

## Recap Homomorphism & isomorphism

$$\varphi: G \rightarrow G' \quad \text{s.t.} \quad \forall g_1, g_2 \in G$$

$$\varphi(\underline{m}(g_1, g_2)) = \underline{m}'(\varphi(g_1), \varphi(g_2))$$

$$\underline{\varphi(g_1 g_2)} = \underline{\varphi(g_1) \varphi(g_2)}$$

$$\varphi(e) = e'$$

$$\varphi(g^{-1}) = \varphi(g)^{-1}$$

Homomorph.

$$\begin{array}{ccc} G \times G & \xrightarrow{m} & G \\ \varphi \times \varphi \downarrow & & \downarrow \varphi \\ G' \times G' & \xrightarrow{m'} & G' \end{array} \qquad \begin{array}{ccc} G & \xrightarrow{I} & G \\ \varphi \downarrow & & \downarrow \varphi \\ G' & \xrightarrow{I'} & G' \end{array}$$

①  $\varphi$  injective :  $\varphi(g) = e' \iff g = e$  → bijective

$$\Leftrightarrow \varphi(g_1) = \varphi(g_2) \Rightarrow g_1 = g_2$$

②  $\varphi$  surjective  $\forall g' \in G' \quad \exists g \in G \quad \varphi(g) = g'$

→ Isomorphism

isomorphic groups are the same

$$\varphi \text{ iso.: } \underline{G} \xrightarrow{\varphi} \underline{G'} \quad \text{Aut}(G)$$

kernel & image

$$\varphi: G \rightarrow H$$

$$K = \ker \varphi = \{g \in G : \varphi(g) = 1_H\}$$

$$\operatorname{im} \varphi = \varphi(G)$$

$$K \subset G \text{ subgroup}$$

$$\operatorname{im} \varphi \subset H \text{ subgroup}$$

$$\varphi \text{ iso.} \quad K = \{1_G\} \rightarrow \text{injectivity}$$

$$\operatorname{im} \varphi = H \rightarrow \text{surjectivity}$$

$$\mu_n \cong \mathbb{Z}_n \quad \varphi(r) := e^{i \frac{2\pi}{n} r}$$

$$\mathbb{Z}_n \rightarrow \mu_n$$

$$\pi: SU(2) \rightarrow SO(3) \quad u \in SU(2), \pi(u) \in SO(3)$$

$$u \vec{x} \cdot \vec{\sigma} u^\dagger = \sum (\pi(u)_{ij} x_j) \cdot \sigma_i$$

$$\pi(u_1 u_2) = \pi(u_1) \pi(u_2)$$

$$\Rightarrow \det(u \vec{x} \cdot \vec{\sigma} u^\dagger) = -\vec{x}^2$$

$$\Rightarrow \vec{x}^2 = \vec{y}^2$$

$$\det(\vec{y} \cdot \vec{\sigma}) = -\vec{y}^2$$

$$\pi(u) = \pi(-u)$$

$$\pi(u) \in O(3)$$

$$\det A = 1$$

"double cover"

$$u \approx \pm 1 \rightarrow \pi(u) \approx \pm 1$$

$$\pm \vec{x} \cdot \vec{\sigma} \cdot \pm 1 = \vec{y} \cdot \vec{\sigma} \quad \underline{\vec{y} = \vec{x}} \quad \pi(u) \in SO(3)$$

$$T: G \rightarrow \underline{GL(n, K)} \cong GL(U) \quad U = K^n$$

n-dim vector space

$$U = \{ \vec{e}_1, \vec{e}_2, \dots, \vec{e}_n \}$$

"matrix representation"

$$T(g_1) T(g_2) = T(g_1 g_2)$$

$$\left( \begin{array}{l} T'(g) = \underline{S} T(g) S^{-1} \\ e_i = \sum_{j=1}^n S_{ji} e'_j \end{array} \right)$$

