

# 近期工作总结

## 阅读CrossEntropySampling方法及复现论文效果

### motivation

这篇文章探索了如何在测试集中进行子集选择，挑选出的子集能够最真实的反应模型在实际测试集的准确率。这篇文章利用了分层抽样能够降低方差的思想，利用模型倒数第二层hidden state作为分层指标进行抽样，选择出的子集能够在保持准确率的前提下减少方差。

### method

优化方法：random walking

$$\min_{T \subset S, |T|=n} \overline{CE}(T) = - \frac{\sum_{i=1}^m \sum_{z_i=1}^K P_S^{e_i}(z_i) \log P_T^{e_i}(z_i)}{m},$$

where

$$P_S^{e_i}(z_i) = \frac{|\{x \in S \mid f_{e_i}(x) = z_i\}|}{|S|}.$$

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#### Algorithm 1 Test Input Selection

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**Input:** Original unlabeled test set  $S$ , DNN  $\mathfrak{M}$ , the budget  $n$  for labeling inputs.

**Output:** Selected test set  $T$  ( $|T| = n$ ) for labeling.

- 1: Selecting randomly  $p$  examples as the initial test set  $T$ .
- 2: **while**  $|T| < n$  **do**
- 3:   Randomly select  $\ell$  groups of examples,  $Q_1, \dots, Q_\ell$ . Each group contains  $\min(q, n - |T|)$  examples.
- 4:   Choose the group that minimizes the cross entropy, i.e.,

$$Q^* = \min_{Q_i} \overline{CE}(T \cup Q_i), i = 1, \dots, \ell. \quad (11)$$

5:    $T \leftarrow T \cup Q^*$ .

6: **end while**

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### 复现论文效果

阅读完论文后，我先跑通源代码中的实验，并复现了部分实验的结果（在MNIST数据集上），验证算法的正确性。

| No. | Train Set            | Model   | Operational Test Set | Actual Acc. (%) | E-Value CES/SRS |
|-----|----------------------|---------|----------------------|-----------------|-----------------|
| 1   | MNIST                | LeNet-1 | MNIST                | 93.1            | 0.588           |
| 2   |                      | LeNet-4 |                      | 96.8            | 0.655           |
| 3   |                      | LeNet-5 |                      | 98.7            | 0.708           |
| 4   | Mutant1 <sup>d</sup> |         |                      | 79.5            | 0.499           |
| 5   | Mutant2 <sup>a</sup> |         |                      | 77.3            | 0.380           |
| 6   | Mutant3 <sup>a</sup> |         |                      | 79.1            | 0.478           |

|         |         |        |        |        |
|---------|---------|--------|--------|--------|
| MNIST   | LeNet-1 | MNIST  | 94.86% | 0.577  |
|         | LeNet-4 |        | 96.79% | 0.619  |
| Mutant1 | LeNet-5 |        | 98.68% | 0.7237 |
|         |         |        | 79.53% | 0.443  |
|         |         |        | 77.27% | 0.6358 |
| Mutant2 |         | 79.14% | 0.548  |        |
| Mutant3 |         |        |        |        |

## 阅读Deepcore框架并在Deepcore框架下实现CES

我借鉴CES的源代码，将部分方法重新在Deepcore框架下重新实现，并在MNIST和CIFAR10,CIFAR100数据集上重新进行了测试，并得到了部分实验结果。

我对比了random sampling和cross entropy sampling两种方法在三个数据集上的表现，每次sampling选取测试集fraction=0.1的数据，并独立重复采样15次，得到均值和方差的结果如下图所示。

