

Quantum Augmented Dual Attack

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Post-quantum cryptography

- ▶ isogeny-based
- ▶ multivariate
- ▶ code-based
- ▶ lattice-based: LWE

Learning with errors (LWE)

Let $n = 4$, $m = 6$ and $q = 17$.

secret

$$A \in \mathbb{Z}_q^{m \times n} \quad s \in \mathbb{Z}_q^n \quad b \in \mathbb{Z}_q^m$$

14	12	2	5
5	3	1	7
14	7	2	5
0	9	8	4
8	11	5	12
5	1	3	14

 \times

 $=$

11
5
14
6
12
13

Given A and b , find s .

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Given A and b , find s .

→ Very easy (e.g. Gaussian elimination) and in polynomial time

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Given A and b , find s .

→ Suspected hard problem, even for quantum algorithms

Learning with errors (LWE)

Let $n, m, q \in \mathbb{Z}$ and χ_e, χ_s two distributions over \mathbb{Z}_q .

$\text{LWE}(n, m, q, \chi_e, \chi_s)$: probability distribution on $\mathbb{Z}_q^{m \times n} \times \mathbb{Z}_q^m$

- ▶ sample $A \leftarrow U(\mathbb{Z}_q^{m \times n})$
- ▶ sample $s \leftarrow \chi_s^n$
- ▶ sample $e \leftarrow \chi_e^m$
- ▶ output $(A, As + e)$.

Intuition: $As + e$ is **very close** to a uniform distribution.

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Search LWE problem: given $(A, b) \leftarrow \text{LWE}(n, m, q, \chi_e, \chi_s)$, recover s .

Decision LWE problem:

distinguish $\text{LWE}(n, m, q, \chi_e, \chi_s)$ from $U(\mathbb{Z}_q^{m \times n} \times \mathbb{Z}_q^m)$.

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distinguish $\text{LWE}(n, m, q, \chi_e, \chi_s)$ from $U(\mathbb{Z}_q^{m \times n} \times \mathbb{Z}_q^m)$.

Lemma: Search LWE is easy if and only if decision LWE is easy.

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Secret distributions χ_s :

- ▶ originally uniform in \mathbb{Z}_q
- ▶ now discrete Gaussian of small deviation σ_s (e.g. $\{-1, 0, 1\}$ whp)
- ▶ **Fact:** small secret is as hard as uniform secret
- ▶ small secret allows more efficient schemes

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Noise distributions χ_e :

- ▶ usually discrete Gaussian of deviation σ_e
- ▶ encryption (Kyber/Saber): σ_e small (≈ 1)
- ▶ FHE: σ_e is larger

LWE: security and attacks

LWE is **fundamental** to lattice-based cryptography:

- ▶ several lattice-based NIST PQC candidates rely on LWE
- ▶ extensive literature
- ▶ all evidence points to resistance against quantum attacks

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Two types of attacks:

- ▶ **Primal attacks:**
 - ▶ more efficient
 - ▶ no quantum speed-up known
- ▶ **Dual attacks:**
 - ▶ originally less efficient, now catching up
 - ▶ no quantum speed-up known **up to now**

Contribution: first significant quantum speed-up on dual attacks

Search to distinguish

Very naive attack:

$$\begin{array}{c} A \\ \begin{array}{|c|c|c|c|} \hline 8 & 9 & 10 & 12 \\ \hline 5 & 3 & 11 & 3 \\ \hline 0 & 15 & 10 & 4 \\ \hline 15 & 9 & 16 & 15 \\ \hline 1 & 2 & 10 & 8 \\ \hline 11 & 16 & 13 & 9 \\ \hline \end{array} \end{array} \times \begin{array}{c} s \\ \begin{array}{|c|} \hline \\ \hline \\ \hline \\ \hline \\ \hline \end{array} \end{array} + \begin{array}{c} e \\ \begin{array}{|c|} \hline \\ \hline \\ \hline \\ \hline \\ \hline \\ \hline \end{array} \end{array} = \begin{array}{c} b \\ \begin{array}{|c|} \hline 8 \\ \hline 3 \\ \hline 11 \\ \hline 15 \\ \hline 2 \\ \hline 15 \\ \hline \end{array} \end{array}$$