$\mu_3$

$$T(1) = \underline{1}_3$$

$$T(w) = \begin{pmatrix} 0 & 0 \\ 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}$$

$$T(w^4) = \begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}$$

$12\varphi$

30.09.22

①

#### 4. Group actions on sets

Definition. Given any set  $X$ , the set of permutations

$$S_X := \{ f: X \xrightarrow{f} X : f \text{ 1-1 \& onto (invertible), } \} \\ \text{(bijectives)}$$

is a group under composition  $m(f_1, f_2) := f_1 \circ f_2$

$$\begin{array}{ccccc} X & \xrightleftharpoons[f_2^{-1}]{f_2} & X & \xrightleftharpoons[f_1^{-1}]{f_1} & X \\ & & & \nearrow & \\ & & & f_1 \circ f_2 & \end{array}$$

Definition. A (left) group action  $\Phi$  of  $G$  is a homomorphism:

$$\begin{aligned} \Phi : G &\rightarrow S_X \\ g &\mapsto \phi(g, \cdot) \end{aligned}$$

$$\phi(g, \cdot) : \underline{G} \times \underline{X} \rightarrow \underline{X} \quad \phi(g, x) \in X \quad (\forall x \in X)$$

$$\phi(g_1, \phi(g_2, x)) = \phi(\underline{g_1 g_2}, x) \quad (\text{R: } x \cdot g)$$

$$\phi(1_G, x) = x \quad (\forall x \in X)$$

$$\phi(g, \phi(g^{-1}, x)) = \phi(g g^{-1}, x) = \phi(1_G, x) = x$$

$$g \cdot x := \phi(g, x)$$

$$\underline{g_1 \cdot (g_2 \cdot x) = (g_1 g_2) \cdot x}$$

if a set  $X$  has a group action by a group  $G$   
 $X : G\text{-set}$ .

Example: 1.  $X = G$  action by multiplication

$$\text{def: } g \cdot x = gx \in \underline{G = X} \quad x \in X = G.$$

$$\textcircled{1} g_1 \cdot (g_2 \cdot x) = (g_1 g_2) \cdot x$$

2.  $X = G$  action by conjugation

$$\text{def: } g \cdot x = g x g^{-1} \in G = X$$

$$\begin{aligned} \textcircled{1} g_1 \cdot (g_2 \cdot x) &= g_1 \cdot (g_2 x g_2^{-1}) = g_1 g_2 x g_2^{-1} g_1^{-1} \\ &= (g_1 g_2) x (g_1 g_2)^{-1} \\ &= (g_1 g_2) \cdot x \end{aligned}$$

$$\textcircled{2} e \cdot x = e \cdot x \cdot e^{-1} = x$$

$$\begin{aligned} G \text{ is an abelian group } g \cdot x &= g x g^{-1} \\ &= \underline{g g^{-1} x = x} \quad \forall g \in G \end{aligned}$$

$$3. GL(n, K) =: G, \quad X = K^n, \quad v = (v_1, \dots, v_n)^T \in X$$

$$A \cdot \vec{v} = \sum_j A_{ij} v_j$$

matrix rep. a group action on  
the carrier space  $V$

#### 4 space group acts on $\mathbb{R}^3$

$$SG: \{g|\tau\}$$

$g$ : point group elements

proper & improper rotations  
reflections etc.

$\tau$ : translation

$$\text{def: } \{g|\tau\} \cdot \vec{r} = R_g \vec{r} + \vec{\tau} \quad R_g: 3 \times 3 \text{ on } \mathbb{R}$$

$$\begin{aligned} \underline{\{g_1|\vec{\tau}_1\} \{g_2|\vec{\tau}_2\} \vec{r}} &= \{g_1|\vec{\tau}_1\} (R_2 \vec{r} + \vec{\tau}_2) \\ &= R_1 (R_2 \vec{r} + \vec{\tau}_2) + \vec{\tau}_1 \\ &= R_1 R_2 \vec{r} + (R_1 \vec{\tau}_2 + \vec{\tau}_1) \\ &= \underline{\{g_1 g_2 | R_1 \vec{\tau}_2 + \vec{\tau}_1\}} \end{aligned}$$

$$\{g|\vec{\tau}\} = \left( \begin{array}{c|c} 1 & 0 \\ \hline \vec{\tau} & R_g \end{array} \right) \quad \vec{r} \rightarrow \begin{pmatrix} 1 \\ \vec{r} \end{pmatrix}$$

