

From galaxy mergers to massive black hole binary formation and coalescence



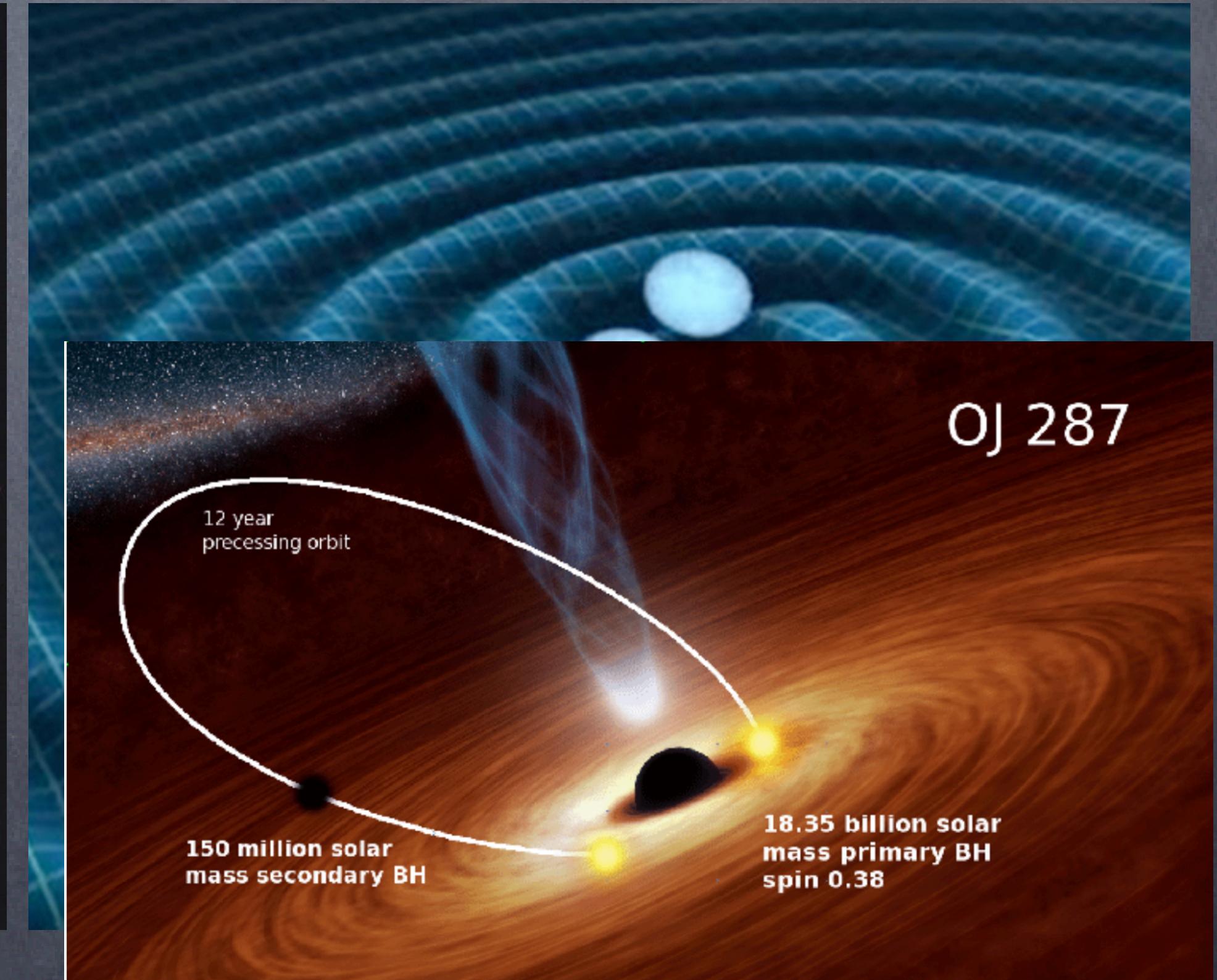
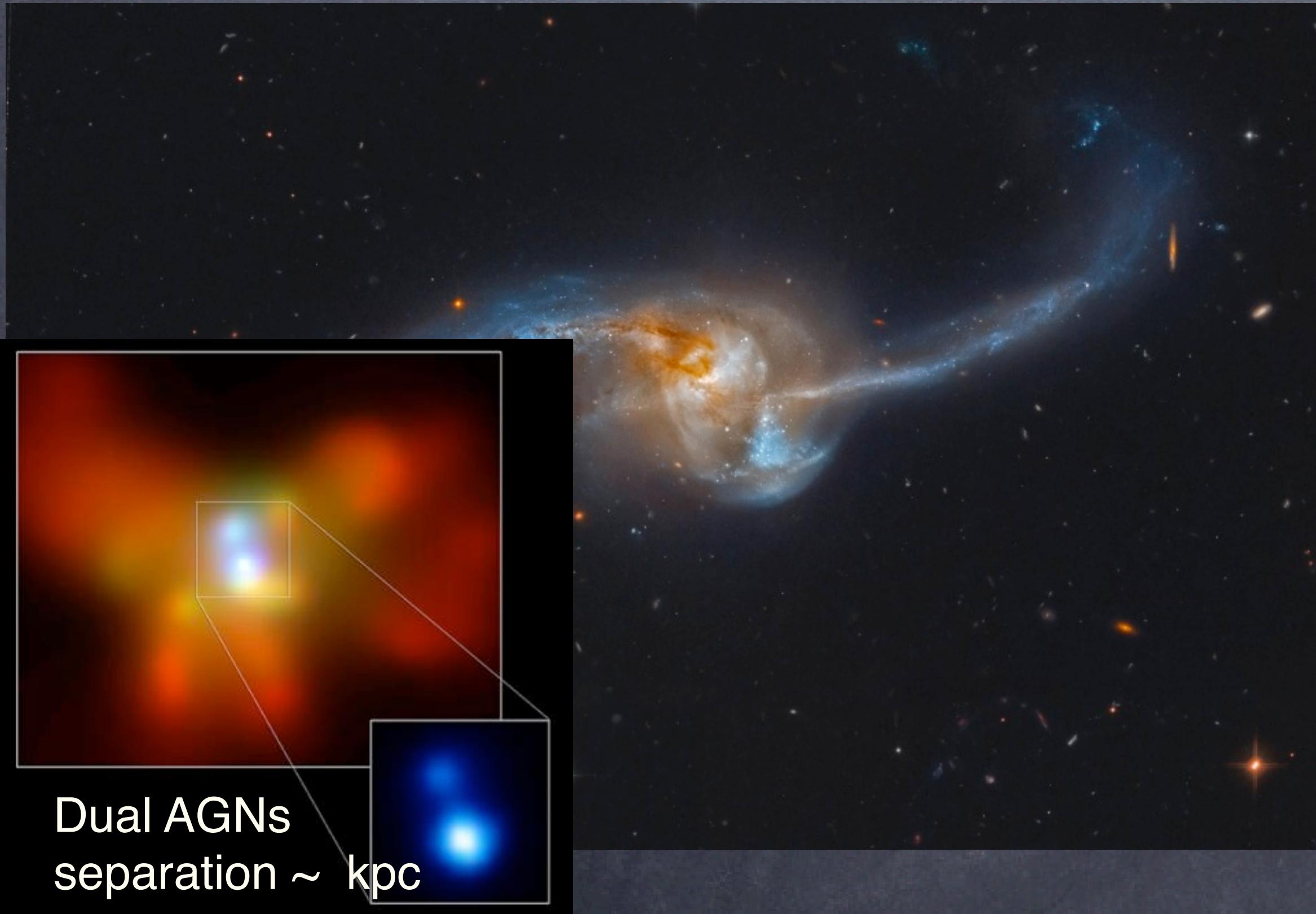
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(Super)massive Black Holes ($M_{\text{BH}} > \sim 10^5 M_{\odot}$) in the landscape of hierarchical galaxy formation

Most galaxies host one (S)MBH at their center. Galaxies are observed to merge. Galaxy mergers could generate a powerful GW source if the separation between massive BHs < milliparsecs ($t_{\text{gw}} < 10^7$ yr for a binary with $10^6 M_{\odot}$ MBHs at separation $\sim 10^{-3}$ pc).

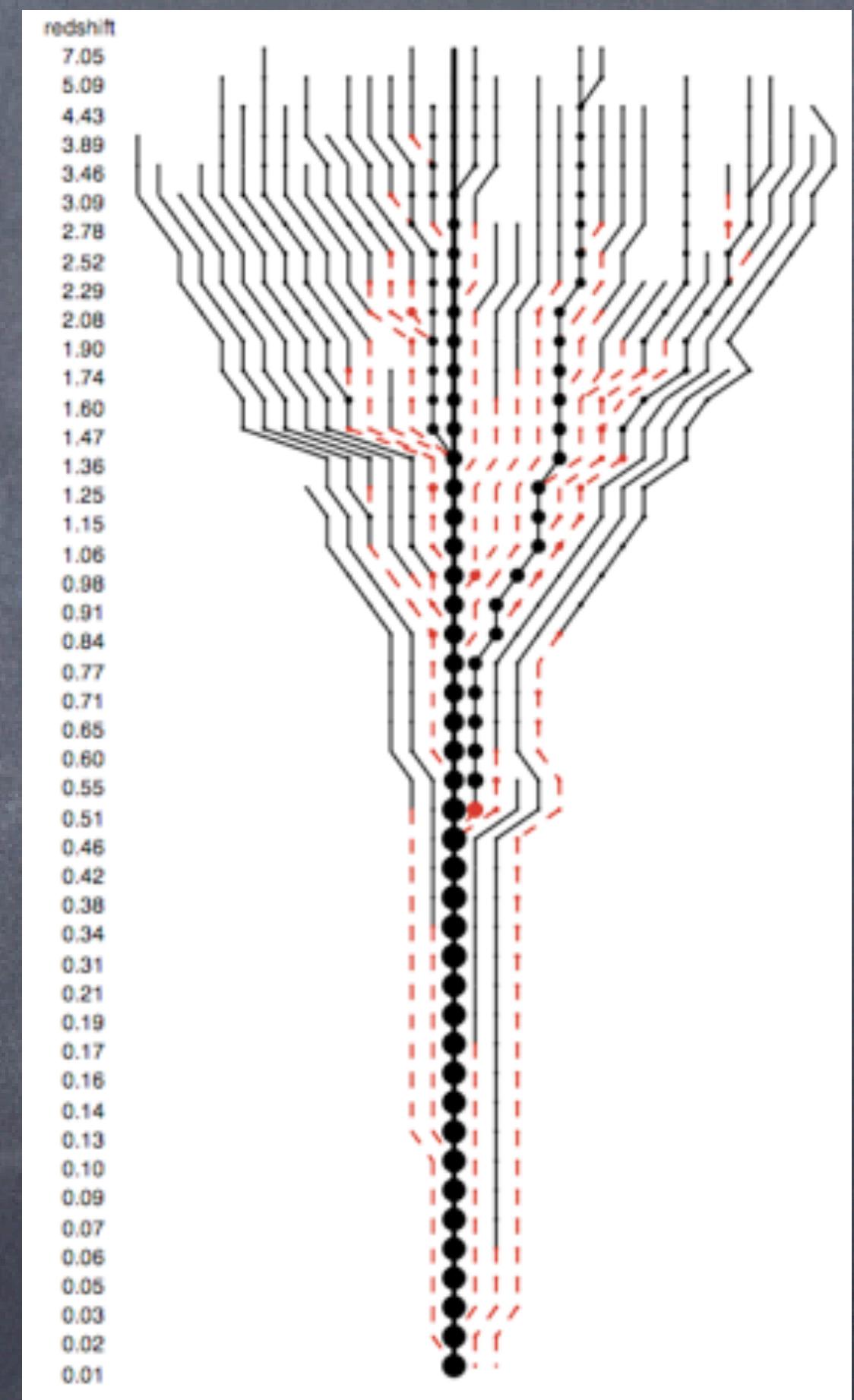
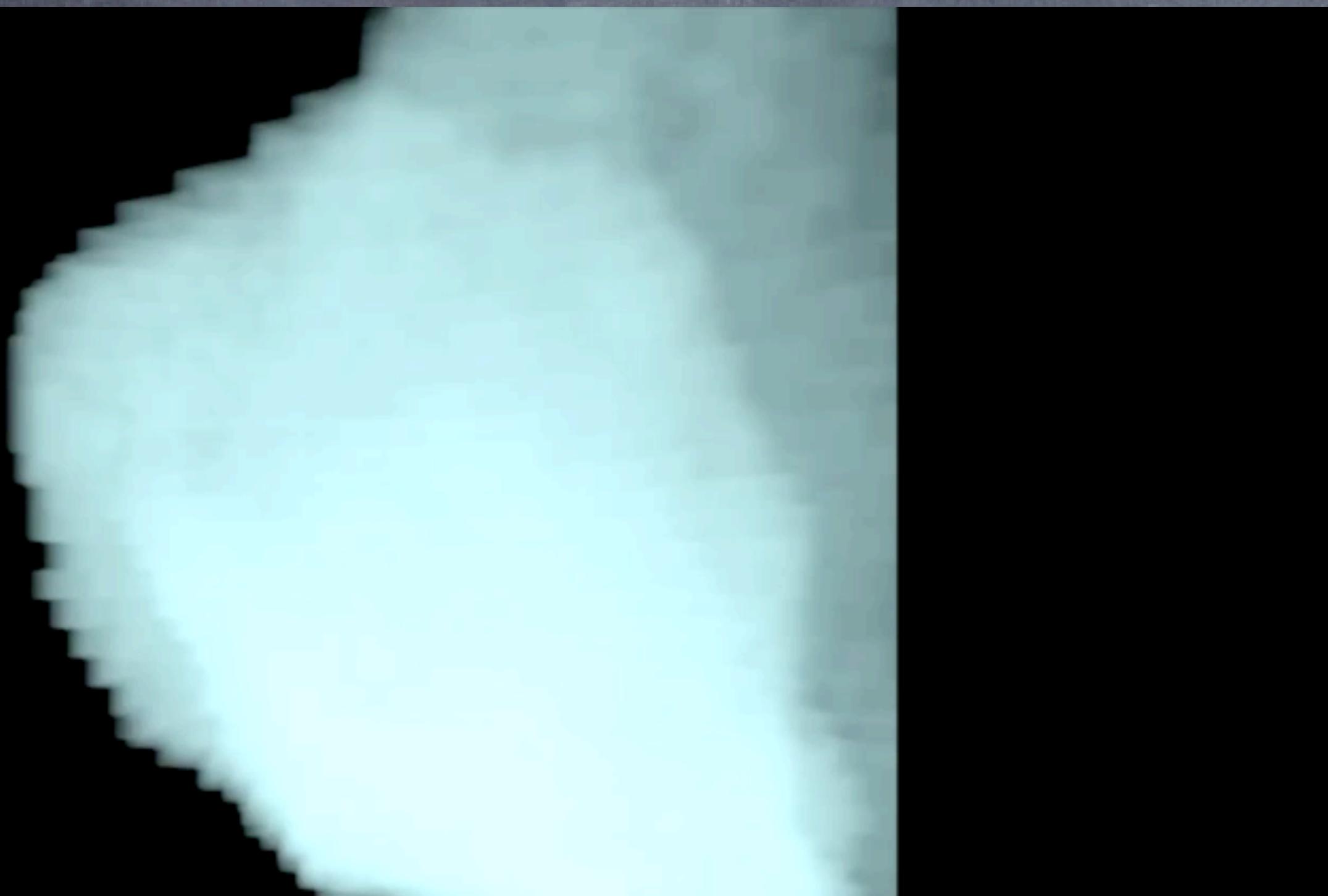


Hierarchical galaxy formation: the natural stage for recurrent galaxy mergers (and MBH mergers?)