Attack:

► $\text{get}(A, b)$

Search to distinguish

Very naive attack: guess secret \tilde{s}

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Attack:

- ▶ get (A, b)
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The diagram illustrates the equation $A \cdot (s - \tilde{s}) + e = b'$. Matrix A is a 6x4 grid of blue boxes with values: $\begin{bmatrix} 8 & 9 & 10 & 12 \\ 5 & 3 & 11 & 3 \\ 0 & 15 & 10 & 4 \\ 15 & 9 & 16 & 15 \\ 1 & 2 & 10 & 8 \\ 11 & 16 & 13 & 9 \end{bmatrix}$. The vector s is a 6x1 column of red boxes, \tilde{s} is a 6x1 column of orange boxes with values $\begin{bmatrix} 3 \\ 11 \\ 0 \\ 14 \end{bmatrix}$, e is a 6x1 column of green boxes, and b' is a 6x1 column of blue boxes with values $\begin{bmatrix} 7 \\ 2 \\ 3 \\ 15 \\ 11 \\ 8 \end{bmatrix}$.

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Good guess ($s = \tilde{s}$):

$$b' = e$$

follows a discrete Gaussian
of **small deviation**

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follows a discrete Gaussian
of **small deviation**

Bad guess ($s \neq \tilde{s}$):

$$b' = e + A(s - \tilde{s})$$

follows a uniform¹ distribution
(A uniform in $\mathbb{Z}_q^{m \times n}$)

¹Technically only true for fixed s , random A and \tilde{s}

Uniform/Gaussian distinguisher

Given a sampler for χ , **decide** if $\chi = U(\mathbb{Z}_q)$ or $D_{\sigma,q}$ (discrete Gaussian)

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Essentially optimal distinguisher: use FFT transform

$$\mathbb{E}_{x \leftarrow \chi}[e^{2i\pi x/q}], \text{Var}_{x \leftarrow \chi}[e^{2i\pi x/q}] \approx \begin{cases} 0, 0 & \text{if } \chi = U(\mathbb{Z}_q) \\ e^{-2\left(\frac{\pi\sigma}{q}\right)^2}, e^{-8\left(\frac{\pi\sigma}{q}\right)^2} & \text{if } \chi = D_{\sigma,q} \end{cases}$$

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Attack:

- ▶ sample $N = \Omega(1/\varepsilon^2)$ values x_1, \dots, x_N from χ
- ▶ compute

$$S = \frac{1}{N} \sum_{j=1}^N e^{2i\pi x_j/q}$$

- ▶ Check if $S > e^{-2\left(\frac{\pi\sigma}{q}\right)^2}$

The quantity $\varepsilon = e^{-2\left(\frac{\pi\sigma}{q}\right)^2}$ is called the **advantage**.

Very naive attack: summary

Very naive attack:

- ▶ guess \tilde{s} : deviation of s is σ_s so in $\{-\sigma_s, \dots, \sigma_s\}^n$ whp
- ▶ compute $1/\varepsilon^2$ samples to check guess

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Complexity estimate:

$$(2\sigma_s)^n \cdot e^{4\left(\frac{\pi\sigma_e}{q}\right)^2} = \text{too much}$$

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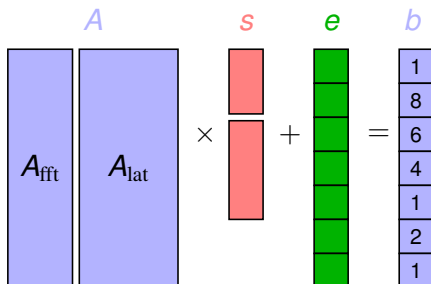
Dual attacks: provide an efficient way to only guess a part of the secret

