

# Evaluation of LSTM-cell based Recurrent Neural Network on Next Word Prediction

CS523 Summer II

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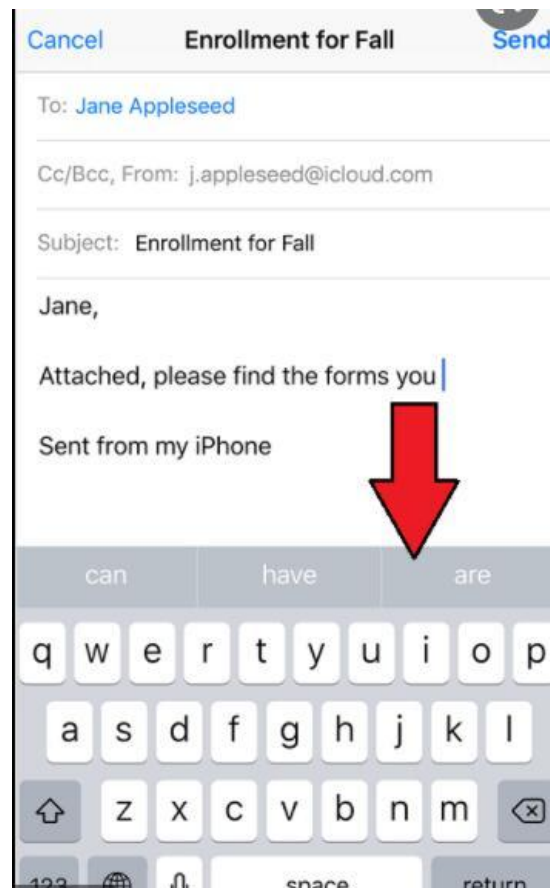
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# Introduction

In this project, we firstly compared long short-term memory (LSTM) unit and the gradient recurrent unit (GRU).

We then focus on feature engineering and architecture design. After training, we will let the model make prediction based on a set of 5-word sentences from the text.

We would also conduct a closer analysis of architecture's performance and efficiency in this data set.



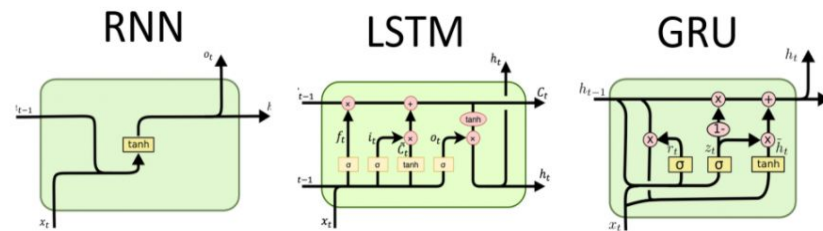
# Background & Initial Ideas

RNN(Vanilla) :

Purpose: send all the old state information at all time steps (long-term dependencies)

Issue: vanishing & exploding gradient (large errors & instability)

LSTM	GRU
More accurate in longer sequence training	Faster in training
More gates to control, though it can take more time to train	Efficient due to low memory usage and simpler structure

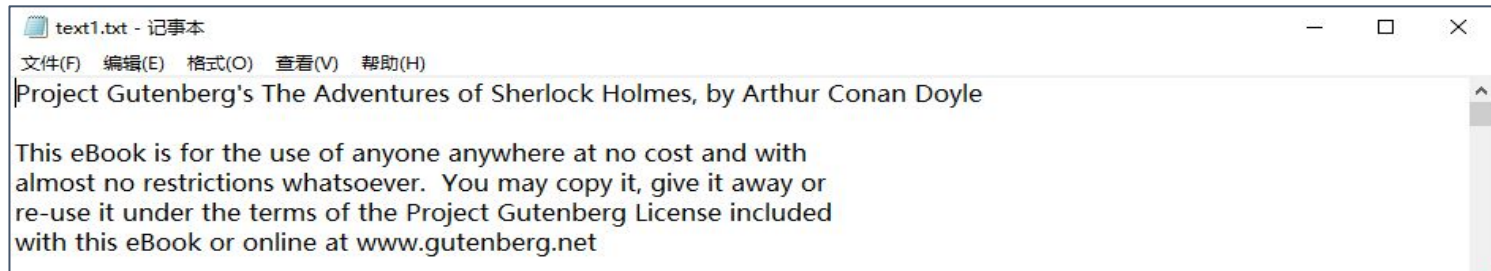


Reference: <http://dprogrammer.org/rnn-lstm-gru>

# Dataset

## Data Source:

- ❖ In our next word prediction model, we train our LSTM with a fiction(long string):
  - We selected the book “The Adventures of Sherlock Holmes”
    - relative small dataset (around 100000 words)



# Data Pre-processing

```
>>> text[0:100]
result: '\nproject gutenbergs the adventures of sherlock holmes by arthur
conan doyle\n\nthis ebook is for the u'
```

Step 1: read the entire string



```
>>> words[0:10]
result:
['project',
'gutenbergs',
'the',
'adventures',
'of',
'sherlock',
'holmes',
'by',
'arthur',
'conan']
```

Step 2: get rid of all punctuation marks and split the entire string into list of words



```
>>> unique_words=unique_words[eliminate(unique_words):]

>>> unique_words[:10]
result:
array(['a', 'abandoned', 'abandons', 'abbots', 'aberdeeen', 'abhorrent',
'abide', 'abjure', 'able', 'abnormal'], dtype='<U26')
```

Step 4: remove training-irrelevant “noises”