Current cosmological paradigm Lambda+Cold Dark Matter yields bottom-up “hierarchical” structure formation

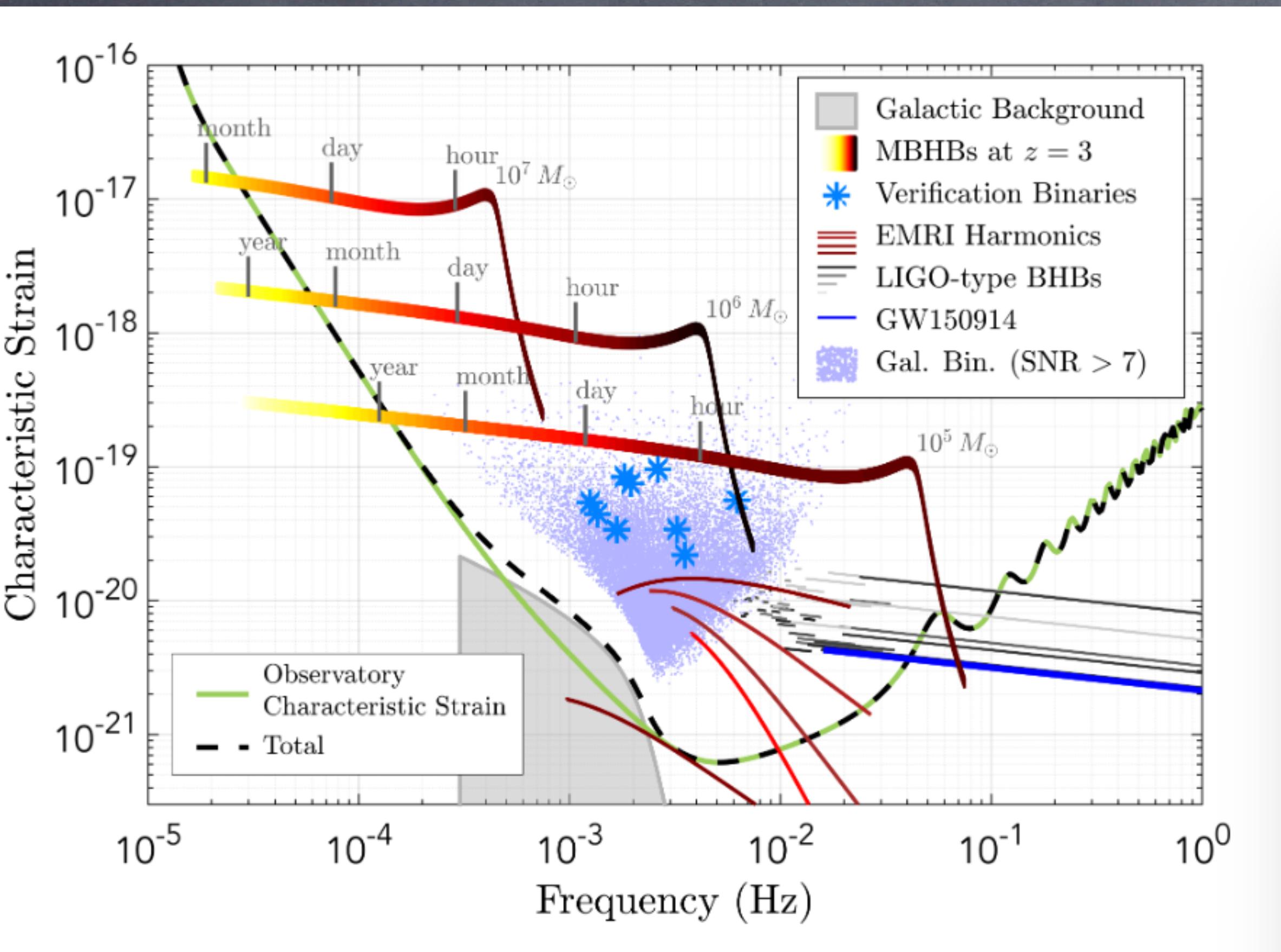
Galaxies and galaxy clusters grow hierarchically from merging of smaller condensations of dark matter and baryons.

For massive galaxies about 1 merger every $\sim 1\text{-}2$ Gyr.



Galaxy merger tree
VENUS simulation (Sokolowska et al. 2017)

Merging MBHs with 10^4 - 10^7 Mo: prime GW sources for LISA and TianQin



$$h \sim (\mu/r)(M/R)$$

Detectable strain amplitude depends on:

M sum of BH masses, **μ** reduced mass of binary, **r** distance, **R** binary separation.

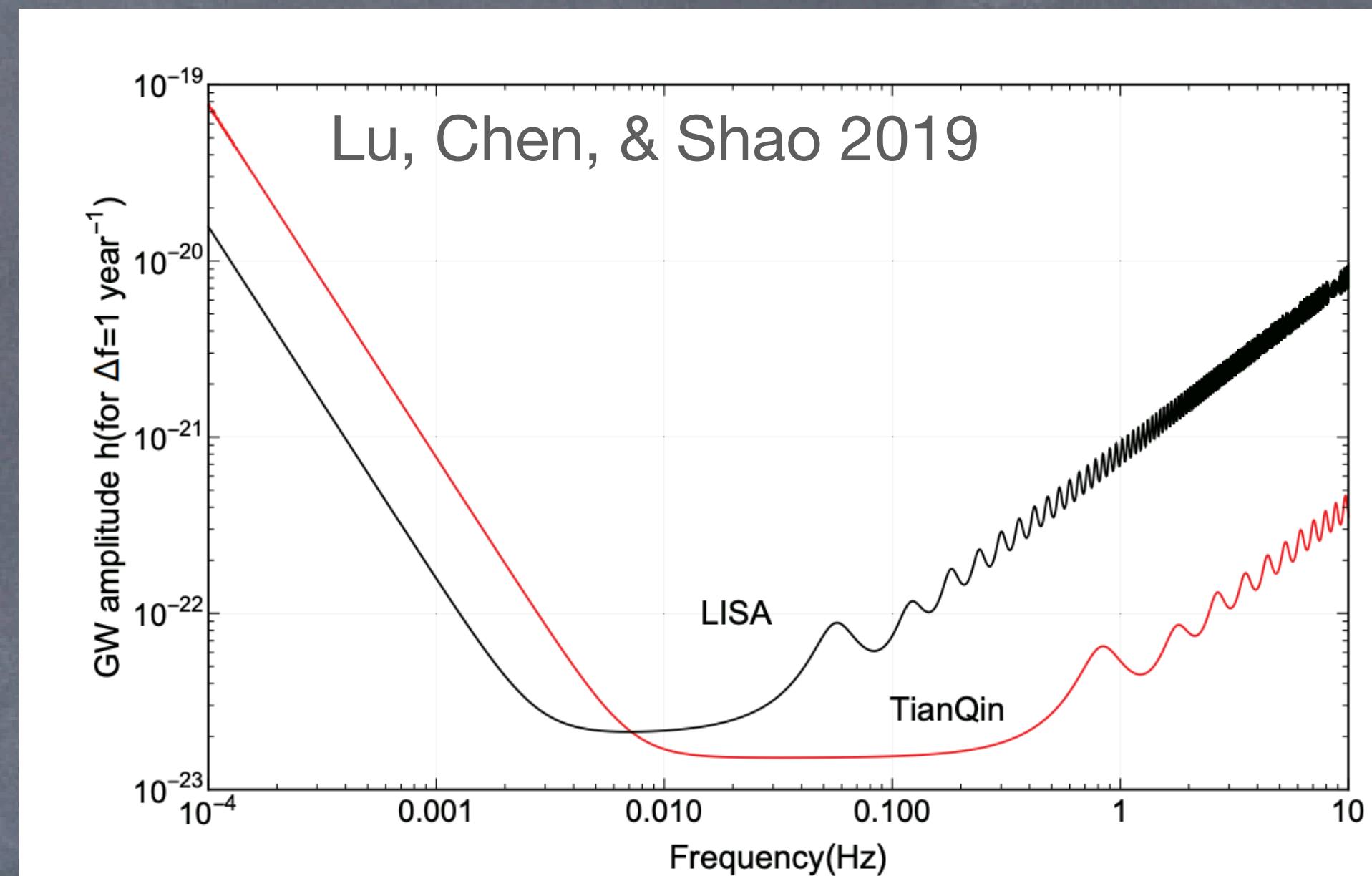


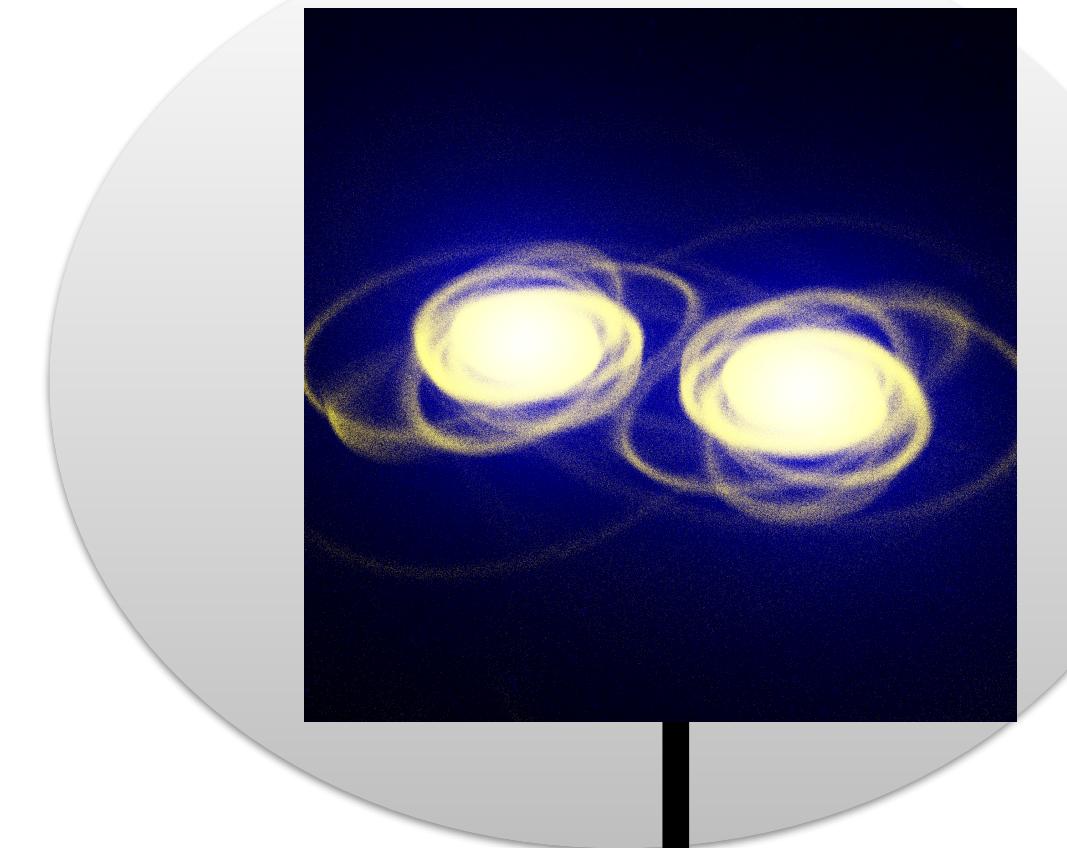
FIG. 5: Sensitivity curves for the GW detectors (LISA and TianQin) averaging all-sky sources in the four-link configurations.

Flatter sensitivity curve at higher frequency should enable better coverage of lower mass MBH/IMBH mergers ($\sim 10^4$ Mo, likely hosted by dwarf galaxies, see later in the talk)

Multi-scale journey: from galaxy mergers to MBH coalescence

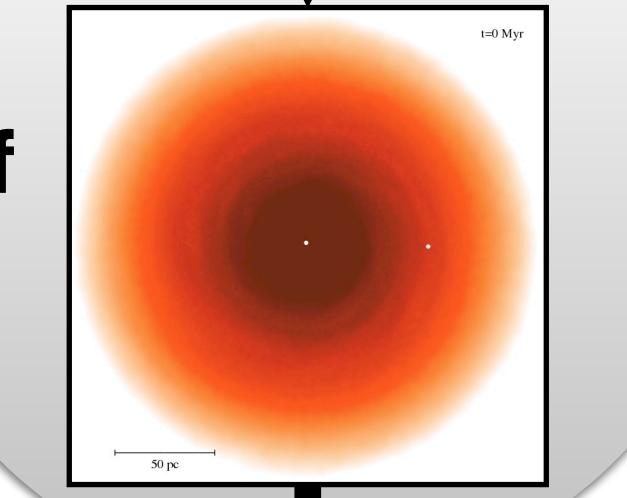
(see also LISA Astrophysics White Paper - [Amaro-Seoane et al. 2022](#))

Stage I:
Galaxy Merger
End State coalescence of galactic nuclei and **MBH**
Pair inside merger remnant (drag: gas + stars+dm)



100-1 kpc scale

Stage II:
MBH pairing continues in nucleus of merger remnant (drag: gas+stars)
End State: Formation of MBH binary

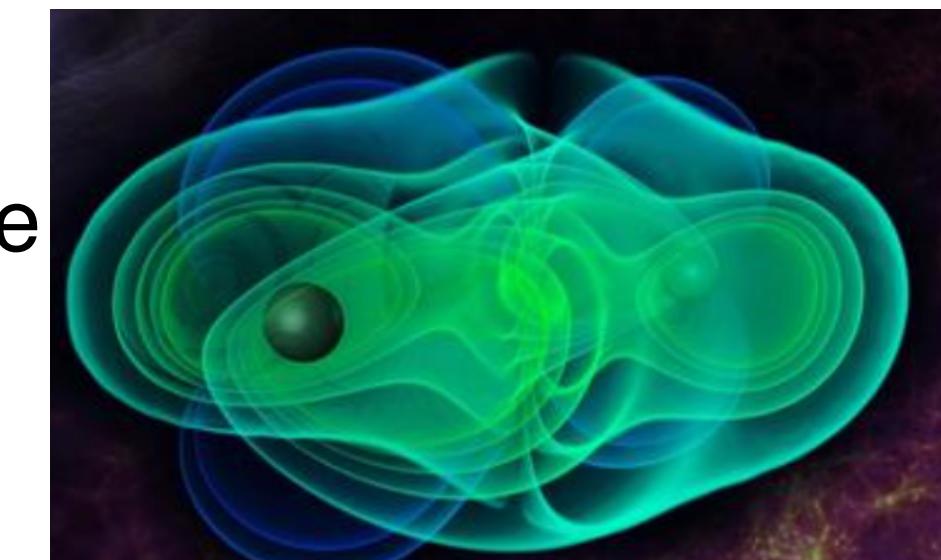


100 pc to 1 pc scale

Stage III:
MBH **binary hardening** (drag: gas+ stars)
End State: GW emission-dominated phase begins



1 pc to 10^{-3} pc scale



Stage IV: Coalescence via GW
($< 10^{-3}$ pc separation. drag: GW emission)

The **TOTAL MBH coalescence timescale t_{coal}** will be:

$t_{\text{coal}} = \text{galaxy merger timescale (1)} + \text{MBH binary formation timescale (2)} + \text{binary hardening timescale (3)} + \text{the GW-driven in-spiral timescale in the phase dominated by GW emission (4).}$

Timescales (2) and (3) are most challenging to determine. Tightly linked to galaxy host properties. Are needed to produce MBH merger rates forecasts (eg for LISA). In turn, if we understand (2) and (3) in principle we can use LISA event rates to reverse-engineer and probe the galaxy assembly process.

LISA event rates forecasts are based on semi-analytical models that attempt to model phase (2) and (3) using phenomenological prescriptions (eg Sesana et al. 2005; Klein et al. 2016; Salcido et al. 2016; Barausse et al. 2019; 2020; de Graf & Sijacki 2020) which result in a *DELAY* of the BH binary decay relative to the galaxy merger (Volonteri et al. 2020; Barausse et al. 2020 — see E. Barausse's talk).

GALAXY AND SUB-GALACTIC SCALE SIMULATIONS SHOW A RICHER INVENTORY OF DYNAMICAL MECHANISMS AFFECTING BH PAIRING AND HARDENING

(eg **LISA Astrophysics WP**, <https://arxiv.org/abs/2203.06016>)

Predicted MBH merger rates range from a few to 100 events/yr.

Stage I: Galaxy Merger. Separation of MBHs of order kiloparsecs.