Search to Decision LWE

$$\begin{array}{c} A \\ \begin{array}{|c|c|c|c|c|} \hline 3 & 7 & 2 & 3 & 6 \\ \hline 4 & 1 & 5 & 8 & 4 \\ \hline 1 & 8 & 1 & 8 & 1 \\ \hline 5 & 2 & 5 & 6 & 0 \\ \hline 2 & 1 & 6 & 3 & 0 \\ \hline 8 & 2 & 7 & 3 & 6 \\ \hline 5 & 5 & 6 & 6 & 2 \\ \hline \end{array} \end{array} \times \begin{array}{c} s \\ \begin{array}{|c|} \hline \\ \hline \\ \hline \\ \hline \\ \hline \end{array} \end{array} + \begin{array}{c} e \\ \begin{array}{|c|} \hline \\ \hline \\ \hline \\ \hline \\ \hline \\ \hline \\ \hline \end{array} \end{array} = \begin{array}{c} b \\ \begin{array}{|c|} \hline 1 \\ \hline 8 \\ \hline 6 \\ \hline 4 \\ \hline 1 \\ \hline 2 \\ \hline 1 \\ \hline \end{array} \end{array}$$

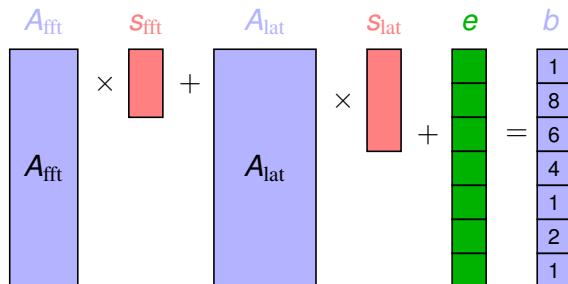
Search to Decision LWE

Split secret: $n = k_{\text{fft}} + k_{\text{lat}}$



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Search to Decision LWE

Split secret: $n = k_{\text{fft}} + k_{\text{lat}}$, guess \tilde{s}_{fft} , output $(A_{\text{lat}}, b' = b - A_{\text{fft}}\tilde{s}_{\text{fft}})$

The diagram illustrates the LWE equation $b' = A_{\text{lat}} s_{\text{lat}} + e$, where b' is derived from b and a guessed \tilde{s}_{fft} .

The equation is shown as:

$$A_{\text{fft}} \times (s_{\text{fft}} - \tilde{s}_{\text{fft}}) + A_{\text{lat}} \times s_{\text{lat}} + e = b'$$

The components are represented by colored rectangles:

- A_{fft} (blue rectangle)
- s_{fft} (red rectangle)
- \tilde{s}_{fft} (orange rectangle)
- A_{lat} (blue rectangle)
- s_{lat} (red rectangle)
- e (green rectangle)
- b' (blue rectangle)

The result b' is shown as a column of six boxes containing the values: 0, 5, 8, 7, 1, 3, 5.

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0
5
8
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Good guess ($s_{\text{fft}} = \tilde{s}_{\text{fft}}$):

$$b' = A_{\text{lat}} s_{\text{lat}} + e$$

so (A_{lat}, b') follows an LWE distribution

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Bad guess ($s_{\text{fft}} \neq \tilde{s}_{\text{fft}}$):

$$b' = A_{\text{fft}}(s_{\text{fft}} - \tilde{s}_{\text{fft}}) + \dots$$

so (A_{lat}, b') follows a uniform distribution (A_{fft} uniform)

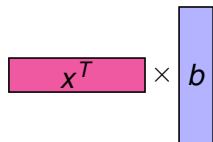
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- ▶ sample (A, b) from χ
- ▶ compute $x \in \mathbb{Z}_q^m$ such that $x^T A = 0$
- ▶ output $x^T b$



A diagram illustrating the dot product $x^T b$. It consists of a pink horizontal rectangle containing the text x^T , followed by a multiplication symbol \times , and then a blue vertical rectangle containing the text b .

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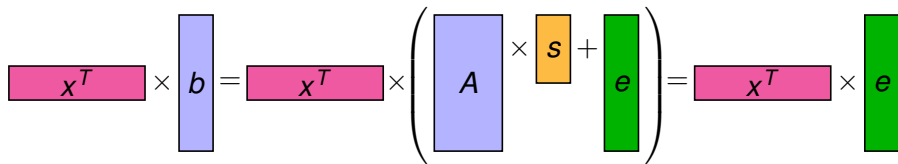
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$$\boxed{x^T} \times \boxed{b} = \boxed{x^T} \times \left(\boxed{A} \times \boxed{s} + \boxed{e} \right) = \boxed{x^T} \times \boxed{e}$$

