

Recall. [8B]

(8.1)  $V$  is a complex vector space.  $T \in \mathcal{L}(V)$

$\lambda_1, \dots, \lambda_m$  are distinct eigenvalues

a)  $V = G(T, \lambda_1) \oplus \dots \oplus G(T, \lambda_m)$

b) Each  $G(T, \lambda_i)$  is inv. under  $T$ .

c)  $(T - \lambda_i I)|_{G(T, \lambda_i)}$  is nilpotent.

$$M(T) = \begin{pmatrix} \boxed{A_1} & & \\ & \boxed{A_2} & \\ & & \ddots \\ & & & \boxed{A_m} \end{pmatrix}$$

UPPER TRI. (circled in green)

Block Diagonal.

$$A_i = M(T|_{G(T, \lambda_i)})$$

size =  $\dim(G(T, \lambda_i))$

(8.4).  $V$  is a complex vector space.  $T \in \mathcal{L}(V)$

$\lambda_i$  distinct.  $\exists$  a basis of  $V$  such that

$$M(T) = \begin{pmatrix} \boxed{A_1} & & \\ & \boxed{A_2} & \\ & & \ddots \\ & & & \boxed{A_m} \end{pmatrix} \quad \&$$

$$A_i = \begin{pmatrix} \lambda_i & & * \\ & \ddots & \\ 0 & & \lambda_i \end{pmatrix}_{d_i \times d_i}$$

$d_i = \dim G(T, \lambda_i) \dots$   
is called multiplicity  
of  $\lambda_i$

pf.  $A_i$  represents  $T|_{G(\tau, \lambda_i)}$

$\therefore (T - \lambda_i I)|_{G(\tau, \lambda_i)}$  is nilpotent.

$\exists$  a basis of  $G(\tau, \lambda_i)$  call  $\{v_1^i, v_2^i, \dots, v_{d_i}^i\}$

$$\mu(T - \lambda_i I|_{G(\tau, \lambda_i)}) = \begin{pmatrix} 0 & & * \\ & \ddots & \\ 0 & & 0 \end{pmatrix} \quad (8.17)$$

$$\begin{aligned} & \mu(T|_{G(\tau, \lambda_i)}) - \mu(\lambda_i I) \\ &= \mu(T|_{G(\tau, \lambda_i)}) - \lambda_i \begin{pmatrix} 1 & & \\ & \ddots & \\ & & 1 \end{pmatrix} \\ &= \mu(T|_{G(\tau, \lambda_i)}) - \begin{pmatrix} \lambda_i & 0 \\ 0 & \ddots & \\ 0 & & \lambda_i \end{pmatrix} \end{aligned}$$

$$\begin{aligned} \mu(T|_{G(\tau, \lambda_i)}) &= \begin{pmatrix} 0 & & * \\ & \ddots & \\ 0 & & 0 \end{pmatrix} + \begin{pmatrix} \lambda_i & 0 \\ 0 & \ddots & \\ 0 & & \lambda_i \end{pmatrix} \\ &= \begin{pmatrix} \lambda_i & & * \\ 0 & \ddots & \\ 0 & & \lambda_i \end{pmatrix} \end{aligned}$$

$\mu(T) = \begin{pmatrix} \lambda_1 & * & & 0 \\ 0 & \lambda_1 & & \\ & & \lambda_2 & * \\ & & 0 & \lambda_2 \\ & 0 & & & \lambda_n \end{pmatrix}$

Jordan Block

$G(\lambda_1, T)$

$G(\lambda_2, T)$

$G(\lambda_n, T)$

$\begin{pmatrix} \lambda & 1 & 0 \\ 0 & \ddots & 1 \\ 0 & & \lambda \end{pmatrix}$

Jordan normal form (8.59, 8.60)

$V$  is a vector space over  $\mathbb{C}$ .  $\underline{T} \in \mathcal{L}(V)$ .

$\exists$  a basis of  $V$ , such that

$$M(T) = \begin{pmatrix} \boxed{I_1} & & \\ & \boxed{I_2} & \\ & & \ddots \\ & & & \boxed{I_p} \end{pmatrix} \begin{matrix} A_1, \\ \\ \\ A_m \end{matrix}$$

where  $I_i = \begin{pmatrix} \lambda & 1 & 0 \\ & \ddots & \ddots \\ 0 & & \lambda \end{pmatrix}$

$\in$  Jordan block.