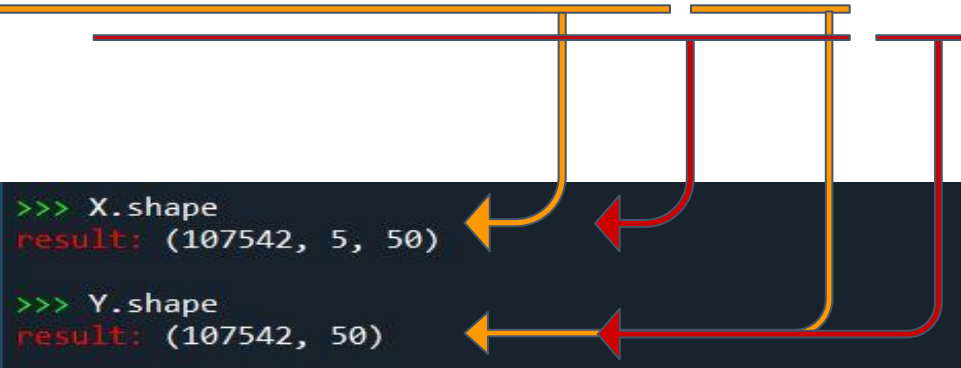


```
>>> unique_words[0:10]
result:
array(['1', '10', '100', '1000', '10_s_', '10th', '1100', '1115', '1130',
'117'], dtype='<U26')
```

Step 3: use np.unique to get unique occurrence of words and sort them

Step 5: Split the data into features and labels.

“To Sherlock Holmes she is always the woman ...”



```
>>> X.shape  
result: (107542, 5, 50)
```

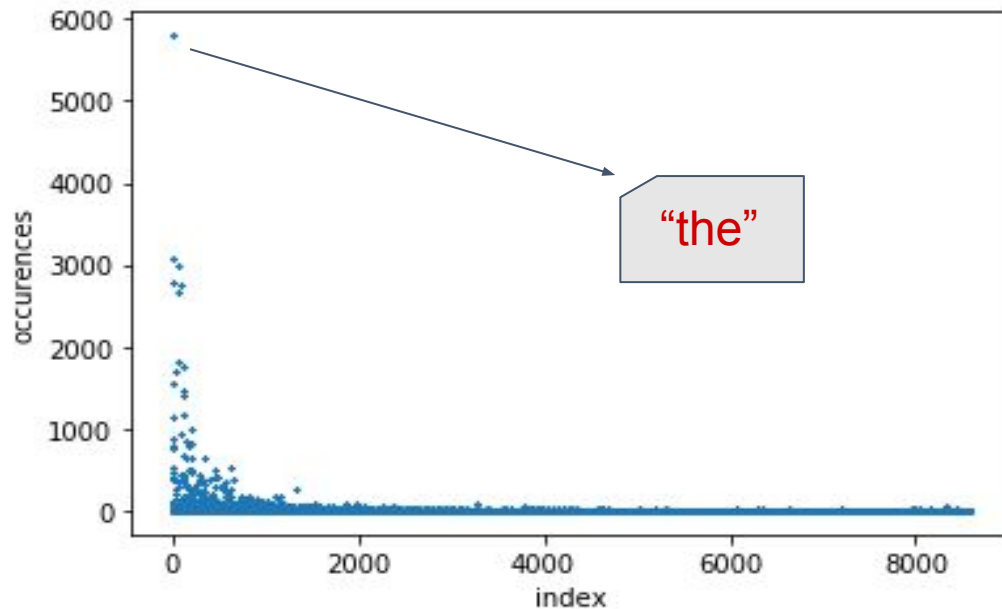
```
>>> Y.shape  
result: (107542, 50)
```

# Distribution of unique words

```
>>> len( unique_words)  
result: 8576
```

↑ Figure 9: “unique\_words”  
obtained from  
previous data  
processing

→ Figure 10: Distribution of  
“unique\_words”





# Implementation

## ❖ Feature Engineering:

- Using the domain knowledge of the data to create features that can be used in training a machine learning algorithm.

## ❖ How to design features, labels, loss, and metrics

# Feature Engineering

## First Attempt: **One-hot encoding**

❖ Pros: Easy to implement

❖ Cons:

- Waste tremendous amount of RAM
- Two similar words are linearly independent (same loss)
- Unrealistic to do the classification for over 8000 classes

```
MemoryError: Unable to allocate 7.01 GiB for an array with shape  
(107542, 8744) and data type float64
```

# Feature Engineering

## New Approach: **GloVe Vector**

### ◆ **Pros**

1. Similar words are closer to each other (euclidean distance)
2. No longer need to occupy significant amount of RAM for whatever number of unique words in the text
3. Turn classification question into kind of regression problem