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$$x^T \times b = x^T \times \left(A \times s + e \right) = x^T \times e$$


When $\chi = \text{LWE}$:

$$x^T b = x^T e$$

follows an approximate
Gaussian distribution

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$$x^T \times b = x^T \times \left(A \times s + e \right) = x^T \times e$$

When $\chi = \text{LWE}$:

$$x^T b = x^T e$$

follows an approximate
Gaussian distribution

When $\chi = \text{Uniform}$:

$$x^T b$$

follows a uniform distribution (b
uniform, independent from A)

Dual attack: summary

Basic dual attack:

- ▶ split secret $n = k_{\text{fft}} + k_{\text{lat}}$
- ▶ guess \tilde{s}_{fft} , subtract guess
- ▶ compute dual vector x and dot product $x^T b$
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What is ε ?

- ▶ e approx Gaussian deviation σ_e
- ▶ $x^T b = x^T e$ approx Gaussian deviation $\|x\| \sigma_e$

Dual attack: summary

Basic dual attack:

- ▶ split secret $n = k_{\text{fft}} + k_{\text{lat}}$
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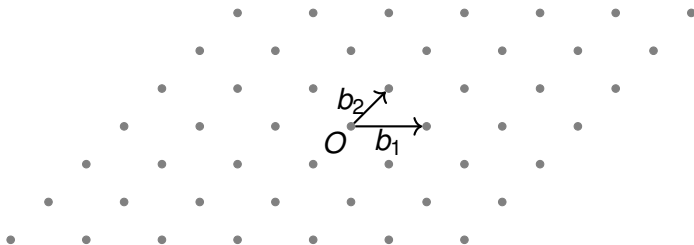
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\leadsto we want x to be short \leadsto lattice reduction

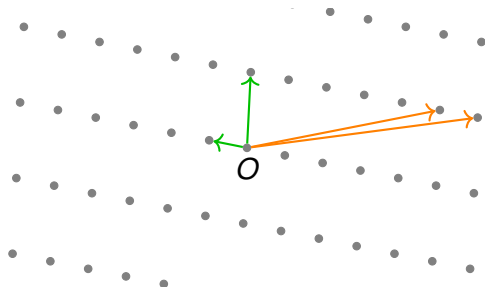
What is a (Euclidean) lattice?

Definition

$\mathcal{L}(\mathbf{b}_1, \dots, \mathbf{b}_n) = \left\{ \sum_{i=1}^n x_i \mathbf{b}_i : x_i \in \mathbb{Z} \right\}$ where $\mathbf{b}_1, \dots, \mathbf{b}_n$ is a basis of \mathbb{R}^n .

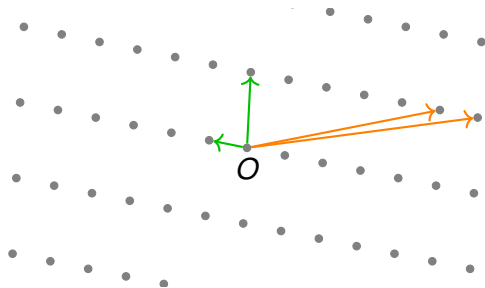


Lattice-based cryptography: fundamental idea



- ▶ **good basis:** private information, makes problem easy
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Basis reduction: transform a bad basis into a good one

Main tool: BKZ algorithm and its variants

Requires to solve the **(approx-)SVP problem** in smaller dimensions.

Dual attack: summary 2

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Pick x short in lattice L using BKZ:

$$L = \left\{ x \in \mathbb{Z}^m : x^T A_{\text{lat}} = 0 \bmod q \right\}$$

Complexity estimate:

$$(2\sigma_s)^{k_{\text{fft}}} \cdot e^{4\left(\frac{\pi \|x\| \sigma_e}{q}\right)^2} \cdot T_{\text{BKZ}}$$

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- ▶ BKZ trade-off short $x \rightsquigarrow$ more expensive algorithm
- ▶ best dual attack parameters (k_{fft}, \dots) found by optimization

Advanced dual attacks

More details:

- ▶ **modulo switching**: only guess part of secret modulo p ($p \ll q$)
 - ▶ reduce guessing complexity
 - ▶ increase distinguishing cost due to modulo remainders
 - ▶ makes reduced secret dense

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 - ▶ decrease BKZ dimension and cost
- ▶ BKZ with sieving to obtain many dual vectors at once

Real dual attack at the high-level

All you need to know for what follows: attack looks like

- ▶ enumerate $s_{\text{enum}} \in \mathbb{Z}_q^{k_{\text{enum}}}$
 - ▶ enumerate all $s_{\text{fft}} \in \mathbb{Z}_q^{k_{\text{fft}}}$
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- ▶ guessing complexity: try s_{enum} in decreasing order of probability
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D discrete distribution on x_1, x_2, \dots , let p_i be the probability of x_i .

