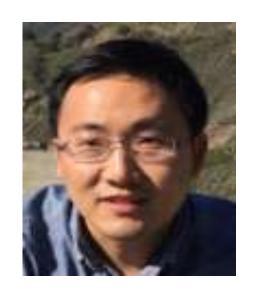
## Label Hallucination for Few-Shot Classification

#### Yiren Jian and Lorenzo Torresani







#### Few-shot Learning: Related Work

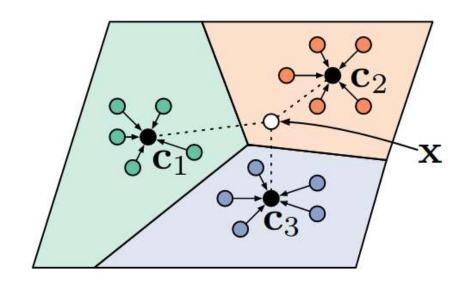
Few-shot learning aims at adapting knowledge extracted from datarich base categories to novel categories where examples are limited.

## **Gradient-based Meta Learning**

# $\theta^* \stackrel{\text{meta-learning}}{\sim} \theta^*$ $\nabla \mathcal{L}_1 \qquad \theta^*_3$ $\theta^*_1 \qquad \theta^*_2$

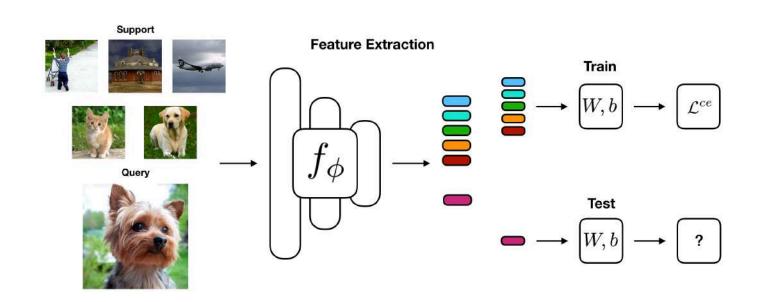
MAML [1] learns a good initialization of networks for fast adaptation to the new tasks.

## **Metric-based Meta Learning**



Prototypical Network
[2] learns embedding
for clustering
examples around a
prototypical
representation.