I:4 Galaxy Merger movie ([Capelo et al. 2015](#))

MBH merger and galaxy merger will not be coincident in time

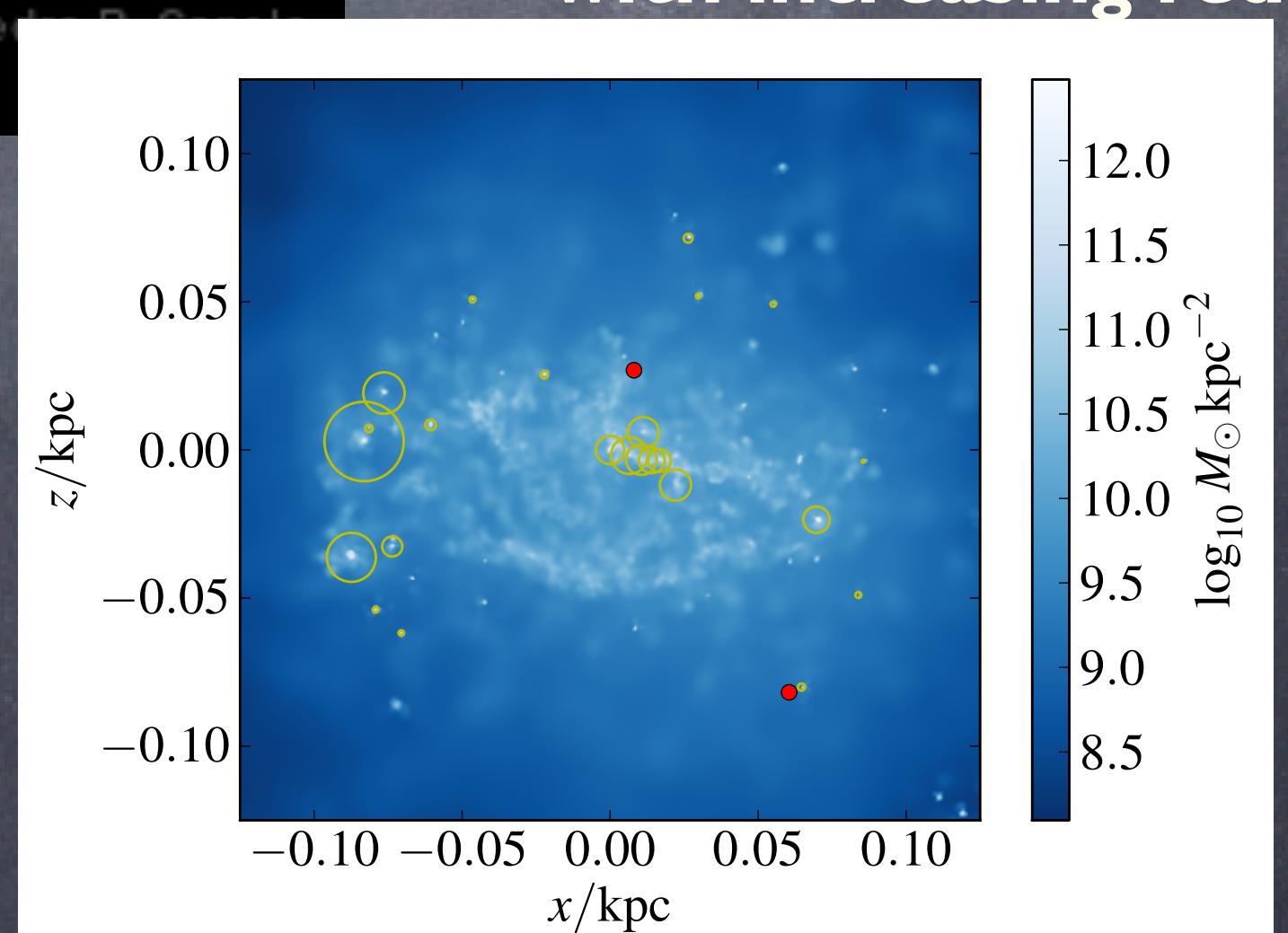
After galaxy cores merge MBHs still displaced by a few hundred pc (eg hi-res simulations of [Roskar et al. 2015](#), see also review [de Rosa et al. 2019](#))

Galaxy merging time

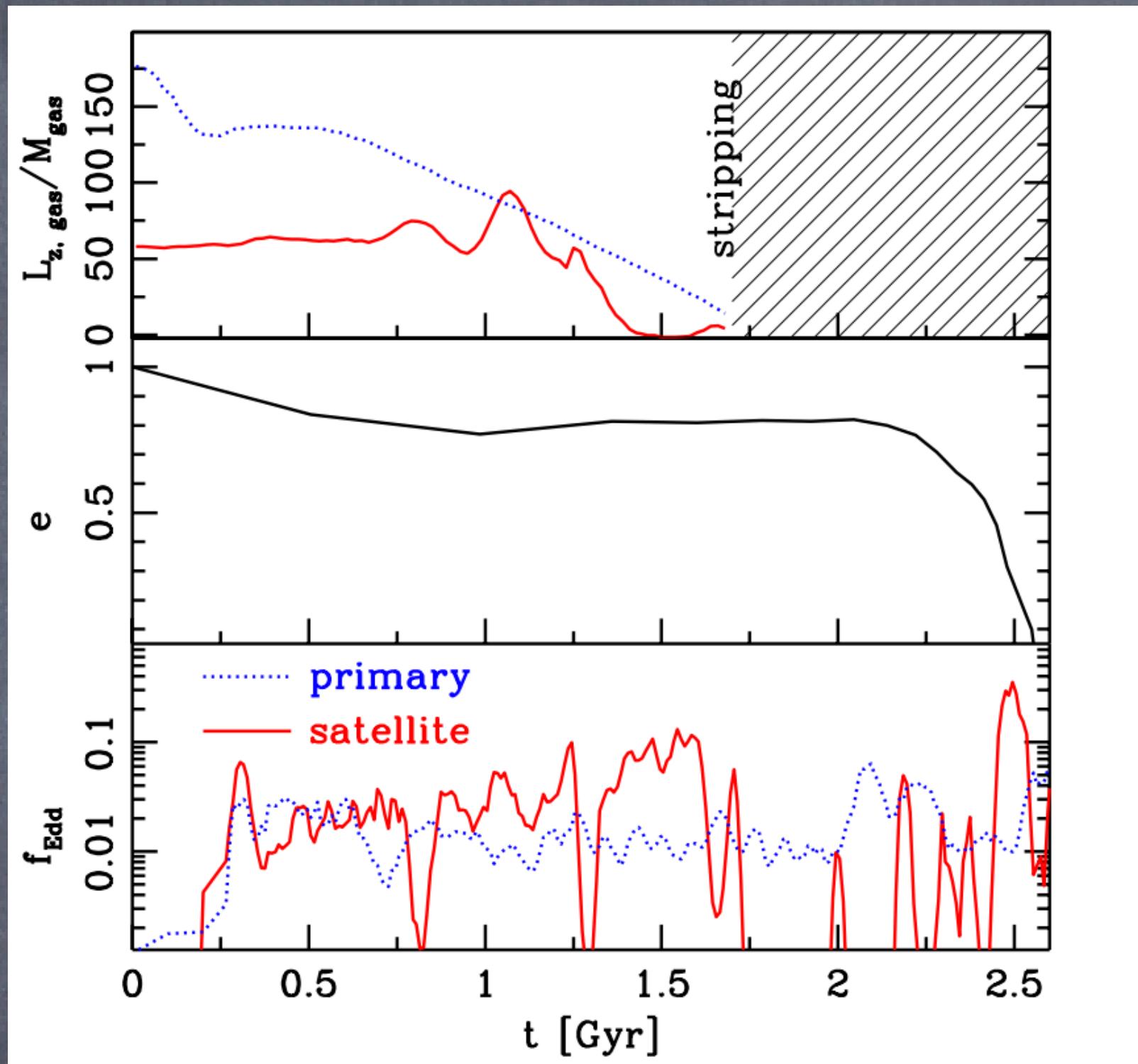
Defined as time when two separate galactic nuclei first merge (separation < 100 pc)

$$\tau_{\text{mg}} \sim 0.5\text{-}5 \text{ Gyr} < \sim \tau_{\text{Hubble}}$$

(comparable to crossing time of CDM halo, shortens with increasing redshift)



IMPORTANT POINT: Mergers of galaxies w/gas produce even more gas-rich galactic nuclei



1:10 disk galaxy mergers
With embedded MBHs
(10^5 - 10^7 M_\odot)
Callegari et al. 2011

Resolution 30 pc

A natural outcome of the dynamics of (gas-rich) galaxy mergers is an even more gas-rich galactic nucleus in the merger remnant due to efficient loss of angular momentum in the gas phase. The gas disk triggers orbital circularisation at ~ 10 - 100 pc separation (see above).
Caveat: *competing effects of star formation and feedback also greatly enhanced in mergers* – can consume or eject gas on a timescale \sim local dynamical time

Stage II-III. (MBHs in the merger remnant)

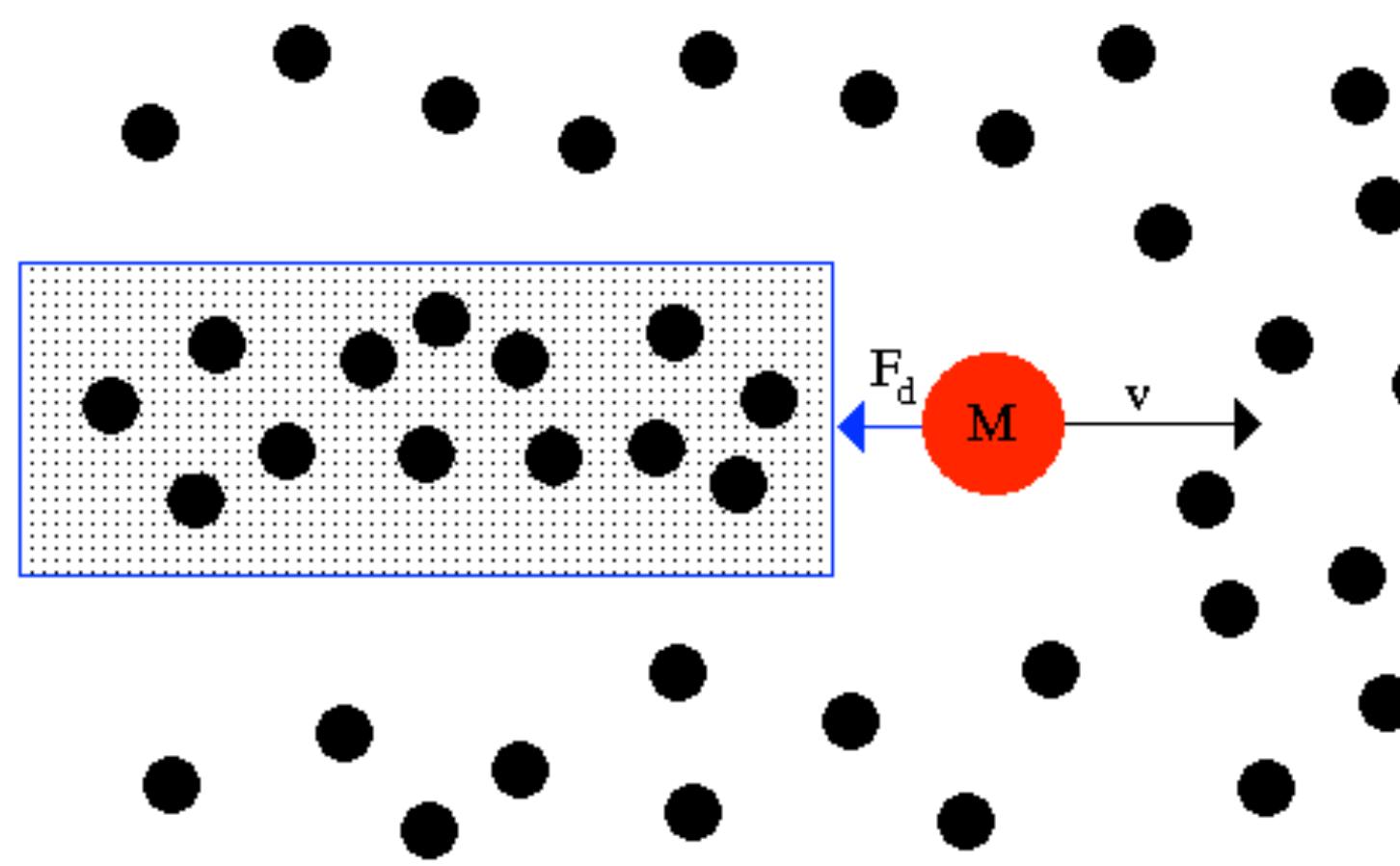
There are at least three classes of processes that can shift the SMBHs

milliparsecs

1980; Armitage et al.

extract orbit

- *Dynamic* (from stable scales, un-



Mayer 2013

t=3 Myr

nant (

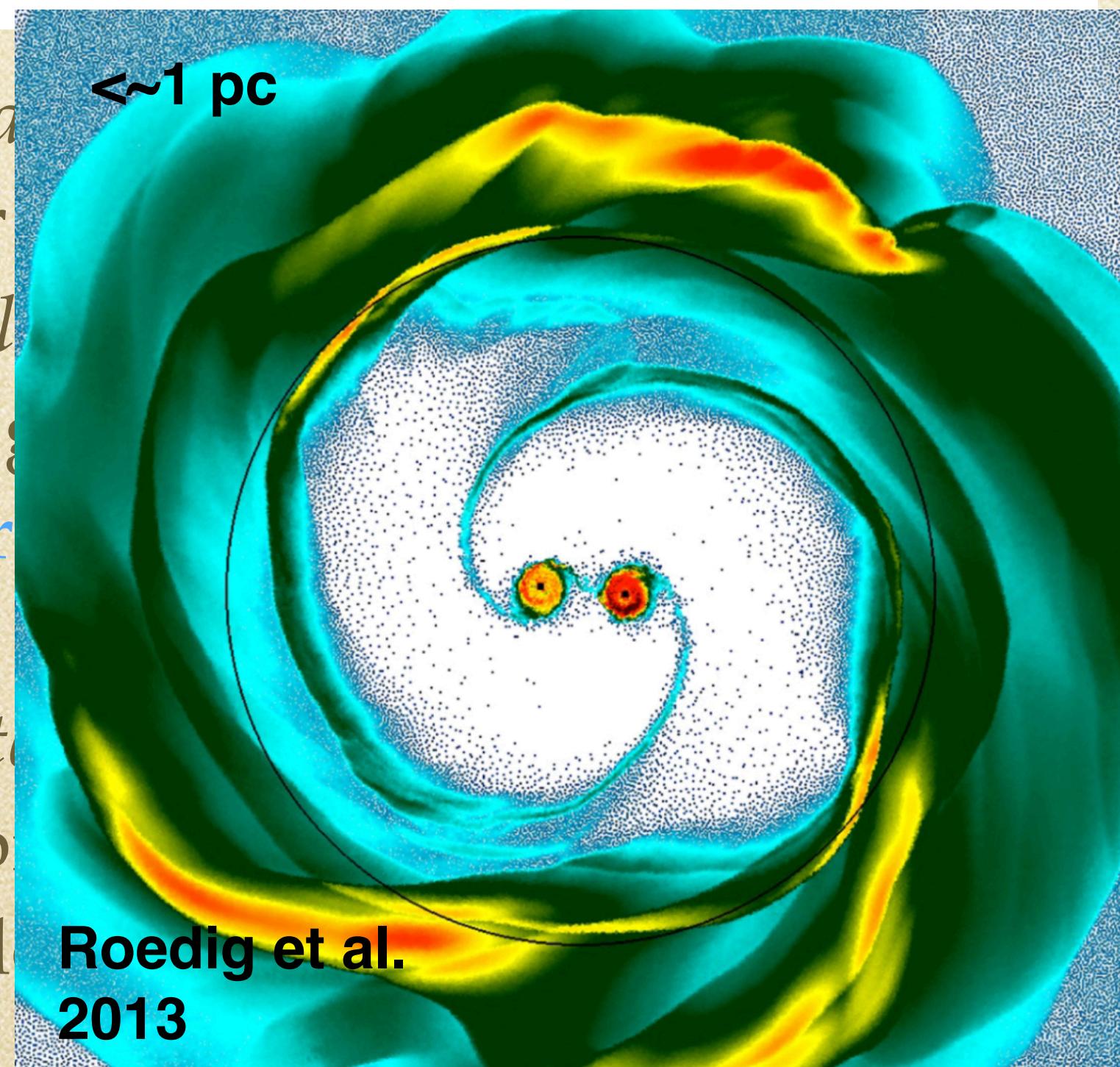
2010; N

er in th
the bi
group

erge
50 pc

esponse to excited spiral density
ions (< pc) a cavity and
other sinking to GW-dominated
(Fazio and Duffell 2021)

- *Disk-driven gravita-*
nuclear gas or
waves (as in pl
- *circumbinary gas*
phase (eg Farrar

