# Micro Foundations of Network Formation: Experimental Evidence from American Municipal Governments

#### Yixin Liu

#### Abstract

By focusing on organizational or network level analysis, the earlier research agenda on intergovernmental collaboration is either "too late" or "too aggregated" to explain public officials' individual motivation of network formation. Existing literature relies on observational data to discuss governments' collaborative decisions from a rear-view mirror perspective; after networks have formed. To help us get a proactive worldview, this study examines three fundamental network formation theories at individual official level. These theories include rational choice, ideological homophily, and relational trust. A conjoint survey experiment of U.S. municipal officials, including elected officials and city managers, was conducted to test the hypotheses. The results indicate that municipal officials' collaboration decisions are jointly driven by all three theories, but ideological homophily contributes relatively smaller explanation power than the other two. In addition, the subgroup analysis of Democrat and Republican respondents further discusses the complex interaction effects between fair sharing of cost and ideological homophily. This experimental approach of network analysis advances network theories and provides new opportunities to study collaborative governance.

**Keywords**: Network formation, Collaborative governance, Conjoint experiment, Municipal governments

Yixin Liu is a PhD candidate at Askew School of Public Administration, Florida State University, yl17g@my.fsu.edu.

I thank Frances Berry, Daniel Butler, and Chengxin Xu for feedbacks during different stages of this project. I also thank excellent research assistance from Binying Liu and Audrey Timmins in the data collection process.

#### Introduction

What drives governments to collaborate with each other? This is one of the most long-standing research questions in the field of public administration. The basic theoretical rationale for developing scholarship on collaborative network is straightforward: many intergovernmental problems cannot be solved or solved easily, by single organizations (Agranoff and McGuire 2001, 296). The increasing complexities of interorganizational actions facilitate our need to investigate the process of network emergence in public organizations (O'Toole Jr 1997). With this consideration, the ways public organizations connect with each other and the motivations they reach collaborative decisions are pre-conditions for scholars to further study other network activities and outcomes.

In this vein, public policy and management literature integrates diverse theoretical approaches to explain network formation (e.g., Berry et al. 2004; Henry et al. 2011; Provan and Kenis 2008; Scott and Thomas 2017; Yi et al. 2018). Two areas of study, however, need further investigation. The first one is mechanism confusion, which means that multiple theories and hypotheses can explain the same network phenomenon (Siciliano et al. 2021). For example, many scholars agreed that collaboration agreements are functions of risk aversion from network actors: Public organizations seek to bridge with new collaborators when they perceive low risk; and they seek to bond with existing collaborators under high risk (Berardo and Scholz 2010). However, risk is difficult to measure and often inferred by hindsight. Network actors' risk perceptions toward partnerships may result from cost-benefit calculations, attribute-based homophily, relational trust, or other possible factors. Therefore, multiple competing hypotheses may simultaneously contribute to one positive or negative effect of risk on network formation. This issue of mechanism confusion creates barriers for scholars to identify which theories are the ones at work and compare their explanation powers, since many of them have overlapping functions that need to be isolated (Siciliano et al. 2021).

Second, network scholars develop abundant evidence about intergovernmental network

at organizational or network levels, but we paid relatively sparse attention to network theories at individual-level. By way of definition, the most fundamental elements in networks are individuals who managing organizations within networks, because they "... as actors can be seen simultaneously as occupants of positions within a public administrative organization and as components of one or more multiorganizational web(s) of action built in one way or another around functions or public problems" (O'Toole Jr 2015, 362). However, the previous research agenda is either "too late" or "too aggregated" to explain individual officials' incentives of network activities. By focusing on meso or macro levels, existing literature discusses public organizations' collaborative behaviors from a rear-view mirror perspective; after networks have formed. Public managers' initial motivation to trigger collaborative decision is empirically unknown.

To help us get a proactive worldview, I propose an experimental approach to study network formation. Experimental method is useful to solve the above two problems in network research. Randomization techniques in experiments are effective to achieve mechanism isolation, so researchers can compare effects between different theories in a common standard. Moreover, most experiments are situated at individual-level, which allow researchers to closely observe willingness to collaborate from organizational decision-makers. Based on these premises, this study asks: What micro-level mechanisms motivate public managers to make collaborative decisions in an intergovernmental world?

Specifically, this study compares the relative explanatory power of three fundamental but competing network theories. These theories are rational choice, ideological homophily, and social capital. In rational choice, collaborative partnerships are functions of costs-benefits calculation between network members (Ostrom 1990). This approach assumes that collaboration is largely based on participants' self-interests. However, ideological homophily argues that collaborations are determined by participants who share similar ideological beliefs, which are not always generated from rational considerations (Jenkins-Smith and Sabatier 1993). The theory of social capital is in the middle ground between the above two

approaches, which argues that self-interests and ideological beliefs are respectively under- and over-socialized concepts (Granovetter 1985). In alternative, collaborations are established on the relational trust that comes from prior interactions. Although these three theories are influential to explain network formation, the direct comparison between them is encountered by mechanism isolation issue in observational studies' posterior analyses.

Therefore, I examined causal mechanism directly from each of these theories in a survey based conjoint experiment of municipal officials (elected officials and city managers) across the United States. As part of the survey, I provided a vignette about implementing a sustainable development program. Municipal officials were then asked to choose program proposals suggested by potential collaborator cities. The three network theories are manipulated as attribute components in the program proposals, and respondents need to trade off among attributes to make collaborative decisions. Using this strategy, I simultaneously compared treatment effects from the three theories on officials' partner selection choices.

The findings indicate that all three theories contribute significant effects on municipal officials' collaborative decisions. Interestingly, rational choice and social capital theories share similar and stronger effects than ideological homophily. In particular, low costs, high benefits, and good collaborative experiences are major reasons for partnerships. In addition, fair distribution of program costs between collaborators is also important, but it is conditional on officials' party affiliations. Although municipal officials have tendencies to collaborate with cities that share the same partisanship, this effect is less critical in our model. Overall, collaborative decisions of municipal officials are not solely determined by a single theory. Conversely, multi-dimensional considerations drive public officials to achieve collaborative decisions in reality.

This article sheds new light on network formation theories at individual-level analysis, and especially on interlocal collaborative decisions of municipal leaders. The establishments of rational choice, ideological homophily, and social capital theories in the conjoint experiment bridge solid connections with existing literature. Combining the findings from this

study and other levels' (meso and macro) network research, scholars can translate collaborative motivations of public managers before networks happened to actual organizational process after networks have formed. From this perspective, we can further advance network theories conceptually and methodologically.

#### Competing Network Theories

Before proceeding, I wish to clarify at the outset about the definition of network and network theory in this article. Network is a complex concept, which has multiple definitions and types in public policy and management literature. This article follows the classic definition from Agranoff and McGuire (2001, 296):

"Networks, as the term is used in the literature, typically refers to multiorganizational arrangements for solving problems that cannot be achieved, or achieved easily, by single organizations. Public management networks are led or managed by government representatives. Simply put, networks constitute emergent phenomena that are distinctive managerial vehicles and that offer challenges for the single organization and its management."

This definition not only provides a clear demonstration about the purpose of intergovernmental network, but also indicates the necessity of studying network activities of government representatives. Based on this insight, this article specifies network formation at individual officials' collaborative decision.

For network theories, the literature distinguishes networks by multiple types given their different functions, such as learning networks (Nisar and Maroulis 2017; Siciliano 2015), service networks (Romzek et al. 2014), and policy networks (Ingold and Leifeld 2016; Yi et al. 2018). However, network members in any of these networks have demands to find new collaborative partners for achieving organizational goals. Regarding this feature, using collaborative decision as a potential network outcome is generalizable to different institutional

contexts. The literature also investigates network activities by different structures, such as nodes, dyads, triads, and multi-layer relationships (Berardo and Scholz 2010). As a micro level study to examine the fundamental theories of collaborative behaviors, this article only emphasizes on the most basic network activity: collaborative willingness from one actor to another. I recognize that this simplistic two-actor mode is limited to describe many multi-dimensional network activities embedding in complex network structures, but it serves well as an outcome measurement to isolate interdependency of other confounding factors in the institutional environment. Therefore, it fits with the research purpose of this study.

#### Revising the Three Traditions of Network Research

Scholarship on interorganizational collaboration has been "treating networks seriously" for more than two decades (O'Toole Jr 2015). As an applied science, the field of public administration incorporates interdisciplinary traditions into the network research agenda (Berry et al. 2004). Berry and her colleagues sort network research traditions into sociology, political science, and public management. These authors categorize network traditions by different assumptions about human behaviors: social embeddedness in sociology, rational choice in political science, and instrumentalism in public management. The sociology tradition assumes that human behaviors are embedded in structural social contexts. Therefore, network formations are related to norms, ongoing social relations, and institutional trust. Unlike sociology, the political science tradition assumes that human behaviors are economic self-interest, so public network activities are rationally instrumental in both policy process and governance issues (Berry et al. 2004). The public management tradition integrates assumptions from both sociology and political science tradition to manage public program implementation process. Every tradition provides theories to explain network formations, and these theories remain influential today and help network scholars to progressively study public networks in different institutional contexts.