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Example: $D = U(\mathbb{Z}_5)$

Friend samples $X = 3$

- ▶ is X equal to 1 ? No
- ▶ is X equal to 4 ? No
- ▶ is X equal to 5 ? No
- ▶ is X equal to 3 ? Yes

4 queries

For uniform the query order
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Example: $p_1 = 0.9, p_2 = 0.09, p_3 = 0.009, p_4 = 0.001$

Friend samples $X = 1$ (most likely)

- ▶ is X equal to 1 ? Yes

1 query

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Example: $p_1 = 0.9, p_2 = 0.09, p_3 = 0.009, p_4 = 0.001$

Friend samples $X = 4$ (unlikely)

- ▶ is X equal to 1 ? No
- ▶ is X equal to 2 ? No
- ▶ is X equal to 3 ? No
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Ask most likely elements first

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Optimal strategy: always guess elements by **decreasing probability**

Expected number of guesses ($p_1 \geq p_2 \geq \dots \geq p_N$):

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- ▶ Grover-like search
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Guessing complexity (results)

Guessing complexity of the modular discrete Gaussian $D_{\sigma,q,n}$ on \mathbb{Z}_q^n :

$$D_{\sigma,q,n}(x) \propto \rho_{\sigma}(x + q\mathbb{Z}^n), \quad \rho_{\sigma}(y) = e^{-\|y\|^2/2\sigma}, \quad y \in \mathbb{Z}^n.$$

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Theorem (Simplified)

$$G(D_{\sigma,q,n}) \lesssim 1.22^n \cdot 2^H, \quad G^{qc}(D_{\sigma,q,n}) \lesssim 1.12^{n/2} \cdot 2^{H/2}$$

where $H \approx \frac{1/2 + \log(\sigma\sqrt{2\pi})}{\log 2}$ is the entropy of the discrete Gaussian.

Observations:

- ▶ G exponentially times bigger than 2^H
- ▶ $G^{qc} \leq \sqrt{G}$ is **true for any distributions**
- ▶ G^{qc} seems exponentially smaller than \sqrt{G} ...
- ▶ ... but we do not have matching lower bounds to confirm it yet

FFT search with threshold

Fundamental operation: given samples $x_1, \dots, x_N \in \mathbb{Z}_q^{k_{\text{fft}}}$ (N large)

- ▶ enumerate all $s \in \mathbb{Z}_q^{k_{\text{fft}}}$
 - ▶ compute an FFT sum $F_s = \sum_{j=1}^N e^{2i\pi s^T x_j / q}$
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- ▶ $T \leftarrow k$ -dimensional array set to zero
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Complexity:

$$\text{array filling time} + \text{FFT time} + \text{search time} = O(N + q^{k_{\text{fft}}}) = O(q^{k_{\text{fft}}})$$

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Open question: can this approach be made efficient?

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Theorem (Simplified)

There is a quantum algorithm that computes $F_s \pm \varepsilon$ given oracle access by making $O(1/\varepsilon)$ queries to \mathcal{O}_X :

$$\mathcal{O}_X : |j\rangle |0\rangle \rightarrow |j\rangle |x_j\rangle.$$

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 - ▶ compute an FFT sum $F_s = \sum_{j=1}^N e^{2i\pi s^T x_j / q}$
 - ▶ check if $F_s \geq \text{threshold}$

Alternative quantum algorithm:

- ▶ search over $s \in \mathbb{Z}_q^{k_{\text{fft}}}$ with Grover for...
 - ▶ compute F_s and check against threshold

Complexity: $O(\sqrt{q^{k_{\text{fft}}}} \cdot N)$ ▶ worse than classical unless N is very small!