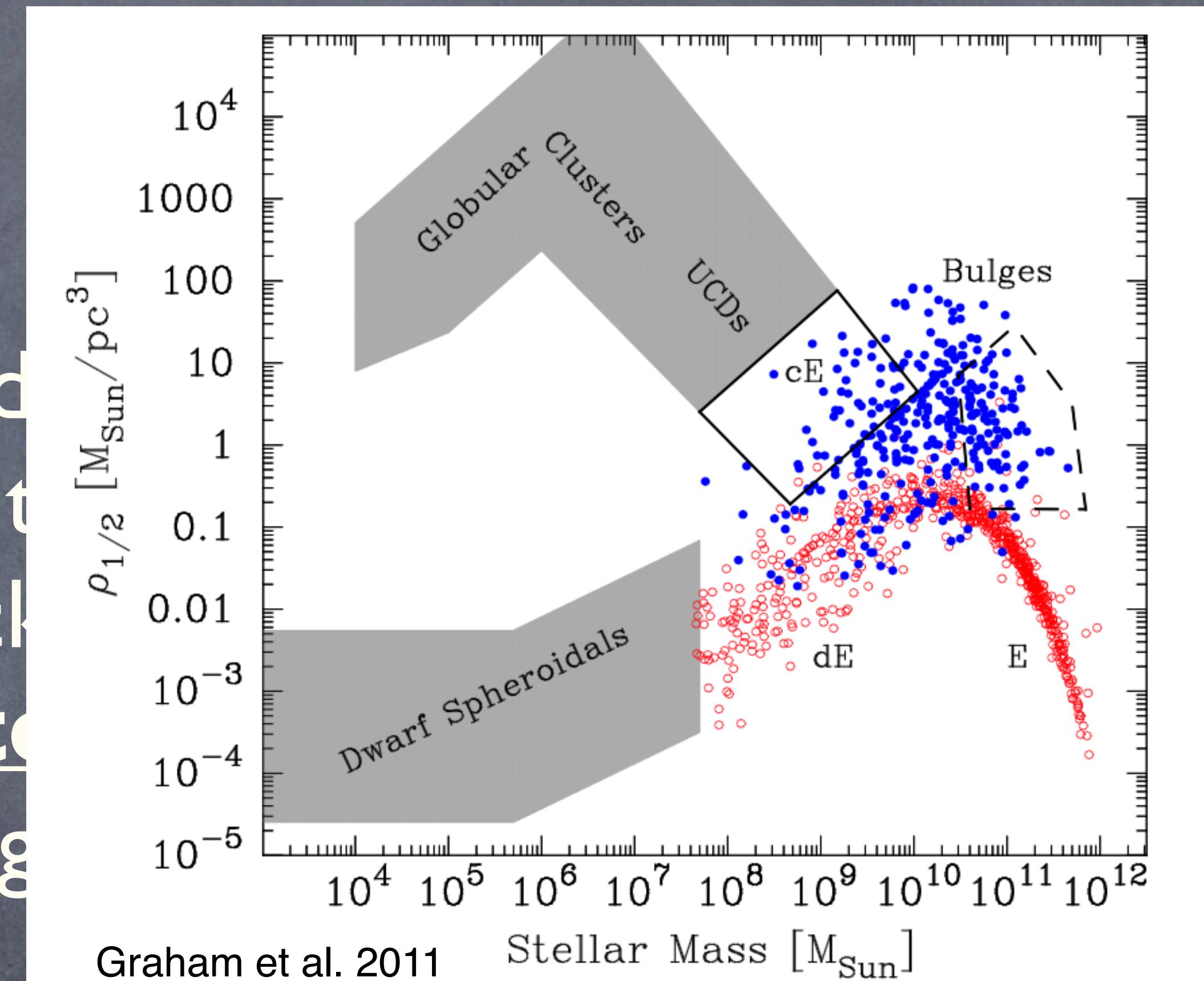


SMBH. When gas sub-dominant
tions of <~ pc (*hard binary*),
enough (*full loss cone*)

Should the timescales of binary BH formation and binary hardening depend on host galaxy properties?

Yes they do because:

All processes causing orbital decay (stars, gas or dark matter), on the perturber (MBH) and the background, depend on the **detailed nature of stellar flow** (affect nature and strength of tidal forces).

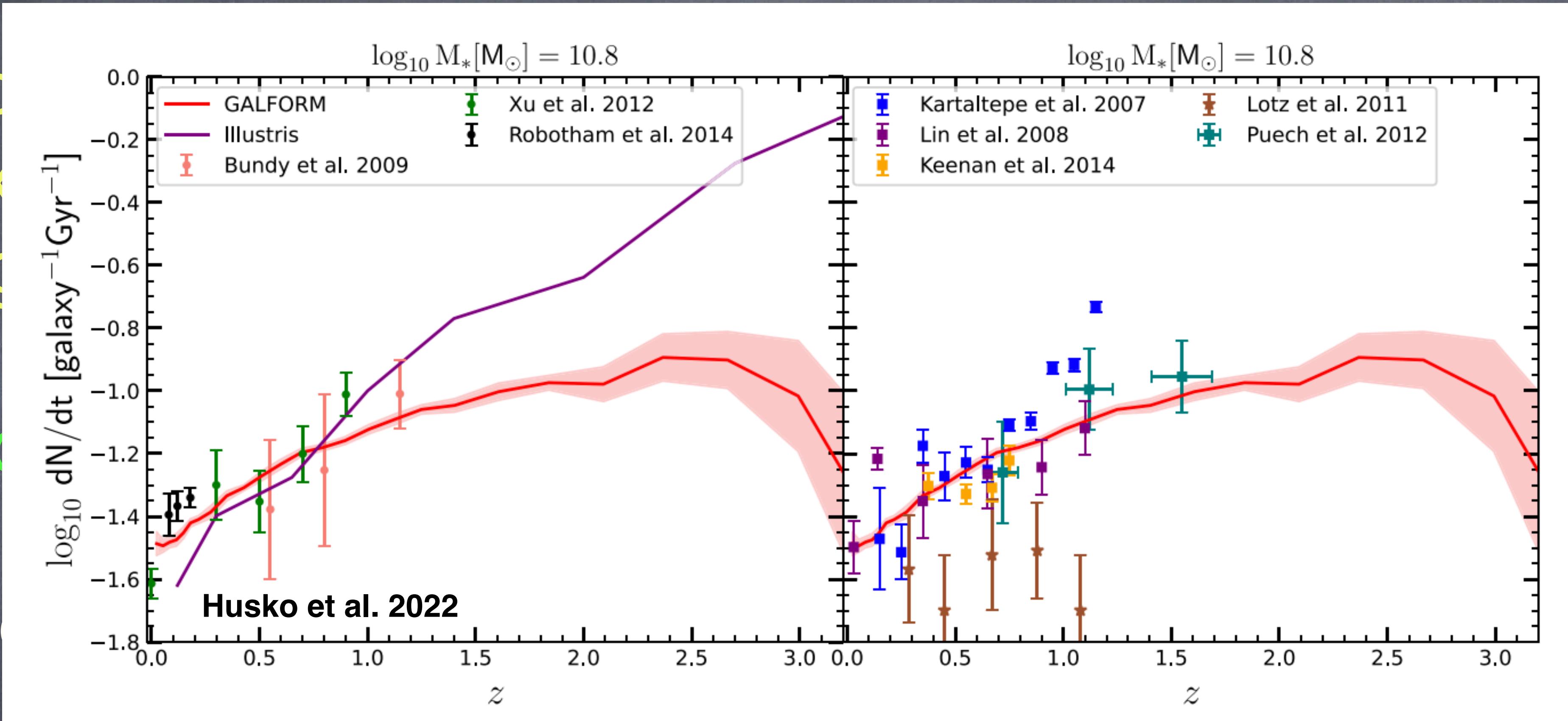


Eg for dynamical friction in high velocity limit (by combined of stars, dark matter, gas)

$$F_{\text{dyn}} \approx C \frac{G^2 M^2 \rho}{v_M^2}$$

MBHs in LISA/TianQin band have masses $\sim 10^7 M_\odot$

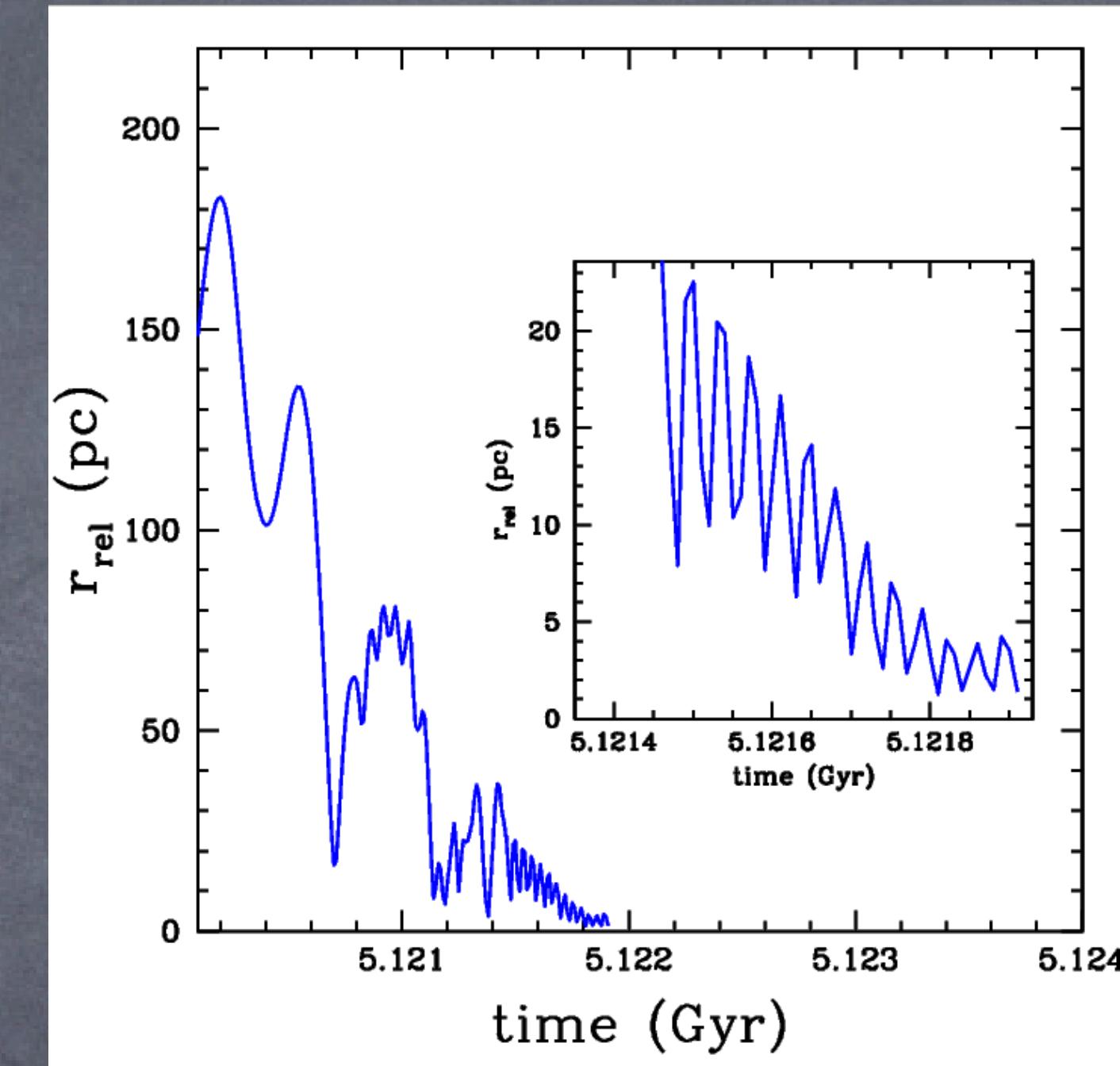
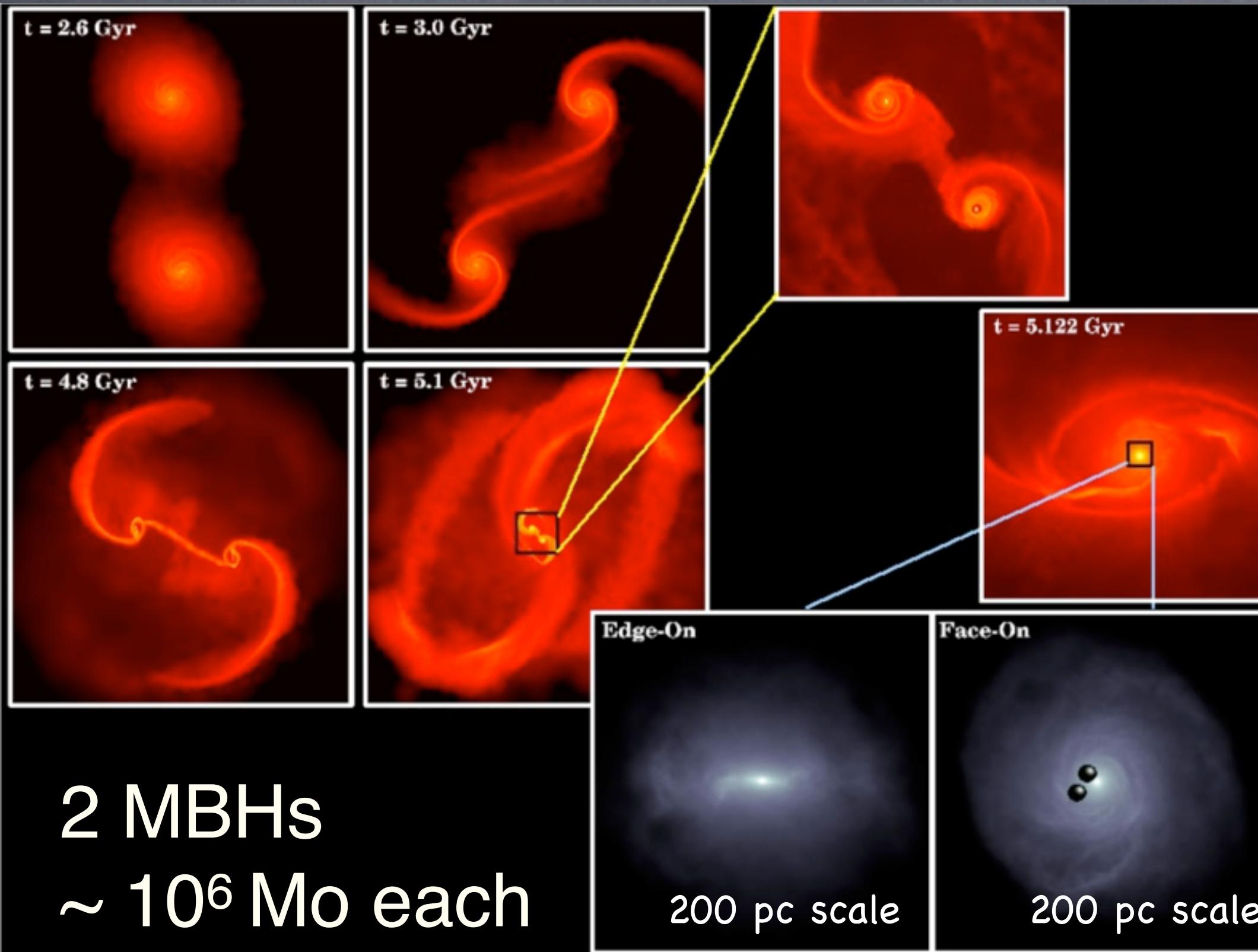
- In local galaxies
- At high $f_g > \sim T_{\text{acc}}$
Tacc 2016
so m



To battle computational complexity one is forced to model the effects of interstellar gas in Stage I-II and III-IV separately

Stage I-II: MBH pairing and binary formation in spiral galaxies

SMBH binary formation at pc scales **just few Myr** after galaxies merge
 Equal mass coplanar galaxy mergers considered