#### **Transfer Learning**



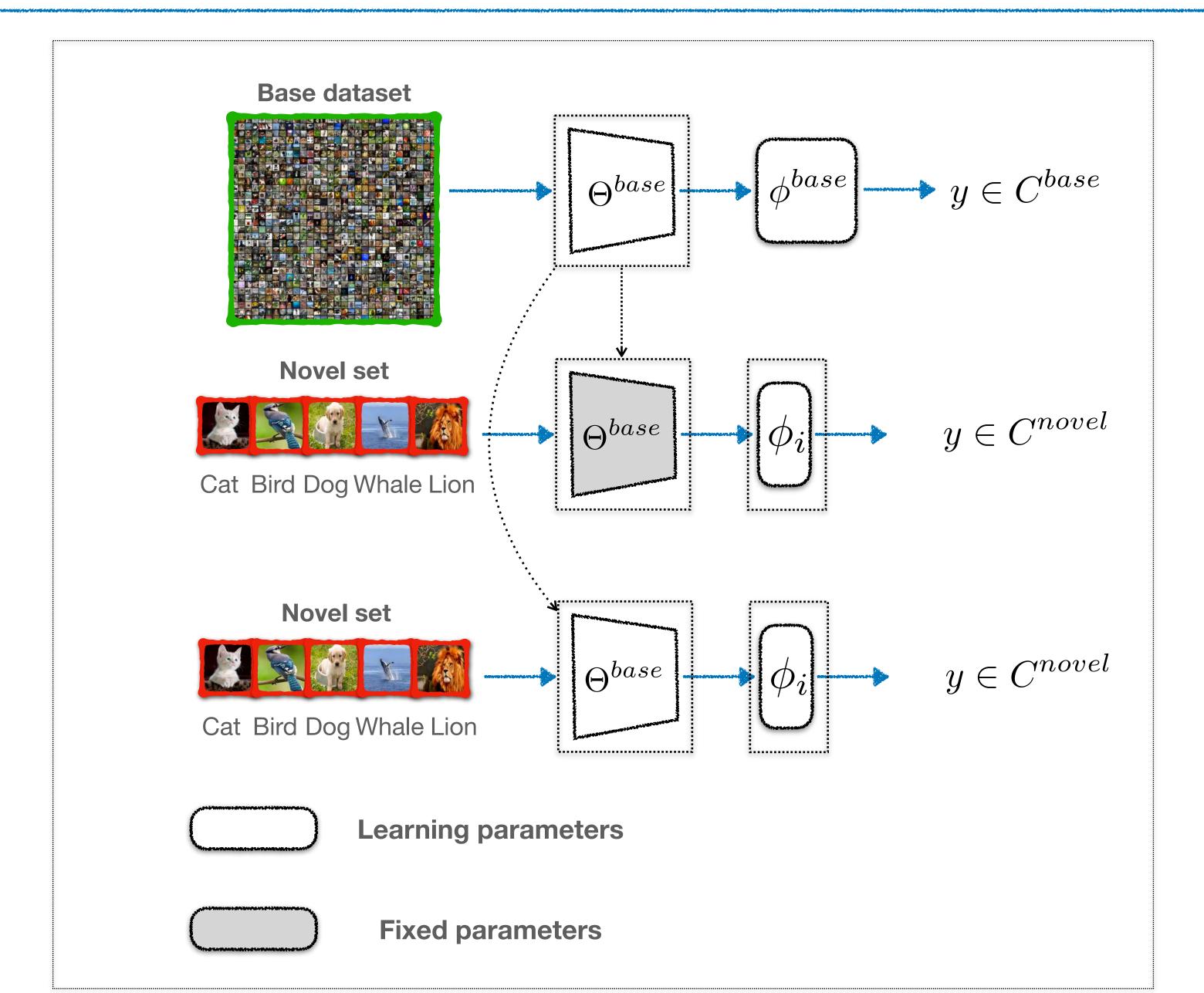
Simple transfer learning method [3] leveraging a fixed pre-trained feature extractor and learning a linear classifier on top of it also achieves impressive performances on several benchmarks.

<sup>[1]</sup> Model-Agnostic Meta-Learning for Fast Adaptation of Deep Networks. C Finn, P Abbeel, S Levine. In ICML, 2017.

<sup>[2]</sup> Prototipical Networks for Few-shot Learning. J Snell, K Swersky, R Zemel. In NeurIPS, 2017.

<sup>[3]</sup> Rethinking Few-Shot Image Classification: a Good Embedding is All You Need? Y Tian, Y Wang, D Krishnan, J Tenenbaum, P Isola, In ECCV, 2020.

#### **Prior Work and Limitations**



#### Transfer learning:

 Train a large-capacity model using a multiway classification loss on the base dataset to learn as discriminative representation.

#### Then:

 Approach 1: train a linear classifier on top of the frozen representation for each set of novel classes [1].
 Weakness: limited learning capacity