(8.55)' If  $N \in \mathcal{L}(V)$  is nilpotent,

$\exists$  a basis of  $V$ , such that

$$M(N) = \begin{pmatrix} 0 & a_1 & & 0 \\ & 0 & a_2 & \\ & & \ddots & \\ 0 & & & 0 \end{pmatrix}$$

$$a_i \in \{0, 1\}$$

(8.55)  $N \in \mathcal{L}(V)$  is nilpotent.  $\exists \underline{v_1, \dots, v_n} \in V$   
 $\& \exists m_1, m_2, \dots, m_n \geq 0$  such that

a)  $\{ \underline{v_1, Nv_1, N^2v_1, \dots, N^{m_1}v_1}, \dots, v_n, Nv_n, N^2v_n, \dots, N^{m_n}v_n \}$  is a basis of  $V$ .

b)  $N^{m_1+1}v_1 = 0, \dots, N^{m_n+1}v_n = 0$

Ex.  $N = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 2 \\ 0 & 0 & 0 \end{pmatrix} : \mathbb{C}^3 \rightarrow \mathbb{C}^3$ .

$$v_1 = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$

$$Nv_1 = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 2 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} = \begin{pmatrix} 0 \\ 2 \\ 0 \end{pmatrix}$$

$$N^2v_1 = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 2 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} 0 \\ 2 \\ 0 \end{pmatrix} = \begin{pmatrix} 2 \\ 0 \\ 0 \end{pmatrix} \quad m_1 = 2$$

$$\underline{N^3v_1} = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 2 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} 2 \\ 0 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix} = \vec{0} \quad \underline{\underline{m_1+1=3}}$$

$$\left\{ \begin{array}{ccc} \mathcal{N}^2 v_1 & \mathcal{N} v_1 & v_1 \\ \uparrow & \uparrow & \uparrow \\ \omega_1 & \omega_2 & \omega_3 \end{array} \right\} = \left\{ \begin{pmatrix} 2 \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 2 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \right\}$$

$$\mathcal{M}(\mathcal{N}) \quad \text{w.r.t.} \quad \{\omega_1, \omega_2, \omega_3\}$$

$$\mathcal{N}(\omega_1) = \mathcal{N}(\mathcal{N}^2 v_1) = \mathcal{N}^3 v_1 = 0$$

$$\mathcal{N}(\omega_2) = \mathcal{N}(\mathcal{N} v_1) = \mathcal{N}^2 v_1 = \omega_1$$

$$\mathcal{N}(\omega_3) = \mathcal{N}(v_1) = \omega_2$$

$$\mathcal{M}(\mathcal{N}) = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}$$

Example 2

$$N = \begin{pmatrix} 0 & 2 & 0 & 0 & 0 & 0 \\ 0 & 0 & 3 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix} \leftarrow 6 \times 6$$

$$v_1 = \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \\ 0 \\ 0 \end{pmatrix}$$

$$v_2 = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \\ 0 \\ 0 \end{pmatrix}$$

$$v_3 = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$

is in (8.55)

$$v_1, N(v_1) = \begin{pmatrix} 0 \\ 3 \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}, N^2(v_1) = \begin{pmatrix} 6 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}, N^3(v_1) = 0$$

$$m_1 = 2$$

$$v_2 = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \\ 0 \\ 0 \end{pmatrix}, N v_2 = 0 \cdot v_2 = 0, m_2 = 0$$

$$v_3 = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 1 \\ 0 \end{pmatrix}, N v_3 = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \\ 0 \\ 0 \end{pmatrix}, N^2 v_3 = 0, m_3 = 1.$$

$$\{ \cancel{N^2(v_1)}, \cancel{N(v_1)}, \cancel{v_1}, v_2, \cancel{N v_3}, v_3 \} \text{ is a basis.}$$

find  $M(N)$  w.r.t.