However, after almost 20 years evolution, social science research has developed more

plentiful theories to explain human behaviors, which cannot always be categorized by either rational choice or social embeddedness. For example, the fast-growing field of political motivated reasoning challenges the rational choice theory in political science. Rather than using accuracy goals to motivate rational decision-making, individuals often use partisan goals to justify their actions (Bisgaard and Slothuus 2018; Graham and Svolik 2020; James and Van Ryzin 2017; Taber and Lodge 2006). So, network activities are not necessary products of rational choice, but products of political alignments. This stream suggests that public officials' ideological views strongly shape their affinity of policy actions (Butler et al. 2017). Combining this theoretical development and Berry et al.'s seminal article of network categorization, I revise intellectual traditions in network research and break down the political science tradition into neo-institutional economics with rational choice assumption (Ostrom 1990; Williamson 1981) and political psychology with motivated reasoning assumption. In addition, this revision aligns with Berry et al.'s (2004, 543) opinion on public management in the network tradition category, which integrates diverse traditions into the public network research agenda and "...geared toward instrumental concerns." Table 1 summarizes Berry et al.'s (2004) categorized network traditions and the current revision as well as their corresponding assumptions about behavior.

**Table 1:** The Network Traditions

| Berry et al. (2004) Current Revision |                             | Assumptions About Behavior      |  |  |
|--------------------------------------|-----------------------------|---------------------------------|--|--|
| Sociology                            | Sociology                   | Social embeddedness             |  |  |
| Political science                    | Neo-institutional economics | Rational choice                 |  |  |
|                                      | Political psychology        | Political motivated reasoning   |  |  |
| $\downarrow$                         | $\downarrow$                | $\downarrow$                    |  |  |
| Public management                    | Public management           | Integration and instrumentalism |  |  |

The following parts in this section introduce each of the three network research perspectives and their representing theories. In particular, this study investigates cost-benefit analysis from neo-institutional economics, ideological homophily from political psychology, and relational trust from sociology. These theories are competing with each other, because their basic assumptions about human behaviors are different: Cost-benefit analysis is built on rational choice assumption, ideological homophily is based on motivated reasoning assumption, and relational trust is developed by social capital assumption. Although these theories offer unique explanations of network formation, they are not mutually exclusive, because network decisions are often combinations of multi-dimensional considerations in complex information environments (Silvia 2018). Therefore, the purpose of this study is not to choose an optimal network solution for public managers, but rather to compare the relative explanation power between different theories in public officials' collaborative decisions.

#### Rational Choice Assumption and Cost-Benefit Analysis

Williamson (1981) and other neo-institutional economics scholars assume that human behaviors are generally rational. Therefore, network members' self-interested utility maximization should predict their decisions, and rational factors should explain the major variations of network activities. Collaborative behaviors are functions of costs and benefits between network actors, so network actors' decisions should depend on their expectations of economic gains in the actions they involved.

The rational choice theory and cost-benefit analysis are powerful in network literature. Finishing intergovernmental tasks in collaboration is an attractive strategy for network actors, because they can complement each other in works (Olson 1965). Through effective communication and coordination, each network actor learns from each other, and eventually achieve costs reduction and benefits maximization in an ideal condition. The rational choice theory is immense in the context of polycentric governance. For example, Lubell et al. (2002) investigate American watershed management and argue that partnerships are more likely to emerge when organizations need to offset costs associated with severe environmental problems. Similarly, other authors find that organizations join partnership to access knowledge for policy solutions (Berardo and Lubell 2016; Hileman and Bodin 2019). The rational

choice theory also fits with individual level (Ostrom 1990). In studying networks of frontline bureaucrats, individuals like to build connections with peers with strong expertise, so they can maximize their own benefits (Nisar and Maroulis 2017; Siciliano 2015). In addition, frontline bureaucrats also search advises from peers who are most accessible to reduce social costs (?).

On the other side, social scientists not always agree with the pure rational model, even if they consider the importance of costs and benefits in making collaborative decisions. (Ostrom 1998) suggests that the cost-benefit calculus should condition on fairness of cost allocation between collaboration parties, and sharing the costs unequally between parties can reduce the levels of cooperation. Abbink et al. (2001, 5) call this argument as "punishment hypothesis", in which "... punishment attributes a motive to the second mover's rejection of an unequal division asserting that it is done to punish the first mover for unfair treatment." Therefore, cost fairness is important in a partnership, otherwise actors may reject the collaboration proposal regardless how much utility they can gain from it. For example, Shrestha (2012) find that conflicts about fair sharing critically affect success of collaborative public programs. Nonetheless, cost fairness has not been popularly examined yet in network literature. Thus, this study integrates both the pure rational model and a cost fairness assumption into the hypothesis testing.

H1a: Municipal officials are more likely to form collaborations with partners that offer lower costs.

H1b: Municipal officials are more likely to form collaborations with partners that offer fair sharing of costs.

**H2**: Municipal officials are more likely to form collaborations with partners that offer larger benefits.

#### Motivated Reasoning Assumption and Ideological Homophily

Political psychology offers a different view about human behaviors rather than the conventional rational model. It suggests that "all reasoning is motivated" (Taber and Lodge 2006, 756). People "...generate theories that view their own attributes as more predictive of desirable outcomes" (Kunda 1987, 636). When this assumption applied to political life, people process information by their partisan goals rather than accuracy, which means that people no longer make decisions by actual evidence they observe but by prior ideological beliefs they defend (Taber and Lodge 2006).

The theory of political motivated reasoning is widely used in political science and public administration. It affects citizens' policy judgements and voting decisions (e.g., Bisgaard and Slothuus 2018; Graham and Svolik 2020; James and Van Ryzin 2017). Compared to citizens, some authors suggest that the effect of motivated reasoning is even stronger among public officials (Baekgaard et al. 2019; Christensen and Moynihan 2020). Christensen and Moynihan (2020) provide an exploratory analysis about this phenomenon: unlike general citizens, elected officials are trained to stay consistent with their political identities, if else they would be punished by voters and other political stakeholders. Therefore, the professional role of public officials may lead them to prioritize political considerations in intergovernmental actions, which will eventually affect network outcomes.

In network literature, the impact of prior ideological beliefs on collaborative decisions has been introduced by the Advocacy Coalition Framework (ACF), which argues that network actors with similar beliefs comprise coalitions and they learn policy knowledge within the coalitions (Jenkins-Smith et al. 2018). Different from rational choice, the ACF assumes that network activities are boundedly rational, because network actors have limited ability to access information, allocate time, and learn relevant knowledge before they make decisions (Simon 1957). Therefore, cost-benefit analysis cannot fully predict public officials' collaboration choices. In alternative, "individuals simplify the world through their belief system and are, therefore, prone to biased assimilation of stimuli" (Jenkins-Smith et al. 2018, 108). The

ACF suggests a three-tiered belief system (deep core beliefs, policy beliefs, and secondary beliefs) to predict network formation. Deep core beliefs are fundamental normative values, which are often measured by individuals' cultural and ideological identities (Ripberger et al. 2014). Policy beliefs are network actors' value priorities in the policy subsystem. Secondary beliefs are specific instrumental means for achieving policy goals of the policy beliefs (Jenkins-Smith et al. 2018). Among these beliefs, deep core beliefs underpin policy beliefs and secondary beliefs in network activities, so it is crucial for scholarship development in investigating how deep core beliefs (e.g., ideology) motivate collaborative decisions. Comparing the effect of political motivated reasoning and other theories will advance our theoretical understanding of network formation.

Following this stream, Leach and Sabatier (2005) find that when considering network actors' political deep core beliefs and policy beliefs, rational choice variables are no longer significant to determine partnership. And the deep core beliefs (measured by the respondent's conservatism) contribute strongest effects. Similarly, Henry (2011) investigates policy networks in California regional planning system, he finds that political elites tend to collaborate with ideology similar actors and avoid connecting with ideology dissimilar actors. Some network scholars classify ideological belief coalition into attribute based homophily, which argues that network actors create ties with those who share similar attributes (Siciliano et al. 2021). Although network formation may be affected by multiple attributes (such as gender and ethnicity), ideological homophily is the prominent one since partisanship is one of the strongest predictors in analyzing interlocal politics (Butler et al. 2017; Gerber and Hopkins 2011). For example, Rabovsky and Rutherford (2016) find that presidential and state policy makers' ideologies affect American universities' external networking efforts. Song et al. (2018) also discover the ideological homophily effect among Korean municipal council members in interlocal collaborations. Accordingly, I assume that municipal officials will select collaborators who match with their ideological beliefs.

**H3**: Municipal officials are more likely to form collaborations with partners that share the similar ideology beliefs.