#### Or:

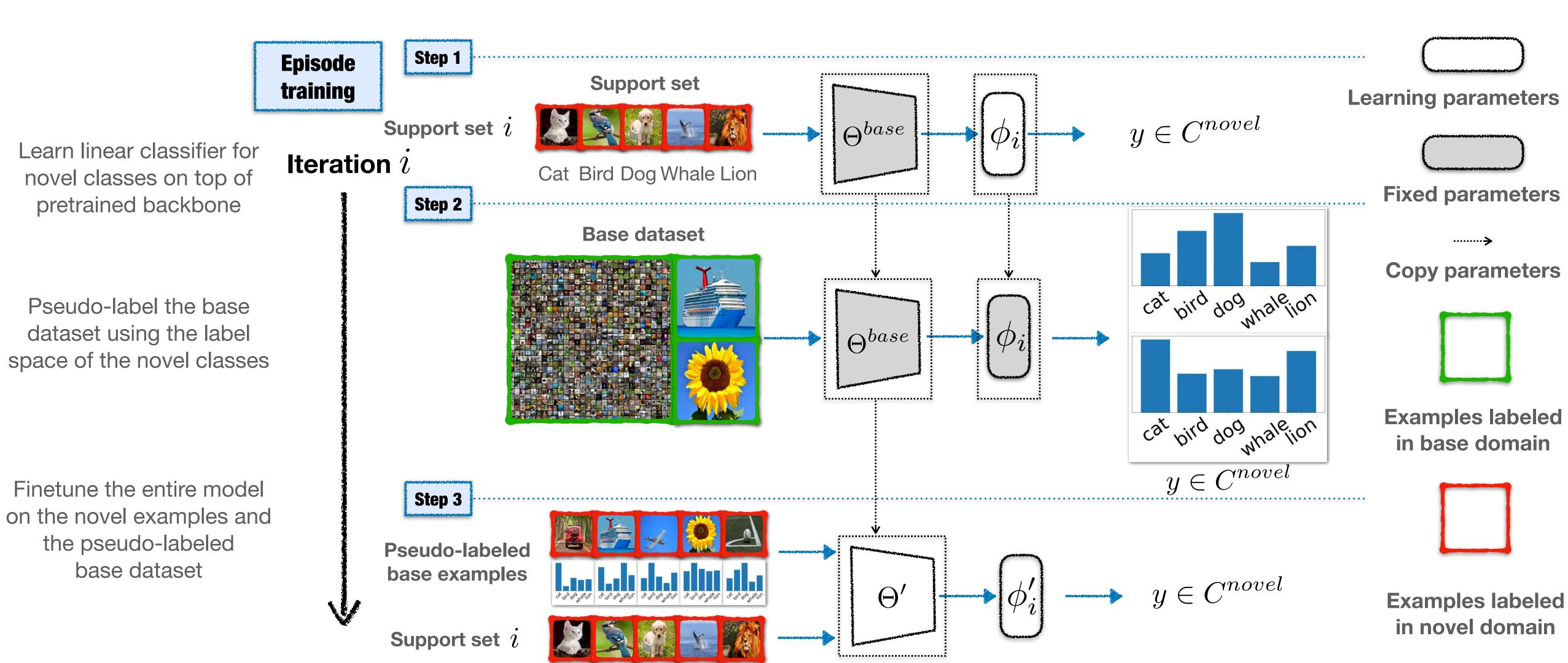
• Approach 2: finetune entire model on the novel set [2].

Weakness: high risk of overfitting

[1] Rethinking Few-Shot Image Classification: a Good Embedding is All You Need? Y Tian, Y Wang, D Krishnan, J Tenenbaum, P Isola, In ECCV, 2020.
[2] A Baseline for Few-shot Image Classification. G Dhillon, P. Chaudhari, A Ravichandran, S Soatto, in ICLR, 2020.

#### Label Hallucination: Transferring Novel-Class Labels to Base Images

Our approach: finetune the entire model on the base dataset *pseudo-labeled according to the novel classes* 



#### **Label Hallucination: Visualization and Intuition**

One-shot novel-class examples



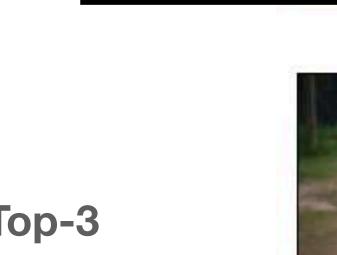
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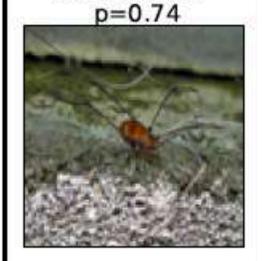