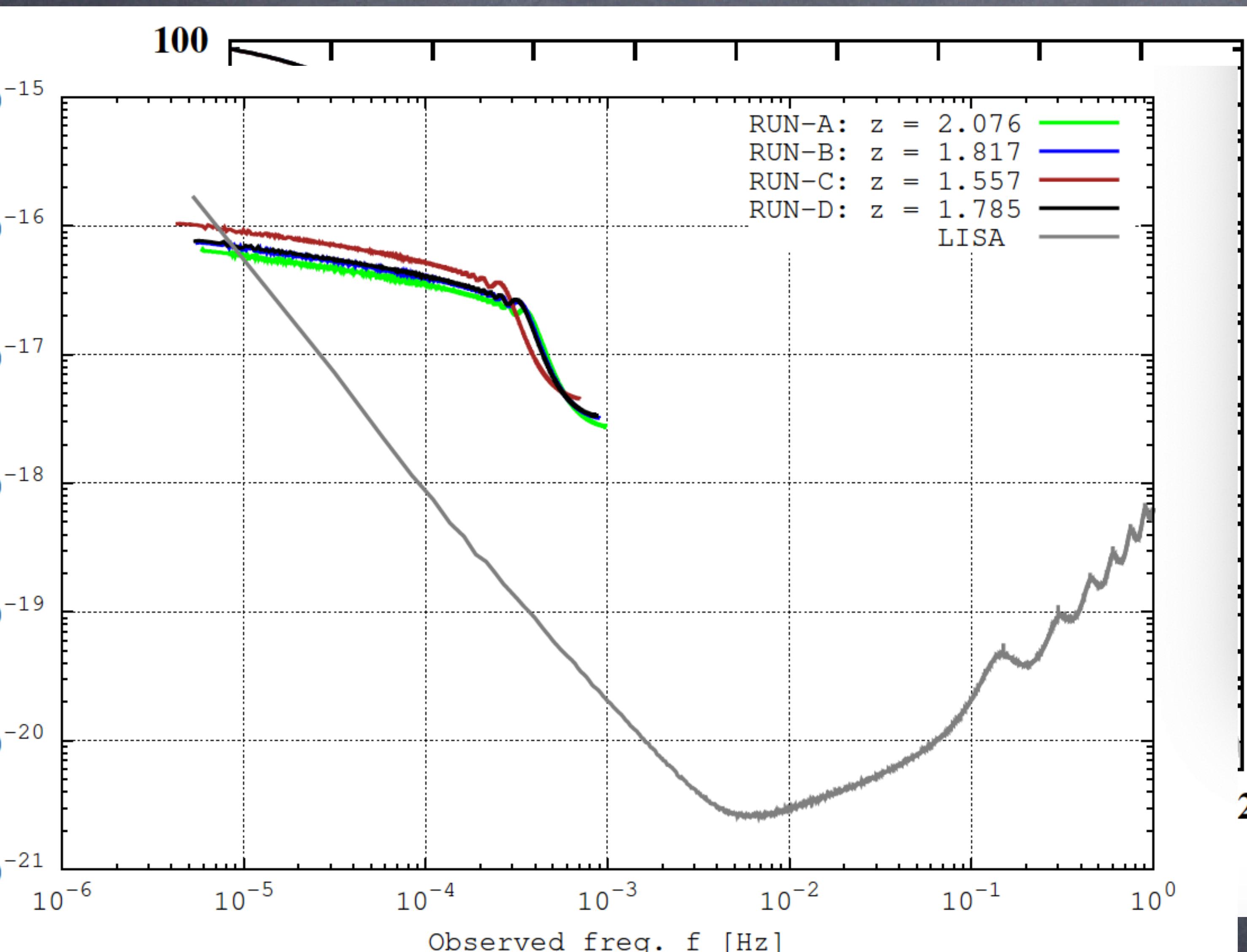


Mayer et al. 2007, Science

Binary SMBH forms in gaseous circumnuclear disk (CND) generated by gas inflow

- () High density in CND gives strong drag, **x10 stronger than by stars and dark matter**
- () Gas thermodynamics plays also play some role in drag (here was idealized, with effective equation of state, see also Chapon, Mayer & Teyssier 2013)
- () Short binary formation timescale (a few Myr) also in unequal mass mergers (Pfister et al. 2017)

Stage I-III: multi-scale simulations of unequal mass galaxy mergers (Khan, Capelo, Mayer & Berczik 2018, 1:2 mergers of gas-rich disk galaxies with $\sim 10^7$ Mo BHs, each one has different orbital configuration)



Wide range of BH coalescence timescales (10 Myr to 2 Gyr)

Hardening timescales
a few hundred Myr
calculated neglecting
effect of residual gas
(only 3-body
stellar encounters)

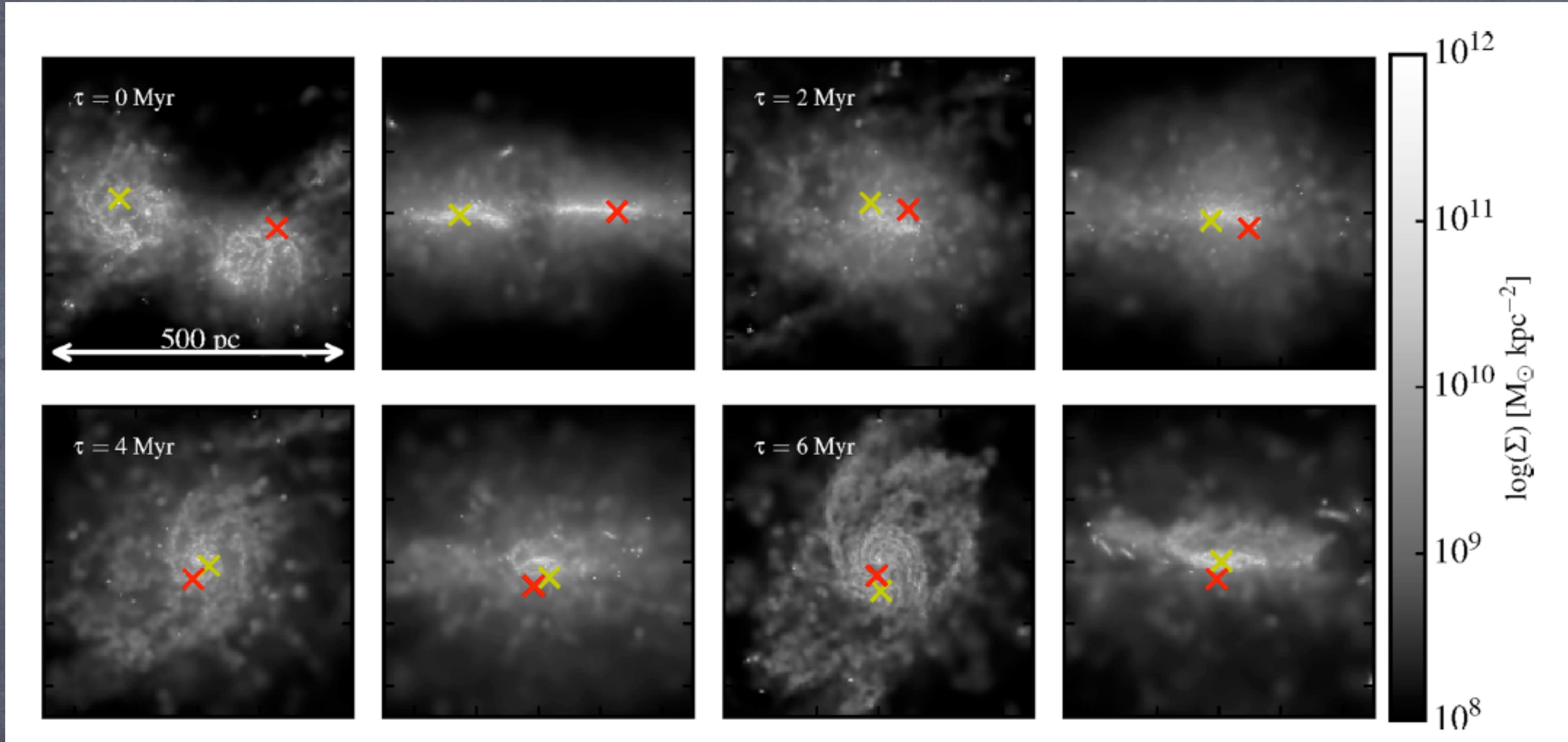
Variations due mainly to different density of merger remnants

Note these are unequal mass mergers hence the galaxy/halo merger timescale is > 1 Gyr (but only barely dominates total MBH merger time)

Stage I-II: Galaxy Mergers with improved ISM model

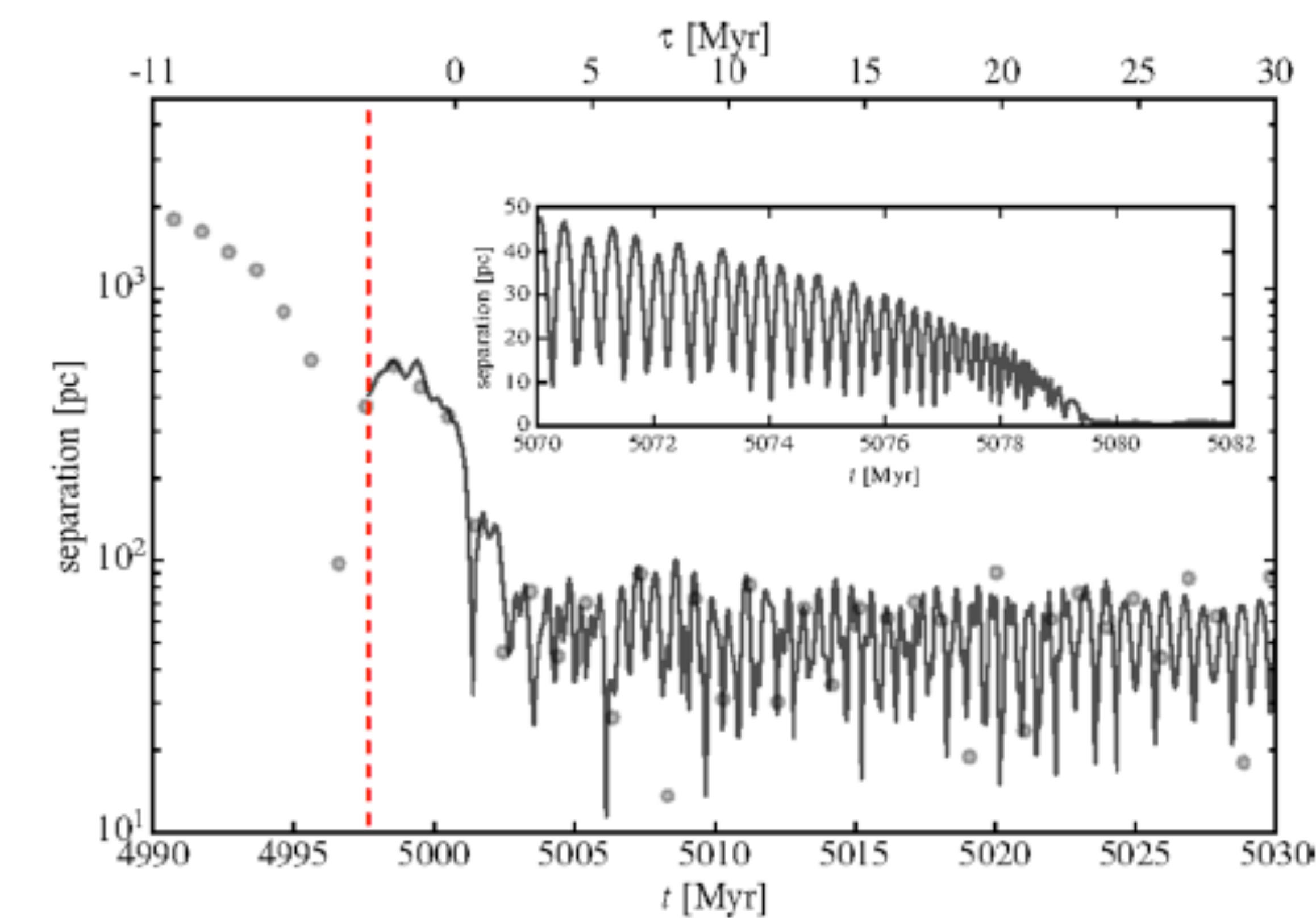
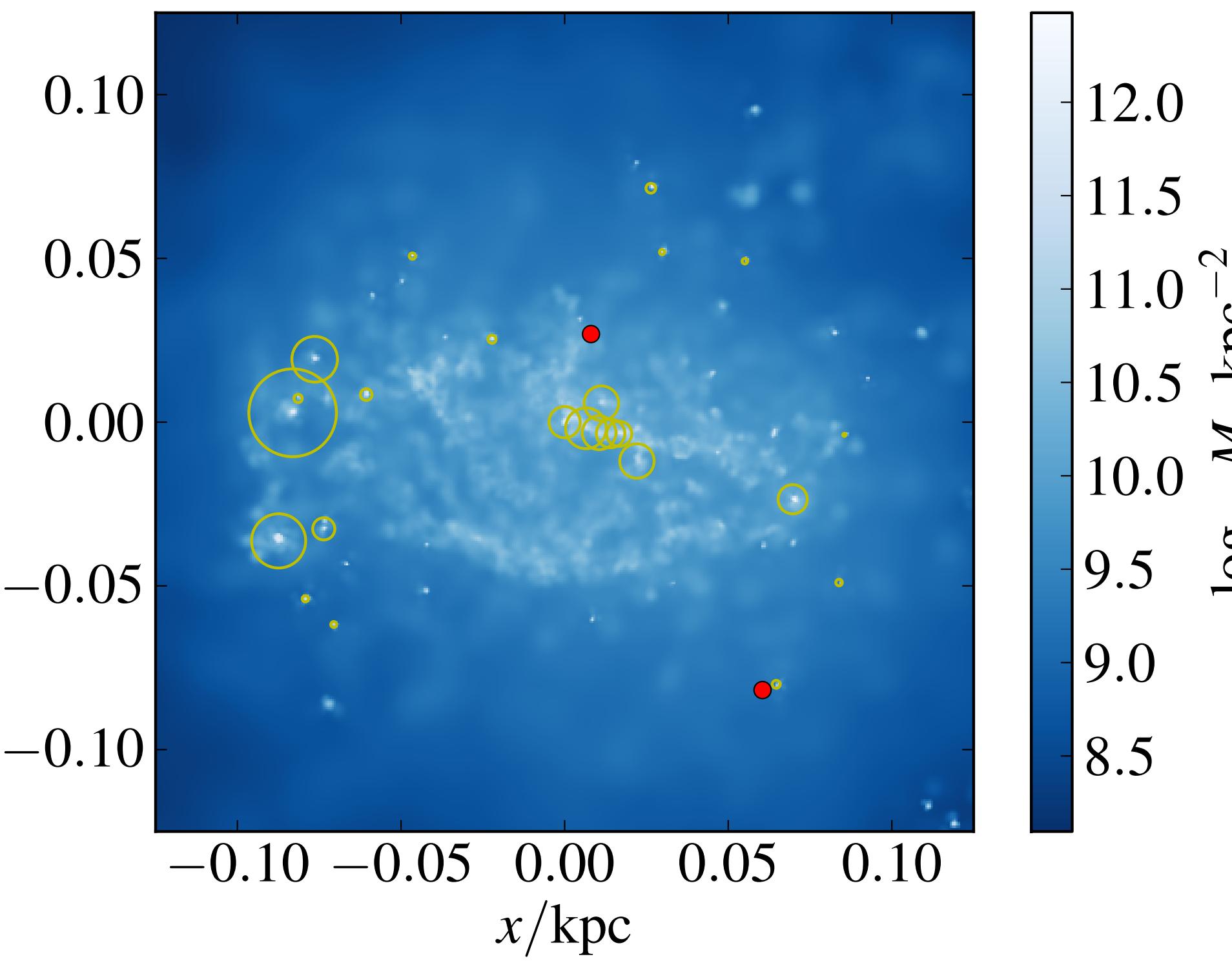
Rich inventory of physics processes: radiative cooling with self-shielding and line trapping at high optical depth, star formation, stellar UV and IR radiation and SN feedback.

Roskar, Fiacconi,
Mayer et al. 2015



Complex dynamics of last phase of galaxy merger -- Supernovae-driven kpc-wide outflows when the galaxy cores collide and undergo starburst, Dense CND forms, but after galaxy merger (\sim a few 10^7 yr later).