3x3

$$\{g_1|\vec{\tau}_1\} \{g_2|\vec{\tau}_2\} = \begin{pmatrix} 1 & 0 \\ \tau_1 & R_1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ \tau_2 & R_2 \end{pmatrix}$$

$$= \left( \begin{array}{c|c} 1 & 0 \\ \hline R\tau_2 + \tau_1 & R_1 R_2 \end{array} \right) \equiv \{g_1 g_2 | R_1 \vec{\tau}_2 + \vec{\tau}_1\}$$

Definition (orbits) Let  $X$  be a  $G$ -set  
 the orbit of  $G$  through a point  $x \in X$  is  
 the set

$$\underline{O_G(x)} \quad (Orb_G(x)) := \{ y : \exists g \in G, \text{ s.t. } y = g \cdot x \}$$

$$= \{ g \cdot x \mid \forall g \in G \}$$

$$x \sim y \quad \text{iff} \quad \exists g \in G. \text{ s.t. } g \cdot x = y$$

$$(i) \text{ reflexive: } x \sim x \Rightarrow (e \cdot x = x)$$

$$(ii) \text{ symmetric: } x \sim y \Leftrightarrow y \sim x \quad \begin{aligned} y &= g \cdot x \\ x &= g^{-1} \cdot y \end{aligned}$$

$$(iii) \text{ transitive: } x \sim y, y \sim z \Rightarrow x \sim z$$

$$\begin{aligned} y &= g_1 \cdot x \\ z &= g_2 \cdot y \end{aligned} \Rightarrow z = (g_2 g_1) \cdot x \quad \overset{G}{\in}$$

$O_G(x)$  are equivalence classes under group action.

Distinct orbits of  $G$  partition  $X$ :

$$\left\{ \begin{aligned} (1) & \quad \forall x \in O_G(x) \quad \underline{\forall x \in X} \\ (2) & \quad \underline{O_G(x_1) \cap O_G(x_2) \neq \emptyset \Rightarrow x_1 = x_2} \Rightarrow \underline{O_G(x_1) = O_G(x_2)} \end{aligned} \right.$$

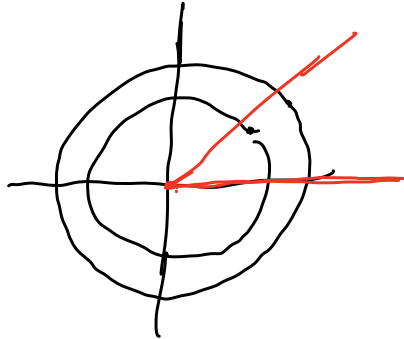
$X$  is covered by disjoint orbits

The set of orbits is denoted  $X/G$

## Examples

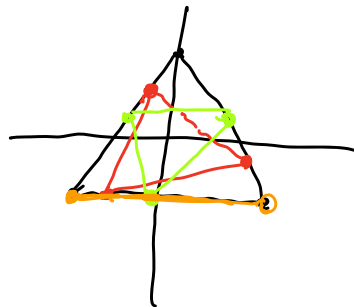
1.  $G = SO(2, \mathbb{R})$  on  $\mathbb{R}^2$

$$\begin{pmatrix} \cos \phi & -\sin \phi \\ \sin \phi & \cos \phi \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} x \cos \phi - y \sin \phi \\ x \sin \phi + y \cos \phi \end{pmatrix} \equiv \begin{pmatrix} x' \\ y' \end{pmatrix}$$



$$\mathbb{R}^2 / SO(2) : \mathcal{O}_G(x) \\ x \in [0, +\infty)$$

2.  $X = \Delta$   $G = C_3 = \{ R(2\pi/3), R(4\pi/3), R(6\pi/3) \}$   
 $\cong \mathbb{Z}_3$  or  $\mu_3 \subset SO(2, \mathbb{R})$



$$\Delta / C_3 : \text{orange line segment}$$

3.  $SE$  on  $\mathbb{R}^3$  : orbits "Wyckoff positions"