#### Social Capital Assumption and Relational Trust

Sociologists study human behaviors by structural social contexts (Burt 1997). Granovetter (1985, 481) argue that "behavior and institutions are affected by social relations." He criticizes both the over- and under-socialized concepts in understanding economic actions: under-socialized account is too narrow to explain behaviors from utilitarian self-interest; over-socialized account over internalizes behaviors, so ongoing social relations are omitted in analysis. Under this argument, the rational choice assumption is an under-socialized account, because it analyzes network formation by the economic self-interest tool: cost-benefit analysis. On the other hand, the political motivated reasoning assumption is an over-socialized account, because it indicates a determined social system that behaviors are guided by social identities such as class and ideology (Granovetter 1985). Therefore, network analysis should not only emphasize on cost-benefit analysis or ideological homophily, but also the relational trust created by interactions between people (Burt 1997).

The theory of social capital assumes that prior interactions between network actors are likely to build relational trust, and relational trust will lead network actors to extend collaborations (Granovetter 1985; Krackhardt et al. 2003). For example, Metz et al. (2019) study interconnectedness in environmental policy networks and find that prior interactions create trust and social capital, which further build joint policy preferences. In addition, Bunger (2013) find that interorganizational trust boost administrative coordination among nonprofit organizations. Scott and Thomas (2015) also observe social capital effect from environmental collaboration. Their results indicate that the probability of tie formation increases if two organizations both participate in the same collaborative group.

Although the effect of social capital on collaboration has been repeatedly tested, some limitations remain. Siciliano et al. (2021) points out that the theoretical direction between

trust and collaboration is unclear. Collaboration builds trust, but trust can also result further collaboration. This reverse causality issue hinders scholars to confirm the internal mechanisms between these two variables. Some authors like Metz et al. (2019) argue that prior interactions boost relational trust, but some other authors like Scott and Thomas (2015) and Bunger (2013) suggest that relational trust results collaboration. Including longitudinal analysis in network research to study the coevolution of trust and collaboration is a remedy of this problem, but data availability issue hinders more authors to use this method (Berardo et al. 2020; Isett and Provan 2005). Rather than longitudinal analysis, I purpose an experimental method to solve the theoretical direction problem. Through manipulating information about past collaborative experience, I examine municipal officials' collaborative decisions in a hypothetical experimental scenario. I expect that good collaborative experiences (rather than bad experiences or no experience) reflect relational trust between network actors and further increase the likelihood of future collaborations. This expectation stays aligned with Huang's (2014) correlational results, which indicate that intense interaction increases the likelihood of information sharing, but this effect is only conditional on actors' perceived trustworthiness between each other. Although my experimental design cannot observe the coevolution of trust and collaboration that requires longitudinal data, this study still moves a little step forward to improve causality in network analysis.

**H4**: Municipal officials are more likely to form collaborations with partners that they shared good collaborative experiences in history.

### Testing the Determinants of Network Formation

Recently, public policy and management scholars have achieved significant progress in studying public networks by embracing new methods, such as agent-based simulation (Scott et al. 2019), longitudinal analysis cite (Siciliano et al. 2020), and coded meeting records (Berardo et al. 2014). However, we still face some obstacles. For example, it is difficult to isolate mechanisms of the above theories from each other and simultaneously compare

their effects. In addition, dyadic connections often arise dependently with other surrounding connections in the network (Scott and Ulibarri 2019). Therefore, it is challenging to exclude confounding factors of collaborative decisions among public organizations.

#### Conjoint Experimental Design and Identification Strategy

To overcome these difficulties and test the above hypotheses causally, I introduce a conjoint experimental approach to study network formation. The design and data analysis plan were pre-registered at [anonymous for peer-review] (see Appendix A). Within a sustainable development program vignette, I constructed the above theories into four program attributes. This design (a) isolated attributes' components by randomization; (b) captured theoretical mechanisms of the hypotheses; (c) and required respondents to trade-off between multiple attributes in collaborative decision-making.

After briefly introducing the program scenario, the survey presented three pairs of hypothetical city partnership opportunities. In each pair, respondents compared two program proposals from two cities. Then, respondents were requested to indicate which city they prefer to collaborate with. The chosen proposals were coded as 1, otherwise 0. Each program proposal contains information of four attributes: program costs (own cost and partnership city's cost), job creation benefits, collaborator's partisanship (Democrats or Republicans), and previous collaborating experiences with this city. Respectively, these four attributes corresponded to the three theories we interested: costs/benefits analysis, ideological homophily, and relational trust. Table 2 displays detailed information of each attribute. It is worth noting that the cost attribute contains two elements for hypotheses testing. It tested whether respondents prefer the lowest cost (H1a, component (1)) or fair sharing of cost (H1b, component (2)). After the conjoint comparison tasks, respondents answered questions about their party affiliation, ideology, and position tenure. Finally, they also answered demographic questions of race, gender, age, and education. Survey instruments are reported in Appendix B.

**Table 2:** Attributes for Collaborative Program Proposals

| Attributes   | Components   |  |  |
|--|--|--|--|
| Cost of the program Theory: cost aversion/cost fairness (H1a, H1b)           | (1) You pay: \$250,000; your partner pays: \$750,000<br>(2) You pay: \$500,000; your partner pays: \$500,000<br>(3) You pay: \$750,000; your partner pays: \$250,000 |  |  |
| The program will create Theory: benefit (H2)                                 | <ul><li>(1) 200 jobs in your city</li><li>(2) 500 jobs in your city</li><li>(3) 800 jobs in your city</li></ul>  |  |  |
| The program is proposed by<br>Theory: ideological homophily (H3)             | <ul><li>(1) Democrats</li><li>(2) Republicans</li></ul>  |  |  |
| Previous working experiences with this city<br>Theory: Relational trust (H4) | <ul><li>(1) Good</li><li>(2) Bad</li><li>(3) No experience</li></ul>   |  |  |

As aforementioned, every proposal was randomly assigned a component from each attribute, thus these components were independent treatments in the between and within subject design (Hainmueller et al. 2014). There were totally  $54 = 3 \times 3 \times 2 \times 3$  possible combinations of attribute components in program proposals (see Table 2). The conjoint experiment not only randomized attribute components, but also the order of attributes across respondents. This design reduced order effects, which made the results more robust<sup>1</sup> ((Hainmueller et al. 2014). In summary, proposal attributes were independent and identically distributed random variables. I regressed them in one linear probability model that used proposals as units of analysis (Equation 1). Standard errors were clustered at individual level to control non-independence of the within subject proposal comparison.

$$Collaboration = \beta_1 Cost + \beta_2 Benefit + \beta_3 Party + \beta_4 Trust + \mu \tag{1}$$

Testing H3 needs to measure the interaction between respondents' self-partisanship identities and the partisanship attribute components in program proposals. If respondents' self-partisanship identities match with the partisanship attribute components, for example a Democrat respondent see a Democrats proposed program proposal, the probability of she or

 $<sup>^{1}\</sup>overline{\text{Order of attributes within respondents are}}$  fixed, which avoided the within subject confusion.

he chooses this proposal will be increased. Therefore, the completed identification strategy shows in Equation 2.

$$Collaboration = \beta_1 Cost + \beta_2 Benefit + \beta_3 Party + \beta_4 Trust + \beta_5 Party \times Self Party + \mu$$
 (2)

Since Hainmueller and his colleagues developed conjoint experiment (Hainmueller and Hopkins 2015; Hainmueller et al. 2014), this method has been widely used in political science and public administration (e.g., Hollibaugh Jr et al. 2020; Jankowski et al. 2020; Jilke and Tummers 2018; Michael Auerbach and Thachil 2020). The advantages of this method are threefold. First, it simultaneously tests multiple theories in one model, so effects of these theories can be compared with a common standard (Hainmueller et al. 2014). Second, it requires respondents to trade-off between different attributes by the force choice outcome measurement, which improves realism relative to traditional factorial experiments (Hainmueller et al. 2015). Finally, the multiple information environment of conjoint experiment reduces the concerns about social desirability, and the experimental purpose thereby is hard to detected by respondents (Bansak et al. 2021). Based on these characteristics, conjoint experiment is an ideal identification technique to isolate mechanisms from multiple network theories and test hypotheses on individual officials.

#### Data Collection and Sample Representativeness

The current study targets municipal officials in the United States, which include elected officials (mayors, councilors, or the equivalent) and municipal managers (city managers, assistant city managers, or the equivalent). These public officials often serve as policy makers and government representatives in managing networks, so their leadership can shape organizational collaborative actions (Butler et al. 2017; McGuire and Silvia 2010).

To build the sample pool of municipal officials, I collected information of their names, gender, and email addresses from municipalities' official websites. The sample pool included

large and medium size American municipalities having population above 30,000 (1352 municipalities in total). About half of the United States' population are living in these areas. Municipalities without email addresses for public officials were removed from this study. I used Qualtrics to create the survey and sent it out to municipal officials via emails. To increase response rate, I fielded one initial invitation with two friendly reminders in two months (from April to early June, 2021). Appendix C reports the email invitation context.

