

## Backpropagation

**Linear-time DP for derivatives:**

1. Write composite fn as labeled acyclic hypergraph

2. Forward propagation with input

3. Backprop:  $\frac{\partial y_i}{\partial x_j} = \sum_{p \in P(j,i)} \prod_{(k \rightarrow \ell) \in p} \frac{\partial z_\ell}{\partial z_k}$

$\sin'(x) = \cos(x)$ ,  $\cos'(x) = -\sin(x)$ ,  $\log'(x) = \frac{1}{x}$ ,  $\exp'(x) = \exp(x)$

## Log-linear Modelling

$\text{score}(y, x) = \theta^\top f(x, y)$

**NLL gradient = 0:**  $\sum_{i=1}^n f(x_i, y_i) = \sum_{i=1}^n \mathbb{E}_{y|x_i, \theta}[f(x_i, y)]$

**Hessian:**  $H_\theta(\sum_i -\log p(y_i|x_i)) = \sum_i \text{Cov}_{y|x_i, \theta}[f(x_i, y)]$

**Softmax:**  $\text{softmax}(h)_y = \frac{\exp(h_y/T)}{\sum_{y'} \exp(h_{y'}/T)}$   $T \rightarrow 0$ : argmax.  $T \rightarrow \infty$ : uniform.

**Exponential family:**  $p(x|\theta) = \frac{1}{Z(\theta)} h(x) \exp(\theta^\top \varphi(x))$

## Multi-layer Perceptron

**Problem:** Data must be linearly separable. **Solution:** Learn non-linear feature fn with MLP:

$$h_k = \sigma_k(W_k^\top h_{k-1}), h_1 = \sigma_1(W_1^\top e(x))$$

Then softmax( $\theta^\top h_n$ ) for prob dist.

**Skip-Gram:** predict if 2 words in same context. Need good word repr.

**Derivative:**  $\frac{\partial \ell}{\partial W_k} = \frac{\partial \ell}{\partial y} \frac{\partial y}{\partial h_n} (\prod_{m=k+1}^n \sigma'_m(\dots) W_m) \sigma'_k(\dots) h_{k-1}$

## Structured Prediction

$$p(y|x) = \frac{\exp(\text{score}(y, x))}{Z(x)}, Z(x) = \sum_{y' \in \mathcal{Y}} \exp(\text{score}(y', x))$$

**Problem:**  $\mathcal{Y}$  exponentially/ininitely large. **Solution:** Design algorithms using structure of input/output.

## Language Modelling

$$p(y) = p(\text{eos}|y) \cdot \prod_{i=1}^N p(y_i | y_{<i})$$

$$p(y_i|y_{<i}) = \frac{1}{Z(y_{<i})} \exp(\text{score}(y_{<i}, y_i))$$

**Non-tight:** Force  $p(\text{eos}|y_{<i}) > \xi > 0$

**n-gram:**  $p(y_i|y_{<i}) = p(y_i|y_{i-n+1}, \dots, y_{i-1})$  **Neural n-gram:** Embeddings + MLP RNN:  $h_i = \sigma(W_h h_{i-1} + W_x e(y_{i-1}) + b)$

**Vanishing gradient:** LSTM/GRU

## Semirings

**Definitions: Monoid**  $(\mathbb{K}, \circ, e)$ : assoc, identity **Semiring**  $(\mathbb{K}, \oplus, \otimes, 0, 1)$ : comm monoid, monoid, distrib, annihilator

**Closed:**  $x^* = \bigoplus_{n=0}^{\infty} x^{\otimes n}$

Boolean, Viterbi  $\langle [0, 1], \text{max}, \times, 0, 1 \rangle$ , Inside, Real, Tropical, Log, Expectation, Counting

## Part-of-Speech Tagging

Input:  $w \in \Sigma^N$ . Output:  $t \in \mathcal{T}^N$ .

$$\text{CRF: } \text{score}(t, w) = \sum_{n=1}^N \text{score}(\langle t_{n-1}, t_n \rangle, w, n)$$

$\text{trans}(t_{n-1}, t_n) + \text{emit}(w_n, t_n)$

**Forward:**  $\alpha_{n,t_n} \leftarrow \bigoplus_{t_{n-1} \in \mathcal{T}} \exp(\text{score}(\dots)) \otimes \alpha_{n-1, t_{n-1}}$  Return

$\alpha_{N,\text{eot}}$ . Runtime:  $O(N|\mathcal{T}|^2)$

**Dijkstra:**  $O(N|\mathcal{T}|^2 + N|\mathcal{T}| \log(N|\mathcal{T}|))$

## Finite-State Automata

**WFST:**  $\Sigma, \Omega, Q, I \subseteq Q, F \subseteq Q, \lambda : I \rightarrow \mathbb{K}, \rho : F \rightarrow \mathbb{K}, \delta$