DELAYED FORMATION OF SMBH BINARY



SMBH EJECTION OUT
OF NUCLEAR DISK PLANE DUE
TO GRAVITATIONAL SCATTERING
BY GAS CLOUDS AND SPIRAL
STRUCTURE &
TEMPORARY STALL

$\tau_{\text{decay}} \sim 100 \text{ Myr}$

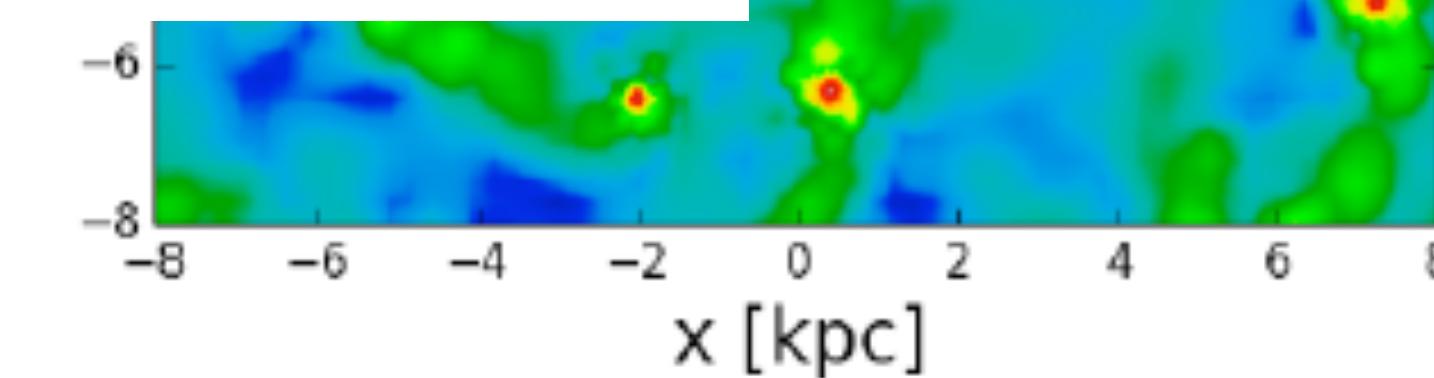
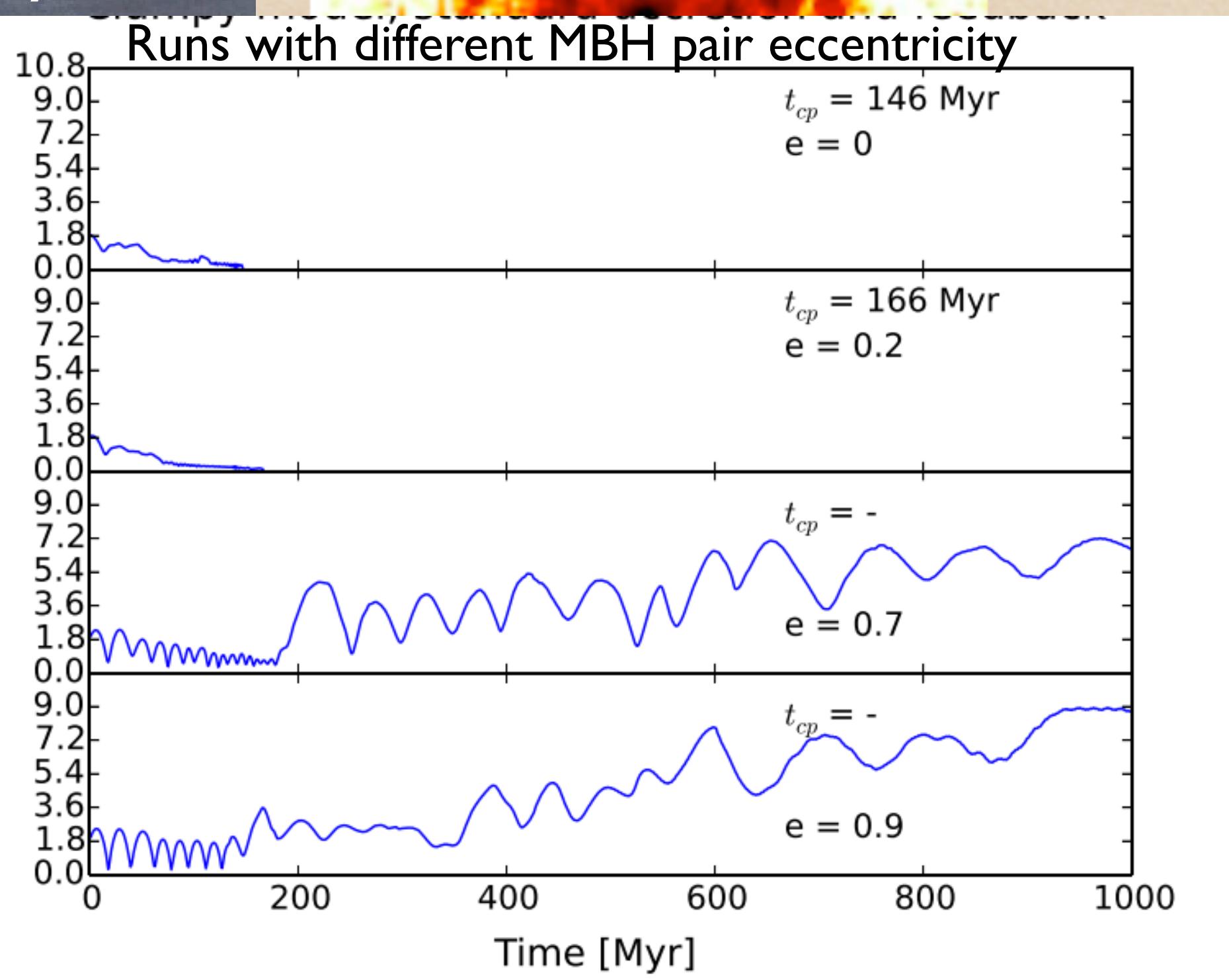
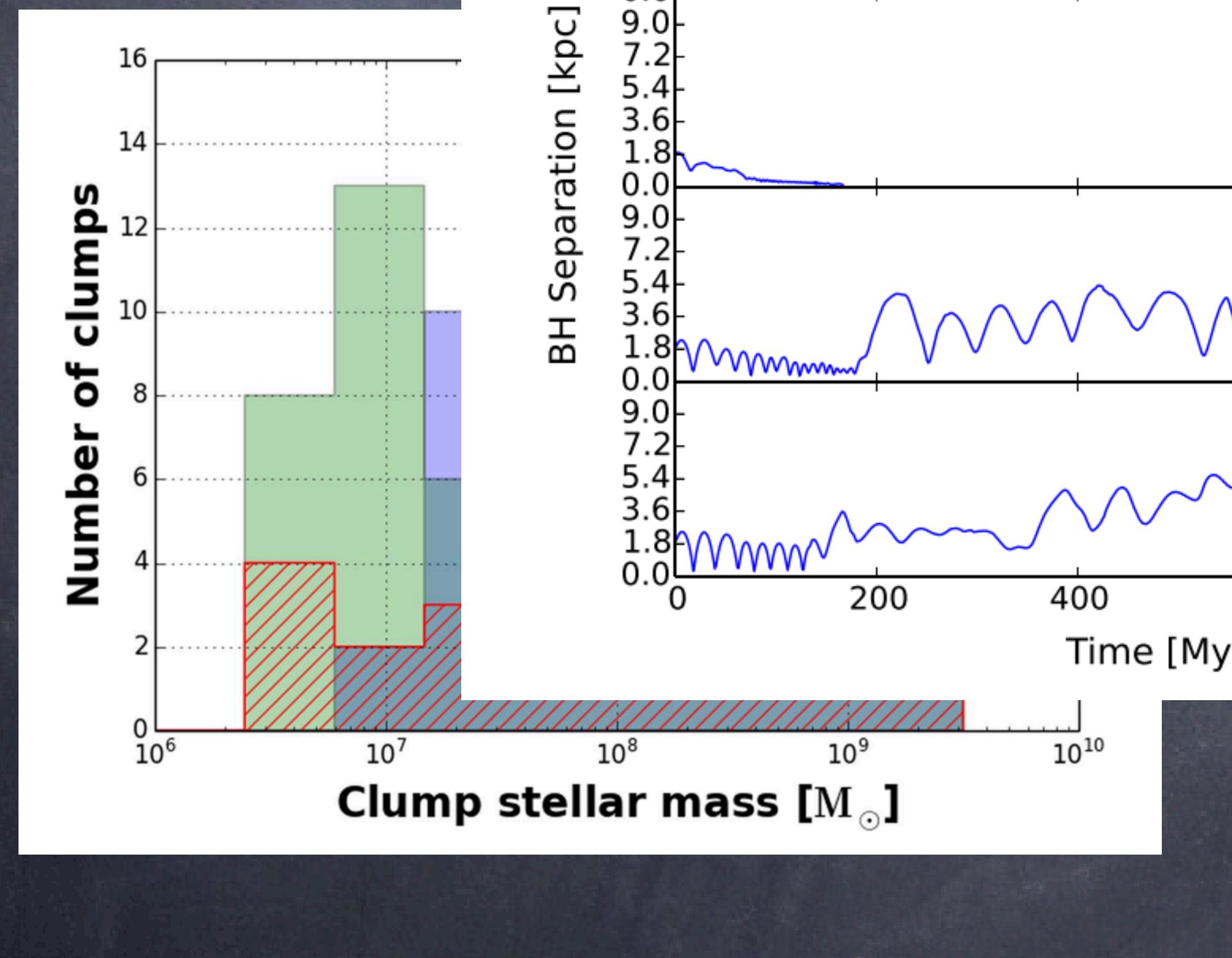
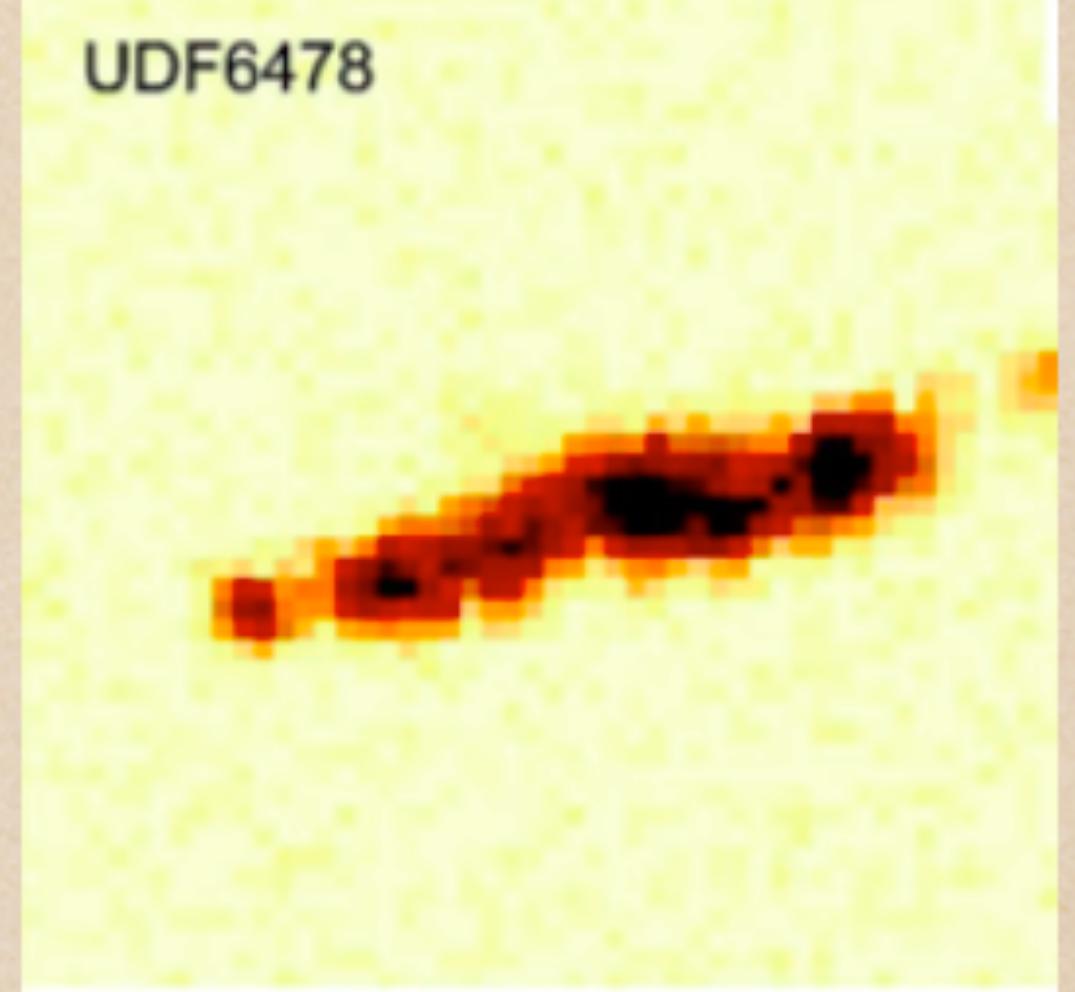
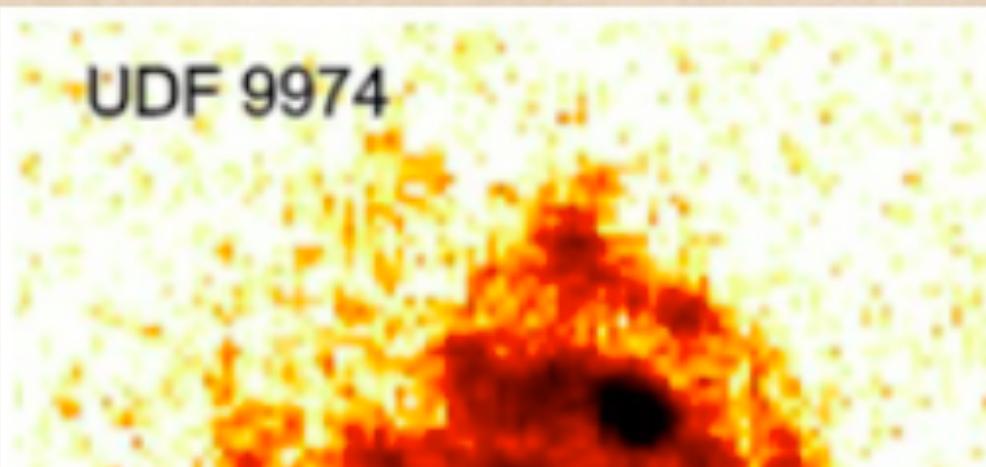
to reach pc-scale separation after galaxy merger, so only up to Stage II!

MBH pairs in the clumpy disks of star forming galaxies at $z > \sim 1$: not just delays - also stochastic orbital evolution

(Fiacconi et al. 2018)

$(M_{\text{BH}} \sim 5 \times 10^7 M_{\odot})$

BH scattering/ejection by due to
spiral structure--->
after clumpy disk



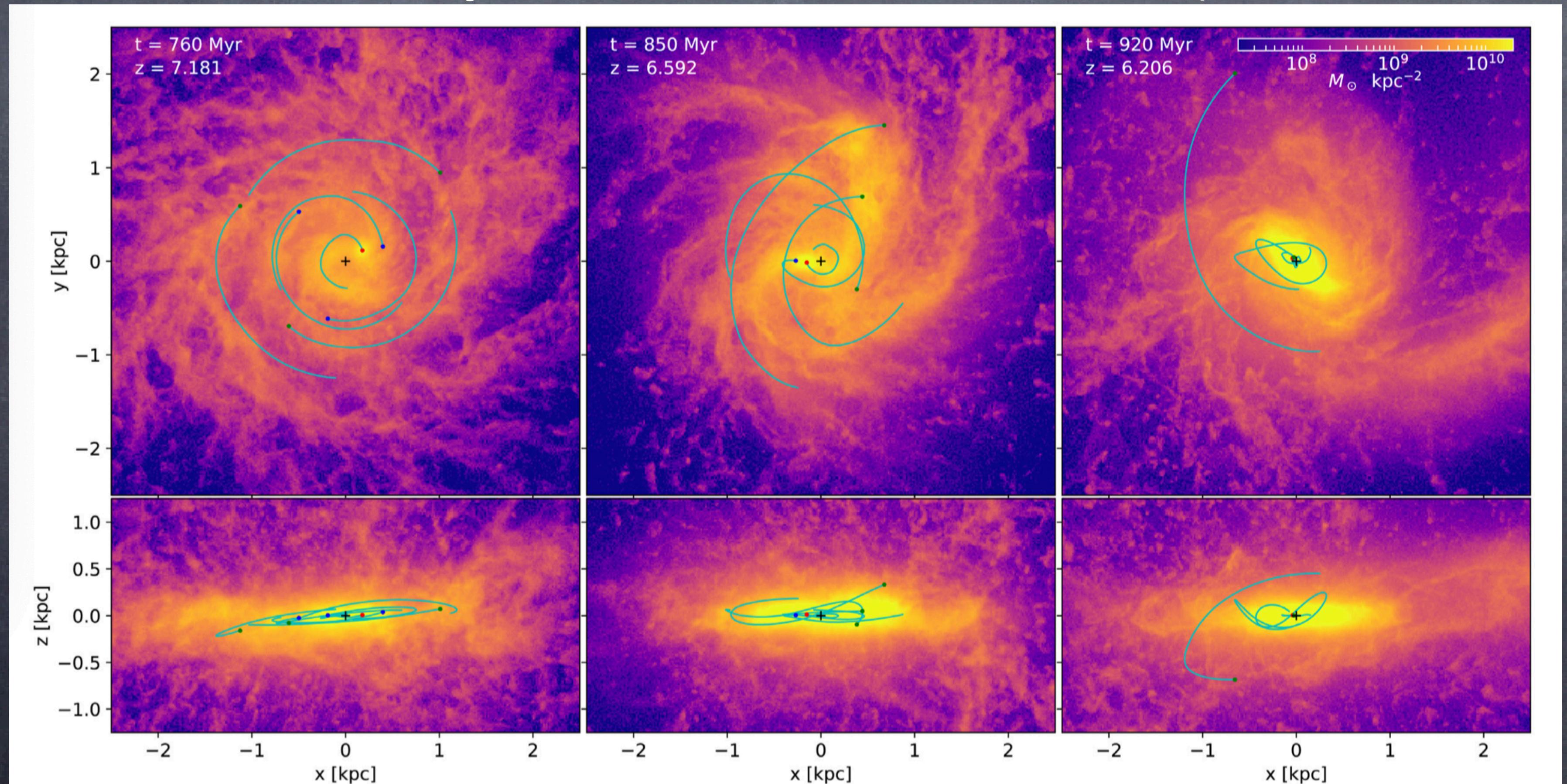
What about galaxies at even higher redshift, $z > \sim 3$?