Finally, 9928 emails have successfully arrived this sample pool of municipal officials<sup>2</sup>. For effective responses, 772 municipal officials responded at least one conjoint proposal comparison task and provided party affiliation and ideology information<sup>3</sup>. The overall response rate was about 8%, which was comparable with other surveys in recent that using similar samples(e.g., Lee and Stecula 2021; Malhotra et al. 2019; Shaffer et al. 2020). The final sample covered 49 states and the District of Columbia; 533 (39%) municipalities had at least one official effectively responded to the survey<sup>4</sup>. Appendix D provides the full description of the sample characteristics.

The final sample were broadly representative of the whole sample pool. To test the sample representativeness, I collected municipal level demographic data from the U.S. Census Bureau 2019 American Community Survey, including population, median household income, home value, labor force participation, unemployment rate, and information of ethnicity distribution (Black and White population). I also calculated municipalities' female official ratio in the contact information collection process. With this information, I compared municipalities of these variables that had at least one respondent and municipalities without respondent by two sample t-tests. Although responded municipalities have slightly higher female official ratio and White population, there were few statistical differences between responded and noresponse municipalities. Figure 1 shows the visualized results, and Table D.2 in Appendix D reports more information of the sample representativeness with these variables.

<sup>&</sup>lt;sup>2</sup>10288 emails were sent. Among them, 16 were failed to arrive, 344 were bounced back.

<sup>&</sup>lt;sup>3</sup>Overall, 987 respondents had opened the survey and answered at least one question, so the response rate was 10%. However, 772 among them were effective response that can be used in analysis.

<sup>&</sup>lt;sup>4</sup>Delaware was the only State without any effective response.

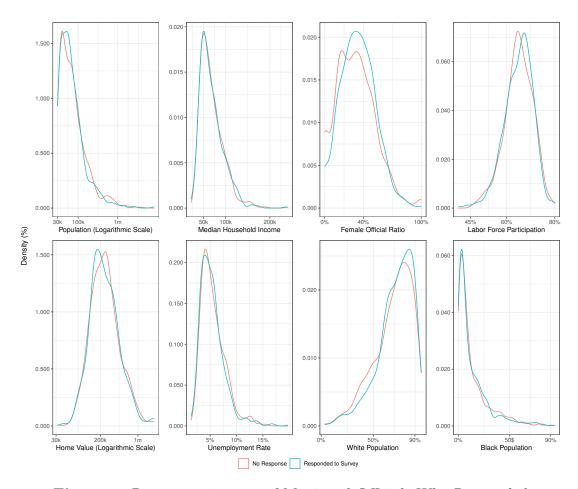
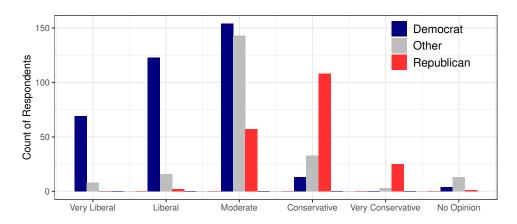


Figure 1: Representativeness of Municipal Officials Who Responded

#### Results

#### **Descriptive Summary**

The final sample contained 772 individual officials (39% female, 78% White, Mage = 57), 674 of them were elected officials and 98 of them were municipal managers. Among them, 363 are Democrats, 193 are Republicans, and 216 are independent or other parties. The respondents totally completed 4534 program proposal evaluations. As above mentioned, the measurement of ideological homophily effect needs to match respondents' ideology and the party affiliation showed in the program proposals, which assumes that respondents' ideology overlap with their partisanship. Figure 2 validates this assumption, in which most of Democrats were liberals and most of Republicans were conservatives in the sample.



**Figure 2:** Party Affiliation and Ideology of Municipal Officials Who Responded *Note*: The final sample contained 363 Democrats, 193 Republicans, and 216 respondents who identified themselves either "Independent" or "Other Party".

#### **Main Findings**

Average marginal component effect (AMCE) is the standard estimation strategy in conjoint experiments (Hainmueller et al. 2014). In the fully randomized context, AMCEs are identical to coefficients in a linear probability model. For example, we can compare the marginal effect on collaboration formation between "good collaborative experience" and "bad collaborative experience", holding all other possible attribute components at average levels.

Table 3 shows the main findings of this study. Model (1) estimates overall effects for the four attributes, and we use it to test hypotheses H1a, H1b, H2, and H4. Model (2) tests H3 by estimating the interaction effect between respondents' self-partisanship identity and the party cue attribute. Model (3) validates the results in Model (2) by interacting respondents' self-ideology with the party cue attribute. In general, these models support H1a, H2, H3, and H4.

H1a assumes that municipal officials will prefer lower cost when comparing collaborative partners. Results in Model (1) support this hypothesis. Respondents were 23% (p = 0.00) less likely to form partnership with cities that costed them \$750,000, when compared to the cities that costed them \$250,000. Similarly, respondents were 7% (p = 0.00) less likely to

**Table 3:** Probability of Intergovernmental Collaboration

|   | (1)  | (2)  | (3)  |
|---|--|--|--|
| H1a & H1b: Self vs Partner's (  | Cost (Ref: 250:750)                              |  |  |
| 750:250<br>500:500  | $-0.230 (0.017)^{***}$<br>$-0.070 (0.017)^{***}$ | $-0.228 (0.017)^{***}  -0.069 (0.017)^{***}$   | $-0.228 (0.017)^{***} -0.070 (0.017)^{***}$  |
| H2: Benefit (Ref: 200 Jobs)   |  |  |  |
| 800 Jobs<br>500 Jobs  | $0.343 (0.016)^{***}  0.198 (0.016)^{***}$       | $0.341 (0.016)^{***} 0.197 (0.016)^{***}$      | $0.341 (0.016)^{***} 0.197 (0.016)^{***}$    |
| Program Proposed by (Ref: Dem   | ocrats)  |  |  |
| Republicans   | $-0.024\ (0.014)$                                | $-0.120 (0.019)^{***}$                         | $-0.247 (0.040)^{***}$                       |
| H3: Ideological Homophily   |  |  |  |
| Republicans×Self Republican<br>Republicans×Self Other<br>Republicans×Conservatism |  | $0.205 (0.033)^{***}$<br>$0.159 (0.032)^{***}$ | 0.077 (0.014)***                             |
| H4: Collaborative Experience (R   | ef: Bad)   |  |  |
| Good<br>No  | 0.360 (0.017)***<br>0.240 (0.017)***             | $0.360 (0.017)^{***}$<br>$0.239 (0.016)^{***}$ | $0.361 (0.017)^{***} 0.239 (0.017)^{***}$    |
| Self-Partisanship (Ref: Self-Demo   | ocrat)   |  |  |
| Self Republican<br>Self Other   |  | $-0.098 (0.019)^{***}  -0.099 (0.018)^{***}$   |  |
| Conservatism<br>Constant  | 0.232 (0.019)***                                 | $0.285 (0.021)^{***}$                          | $-0.034 (0.008)^{***}$ $0.330 (0.031)^{***}$ |
| R <sup>2</sup><br>Observation   | 0.205<br>4534                                    | 0.214<br>4534                                  | 0.211<br>4534                                |

Note: Conservatism is coded from a scale from 1 (very liberal) to 5 (very conservative). Standard errors are in brackets (clustered by individuals). \*\*\*p < 0.001; \*\*p < 0.01; \*p < 0.05

form partnership with cities that costed them \$500,000, when compared to the cities that costed them \$250,000. The magnitudes of cost aversion increase when costs change from low to high. However, the model does not detect the effect of fair sharing of costs (H1b). To support H1b, the component "500:500" should has at least the same level of the magnitude as "250:750", which means that fair sharing of cost is equally important as the lowest self-cost in a partnership. However, "250:750" was more preferred than "500:500" in the model, as above mentioned.

H2 assumes that municipal officials will prefer high benefit when comparing collaborative

partners. This hypothesis is also supported in Model (1). Respondents were 34% (p = 0.00) more likely to prefer the collaborative programs that offered them 800 job creations, when compared to the programs that offered them 200 job creations. Similarly, respondents were 19% (p = 0.00) more likely to prefer the programs that offered them 500 job creations, when compared to the programs that offered them 200 job creations. Respondents' collaborative willingness became stronger when benefits increase.

Regarding to ideological homophily, H3 assumes that municipal officials will prefer partners that in the same party as them. Results in Model (2) support this hypothesis. Relative to Democrat respondents, Republican respondents were 20% (p = 0.00) more likely to form partnership when the program was proposed by Republicans rather than Democrats in the partner city. Model (3) generates similar findings as Model (2). When respondents' self-conservatism increased by 1 degree, the probability of them preferring Republican proposed programs increased by 8% (p = 0.00).