$$\mathcal{N}(\mathcal{N}^2(v_1)) = \mathcal{N}^3 v_1 = 0$$

$$\mathcal{N}(\mathcal{N}(v_1)) = \underline{\underline{\mathcal{N}^2 v_1}}, \quad \mathcal{N}(v_1) = \underline{\underline{\mathcal{N}(v_1)}}$$

$$\mathcal{N}(v_2) = 0$$

$$\mathcal{N}(\mathcal{N}(v_3)) = \mathcal{N}^2 v_3 = 0 \quad \mathcal{N}(v_3) = \underline{\underline{\mathcal{N}(v_3)}}$$

$$M(\mathcal{N}) = \begin{pmatrix} 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$



Jordan normal form (8.59, 8.60)

$V$  is a vector space over  $\mathbb{C}$ .  $T \in \mathcal{L}(V)$ .

$\exists$  a basis of  $V$ , such that

$$M(T) = \begin{pmatrix} \boxed{\mathbb{I}_1} & & & \\ & \boxed{\mathbb{I}_2} & & \\ & & \ddots & \\ & & & \boxed{\mathbb{I}_p} \end{pmatrix}$$

$A_1$ 
 $A_m$

where  $\mathbb{I}_i = \begin{pmatrix} \lambda & 1 & & 0 \\ & \ddots & \ddots & \\ 0 & & 1 & \\ & & & \lambda \end{pmatrix}$   $\lambda$  is an eigenvalue.  
 $\mathbb{I}_i$  is a Jordan block.

Pf.  $V = G(\lambda_1, T) \oplus G(\lambda_2, T) \oplus \dots \oplus G(\lambda_m, T)$

where  $\lambda_1, \dots, \lambda_m$  are distinct eigenvalues.

We know

$(T - \lambda_i I)|_{G(\lambda_i, T)}$  is nilpotent.

By (8.55). For each  $G(\lambda_i, T)$  a

$v_i^j, \dots, v_{n_i}^j$  such that

$$\{ \lambda_i^{*} v_i^j, \lambda_i^{*-1} v_i^j, \dots, v_i^j, \dots, \lambda_i^{*} v_{n_i}^j, \dots, v_{n_i}^j \}$$

is a basis &

$$\mathcal{M}(T - \lambda_i I \mid G(\lambda_i, T)) \stackrel{\text{nil.}}{=} \begin{pmatrix} \boxed{\begin{smallmatrix} 0 & 1 \\ 0 & 0 \end{smallmatrix}} & & \\ & \boxed{0} & \\ & & \boxed{\begin{smallmatrix} 0 & 1 \\ 0 & 0 \end{smallmatrix}} \end{pmatrix}_{6 \times 6}$$

$$\stackrel{\text{nil.}}{=} \begin{pmatrix} \boxed{\begin{smallmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{smallmatrix}} \end{pmatrix}$$

$$\stackrel{\text{nil.}}{=} \begin{pmatrix} \boxed{0} & & & \\ & \boxed{0} & & \\ & & \boxed{0} & \\ & & & \boxed{0} \end{pmatrix}$$

$$\mathcal{M}(T \mid G(\lambda_i, T)) = \lambda_i \begin{pmatrix} 1 & & \\ & \ddots & \\ & & 1 \end{pmatrix}$$

$$\mu(\tau|a(\lambda_i, \tau)) =$$

$$= \begin{pmatrix} \begin{matrix} \lambda_{i+1} \\ \lambda_{i+1} \\ \lambda_i \end{matrix} & & \\ & \lambda_i & \\ & & \begin{matrix} \lambda_{i+1} \\ \lambda_i \end{matrix} \end{pmatrix}_{6 \times 6}$$

$v_1^i$   
 $v_2^i$   
 $v_3^i$

$$= \begin{pmatrix} \lambda_{i+1} & & 0 \\ & \lambda_{i+1} & \\ & & \lambda_{i+1} \\ 0 & & & \lambda_{i+1} \\ & & & & \lambda_i \end{pmatrix}_{5 \times 5}$$

$v_1^i$   
 $v_2^i$   
 $v_3^i$

$$= \begin{pmatrix} \lambda_i & & & & 0 \\ & \lambda_i & & & \\ & & \lambda_i & & \\ 0 & & & \lambda_i & \\ & & & & \lambda_i \end{pmatrix}_{5 \times 5}$$

$v_1^i$   
 $v_2^i$   
 $v_3^i$