Simulations that match observed star forming galaxies show that **disks at very high-z are extremely gas-rich, self-gravitating and highly turbulent due to strong effects of SN feedback** caused by high specific SF rates (eg Fiacconi et al. 2017; Tamfal et al. 2022)

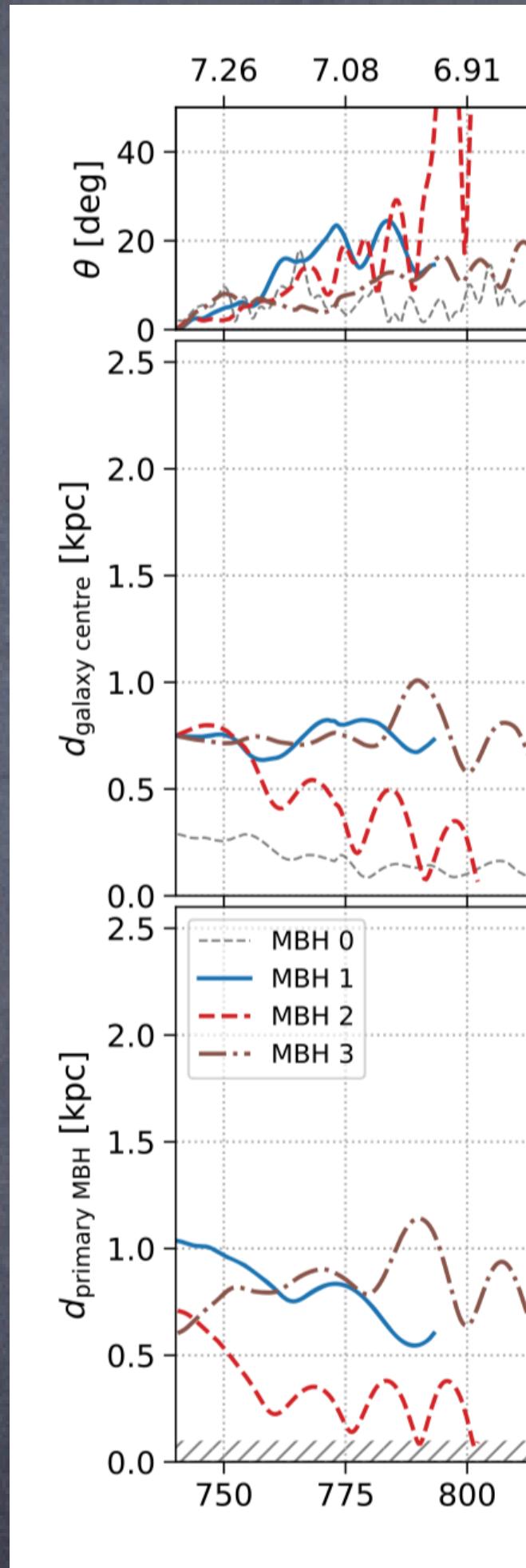
Strong asymmetries, bars and spiral structure in the gas and stellar disks —> **global disk torques dominate over dynamical friction**

Global torques → stochasticity in MBH binary formation (Stage I-II) (Bortolas et al. 2020, using the PONOS cosmological “zoom-in” simulations))

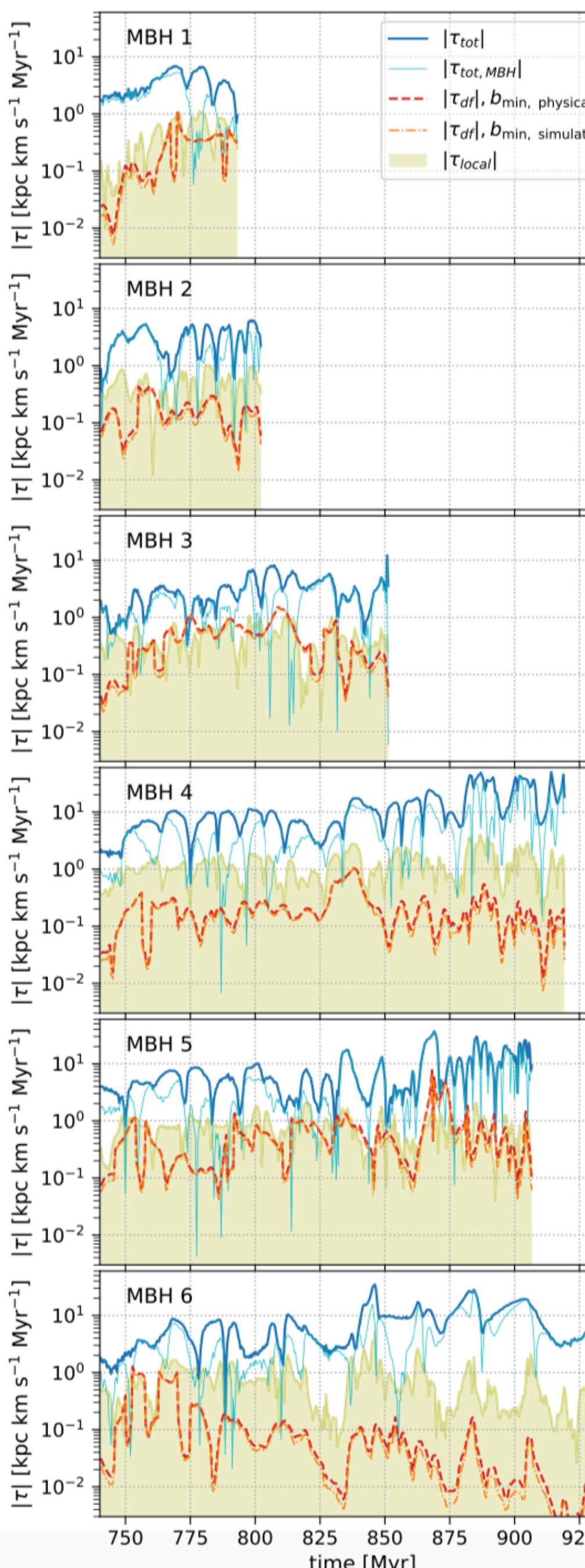
10^6 Mo black holes released at different angles and distances from primary (4×10^6 Mo) into typical disk galaxy at $z \sim 7$ (such an SMBH binary would be in the LISA band)



Orbital separation MBHs (Bortolas et al. 2021)

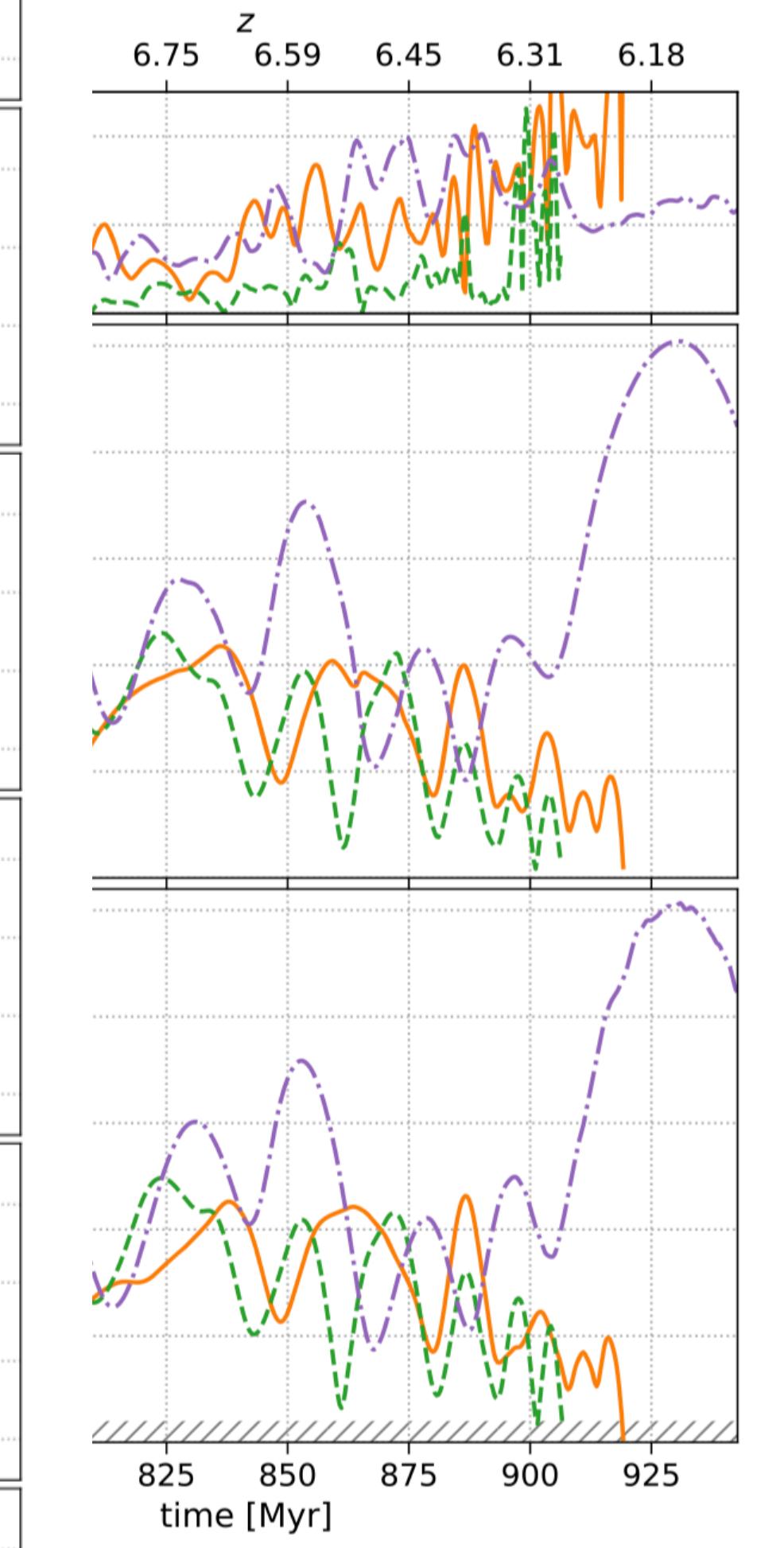


Comparison with
Global gravity
(bar-driven torque)



evolution of secondary

vs disagreement.
late dynamics
Bortolas et al. 2021)

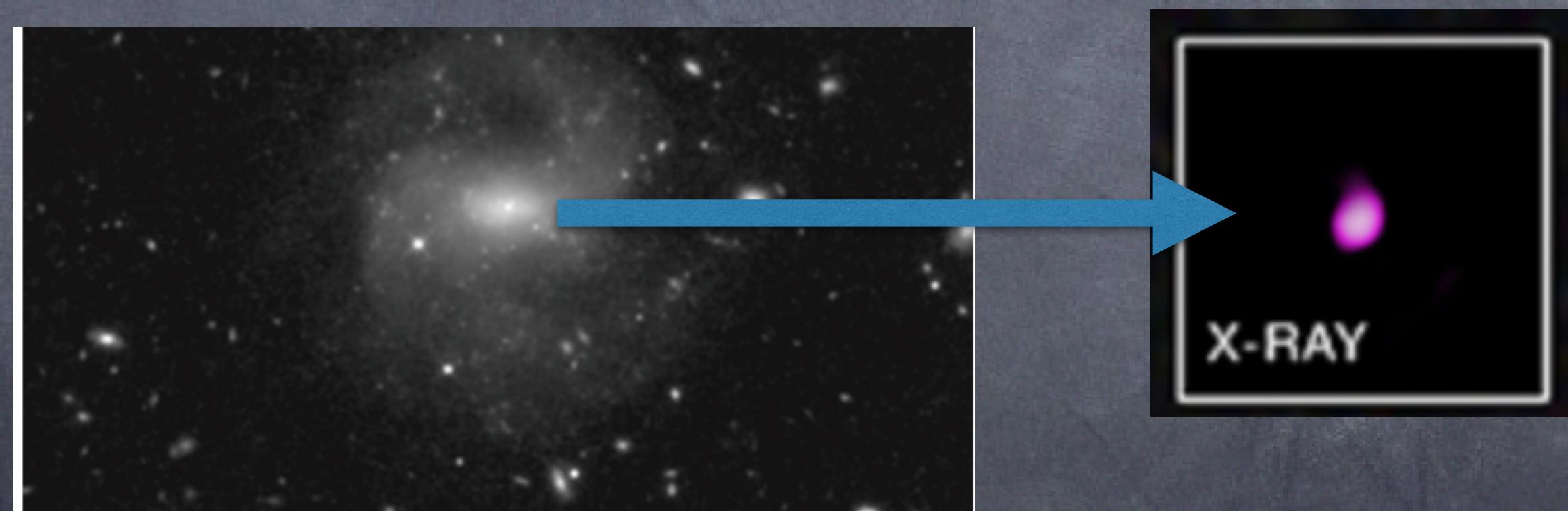


Stage I-III in the dark matter dominated regime: Intermediate Mass BH Binaries in merging dwarf galaxies

Merging MBHs in the 10^4 - 10^5 Mo range should be ideal target for TianQin (advantage over LISA given flatter shape of sensitivity curve at high frequency).

These are naturally hosted in low-mass/dwarf galaxies.

Only recently X-ray detections of sources compatible with such low mass MBHs (IMBHs) have been collected in dwarf galaxies (eg Reines et al 2013; Baldassare et al. 2015; 2017; Chandra COSMOS Legacy survey, Mezcua et al. 2018; Baldassare, Geha & Greene 2018)



--> Potentially different regime for BH orbital dynamics since dwarf galaxies are dark matter dominated even at scales $\sim < 1$ kpc.