### ◆ **Cons**

1. These vectors are pre-trained, which may not be best representation for our corpus and application

# Demo of GloVe Vector

glove.6B.50d.txt - Notepad

File Edit Format View Help

```
the 0.418 0.24968 -0.41242 0.1217 0.34527 -0.044457 -0.49688 -0.17862 -0.00066023 -0.6566 0.27843 -0.14767 -0.55677 0.14658 -0.0095095 0.011658 0.10204 -0.12792 -0.8443 -0.12181 -0.016801 -0.33279 -0.1552 -0.23131 -0.19181 -1.8823 -0.767
, 0.013441 0.23682 -0.16899 0.40951 0.63812 0.47709 -0.42852 -0.55641 -0.364 -0.23938 0.13001 -0.063734 -0.39575 -0.48162 0.23291 0.090201 -0.13324 0.078639 -0.41634 -0.15428 0.10068 0.48891 0.31226 -0.1252 -0.037512 -1.5179 0.12612 -0.0
. 0.15164 0.30177 -0.16763 0.17684 0.31719 0.33973 -0.43478 -0.31086 -0.44999 -0.29486 0.16608 0.11963 -0.41328 -0.42353 0.59868 0.28825 -0.11547 -0.041848 -0.67989 -0.25063 0.18472 0.086876 0.46582 0.015035 0.043474 -1.4671 -0.30384 -0.
of 0.70853 0.57088 -0.4716 0.18048 0.54449 0.72603 0.18157 -0.52393 0.10381 -0.17566 0.078852 -0.36216 -0.11829 -0.83336 0.11917 -0.16605 0.061555 -0.012719 -0.56623 0.013616 0.22851 -0.14396 -0.067549 -0.38157 -0.23698 -1.7037 -0.86692
to 0.68047 -0.039263 0.30186 -0.17792 0.42962 0.032246 -0.41376 0.13228 -0.29847 -0.085253 0.17118 0.22419 -0.10046 -0.43653 0.33418 0.67846 0.057204 -0.34448 -0.42785 -0.43275 0.55963 0.10032 0.18677 -0.26854 0.037334 -2.0932 0.22171 -0.0
and 0.26818 0.14346 -0.27877 0.016257 0.11384 0.69923 -0.51332 -0.47368 -0.33075 -0.13834 0.2702 0.30938 -0.45012 -0.4127 -0.09932 0.038085 0.029749 0.10076 -0.25058 -0.51818 0.34558 0.44922 0.48791 -0.080866 -0.10121 -1.3777 -0.10866 -0
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by 0.35215 -0.35603 0.25708 -0.10611 -0.20718 0.63596 -1.0129 -0.45964 -0.48749 -0.080555 0.43769 0.46046 -0.80943 -0.23336 0.46623 -0.10866 -0.1221 -0.63544 -0.73486 -0.24848 0.4317 0.092264 0.52033 -0.46784 0.016798 -1.5124 -0.19986 -0
at 0.27724 0.88469 -0.26247 0.084104 0.40813 -1.1697 -0.68522 0.1427 -0.57345 -0.58575 -0.50834 -0.86411 -0.52596 -0.56379 0.32862 0.43393 -0.21248 0.49365 -1.8137 -0.035741 1.3227 0.08065 0.012217 -0.080710 -0.16813 -1.5935 0.47034 0.26
( -0.24978 1.0476 0.21602 0.23278 0.12371 0.2761 0.51184 -1.36 -0.6902 -0.66679 0.49105 0.51671 -0.027218 -0.2056 0.49539 -0.097307 0.12779 0.44388 -1.2612 0.66209 -0.55461 -0.43498 0.81247 0.40855 -0.094327 -0.622 0.36498 -1.0038 -0.77
) -0.28314 1.0028 0.14746 0.22262 0.0070985 0.23108 0.57082 -1.2767 -0.72415 -0.7527 0.52624 0.39498 0.0018922 -0.39396 0.44859 -0.019057 0.068143 0.45082 -1.2849 0.68088 -0.48318 -0.45829 0.85504 0.47712 -0.16152 -0.74784 0.40742 -0.973
from 0.41037 0.11342 0.051524 -0.53833 -0.12913 0.22247 -0.9494 -0.18963 -0.36623 -0.067011 0.19356 -0.33044 0.11615 -0.58585 0.36106 0.12555 -0.3581 -0.023201 -1.2319 0.23383 0.71256 0.14824 0.50874 -0.12313 -0.20353 -1.82 0.22291 0.020
his -0.033537 0.47537 -0.68746 -0.72661 0.84028 0.64304 -0.75975 0.63242 -0.54176 0.11632 -0.20254 0.63321 -1.2677 -0.17674 0.35284 -0.55096 -0.65025 -0.3405 -0.31658 -0.077908 -0.11085 0.97299 -0.016844 -0.73752 0.47852 -2.7069 -0.42417
```