| datasets | model   | crossentropy sampling fraction=0.1 |             |             |         |         |         |         |         |         |         |         |         |         |         |         | mean        | variance    | true acc   |
|----------|---------|------------------------------------|-------------|-------------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|-------------|-------------|------------|
|          |         | 1                                  | 2           | 3           | 4       | 5       | 6       | 7       | 8       | 9       | 10      | 11      | 12      | 13      | 14      | 15      |             |             |            |
| MNIST    | LeNet-1 | 0.94726                            | 0.958208955 | 0.940298507 | 0.94428 | 0.94726 | 0.94627 | 0.95423 | 0.95124 | 0.95423 | 0.95025 | 0.95522 | 0.95025 | 0.95124 | 0.95323 | 0.94826 | 0.950116086 | 2.16213E-05 | 0.9486     |
|          | LeNet-4 | 0.96915                            | 0.978109453 | 0.964179104 | 0.96816 | 0.97413 | 0.96418 | 0.96716 | 0.96816 | 0.97114 | 0.97214 | 0.96617 | 0.97114 | 0.97214 | 0.9791  | 0.97015 | 0.970348259 | 1.95469E-05 | 0.9679     |
| cifar10  | ResNet  | 0.73433                            | 0.721393049 | 0.75422883  | 0.73632 | 0.74129 | 0.73632 | 0.7602  | 0.73433 | 0.72139 | 0.78209 | 0.75522 | 0.74129 | 0.74428 | 0.77612 | 0.75423 | 0.746202318 | 0.000289955 | 0.74940002 |
| cifar100 | ResNet  | 0.39502                            | 0.403980106 | 0.404975116 | 0.39104 | 0.41095 | 0.39403 | 0.39104 | 0.41592 | 0.39104 | 0.42289 | 0.42189 | 0.40398 | 0.40896 | 0.41493 | 0.39602 | 0.404444442 | 0.000117075 | 0.41080001 |
| datasets | model   | random sampling fraction=0.1       |             |             |         |         |         |         |         |         |         |         |         |         |         |         | mean        | variance    | true acc   |
|          |         | 1                                  | 2           | 3           | 4       | 5       | 6       | 7       | 8       | 9       | 10      | 11      | 12      | 13      | 14      | 15      |             |             |            |
| MNIST    | LeNet-1 | 0.947                              | 0.939999998 | 0.948000014 | 0.947   | 0.936   | 0.948   | 0.95    | 0.95    | 0.96    | 0.939   | 0.944   | 0.948   | 0.948   | 0.941   | 0.935   | 0.946733336 | 4.09237E-05 | 0.9486     |
|          | LeNet-4 | 0.97                               | 0.967000008 | 0.970000029 | 0.975   | 0.967   | 0.96    | 0.968   | 0.978   | 0.968   | 0.963   | 0.962   | 0.966   | 0.962   | 0.962   | 0.965   | 0.968666672 | 2.4981E-05  | 0.9679     |
| cifar10  | ResNet  | 0.734                              | 0.758000016 | 0.736000001 | 0.733   | 0.777   | 0.736   | 0.75    | 0.736   | 0.765   | 0.728   | 0.744   | 0.773   | 0.739   | 0.737   | 0.749   | 0.746333337 | 0.000219289 | 0.74940002 |
| cifar100 | ResNet  | 0.394                              | 0.393999994 | 0.409000009 | 0.395   | 0.422   | 0.423   | 0.417   | 0.402   | 0.393   | 0.423   | 0.382   | 0.418   | 0.402   | 0.407   | 0.425   | 0.407066671 | 0.000175929 | 0.41080001 |

之后为了验证采样数据测试的模型准确率能够反映真实准确率，我还对其进行了假设检验，选取原假设 $H_0$ ：模型采样后测试的准确率的均值是true acc.采用显著性水平 $\alpha = 0.05$ ，以正态分布作为独立重复实验的噪声与true acc的偏差，假设检验的检验量与t值如下所示。

| datasets | model   | true acc | 检验量         | t_14(0.025) |
|----------|---------|----------|-------------|-------------|
| MNIST    | LeNet-1 | 0.9486   | 1.262781522 | 2.145       |
|          | LeNet-4 | 0.9679   | 2.144687386 |             |
| cifar10  | ResNet  | 0.7494   | 0.727307535 |             |
| cifar100 | ResNet  | 0.4108   | 2.274926691 |             |

# 将CES方法由TensorFlow模型迁移到pytorch模型

我把结果给学长看过之后学长觉得cifar10和cifar100两个数据集采用的模型准确率偏低，让我换两个模型训练后重新实验。

我在网上搜索相关的模型代码之后发现TensorFlow的模型相比于pytorch来说少很多，而且模型的准确率都更低，达不到学长要求的准确率。所以我只能使用pytorch模型，但是CES源代码中的相关方法都是在TensorFlow的框架下实现的，无法直接迁移到pytorch模型，因此我根据pytorch框架的相关方法对原方法进行了重新实现。