Hi-res dwarf galaxy merger simulations with varying dark matter density profile

$$\rho = \frac{\rho_s}{(r/r_s)^\gamma [1 + (r/r_s)^\alpha]^{(\beta-\gamma)/\alpha}}$$

Our default resolution:

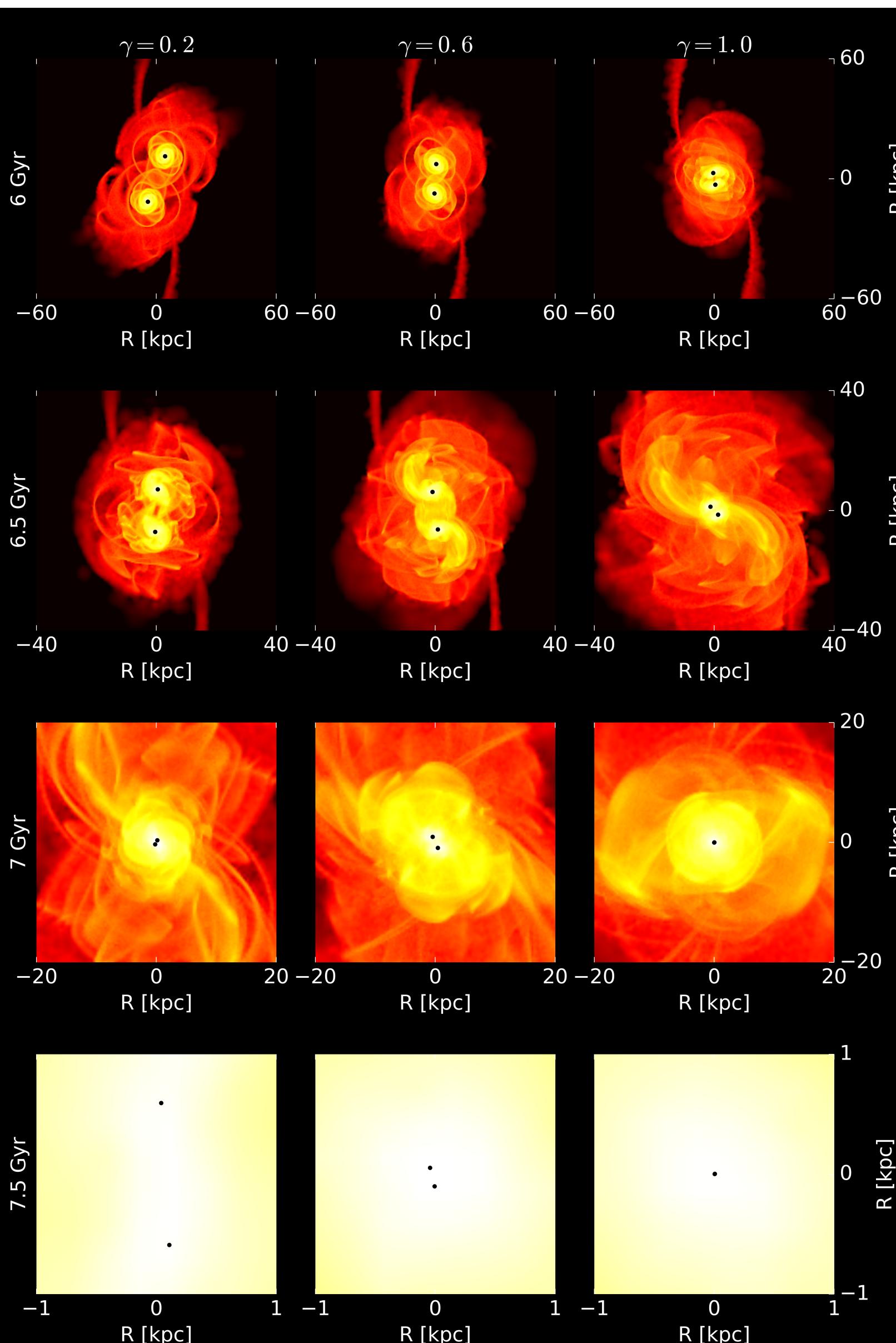
1.2 x 10⁸ particles

4 pc spatial res.

**Focus on binary formation and initial
hardening phase for equal mass
black holes ($\sim 10^5 M_\odot$) in major
mergers.**

**Slopes shallower than NFW can be
produced by SN-driven outflows
(Governato et al. 2010) or in
alternative dark matter scenarios
(see Mayer 2022 for a review)**

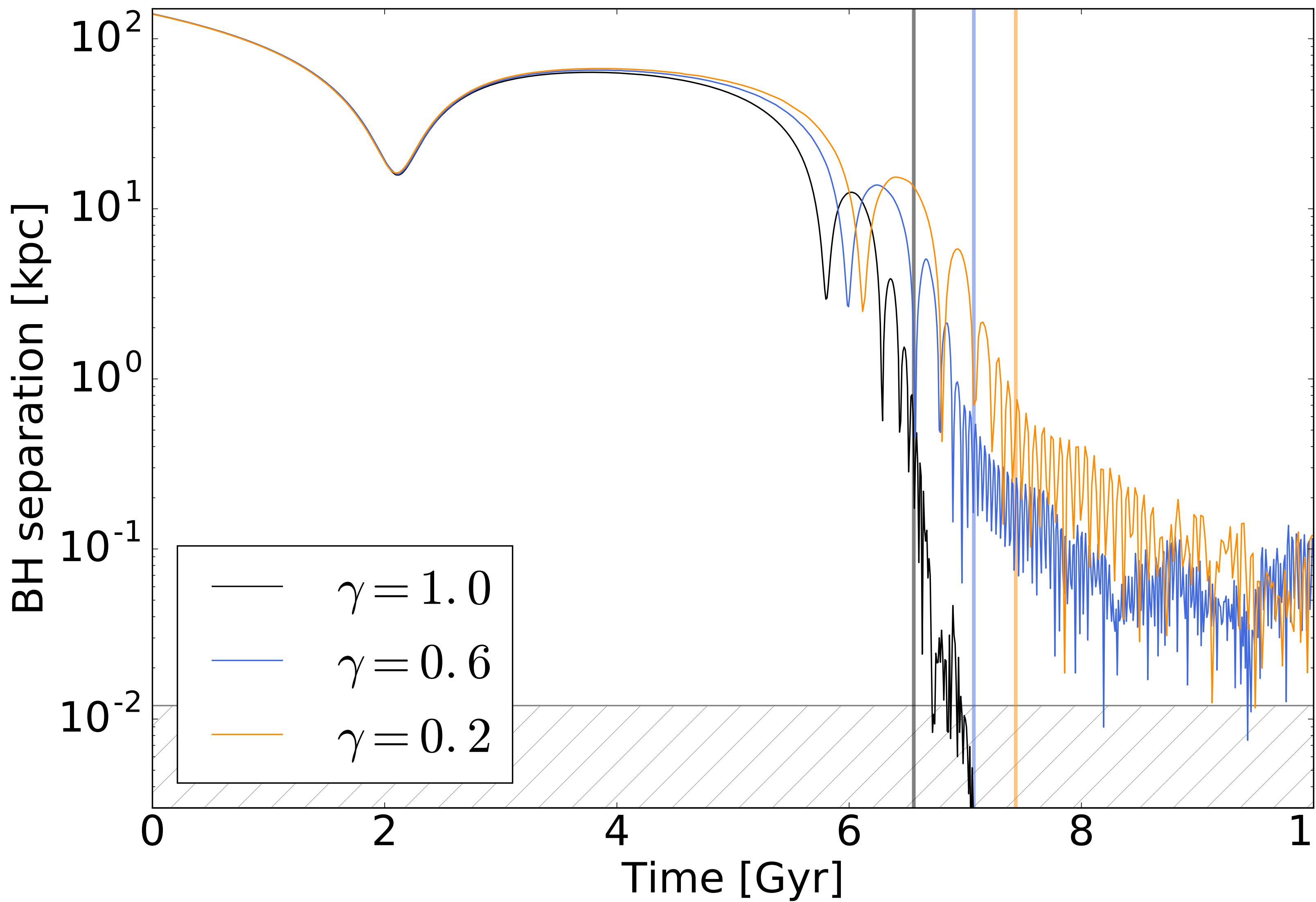
**First study considered gas-free
galaxy mergers (Tamfal et al. 2018,
with N-Body code PKDGRAV3)**



**$\gamma = 1$ (NFW)
default in
Cold Dark
Matter
cosmology**

Suppression of dynamical friction (expected) in shallow/cored density distribution (Goerdt et al. 2007,2010; Petts et al. 2015; 2017).

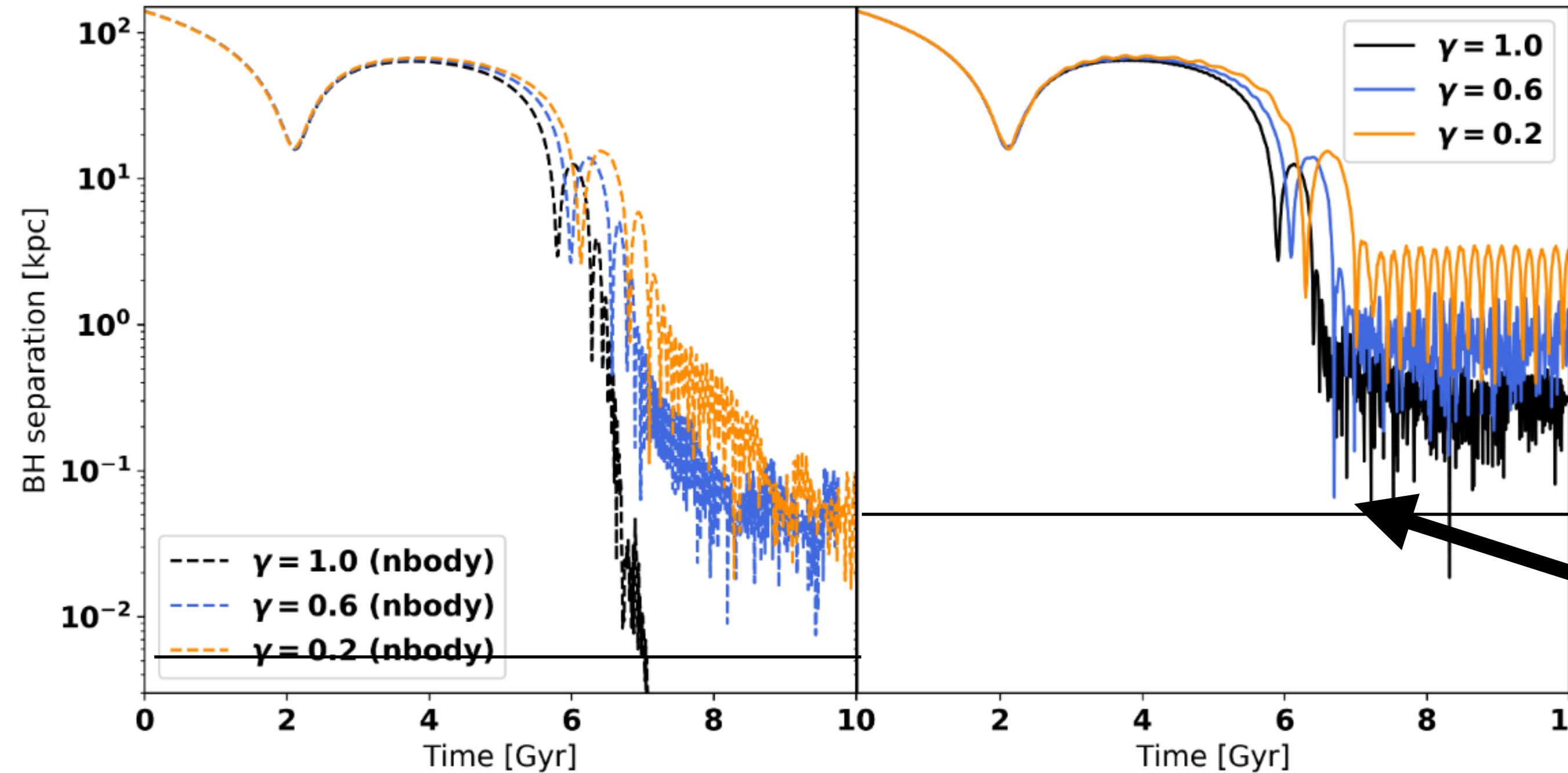
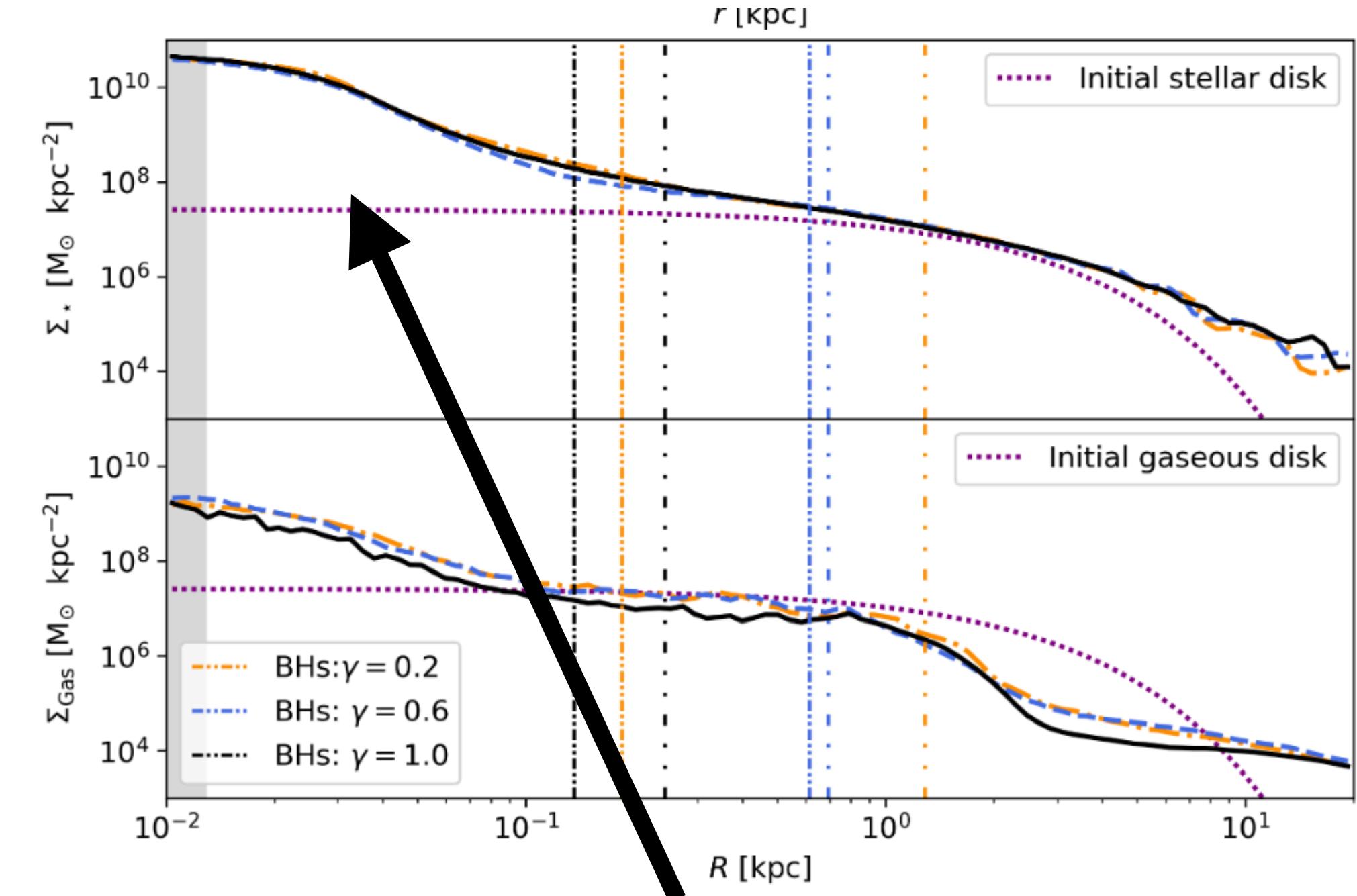
(a) Lower central density + (b) Non-maxwellian velocity distribution with “N-horn” DM particles moving faster than the BHs and accelerating them (Cole et al. 2012; Petts et al. 2017).



Gas-free equal mass dwarf galaxy mergers

WARNING; analytical Extrapolation shows even in NFW case sub-pc hardening timescale $> t_{\text{Hubble}}$
→ need central stellar density enhancement, eg nuclearstar cluster (NC)

Dwarf galaxy mergers with gas
 (Tamfal et al. 2022), same
 Galaxy models and IMBH
 masses but baryonic disk has 50%
 of its mass in cold gas phase



Gas inflow triggered by merger increases the central density a lot (formation of Nuclear Star Cluster (NSC)?) but the IMBHs lag behind in low density outer region “evacuated” by inflow
 $\rightarrow t_{\text{dynfriction}} > t_{\text{inflow}}$
slowdown of orbital decay relative to gas-free mergers

CONCLUSIONS

- I. For LISA and TianQin the MBH mergers in the relevant mass range must happen in gas-rich nuclei —> at all scales gaseous phase plays key role in MBH binary evolution
- II. MBH/IMBH binary formation + hardening is tightly linked with host galaxy properties, in particular depends on complex ISM physics, feedback processes, and DM distribution, hence depend on galaxy type and redshift (eg clumpy galaxies at $z > \sim 1$)
 - predicting (S)MBH merger rates is thus **computationally challenging** because it requires modelling both the cosmological context and the sub-pc scale physical processes in galactic nuclei. **An EM census of MBH binaries at sub-pc to 100 pc scales would help a lot!**
- III. HIGH-RESOLUTION GALAXY-SCALE SIMULATIONS OF GAS-RICH NUCLEI SHOW THAT GLOBAL DISK TORQUES DOMINATE OVER DYNAMICAL FRICTION, RESULTING IN STOCHASTIC ORBITAL EVOLUTION

SUCH SENSITIVITY MEANS WE CAN LEARN A LOT ON GALAXY FORMATION AND ON THE CO-EVOLUTION OF GALAXIES AND (S)MBHs FROM THE LISA DATASTREAM