# Demo of GloVe Vector

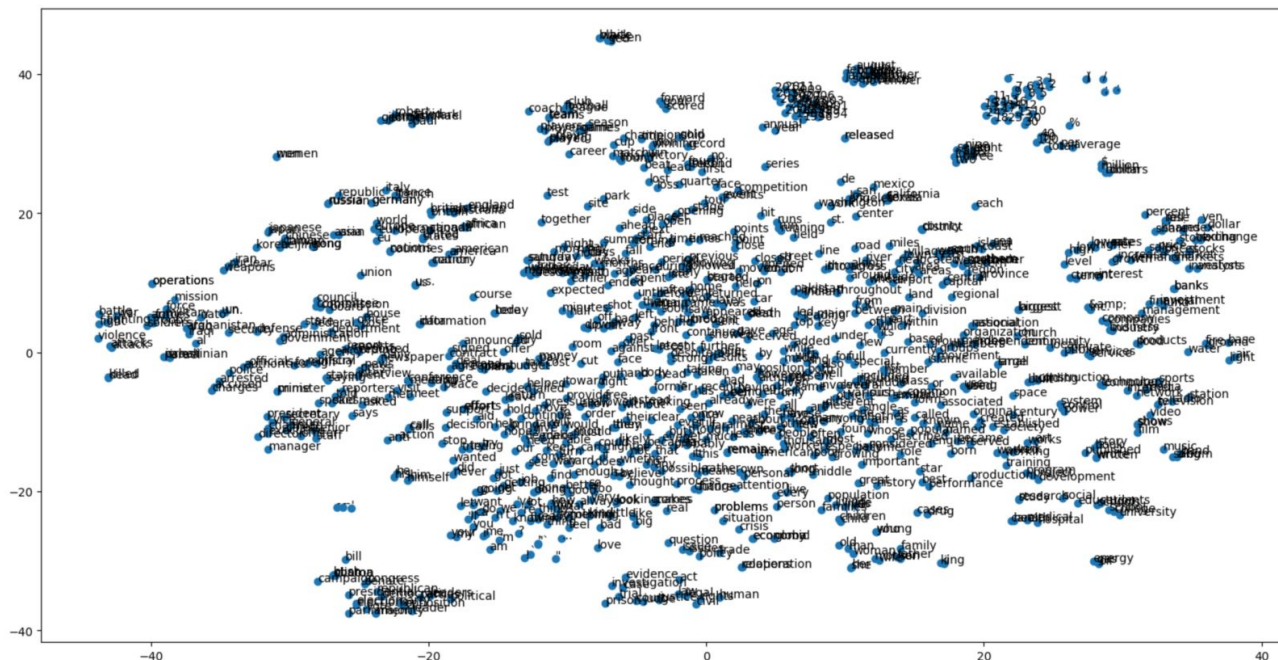
```
>>> gloveModel["person"]
result:
array([ 0.61734 ,  0.40035 ,  0.067786 , -0.34263 ,  2.0647 ,
        0.60844 ,  0.32558 ,  0.3869 ,  0.36906 ,  0.16553 ,
        0.0065053, -0.075674 ,  0.57099 ,  0.17314 ,  1.0142 ,
       -0.49581 , -0.38152 ,  0.49255 , -0.16737 , -0.33948 ,
       -0.44405 ,  0.77543 ,  0.20935 ,  0.6007 ,  0.86649 ,
       -1.8923 , -0.37901 , -0.28044 ,  0.64214 , -0.23549 ,
        2.9358 , -0.086004 , -0.14327 , -0.50161 ,  0.25291 ,
       -0.065446 ,  0.60768 ,  0.13984 ,  0.018135 , -0.34877 ,
        0.039985 ,  0.07943 ,  0.39318 ,  1.0562 , -0.23624 ,
       -0.4194 , -0.35332 , -0.15234 ,  0.62158 ,  0.79257 ])
```

```
>>> find_closest_embeddings(gloveModel["person"])[0:5]
result: ['person', 'someone', 'actually', 'every', 'knowing']
```



# How do we design loss function and metrics?

## Loss: Euclidean Distance



Reference: <https://medium.com/analytics-vidhya/basics-of-using-pre-trained-glove-vectors-in-python-d38905f356db>

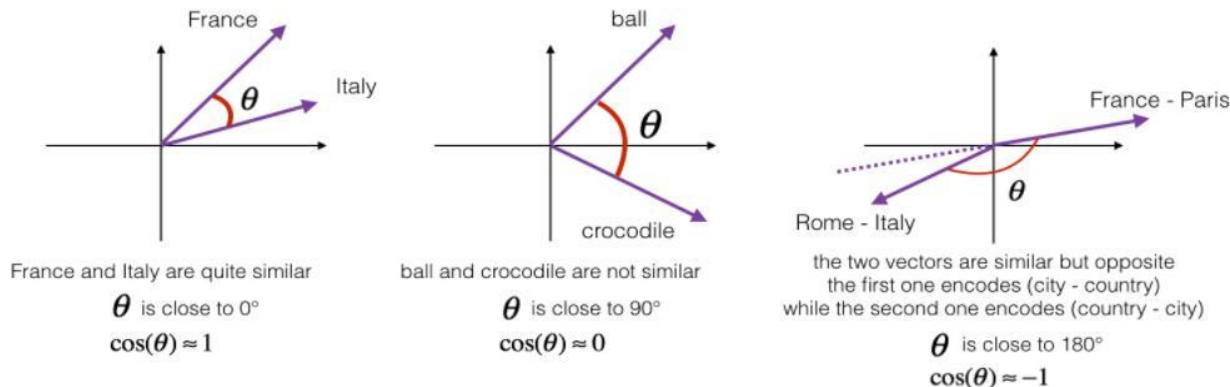
# Metrics: Cosine similarity

## 1 - Cosine similarity

To measure how similar two words are, we need a way to measure the degree of similarity between two embedding vectors for the two words. Given two vectors  $u$  and  $v$ , cosine similarity is defined as follows:

$$\text{CosineSimilarity}(u, v) = \frac{u \cdot v}{\|u\|_2 \|v\|_2} = \cos(\theta) \quad (1)$$

where  $u \cdot v$  is the dot product (or inner product) of two vectors,  $\|u\|_2$  is the norm (or length) of the vector  $u$ , and  $\theta$  is the angle between  $u$  and  $v$ . This similarity depends on the angle between  $u$  and  $v$ . If  $u$  and  $v$  are very similar, their cosine similarity will be close to 1; if they are dissimilar, the cosine similarity will take a smaller value.