4.  $G = GL(n, \mathbb{K})$   $X = \mathbb{K}^n$   $n$ -dim vector space on  $\mathbb{K}$

orbits.  $\mathcal{O}_0 = \{ \vec{0} \}$

$$\mathcal{O}_* = \{ \vec{x} \in \mathbb{K}^n \mid \vec{x} \neq \vec{0} \}$$



$$5. G = \langle g \rangle \cong \mathbb{Z}$$

$$g^m \cdot g^n = g^{m+n} \rightarrow m+n$$

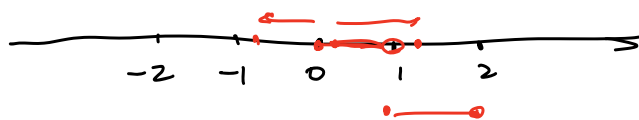
$$G \rightarrow \mathbb{Z}$$

$$O_n = \{ g^n \cdot x, n \in \mathbb{Z} \}$$

$\mathbb{Z}$  on  $\mathbb{R}$ :

" "  $\mathbb{R}$   
 $G$   $X$

$$n: x \mapsto x+n$$



$$\mathbb{R}/\mathbb{Z}, x \in [0, 1) \cong S^1$$

$$x \in \mathbb{R}/\mathbb{Z}, \quad p(x) := e^{2\pi i x}$$

$$\rightarrow \rightarrow \bigcirc S^1$$

$$6. G = \mathbb{Z}_2 = \{ e, \sigma \} \quad (\sigma^2 = e) \quad \text{acts on } \mathbb{R}^{n+1}$$

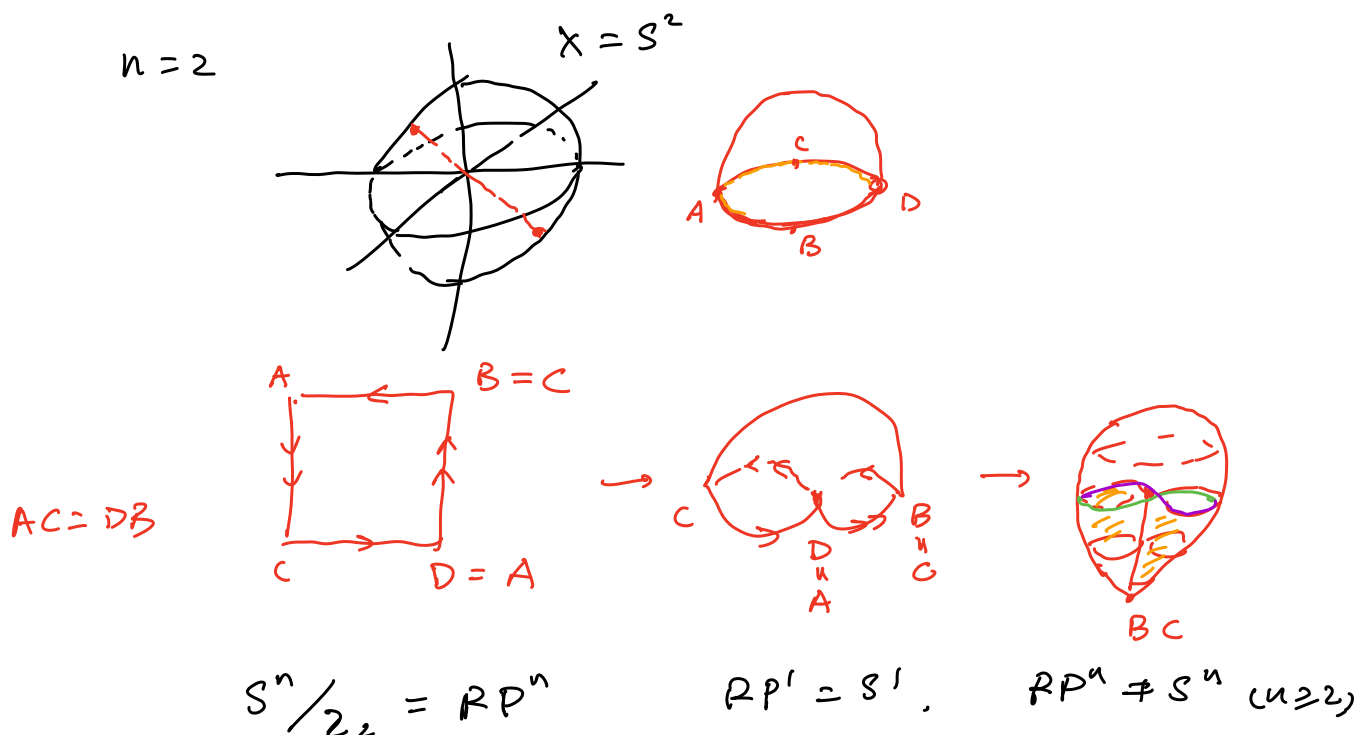
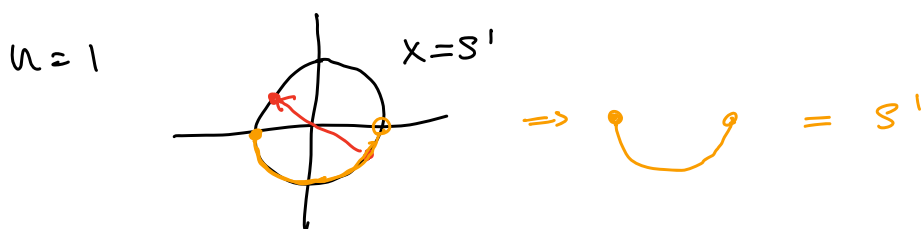
$$\sigma \cdot (x^1, \dots, x^{n+1})^T = (x^1, \dots, x^p, \underbrace{-x^{p+1}, \dots, -x^{p+q}}_{p+q=n+1})^T$$