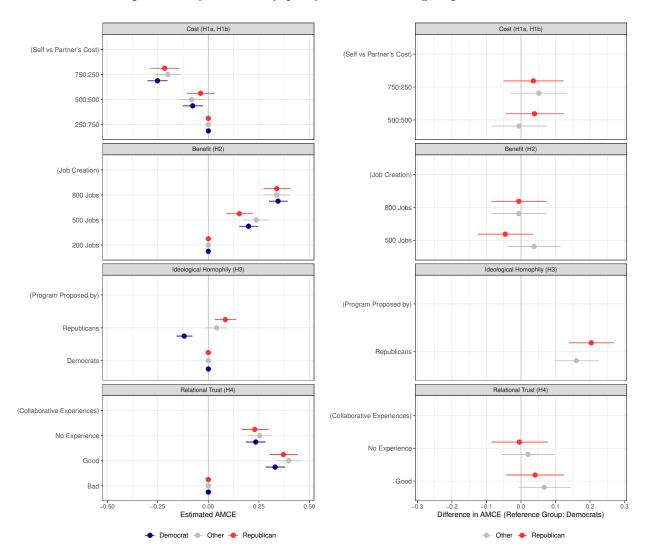
Finally, Model (1) supports the relational trust hypothesis (H4), which assumes that municipal officials will prefer collaborative partners that they have good interactions before. Respondents were 36% (p = 0.00) more likely to prefer the collaborative programs when they had good rather than bad working experiences with the partner cities. Even for cities that had no interaction before, respondents were 24% (p = 0.00) more likely to form partnership with them than cities with bad interactions.

To make better comparisons of effects from the testing theories, the next section reports the subgroup analysis by respondents' party affiliations. By doing so, we not only simultaneously compare treatment effects of different theories, but also test any heterogenous effect across partisanship subgroups.

#### Subgroup Analysis by Party Affiliation

The left panel of Figure 3 shows the AMCE results for Democrat respondents, Republican respondents, and other respondents (including who were independent or from other

parties). The right panel of Figure 3 shows the difference-in-AMCE results between each subgroup, using Democrat respondents as the reference group. The difference-in-AMCEs were identical to the interaction coefficients between respondents' party affiliation and each attribute in linear probability models by party affiliation subgroups.



**Figure 3:** Subgroup Analysis by Party Affiliation *Note*: Bars are 95% confidence intervals.

In the left panel, both Republican respondents and Democrat respondents expressed stronger preferences to their ideological matched collaborators, and the effects were similar: 12% (p=0.00) among Democrats and 8% (p=0.00) among Republicans. By contrast, other respondents had no preference toward either party. These results further confirm the

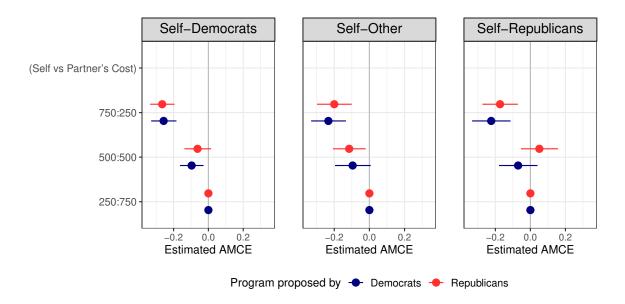
ideological homophily hypothesis (H3). The right panel indicates that respondents from different party affiliations had similar preferences of each attribute component, except for ideological homophily. Although the ideological homophily was prominent in the result, it had relatively smaller effects than other attributes. The theories of rational choice (cost-benefit analysis) and social capital (relational trust) contributed more than 25% of effects in explaining respondents' collaborative decisions.

It is worth noting that respondents from different party affiliations had diverse views on fair sharing of cost. The effects of cost aversion among Democrats and others were consistent with the full sample analysis, but Republicans did not express preference difference between "500:500" and "250:750" (effect = 4%; p = 0.27). Although the statistical difference between Democrats and Republicans on cost fairness was not significant with the Difference-in-AMCE measurement, this variable is worth to be further study. Therefore, I conducted an interaction analysis between cost and collaborator's party in each party affiliation subgroup in the next section.

#### **Exploratory Analysis**

Analysis in this section has not been pre-registered, because it was an exploratory and post-hoc analysis that based on the above subgroup analysis by party affiliations. The purpose of this exploratory analysis is to further understand the effects of cost fairness and ideological homophily on municipal officials' collaboration decisions. Figure 4 combines the interaction results of cost and party in each partisan subgroup. Republicans showed consistent indifference between "500:500" and "250:750" cost options, regardless collaborators' party affiliation. In contrast, rational cost calculation predicted Democrats' collaboration decisions when the collaborators were also Democrats. Surprisingly, they showed indifference between the fair but more expensive option and the unfair but cheaper option when the collaborators were Republicans. Other respondents did not show heterogenous preferences on collaborators from either party. This exploratory analysis suggests a potential for future

study to make in-depth investigations about the relations between ideological homophily and fairness on the willingness to intergovernmental collaborate.



**Figure 4:** Attribute Interaction: AMCE of Cost Conditional on Ideological Homophily *Note*: Bars are 95% confidence intervals.

#### Robustness Check

In addition to the above analyses, I performed multiple robustness checks in the appendices. First, I practiced the standard diagnostic tests of conjoint experiment in Appendix E. Specifically, I display the frequencies of attribute components to check the randomization, the carryover effect across three comparison tasks, and the comparison between left- and right-hand program proposals. There was no systematic bias from the results of these tests, which encouraged that the findings in this study were robust.

Second, I conducted additional subgroup analyses (Appendix F) by respondents' ideology categories (liberal, moderate, and conservatives) and government position (elected or municipal manager). I did not detected systematically difference for each subgroup, which again confirm the findings' validity of this study.

#### Discussion and Conclusion

Intergovernmental collaboration and network formation are driven by diverse theoretical factors, but network scholars have yet to systematically theorize and compare the explanatory power between different theories. Inspired from Berry et al. (2004) categorization of network research traditions, I offer the first systematic comparison of three fundamental theories, which have very different assumption about human behavior. In order to advance the network scholarship, I provide new evidence of these theories from micro level data, which demonstrate how municipal officials make decisions of intergovernmental network formation.

The main contribution of this study is that it validates the fundamental network theories at public official level. The experimental evidence indicates that costs-benefits analysis under rational calculation, ideological homophily, and relational trust built on prior interaction are jointly important to explain public officials' collaborative willingness. As I mentioned at the outset, these theories are not mutually exclusive. They demonstrate the complexity of human decision-making and encourage public administration scholars to develop more careful comparisons on these theories.

The findings from this study complement and extend earlier scholarship on network formation. They bridge the network theories across different units of analysis. Although previous studies often test the probability of network activities at organizational or network levels, data were often generated from surveys. Network analysis of collaborative governance aggregated survey responses to organizational measurements then tested the interorganizational behaviors. This strategy helped network scholars to measure many environmental and institutional factors that affect network formation, but whether these perceived measurements can accurately predict actual behaviors of organizations remain unknown. In addition, we have relatively few evidence about the collaborative motivations of public officials before network start. On these grounds, results from this study fill this research gap. They suggest the theoretical consistency between individual officials' collaborative willingness and

organizational behaviors that have been repeatedly tested in the network literature.

Moving beyond the traditional network literature, this study also discuss the explanatory power of political motivated reasoning and its ideological homophily effect on government actions. Although some scholars argue that this effect is stronger among politicians than normal citizens (Baekgaard et al. 2019; Christensen and Moynihan 2020), results from this study state that its explanatory power is weaker than the rational calculation and social capital consideration in intergovernmental collaboration decisions. Therefore, we should test this theory in different institutional scenarios to extend its theoretical reliability.

In addition, the exploratory analysis in this study recommends a complex interaction between municipal officials' party affiliation and the preference on fair sharing of cost. Republicans express similar preferences between cost fairness and cost aversion, while Democrats only express the similar preference between these two options when facing Republican collaborators. Regarding Democrats have relatively low trust level to Republicans, this result implies that cost fairness is more important when Democrats perceive higher levels of collaboration risks from the Republican collaborators. Fair sharing of cost can be a signal to Democrats that the bargaining power could be more balance in the collaboration process, given the power dynamic is critical in a collaboration relation (Provan and Kenis 2008). However, alternative explanations of this phenomenon could exist. Therefore, we should further investigate the theoretical mechanisms between ideological homophily and cost fairness on collaboration decisions in the future.

In a broader sense, this article is the first to provide a proactive worldview of intergovernmental network formation by investigating public officials' collaborative willingness before the actual network has been formed. By investigating network theories with the conjoint experimental method, this study integrates the two important areas: behavioral public administration and collaborative governance. With a representative sample and constructive analysis, I believe that the findings of this study advance public administration theory from a new angle.