**\*\*Figure 1\*\*:** The cosine of the angle between two vectors is a measure of how similar they are

Reference: [https://datascience-enthusiast.com/DL/Operations\\_on\\_word\\_vectors.html](https://datascience-enthusiast.com/DL/Operations_on_word_vectors.html)

```

"""demonstration of coscine similarity"""

a=glove1Model["person"]
temp=find_closest_embeddings(a)[0:5]
print("top 5 five words:", temp)
b=glove1Model[temp[0]]
c=glove1Model[temp[1]]
d=glove1Model[temp[2]]
print("")
print("similarity between a and b:",a@b/(np.linalg.norm(a)*np.linalg.norm(b)))
print("distance between a and b:",euclidean_distance_loss(a,b).numpy())
print("")
print("similarity between a and c:",a@c/(np.linalg.norm(a)*np.linalg.norm(c)))
print("distance between a and c:",euclidean_distance_loss(a,c).numpy())
print("")
print("similarity between a and d:",a@d/(np.linalg.norm(a)*np.linalg.norm(d)))
print("distance between a and d:",euclidean_distance_loss(a,d).numpy())

```

```

similarity between a and b: 1.0
distance between a and b: 0.0

similarity between a and c: 0.8526201331553841
distance between a and c: 2.843090933653908

similarity between a and d: 0.8010346183707522
distance between a and d: 3.0690672667375813

```

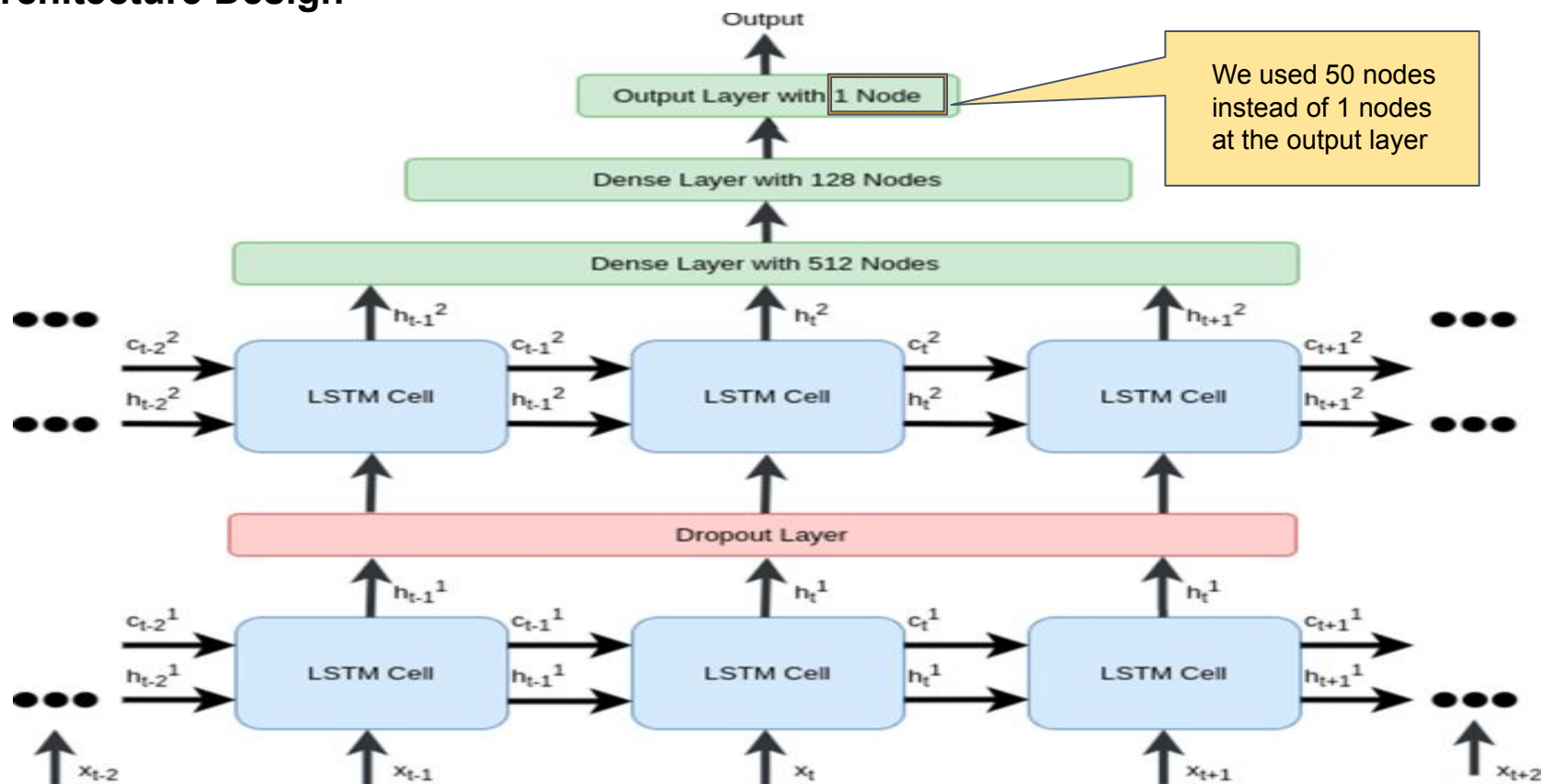
```
>>>
```



# Training Practices

- ❖ Architecture Design
- ❖ Regularization and optimization techniques
- ❖ Training results