$$\sigma = \begin{pmatrix} I_p & 0 \\ 0 & -I_q \end{pmatrix} \quad p+q=n+1$$

$$\sum_i (x^i)^2 = 0 \quad \text{is preserved.} \quad n\text{-dim } S^n$$

Consider  $p=0$   $q=n+1$

$$\sigma \cdot \vec{x} = -\vec{x}$$



7.  $G = \underline{SL}(2, \mathbb{R}) := \{ A \in M_2(\mathbb{R}) \mid \det A = 1 \}$

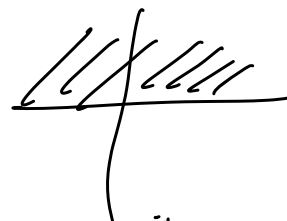
$$A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \quad ad - bc = 1$$

acts on complex upper plane

$$\mathcal{H} = \{ \tau \mid \text{Im } \tau > 0 \}$$

$$A \cdot \tau := \frac{a\tau + b}{c\tau + d}$$

"Möbius transformation"



1)  $A_1 \cdot A_2 = \begin{pmatrix} a_1 & b_1 \\ c_1 & d_1 \end{pmatrix} \begin{pmatrix} a_2 & b_2 \\ c_2 & d_2 \end{pmatrix} = \begin{pmatrix} a_1 a_2 + b_1 c_2 & a_1 b_2 + b_1 d_2 \\ c_1 a_2 + d_1 c_2 & c_1 b_2 + d_1 d_2 \end{pmatrix}$

$$\begin{aligned} \textcircled{2} A_1(A_2\tau) &= A_1 \cdot \left( \frac{a_2\tau + b_2}{c_2\tau + d_2} \right) = \frac{a_1( ) + b_1}{c_1( ) + d_1} \\ &= \frac{a_1(a_2\tau + b_2) + b_1(c_2\tau + d_2)}{c_1(a_2\tau + b_2) + d_1(c_2\tau + d_2)} \end{aligned}$$

i.  $A_1(A_2\tau) = (A_1 A_2)\tau$

ii.  $\rho = \mathbb{1}_2$

iii.  $A^{-1}$

$$\begin{aligned} \text{Im}(g\tau) &= \text{Im}\left(\frac{a\tau + b}{c\tau + d}\right) = \frac{\text{Im}[(a\tau + b)(c\tau + d)^*]}{|c\tau + d|^2} \\ &= \frac{\text{Im}[(a\tau + b)(c\tau^* + d)]}{|c\tau + d|^2} \quad \text{Im } \tau^* = -\text{Im } \tau \\ &= \frac{\text{Im}(\cancel{ac} \cancel{d^2} + \cancel{ad} \tau + \cancel{bc} \tau^* + \cancel{bd})}{|c\tau + d|^2} \\ g \in \text{SL}(2, \mathbb{R}) &= \frac{\text{Im}(\cancel{ad} \cancel{bc}) \tau}{|c\tau + d|^2} \\ &= \frac{\text{Im } \tau}{|c\tau + d|^2} \end{aligned}$$



$g \in \text{SL}(2, \mathbb{C})$  does not preserve the upper plane.