#### References

- Abbink, Klaus, Gary E Bolton, Abdolkarim Sadrieh, and Fang-Fang Tang. 2001. Adaptive learning versus punishment in ultimatum bargaining. *Games and Economic Behavior* **37** (1):1–25.
- Agranoff, Robert and Michael McGuire. 2001. Big questions in public network management research. *Journal of Public Administration Research and Theory* **11** (3):295–326.
- Baekgaard, Martin, Julian Christensen, Casper Mondrup Dahlmann, Asbjørn Mathiasen, and Niels Bjørn Grund Petersen. 2019. The role of evidence in politics: Motivated reasoning and persuasion among politicians. *British Journal of Political Science* **49** (3): 1117–1140.
- Bansak, Kirk, Jens Hainmueller, Daniel J Hopkins, Teppei Yamamoto, James N Druckman, and Donald P Green. 2021. Conjoint survey experiments. *Advances in Experimental Political Science* 19.
- Berardo, Ramiro and Mark Lubell. 2016. Understanding what shapes a polycentric governance system. *Public Administration Review* **76** (5):738–751.
- Berardo, Ramiro and John T Scholz. 2010. Self-organizing policy networks: Risk, partner selection, and cooperation in estuaries. *American Journal of Political Science* **54** (3): 632–649.
- Berardo, Ramiro, Tanya Heikkila, and Andrea K Gerlak. 2014. Interorganizational engagement in collaborative environmental management: Evidence from the south florida ecosystem restoration task force. *Journal of Public Administration Research and Theory* **24** (3):697–719.
- Berardo, Ramiro, Manuel Fischer, and Matthew Hamilton. 2020. Collaborative governance and the challenges of network-based research. *The American Review of Public Administration* **50** (8):898–913.
- Berry, Frances S, Ralph S Brower, Sang Ok Choi, Wendy Xinfang Goa, HeeSoun Jang, Myungjung Kwon, and Jessica Word. 2004. Three traditions of network research: What the public management research agenda can learn from other research communities. *Public Administration Review* **64** (5):539–552.
- Bisgaard, Martin and Rune Slothuus. 2018. Partisan elites as culprits? how party cues shape partisan perceptual gaps. *American Journal of Political Science* **62** (2):456–469.
- Bunger, Alicia C. 2013. Administrative coordination in nonprofit human service delivery networks: The role of competition and trust. *Nonprofit and Voluntary Sector Quarterly* 42 (6):1155–1175.
- Burt, Ronald S. 1997. The contingent value of social capital. Administrative Science Quarterly pages 339–365.

- Butler, Daniel M, Craig Volden, Adam M Dynes, and Boris Shor. 2017. Ideology, learning, and policy diffusion: Experimental evidence. *American Journal of Political Science* **61** (1):37–49.
- Christensen, Julian and Donald P Moynihan. 2020. Motivated reasoning and policy information: Politicians are more resistant to debiasing interventions than the general public. Behavioural Public Policy pages 1–22.
- Gerber, Elisabeth R and Daniel J Hopkins. 2011. When mayors matter: estimating the impact of mayoral partisanship on city policy. *American Journal of Political Science* **55** (2):326–339.
- Graham, Matthew H and Milan W Svolik. 2020. Democracy in america? partisanship, polarization, and the robustness of support for democracy in the united states. *American Political Science Review* **114** (2):392–409.
- Granovetter, Mark. 1985. Economic action and social structure: The problem of embeddedness'. *American Journal of Sociology* **91** (3):481–510.
- Hainmueller, Jens and Daniel J Hopkins. 2015. The hidden american immigration consensus: A conjoint analysis of attitudes toward immigrants. *American Journal of Political Science* **59** (3):529–548.
- Hainmueller, Jens, Daniel J Hopkins, and Teppei Yamamoto. 2014. Causal inference in conjoint analysis: Understanding multidimensional choices via stated preference experiments. *Political Analysis* **22** (1):1–30.
- Hainmueller, Jens, Dominik Hangartner, and Teppei Yamamoto. 2015. Validating vignette and conjoint survey experiments against real-world behavior. *Proceedings of the National Academy of Sciences* **112** (8):2395–2400.
- Henry, Adam Douglas. 2011. Ideology, power, and the structure of policy networks. *Policy Studies Journal* **39** (3):361–383.
- Henry, Adam Douglas, Mark Lubell, and Michael McCoy. 2011. Belief systems and social capital as drivers of policy network structure: The case of california regional planning. *Journal of Public Administration Research and Theory* **21** (3):419–444.
- Hileman, Jacob and Örjan Bodin. 2019. Balancing costs and benefits of collaboration in an ecology of games. *Policy Studies Journal* 47 (1):138–158.
- Hollibaugh Jr, Gary E, Matthew R Miles, and Chad B Newswander. 2020. Why public employees rebel: guerrilla government in the public sector. *Public Administration Review* **80** (1):64–74.
- Huang, Kun. 2014. Knowledge sharing in a third-party-governed health and human services network. *Public Administration Review* **74** (5):587–598.

- Ingold, Karin and Philip Leifeld. 2016. Structural and institutional determinants of influence reputation: A comparison of collaborative and adversarial policy networks in decision making and implementation. *Journal of Public Administration Research and Theory* **26** (1):1–18.
- Isett, Kimberley Roussin and Keith G Provan. 2005. The evolution of dyadic interorganizational relationships in a network of publicly funded nonprofit agencies. *Journal of Public Administration Research and Theory* **15** (1):149–165.
- James, Oliver and Gregg G Van Ryzin. 2017. Motivated reasoning about public performance: An experimental study of how citizens judge the affordable care act. *Journal of Public Administration Research and Theory* 27 (1):197–209.
- Jankowski, Michael, Christine Prokop, and Markus Tepe. 2020. Representative bureaucracy and public hiring preferences: Evidence from a conjoint experiment among german municipal civil servants and private sector employees. *Journal of Public Administration Research and Theory* **30** (4):596–618.
- Jenkins-Smith, Hank C, Daniel Nohrstedt, Christopher M Weible, and Karin Ingold. 2018. The advocacy coalition framework: An overview of the research program. *Theories of the policy process* pages 135–171.
- Jenkins-Smith, HC and PA Sabatier. Policy change and learning: An advocacy coalition framework 1993.
- Jilke, Sebastian and Lars Tummers. 2018. Which clients are deserving of help? a theoretical model and experimental test. *Journal of Public Administration Research and Theory* **28** (2):226–238.
- Krackhardt, David, N Nohria, and B Eccles. 2003. The strength of strong ties. *Networks in the knowledge economy* 82.
- Kunda, Ziva. 1987. Motivated inference: Self-serving generation and evaluation of causal theories. *Journal of Personality and Social Psychology* **53** (4):636.
- Leach, William D and Paul A Sabatier. 2005. To trust an adversary: Integrating rational and psychological models of collaborative policymaking. *American Political Science Review* pages 491–503.
- Lee, Nathan R and Dominik Stecula. 2021. Subnational bipartisanship on climate change: evidence from surveys of local and state policymakers. *Climatic Change* **164** (1):1–12.
- Lubell, Mark, Mark Schneider, John T Scholz, and Mihriye Mete. 2002. Watershed partner-ships and the emergence of collective action institutions. *American Journal of Political Science* pages 148–163.
- Malhotra, Neil, Benoît Monin, and Michael Tomz. 2019. Does private regulation preempt public regulation? The American Political Science Review 113 (1):19–37.

- McGuire, Michael and Chris Silvia. 2010. The effect of problem severity, managerial and organizational capacity, and agency structure on intergovernmental collaboration: Evidence from local emergency management. *Public Administration Review* **70** (2):279–288.
- Metz, Florence, Philip Leifeld, and Karin Ingold. 2019. Interdependent policy instrument preferences: a two-mode network approach. *Journal of Public Policy* **39** (4):609–636.
- Michael Auerbach, Adam and Tariq Thachil. 2020. Cultivating clients: Reputation, responsiveness, and ethnic indifference in india's slums. *American Journal of Political Science* **64** (3):471–487.
- Nisar, Muhammad Azfar and Spiro Maroulis. 2017. Foundations of relating: Theory and evidence on the formation of street-level bureaucrats' workplace networks. *Public Administration Review* 77 (6):829–839.
- Olson, Mancur 1965. The Logic of Collective Action. Harvard University Press.
- Ostrom, Elinor 1990. Governing the commons: The evolution of institutions for collective action. Cambridge university press.
- —. 1998. A behavioral approach to the rational choice theory of collective action: Presidential address, american political science association, 1997. American Political Science Review pages 1–22.
- O'Toole Jr, Laurence J. 1997. Treating networks seriously: Practical and research-based agendas in public administration. *Public Administration Review* pages 45–52.
- —. 2015. Networks and networking: The public administrative agendas. *Public Administration Review* **75** (3):361–371.
- Provan, Keith G and Patrick Kenis. 2008. Modes of network governance: Structure, management, and effectiveness. *Journal of Public Administration Research and Theory* **18** (2): 229–252.
- Rabovsky, Thomas and Amanda Rutherford. 2016. The politics of higher education: University president ideology and external networking. *Public Administration Review* **76** (5): 764–777.
- Ripberger, Joseph T, Kuhika Gupta, Carol L Silva, and Hank C Jenkins-Smith. 2014. Cultural theory and the measurement of deep core beliefs within the advocacy coalition framework. *Policy Studies Journal* 42 (4):509–527.
- Scott, Tyler and Craig Thomas. 2015. Do collaborative groups enhance interorganizational networks? Public Performance & Management Review 38 (4):654–683.
- Scott, Tyler A and Craig W Thomas. 2017. Unpacking the collaborative toolbox: Why and when do public managers choose collaborative governance strategies? *Policy Studies Journal* 45 (1):191–214.