## Architecture Design



# List of techniques used

## Regularization

1. Dropout
2. Early Stopping

## Optimization

1. Rmsprop
2. Momentum
3. Learning rate exponentially decay

Training  
result for  
features  
constructed  
by **One-Hot**  
encoding

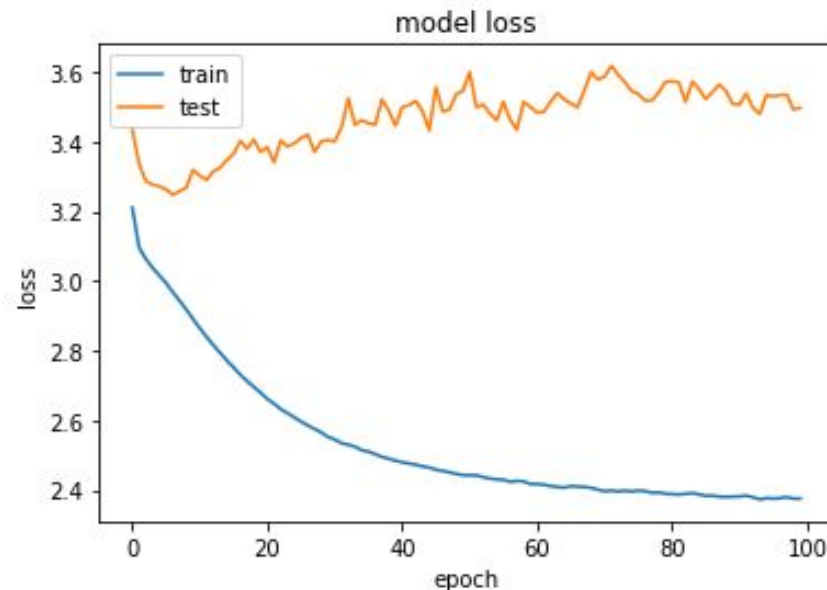
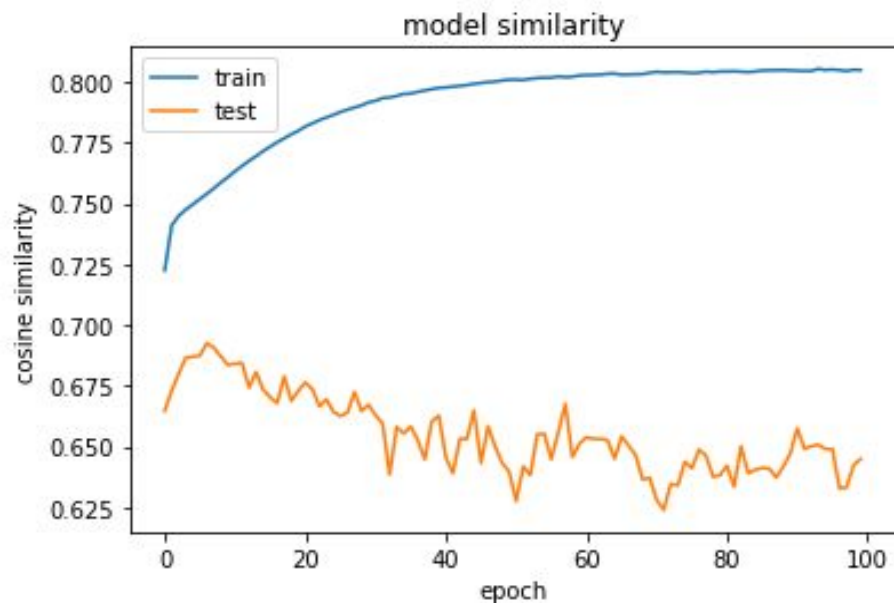
Bad



