richness of designing for large scale socio technical systems, rests at the level of community engagement. Indeed, approaches to the use, adoption and appropriation of the platform resulted for the pilots in the two countries. Indeed, the ambition to foster energy behavioural changes at the collective level of communities (or neigh-

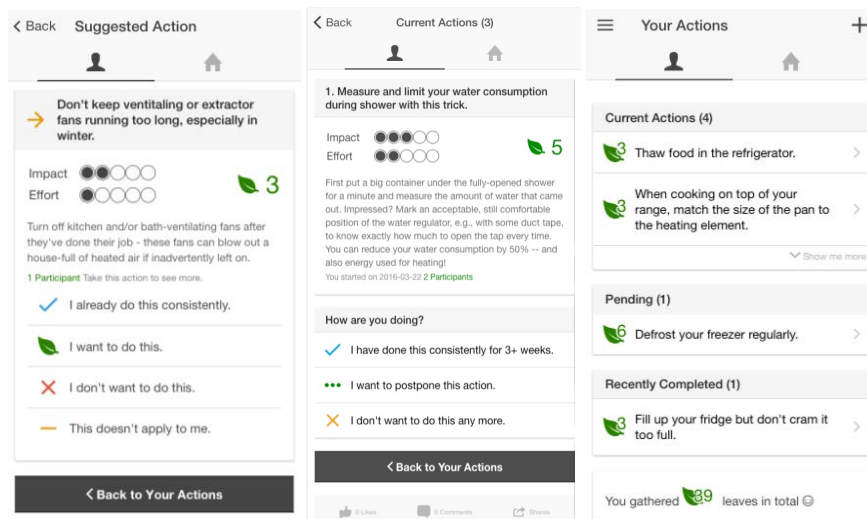


Fig. 9 (a) Action suggestion; (b) Action in progress; (c) User actions

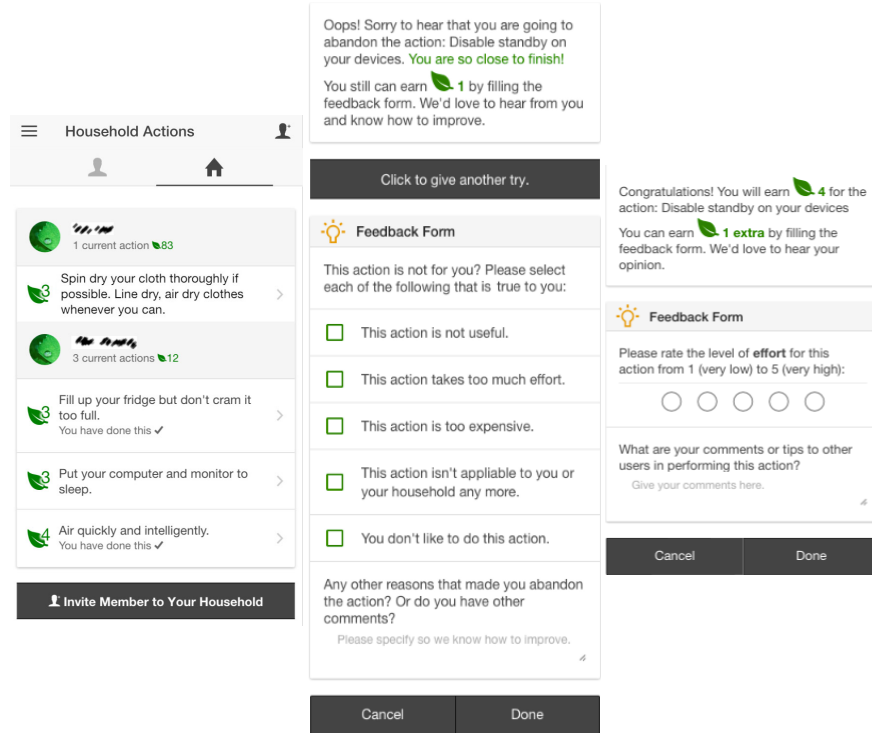


Fig. 10 (a) Household actions; (b) Feedback form – action abandoned; (c) Feedback form – action completed

borhoods), instead of simply aiming for individual technology adoption, it made clear the need to design for this too.

In the two national contexts, two different engagement processes which tried to stimulate the emergence of the social dynamics connected to change of energy behaviour accompanied the technology deployment and testing.

In Italy, a full fledged process named *participatory energy budgeting* (PEB) [10, 8] was run for the management and allocation of an energy bonus, collected through the collective effort of shifting demand toward hours of production peaks. Similarly to the original participatory budgeting, the process aims at promoting participation and decision making for the allocation of part of a public budget, however, instead of using public funds, it used an 'energy bonus' generated through community performances in load shifting. Youpower's module on Demand-side management would help participants to maximize such performance and therefore, increase the energy bonus to be managed collectively at the end of the PEB experience.

In Sweden, public evening meetings for housing association board members and energy managers were run to support collaborative use of the platform – Housing Cooperative's module. Basically these events tried to facilitate knowledge sharing

among attendees, and by creating shared content in the platform. Basically, best practices, recently adopted energy technologies or energy efficiency strategy were discussed, shared and created as content.

5 Discussions

Lessons Learned? / Design Guidelines?

6 Conclusions

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Table 1 Please write your table caption here

Classes	Subclass	Length	Action Mechanism
Translation	mRNA ^a	22 (19–25)	Translation repression, mRNA cleavage
Translation	mRNA cleavage	21	mRNA cleavage
Translation	mRNA	21–22	mRNA cleavage
Translation	mRNA	24–26	Histone and DNA Modification

^a Table foot note (with superscript)

Type 1 That addresses central themes pertaining to migration, health, and disease.
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Fig. 11 If the width of the figure is less than 7.8 cm use the `sidecaption` command to flush the caption on the left side of the page. If the figure is positioned at the top of the page, align the sidecaption with the top of the figure – to achieve this you simply need to use the optional argument `[t]` with the `sidecaption` command

Type 2 That addresses central themes pertaining to migration, health, and disease.
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Acknowledgements If you want to include acknowledgments of assistance and the like at the end of an individual chapter please use the `acknowledgement` environment – it will automatically render Springer’s preferred layout.

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