- Scott, Tyler A and Nicola Ulibarri. 2019. Taking network analysis seriously: Methodological improvements for governance network scholarship. *Perspectives on Public Management and Governance* 2 (2):89–101.
- Scott, Tyler A, Craig W Thomas, and José Manuel Magallanes. 2019. Convening for consensus: Simulating stakeholder agreement in collaborative governance processes under different network conditions. *Journal of Public Administration Research and Theory* **29** (1):32–49.
- Shaffer, Robert, Lauren E Pinson, Jonathan A Chu, and Beth A Simmons. 2020. Local elected officials' receptivity to refugee resettlement in the united states. *Proceedings of the National Academy of Sciences* **117** (50):31722–31728.
- Shrestha, Manoj K. 2012. Self-organizing network capital and the success of collaborative public programs. *Journal of Public Administration Research and Theory* **23** (2):307–329.
- Siciliano, Michael D. 2015. Advice networks in public organizations: The role of structure, internal competition, and individual attributes. *Public Administration Review* **75** (4): 548–559.
- Siciliano, Michael D, Jered B Carr, and Victor G Hugg. 2020. Analyzing the effectiveness of networks for addressing public problems: Evidence from a longitudinal study. *Public Administration Review*.
- Siciliano, Michael D, Weijie Wang, and Alejandra Medina. 2021. Mechanisms of network formation in the public sector: A systematic review of the literature. *Perspectives on Public Management and Governance* 4 (1):63–81.
- Silvia, Chris. 2018. Picking the team: A preliminary experimental study of the activation of collaborative network members. *Journal of Public Administration Research and Theory* **28** (1):120–137.
- Simon, Herbert A. 1957. Models of man; social and rational.
- Song, Minsun, Hyung Jun Park, and Kyujin Jung. 2018. Do political similarities facilitate interlocal collaboration? *Public Administration Review* **78** (2):261–269.
- Taber, Charles S and Milton Lodge. 2006. Motivated skepticism in the evaluation of political beliefs. *American Journal of Political Science* **50** (3):755–769.
- Williamson, Oliver E. 1981. The economics of organization: The transaction cost approach. *American journal of sociology* 87 (3):548–577.
- Yi, Hongtao, Liming Suo, Ruowen Shen, Jiasheng Zhang, Anu Ramaswami, and Richard C Feiock. 2018. Regional governance and institutional collective action for environmental sustainability. *Public Administration Review* **78** (4):556–566.

# Supplemental Information

# Contents

| Appendix A | Pre-registration Report      | 32 |
|------------|------------------------------|----|
| Appendix B | Survey Instruments           | 34 |
| Appendix C | Email Invitation Context     | 37 |
| Appendix D | Sample Characteristics       | 38 |
| Appendix E | Conjoint Diagnostic Tests    | 40 |
| Appendix F | Additional Subgroup Analysis | 43 |

#### Appendix A Pre-registration Report

#### Have any data been collected for this study already?

No, no data have been collected for this study yet

#### What's the main question being asked or hypothesis being tested in this study?

- i Rational Choice Hypothesis: Local governments are more likely to form collaborations with partners which offer lower costs and higher benefits.
- ii Political Homophily Hypothesis: Local governments are more likely to form collaborations with partners which share the same party affiliation.
- iii Institutional Trust Hypothesis: Local governments are more likely to form collaborations with partners which they shared good collaborative experiences in history.

#### Describe the key dependent variable(s) specifying how they will be measured.

Choice: We will code choice as a dummy variable: 1 or 0, based on whether the participants select the program profile.

#### How many and which conditions will participants be assigned to?

We employ a choice-based conjoint design to obtain a more comprehensive picture of local government officials' opinions on collaboration partner selection. A hypothetical sustainable development program scenario will be introduced. I will ask subjects to compare 3 pairs of program proposals from different cities and indicate which city (in each pair) they are more willing to collaborate with. Each program profile includes 4 attributes:

- 1. Cost of the program: you pay: \$250,000; this city pays \$750,000/you pay: \$500,000; this city pays \$500,000/you pay: \$750,000; this city pays \$250,000 (theory: Cost)
- 2. Job creation: 200/500/800 jobs (theory: Benefit)
- 3. The program is proposed by either Democrats/Republicans (theory: Political Homophily)
- 4. Collaborative experience with this city: good/bad/no experience (theory: Institutional Trust)

# Specify exactly which analyses you will conduct to examine the main question/hypothesis.

Analyses will be based on the standard practices in the conjoint experimental design:

i Average Marginal Component Effect (AMCE).

ii Marginal Means (MM).

#### Any secondary analyses?

We will conduct subgroup analyses by participants' characteristics, such as partisanship and ideology.

How many observations will be collected or what will determine the sample size? No need to justify decision, but be precise about exactly how the number will be determined.

This survey will be sent to American municipal government officials, including mayor, council members, and city managers. Based on power analysis of the conjoint attribute design, minimal requirement for sample size is 300.

Anything else you would like to pre-register? (e.g., data exclusions, variables collected for exploratory purposes, unusual analyses planned?)

Subjects' demographic information will be collected after they have answered the questions regarding key dependent variables. The information is collected for detecting the heterogeneity of the treatment effect.

### Appendix B Survey Instruments

First, the respondents saw an introduction to the sustainable development program vignette.

#### Introduction

We are interested in the intergovernmental collaborative decisions of American local governments. In the following part, we will show you several **hypothetical** decision-making situations and ask you to provide opinions. Please try to be honest in answering the questions. Describe what you would **really** do if a similar situation occurs in your working live. Remember that your answers to all questions in this survey will be kept **completely confidential**.

Assuming you and your municipal government plan to collaborate with another city on an interlocal sustainable development program. The potential benefits of the program include:

- · Economic development
- · Community development
- · Environmental protection

Based on your consideration for the best option to develop your municipality, please evaluate the following hypothetical city partners and their proposals. In total, you are asked to evaluate 3 pairs of cities in 3 separate pages. Please provide your choice in each pair.

Note: There is no right or wrong answer to any comparisons.

Next, the respondents completed three pairs of comparison task like the following.

Suppose you can only collaborate with one out of the two cities:

| Program Attributes:                              | City A  | City B  |
|--|---|---|
| The program will create                          | 500 jobs in your city                         | 800 jobs in your city                         |
| The program is proposed by                       | Democrats                                     | Republicans                                   |
| Your previous working experiences with this city | Good  | No experience                                 |
| Cost of the program                              | You pay: \$250,000; This city pays: \$750,000 | You pay: \$500,000; This city pays: \$500,000 |

Please indicate which city do you prefer to collaborate with:



**→** 

Next, the respondents answered political background questions and demographic questions.

Generally speaking, do you usually think of yourself as a...

- Democrat
- Republican
- Independent
- Other party (please specify)

How would you describe your political views as of today?

- Very liberal
- Liberal
- Moderate
- Conservative
- Very Conservative
- No opinion

How many years have you been in your current government position?

- Less than 1 year
- Less than 5 years
- Less than 10 years
- More than 10 years

Do you consider yourself to be...

- White, not Hispanic or Latino
- Black, not Hispanic or Latino
- Hispanic or Latino
- Asian, not Hispanic or Latino
- Other

Which of the following best describes your gender identity?

- Male
- Female

- Non-binary/third gender
- prefer not to say

| <b>T</b> 7 |      |  |
|------------|------|--|
| Your       | age: |  |
|            |      |  |

What is the highest level of education you have completed?

- Less than high school
- High school/GED
- Some college
- 2-year college degree
- 4-year college degree
- master degree
- doctoral degree
- Professional Degree (JD, MD)

### Appendix C Email Invitation Context

Subject line: Survey Research Invitation

Dear [Job Title] [Last Name]

As local governments have more opportunities and pressures to collaborate with other local governments, local government scholars seek to understand optimizing contexts based on your opinion as a local government [elected official/manager]. I value your perspective and I invite you to complete a very short and anonymous survey (about 3 minutes). This survey is conducted by researchers at [institution name]. The purpose of this survey is to study the intergovernmental collaborative decisions of American local governmental officials.

Follow this link to the survey: [survey link is here]

You are being invited to participate in this survey because you are currently serving or formerly served as an [elected official/manager] in an American local government. We will keep the information you provide confidential. Your participation in this study is completely voluntary. You may choose not to take part in it or you may stop participating at any time.

Thank you very much for your consideration of and participation in this research study, the results of which will be shared with you via email after we finish this study.