**Pathsum:**  $Z(\mathcal{T}) = \bigoplus_{i,k \in Q} \lambda(q_i) \otimes R_{ik} \otimes \rho(q_k)$

**Lehmann:**  $R_{ik}^{(j)} \leftarrow R_{ik}^{(j-1)} \oplus R_{ij}^{(j-1)} \otimes \left(R_{jj}^{(j-1)}\right)^* \otimes R_{jk}^{(j-1)}$  Runtime:  $O(|Q|^3)$

**Composition:**  $\mathcal{T}(x, y) = \bigoplus_{z \in \Omega^*} \mathcal{T}_1(x, z) \otimes \mathcal{T}_2(z, y)$

## Transliteration

Map  $\Sigma^* \rightarrow \Omega^*$ . Three transducers:

1.  $\mathcal{T}_x$ : maps  $x \rightarrow x$
2.  $\mathcal{T}_\theta$ : maps  $\Sigma^* \rightarrow \Omega^*$
3.  $\mathcal{T}_y$ : maps  $y \rightarrow y$

Compose for  $Z(x)$  and  $\text{score}(y, x)$

## Constituency Parsing

**CFG:**  $\mathcal{N}, S, \Sigma, \mathcal{R}$  (rules  $N \rightarrow \alpha$ ) **PCFG:** locally normalized.

**WCFG:** globally normalized.

**CNF:**  $N_1 \rightarrow N_2 N_3$  or  $N \rightarrow a$  (no cycles)

**CKY:**  $C_{i,k,X} \leftarrow \bigoplus_{X \rightarrow YZ} \exp(\text{score}(X \rightarrow YZ)) \otimes C_{i,j,Y} \otimes C_{j,k,Z}$  Return  $C_{1,N+1,S}$ . Runtime:  $O(N^3 |\mathcal{R}|)$

## Dependency Parsing

$(N-1)^{N-2}$  spanning trees with single-root.

$$\text{score}(t, w) = \rho_r + \sum_{(i \rightarrow j) \in t} A_{ij}$$

**Koo MTT:** Laplacian  $L_{ij} = \begin{cases} \rho_j \text{ if } i=1 \\ -A_{ij} \text{ if } i \neq j \\ \sum_{k \neq i} A_{kj} \text{ otherwise} \end{cases}$   $Z(w) = \det(L)$ . Runtime:  $O(N^3)$

**Chu-Liu-Edmonds:** Greedy graph  $\rightarrow$  contract cycles  $\rightarrow$  swap loss  $\rightarrow$  expand.  $O(N^2)$

## Semantic Parsing

**Lambda calculus:**  $x, y, z; (\lambda x.f(x)); (MN)$   **$\beta$ -reduction:**

$$((\lambda x.M)N) \rightarrow M[x := N]$$

**$\alpha$ -conversion:**  $\lambda x.M[x] \rightarrow \lambda y.M[y]$

**CCG rules:**  $X/Y Y \Rightarrow X (>), Y X \setminus Y \Rightarrow X (<) X/Y Y/Z \Rightarrow X/Z (B_>)$

**LIG:** CFG with stacks. Push/pop rules.

## Transformers

**Self-attention:** Learn  $W_Q, W_K, W_V \in \mathbb{R}^{d \times d}$   $\text{SelfAtt}(X) = \text{softmax}\left(\left(W_Q^\top X\right)^\top \frac{W_K^\top X}{\sqrt{d}}\right) (W_V^\top X)^\top$  Runtime:  $O(nd^2 + dn^2)$

**Positional encoding:**  $P_{pi} = \sin(p/10000^{i/d})$  or cos

**Encoder:**  $\oplus P \rightarrow \text{MHSAs} \rightarrow \oplus \rightarrow \text{LN} \rightarrow \text{MLP} \rightarrow \oplus \rightarrow \text{LN}$  **Decoder:** + linear + softmax

**Beam search, nucleus sampling**

## Axes of Modelling

**Bias-variance:** High bias = underfit. High variance = overfit. **Regularization:**  $\ell(\theta) + \lambda \|\theta\|_2^2$

**MLE:**  $\hat{\theta} = \arg \min_{\theta} -\log \prod_{(x,y) \in \mathcal{D}} p_\theta(y|x)$

**Precision** = TP/PP, **Recall** = TP/(TP+FN), **F1** =  $2 \cdot \frac{P \cdot R}{P+R}$

**Locally norm:** efficient, label bias **Globally norm:** needs normalizer

## Tips

**Gradient:** Sum over paths, product within paths. **Reuse terms** in backprop for efficiency.

**Complexities:** vec-vec  $O(d)$ , mat-vec  $O(nm)$ , mat-mat  $O(nml)$

**Activations:**

- $\sigma(x) = \frac{1}{1+\exp(-x)}$ ,  $\sigma'(x) = \sigma(x)(1-\sigma(x))$
- $\text{ReLU}(x) = \max\{0, x\}$ ,  $\text{ReLU}'(x) = \mathbb{1}\{x > 0\}$
- $\tanh(x) = \frac{\exp(x)-\exp(-x)}{\exp(x)+\exp(-x)}$ ,  $\tanh'(x) = 1 - \tanh^2(x)$