harvestman

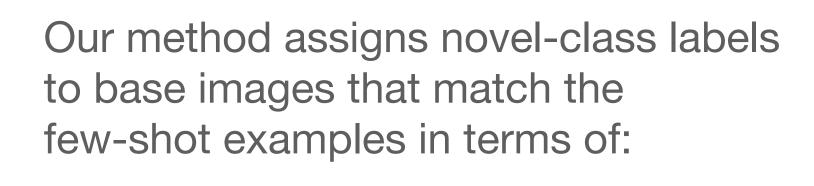


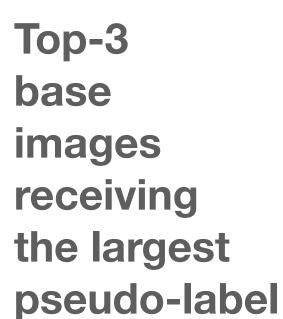
sloth

sloth

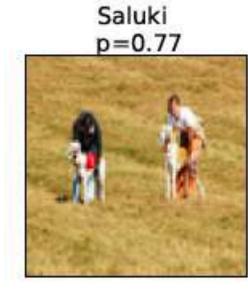


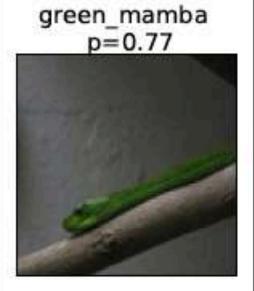
frying\_pan



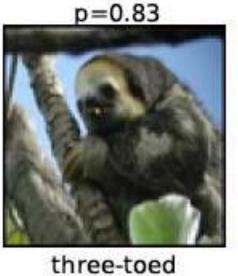


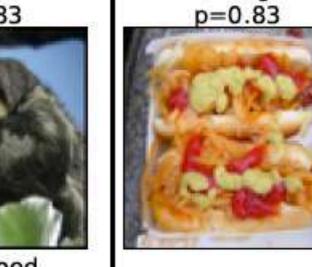
scores

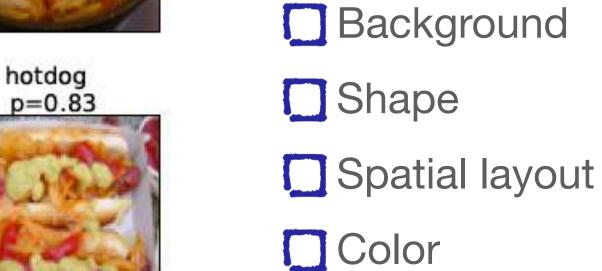




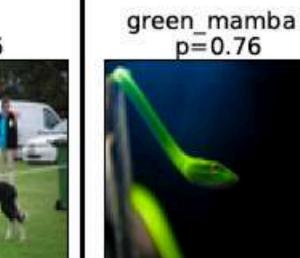


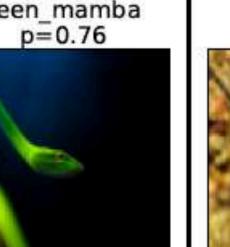




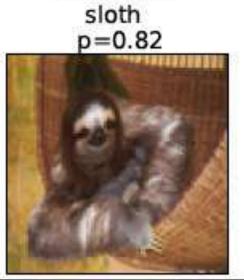














Texture

#### Label Hallucination: Experimental Results

#### Results on minilmageNet and tieredImageNet

|  |                        | miniImageNet 5-way    |                       | tieredImageNet 5-way               |                       |  |
|--|------------------------|-----------------------|-----------------------|------------------------------------|-----------------------|--|
| model                                    | backbone               | 1-shot                | 5-shot                | 1-shot                             | 5-shot                |  |
| DeepEMD [56] (CVPR'20)                   | ResNet-12              | $65.91 \pm 0.82$      | $82.41 \pm 0.56$      | $71.16 \pm 0.87$                   | $86.03 \pm 0.58$      |  |
| RFS-simple [48] (ECCV'20)                | ResNet-12              | $62.02 \pm 0.63$      | $79.64 \pm 0.44$      | $69.74 \pm 0.72$                   | $84.41 \pm 0.55$      |  |
| RFS-distill [48] (ECCV'20)               | ResNet-12              | $64.82 \pm 0.82$      | $82.41 \pm 0.43$      | $71.52 \pm 0.69$                   | $86.03 \pm 0.49$      |  |
| AssoAlign [1] (ECCV'20)                  | ResNet-18 <sup>†</sup> | $59.88 \pm 0.67$      | $80.35 \pm 0.73$      | $69.29 \pm 0.56$                   | $85.97 \pm 0.49$      |  |
| AssoAlign [1] (ECCV'20)                  | WRN-28-10 <sup>‡</sup> | $65.92 \pm 0.60$      | $82.85 \pm 0.55$      | $74.40 \pm 0.68$                   | $86.61 \pm 0.59$      |  |
| SKD-GEN1 [35] (Arxiv'20)                 | ResNet-12              | $66.54 \pm 0.97^{\S}$ | $83.18 \pm 0.54^{\S}$ | $72.35 \pm 1.23^{\S}$              | $85.97 \pm 0.63^{\S}$ |  |
| MELR [14] (ICLR'21)                      | ResNet-12              | $67.40 \pm 0.43$      | $83.40 \pm 0.28$      | $72.14 \pm 0.51$                   | $87.01 \pm 0.35$      |  |
| IEPT [57] (ICLR'21)                      | ResNet-12              | $67.05 \pm 0.44$      | $82.90 \pm 0.30$      | $72.24 \pm 0.50$                   | $86.73 \pm 0.34$      |  |