Boston University CS523

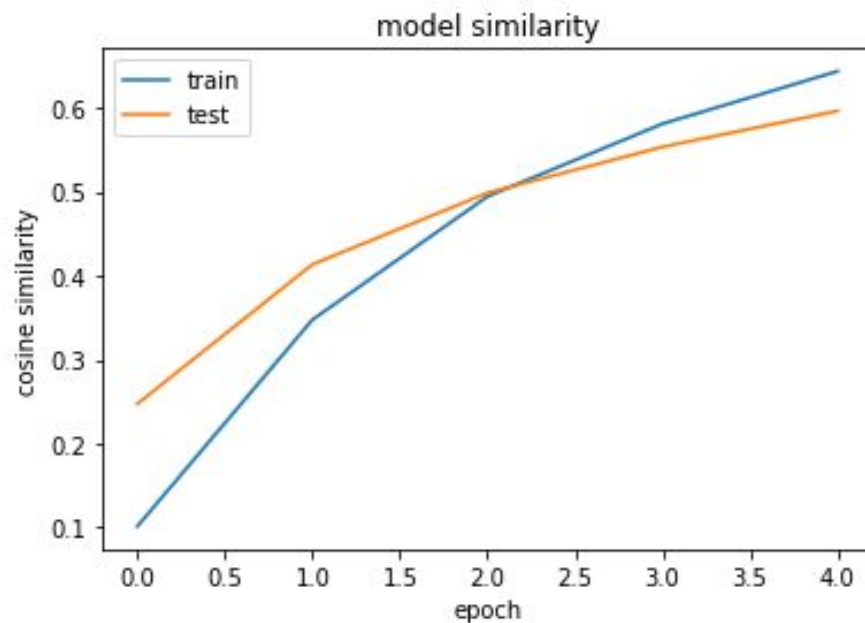
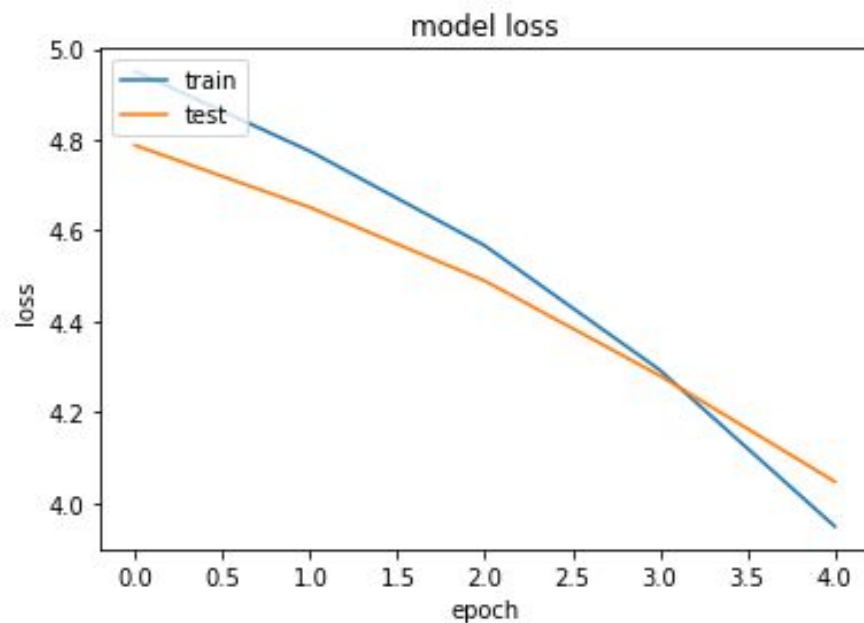
Epoch 9/50 1006/1006 [=====] - 65s 64ms/step - loss: 1.5202 - categorical_accuracy: 0.1103 379 - val_categorical_accuracy: 0.0562	val_loss: 11.0
Epoch 10/50 1006/1006 [=====] - 65s 65ms/step - loss: 1.2803 - categorical_accuracy: 0.1232 638 - val_categorical_accuracy: 0.0543	val_loss: 11.4
Epoch 11/50 1006/1006 [=====] - 65s 65ms/step - loss: 1.1266 - categorical_accuracy: 0.1340 618 - val_categorical_accuracy: 0.0504	val_loss: 11.5
Epoch 12/50 1006/1006 [=====] - 65s 64ms/step - loss: 1.0187 - categorical_accuracy: 0.1428 797 - val_categorical_accuracy: 0.0504	val_loss: 11.9
Epoch 13/50 1006/1006 [=====] - 64s 64ms/step - loss: 0.9279 - categorical_accuracy: 0.1510 054 - val_categorical_accuracy: 0.0581	val_loss: 12.2
Epoch 14/50 1006/1006 [=====] - 65s 65ms/step - loss: 0.8576 - categorical_accuracy: 0.1591 238 - val_categorical_accuracy: 0.0543	val_loss: 12.4
Epoch 15/50 1006/1006 [=====] - 66s 65ms/step - loss: 0.7961 - categorical_accuracy: 0.1660 790 - val_categorical_accuracy: 0.0504	val_loss: 12.2
Epoch 16/50 1006/1006 [=====] - 65s 64ms/step - loss: 0.7501 - categorical_accuracy: 0.1728 762 - val_categorical_accuracy: 0.0543	val_loss: 12.6
Epoch 17/50 1006/1006 [=====] - 65s 65ms/step - loss: 0.7050 - categorical_accuracy: 0.1776 732 - val_categorical_accuracy: 0.0514	val_loss: 12.8
Epoch 18/50 1006/1006 [=====] - 65s 65ms/step - loss: 0.6758 - categorical_accuracy: 0.1822 780 - val_categorical_accuracy: 0.0552	val_loss: 12.8
Epoch 19/50 1006/1006 [=====] - 65s 65ms/step - loss: 0.6637 - categorical_accuracy: 0.1875 222 - val_categorical_accuracy: 0.0552	val_loss: 13.0
Epoch 20/50 1006/1006 [=====] - 65s 64ms/step - loss: 0.6412 - categorical_accuracy: 0.1919 035 - val_categorical_accuracy: 0.0523	val_loss: 13.0
Epoch 21/50 1006/1006 [=====] - 65s 65ms/step - loss: 0.6141 - categorical_accuracy: 0.1964 320 - val_categorical_accuracy: 0.0514	val_loss: 13.1
Epoch 22/50 1006/1006 [=====] - 65s 65ms/step - loss: 0.5978 - categorical_accuracy: 0.2011 441 - val_categorical_accuracy: 0.0514	val_loss: 13.3
Epoch 23/50 1006/1006 [=====] - 65s 65ms/step - loss: 0.5781 - categorical_accuracy: 0.2044 474 - val_categorical_accuracy: 0.0533	val_loss: 13.4
Epoch 24/50 1006/1006 [=====] - 64s 64ms/step - loss: 0.5643 - categorical_accuracy: 0.2081 518 - val_categorical_accuracy: 0.0523	val_loss: 13.4
Epoch 25/50	