## 实验结果

| datasets | model     | crossentropy_sampling_fraction=0.1 |             |             |         |         |         |         |         |         |         |         |             |             |             | mean    | variance    | true acc    |        |
|----------|-----------|------------------------------------|-------------|-------------|---------|---------|---------|---------|---------|---------|---------|---------|-------------|-------------|-------------|---------|-------------|-------------|--------|
|          |           | 1                                  | 2           | 3           | 4       | 5       | 6       | 7       | 8       | 9       | 10      | 11      | 12          | 13          | 14          |         |             |             | 15     |
| MNIST    | LeNet-1   | 0.94726                            | 0.958208955 | 0.940298507 | 0.94428 | 0.94726 | 0.94627 | 0.95423 | 0.95124 | 0.95423 | 0.95025 | 0.95522 | 0.950248756 | 0.951243781 | 0.953233831 | 0.94826 | 0.950116086 | 2.16213E-05 | 0.9486 |
|          | LeNet-4   | 0.96915                            | 0.973109453 | 0.964179104 | 0.96816 | 0.97413 | 0.96418 | 0.96716 | 0.96816 | 0.97114 | 0.97214 | 0.96617 | 0.971144279 | 0.972139303 | 0.979104478 | 0.97015 | 0.970348259 | 1.95469E-05 | 0.9679 |
| cifar10  | ResNet    | 0.95423                            | 0.954228856 | 0.949253731 | 0.95721 | 0.94726 | 0.95025 | 0.95224 | 0.94726 | 0.95224 | 0.95821 | 0.94129 | 0.948258706 | 0.953342895 | 0.956423945 | 0.9548  | 0.951767143 | 1.92191E-05 | 0.9543 |
| cifar100 | efficient | 0.89796                            | 0.8475      | 0.879396985 | 0.85606 | 0.86967 | 0.90176 | 0.85859 | 0.86364 | 0.89413 | 0.84051 | 0.86902 | 0.882352941 | 0.889724311 | 0.861111111 | 0.88917 | 0.872705899 | 0.000313277 | 0.8697 |

| datasets | model   | random_sampling_fraction=0.1 |             |             |       |       |       |       |       |       |       |       |             |             |             | mean  | variance    | true acc    |        |
|----------|---------|------------------------------|-------------|-------------|-------|-------|-------|-------|-------|-------|-------|-------|-------------|-------------|-------------|-------|-------------|-------------|--------|
|          |         | 1                            | 2           | 3           | 4     | 5     | 6     | 7     | 8     | 9     | 10    | 11    | 12          | 13          | 14          |       |             |             | 15     |
| MNIST    | LeNet-1 | 0.947                        | 0.939999998 | 0.948000014 | 0.947 | 0.956 | 0.948 | 0.95  | 0.95  | 0.96  | 0.939 | 0.944 | 0.948000014 | 0.948000014 | 0.940999998 | 0.935 | 0.946733336 | 4.09237E-05 | 0.9486 |
|          | LeNet-4 | 0.97                         | 0.967000008 | 0.970000029 | 0.975 | 0.967 | 0.96  | 0.968 | 0.978 | 0.968 | 0.963 | 0.962 | 0.966000021 | 0.962000012 | 0.962000012 | 0.965 | 0.966866672 | 2.4981E-05  | 0.9679 |
| cifar10  | ResNet  | 0.954                        | 0.957       | 0.951       | 0.953 | 0.953 | 0.953 | 0.96  | 0.948 | 0.954 | 0.951 | 0.968 | 0.955       | 0.938       | 0.955       | 0.954 | 0.9536      | 3.69067E-05 | 0.9543 |
| cifar100 | ResNet  | 0.871                        | 0.865       | 0.858       | 0.873 | 0.846 | 0.88  | 0.862 | 0.882 | 0.866 | 0.86  | 0.867 | 0.862       | 0.881       | 0.868       | 0.875 | 0.867733333 | 8.83289E-05 | 0.8697 |

| datasets | model     | true acc | 检验量         | t_14(0.025) |
|----------|-----------|----------|-------------|-------------|
| MNIST    | LeNet-1   | 0.9486   | 1.262781522 | 2.145       |
|          | LeNet-4   | 0.9679   | 2.144687386 |             |
| cifar10  | ResNet    | 0.9543   | 2.237635512 |             |
| cifar100 | efficient | 0.8697   | 0.657741941 |             |

## 下一步工作

- 1.确定最佳的超参数p,q,l，兼顾效率与准确率。
- 2.目前算法效率不能满足实际需求，需要提升效率