| IER-distill [39] (CVPR'21)               | ResNet-12              | $66.85 \pm 0.76^{\S}$ | $84.50 \pm 0.53^{\S}$ | $72.74 \pm 1.25^{\S}$              | $86.57 \pm 0.81^{\S}$ |  |
| Label-Halluc (pretrained w/ SKD-GEN1)    | ResNet-12              | $67.50 \pm 1.01$      | $85.60 \pm 0.52$      | $72.80 \pm 1.20$                   | $86.93 \pm 0.60$      |  |
| Label-Halluc (pretrained w/ IER-distill) | ResNet-12              | $68.28 \pm 0.77$      | $86.54 \pm 0.46$      | $\textbf{73.34} \pm \textbf{1.25}$ | $87.68 \pm 0.83$      |  |

#### Label Hallucination: Experimental Results

#### **Results on CIFAR-FS and FC100**

|  |                        | CIFAR-FS 5-way                   |                     | FC-100 5-way        |                     |  |
|--|------------------------|----------------------------------|---------------------|---------------------|---------------------|--|
| model                                    | backbone               | 1-shot                           | 5-shot              | 1-shot              | 5-shot              |  |
| DeepEMD [56] (CVPR'20)                   | ResNet-12              | -                                | -                   | $46.5 \pm 0.8$      | $63.2 \pm 0.7$      |  |
| RFS-simple [48] (ECCV'20)                | ResNet-12              | $71.5 \pm 0.8$                   | $86.0 \pm 0.5$      | $42.6 \pm 0.7$      | $59.1 \pm 0.6$      |  |
| RFS-distill [48] (ECCV'20)               | ResNet-12              | $73.9 \pm 0.8$                   | $86.9 \pm 0.5$      | $44.6 \pm 0.7$      | $60.9 \pm 0.6$      |  |
| AssoAlign [1] (ECCV'20)                  | ResNet-18 <sup>‡</sup> | <del>-</del>                     | _                   | $45.8 \pm 0.5$      | $59.7 \pm 0.6$      |  |
| SKD-GEN1 [35] (Arxiv'20)                 | ResNet-12              | $76.6 \pm 0.9^{\S}$              | $88.6 \pm 0.5^{\S}$ | $46.5 \pm 0.8^{\S}$ | $64.2 \pm 0.8^{\S}$ |  |
| InfoPatch [18] (AAAI'21)                 | ResNet-12              | <del>-</del>                     | _                   | $43.8 \pm 0.4$      | $58.0 \pm 0.4$      |  |
| IER-distill [39] (CVPR'21)               | ResNet-12              | $77.6 \pm 1.0^{\S}$              | $89.7 \pm 0.6^{\S}$ | $48.1 \pm 0.8^{\S}$ | $65.0 \pm 0.7^{\S}$ |  |
| Label-Halluc (pretrained w/ SKD-GEN1)    | ResNet-12              | $77.3 \pm 0.9$                   | $89.5 \pm 0.5$      | $47.3 \pm 0.8$      | $67.2 \pm 0.8$      |  |
| Label-Halluc (pretrained w/ IER-distill) | ResNet-12              | $\textbf{78.0} \pm \textbf{1.0}$ | $90.5 \pm 0.6$      | $49.1 \pm 0.8$      | $68.0 \pm 0.7$      |  |