Theorem (Simplified)

There is a quantum algorithm that computes $F_s \pm \varepsilon$ given oracle access by making $O(1/\varepsilon)$ queries to \mathcal{O}_X :

$$\mathcal{O}_X : |j\rangle |0\rangle \rightarrow |j\rangle |x_j\rangle.$$

How can we build such an oracle?

FFT search with threshold (quantum)

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How can we build such an oracle? \leadsto QRAM

FFT search with threshold (quantum cont.)

Given samples $x_1, \dots, x_N \in \mathbb{Z}_q^k$

- ▶ put samples in a QRAM \mathcal{O}_X
- ▶ search over $s \in \mathbb{Z}_q^k$ with Grover for...
 - ▶ compute F_s using theorem with \mathcal{O}_X and check against threshold

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$$O(\sqrt{q^{k_{\text{fit}}} \cdot N})$$

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Quantum complexity

$$O(\sqrt{q^{k_{\text{fft}}} \cdot N})$$

Classical complexity

$$O(q^{k_{\text{fft}}} + N)$$

- ▶ quantum never worse than classical
- ▶ significant gain when $N \ll q^{k_{\text{fft}}}$: **like in dual attacks**

Quantum Algorithm using QRAM

Prepare the state

$$\frac{1}{\sqrt{N}} \sum_{j=1}^N |j\rangle |\mathbf{0}\rangle |\mathbf{s}\rangle |0\rangle |0\rangle ,$$

apply $O_X : |j\rangle |0\rangle \rightarrow |j\rangle |\mathbf{x}_j\rangle$ on the first and second registers to get

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Apply

$$O_{CR^+} : |a\rangle |0\rangle \rightarrow \begin{cases} |a\rangle (\sqrt{a}|1\rangle + \sqrt{1-a}|0\rangle), & \text{if } a \geq 0 \\ |a\rangle |0\rangle, & \text{otherwise,} \end{cases}$$

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Quantum Algorithm using QRAM

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on the fourth and fifth registers to get

$$\begin{aligned} & \frac{1}{\sqrt{N}} \sum_{\substack{j \in [N] \text{ and} \\ \cos(2\pi\langle\mathbf{x}_j, \mathbf{s}\rangle/q) \geq 0}} |j\rangle |\mathbf{x}_j\rangle |\mathbf{s}\rangle |\cos(2\pi\langle\mathbf{x}_j, \mathbf{s}\rangle/q)\rangle \left(\begin{array}{l} \sqrt{1 - \cos(2\pi\langle\mathbf{x}_j, \mathbf{s}\rangle/q)} |0\rangle \\ + \sqrt{\cos(2\pi\langle\mathbf{x}_j, \mathbf{s}\rangle/q)} |1\rangle \end{array} \right) \\ & + \frac{1}{\sqrt{N}} \sum_{\substack{j \in [N] \text{ and} \\ \cos(2\pi\langle\mathbf{x}_j, \mathbf{s}\rangle/q) < 0}} |j\rangle |\mathbf{x}_j\rangle |\mathbf{s}\rangle |\cos(2\pi\langle\mathbf{x}_j, \mathbf{s}\rangle/q)\rangle |0\rangle. \end{aligned}$$

Using QRACM to construct U

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where

$$a^+ = \sum_{\substack{j \in [N] \text{ and} \\ \cos(2\pi \langle \mathbf{x}_j, \mathbf{s} \rangle / q) \geq 0}} \frac{\cos(2\pi \langle \mathbf{x}_j, \mathbf{s} \rangle / q)}{N}.$$

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\leadsto Amplitude Estimation

Dual attack cost estimates (logarithms to base two)

Scheme	CC	CN	C0	GE19	QN	Q0	This work (QN)	This work (Q0)
Kyber 512	139	134	115	139	124	103	113	95
Kyber 768	196	191	174	192	175	155	159	142
Kyber 1024	262	256	242	252	235	215	212	196
LightSaber	139	133	114	138	123	101	113	94
Saber	201	196	179	196	180	159	165	147
FireSaber	264	258	244	253	236	217	215	199
TFHE630	118	113	93	120	105	83	95	77
TFHE630	122	117	95	124	109	85	101	80