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Definition. Let  $X, X'$  be two  $G$ -spaces

An equivariant map  $f: X \rightarrow X'$

satisfy

$$\underline{f(g \cdot x)} = \underline{g \cdot f(x)} \quad \begin{array}{l} \forall x \in X \\ \forall g \in G \end{array}$$

$f$  "morphism of  $G$ -spaces"

$$\begin{array}{ccc} X & \xrightarrow{f} & X' \\ \Phi(g) \downarrow \wr & & \downarrow \Phi'(g) \\ X & \xrightarrow{f} & X' \\ f(\Phi(g \cdot x)) & = & \Phi'(g \cdot f(x)) \end{array}$$

Example.

1.  $G = \mathbb{Z}_2$  acts on  $\mathbb{R}^{n+1}$

$M: \mathbb{R}^{n+1} \rightarrow \mathbb{R}^{n+1}$  equivariant iff


$$M = \left( \begin{array}{c|c} A & 0 \\ \hline 0 & D \end{array} \right) \quad \begin{array}{l} A \in M_p(\mathbb{R}) \\ D \in M_q(\mathbb{R}) \end{array}$$

2.  $SL(2, \mathbb{R})$  on  $\mathbb{H}$



$$c: \mathbb{H} \rightarrow \bar{\mathbb{H}}$$

$$c(\tau) \mapsto \bar{\tau}$$

equivariant map 

3.  $\mathbb{Z}$  on  $\mathbb{R}$ .

$$\phi_n : x \mapsto x + n \quad n \in \mathbb{Z}$$

$f: \mathbb{R} \rightarrow \mathbb{R}$  equivariant iff.

$$f(x) = x + \alpha \quad \text{for some } \alpha \in \mathbb{R}$$

$$\begin{array}{ccc} \mathbb{R} & \xrightarrow{f} & \mathbb{R} \\ \phi \downarrow & & \downarrow \phi \\ \mathbb{R} & \xrightarrow{f} & \mathbb{R} \end{array} \quad \begin{array}{c} f(x) + n_1 = f(x + n_1) \\ \hline f(x) = x + \alpha. \end{array}$$

Group actions on sets  $\rightarrow$  induce actions on associated function space.

$X, Y$  two  $G$ -sets.  $\mathcal{F}[X \rightarrow Y]$  is the set of functions from  $X$  to  $Y$ .

$$\phi : G \times X \rightarrow X \quad \text{left } G\text{-action.}$$

We can define a corresponding  $G$ -action

$$\tilde{\phi} \text{ on } \mathcal{F}[X \rightarrow Y]$$

$$\tilde{\phi}(g, F)(x) := F(\phi(g^{-1}, x)) \in \mathcal{F}[X \rightarrow Y]$$

$$\underbrace{(g \cdot F)}_{\tilde{\phi}}(x) = F(\underbrace{g^{-1} \cdot x}_{\phi})$$

$$1. (1_a \cdot F)(x) = F(1_a^{-1} x) = F(x) \quad \checkmark$$

$$\begin{aligned} 2. [(g_1 \cdot g_2) \cdot F](x) &= (g_2 \cdot F)(g_1^{-1} \cdot x) \\ &= F[g_2^{-1} \cdot (g_1^{-1} \cdot x)] = F[(g_1 g_2)^{-1} \cdot x] \quad \checkmark \\ &= [(g_1 \cdot g_2) \cdot F](x) \end{aligned}$$

Example

$G: (g | \vec{\tau})$  on  $\mathbb{R}^3$

$F: \mathbb{R}^3 \rightarrow \mathbb{C} \rightarrow \varphi(\vec{r})$  wavefunction

$$(g \cdot \varphi)(\vec{r}) = \varphi(g^{-1} \cdot \vec{r})$$