#### **Label Hallucination: Ablations**

#### **Hard or soft Pseudo-Labels**

|                                  | mini-IN |        | CIFAR-FS |        | FC100  |        |
|----------------------------------|---------|--------|----------|--------|--------|--------|
|                                  | 1-shot  | 5-shot | 1-shot   | 5-shot | 1-shot | 5-shot |
| Transfer w/ frozen backbone (LR) | 66.54   | 83.18  | 76.6     | 88.6   | 46.5   | 64.2   |
| Transfer w/ finetuning           | 61.43   | 80.03  | 68.8     | 85.7   | 43.1   | 61.9   |
| Hard LabelHalluc + finetuning    | 65.04   | 80.68  | 75.3     | 85.3   | 44.6   | 62.4   |
| Soft LabelHalluc + finetuning    | 67.50   | 85.60  | 77.3     | 89.5   | 47.3   | 67.2   |

Soft Label Hallucination works the best, outperforming Hard Label Hallucination and the frozen backbone baseline.

#### **Label Hallucination: Ablations**

#### Learning embedding or classifier with LabelHalluc

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|---------------------|-----------|-----------|-----------------------------|-------------|
|                     | Curananti |           | 3 4 <i>i</i> <b>i b b</b>   | support set |
|                     | SHOOM!    | ı garnınd | $\lambda \Lambda I I I I I$ | CHANALL CAL |
|                     | Oupport.  |           | VVILII                      |             |
|                     |           |           |                             |             |

- ☐ Base: Learning with pseudo-labeled base set
- Net: Learning the backbone network
- Clf: Learning the classifier

| Support  |          | Base |     | miniImageNet |        |  |
|----------|----------|------|-----|--------------|--------|--|
| Net      | Clf      | Net  | Clf | 1-shot       | 5-shot |  |
| <b>√</b> | <b>√</b> |      |     | 61.43        | 80.03  |  |
|          |          |      |     | 63.59        | 81.53  |  |
|          |          |      |     | 66.18        | 84.36  |  |
|          |          |      |     | 67.50        | 85.60  |  |

The largest improvements come from learning the capacity embedding network, and fine-tuning both the embedding and classifier yields best results.

#### **Label Hallucination: Ablations**

#### Different pre-training methods

|                     | miniImageNet |       | CIFAR-FS |              | FC100 |      |
|---------------------|--------------|-------|----------|--------------|-------|------|
|                     | LR           | ours  | LR       | ours         | LR    | ours |
| RFS-simple [48]     | 79.33        | 81.75 | 86.6     | 87.3         | 58.1  | 61.2 |
| RFS-distill [48]    | 81.15        | 82.74 | 86.5     | <b>87.</b> 1 | 61.0  | 63.9 |
| SKD-gen0 [35]       | 82.31        | 84.14 | 87.8     | 88.8         | 62.8  | 66.5 |
| SKD-gen1 [35]       | 83.18        | 85.60 | 88.6     | 89.5         | 64.2  | 67.2 |
| IER-gen0 [39]       | 83.88        | 85.86 | 89.5     | 90.2         | 63.8  | 67.2 |
| IER-distill [39]    | 84.50        | 86.54 | 89.7     | 90.5         | 65.0  | 68.0 |
| Average improvement |              | +2.05 |          | +0.8         |       | +3.2 |

Our LabelHalluc can be used with different pretraining methods. Experiments with six different pretraining strategies show the consistent improvements enabled by our method.

### Thank you!