Your sincerely

# Appendix D Sample Characteristics

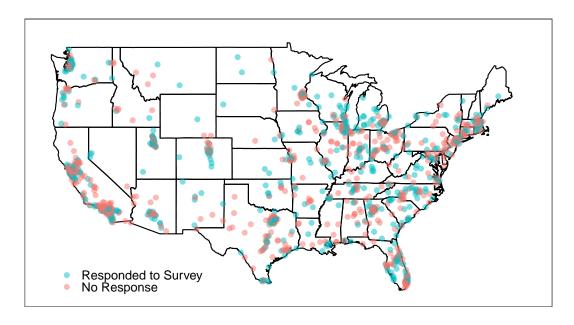


Figure D.1: Geographic Location of Survey Respondents

Table D.1: Descriptive Summary

|                                    | Mean   | SD     | Min   | Max     |
|------------------------------------|--------|--------|-------|---------|
| City Level Variables               |        |        |       |         |
| Population (in 1000)               | 117.09 | 397.70 | 30.07 | 8336.82 |
| Median houshold income (in \$1000) | 67.83  | 26.40  | 21.92 | 235.28  |
| Female official ratio              | 34.24  | 17.92  | 0.00  | 100.00  |
| Labor force participation          | 64.91  | 5.92   | 39.90 | 79.90   |
| Home value (in \$1000)             | 299.11 | 255.25 | 40.44 | 2000.00 |
| Unemployment rate                  | 5.36   | 2.25   | 1.40  | 16.90   |
| White percentage                   | 71.18  | 17.01  | 5.60  | 95.50   |
| Black percentage                   | 12.98  | 15.64  | 0.10  | 91.80   |
| Individual Level Variables         |        |        |       |         |
| Democrats                          | 0.47   | 0.50   | 0.00  | 1.00    |
| Republicans                        | 0.25   | 0.43   | 0.00  | 1.00    |
| Ideology                           | 2.89   | 0.97   | 1.00  | 5.00    |
| Tenure                             | 2.52   | 0.97   | 1.00  | 4.00    |
| White                              | 0.78   | 0.41   | 0.00  | 1.00    |
| Black                              | 0.09   | 0.29   | 0.00  | 1.00    |
| Hispanic                           | 0.07   | 0.26   | 0.00  | 1.00    |
| Asian                              | 0.02   | 0.15   | 0.00  | 1.00    |
| Other                              | 0.03   | 0.18   | 0.00  | 1.00    |
| Female                             | 0.39   | 0.49   | 0.00  | 1.00    |
| Age                                | 56.51  | 12.59  | 19.00 | 89.00   |
| Grad School                        | 0.57   | 0.49   | 0.00  | 1.00    |

 Table D.2: Representativeness of City Level Variables

|                           | Responded Cities | No Response Cities | P-value |
|---------------------------|------------------|--------------------|---------|
| Population                | 114995.24        | 117051.15          | 0.92    |
| Median houshold income    | 67833.97         | 68341.71           | 0.74    |
| Female official ratio     | 34.24            | 31.73              | 0.03    |
| Labor force participation | 64.91            | 64.99              | 0.81    |
| Home value                | 299108.16        | 301116.71          | 0.89    |
| Unemployment rate         | 5.36             | 5.56               | 0.13    |
| White percentage          | 71.18            | 68.98              | 0.03    |
| Black percentage          | 12.98            | 13.23              | 0.78    |

# Appendix E Conjoint Diagnostic Tests

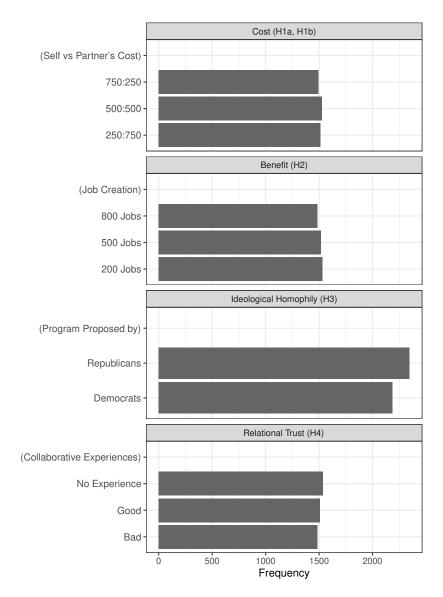
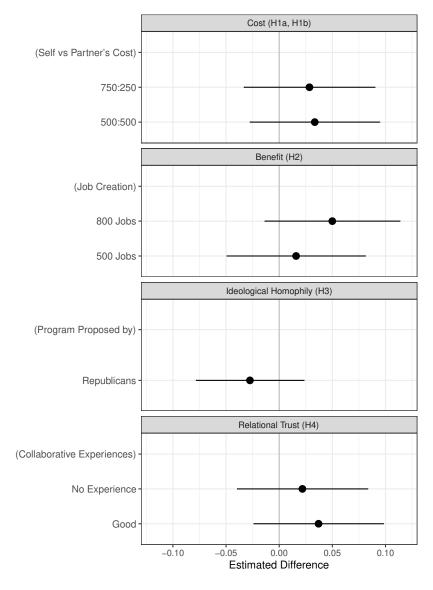
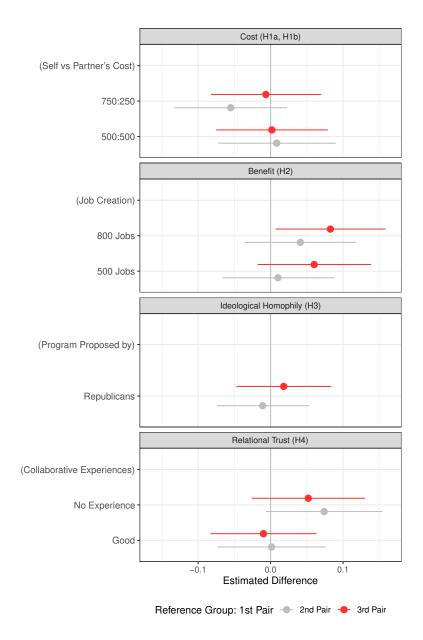


Figure E.1: Frequency of Attribute Components



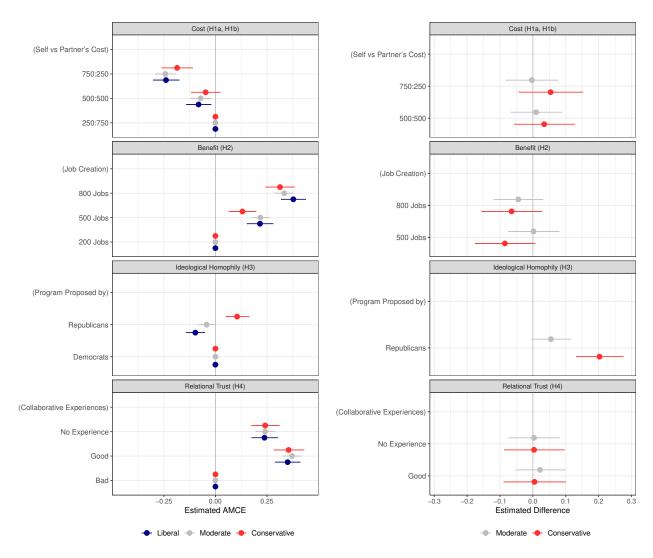
 $\textbf{Figure E.2:} \ \, \text{Left-Right Effect}$ 

Note: Bars are 95% confidence intervals.

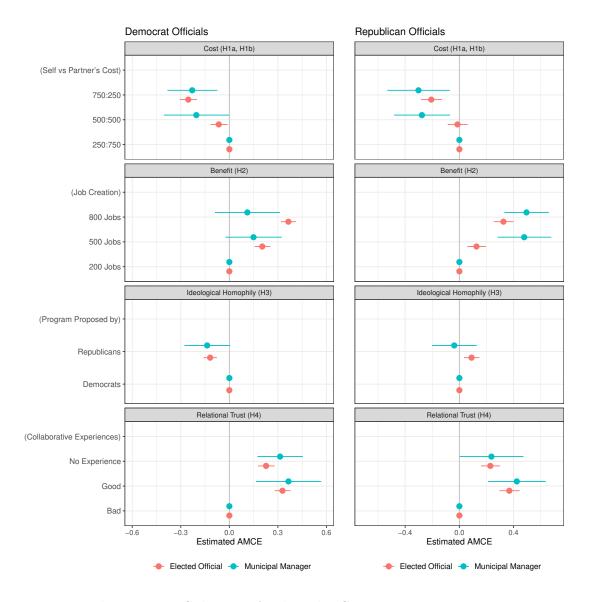


**Figure E.3:** Carryover Effect *Note*: Bars are 95% confidence intervals.

# Appendix F Additional Subgroup Analysis



**Figure F.1:** Subgroup Analysis by Ideology *Note*: Bars are 95% confidence intervals.



**Figure F.2:** Subgroup Analysis by Government Positions *Note*: Bars are 95% confidence intervals.