# Results of GloVe vector



```
Console 5/A Console 6/A  
Epoch 1/100  
107434/107434 [=====] - 15s 143us/sample - loss:  
3.2095 - cosine_similarity: 0.7225 - val_loss: 3.4328 -  
val_cosine_similarity: 0.6647  
Epoch 2/100  
107434/107434 [=====] - 9s 86us/sample - loss:  
3.0954 - cosine_similarity: 0.7411 - val_loss: 3.3356 -  
val_cosine_similarity: 0.6731  
Epoch 3/100  
107434/107434 [=====] - 10s 97us/sample - loss:  
3.0627 - cosine_similarity: 0.7450 - val_loss: 3.2863 -  
val_cosine_similarity: 0.6801  
Epoch 4/100  
107434/107434 [=====] - 11s 104us/sample - loss:  
3.0376 - cosine_similarity: 0.7476 - val_loss: 3.2764 -  
val_cosine_similarity: 0.6866  
Epoch 5/100  
107434/107434 [=====] - 10s 90us/sample - loss:  
3.0156 - cosine_similarity: 0.7497 - val_loss: 3.2708 -  
val_cosine_similarity: 0.6870  
Epoch 6/100  
107434/107434 [=====] - 10s 96us/sample - loss:  
2.9934 - cosine_similarity: 0.7519 - val_loss: 3.2628 -  
val_cosine_similarity: 0.6874  
Epoch 7/100  
107434/107434 [=====] - 10s 97us/sample - loss:  
2.9677 - cosine_similarity: 0.7541 - val_loss: 3.2469 -  
val_cosine_similarity: 0.6926  
Epoch 8/100  
107434/107434 [=====] - 10s 97us/sample - loss:  
2.9426 - cosine_similarity: 0.7563 - val_loss: 3.2570 -  
val_cosine_similarity: 0.6907  
Epoch 9/100  
107434/107434 [=====] - 11s 107us/sample - loss:  
2.9170 - cosine_similarity: 0.7587 - val_loss: 3.2678 -  
val_cosine_similarity: 0.6871  
Epoch 10/100  
107434/107434 [=====] - 11s 104us/sample - loss:
```

# # if $lr=0.00001$





# Testing

## Prediction for 9 randomly chosen samples

Note: the context of this application is for words we have typed before, so input that has not been seen before is not very important

```
>>> for i in range(9):
...:     x=X[1000+i] #(5,50)
...:     x=np.reshape(x,(1,5,50))
...:     y_hat=model.predict(x)
...:     print("predictions are: ", find_closest_embeddings(y_hat)[0:5])
...:     print("true label is: ", find_closest_embeddings(Y[1000+i])[0])
...:     print("")
predictions are: ['turning', 'presumably', 'unfortunately', 'sight',
'keeps']
true label is: mess

predictions are: ['.', 'but', 'as', 'same', 'though'] ✓
true label is: but

predictions are: ['.', 'as', 'same', 'one', 'well'] ✓
true label is: as

predictions are: ['i', 'me', "'d", 'never', 'know'] ✓
true label is: i

predictions are: ['have', 'those', 'some', 'are', 'already'] ✓
true label is: have

predictions are: ['but', 'though', '.', 'once', 'still']
true label is: changed

predictions are: ['my', 'me', 'i', "'d", 'you'] ✓
true label is: my

predictions are: ['hand', 'touch', 'eyes', 'little', 'hands']
true label is: clothes

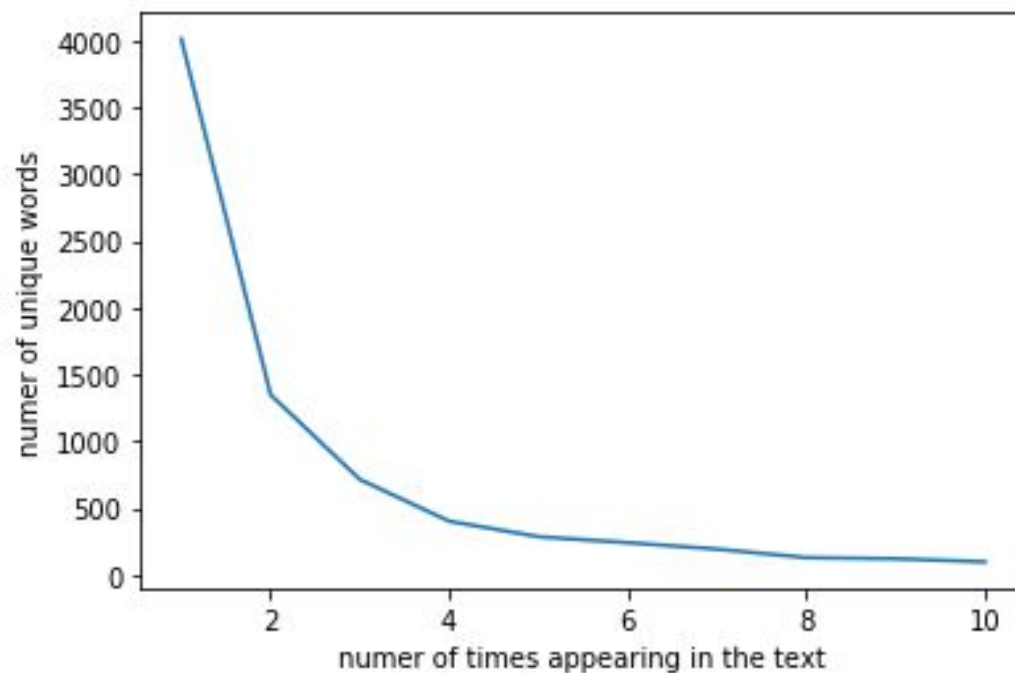
predictions are: ['i', 'me', "'d", "n't", 'know'] ✓
true label is: i
```



# Future Work

- ❖ Improvements:
  - Data augmentation, larger data size, weighted loss for solving “imbalanced classes” issue
  - Learn feature vectors on our own (through embedding layer)
  - Punctuations
  
- ❖ Possible Applications:
  - Next word suggestion (as shown before)
  - Many to many translation (requires decoder network)
  - Auto Correction (character-level based)

Note: We only have 8000+ unique words in total



Thanks for listening!

Q&A section